

CONVENTION ON BIOLOGICAL DIVERSITY

Distr.
GENERAL

UNEP/CBD/QR/3
20 November 1998

ENGLISH ONLY

QUARTERLY REPORT ON THE ADMINISTRATION OF THE CONVENTION ON BIOLOGICAL DIVERSITY

(Note by the Executive Secretary)

CONTENTS

	page
I. INTRODUCTION	4
II. EXECUTIVE DIRECTION AND MANAGEMENT	4
III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENT.....	5
A. Personnel Arrangements.....	5
B. Financial Arrangements	6
IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES	7

STTM Division

DECISIONS:

IV/1 Report and recommendations of SBSTTA 3.....	7
A. Report and recommendations of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice.....	7
B. Ecosystem approach.....	8
C. Alien species that threaten ecosystems, habitats or species	8
D. Global Taxonomy Initiative.....	9
E. Future work of the SBSTTA	9

	page
IV/4 Status and trends of the biological diversity of inland water ecosystems and options for conservation sustainable use	12
IV/5 Conservation and sustainable use of marine and coastal ecosystems, including a programme of work	13
IV/6 Agricultural biological diversity.....	15
IV/7 Forest biological diversity.....	17
IV/8 Access and benefit-sharing	17
IV/9 Implementation of Article 8(j) and related provisions.....	18
IV/10 Measures for implementing the Convention on Biological Diversity.....	19
A. Incentive measures: consideration of measures for the implementation of Article 11.....	19
B. Public education and awareness: consideration of measures for the implementation of Article 13	19
C. Impact assessment and minimising adverse effects: consideration of measures for the implementation of Article 14	20
 <u>Biosafety Unit</u>	
IV/3 Issues related to biosafety.....	20
 <u>I & C Division</u>	
IV/2 Review of the operations of the clearing-house mechanism	21
IV/14 National reports by Parties	22
IV/11 Review of the effectiveness of the financial mechanism	23
IV/12 Additional financial resources.....	23
IV/13 Additional guidance to the financial mechanism	24

	page
IV/15 The relationship of the Convention on Biological Diversity with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance.....	25
IV/16 Institutional matters and the programme of work.....	27
 <u>Annexes</u>	
Annex I List of meetings organized by the SCBD.....	28
Annex II Status of implementation of the agreed Administration Arrangements	29
Annex III List of personnel - Secretariat of the CBD	39
Annex IV Organizational Chart of the CBD Secretariat.....	42
Annex V General Trust Fund for additional voluntary Contributions (BE).....	48
Annex VI General Trust Fund for additional voluntary Contributions (BZ).....	49
Annex VII General Trust Fund for the CBD (BY)	51
Annex VIII Provisional Agenda of the 4 th meeting of the SBSTTA.....	58
Annex IX Provisional Agenda of the 5 th meeting of the SBSTTA.....	60
Annex X List of documents for the fourth meeting of the SBSTTA.....	62
Annex XI List of documents for the fifth meeting of the SBSTTA.....	63
Annex XII Draft provisional agenda of the 1 st intersessional meeting on the operations of the Convention	64
Annex XIII Draft provisional agenda of the 1 st meeting of Expert Panel on Access and Benefit-Sharing.....	67
Annex XIV Draft provisional agenda of the 1 st meeting of the <i>Ad Hoc</i> Open-ended intersessional working group to address the implementation of Article 8 (j) related provisions of the Convention	69

I. INTRODUCTION

1. Decision III/24 of the Conference of the Parties requested the Executive Secretary to prepare a quarterly report on the administration of the Convention including such matters as the staff lists, status of contributions, progress on the implementation of the medium term work programme and financial expenditures. The requirements to report on a regular basis to the Parties were further elaborated under the Administrative Arrangements between the Secretariat and UNEP which were endorsed by the Conference of the Parties in decision IV/17.
2. The last Quarterly Report was prepared by the Secretariat for the fourth meeting of the Conference of the Parties and was distributed as document UNEP/CBD/COP/4/24. This report covered activities of the Secretariat until 12 March 1998.
3. Accordingly, the Secretariat has prepared this Quarterly Report which contains a summary of key activities to implement the decisions of the Conference of the Parties and other relevant matters from 13 March to 23 October 1998.

II. EXECUTIVE DIRECTION AND MANAGEMENT

4. During the period covered by this Report, the Secretariat has organised the fourth meeting of the COP in Bratislava, Slovakia from 4 to 15 May 1998 and the fifth meeting of the Open-ended *Ad Hoc* Meeting of the Working Group on Biosafety from 17 to 28 August. Reports of these meetings have been distributed by the Secretariat (see documents UNEP/CBD/COP/4/29 and UNEP/CBD/BSWG/5/5). A booklet of the decisions of COP is currently with the printer and is expected to be distributed shortly.
5. The COP at its fourth meeting decided that the fifth meeting of the COP would be in the second quarter of 2000 for a period of two weeks in Nairobi. After examination of the international calendar, the COP Bureau decided to schedule the fifth meeting from 15 to 26 May 2000. The decisions of the fourth COP also provide for a series of intersessional meetings, including: an extraordinary meeting of the COP, the fourth and fifth meetings of the SBSTTA, an intersessional meeting on the operations of the Convention, two meetings of the *Ad Hoc* Working Group on Biosafety, and a meeting for the Panel of Experts on Access and Benefit-Sharing. Preliminary dates locations and agendas have been prepared by the Secretariat after consultation with Parties and relevant Bureaux. Annex I gives details of these meetings.
6. Preparations for the fifth meeting of the COP have begun. A provisional agenda for the meeting has been discussed by the COP Bureau. The date and venue of the meeting have been provisionally agreed by the Bureau. Preliminary discussions regarding the priorities for this meeting namely, drylands, sustainable use including tourism and access to genetic resources with other institutions, has started. A preliminary scientific analysis of the status of biodiversity in this biome will be finalised in early November and distributed for peer review shortly thereafter.

III. IMPLEMENTATION OF THE ADMINISTRATIVE ARRANGEMENTS

7. The Secretariat comprehensively reviewed implementation of the Administrative Arrangements endorsed by the COP in decision IV/17. Status of implementation of the agreed Administrative Arrangements is presented in Annex II. The following highlights the key features of the Secretariat's activities with respect to implementation of these Arrangements.

A. Personnel Arrangements

8. As at 1 October 1998, the Secretariat had 35 staff members, of which there are 22 Professionals and 13 General Service staff. The COP approved a total of 40 posts for the 1997-1998 biennium (22 Professionals and 18 General Services) and 47 posts for the 1999-2000 biennium (27 Professionals and 20 General Services). Of the 22 Professional posts, 11 posts have been regularized and 4 posts are encumbered by short-term staff.

9. In addition, five positions are filled by staff funded from other sources. UNEP has also seconded a Programme Officer to the Secretariat from 1 September to 31 December 1998, in order to maintain liaison between the CBD Secretariat and UNEP on any UNEP/GEF activities that may advance the work programme of the CBD, among others.

10. Of the 13 General Service posts, 8 have been regularized, and 5 are encumbered by short-term staff (of which 2 cases have already been submitted to the Appointments and Promotion Panel, UNON-Nairobi). Details regarding the staff are provided in Annex III to this report.

11. The United Nations Office in Nairobi has sent a Personnel Officer to Montreal, in order to further the classification process for the 7 new posts approved at COP-IV for the years 1999 and 2000 (5 Professional and 2 General Services posts) and to reclassify posts upgraded by COP-IV.

SCBD Organizational Chart

12. In line with COP-IV Decision IV/17.5, a CBD organigram was developed. See Annex IV attached.

Staff Association

13. The CBD staff representative body has been established. It was created in September 1997. The Executive Committee (3 professionals and 3 general service staff), in response to issues brought to its attention by staff members, has had a series of ad hoc meetings to discuss issues with staff and management and subsequently follows up with a view to seeking appropriate solutions, for example, regarding promotions, transfers, the allocation of special post allowances for those fulfilling higher level functions for substantive periods, contractual problems, and so forth. An important role has been the active involvement of the EXCOM in all departmental panels during the selection and recruitment process for CBD Secretariat posts. The Staff Association is pleased to report that its letter dated 14 July 1998, outlining many outstanding staffing problems and

concerns, which emanated from a lengthy meeting with the Executive Secretary, is now receiving attention by UNEP/UNON. This welcome support and the recent filling of the administrative posts is helping substantively in improving communications between the Secretariat and Nairobi with a view to resolving issues and concerns that have been raised.

B. Financial Arrangements

The Trust Funds for the Convention

14. With regard to budgetary matters, as at 31 October 1998, of the total pledged contributions of US\$5,963,226 for 1998 to the BY Trust Fund, the total contributions received amount to US\$4,029,493. Total unpaid pledges to the BY Trust Fund for 1998 and prior years amounts to US\$2,973,816.

Additional Voluntary Contributions

15. Several Parties also contributed additional voluntary funds in support of approved activities under the Convention (BE Trust Fund). The total pledged for 1998 for this purpose was US\$695,979. Total unpaid pledges for 1998 and prior years to the BE Trust Fund is US\$7,200. The United Kingdom and Australia's contribution will contribute to the recruitment of additional staff. As the amount of US\$ 50,000 that was kindly offered by the Australian Government will not be sufficient for establishing a senior position to follow-up the COP-IV decision on Taxonomy, a supplementary offer by another Party is needed. Consultations with the Swedish Government are underway in this regard.

16. Canada has contributed and the United Kingdom has pledged funds for the Clearing House Mechanism (CHM). Other pledges included the Slovak Republic for the Host Government Agreement (COP-4). See Annex V for details of the BE Trust Fund.

17. Further contributions totaling US\$852,467 were pledged for additional voluntary contributions to facilitate the participation of Parties to the Convention Process (BZ Trust Fund). Total unpaid pledges for 1998 and prior years to the BZ Trust Fund is US\$406,435. See Annex VI for details.

18. The Trustees (rather than the SCBD) have sent invoices in 1997 and 1998 to the 174 Parties to the Convention listed in Appendix 1 to Annex 1, Part A of Decision III/24, inviting them to pay their contributions to the Trust Fund for 1998 and 1999. The Statement of Contributions to the CBD Trust Fund, as provided by the Trustee as at 30 September 1998 is attached as Annex VII to this report.

19. As per the Administrative Arrangements, it has not been possible to send invoices from the Secretariat to the Parties to the Convention, however, since the Chief of Fund and Administration has now been recruited, invoices for the next biennium will be sent out from the Secretariat.

20. An increase in the payment of contributions by the Parties to the Convention to the various Trust Funds has been noted in comparison to previous years. Continuing this effort will help avoid potential cashflow difficulties.

Financial Expenditures

21. As of the end September 1998, expenditures incurred by the Secretariat amounted to approximately US\$5,506,614 in 1998 and covered costs such as staff salaries, consultants, travel, communication and UNEP programme support costs. A summary of these expenditures is attached as Appendix 2 of Annex II to this report.

IV. IMPLEMENTATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS DIVISION

Decision IV/1 Report and recommendations of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice and instructions by the Conference of the Parties to the Subsidiary Body on Scientific, Technical and Technological Advice

Decision IV/1 was transmitted in July 1998 to all National Focal Points and relevant organizations by the Executive Secretary, in a letter inviting them to submit appropriate information to the Secretariat by 31 October 1998. To date, no such information has been received at the Secretariat.

A. Report and recommendations of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice

22. The Conference of the Parties to the Convention on Biological Diversity (COP), at its fourth meeting, held in Bratislava, 4-15 May 1998, has fully recognised the importance of scientific, technical and technological knowledge in the conservation and sustainable use of biological diversity.

23. In its decision IV/1, the COP took note of the report of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (Montreal, 1-5 September 1997), endorsed SBSTTA III/5 recommendation, on indicators of biological diversity, and requested the Executive Secretary to undertake the work outlined in its annex. With a view to developing and proposing to SBSTTA a core set of indicators on biological diversity, the SCBD is working towards the organization of a liaison group meeting to be held before April 1999. The Government of the Netherlands has indicated that it may be ready to sponsor such a meeting. At present, the

SCBD is launching the procedure for the establishment of a roster of experts for indicators on biological diversity.

24. In the same decision, the COP also instructed SBSTTA to give special attention to the following issues:

- Ecosystem Approach
- Alien species that threaten ecosystems, habitats or species
- Global Taxonomy Initiative

25. The remaining recommendations of the third meeting of SBSTTA, on inland water ecosystems, marine and coastal biological diversity, forest biological diversity, agricultural biological diversity and the clearing-house mechanism were considered under other items of the agenda of COP-IV. In the present report, the status of implementation of the COP decisions relating to such recommendations will be described separately for each of these thematic areas.

B. Ecosystem approach

26. With respect to decision IV/1B and regarding the work already undertaken, notably the Malawi workshop on the ecosystem approach (UNEP/CBD/COP/4/Inf.9), the Secretariat is building a medium term strategy of actions in view of the future work of SBSTTA-V. These actions might include the organization of a liaison group on the Ecosystem Approach and activities for the implementation of Element 1 of the Work Programme for Forest Biological Diversity which concerns the Holistic and Inter-sectoral Ecosystem Approaches that integrate the conservation and sustainable use of biological diversity. This work is being co-ordinated by the Programme Officer for terrestrial ecosystems.

27. In addition, and, as developed below, the SCBD participated in the Workshop on the Ecosystem Approach to the Management and Protection of the North Sea, held in Norway, June 1998, and made a presentation on this item.

28. With regards to agricultural biological diversity, the forthcoming international workshop being organised by the CBD Secretariat and FAO, as outlined below under the implementation of decision IV/6, is focusing on the ecosystem level and it will consider, *inter alia*, the outcome of the Malawi workshop.

C. Alien species that threaten ecosystems, habitats or species

29. Pursuant to decision IV/1C, the SBSTTA is expected to develop guiding principles for the prevention, introduction and mitigation of impacts of alien species and to report on those principles and any related work programme to the Conference of the Parties at its fifth meeting. In view of other relevant related initiatives undertaken by a number of competent organizations, including the Global Invasive Species Programme of DIVERSITAS co-ordinated by SCOPE, the SCBD is considering co-operation and linkages with these initiatives so as to assist the SBSTTA with recommendations on the priority work pertinent to this issue. It should be recalled that in its decision III/9, paragraph 10, the COP had encouraged the SCOPE and the Invasive Species

Specialist Group of IUCN to continue their efforts to develop a scientifically-based global strategy and action plan to deal with the problem of alien invasive species. A project proposal entitled "Development of Best Practices and Dissemination of Lessons Learned for Dealing with the Global Problem of Alien Species that Threaten Biological Diversity" was subsequently developed by these two organizations in conjunction with UNEP and is currently under implementation with part of the funding provided by the GEF.

