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LIAISON GROUP ON CAPACITY-BUILDING FOR BIOSAFETY

Eighth meeting

Prague, Czech Republic, 15–16 March 2012

REPORT OF THE NINTH MEETING OF THE LIAISON GROUP ON CAPACITY-BUILDING FOR BIOSAFETY

INTRODUCTION

- 1. The ninth meeting of the Liaison Group on Capacity-Building for Biosafety was held from 15 to 16 March 2012 in Prague, Czech Republic. It was attended by 21 participants from 13 governments and 7 organizations.
- 2. The countries represented were: Austria, Belize, Bolivia, Czech Republic, Germany, India, Liberia, Malaysia, Netherlands, Norway, Republic of Moldova, Serbia and Slovenia.
- 3. The organizations represented were: ECOROPA, Food and Agriculture Organization of the United Nations (FAO), GenØk Centre for Biosafety, Global Industry Coalition, International Centre for Genetic Engineering and Biotechnology (ICGEB), Le Groupe Conseil Baastel Ltee and the United Nations Environment Programme (UNEP).

ITEM 1. OPENING OF THE MEETING

4. The meeting was opened by Mr. Charles Gbedemah on behalf of the Executive Secretary of the Convention on Biological Diversity. Mr. Gbedemah welcomed the participants and thanked the Government of the Czech Republic for hosting the meeting. Mr. Gbedemah noted that the fifth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol (COP-MOP 5) adopted, in its decision BS-V/3, the terms of reference for the comprehensive review of the updated Action Plan and requested the Executive Secretary to commission the independent evaluation of the Action Plan. In this regard, he noted that the Liaison Group would discuss the draft report of the independent evaluation of the Action Plan prepared by the Consultant and provide advice on the new Action Plan. The meeting would also discuss measures for improving the Coordination Mechanism. Mr. Gbedemah expressed hope that the meeting would provide concrete advice to help to guide the capacity-building efforts within the framework of the Strategic Plan for the Protocol for the period 2011-2020.

ITEM 2. ORGANIZATIONAL MATTERS

5. After the opening session, the participants elected Mr. Andreas Heissenberger (Austria) to serve as Chair and Ms. Ranjini Warrier (India) to serve as Rapporteur.

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- 6. The meeting adopted the following agenda on the basis of the provisional agenda (UNEP/CBD/BS/LG-CB/9/1) which was proposed by the Executive Secretary:
 - 1. Opening of the meeting.
 - 2. Organizational matters:
 - 2.1 Election of officers;
 - 2.2 Adoption of the agenda;
 - 2.3 Organization of work.
 - 3. Issues for in-depth consideration:
 - 3.1 Comprehensive review of the updated Action Plan for Building Capacities for the Effective Implementation of the Protocol and its possible revision;
 - 3.2 Measures to improve the Coordination Mechanism.
 - 4. Other matters.
 - 5. Conclusions and recommendations.
 - 6. Closure of the meeting.
- 7. The participants also adopted the organization of work for the meeting, as contained in annex I to the annotated agenda (UNEP/CBD/BS/LG-CB/9/1/Add.1).

ITEM 3. ISSUES FOR IN-DEPTH CONSIDERATION

- 3.1 Comprehensive review of the updated Action Plan for Building Capacities for the Effective Implementation of the Protocol and its possible revision
- 8. Under this agenda item, the Liaison Group discussed the draft report of the independent evaluation of the capacity-building Action Plan and its recommendations (UNEP/CBD/COP-MOP/6/INF/2). The first part of the discussion focussed on the recommendation to develop two separate documents to replace the current Action Plan; i.e. strategic framework for capacity-building, which, as the current Action Plan would serve as a reference tool to guide the capacity-building efforts of Parties, other Governments and relevant organisations; and an action plan with concrete prioritised activities, specific targets (expected results) and a limited number of indicators to be used to monitor progress.
- 9. After a long discussion, the Liaison Group recommended the development of one new document with two components: (i) a "Framework for Capacity-Building", based on the current Action Plan, which would serve as a reference tool or guide for Parties, other governments and organizations; and (ii) a "results-oriented Action Plan" aligned with focal area 2 of the Strategic Plan for the Cartagena Protocol on Biosafety. It was also recommended that the timeframe for the new capacity-building framework and action plan be the same as that of the Strategic Plan for the Protocol, i.e. up to 2020.
- 10. After reaching consensus on the nature of the new document to replace the current Action Plan, members of the Liaison Group then continued with the exercise initiated at the eighth Liaison Group meeting to identify specific target results/outputs, and the activities to achieve those results, relating to the operational objectives under focal area 2 of the Strategic Plan. Four small discussion groups were established to discuss the expected results and activities under the following operational objectives:

