





# Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY SERVING AS THE MEETING OF THE PARTIES TO THE CARTAGENA PROTOCOL ON BIOSAFETY

Fourth meeting Bonn, 12-16 May 2007 Agenda item 14 of the provisional agenda\*

# SUMMARY OF REPONSES SUBMITTED IN THE FIRST REGULAR NATIONAL REPORT ON THE IMPLEMENTATION OF THE CARTAGENA PROTOCOL ON BIOSAFETY

Note by the Executive Secretary

#### I. INTRODUCTION

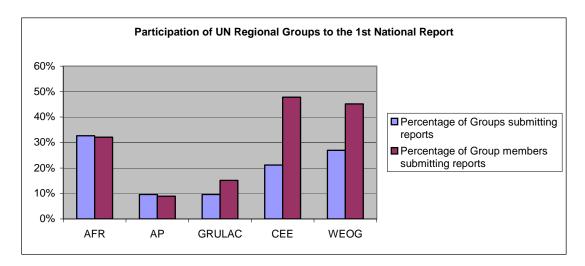
- 1. This document contains a summary of the responses to the multiple choice questions provided by Parties in the First Regular National Report on the Implementation of the Cartagena Protocol on Biosafety. The deadline for submission of national reports was 11 September 2007. This summary includes all reports received in Word format by 16 October 2007.
- 2. An analysis of the information contained in the national reports is contained in document UNEP/CBD/BS/COP-MOP/4/13. However, where explanatory text was provided directly within a multiple-choice question, it is reflected below as a footnote next to the country name.
- 3. The complete text of the responses to all questions provided in the national reports submitted to the Secretariat and the National Reports Analyzer can be accessed through the CBD website at <a href="http://www.cbd.int/reports/list.shtml?type=cpb1">http://www.cbd.int/reports/list.shtml?type=cpb1</a>.

UNEP/CBD/BS/COP-MOP/4/1.

#### II. SUMMARY OF RESPONSES

Reports R	Received	bv	Regional	Groups
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Groups <sup>1</sup>	Parties	Reports received	Percentage of Groups submitting reports	Percentage of Group members submitting reports
AFR	40	15	30%	38%
AP	36	5	10%	14%
GRULAC	25	5	10%	20%
CEE	20	11	22%	55%
WEOG	21	14	28%	67%
Tot	142	50		



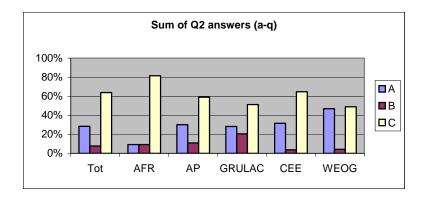
#### Obligations for provision of information to the Biosafety Clearing-House

- 4. **Question 2.** Please provide an overview of information that is required to be provided to the Biosafety Clearing-House:
- 5. For this question, the type of information categorized in the A B C columns appearing in the tables below is as follows:
  - **A** = Information exists and is being provided to the Biosafety Clearing-House;
  - **B** = Information exists but is not yet provided to the Biosafety Clearing-House; and
  - C = Information does not exist /not applicable

<sup>&</sup>lt;sup>1</sup> AFR: Africa, AP: Asia and the Pacific, GRULAC: Latin America and the Caribbean, CEE: Central and Eastern Europe, WEOG: Western Europe and Others

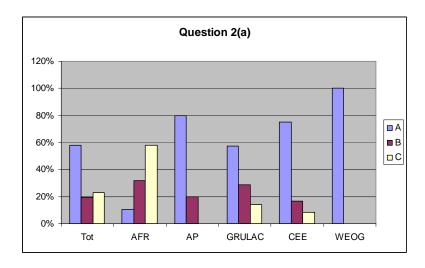
a) Sums and percentages of all answers under Question 2 (a-p):

	A	В	C	(A+B+C)				Pe	rcentages		
AFR:	25	25	221	271		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	25	9	49	83	A	28%	9%	30%	28%	32%	47%
GRULAC	22	16	40	78	В	8%	9%	11%	21%	4%	4%
CEE	60	7	123	190	C	64%	82%	59%	51%	65%	49%
WEOG	112	10	117	239							
Tot	244	67	550	861							