30. As mentioned in other sections of this report, preliminary communication has been established with relevant experts and institutions, *inter alia*, with the Baltic Marine Biologist Association Group on Non-indigenous Estuarine and Marine Organisms and the International Maritime Organization. In addition, the SCBD participated in the Meeting of Experts to Analyse the Impacts of the introduction of Alien Species to the Southeast Pacific Region, Viña del Mar, Chile, September 1998. During the Brazil workshop on pollinators (see section on agricultural biological diversity), attention was placed on the impact of introduced pollinators, such as honeybee and bumble bee species, on indigenous pollinator species and on plant composition resulting from changes in pollination in agricultural ecosystems. This is a major area of concern addressed in the workshop recommendations.

D. Global Taxonomy Initiative

31. In its decision IV/1D, paragraph 3, the COP requested the SBSTTA to examine and provide advice on further advancement of a Global Taxonomy Initiative (GTI). The Secretariat was informed of the results of a meeting on the GTI convened by DIVERSITAS in collaboration with the Scientific and Technical Advisory Panel (STAP) of the GEF, Environment Australia and a number of taxonomic institutes. A meeting was held in London on 15-16 September 1998 and attended by a member of the Bureau of SBSTTA. A proposal was made at the meeting to develop an umbrella project under the auspices of UNEP for consideration for funding by the GEF and other donors. The Secretariat is liaising with the SBSTTA Bureau member who attended the meeting and with UNEP to explore ways in which it may assist in furthering this initiative.

E. Future work of the Subsidiary Body on Scientific, Technical and Technological Advice

32. The SBSTTA assignments deriving from the fourth meeting of the COP under its different agenda items represented a substantial increase of tasks for the next SBSTTA meeting. In view of this increase in workload, the SBSTTA Bureau recommended during COP-4 that it would not be possible for SBSTTA to properly address all the issues effectively in a single SBSTTA meeting of one week duration. Accordingly, the SBSTTA Bureau proposed that two one-week SBSTTA meetings be held before the next meeting of the COP. The COP, in its decision IV/16, accepted this recommendation.

33. Accordingly, the Secretariat, in consultation with the SBSTTA Bureau, scheduled the two SBSTTA meetings to be held before the fifth meeting of the COP in May 2000, as follows:

- i. The Fourth meeting of SBSTTA will be held in Montreal, 31 May to 4 June 1999, immediately followed by the Intersessional meeting on the Operations of the Convention.

- ii. The Fifth meeting of SBSTTA will be held in Montreal 31 January - 4 February 2000, following the meeting of the *Ad hoc* Working Group on Article 8(j).
 - iii. The Agendas for the SBSTTA meetings were agreed upon during the last meeting of the SBSTTA Bureau, which was held as a teleconference on 22 August 1998, as presented in ANNEX VIII and ANNEX IX. Also presented in ANNEX X and ANNEX XI are the background documents that will be prepared for the fourth and fifth meetings of SBSTTA.
34. The documentation to be prepared for the meetings will consist of concrete, focused technical reports and will include proposed draft conclusions and recommendations for consideration by SBSTTA. The following papers are under preparation for the fourth meeting of SBSTTA:
1. Report on co-operation with other bodies.
 2. Proposal on the draft programme of work of SBSTTA.
 3. Terms of reference for the *ad hoc* technical expert groups meetings.
 4. Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity: drylands, arid, semi-arid, grassland, and savannah ecosystems.
 5. Development of approaches and practices for the sustainable use of biological resources, including tourism.
 6. Synthesis of reports and case-studies relating to environmental impact assessment.
35. Consultants remain to be identified for preparing the background documents on:
- Development of guiding principles for the prevention of impacts of alien species by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for the further development of the Global Invasive Species Programme, with a view to co-operation.
 - Consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity.
36. The papers are planned to be ready by January 1999 so as to be distributed three months before the relevant meeting of SBSTTA in accordance with the revised *modus operandi* adopted in decision IV/16.

Ad hoc technical expert groups

37. According to Annex I to COP decision IV/ 16, on the *modus operandi* of SBSTTA, a limited number of *ad hoc* technical expert groups on specific priority issues in the SBSTTA programme of work might be established for a limited duration. The establishment of such expert groups would be guided by, *inter alia*, the following elements:
- The *ad hoc* technical expert groups should draw on the existing knowledge and competence available within, and liaise with, international, regional and national

organisations, including non-governmental organisations and the scientific community in fields relevant to this Convention;

- The Executive Secretary will nominate scientific and technical experts drawn from the roster for the *ad hoc* technical experts groups in consultation with the Bureau of SBSTTA;
- The SBSTTA will recommend the exact duration and specific terms of reference, when establishing such expert groups, for the approval of the COP;
- The number of *ad hoc* technical expert groups active each year will be limited to the minimum necessary and will depend on the amount of resources designated to the SBSTTA by the COP in its budget or on the availability of extra-budgetary resources.

38. Since SBSTTA is requested to submit the terms of reference of the *ad hoc* technical expert groups for the approval of the COP at its next meeting, it will not be possible to establish such expert groups for the current intersessional period. Intersessional activities until COP-V will mostly consist in convening small liaison groups to assist the Secretariat in preparing the documentation for SBSTTA meetings, as considered under paragraph 9 of Annex I to decision COP IV/16. These liaison groups will be established by the Executive Secretary, in consultation with the Chairman and the other members of the SBSTTA Bureau. Given that work is already ongoing on indicators and agrobiodiversity, the Bureau considered that the creation of an expert group on drylands and biological diversity should receive priority.

Rosters of Experts

39. With respect to decision IV/1 paragraph 4, the SCBD is preparing a roster of experts on indicators for biological diversity, in view of organizing a liaison group on this subject in 1999.

40. As reported in UNEP/CBD/COP/4/24, experts have been nominated by Parties for the thematic rosters that are being established under the Conference of the Parties on marine and coastal biodiversity (74 countries and 1 regional economic integration organization), agricultural biodiversity (70 countries), forest biodiversity (81 countries) and inland water biodiversity (42 countries).

41. Under paragraph 8 of the Annex to decision IV/5, the Executive Secretary should make full use of the roster of experts on marine and coastal biological diversity. With the assistance of an expert seconded by the Government of the Netherlands, the SCBD has explored the ways and means, as well as devised a methodology to promote and enhance the full, efficient and transparent use of the Roster of Experts on Marine and Coastal Biological Diversity. Background documents and a questionnaire have been prepared with the objectives to guide individual experts in familiarizing with the Convention process and to clarify the role which they can play in the process of implementing the Jakarta Mandate on marine and coastal biological diversity. The above mentioned background documents and questionnaire, are scheduled to be sent in the first week of November 1998 to all the names of experts in the existing roster. In addition, a separate letter also has been arranged to be sent to all those countries who have not yet provided SCBD with the names of experts to be included in the Roster of Experts.

Further co-operation with DIVERSITAS and with other institutions.

42. At its fourth meeting, the COP underscored the need for SBSTTA to focus on scientific, technical and technological aspects of the Convention, in accordance with Article 25 of the Convention, and, in its decision IV/1, encouraged SBSTTA to further co-operate with DIVERSITAS and other relevant international, regional and national scientific organisations and institutions, particularly on the issues of identification, monitoring and assessment of biological diversity.

43. In this connection, the SBSTTA Bureau noted at its last meeting that the International Biodiversity Observation Year (IBOY) Programme was launched by DIVERSITAS in March 1998. The IBOY Programme, which aims at elevating biodiversity science to the forefront of the scientific agenda, is a one- to two-year assessment of the state of biodiversity on Earth, to begin in 2001. IBOY recognises the need to fill in the gaps of information about biological diversity and to add to that data about how human societies interact with and depend on natural systems. IBOY will sponsor some short-term, intensive international projects to achieve concrete results. IBOY will supply scientific information to help fulfil the CBD provisions and as such the Secretariat has had preliminary contact with DIVERSITAS and its Secretariat in order to facilitate preparations for close co-operation.

44. In addition, it was agreed that the Secretariat should explore the development of links between SBSTTA and the Global Linkages Assessments Assessment project which is being developed by the Chair of the IPCC. Efforts towards the establishment of a global biodiversity data management were also considered of urgent relevance.

IV/4 Status and trends of the biological diversity of inland water ecosystems
and options for conservation sustainable use

45. In July 1998, the Executive Secretary transmitted decision IV/4 on "Status and trends of the biological diversity of inland water ecosystems and options for conservation and sustainable use" to all National Focal Points and relevant organisations, inviting them to submit relevant information, case studies and inputs to assist the Secretariat in the assessment of the status and trends of the biological diversity of inland water ecosystems and identification of options for conservation and sustainable use. No submissions have yet been received by the Secretariat.

46. To further develop collaboration with the Administrative Committee on Co-ordination (ACC) Subcommittee on Water Resources, the SCBD attended the joint meeting of the ACC Subcommittee on Ocean and Coastal Areas and Water resources in The Hague, The Netherlands, 21-23 June 1998, to report on the outcome of COP-IV.

47. The SCBD has also contributed to the ACC Subcommittee on Water Resources proposal on a compilation of a refined global freshwater resources assessment to meet the needs of integrated water resources management and proposed terms of reference for an *ad hoc* expert group meeting.

IV/5 Conservation and sustainable use of marine and coastal ecosystems,
including a programme of work

48. In July 1998, the Executive Secretary transmitted decision IV/5 to all relevant organizations inviting them to co-operate with the CBD Secretariat in implementing the provisions within the decision. Positive responses have been received from various organizations, *inter alia*, the United Nations Division for Ocean Affairs and the Law of the Sea (UN/DOALOS), the United Nations Framework Convention on Climate Change (UNFCCC), the International Maritime Organization (IMO), the Intergovernmental Oceanographic Commission (IOC), the Convention on Wetlands (Ramsar Convention), the Economic Commission for Latin America and the Caribbean (ECLAC), the Permanent Commission for the South Pacific (CPPS), the South Pacific Regional Environment Programme (SPREP), the Secretariat of the Cartagena Convention/UNEP CAR-RCU, the Regional Activity Centre for Specially Protected Areas (UNEP MEDU/RAC-SPA - Barcelona Convention), the Secretariat of the Kuwait Convention (ROPME), the International Coral Reef Initiative (ICRI), and the International Ocean Institute (IOI), as well as the Advisory Committee on the Protection of the Sea (ACOPS). Preliminary discussions were also held for possible co-operation with the Commonwealth Secretariat, relevant to marine and coastal as well as inland water biological diversity.

49. In their responses, all the above-mentioned organizations have indicated specific activity areas in the implementation of which they are willing to co-operate with SCBD. The CBD Secretariat is currently analyzing the offers for co-operation that were received, and will select those that are fully consistent with the CBD programme of work on marine and coastal biological diversity. This will allow the Secretariat to avoid duplication, harmonize efforts, and implement the programme in a cost-effective way.

50. Special attention has been given by the CBD Parties on the issue of coral reefs. In responding to this particular provision of the decision, the Executive Secretary has been informed by UNFCCC that this issue will be brought to the attention of the Parties of UNFCCC at their next meeting of the Conference of Parties, to be held in Buenos Aires, Argentina in November 1998. A workshop on this and other matters of relevance is planned for the fourth meeting of the COP of the UNFCCC.

51. The SCBD participated in the Workshop on the Ecosystem Approach to the Management and Protection of the North Sea (Oslo, Norway, June 1998) and delivered a presentation on "The ecosystem approach: evolution of the concept and experiences within the context of the Convention on Biological Diversity", with a view to promoting the ecosystem approach concept, its application to the North Sea, and its implementation. The workshop was a successful attempt to test the Malawi principles, with particular focus on the marine and coastal environment of the North Sea. The report will be submitted by the Government of Norway to the next meeting of the SBSTTA.

Integrated Marine and Coastal Area Management (IMCAM):

52. Partner organizations are being approached to discuss the feasibility of joining an informal IMCAM Task Force under CBD. This task force will include: the World Bank, the International Union for the Conservation of Nature - The World Conservation Union (IUCN), the Organization for Economic Co-operation and Development (OECD), UNEP, UNESCO and IOC. Co-operation with UN/DOALOS and the United Nations Development Programme (UNDP). Co-operation in the field of training in IMCAM is being discussed, particularly, as to the assistance the UN/DOALOS-UNDP Train-Sea-Coast Programme could provide to CBD in the field of training in IMCAM.

Marine and Coastal Protected Areas (MCPAs):

53. Discussions have been held with representatives of the National Institute for Coastal and Marine Management of the Government of the Netherlands and the World Bank Environment Department for possible co-operation in the field of marine and coastal protected areas, in particular, focusing on training of MCPA managers. An informal task force on MCPAs is being established, with the purpose of assisting SCBD in the implementation of the MCPA component of the programme of work.

54. The Secretariat held some preliminary consultations with UNEP through the ACC Subcommittees on Oceans and Coastal Areas and on Water Resources, aimed at linking the CBD programmes of work on marine and coastal and inland water biological diversity with efforts within the Global International Waters Assessment (GIWA). Consultations will restore with the appointment of the GIWA Co-ordinator, which is due to happen soon.

Alien species and genotypes:

55. Preliminary communication has been established with relevant experts and institutions, *inter alia*, the Baltic Marine Biologist Association Working Group on Non-indigenous Estuarine and Marine Organism (BMB WG-NEMO), and the International Maritime Organization (IMO).

56. IMO has provided information on its activities relevant to the development of legally binding provisions to minimised risks of introducing harmful aquatic organism and pathogens through ships' ballast water. IMO also confirmed its willingness for closer co-operation and supported the views regarding the need to harmonise the respective work programmes developed under different Conventions.

57. SCBD participated in the Meeting of Experts to Analyze the Impacts of the Introduction of Alien Species to the Southeast Pacific Region (Viña del Mar, Chile, September 1998), with the objective to evaluate the issue of the introduction of alien species and its effects on marine and coastal ecosystems. This meeting was organized by the Permanent Commission for the South Pacific (CPPS) in co-operation with the Government of Chile, with the assistance of SCBD, the latter in the framework of the Memorandum of Co-operation between SCBD and CPPS. Presentation was made by SCBD on a "Global overview of the alien species problems in marine and coastal areas."

58. In addition to the above activities, efforts have also been made by SCBD to discuss the topic with UNEP/GEF staff in connection with the implementation of the project entitled “Development of the Best Practices and Dissemination of Lesson Learned for Dealing with the Global Problem of Alien Species that Threaten Biological Diversity,” in particular to those alien species in the marine environment. Also, co-operation is being sought with the Global Invasive Species Programme of DIVERSITAS.

59. The Secretariat will participate in, and make a presentation to, a Caribbean Marine Biodiversity Workshop (Montego Bay, Jamaica, October 1998), with the aim to promote the implementation of the CBD programme of work on marine and coastal biological diversity in the Wider Caribbean. The same is planned for other relevant regional meetings, to take place in the next biennium.

IV/6 Agricultural biological diversity

Programme of work for Agricultural Biological Diversity (March - October 1998)

60. The fourth meeting of the Conference of the Parties considered the progress report (UNEP/CBD/COP/4/6), prepared by the Executive Secretary in consultation with the Food and Agriculture Organisation of the United Nations (FAO), on the implementation of the work programme on the conservation and sustainable use of agricultural biological diversity. Pursuant to its consideration of this item, the COP adopted decision IV/6, highlighting the need to expedite the implementation of the work programme on the conservation and sustainable use of agricultural biological diversity, in accordance with COP decision III/11, and to initiate work in areas requiring specific attention.