- 2.1 National Biosafety Framework development and implementation
- 2.2 Risk assessment and risk management
- 2.3 Handling, transport, packaging and identification
- 2.4 Liability and Redress
- 2.5 Public awareness, education and participation
- 2.6 Information sharing
- 2.7 Biosafety education and training; and
- 1.2 Coordination and support
- 11. The results of the four small group discussions were presented to the plenary for further discussion. The consolidated outcomes of the discussions are presented in the table annex hereto.

3.2 Measures to improve the Coordination Mechanism

- 12. Under this agenda item, the Liaison Group reviewed the status of implementation of the Coordination Mechanism and discussed possible measures for its improvement. It was observed that the participation of relevant institutions and organizations, especially donors, in the coordination meeting had diminished over the recent few years.
- 13. The Liaison Group observed that the overall objective of the Coordination Mechanism is still valid but it needs to be refined to take into account the developments that have taken place since the Coordination Mechanism was adopted in 2004. It was noted that the intended aim of the coordination meetings to bring together donors agencies and organizations providing financial/technical support and the recipient countries has not been fully realized, partly due to the low priority given to biosafety by donor agencies and the limited participation of donor agencies in the meetings. In this regard, it was recommended that COP-MOP and the Secretariat should explore possibilities of enhancing the engagement of donor agencies and encourage more organizations to take part in the coordination meetings in order to promote synergies and avoid duplication.
- 14. Furthermore, the Liaison Group observed that the coordination meetings have increasingly played a role of providing recommendations to COP-MOP on capacity-building with respect to specific technical issues/topics which has in part led to an overlap with the role of the Liaison Group. In this regard, it was recommended that the coordination meetings should focus on facilitating the sharing of knowledge, views and operational experience.
- 15. Based on operational experience, the Liaison Group recommended that the elements of the Coordination Mechanism be streamlined as follows:
- (a) Element 5 of the coordination mechanism (Reporting mechanisms) be merged with element 2 (capacity-building databases); and
- (b) Elements 3 and 4 be merged into one element: "Information sharing and networking". In this regard, a combination of face-to-face meetings and online discussions would be useful in order to broaden the participation of donors, governments and relevant organizations.

ITEM 4. OTHER MATTERS

16. There were no other matters raised.

ITEM 5. CONCLUSIONS AND RECOMMENDATIONS

17. The main outcomes of the deliberations by the Liaison Group are summarised under Item 3 above and in the table annexed hereto.

ITEM 6. CLOSURE OF THE MEETING

- 18. In the final session of the meeting, the participants reviewed and adopted the draft report of the meeting. The Secretariat was requested to incorporate the proceedings of the last session. The present report has been finalized on that basis.
- 19. Following brief closing remarks by Mrs. Zuzana Doubkova, Head of the Biosafety Unit in the Ministry of Environment of the Czech Republic, the Chair, Mr Andreas Heissenberger, declared the meeting closed at 4.00 p.m. on Friday, 16 March 2012.

Annex I

RESULTS-ORIENTED CAPACITY-BUILDING ACTION PLAN (ALIGNED WITH THE STRATEGIC PLAN FOR THE CARTAGENA PROTOCOL ON BIOSAFETY)

OPERATIONAL OBJECTIVE 1

National Biosafety Frameworks: To further support the development and implementation of national regulatory and administrative systems

Outcomes	Indicators	Results/Outputs	Activities
National Biosafety Frameworks developed and implemented Functional national biosafety systems	 Number of Parties with operational regulatory frameworks Number of Parties with functional administrative arrangements 	 1.1 Biosafety laws and implementing regulations in place 1.2 Institutional structures and administrative (decision-making) arrangements in place to handle LMO applications 1.3 Standard operating procedures for handling LMO applications in place 1.4 Budgets allocated for the operation of the national biosafety system 1.5 Trained permanent staff in place to administer the national biosafety system 	 Development of a best practice guide on: Implementation of national biosafety frameworks Enforcement of national biosafety regulatory frameworks Establishment of administrative frameworks for biosafety Development and/or use existing training materials to implement elements of the guide Organization of international, regional and national workshops on the use of the of best practice guide Development and/or implementation of an electronic system on: handling of notifications registration of applications and approvals Development and/or implementation of guidelines on the use of the electronic system for: handling notifications registration of application and approval