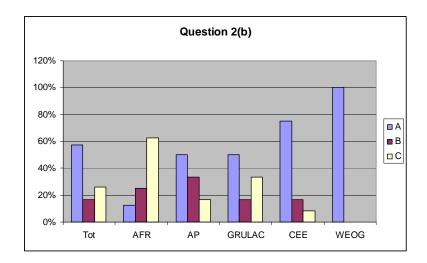
b) Existing national legislation, regulations and guidelines for implementing the Protocol, as well as information required by Parties for the advance informed agreement procedure (Article 20.3(a)):

	A	В	C	(A+B+C)				Pe	rcentages		
AFR:	2	6	11	19		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	4	1	0	5	A	58%	11%	80%	57%	75%	100%
GRULAC	4	2	1	7	В	19%	32%	20%	29%	17%	0%
CEE	9	2	1	12	С	23%	58%	0%	14%	8%	0%
WEOG	14	0	0	14							
Tot	33	11	13	57							



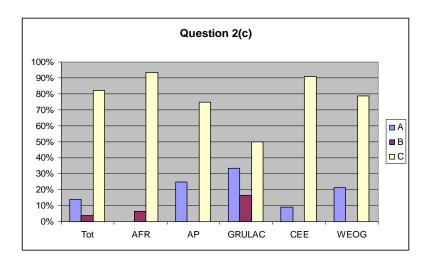
c) National laws, regulations and guidelines applicable to the import of LMOs intended for direct use as food or feed, or for processing (Article 11.5):

	A	В	С	(A+B+C)					Percentages		
AFR:	2	4	10	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	2	1	6	A	57%	13%	50%	50%	75%	100%
GRULAC	3	1	2	6	В	17%	25%	33%	17%	17%	0%
CEE	9	2	1	12	С	26%	63%	17%	33%	8%	0%
WEOG	14	0	0	14							
Tot	31	9	14	54							



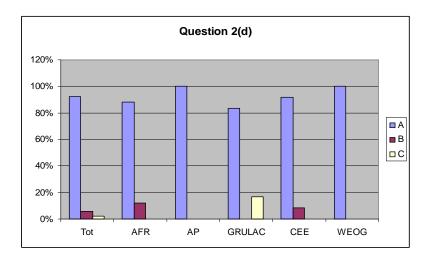
d) Bilateral, multilateral and regional agreements and arrangements (Articles 14.2, 20.3(b), and 24.1):

	A	В	С	(A+B+C)					Percentages		
AFR:	0	1	14	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	0	3	4	A	14%	0%	25%	33%	9%	21%
GRULAC	2	1	3	6	В	4%	7%	0%	17%	0%	0%
CEE	1	0	10	11	С	82%	93%	75%	50%	91%	79%
WEOG	3	0	11	14							
Tot	7	2	41	50							



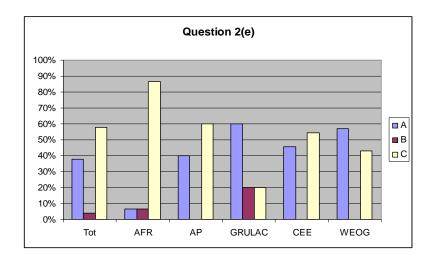
e) Contact details for competent national authorities (Articles 19.2 and 19.3), national focal points (Articles 19.1 and 19.3), and emergency contacts (Article 17.2 and 17.3(e)):

	A	В	С	(A+B+C)				I	Percentages		
AFR:	15	2	0	17		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	5	0	0	5	A	93%	88%	100%	83%	92%	100%
GRULAC	5	0	1	6	В	6%	12%	0%	0%	8%	0%
CEE	11	1	0	12	С	2%	0%	0%	17%	0%	0%
WEOG	14	0	0	14							
Tot	50	3	1	54							



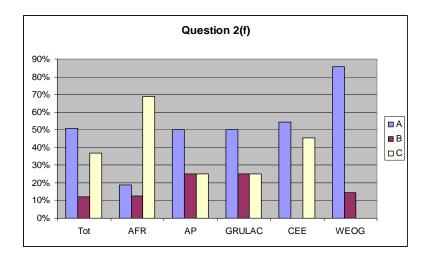
f) In cases of multiple competent national authorities, responsibilities for each (Articles 19.2 and 19.3):

	A	В	С	(A+B+C)					Percentages		
AFR:	1	1	13	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	0	3	5	A	38%	7%	40%	60%	45%	57%
GRULAC	3	1	1	5	В	4%	7%	0%	20%	0%	0%
CEE	5	0	6	11	С	58%	87%	60%	20%	55%	43%
WEOG	8	0	6	14							
Tot	19	2	29	50							