61. In July 1998, the CBD Secretariat transmitted decision IV/6 to Parties, Governments and concerned organisations, reiterating the COP’s invitation for relevant contributions in accordance with its earlier decision III/11. The CBD Secretariat also attended and presented a written statement to the 5th Extraordinary Session of the Commission on Genetic Resources for Food and Agriculture (Rome, June 1998), highlighting the relevant decisions of COP-IV which, *inter alia*, urges maintained momentum in the negotiations of the revision of the International Undertaking on Plant Genetic Resources in harmony with the Convention, with a view to its timely conclusion before the end of 1999.

62. The CBD Secretariat participated in, and presented an opening statement to, the international workshop on the Conservation and sustainable use of pollinators in agriculture with an emphasis on bees, that was organised by the Government of Brazil (São Paulo, October 1998), as a contribution to the implementation of decision III/11. The recommendations of the workshop will be made available before the end of the year with a view to encouraging further consideration of the workshop proposals at national and regional levels in preparation for SBSTTA. In its consideration of agricultural biological diversity, SBSTTA will consider, *inter alia*, the workshop outcome and will provide advice to the COP regarding the promoting of an international initiative in this area. The workshop proceedings will also be published and made available to a wider audience, with a view to catalysing co-ordinated approaches, research and action in the agricultural

and environmental sectors to promote the conservation and sustainable use of pollinators and effective pollinisation of crop species and wild plants.

63. The Secretariat and FAO, with the support of the Government of the Netherlands, are jointly organising an international workshop on Opportunities, incentives and approaches for the conservation and sustainable use of agricultural ecosystems and production systems, to be held in Rome from 2-4 December 1998. Experts from each region, selected using the roster of experts established by the COP, and experts from key organisations and bodies, will contribute to the assessment process at ecosystem level through reviewing case studies, experiences and lessons learnt. The workshop will identify ways and means to provide enabling environments and socio-economic incentives for promoting sustainable agricultural strategies, farming systems and practices, as well as landscape management approaches, that enhance biological diversity and ecosystem functioning. Emphasis will be placed on integrated approaches that optimise co-operation and synergies between sectors and among the diverse actors. The outcome of this consultation is expected to assist SBSTTA in its work, during its fifth meeting in January 2000, in setting priorities and developing advice and recommendations for the further development of the multi-year work programme on agricultural biological diversity, for consideration by the COP at its fifth meeting (May 2000). An analytical matrix has been prepared by the CBD Secretariat and FAO in this regard.

64. In preparation for the fourth meeting of SBSTTA (Montreal, May-June 1999), the Executive Secretary has initiated informal consultations with Parties and organisations regarding the preparation of a scientifically-based background document on the consequences for the conservation and sustainable use of biological diversity of the development and use of new technology for the control of plant gene expression (the so-called "terminator technology"). A consultant will be recruited to assist in preparing the document on the basis of contributions that are being solicited from concerned countries, where possible, following national level discussions, and from competent organisations, technical experts, the private sector, including concerned industries, and civil society.

65. In October 1998, with the assistance of a Programme Assistant/Genetic Resources, on a short-term contract as from June 1998, the CBD Secretariat launched the first stage of its home page on agricultural biological diversity which will be gradually expanded on a monthly basis.

IV/7 Forest biological diversity

66. The SCBD has begun to circulate the pertinent information on COP decision IV/7, on the Work Programme for Forest Biological Diversity. The SCBD was represented at the Second meeting of Intergovernmental Forum on Forest, Geneva, August-September 1998, and, as requested in decision IV/7, its delegate transmitted and presented the decision to the meeting. The meeting also provided the opportunity to further contacts and collaboration with relevant organisations and bodies.

67. The SCBD is also working in close contact with the Secretariat of the Intergovernmental Forum on Forests and has contributed to the revision of the Implementation Plan of the high level Interagency Task Force on Forests.

68. The Secretariat has also been involved in preparations for the Government of Costa Rica and NGOs initiative on the Underlying Causes of Deforestation and Forest Degradation.

IV/8 Access and benefit-sharing

69. During the fourth meeting of the Conference of the Parties, the compilation "Case Studies on Benefit Sharing Arrangements" was distributed to the Parties. The Secretariat is in the process of placing these case studies on the Internet. Based on paragraph 5 of decision IV/8, the Secretariat called for more information on activities and experience in regard to the development and promotion of legislative and administrative measures, policies and programmes to facilitate the distribution of benefits arising from the use of genetic resources, in a letter dated 27 July 1998. In the same letter, addressed to both National Focal Points and relevant organisations, the Secretariat also invited information regarding *ex situ* collections in accordance with paragraph 2 of the decision. The deadline for submission of this information was indicated as 31 October 1998.

70. Pursuant to paragraph 3 of the same decision, the Secretariat invited National Focal Points to nominate experts for access and benefit-sharing in a separate letter, also dated 27 July 1998. These nominations will form a basis to select participants for the Expert Panel on Access and Benefit-Sharing, scheduled to be held on 4 - 8 October 1999. The deadline for the submission was indicated as 30 September 1998. To date, the Secretariat has received nominations from five Governments, with two other Governments indicating delay in submitting their nomination. Preliminary communication has been made with a potential host Government for the meeting of the Expert Panel.

71. The draft agendas for the Intersessional meeting as well as for the meeting of the Expert Panel have been prepared and are presented in Annexes XII and XIII.

IV/9 Implementation of Article 8(j) and related provisions

72. Further to Decision III/14 which established an intersessional process for the implementation of Article 8(j) and related provisions, Decision IV/9 of the Conference of the Parties established the *ad hoc* open-ended intersessional working group on the implementation of Article 8(j) and related provisions, and a short and medium-term programme of work to facilitate the work of the Parties. The COP further called for the submission of additional case studies covering five particular areas specified in para. 10 of the decision and a sixth, relating to Article 8(j) and intellectual property rights.

73. In pursuance to the COP decision, the Secretariat has sent out letters to the focal points, indigenous and local community organizations and other relevant organizations calling for the submission of case studies on the basis of an indicative outline developed by the Secretariat.

74. The COP also requested the Executive Secretary to seek ways to enhance a collaborative relationship with the World Intellectual Property Organization, in order to further address the issue of determining how best to protect the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity (paras 14-17). In this regard, a scheme for the Joint Work Plan (matrix) has been developed in collaboration with the Secretariat of WIPO, and a preliminary talk was held regarding the implementation of the Joint Work Plan.

75. The Secretariat, in consultation with the COP Bureau, has decided that the first meeting of the working group shall take place in Montreal in January, prior to the fifth meeting of SBSTTA (agenda can be found in Annex XIV of this report). This is in accordance with decision IV/9, to allow that advice from the working group feeds into the SBSTTA meeting on issues relevant to its agenda.

76. The Secretariat participated in the Second International Conference on Women in Agriculture, which took place in Washington D.C., June 28-July 2 1998. As a participant on the panel concerning Women's Knowledge, Biotechnology and Food Security - New Models for Partnership in the Next Millennium, the Executive Secretary presented a short paper reflecting the importance of the role of women in the conservation and sustainable use of biological diversity.

77. The Secretariat also participated in a series of key meetings held in Geneva last July: the Roundtable on Intellectual Property and Indigenous Peoples hosted by WIPO (23-24 July), the Inter-agency consultation on indigenous issues organized by the Centre for Human Rights (24 July), the 16th session of the Working Group on Indigenous Populations under the Centre for Human Rights (27-31 July). Among the main themes addressed at the 16th session were: education and language; health; standard setting activities, including principles and guidelines for private sector energy and mining concerns; the final report of the Special Rapporteur on Treaties, agreements and other constructive arrangements between States and indigenous populations; indigenous peoples and their relationship to land; and consideration of the establishment of the Permanent Forum. As a side event to the meeting, a workshop on Article 8(j) of the Convention on Biological Diversity was organized by the SCBD.

78. A site on the homepage of the Secretariat on Traditional Knowledge and Biological Diversity is currently being designed.

1V/10 Measures for implementing the Convention on Biological DiversityA. Incentive measures: consideration of measures for the implementation of Article 11

79. Based on paragraph 1 (g) of decision IV/10 A., the Secretariat invited National Focal Points as well as relevant organizations to prepare case studies on incentive measures in the thematic focus of the fifth meeting of the Conference of the Parties in a letter dated 27 July 1998. With regard to the case studies already received, the Secretariat is in a process of placing them on the Internet.

80. Pursuant to paragraph 5 (b), further collaboration is being developed with the Organizations for Economic Development and Co-operation (OECD) and the World Conservation Union (IUCN), with the aim of developing further analysis on the design and implementation of incentive measures and preparing a background paper for the next meeting of the Conference of the Parties. The Secretariat attended the eighth meeting of the Expert Group on Economic Aspects of Biodiversity of OECD and the International Seminar on Economic Aspects of Biodiversity, jointly held in La Paz, Mexico in late July 1998. The Expert Group is currently preparing a handbook on the implementation of incentive measures. The Secretariat is collaborating in the development of this handbook, as it is considered to form the major input from OECD towards the background document for the next meeting of the COP. The Secretariat also visited IUCN, in conjunction with another mission to Geneva, to discuss the preparation of the background document, as well as relevant activities during the period leading up to the fifth meeting of the Conference of the Parties.

B. Public education and awareness: consideration of measures
for the implementation of Article 13

81. Decision IV/10B was transmitted to National Focal Points as well as to relevant international organizations.

82. With reference to the invitation of the Conference of the Parties to the United Nations Educational, Scientific and Cultural Organization (UNESCO) to consider launching a global initiative on biological diversity education, training and public awareness and to the request of COP to the Executive Secretary to explore the feasibility of such an initiative, informal negotiations were held between the CBD and UNESCO Secretariats on this issue.

83. The CBD Secretariat was informed that UNESCO is in the process of developing a comprehensive proposal for action as a response to the above-mentioned invitation, which will soon be submitted to the Executive Secretary CBD. This proposal identifies the main strategic steps for the elaboration of contents and activities within such a global initiative on biological diversity education, training and public awareness and for their implementation, including funding aspects. It is proposed that a consultation of experts and donor agencies on the Global Initiative be

organized jointly by UNESCO and CBD and hosted by UNESCO. The need for a high-level Report on Biological Diversity Education, Training and Public Awareness, to be initiated in the near future along the lines of the Report to UNESCO of the International Commission on Education for the Twenty-first Century and the Report to UNESCO of the World Commission on Culture and Development ("Our Creative Diversity") (co-ordinated, respectively, by Jacques Delors and Javier Pérez de Cuéllar) may be explored.

84. GEF may be invited, including as a technical partner, to contribute to the development of the Initiative, and to co-fund some of the activities related to the Initiative design. Interested donor countries will also be invited to attend the expert consultation and to consider funding selected activities.

C. Impact assessment and minimizing adverse effects:
consideration of measures for the implementation of Article 14

85. The SCBD attended the Third French-speaking Experts' International Symposium on Impact Assessment (Montreal, 25-27 May 1998) and reported on decision IV/10 (c) on "Impact Assessment and Minimizing Adverse Effects: Consideration of Measures for the Implementation of Article 14".

86. Letters were sent by the Executive Secretary on decision IV/10(c) to all National Focal Points (early-July) and relevant organisations (mid-August) to inform them of the outcome of COP-4 and to ask for reports and case studies.

87. So far the Secretariat has received only one report on "Guidelines for Environmental Assessments and Traditional Knowledge" by the Canadian International Development Agency. A letter of acknowledgement was sent by IUCN and IAIA jointly. A constant communication, through telephone and Email, is kept with IUCN and, through them, IAIA on the issue.

BIOSAFETY UNIT

IV/3 Issues related to biosafety

88. Since the fourth COP meeting, the fifth meeting of the Open-ended *Ad hoc* Working Group on Biosafety was held under the chairmanship of Mr. Veit Koester (Denmark). The fifth meeting was attended by 382 delegates representing 101 Parties, two non-Parties, 6 United Nations agencies, 27 industrial organisations, 4 international and 20 non-governmental organisations.

89. The report of the fifth meeting of the Working Group is contained in the document UNEP/CBD/BSWG/5/3. In addition to the information note entitled "Products thereof" prepared

by the Executive Secretary, the Secretariat prepared notes regarding the proposed work schedule, distribution of Articles among Sub Groups and Aide-Mémoire from the Chair.

90. The sixth and final meeting of the Biosafety Working Group (BSWG) is to be held in Cartagena (Colombia), from 14 to 19 February 1999, followed by an extraordinary meeting of the Conference of the Parties. In order to prepare for and plan this meeting, the Bureau of the Open Ended *Ad Hoc* Working Group met on October 21 and 22, 1998, with the invited participation of the Co-Chairs of the Sub Working Groups and the Contact Groups, and observers from the host country for the 6th meeting (Colombia). On October 23 1998, the Bureau of the Conference of the Parties met with the invited participation of the Chair of the Open Ended *Ad Hoc* Working Group and an observer from the host country for the extraordinary Meeting of the Conference of the Parties (Colombia). The meetings were convened in order to facilitate the organization of work for both the 6th Meeting of the Working Group and the work related to the following Extraordinary Meeting of the Conference of the Parties in Cartagena, Colombia, in February. The meeting began with a report from the secretariat on preparations for BSWG6, specifically on a site visit to Colombia.

91. Responding to a recommendation from the Bureau of the Working Group, the Bureau of the COP agreed to extend the 6th meeting of the Working Group, to provide an opportunity for regional and inter-regional consultations, to include the Saturday and Sunday 13 and 14 of February, and, if requested, Friday the 11 February. No interpretation or other assistance, other than meeting rooms, will be made available for the consultations. It was also agreed that the Working Group proper would commence on Sunday the 14 February 1999.

IMPLEMENTATION AND COMMUNICATION DIVISION

IV/2 Review of the operations of the clearing-house mechanism

92. In light of the recommendations adopted at the XIX Session of the United Nations General Assembly (UNGASS) of promoting synergies among the Rio Convention, the clearing-house mechanism of the CBD in conjunction with the UN Convention to Combat Desertification and the Framework Convention on Climate Change, has initiated a project to promote joint harmonized approaches in the exchange and dissemination of biodiversity-related information. One of the first steps has included the establishment of a list-serv and a joint Internet webpage for the three Rio Conventions with the aim to contribute to the active exchange and discussion of collaborative arrangements in the information management field.

93. The CHM is also involved in a similar initiative with the Biodiversity-Related Conventions (CITES, CMS, RAMSAR and World Heritage). A meeting was held early October for the Secretariat Information Managers to harmonize information elements and promote synergies in the area of information management. A list-serv has also been established for this purpose.

94. In addition, list-servs have also been established for the 110 CHM-National Focal Points and for the CHM Informal Advisory Committee (IAC). The CHM Informal Advisory Committee

met 4 times during the fourth meeting of the COP and consultations are actively being pursued using electronic means.