Risk assessment and risk management: To enable Parties to evaluate, apply, share and carry out risk assessments and establish local science-based capacities to regulate, manage, monitor and control risks of LMOs

Outcomes Indicators	Results/Outputs	Activities
Resources, including human resources required to assess risks of living modified organisms are available and administrative mechanisms are in place Training materials and technical guidance on risk assessment and risk management developed and used by Parties Infrastructure and administrative mechanisms established for the management of risks of living modified organisms at national, subregional or regional level Ratio of risk asses summary reports a number of decision LMOs on the BCF. Number of risk asses summary reports in BCH that are in conwith the Protocol Number of people on risk assessment as in monitoring, management and of LMOs Number of Parties using the develope materials and tech guidance Number of Parties of the opinion that training materials technical guidance sufficient and effer	fields of expertise relevant for RA and RM of LMOs 2.2 Broad knowledge base and expertise in risk assessment and risk management 2.3 Guidance on risk assessment of LMOs in place 2.4 Local experts competently conducting risk assessments (or risk assessment audits) as part of decision-making regarding LMOs 2.5 Parties submitting risk assessment summaries to the BCH 2.6 Baseline data on biodiversity and agrobiodiversity relevant to risk assessment and risk management available 2.7 Strengthened human resources and infrastructure for risk management	 Training on risk assessment and risk management and enhancing trainees ability to cooperate and exchange data Developing guidance documents (e.g., the roadmap) and other relevant documents Undertaking scientific biosafety research relating to living modified organisms Handling of confidential business information Review existing data on biodiversity and agrobiodiversity relevant to RA and RM Conducting scientific research to acquire needed information on biodiversity and agrobiodiversity (e.g. botanical files, consensus documents, national inventories) Creating accessible user-friendly databases with important data on biodiversity and agrobiodiversity adaptable to new relevant inputs Cooperative capacity building on subregional, regional and adjacent country levels, and enhancing pooling of relevant data for specific ecological conditions Certification of LMO laboratories and training of the technicians Training of customs officers, phytosanitary officers, and relevant inspectors

OPERATIONAL OBJECTIVE 3

Handling, transport, packaging and identification: To develop capacity for handling, transport, packaging and identification of living modified organisms

Outcomes	Indicators	Results/Outputs	Activities
Customs/border officials are able to enforce the implementation of the Protocol's requirements related to handling, transport, packaging and identification of living modified organisms Personnel are trained and equipped for sampling, detection and identification of LMOs	 Number of customs officers and laboratory personnel trained Percentage of Parties that have established or have reliable access to detection laboratories National and regional laboratories certified with the capacity to detect LMOs Number of certified laboratories in operation 	 3.1 Systems identification of LMOs for established 3.2 Local experts able to detect and identify LMOs in shipments 3.3 Capacity for identification and detection of LMOs in place 	 Related to establishment of the system Establishing and implementing measures for the detection, prevention and management of illegal transboundary movements of LMOs Training staff of relevant agencies to report findings under article 18.2 and article 25 to the BCH Developing norms and operational criteria to support the implementation of international rules and standards for sampling and detection to foster mutual recognition of information and results concerning LMO identification within and between the countries Developing response measures to address situations of noncompliance Conducting awareness raising and education measures addressing all relevant stakeholders Conducting audits on operationality and efficacy of the established system Related to the checkpoints Organizing national, (sub)regional, international workshops on LMO documentation and identification requirements for customs and other border control officials Organizing national, (sub)regional, international workshops on LMO handling, transport, packaging and identification bringing together the relevant governmental and private sector institutions, organisations and stakeholders Implementing the documentation requirements under article 18.2 of the Protocol

Outcomes	Indicators	Results/Outputs	Activities
			Developing forms, manuals and checklists
			 Developing curricula on documentation requirements for professional education and training
			Related to identification and detection systems
			 Developing methodologies and protocols for sampling and identification of LMOs and/or adapting existing validated protocols
			• Establishing infrastructure for, and training of human resources in, detection of LMOs, including accreditation of laboratories
			Related to other stakeholders
			• Supporting the development of strategies for the management of LMOs during transport, handling and storage
			Supporting the development of tools and systems for traceability and labelling of LMOs