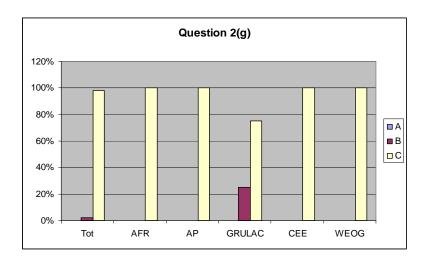
g) Reports submitted by the Parties on the operation of the Protocol (Article 20.3(e)):

	A	В	С	(A+B+C)				]	Percentages		
AFR:	3	2	11	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	1	1	4	A	51%	19%	50%	50%	55%	86%
GRULAC	2	1	1	4	В	12%	13%	25%	25%	0%	14%
CEE	6	0	5	11	C	37%	69%	25%	25%	45%	0%
WEOG	12	2	0	14							
Tot	25	6	18	49							



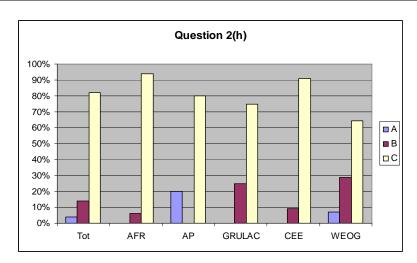
h) Occurrence of unintentional transboundary movements that are likely to have significant adverse effects on biological diversity (Article 17.1):

	A	В	C	(A+B+C)				J	Percentages		
AFR:	0	0	15	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	0	5	5	A	0%	0%	0%	0%	0%	0%
GRULAC	0	1	3	4	В	2%	0%	0%	25%	0%	0%
CEE	0	0	11	11	С	98%	100%	100%	75%	100%	100%
WEOG	0	0	14	14							
Tot	0	1	48	49							



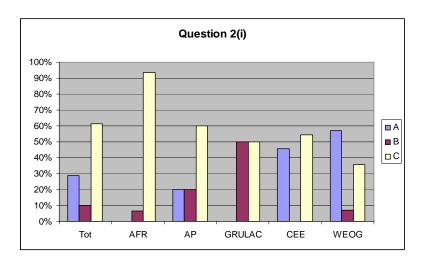
i) Illegal transboundary movements of LMOs (Article 25.3):

	A	В	С	(A+B+C)					Percentages		
AFR:	0	1	15	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	0	4	5	A	4%	0%	20%	0%	0%	7%
GRULAC	0	1	3	4	В	14%	6%	0%	25%	9%	29%
CEE	0	1	10	11	С	82%	94%	80%	75%	91%	64%
WEOG	1	4	9	14							
Tot	2	7	41	50							



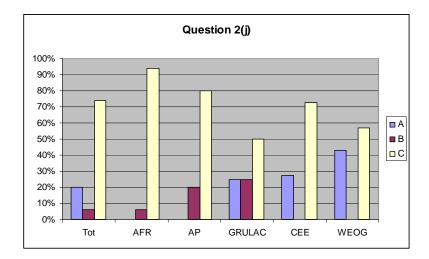
j) Final decisions regarding the importation or release of LMOs (i.e. approval or prohibition, any conditions, requests for further information, extensions granted, reasons for decision) (Articles 10.3 and 20.3(d)):

	A	В	С	(A+B+C)					Percentages		
AFR:	0	1	14	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	1	3	5	A	29%	0%	20%	0%	45%	57%
GRULAC	0	2	2	4	В	10%	7%	20%	50%	0%	7%
CEE	5	0	6	11	С	61%	93%	60%	50%	55%	36%
WEOG	8	1	5	14							
Tot	14	5	30	49							



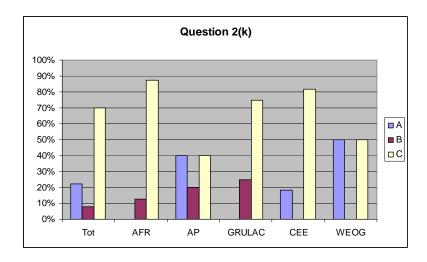
k) Information on the application of domestic regulations to specific imports of LMOs (Article 14.4):

	A	В	C	(A+B+C)					Percentages		
AFR:	0	1	15	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	1	4	5	A	20%	0%	0%	25%	27%	43%
GRULAC	1	1	2	4	В	6%	6%	20%	25%	0%	0%
CEE	3	0	8	11	С	74%	94%	80%	50%	73%	57%
WEOG	6	0	8	14							
Tot	10	3	37	50							