95. In accordance to COP-4 decisions the NFPs have been requested to report on their progress made to further the implementation of their national CHM initiatives. The information received is being disseminated via the CHM newsletter. Electronic and non-electronic versions of the first 2 issues of the CHM newsletter have been distributed to the National Focal Points, IAC and international community. The third issue has been finalized and will be distributed in October.

96. In light of COP decisions, both the Secretariat and the CHM home pages have undergone considerable updating and development in the period, including the creation of new web pages on National Reports, Agrobiodiversity and shortly the one on Financial Mechanism. An electronic database on scientific and technical co-operation and a biodiversity-related search engine has also been developed for the home page of the Secretariat.

97. With the generous additional voluntary contribution of the Government of Canada, the CHM is, *inter alia*, developing a CD-ROM-based tool-kit to assist NFPs to develop their CHM at the national level.

98. The preparations for the independent review of the pilot phase of the CHM are under way, the review should start at the end of 1998 as requested by COP-4.

IV/14 National reports by Parties

99. The Executive Secretary sent a letter to all national focal points transmitting the decision and requesting all Parties that have submitted an interim national report to submit a full report and those that have not submitted a national report to do so by 31 December 1998.

100. One further national report has been received since the fourth meeting of the COP, bringing the total number of reports received so far to 65, with a further 44 interim/draft reports being also submitted.

101. Arrangements have been made to scan and convert into electronic format all national reports received in hard copy so that they can be posted on the Convention's website. The national reports pages on the website are being redesigned to include better access to information on national biodiversity strategies and action plans. To this end work is underway to identify and obtain copies of completed strategies and action plans.

102. A preliminary draft of the Global Biodiversity Outlook was distributed to members of the SBSTTA and COP Bureaux at COP-4. Work continues on preparing the first draft of the Global Biodiversity Outlook.

103. The Secretariat took part in a regional workshop on national biodiversity planning held in Bahrain from 12-14 October.

104. In light of the 31 December 1998 deadline established by the COP for the receipt of national reports, the Executive Secretary will prepare a revised synthesis of the information contained in the first national report in early 1999. It is the intention to circulate this report, together with a discussion document on the intervals and forms of future national reports, in mid-year well in advance of the fifth meeting of SBSTTA which will consider them.

IV/11 Review of the effectiveness of the financial mechanism

105. COP-IV completed the first review of the effectiveness of the financial mechanism and adopted a number of actions to be taken by the GEF Council for further improving the effectiveness of the financial mechanism. COP-IV also decided that the COP at its fifth meeting will determine terms of reference for the second review of the effectiveness of the financial mechanism.

106. The Secretariat has initiated the preparation of background document for consideration by COP-V in determining terms of reference for the second review. The key areas of concern have been identified and pertinent information collected. It is anticipated that a draft document will be ready for comment by Parties and relevant stakeholders by July 1999.

IV/12 Additional financial resources

107. This decision has been considered in conjunction with decisions III/6 on additional financial resources and II/6 on financial resources and mechanism. Work has continued with in conjunction with the Development Assistance Committee of the OECD in implementing these priorities. Partially as a result of earlier work by the Secretariat two Working Parties of the DAC have taken up these priorities in their work. The Working Party on Statistics recently initiated a programme to a system of reporting on bilateral assistance of developed countries for implementing the CBD and the UNFCCC and CCD. The Working Party on Environment and Development has also initiated a programme to review the policies of bilateral donors in providing assistance to developed countries for implementing these conventions.

108. The Secretariat participated in the fourth International Roundtable Meeting on Finance and Environment convened by UNEP Financial Institutions Initiative in Cambridge on 17-18 September 1998. Opportunities were explored to include a biodiversity component on the agenda of the fifth International Roundtable Meeting on Finance and Environment. The Secretariat is also compiling a data base of institutions which private sources financial assistance for the implementation of the CBD.

IV/13 Additional guidance to the financial mechanism

109. Decision IV/13 contains further guidance for the financial mechanism. The Secretariat conveyed this guidance to the GEF at the 12th meeting of the GEF Council. Consultation on implementation of the guidance is planned for November.

110. The Secretariat has increased its efforts to provide comments on GEF biodiversity project proposals with a view to promoting the consistency of the project proposals with the guidance of the Conference of the Parties. Professional staff in thematic areas have become increasingly involved in developing policy and technical comments on the project proposals. In order to increase flow of information regarding GEF activities, an internal monthly brief on GEF has been circulated within the Secretariat.

111. The Secretariat participated in the GEF task force on incremental costs and the GEF Executive Operations Committee (GEFOP) in the past three months, and attended the following meetings:

- (a) the task force meeting on incremental costs, 8 September 1998;
- (b) the GEF Monitoring and Evaluation Reference Group meeting on Trust Funds, 21 September 1998;
- (c) the First Meeting of the Scientific and Technical Advisory Panel 2 of the GEF, New York, 14-17 September 1998.

112. The twelfth GEF Council meeting was held from October 14 to 16, 1998. The meeting discussed a number of issues that interest the Convention in respect of the actions they need to take in response to the COP-IV instructions. The documents from the GEF Secretariat for this meeting were assessed in the light of the COP guidance. The Officer in Charge of the Convention made a statement to that meeting.

113. The Secretariat launched web pages on the financial mechanism at the website of the Convention which will be gradually updated and expanded on a regular basis. Similar efforts will be made in the area of financial resources.

114. COP-4 requested the Secretariat to advise the Parties on matters relating to recommendations for further guidance to the financial mechanism with respect to: (a) the relationship of any draft guidance to previous guidance and (b) any possible effects of that draft guidance on the implementation of previous guidance from the COP. The Secretariat has begun an assessment of previous COP guidance and the progress of implementation of the guidance. A background document in this respect will be finalized once the recommendations of SBSTTA-4 and 5 meetings become available.

IV/15 The relationship of the Convention on Biological Diversity with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance

115. Implementation of this decision and co-operation in general forms a central element of the entire programme of work for the Convention and the Secretariat. Consequently, this section only highlights important activities which are not covered in other sections.

116. The Secretariat supported and participated in a project on harmonising the reporting requirements of Biodiversity-Related Conventions (CITES, CMS, RAMSAR and World Heritage). The World Conservation Monitoring Centre completed an initial feasibility study the results of which were considered at a meeting in early October for the Secretariat Information Managers. Follow-up to the results of this initiative are expected to form the framework of the Secretariat's activities in this area for the foreseeable future. The first steps in these joint efforts have included, *inter alia*, the establishment by the SCBD of an electronic listserv and a joint Internet webpage.

117. During the period of this report the Secretariat has assisted in the preparations of a series of Global Biodiversity Forums. It supported the 10th Global Biodiversity Forum immediately prior to COP-4, with the Executive Secretary participating in the final session of the meeting. It has also contributed to the planning for similar Forums to be held in conjunction with COP-4 for UNFCCC in November, COP-2 for CCD in November/December and COP-7 of the Ramsar Convention in May 1999.

118. The Secretariat also prepared a report of COP-4 for the UN General Assembly (document A/53/471) and will be presenting this report to the Second Committee of the General Assembly on 22 October. The Secretariat will also participate in the Panel of the Second Committee on "How to achieve a better coherence and improve co-ordination among environmental and environment-related Conventions", to be held on 23 October 1998.

119. The Secretariat has also continued its participation with the Inter-Agency Committee for Sustainable Development in order to assist with preparations for meetings of the CSD and other related matters covered by this Committee. For example, the Secretariat attended the twelfth session of the Inter-Agency Committee for Sustainable Development and reported on the outcome of the fourth meeting of the Conference of the Parties. As the Inter-Agency Committee is not mandated to act on the decisions of other inter-governmental process, the Committee took a note of the report.

120. In a letter dated 24 July 1998, the Secretariat invited Parties to submit relevant information regarding paragraph 12 on sustainable tourism as well as paragraphs 14 - 16 of decision IV/15 on other relevant issues addressed by the Commission on Sustainable Development.

121. The SCBD attended the eighth meeting of the Interagency Steering Committee on Water Supply and Sanitation and the nineteenth session of the ACC Subcommittee on Water Resources, held in Beirut, Lebanon, 28 September-2 October 1998, to follow up with the issues dealt with by the ACC Subcommittee and to inform the Subcommittee on the COP decision IV/4 on biological diversity of inland water ecosystems. During the meeting, the ACC Subcommittee Secretariat underscored the importance of the biodiversity prospecting and supported its inclusion in carrying out ACC activities on water resources. Co-operation with the other partner organisations of the ACC subcommittee is planned, particularly with regard to co-ordination arrangements - as a follow-up to the decisions of the sixth session of the Commission on Sustainable Development (New York, 20 April-1 May 1998) - including a compilation of freshwater assessments.

122. With regard to the relationship with the ACC Subcommittee on Oceans and Coastal Areas, the SCBD attended the informal meeting of the ACC Subcommittee on Ocean and Coastal Areas as well as the joint informal meeting of the above-mentioned subcommittee and the ACC

Subcommittee on Water Resources (held in the Hague, Netherlands, June 1998), which focused on the Global Plan of Action on Land Based Sources of Pollution (GPA). The SCBD was also invited to join the ACC Subcommittee on Ocean and Coastal Areas. Subsequently, the SCBD is expected to contribute to the report of the subcommittee to CSD-7 which will focus on the themes: Oceans and Coastal Areas, and Small Island Developing States.

123. In view of the preparation of the Annual Report of the Secretary-General on the Oceans and the Law of the Sea to the Fifty-third Session of the General Assembly, SCBD has provided input to UN/DOALOS in August 1998, reporting on activities in the field of marine and coastal biological diversity within the Convention, including the programme of work within the Jakarta Mandate on marine and coastal biological diversity.

124. With a view to further developing co-operation with the Rio Conventions, on 31 July 1998, the Executive Secretaries of the Convention on Combating Desertification and this Convention signed a Memorandum of Co-operation which identified general measures for co-operation and specific proposals on : 1) joint activities to support implementation of the conventions, 2) the development of joint scientific assessments, 3) synergies in information management, 4) liaison arrangements in New York.

125. The Secretariat will play an active part in the COP-2 of the CCD. It includes the preparation of a preliminary assessment of the status of biodiversity in drylands, as part of its preparation for SBSTTA-4 which it hopes to present to the COP to assist their scientific advisory body (the Committee on Science and Technology) in its deliberations. A presentation to the COP on behalf of the Executive Secretary is also planned.

126. Preparations for the COP-4 of the UNFCCC are well advanced. A submission on behalf of the Executive Secretary to the COP is planned. The Secretariat will also hold a workshop on the relationship between the CBD and the FCCC.

127. The Secretariat prepared a note to the Committee on Trade and Environment (CTE) of the World Trade Organisation (WTO) for its session on 23 - 24 July 1998, in particular, the information session with multilateral environmental agreements. The note is contained in document WT/CTE/W/92. The Executive Secretary attended the session and presented the note. A preliminary talk with WTO was held on the implementation of paragraph 9 of the decision.

128. The SCBD maintained regular contacts aiming at enhancing co-operation, *inter alia*, with the Secretariat of the Wetlands Convention, UNESCO and its IOC, UN/DOALOS, as well as UNEP's Regional Seas Secretariats. In June 1998, a Memorandum of Co-operation was signed between the Secretariat of CBD and CPPS, who also provides the Secretariat of the Action Plan for the Protection of the Marine Environment and Coastal Areas in the Southeast Pacific.

IV/16 Institutional matters and the programme of work

129. Decision IV/16 took a number of important decisions with respect to the operations of the Convention and its future programme of work. Implementation of many of the elements of this

decision have been covered in other parts of this report, for example, the revised modus operandi of the SBSTTA has been the basis for the preparation of SBSTTA/4 and SBSTTA-5.

130. Preparation of the intersessional meeting on the operations of the Convention are well advanced. A preliminary agenda for the meeting was distributed to the Bureau of the Conference of the Parties in August 1998 and is contained in Annex XII. An outline for the handbook that relates the decisions of the COP and other relevant material to the operations of the Convention, to the text of the Convention. Consultations have begun with Parties on the basis of this outline as to the exact nature of the handbook. Further assistance with respect to the preparation of the handbook of the operations of the Convention been identified. Work on a preliminary draft of the comparative review of the operations of other conventions in co-operation with the Erasmus University of Rotterdam, the Netherlands, has begun.

ANNEX I

LIST OF MEETINGS TO BE ORGANIZED BY THE
SECRETARIAT OF THE CONVENTION ON BIOLOGICAL DIVERSITY
(As of 23 October 1998)

<u>Dates</u>	<u>Meeting Title</u>	<u>Venue</u>
2 - 4 December 1998	Workshop on Agrobiodiversity Assessment	Rome
14 - 19 February 1999	Sixth Meeting of the Open-ended <i>Ad Hoc</i> Working Group on Biosafety	Colombia (Tentative)
22 - 23 February 1999	Extraordinary Meeting of the COP	Colombia (Tentative)
31 May - 4 June 1999	Fourth Meeting of SBSTTA	Montreal
7 - 9 June 1999	Intersessional Meeting on the Operations of the Convention	Montreal
4 - 8 October 1999	Expert Panel on Access and Benefit-sharing	To be determined
Nov. - Dec. 1999	<i>Ad Hoc</i> Technical Expert Group Meetings under SBSTTA	Montreal
24 - 28 January 2000	<i>Ad Hoc</i> Working Group on Article 8 (j)	Montreal
31 January - 4 February 2000	Fifth Meeting of SBSTTA	Montreal
15 - 26 May 2000	Fifth Meeting of the COP	Nairobi

ANNEX II

STATUS OF IMPLEMENTATION OF THE AGREED ADMINISTRATION ARRANGEMENTS

I Personnel Arrangements

1. The Executive Secretary of the CBD, Mr. Calestous Juma decided not to seek renewal of his contract when it expires on 31 October 1998. Mr. Juma proceeded on annual leave with effect from 1 September 1998. In keeping with the Administrative Arrangements, a new Executive Secretary will be appointed by the Executive Director of UNEP after consultation with the Conference of the Parties through its Bureau. In the interim, the Executive Director of UNEP, after informal consultations with members of the Bureau, has appointed Mr. Hamdallah Zedan as Officer-in-Charge with effect from 1 October 1998.
3. This applies as per Administrative Arrangements.
4. In keeping with the Administrative Arrangements, posts and their levels have been established by the Conference of the Parties for classification and recruitment purposes.
4. In keeping with the Administrative Arrangements, recommendations for promotion or non extension of contracts of staff up to the D1/L6 level are being made by the Executive Secretary.
5. The COP approved 22 Professional posts and 18 General Service posts for 1998. As at 1 October 1998, 11 Professional staff and 8 General Service staff have been regularized (gone through the recruitment process). 4 Professional posts and 5 General Service posts have been filled by temporary incumbents. The 12 vacant posts will be filled in the near future or in some cases, immediately after a new Executive Secretary has been appointed. In addition, there are 6 staff members funded from other sources (UNEP, FAO, UNESCO, Italy). Refer to **Appendix 1**.
6. Although the Administrative Arrangements were signed in June 1997, it has not been possible to constitute an Appointment and Promotion Board at the SCBD as a result of the unavailability at the seat of the Secretariat of sufficient staff at the required level for an APB. It should also be pointed out that panels to prepare short lists and advise the Executive Secretary on the most suitable candidates for P5 and D1 positions will however draw upon staff from UNEP Headquarters unless the Bureau advises otherwise. The same holds true for the APB.
7. This applies as per the Administrative Arrangements.
8. In keeping with the Administrative Arrangements, a CBD staff representative body has been established. It was created in September 1997. The Executive Committee (3

professionals and 3 general service staff), in response to issues brought to its attention by staff members, has had a series of ad hoc meetings to discuss issues with staff and management and subsequently follows up with a view to seeking appropriate solutions, for example, regarding promotions, transfers, the allocation of special post allowances for those fulfilling higher level functions for substantive periods, contractual problems, and so forth. An important role has been the active involvement of the EXCOM in all departmental panels during the selection and recruitment process for CBD Secretariat posts. The Staff Association is pleased to report that its letter dated 14 July 1998, outlining many outstanding staffing problems and concerns, which emanated from a lengthy meeting with the Executive Secretary, is now receiving attention by UNEP/UNON. This welcome support and the recent filling of the administrative posts is helping substantively in improving communications between the Secretariat and Nairobi with a view to resolving issues and concerns that have been raised.