Liability and Redress: To assist Parties to the Protocol in their efforts to establish and apply the rules and procedures on liability and redress for damage resulting from the transboundary movements of living modified organisms

Outcomes	Indicators	Results/Outputs	Activities
An institutional mechanism or process identified or established to facilitate the implementation of the international rules and procedures on liability and redress at the national level	 Number of eligible Parties that received capacity building support in the area of liability and redress involving living modified organisms Number of domestic administrative or legal instruments identified, amended or newly enacted that fulfill the objective of 	 Ratification and entry into force of the Supplementary Protocol on Liability and Redress Analysis of existing national policies, laws and administrative systems Competent authorities have the institutional and human resource capacity for: 	 Analysis of existing national policies, laws and administrative systems to determine the extent to which they address liability and redress for damage resulting from LMOs Development of guidelines to assist competent authorities in discharging their responsibities with respect to the Supplementary Protocol Strengthening the scientific and technical capacity of the competent authorities to evaluate damage, establish causal links and determine appropriate response measures Establishment of databases and knowledge management systems

Outcomes	Indicators	Results/Outputs	Activities
	the international rules and procedures in the field of liability and redress	 Measurement of adverse effect and determination of significance of the damage Establishment of causal links Identifying the operator Determining appropriate response Financial security provided for in domestic law, as appropriate Civil liability rules and procedures applied or developed, as appropriate, in relation to damage as defined in the Supplementary Protocol 	 to facilitate the establishment of baselines and monitoring of the status of biodiversity at genetic, species and ecosystem levels Strengthening capacity to provide for administrative or judicial review of decisions on response measures to be taken by the operator in accordance with Article 5.6 Analysis of mechanisms for providing financial security Compilation and exchange of information on experiences and lessons learned in the implementation of the Supplementary Protocol through the Biosafety Clearing-House (BCH)

Public awareness, education and participation: To enhance capacity at the national, regional and international levels that would facilitate efforts to raise public awareness, and promote education and participation concerning the safe transfer, handling and use of LMOs

Outcomes	Indicators	Results/Outputs	Activities
Parties have access to guidance and training materials on public awareness,	Percentage of Parties that have in place mechanisms for ensuring public participation in decision- making concerning LMOs	 Guidance materials/toolkits developed Improved mechanisms for PAEP 	 Collection of information on legal frameworks and mechanisms put in place and actual experiences on PAEP Development of a guidance / toolkit for fostering PAEP at key stages of national biosafety processes and for different groups using differentiated methodologies adapted to various actors

Outcomes	Indicators	Results/Outputs	Activities
education and participation (PAEP) concerning the safe transfer, handling and use of LMOs Parties are enabled to promote and facilitate public awareness, education and	 Percentage of Parties that inform their public about existing modalities for participation Number of Parties that have national websites and searchable archives, national resource centres or sections in existing national libraries dedicated to biosafety materials 	Better understanding and effective implementation of PAEP at national, regional and international level	Building capacities by e.g. regional / national workshops, on the implementation of the guidance/toolkit in different scenarios, aiming at strengthening or establishing national mechanisms for PAEP and interlinking with complementary international agreement
participation in biosafety			

Information sharing: To ensure that the BCH is easily accessed by all established stakeholders, in particular in developing countries and countries with economies in transition

Outcomes	Indicators	Results/Outputs	Activities
Increased access to information in the BCH and sharing of information through the BCH by users in developing countries and countries with economies in transition	 Number of submissions to the BCH from developing countries and countries with economies in transition Amount of traffic from users to the BCH from developing countries and countries with economies in transition 	 Parties able to post mandatory information on the BCH Parties, non-Parties and other stakeholders are able to post non-mandatory information to the BCH Improved coordination and sharing of experiences on the BCH at national, 	 Activities Establishing/maintaining national and regional infrastructure for accessing the BCH Developing national systems for gathering and managing information for submission to the BCH in accordance with the Protocol obligations Exploring and using, as appropriate, AJAX and Hermes tools for creation of national websites Organizing BCH capacity-building activities for specific target groups Utilizing the BCH Regional Advisors network in BCH capacity-
Tools to facilitate implementation of		subregional, regional and	building activities
the Protocol are easily accessible		global levels Increased awareness and	Enhancing cooperation with relevant international organizations to maximize the use of existing experience and expertise and to