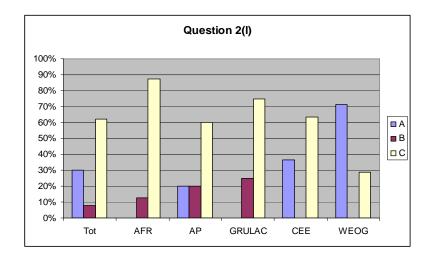
1) Final decisions regarding the domestic use of LMOs that may be subject to transboundary movement for direct use as food or feed, or for processing (Article 11.1):

	A	В	C	(A+B+C)					Percentages		
AFR:	0	2	14	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	1	2	5	A	22%	0%	40%	0%	18%	50%
GRULAC	0	1	3	4	В	8%	13%	20%	25%	0%	0%
CEE	2	0	9	11	С	70%	88%	40%	75%	82%	50%
WEOG	7	0	7	14							
Tot	11	4	35	50							



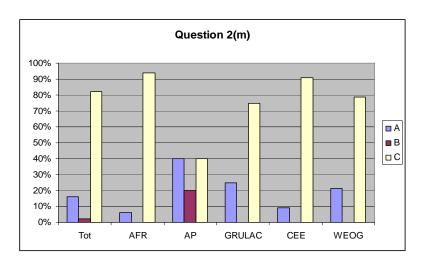
m) Final decisions regarding the import of LMOs intended for direct use as food or feed, or for processing that are taken under domestic regulatory frameworks (Article 11.4) or in accordance with annex III (Article 11.6) (requirement of Article 20.3(d)):

	A	В	С	(A+B+C)				]	Percentages		
AFR:	0	2	14	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	1	3	5	A	30%	0%	20%	0%	36%	71%
GRULAC	0	1	3	4	В	8%	13%	20%	25%	0%	0%
CEE	4	0	7	11	C	62%	88%	60%	75%	64%	29%
WEOG	10	0	4	14							
Tot	15	4	31	50							



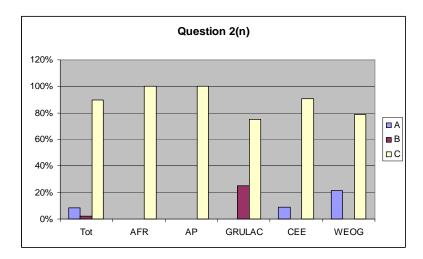
n) Declarations regarding the framework to be used for LMOs intended for direct use as food or feed, or for processing (Article 11.6):

	A	В	C	(A+B+C)				]	Percentages		
AFR:	1	0	15	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	1	2	5	A	16%	6%	40%	25%	9%	21%
GRULAC	1	0	3	4	В	2%	0%	20%	0%	0%	0%
CEE	1	0	10	11	С	82%	94%	40%	75%	91%	79%
WEOG	3	0	11	14							
Tot	8	1	41	50							



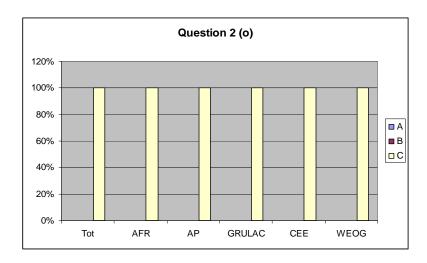
o) Review and change of decisions regarding intentional transboundary movements of LMOs (Article 12.1):

	A	В	С	(A+B+C)				P	ercentages		
AFR:	0	0	16	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	0	4	4	A	8%	0%	0%	0%	9%	21%
GRULAC	0	1	3	4	В	2%	0%	0%	25%	0%	0%
CEE	1	0	10	11	С	90%	100%	100%	75%	91%	79%
WEOG	3	0	11	14							
Tot	4	1	44	49							



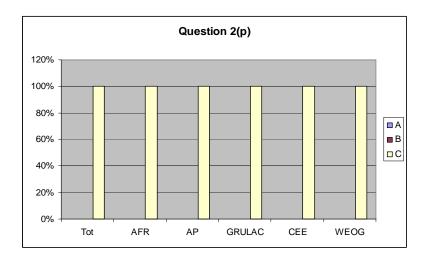
p) LMOs granted exemption status by each Party (Article 13.1):

	A	В	С	(A+B+C)				P	ercentages		
AFR:	0	0	15	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	0	5	5	A	0%	0%	0%	0%	0%	0%
GRULAC	0	0	4	4	В	0%	0%	0%	0%	0%	0%
CEE	0	0	11	11	C	100%	100%	100%	100%	100%	100%
WEOG	0	0	14	14							
Tot	0	0	49	49							