9. This applies as per the Administrative Arrangements.
10. This applies as per the Administrative Arrangements.
11. In keeping with the Administrative Arrangements, job descriptions are prepared and submitted to UNON by the Executive Secretary for posts approved by the Conference of the Parties.
12. The recruitment process is being carried out in keeping with the Administrative Arrangements with the exception of a review by the SCBD APB/APP referred to in sub-para (d) which, as mentioned earlier paragraph 6 above has not been established.
13. There are 9 short-term staff members on board (4 professionals and 5 general service) and the Executive Director of UNEP recently approved their extensions up to 30 June 1999.
14. In keeping with the Administrative Arrangements, the selection and terms of employment of consultants has been determined by the Executive Secretary.
15. Job classifications for GS staff have followed ICAO standards. The procedures for selecting, recruiting and renewing contracts of GS staff have been similar to that of professional staff.
16. This applies as per the Administrative Arrangements.
17. This applies as per the Administrative Arrangements.
18. This applies as per the Administrative Arrangements.
19. This applies as per the Administrative Arrangements.

II. Financial Arrangements

20. This applies as per the Administrative Arrangements.
21. This applies as per the Administrative Arrangements.
22. This applies as per the Administrative Arrangements.

III. Contributions and Funds

23. Some countries have pledged additional voluntary contributions to support the approved activities under the Convention on Biological Diversity.

The United Kingdom and Australia have contributed funds specifically for the recruitment of additional staff. Canada has contributed and the United Kingdom has pledged funds for the Clearing House Mechanism. Other pledges include that of the Slovak Republic for the Host Government Agreement for COP-4 (BE Trust Fund).

The Secretariat has also received pledges of US\$15,000 from the Government of Denmark and US\$20,000 from the Government of Switzerland in support of the Intersessional meeting of the Extended Bureau of the Biosafety Working Group.

As at 30 September 1998, a total of US\$752,467 was pledged for 1998 as additional voluntary contributions to facilitate the Participation of Parties in the Convention process. This includes pledges from Austria, Canada, Finland, Ireland, Norway, the Republic of Korea, Switzerland and the United Kingdom (BZ Trust Fund).

24. This applies as per the Administrative Arrangements.
25. This applies as per the Administrative Arrangements.
26. This applies as per the Administrative Arrangements.
27. The Trustees (rather than the SCBD) have sent invoices in 1997 and 1998 to the 174 Parties to the Convention listed in Appendix 1 to Annex 1, Part A of Decision III/24, inviting them to pay their contributions to the Trust Fund for 1998 and 1999. The Statement of Contributions to the CBD Trust Fund, as provided by the Trustee as at 30 September 1998 is attached as Annex VII to this report.

As per the Administrative Arrangements, it has not been possible to send invoices from the Secretariat to the Parties to the Convention, however, since the Chief of Fund and Administration has now been recruited, invoices for the next biennium will be sent out from the Secretariat.

An increase in the payment of contributions by the Parties to the Convention to the various Trust Funds has been noted in comparison to previous years. Continuing this effort will help avoid potential cash flow difficulties.

28. In keeping with the Administrative Arrangements, UNEP routinely advises the Executive Secretary of the receipt of contributions and acknowledges receipt to the donors. UNEP also provides the Executive Secretary with an up-to-date report of the status of the pledges, and payments of contributions. The monthly status of expenditures are produced by the SCBD.

As of the end September 1998, expenditures incurred by the Secretariat amounted to approximately US\$5,506,614 in 1998 and covered costs such as staff salaries, consultants, travel, communication and UNEP programme support costs. A summary of these expenditures is attached as **Appendix 2** to this report. The status of the trust funds as at 31 October 1998 is attached as **Appendix 3**.

IV. Treasury

29. This applies as per the Administrative Arrangements.

V. Budget

30. With regard to budgetary matters, as at 31 October 1998, of the total pledged contributions of US\$5,963,226 for 1998 to the BY Trust Fund, the total contributions received amount to US\$4,029,493. Total unpaid pledges to the BY Trust Fund for 1998 and prior years amounts to US\$2,973,816.

Several Parties also contributed additional voluntary funds in support of approved activities under the Convention (BE Trust Fund). The total pledged for 1998 for this purpose was US\$695,979. Total unpaid pledges for 1998 and prior years to the BE Trust Fund is US\$7,200.

Further contributions totalling US\$852,467 were pledged for additional voluntary contributions to facilitate the participation of Parties to the Convention Process (BZ Trust Fund). Total unpaid pledges for 1998 and prior years to the BZ Trust Fund is US\$406,435. See Annex VI for details.

31. In keeping with the Administrative Arrangements, the Executive Secretary has prepared draft allotments and staffing tables for activities under the Convention's budget for final approval by the Executive Director of UNEP. The relevant staffing tables as well as the organigram for the SCBD are attached as Annex III and IV to this report. The organigram may be revised as and when required.
32. This applies as per the Administrative Arrangements.

VI Accounting and Reporting

33. This applies as per the Administrative Arrangements.
34. This applies as per the Administrative Arrangements.
35. This applies as per the Administrative Arrangements.
36. In keeping with the Administrative Arrangements, UNEP/UNON provides the Executive Secretary with up-to-date information on the status of allotments, trial balance and unliquidated obligations. Recently this procedure has been improved to permit the SCBD direct access to the UNEP/UNON accounting system through a computer link.

VII Procurement of Goods and Services

37. This applies as per the Administrative Arrangements.
38. This applies as per the Administrative Arrangements.

VIII Reimbursement for Services provided to the Secretariat

39. This applies as per the Administrative Arrangements.
40. At present two UNEP staff members, the Chief, Fund Management and Administration, and the Administrative Officer are funded from the programme support funds.

IX Conference and Other Services

41. UNEP/UNON in full co-operation with the Executive Secretary of the CBD has facilitated the co-ordination and provision of conference services to the COP-4 sessions and bureau meetings and to the Biosafety Protocol meetings.

X Revision of this Agreement

42. To date, neither party has requested any changes to these Administrative Arrangements.

APPENDIX 1**Posts approved by COP for 1998 (CORE BUDGET)**

Status	Professional Posts	General Service Posts
Regularized posts	11	8
Temporarily filled posts	4	5
Vacant posts	7	5
TOTAL	22	18

Other Sources of Funding in 1998

Posts funded from Other Sources in 1998	Professional Posts	General Service Posts
Programme Officer, UNEP	3	0
Programme Officer, FAO	1	0
JPO, Government of Italy	1	0
Programme Officer, UNESCO	1	0
TOTAL	6	0

Additional NEW Posts approved by COP for 1999

NEW POSTS	DIVISION	LEVEL
<i>Professional posts</i>		
Scientific & Technical Information Officer	Biosafety	P3
Inland Waters, PO	STTM	P4
Scientific & Technical Assessment, PO	STTM	P4
Access & Benefit Sharing, PO	STTM	P3
Editor	Support Services	P4
<i>General Service posts</i>		
Programme Assistant	STTM	GS
Programme Assistant	I&C	GS

New Posts to be funded from Other Sources in 1999		
Taxonomy, PO (Australia)	STTM	P4
Finance & Contributions, PO (UNEP)	Support Services	P3
Contributions Assistant (UNEP)	Support Services	GS
Fund Management Assistant (UNEP)	Support Services	GS

Appendix 2

UNEP-SCBD

SUMMARY OF EXPENDITURES as at 30 September 1998

	1998	US\$
STAFF		
Professionals		1,692,873
General Service Staff		447,621
Consultants		60,379
TRAVEL		448,658
SUB-CONTRACTS		77,409
MEETINGS		
Conference Servicing		650,993
Participants costs		1,191,818
EQUIPMENT AND PREMISES		
Equipment		47,898
Premises		14,938
MAINTENANCE		24,175
REPORTING		28,252
COMMUNICATIONS		175,389
HOSPITALITY		12,706
TOTAL		4,873,110
UNEP Programme Support Cost (13%)		633,504
GRAND TOTAL (US\$)		5,506,614

Appendix 3

**GENERAL TRUST FUND
FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS
IN SUPPORT OF APPROVED ACTIVITIES
UNDER THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)**

Status as at 31 October 1998

<u>COMMITTABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	257,568
Contributions Received in 1998	840,856
Interest Accrued in 1998	12,194
Adjustment to correct wrong income in 1997	(200,000)
A. Committable Resources	910,618
<hr/>	
<u>ALLOCATION APPROVED BY THE EXECUTIVE DIRECTOR:</u>	
Allocation issued by the Executive Director in 1998	487,716
<hr/>	
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998	431,607
Approved Provisions for Programme Support Costs (PSC) for 1998	56,109
B. Total Approved Commitments & PSC for 1998	487,716
<hr/>	
C. Balance Available after Commitment in 1998 (A-B) *	422,902

* See details of contributions to the BE Trust Fund in Annex V to this report

GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)	
Status as at 31 October 1998	
<u>COMMITABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	6,704,669
Contributions Received in 1998	4,740,357
Interest Accrued in 1998	367,844
A. Commitable Resources	11,812,870
<u>ALLOCATION:</u>	
Allocation issued by the Executive Director in 1998	11,458,467
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998	7,965,040
Approved Provisions for Programme Support Costs (PSC) for 1998	1,035,455
B. Total Approved Commitments & PSC for 1998	9,000,495
C. Balance Available after Commitment in 1998 (A-B)	2,812,375
<u>PROPOSED COMMITMENTS:</u>	
Proposed Commitments for 1998	(2,604,000)
Approved Provisions for Programme Support Costs (PSC) for 1998	(338,520)
D. Total Proposed Commitments & PSC for 1998	(2,942,520)
E. Total Approved & Proposed Commitments & PSC provisions (B+D)	6,057,975
F. Balance Available after Commitments and Proposal (A-E)	5,754,895

GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)	
Status as at 31 October 1998	
<u>COMMITTABLE RESOURCES FOR 1998/1999:</u>	US\$
Balance available as at 01 January 1998	(9,521)
Contributions Received in 1998	1,416,145
Interest Accrued in 1998	34,846
Programmable income based on pledge	0
A. Committable Resources	1,441,470
<u>ALLOCATION:</u>	
Allocation issued by the Executive Director in 1998	1,079,207
<u>APPROVED COMMITMENTS:</u>	
Approved Commitments for 1998	955,050
Approved Provisions for Programme Support Costs (PSC) for 1998	124,157
B. Total Approved Commitments & PSC for 1998	1,079,207
C. Balance Available after Commitment in 1998 (A-B) *	362,264

* See details of contributions to the BZ Trust Fund in Annex VI to this report

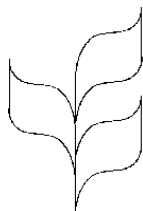
ANNEX III**List of Personnel - Secretariat of the Convention on Biological Diversity**

NAME	DIVISION/UNIT	Post Level	Status *
ZEDAN, Hamdallah	Officer-in-Charge (Executive Direction & Management)	D2	Officer-In-Charge. Post advertised
SCHENBERG, Ana Clara	Principal Officer (STTM)	D1	Short-term contract. Post advertised
MAHON, Desmond	Senior Programme Officer (Biosafety Protocol)	P5	Short-term. Post advertised
AUER, Marc	Programme Officer, (Implementation & Communication - CHM)	P4	Regularized
GROSS, Anthony	Programme Officer (Implementation & Communication - National Repts & Reviews)	P4	Regularized
JOHNSTON, Sam	Programme Officer (Implementation & Communication - Fin. Resources & Instruments)	P4	Regularized
LE DANFF, Jean-Pierre	Programme Officer (STTM - Terr. Ecosystem)	P4	Regularized
TAKASE, Chikako	Economist (STTM)	P4	Regularized
RATTRAY, Michele	Chief, Fund Management & Administration (Administration)	P4	Regularized - UNEP
ARICO, Salvatore	Programme Officer (S TT M - Jakarta Mandate) - UNESCO	P4	UNESCO - Seconded
BUNNING, Sally	Programme Officer (STTM - Genetic Resources / Agrobiodiversity)	P4	FAO - Seconded
SENDASHONGA, Cyriaque	Programme Officer (UNEP/GEF)	P4	UNEP - Seconded
PRANOTO, Ina	Programme Officer (STTM -Jakarta Mandate)	P3	Regularized
PATEL, Neeyati	Administrative Officer (Administration)	P3	Regularized - UNEP
MARRIE-FOURMILLE, Henrietta	Programme Officer (STTM - Indigenous Knowledge)	P3	Regularized. Post to be re-classified to P4
XIANG, Yibin	Programme Officer (Implementation & Communication - Finance Resources & Instruments)	P3	Regularized
GUPTE, Anupa	Associate Environmental Affairs Officer (Biosafety Protocol)	P2	Regularized
TORRES, Beatriz	Information Officer (Implementation & Communication - CHM)	P2	Regularized. Post has been re-classified to P3 - effective 1999
REY-COQUAIS, Stéphane	Internet & Elect. Communication (Implementation & Communication - CHM)	P2	Short-term contract. Post has been re-classified to P3 -effective 1999
YONGO, Thomas	Associate Legal Affairs Officer (Biosafety Protocol)	P2	Regularized
SOLIMAN, Mary	Associate Meetings Service Officer (Administration)	P2	Short-term contract -Post to be re-classified to P3