Outcomes	Indicators	Results/Outputs	Activities
through the BCH Information on the BCH is easily accessible to stakeholders including the general public		capacity of relevant stakeholders and general public to access information through BCH Systems for management of biosafety information in place	 minimize duplication of activities Establishing mechanisms for translation of information in the BCH into official national languages and vice versa Sharing of experience in the use of the BCH during other biosafety activities and meetings Developing programs to facilitate use of the BCH by other stakeholders Continuing the BCH capacity-building projects at national, subregional and regional levels (including development of toolkits) Enhancing and supporting the BCH coordination mechanism at the national level including intermisterial and interagency collaboration with other relevant stakeholders

Biosafety education and training: To promote education and training of biosafety professionals through greater coordination and collaboration among academic institutions and relevant organizations

Outcomes	Indicators	Results/Outputs	Activities
A sustainable pool of biosafety professionals with various competencies available at national/international levels Improved biosafety education and training programmes Increased exchange	Number of academic institutions by region offering biosafety education and training courses and programmes Number of biosafety training materials and online modules available	 Improved identification of training needs and target audiences Baseline data on the current situation with regards to education and training related to biosafety Relevant documentation (including real-life dossiers and full risk assessment reports) made available for biosafety education and education purposes Compilations of existing biosafety experts, 	 Conducting country assessments and stocktaking to ascertain existing expertise unmet training needs and priorities, and identify target audiences Supporting existing and new national, (sub)regional biosafety education and training initiatives, including continuing education programs Including biosafety into national education and training priorities and visions Establish national and (sub)regional coordination mechanisms for education and training in biosafety and sharing experiences and best practices Developing training materials and guidance manuals Developing curricula Developing academic and professional exchange programs for sharing information and expertise in North-South and South-

Outcomes	Indicators	Results/Outputs	Activities
of information,		institutions and training and	South cooperation
training materials		education initiatives are	Develop and maintain databases to facilitate the identification of
and staff and		made available	potential North-South and South-South partners
students exchange		E-learning and distance	Working with regional and subregional organizations,
programmes among		education and training	universities and research institutes
academic		programs are available	
institutions and		Scientific and professional	
relevant		conferences and workshops	
organizations		support exchange of	
		information and experiences	
		Biosafety regulators	
		continually trained through	
		on-the-job and off-the-job	
		training programmes	

Coordination and support: To put in place effective mechanisms for developing biosafety systems with the necessary coordination, financing and monitoring support

Outcomes	Indicators	Results/Outputs	Activities
Improved understanding of the capacity-building needs of developing country Parties and Parties with economies in	Number of Parties that have assessed and submitted their capacity-building needs to the BCH	Short term, medium term and long term capacity building plan including financial	 Stock taking of institutional and human capacity for strengthening biosafety management systems Stock taking of donors support for biosafety
transition A cohesive approach and effective mechanisms established for biosafety capacity-building	Percentage of the Parties that have developed national biosafety capacity-building action plans for implementing the Protocol	 requirements. Documentation of regional resources – financial and institutional expertise 	 capacity building efforts Raising of awareness of the roster of expert on a regional level, and the need to establish national committees of roster of experts. Institutional capacity building on preparing
Parties have adequate and predictable financial and technical resources them to implement their Protocol obligations in an integrated and	Percentage of the Parties that have in place training programmes for personnel dealing with biosafety issues and for long-term training of biosafety professionals		 national reports on project funding. Establish effective networking between national LMO detection laboratories

Outcomes	Indicators	Results/Outputs	Activities
sustainable manner National biosafety capacity- building strategies and action plans by each Party in place and implemented Existing resources and opportunities leveraged and more effectively used	 Percentage of Parties that have in place national coordination mechanisms for biosafety capacity-building initiatives Amount of new and additional financial resources mobilized for the implementation of the Protocol 		
Improved coordination and collaboration between Parties and entities implementing or funding biosafety capacity-building efforts Improved coordination and collaboration between LMO importing and exporting Parties	 Number of Parties that have predictable and reliable funding for strengthening their capacity in implementing the Protocol Number of Parties reporting that their capacity-building needs have been met Number of cooperative arrangements reported involving LMO exporting and importing Parties 		

Annex II

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