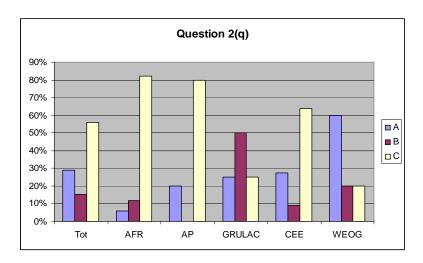
q) Cases where intentional transboundary movement may take place at the same time as the movement is notified to the Party of import (Article 13.1):

	A	В	С	(A+B+C)				P	ercentages		
AFR:	0	0	15	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	0	5	5	A	0%	0%	0%	0%	0%	0%
GRULAC	0	0	4	4	В	0%	0%	0%	0%	0%	0%
CEE	0	0	11	11	C	100%	100%	100%	100%	100%	100%
WEOG	0	0	14	14							
Tot	0	0	49	49							



r) Summaries of risk assessments or environmental reviews of LMOs generated by regulatory processes and relevant information regarding products thereof (Article 20.3(c)):

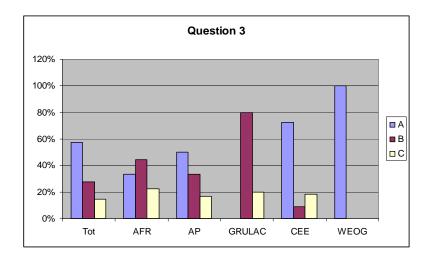
	A	В	С	(A+B+C)					Percentages		
AFR:	1	2	14	17		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	0	4	5	A	29%	6%	20%	25%	27%	60%
GRULAC	1	2	1	4	В	15%	12%	0%	50%	9%	20%
CEE	3	1	7	11	С	56%	82%	80%	25%	64%	20%
WEOG	9	3	3	15							
Tot	15	8	29	52							



# Article 2 - General provisions

- 6. **Question 3.** Has your country introduced the necessary legal, administrative and other measures for implementation of the Protocol? (Article 2.1)
  - a) full domestic regulatory framework in place
  - b) some measures introduced
  - c) no measures yet taken

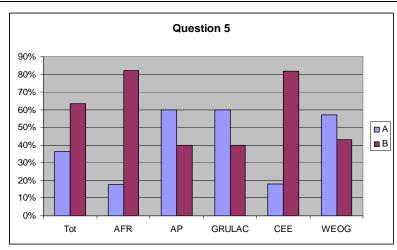
	a)	b)	c)					Percentages		
AFR:	6	8	4		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	2	1	a)	57%	33%	50%	0%	73%	100%
GRULAC	0	4	1	b)	28%	44%	33%	80%	9%	0%
CEE	8	1	2	c)	15%	22%	17%	20%	18%	0%
WEOG	14	0	0							
Tot	31	15	8							



# Articles 7 to 10 and 12: The advance informed agreement procedure

- 7. **Question 5.** Were you a Party of import during this reporting period?
  - a) yes
  - b) no

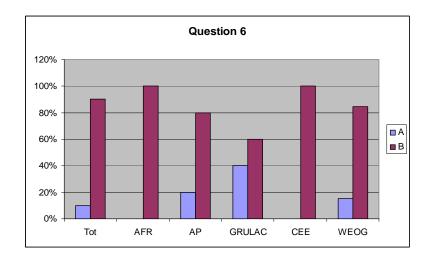
	a)	b)					Percentag	es	
AFR	3	14		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	2	a)	37%	18%	60%	60%	18%	57%
GRULAC	3	2	b)	63%	82%	40%	40%	82%	43%
CEE	2	9							
WEOG	8	6							
Tot	19	33							



# Article 13 - Simplified procedure

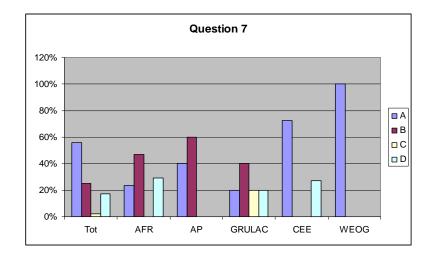
- 8. **Question 6.** Were you a Party of export during this reporting period?
  - a) yes
  - b) no

	a)	b)					Percentage	es	
AFR	0	17		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	4	a)	10%	0%	20%	40%	0%	15%
GRULAC	2	3	b)	90%	100%	80%	60%	100%	85%
CEE	0	11							
WEOG	2	11							
Tot	5	46							