NAME	DIVISION/UNIT	Post Level	Status *
DI GIOVANNI, Barbara	Junior Professional Officer (STTM - Jakarta Mandate)	P2	JPO - ITALY
TUDOR, Ingrid	Finance Assistant (Administration)	G8	Regularized
PAGLIA, Fil	Secretary (Executive Direction & Management)	G7	Regularized
FON SING, Fabienne	Information Officer (Executive Direction & Management)	G7	Short-term contract
HAMEL, Denis	Programme Assistant (STTM (Terrestrial. Ecosystem)	G6	Regularized
PENNEY, Sandra	Programme Assistant (Implementation & Communication)	G6	Regularized
CHIASSEON, Monique	Programme Assistant (I&C / National Reports & Reviews)	G6	Regularized
DEJON, Francis Alain	Comp. Operations Assistant (Implementation & Communication - CHM)	G6	Regularized
RUBELLO, Leticia	Programme Assistant (STTM)	G6	Short-term contract
ANDERSON, Ione	Programme Assistant (STTM - Genetic Resources / Agrobiodiversity)	G6	Short-term. Submitted to APP
VELARDE, Frida	Secretary (Executive Direction & Management & Administration)	G6	Short-term. Submitted to APP
STEPIC, Dubravka	Temporary Assistance (Implementation & Communication -CHM)	G5	Short-term contract
HARRISON, Michèle	Messenger (Administration)	G4	Regularized
BOUTIN, Lise	Receptionist (Administration)	G4	Regularized
* All posts have been classified			
Note: Some 1998 posts will be re-classified as approved at COP-4.			
NEW POSTS	DIVISION	LEVEL	
Scientific & Technical Information Officer	Biosafety	P3	
Inland Waters, PO	STTM	P4	
Scientific & Technical Assessment, PO	STTM	P4	
Access & Benefit Sharing, PO	STTM	P3	
Editor	Support Services	P4	
Programme Assistant	STTM	GS	

NAME	DIVISION/UNIT	Post Level	Status *
Programme Assistant	I&C	GS	
NEW POSTS funded from Other sources			
Taxonomy, PO	STTM	P4	Seconded by Australia
Fin& Contributions Off.	Support Services	P3	UNEP
Contributions Assistant	Support Services	GS	UNEP
Fund Management Assistant	Support Services	GS	UNEP
Finance Assistant	Support Services	GS	UNEP

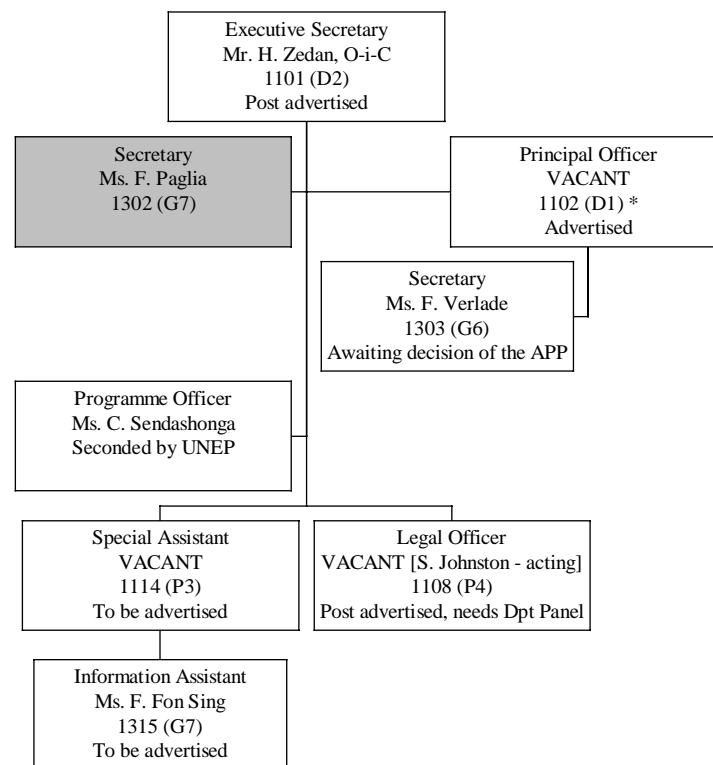
ANNEX IV



ORGANIZATIONAL CHART OF THE CBD SECRETARIAT

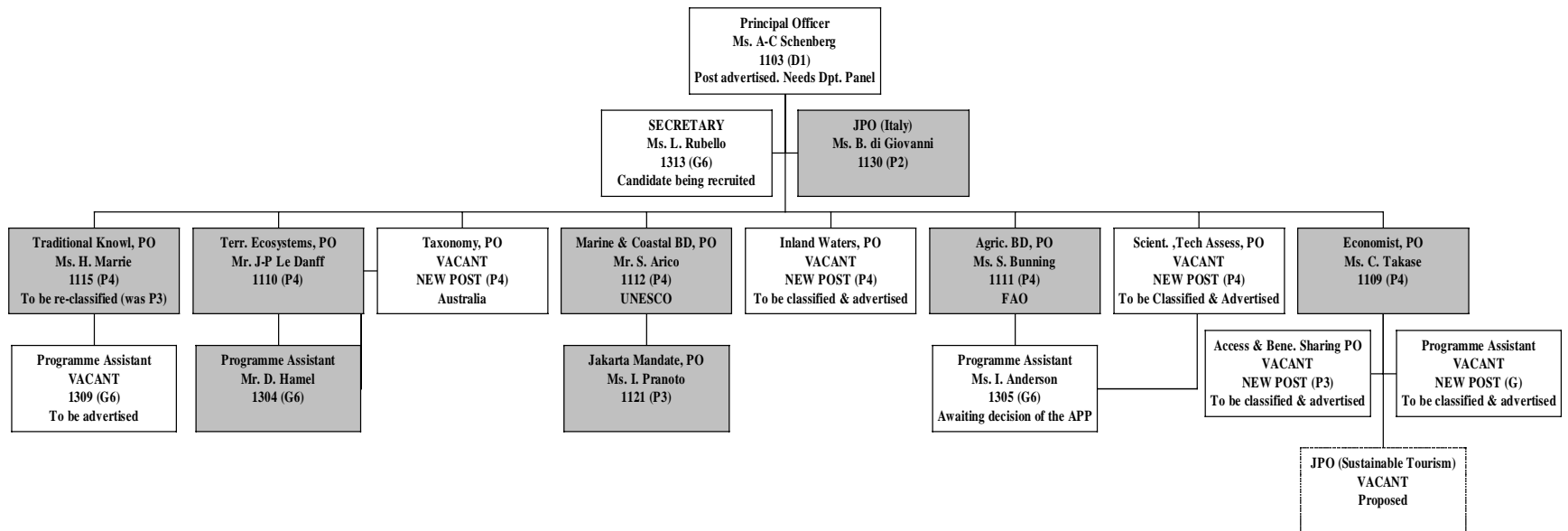
Effective 1 October 1998

Executive Direction, Management & Inter-governmental Affairs

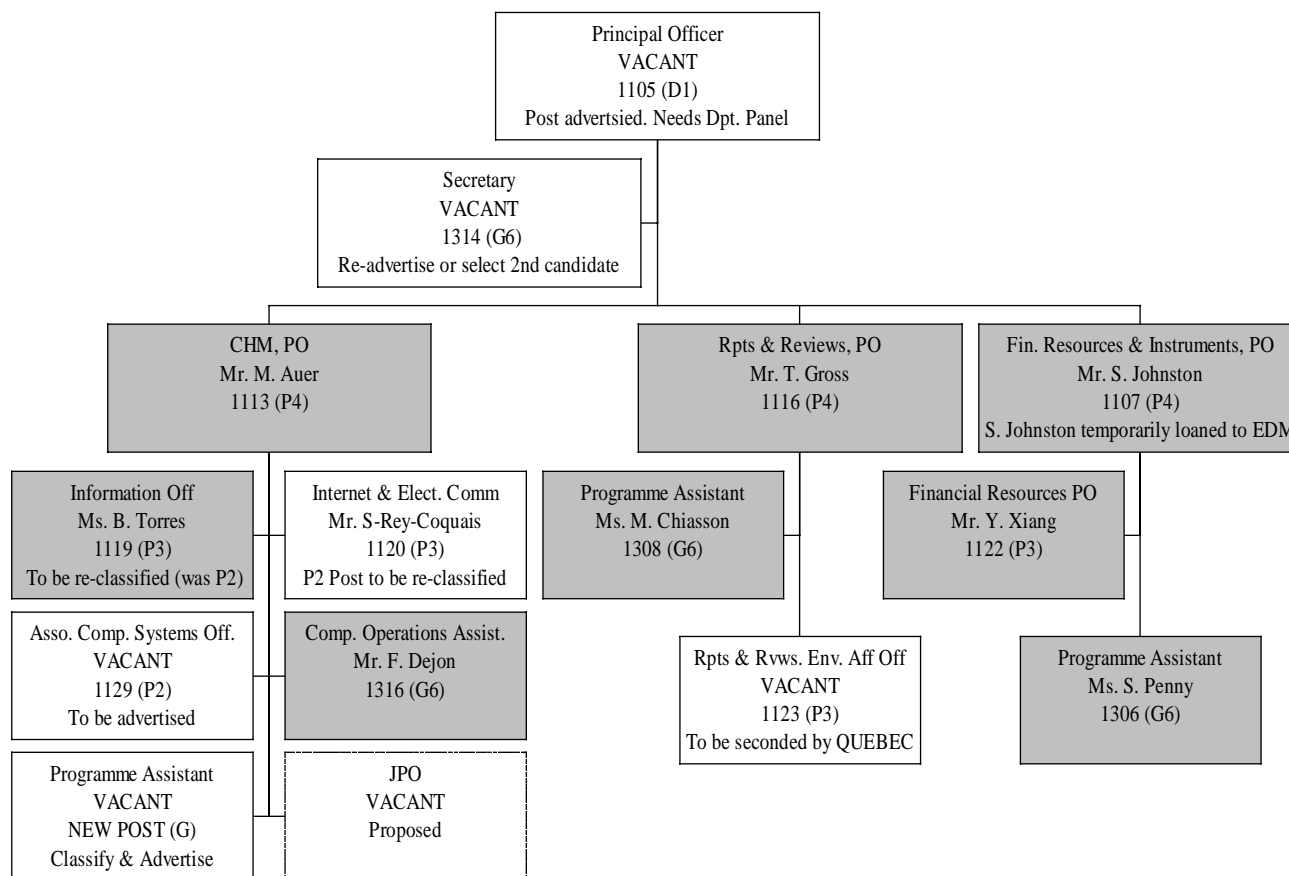


* The Principal Officer in this Division will also perform the duties of the D1 in the Support Services Division

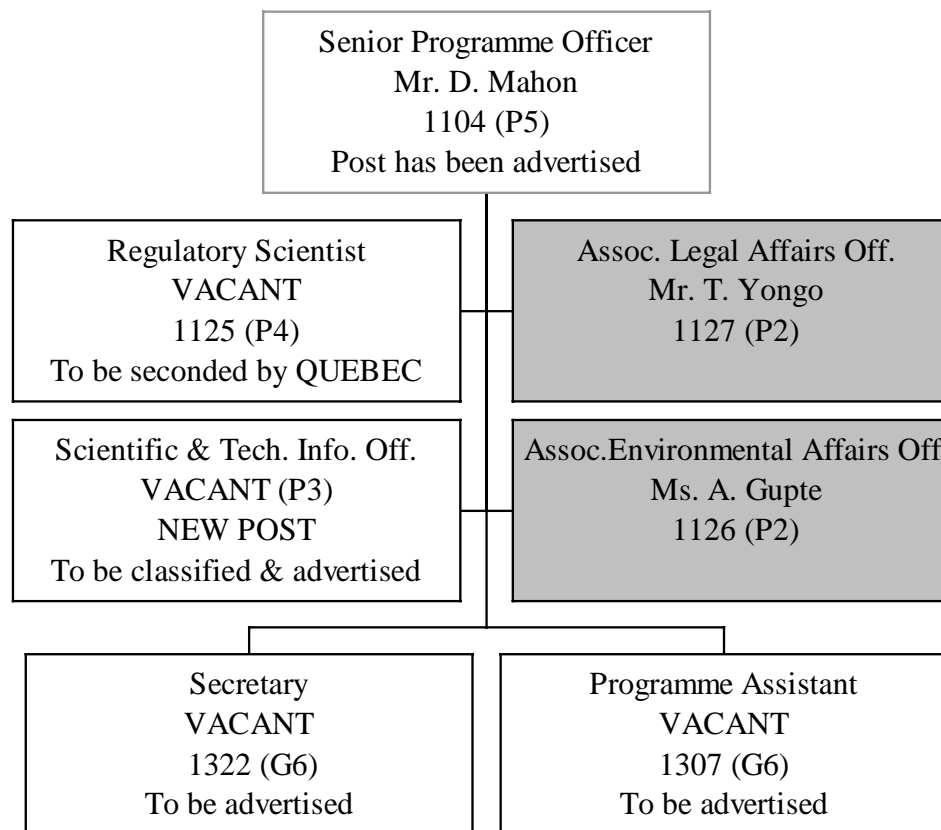
Scientific, Technical & Technological Matters



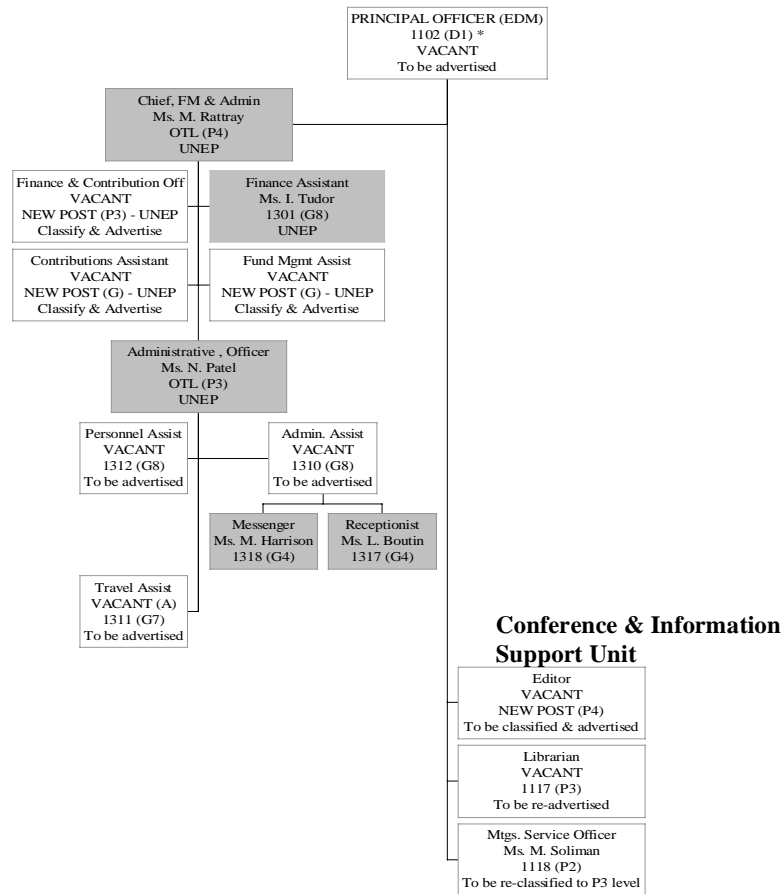
Implementation & Communication



Biosafety



Support Services



* The Principal Officer in the Executive Direction, Management and Intergovernmental Affairs Programme will also perform the duties of the D1 in the Support Services Division

ANNEX V

**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS
IN SUPPORT OF APPROVED ACTIVITIES UNDER THE CONVENTION ON BIOLOGICAL DIVERSITY (BE)**

Status of Contribution as at 31 October 1998 (in United States dollars)

COUNTRIES	Unpaid Pledges as at 31.12.97	Adjustments	Pledges for 1998		Collections in 1998 for prior years	Collections in 1998 for 1998 & futures yrs	Unpaid pledges for 1998 & prior years
Australia	0	0	50,000	8/	0	50,000	0
Canada	0	0	68,143	10/	0	68,143	0
Netherlands	5,200	0	0	2/	0	0	5,200
Slovak Rep.	14,672			3/	14,672		0
Slovak Rep.	0	0	416,536	6/		416,536	0
Spain	82,233			4/	82,233		0
Switzerland	2,000	0	0	5/	0	0	2,000
U.K.	47,972	0	57,567	1/	49,950	0	55,589
U.K.	0	0	33,300	7/	0	33,300	0
FAO	0	0	128,000	9/	0	128,000	0
	152,077	0	753,546		146,855	695,979	62,789

1/UK pledged UKP30,000+4,540 for Kate Ten Tate & Kew Gardens secondment.

2/Netherlands contribution towards travel & lodging expenses of the 11 participants "Meeting of the Liaison Group on Biological Indicators project no. WW113407 (moved from BZ)

3/ Slovak: COP Bureau Meeting Bratislava -December '97

4/ Spain's pledge for workshop on traditional knowledge

5/ Switzerland: COP Bureau Meeting (June-July 1997)

6/ Slovak - Host Country Agreement COP-4

7/ First meeting of CHM (UKP 20,000- 20% of 100,000)

8/ Australia voluntary contribution to the CBD -1998

9/Secondment of Ms. Bunning to CBD

10/Canada (CND\$100,000) contribution for clearing house mechanism

ANNEX VI

**GENERAL TRUST FUND FOR ADDITIONAL VOLUNTARY CONTRIBUTIONS TO FACILITATE THE PARTICIPATION OF
PARTIES IN THE PROCESS OF THE CONVENTION ON BIOLOGICAL DIVERSITY (BZ)
Status of Contribution as at 31 October 1998 (in United States dollars)**

COUNTRIES	Unpaid Pledges as at 31.12.97	Adjustments		Pledges for 1998	Collections in 1997 for 1998	Collections in 1998 for prior years	Collections in 1998 for 1998 & fut yrs	Unpaid pledges for 1998 & prior years
Australia	0	0	17/	39,918	0	0	39,918	0
Austria	(30,000)	0	3/	30,000	30,000	0	0	0
Canada	0	0	8/	150,000	0	0	144,814	5,186
Canada	150,000	0	18/	0	0	150,000	0	0
Denmark	20,000	0	4/	0	0	0	0	20,000
EEC	148,987	0	1/	0	0	48,758	0	100,229
Finland	(20,000)	0	10/	20,000	20,000	0	0	0
Finland	20,000	0	14/	0	0	0	0	20,000
Ireland	0	0	9/	43,335	0	0	43,315	20
Japan	523,931	0	12/	0	0	523,931	0	0
Netherlands	100,000	0	2/	0	0	0	0	100,000
Netherlands	0	0	22/	100,000	0	0	0	100,000
Norway	0	0	19/	38,918	0	0	38,918	0
Norway	0	0	20/	54,000	0	0	54,000	0
Rep. Korea	0	0	11/	50,000	0	0	0	50,000
Sweden	0	0	21/	32,097	0	0	32,097	0
Switzerland	15,000	0	13/	0	0	0	0	15,000
Switzerland	0		6/	65,000	0	0	65,000	0
Switzerland	25,000		15/	0	0	25,000		0
Switzerland	17,194		7/	0	0	17,194		0
UK	0	0	5/	96,000	0	0	100,000	(4,000)
UK	0	0	16/	133,200	0	0	133,200	0
Total	970,112	0		852,467	50,000	764,883	651,261	406,435

1/ EEC contribution towards cost of least developed countries (60% of ECU75,000)

- 2/ Netherlands contribution towards third meeting of the conference of parties to the Convention on Biological Diversity project no.WW110931-US\$100,000
- 3/ Austria additional contribution to cover the travel costs for the participants to the 3rd meeting of biosafety working group.
- 4/ Denmark contribution towards travel costs for participants from developing countries i.e. Estonia, Latvia, Lithuania to travel to the regional meeting before COP-4 in 1998
- 5/ UK: Contributions towards travel costs of Biosafety 4; COP-4 (UK Pound 60,000)
- 6/ Switzerland contribution to cover the participation of African countries & countries with economy in transition to the activities of the Ad'hoc Working Group on biosafety assigned by COP-2 & COP-3
- 7/ Switzerland -Madrid Meeting 24-28 November 1997 (CHF 25,000)
- 8/ Canada :additional contribution to cover the travel costs for participants from developing countries to SCBD meetings
- 9/ Ireland-Meetings of Conference of Parties and SBSTTA
- 10/ Finland- COP-4
- 11/ Korea: contributions towards travel costs of participants to the clearing house workshop in Asia
- 12/ Japan pledged US\$523,931 for various core activities (US\$100,000 for the 4th meeting on Biosafety 5-13.2.98, Montreal; US\$20,000 for the Asian Regional Meeting, Hainan, China; US\$100,000 for COP-4 - Bratislava, Slovak Republic) (Japan's pledge to BE of US\$155,931 moved to BZ)
- 13/ Switzerland: SBSTTA
- 14/ Finland : Assist the participation of developing countries in the negotiations on Biosafety Protocol
- 15/ Switzerland Biosafety-4
- 16/ 80% of 100,000 additional fund for CBD(50,000 for COP-4; 10,000- to support developing participation; 20,000 for adhoc working group biosafety)
- 17/ Australian Contribution for COP-4 Meeting -Bratislava, 4-15 May 1998 (A\$60,000)
- 18/ Canada: Additional contribution to cover the travel costs for the participants from developing countries to SCBD meetings in 1997
- 19/ Norway for participation of representatives from developing countries to the meeting of parties
- 20/ Norway for Biosafety Meeting August 1998
- 21/ Sweden for participation of representatives from the least developed countries in the workshop on traditional knowledge/or Biosafety
- 22/ Netherlands - for participation of representatives of least developed countries - Activity No. WW110931

ANNEX VII**GENERAL TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY (BY)
As at 31 October 1998**

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Albania	1,654	0	638	0	0	0	1,654	638	2,292
Algeria	0	0	10,211	0	0	0	0	10,211	10,211
Antigua & Barbuda	(638)	0	638	638	0	0	0	0	0
Argentina	0	0	30,634	0	0	0	0	30,634	30,634
Armenia	14,756	0	3,191	0	0	0	14,756	3,191	17,947
Australia	(94,455)	0	94,455	94,455	0	0	0	0	0
Austria	0	0	55,524	0	0	55,524	0	0	0
Bahamas	0	0	1,276	0	0	1,276	0	0	0
Bahrain	0	0	1,149	0	0	0	0	1,149	1,149
Bangladesh	1,695	0	477	0	0	0	1,695	477	2,172
Barbados	705	0	638	0	0	638	705	0	705
Belarus	58,834	0	17,870	0	0	0	58,834	17,870	76,704
Belgium	72,076	(12,013)	69,948	0	60,063	0	0	69,948	69,948
Belize	902	0	638	0	0	0	902	638	1,540
Benin	(836)	0	477	0	0	0	(836)	477	(359)
Bhutan	(477)	0	477	477	0	0	0	0	0
Bolivia	2,406	0	638	0	705	0	1,701	638	2,339
Botswana	(705)	0	638	0	0	0	(705)	638	(67)
Brazil	39,269	0	103,390	0	0	0	39,269	103,390	142,659
Bulgaria	0	0	5,106	0	0	5,106	0	0	0

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Burkina Faso	(112)	0	477	0	0	0	(112)	477	365
Burundi	0	0	64	0	0	0	0	64	64
Cambodia	(164)	0	477	0	0	0	(164)	477	313
Cameroon	2,406	0	638	0	1,773	0	633	638	1,271
Canada	0	0	198,483	0	0	198,483	0	0	0
Cape Verde	1,216	0	477	0	0	0	1,216	477	1,693
Central African Rep.	50	0	477	0	0	0	50	477	527
Chad	1,695	0	477	0	0	0	1,695	477	2,172
Chile	0	0	5,106	0	0	0	0	5,106	5,106
China	0	0	47,227	0	0	0	0	47,227	47,227
Colombia	0	0	6,382	0	0	0	0	6,382	6,382
Comoros	1,695	0	477	0	0	0	1,695	477	2,172
Congo	705	0	638	0	0	0	705	638	1,343
Congo Dem.Rep	1,695	0	477	0	0	0	1,695	477	2,172
Cook Islands	2,406	0	638	0	0	0	2,406	638	3,044
Costa Rica	2,406	0	638	0	0	0	2,406	638	3,044
Cote d'Ivoire	902	0	638	0	0	0	902	638	1,540
Croatia	6,342	0	5,744	0	0	0	6,342	5,744	12,086
Cuba	8,531	0	3,191	0	0	0	8,531	3,191	11,722
Cyprus	0	0	1,915	0	0	0	0	1,915	1,915
Czech Republic	0	0	15,955	0	0	15,955	0	0	0
Denmark	0	0	45,951	0	0	0	0	45,951	45,951
Djibouti	(305)	0	477	0	0	0	(305)	477	172
Dominica	0	0	638	0	0	0	0	638	638
Dominica Rep.	0	0	1,021	0	0	0	0	1,021	1,021
Ecuador	1,409	0	1,276	0	0	0	1,409	1,276	2,685
EEC	0	0	119,130	0	0	119,130	0	0	0

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Egypt	0	0	5,106	0	0	0	0	5,106	5,106
El Salvador	2,406	0	638	0	0	0	2,406	638	3,044
Equatorial Guinea	1,695	0	477	0	0	0	1,695	477	2,172
Eritrea	(477)	0	477	477	0	0	0	0	0
Estonia	2,818	0	2,553	0	0	0	2,818	2,553	5,371
Ethiopia	1,216	0	477	0	0	0	1,216	477	1,693
Fiji	705	0	638	0	0	0	705	638	1,343
Finland	(39,569)	0	39,569	39,569	0	0	0	0	0
France	0	0	409,730	0	0	409,730	0	0	0
Gabon	0	0	1,149	0	0	0	0	1,149	1,149
Gambia	(32)	0	477	0	0	0	(32)	477	445
Georgia	30,928	0	7,020	0	0	0	30,928	7,020	37,948
Germany	0	0	578,218	0	0	578,218	0	0	0
Ghana	1,654	0	638	0	0	0	1,654	638	2,292
Greece	(4,356)	0	24,252	0	0	19,896	(4,356)	4,356	0
Grenada	705	0	638	0	0	0	705	638	1,343
Guatemala	3,306	0	1,276	0	0	0	3,306	1,276	4,582
Guinea	1,005	0	477	0	0	0	1,005	477	1,482
Guinea-Bissau	1,216	0	477	0	0	0	1,216	477	1,693
Guyana	705	0	638	0	0	0	705	638	1,343
Haiti	526	0	477	0	0	0	526	477	1,003
Honduras	1,654	0	638	0	0	0	1,654	638	2,292
Hungary	0	0	8,935	0	0	8,935	0	0	0
Iceland	0	0	1,915	0	0	1,915	0	0	0
India	0	0	19,784	0	0	0	0	19,784	19,784
Indonesia	9,363	0	8,935	0	0	0	9,363	8,935	18,298
Iran	31,708	0	28,719	0	0	0	31,708	28,719	60,427

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Ireland	(13,402)	0	13,402	13,402	0	0	0	0	0
Israel	0	0	17,232	0	0	17,232	0	0	0
Italy	0	0	335,060	0	0	335,060	0	0	0
Jamaica	0	0	638	0	0	0	0	638	638
Japan	1,102,725	0	998,797	0	1,102,725	0	0	998,797	998,797
Jordan	0	0	638	0	0	0	0	638	638
Kazakhstan	51,909	0	12,126	0	0	0	51,909	12,126	64,035
Kenya	(79)	0	638	0	0	0	(79)	638	559
Kiribati	0	0	477	0	0	0	0	477	477
Korea, Dem. People's Rep.	11,274	0	3,191	0	0	0	11,274	3,191	14,465
Korea, Rep. of	(52,333)	0	52,333	52,333	0	0	0	0	0
Kyrgyzstan	2,114	0	1,915	0	0	0	2,114	1,915	4,029
Lao PDR	0	0	477	0	0	457	0	20	20
Latvia	(5,106)	0	5,106	5,106	0	0	0	0	0
Lebanon	(996)	0	638	0	0	0	(996)	638	(358)
Lesotho	0	0	477	0	0	0	0	477	477
Liechtenstein	0	0	319	0	0	0	0	319	319
Lithuania	13,701	0	5,106	0	13,701	5,106	0	0	0
Luxembourg	0	0	4,467	0	0	4,467	0	0	0
Macedonia	0	0	319	0	0	0	0	319	319
Madagascar	526	0	477	0	0	0	526	477	1,003
Malawi	(477)	0	477	477	0	0	0	0	0
Malaysia	0	0	8,935	0	0	0	0	8,935	8,935
Maldives	690	0	477	0	0	477	690	0	690
Mali	1,216	0	477	0	0	0	1,216	477	1,693
Mauritania	526	0	477	0	0	0	526	477	1,003
Mauritius	(638)	0	638	638	0	0	0	0	0

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Marshall Islands	1,654	0	638	0	1,654	638	0	0	0
Mexico	73,991	0	50,419	0	19,650	0	54,341	50,419	104,760
Micronesia, Fed. States	(638)	0	638	638	0	0	0	0	0
Moldova, Rep. of	13,701	0	5,106	0	0	0	13,701	5,106	18,807
Monaco	0	0	638	0	0	0	0	638	638
Mongolia	0	0	638	0	0	0	0	638	638
Morocco	0	0	1,915	0	0	4,029	0	(2,114)	(2,114)
Mozambique	(477)	0	477	477	0	0	0	0	0
Myanmar	1,695	0	477	0	0	0	1,695	477	2,172
Namibia	0	0	447	0	0	0	0	447	447
Nauru	1,654	0	638	0	0	0	1,654	638	2,292
Nepal	(1,167)	0	477	0	0	1,693	(1,167)	(1,216)	(2,383)
Netherlands	0	0	101,475	0	0	101,475	0	0	0
New Zealand	(15,317)	0	15,317	15,317	0	0	0	0	0
Nicaragua	1,654	0	638	0	0	0	1,654	638	2,292
Niger	1,216	0	477	0	0	0	1,216	477	1,693
Nigeria	30,690	0	7,020	0	0	0	30,690	7,020	37,710
Niue	705	0	638	0	705	0	0	638	638
Norway	0	0	35,740	0	0	36,492	0	(752)	(752)
Oman	0	0	2,553	0	0	2,553	0	0	0
Pakistan	(10)	0	3,829	0	0	0	(10)	3,829	3,819
Panama	2,406	0	638	0	1,600	0	806	638	1,444
Papua New Guinea	0	0	638	0	0	0	0	638	638
Paraguay	705	0	638	0	0	0	705	638	1,343
Peru	4,228	0	3,829	0	0	0	4,228	3,829	8,057
Philippines	(15)	0	3,829	0	0	0	(15)	3,829	3,814
Poland	32,019	0	21,061	0	0	21,061	32,019	0	32,019

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Portugal	19,729	0	17,870	0	19,729	0	0	17,870	17,870
Qatar	0	0	2,553	0	0	2,533	0	20	20
Romania	0	0	9,573	0	0	9,573	0	0	0
Russian Federation	373,054	0	272,515	0	0	0	373,054	272,515	645,569
Rwanda	526	0	477	0	0	0	526	477	1,003
St.Kitts &Nevis	0	0	638	0	0	638	0	0	0
St. Lucia	705	0	638	0	705	638	0	0	0
Saint Vincent & Grenadines	705	0	638	0	0	0	705	638	1,343
Samoa	1,216	0	477	0	0	0	1,216	477	1,693
San Marino	0	0	638	0	0	638	0	0	0
Senegal	(180)	0	638	0	0	146	(180)	492	312
Seychelles	2,406	0	638	0	0	0	2,406	638	3,044
Sierra Leone	1,695	0	477	0	0	0	1,695	477	2,172
Singapore	0	0	8,935	0	0	8,935	0	0	0
Slovak	0	0	5,106	0	0	5,106	0	0	0
Slovenia	4,932	0	4,467	0	4,932	4,913	0	(446)	(446)
Solomon Is.	1,216	0	477	0	0	0	1,216	477	1,693
South Africa	0	0	20,423	0	0	20,423	0	0	0
Spain	0	0	151,894	0	0	151,894	0	0	0
Sri Lanka	0	0	638	0	0	0	0	638	638
Sudan	1,216	0	477	0	0	0	1,216	477	1,693
Suriname	1,395	0	638	0	0	0	1,395	638	2,033
Swaziland	0	0	638	0	0	638	0	0	0
Sweden	0	0	78,500	0	0	78,500	0	0	0
Switzerland	0	0	77,223	0	0	77,223	0	0	0
Syria	3,523	0	3,191	0	0	0	3,523	3,191	6,714
Tajikistan	0	0	511	0	0	0	0	511	511

Countries	Unpaid Pledges as at 31/12/97	Adjustments	Pledges for 1998	Collections During 1997 for 1998	Collections in 1998 for prior yrs	Collections During 1998 for 1998 & future yrs	Unpaid Pledges for Prior Years	Unpaid Pledges for 1998	Unpaid pledges for 1998 and Prior Years
	US \$	US \$	US \$	US \$		US \$	US \$	US \$	US \$
Togo	1,216	0	477	0	0	0	1,216	477	1,693
Trinidad & T.	0	0	1,915	0	0	0	0	1,915	1,915
Tunisia	(1,915)	0	1,915	1,915	0	0	0	0	0
Turkey	26,775	0	28,081	0	26,775	0	0	28,081	28,081
Turkmenistan	2,818	0	2,553	0	0	0	2,818	2,553	5,371
Uganda	258	0	477	0	0	0	258	477	735
Ukraine	184,958	0	69,565	0	0	0	184,958	69,565	254,523
U. K.	(339,527)	0	339,527	339,527	0	0	0	0	0
Un. Rep. of Tanzania	526	0	477	0	0	0	526	477	1,003
Uruguay	9,620	0	2,553	0	0	0	9,620	2,553	12,173
Uzbekistan	9,160	0	8,297	0	0	8,297	9,160	0	9,160
Vanuatu	526	0	477	0	0	0	526	477	1,003
Venezuela	(52,769)	0	21,061	52,769	0	0	0	(31,708)	(31,708)
Viet Nam	0	0	638	0	0	0	0	638	638
Yemen	705	0	638	0	0	0	705	638	1,343
Zambia	526	0	477	0	0	0	526	477	1,003
Zimbabwe	(638)	0	638	638	0	0	0	0	0
T O T A L	1,687,961	(12,013)	4,868,226	618,853	1,254,717	2,315,640	1,040,083	1,933,733	2,973,816

Additional Contributions									
USA	75,000	0	95,000	0	75,000	95,000	0	0	0
Canada	0	0	800,000	0		800,000	0	0	0
Quebec	0	0	200,000	0		200,000	0	0	0
Grand Total	1,762,961	(12,013)	5,963,226	618,853	1,329,717	3,410,640	1,040,083	1,933,733	2,973,816

Please note the copies of acknowledgement letter and receipts are yet to follow

ANNEX VIII

SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

Fourth Meeting

Montreal, 31 May - 4 June 1999

PROVISIONAL AGENDA

Item 1. Organizational Matters

1. Opening of the meeting.
2. Election of officers.
3. Adoption of the agenda.
4. Organization of work.

Item 2. Reports

5. Report on co-operation with other bodies.
6. Report on progress in the work programmes of thematic areas.

Item 3. Priority Issues

7. Programme of work of SBSTTA.
8. *Ad hoc* technical expert groups: establishment of the terms of reference.
9. Global Taxonomy Initiative: advice on its further advancement.
10. Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity (drylands, arid, semi-arid, grassland, and savannah ecosystems).

11. Development of guiding principles for the prevention of impacts of alien species, by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for further development of the Global Invasive Species Programme (GISP), with a view to co-operation.
12. Consideration of the consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity.
13. Biodiversity Impact assessment.
14. Development of approaches and practices for the sustainable use of biological resources, including tourism.

Item 4. Final Matters

15. Draft provisional agenda for the fifth meeting of SBSTTA.
16. Date and venue of the fifth meeting of SBSTTA.
17. Other matters.
18. Adoption of the report.
19. Closure of the meeting.

ANNEX IX

SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

Fifth Meeting

Montreal, 31 January-4 February 2000

PROVISIONAL AGENDA

Item 1. Organizational Matters

1. Opening of the meeting.
2. Election of officers.
3. Adoption of the agenda.
4. Organization of work.

Item 2. Reports

5. Report on co-operation with other bodies.
6. Report on the roster of experts.
7. Report on the independent review of the pilot phase of the clearing-house mechanism.
8. Progress report on the development of indicators.
9. Agricultural biological diversity: outcome of the assessment on ongoing activities and existing instruments and establishment of the multi-year programme of activities.
10. Inland waters biological diversity: ways and means to implement the work programme.
11. Marine and coastal biological diversity: consideration of implementation tools for the programme of work.
12. Forest biological diversity: status and trends and identification of options for conservation and sustainable use.

Item 3. Priority Issues

13. Ecosystem approach: further conceptual elaboration.

14. Programme of work for dryland, mediterranean, arid, semiarid, grassland and savannah biological diversity.
15. Sustainable use of the components of biological diversity: identification of sectoral activities that could adopt biodiversity-friendly practices and technologies.
16. Establishment of guidelines for the second National Reports.

Item 4. Final Matters

17. Draft provisional agenda for the sixth meeting of SBSTTA.
18. Date and venue of the sixth meeting of SBSTTA.
19. Other matters.
20. Adoption of the report.
21. Closure of the meeting.

ANNEX X

LIST OF DOCUMENTS FOR THE FOURTH MEETING OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

1. Report on co-operation with other bodies.
2. Progress report on the implementation of programmes of work on thematic areas.
3. Proposal on the draft programme of work of the Subsidiary Body on Scientific, Technical and Technological Advice.
4. Terms of reference for the *ad hoc* technical expert group meetings.
5. Further advancement of the Global Taxonomy Initiative.
6. Assessment of the status and trends and options for conservation and sustainable use of terrestrial biological diversity: drylands, arid, semi-arid, grassland, and savannah ecosystems.
7. Development of guiding principles for the prevention of impacts of alien species by identifying priority areas of work on isolated ecosystems and by evaluating and giving recommendations for the further development of the Global Invasive Species Programme, with a view to co-operation.
8. Consequences of the use of the new technology for the control of plant gene expression for the conservation and sustainable use of biological diversity.
9. Synthesis of reports and case-studies relating to environmental impact assessment.
10. Development of approaches and practices for the sustainable use of biological resources, including tourism.
11. Draft provisional agenda for the fifth meeting of SBSTTA.

ANNEX XI

LIST OF DOCUMENTS FOR THE FIFTH MEETING OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

1. Report on co-operation with other bodies.
2. Report on criteria for utilization of the roster of experts.
3. Report on the independent review of the pilot-phase of the clearing-house mechanism.
4. Progress report on the development of biological diversity indicators.
5. Outcome of the assessment exercise on agricultural biological diversity and draft proposal for the multi-year programme of activities.
6. Ways and means to implement the programme of work on inland waters biological diversity.
7. Consideration of implementation tools for the programme of work on marine and coastal biological diversity.
8. Forest biological diversity: status and trends and identification of options for conservation and sustainable use.
9. Further conceptual elaboration on the Ecosystem Approach.
10. Proposal of a programme of work for dryland, mediterranean, arid, semiarid, grassland and savannah biological diversity.
11. Sustainable use of components of biological diversity: identification of sectoral activities that could adopt biodiversity-friendly practices and technologies.
12. Synthesis of information contained in national reports on the implementation of the Convention and further guidelines on the preparation of national reports.
13. Draft provisional agenda for the sixth meeting of SBSTTA.

ANNEX XII

Distr.

DRAFT FOR INTERNAL USE ONLY

6 AUGUST 1998

ORIGINAL: ENGLISH

INTER-SESSIONAL MEETING ON
THE OPERATIONS OF THE CONVENTION
First meeting
Montreal, 7 - 9 June 1999

DRAFT PROVISIONAL AGENDA

Item 1. Organizational Matters

1. Opening of the meeting
2. Election of officers
3. Adoption of the agenda
4. Organization of work

Item 2. Operation of the Convention

5. Review of the operations of the Convention and the programme of work.

Item 3. Access to Genetic Resources and Benefit-Sharing

6. Review of access to genetic resources and benefit-sharing arrangements and consideration of options
7. Ex-situ collections which were acquired prior to the entry into force of the Convention on Biological Diversity and which are not addressed by the Commission on Genetic Resources for Food and Agriculture of the Food and Agriculture Organization

8. The relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity

Item 4. Final Matters

7. Other matters
8. Adoption of the report
9. Closure of the meeting

Annex

LIST OF DOCUMENTS FOR THE INTER-SESSIONAL MEETING
ON THE OPERATION OF THE CONVENTION

1. Report of the fourth meeting of the Conference of the Parties to the Convention on Biological Diversity
2. Analysis of the development and experience of the operations of other conventions and agreements
3. Draft Handbook on Convention
4. UNEP/CBD/COP/4/14 Synthesis of the views of the operations of the Convention
5. UNEP/CBD/COP/3/35 Relationship of the Convention with other processes: modalities for enhanced co-operation with relevant biodiversity-related bodies
6. Review of access and benefit sharing arrangements
7. Report on the information on ex situ collections in accordance with decision IV/8
8. The relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity
9. UNEP/CBD/COP/4/21 Measures to promote and advance the distribution of benefits from biotechnology in accordance with Article 19
10. UNEP/CBD/COP/4/23 Review of national, regional and sectoral measures and guidelines on access to genetic resources
11. UNEP/CBD/COP/4/Inf.7 Synthesis of case studies on benefit-sharing
12. Compilation of case studies on genetic resources and equitable sharing of benefits

ANNEX XIII

Distr.
DRAFT FOR INTERNAL USE ONLY

6 AUGUST 1998

ORIGINAL: ENGLISH

EXPERT PANEL ON ACCESS AND BENEFIT-SHARING

First meeting

Montreal, 4-8 October 1999

DRAFT PROVISIONAL AGENDA

Item 1. Organizational Matters

1. Opening of the meeting
2. Election of officers
3. Adoption of the agenda
4. Organization of work

Item 2. Access and Benefit-Sharing

5. Best practices on benefit sharing arrangements
6. Development of a common understanding of basic concepts
7. Exploration of options for access and benefit sharing on mutually agreed terms, including the consideration of Annex to decision IV/8

Item 3. Final Matters

8. Other matters
9. Adoption of the report
10. Closure of the meeting

Annex

LIST OF DOCUMENTS FOR THE FIRST MEETING OF THE EXPERT PANEL
ON ACCESS AND BENEFIT-SHARING

1. Review of access and benefit sharing arrangements
2. Text of the Convention
3. Decisions II/15, III/11, III/15, IV/8
4. UNEP/CBD/COP/2/13 Access to genetic resources and benefit-sharing: legislation, administrative and policy information
5. UNEP/CBD/COP/3/20 Access to genetic resources
6. UNEP/CBD/COP/3/22 The impact of intellectual property rights systems on the conservation and sustainable use of biological diversity and the equitable sharing of benefits from its use
7. UNEP/CBD/COP/3/Inf.53 Fair and equitable sharing of benefits arising from the use of genetic resources
8. UNEP/CBD/COP/4/21 Measures to promote and advance the distribution of benefits from biotechnology in accordance with Article 19
9. UNEP/CBD/COP/4/23 Review of national, regional and sectoral measures and guidelines on access to genetic resources
10. UNEP/CBD/COP/4/Inf.7 Synthesis of case studies on benefit-sharing
11. UNEP/CBD/COP/4/Inf.10 Best practices for access to genetic resources, International Workshop, Cordoba, 16-17 January 1998
12. UNEP/CBD/COP/4/Inf.16 Access to genetic resources and means for fair and equitable benefit sharing - a case study, April 1998, submission by the Government of Switzerland
13. UNEP/CBD/COP/4/Inf. 30
14. Compilation of Case Studies on Benefit-sharing

ANNEX XIV

DRAFT PROVISIONAL AGENDA

FIRST MEETING OF THE AD HOC OPEN-ENDED INTERSESSIONAL WORKING GROUP TO ADDRESS THE IMPLEMENTATION OF ARTICLE 8(J) RELATED PROVISIONS OF THE CONVENTION

24-28 January 2000

1. Opening of the meeting.
2. Adoption of the Agenda.
3. Organization of work.
4. Consideration of the background document (synthesis of case studies) prepared by the Executive Secretary (Para.10, Decision COP IV/9).
5. Identification of the extent of interactions between traditional and other forms of knowledge relating to the conservation and sustainable use of biological diversity and the examination of documented examples and related information on ethical guidance for the conduct of research in indigenous and local communities about the knowledge they hold.
6. Review the influence of international instruments, intellectual property rights, current laws and policies on knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity as well as the extent to which traditional knowledge of indigenous and local communities has been incorporated into development and resource-management decision-making processes.
7. Consideration of matters related to prior informed consent, fair and equitable sharing of benefits and *in-situ* conservation in lands and territories used by indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity.
8. Other matters.
9. Adoption of the report.
10. Closure of the meeting.