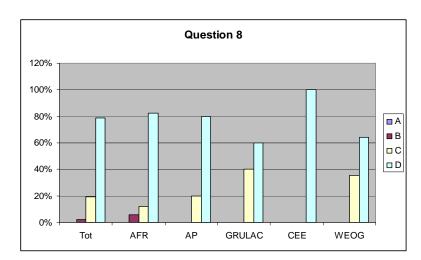
- 9. **Question 7.** Is there a legal requirement for the accuracy of information provided by exporters / under the jurisdiction of your country? (Article 8.2)
  - a) ves
  - b) not yet, but under development
  - c) no
  - d) not applicable not a Party of export

	a)	b)	c)	d)						Percentages	}	
AFR:	4	8	0	5			Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	3	0	0		a)	56%	24%	40%	20%	73%	100%
GRULAC	1	2	1	1	1	b)	25%	47%	60%	40%	0%	0%
CEE	8	0	0	3		c)	2%	0%	0%	20%	0%	0%
WEOG	14	0	0	0	,	d)	17%	29%	0%	20%	27%	0%
Tot	29	13	1	9								



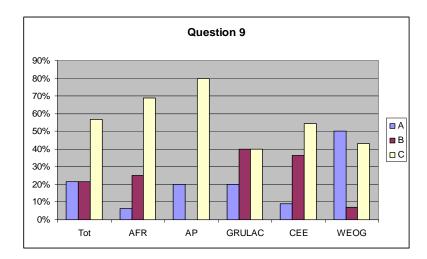
- 10. **Question 8.** If you were a Party of export during this reporting period, did you request any Party of import to review a decision it had made under Article 10 on the grounds specified in Article 12.2?
  - a) yes
  - b) not yet, but under development
  - c) no
  - d) not applicable not a Party of export

	a)	b)	c)	d)					Percentages		
AFR:	0	1	2	14		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	0	1	4	a)	0%	0%	0%	0%	0%	0%
GRULAC	0	0	2	3	b)	2%	6%	0%	0%	0%	0%
CEE	0	0	0	11	c)	19%	12%	20%	40%	0%	36%
WEOG	0	0	5	9	d)	79%	82%	80%	60%	100%	64%
Tot	0	1	10	41							



- 11. **Question 9.** Did your country take decisions regarding import under domestic regulatory frameworks as allowed by Article 9.2(c)?
  - a) yes
  - b) no
  - c) not applicable no decisions taken during the reporting period

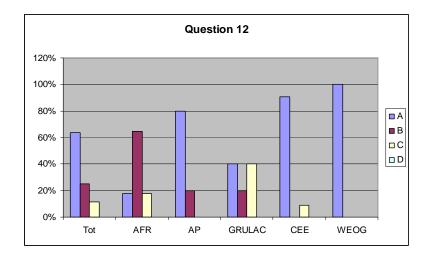
	a)	b)	c)					Percentages		
AFR:	1	4	11		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	0	4	a)	22%	6%	20%	20%	9%	50%
GRULAC	1	2	2	b)	22%	25%	0%	40%	36%	7%
CEE	1	4	6	c)	57%	69%	80%	40%	55%	43%
WEOG	7	1	6							
Tot	11	11	29							



# Article 11 - Procedure for living modified organisms intended for direct use as food or feed, or for processing

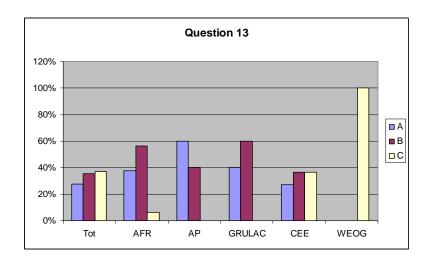
- 12. **Question 12.** Is there a legal requirement for the accuracy of information provided by the applicant with respect to the domestic use of a living modified organism that may be subject to transboundary movement for direct use as food or feed, or for processing? (Article 11.2)
  - a) yes
  - b) not yet, but under development
  - c) no
  - d) not applicable

	a)	b)	c)	d)					Percentages		
AFR:	3	11	3	0		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	4	1	0	0	a)	63%	18%	80%	40%	91%	100%
GRULAC	2	1	2	0	b)	25%	65%	20%	20%	0%	0%
CEE	10	0	1	0	c)	12%	18%	0%	40%	9%	0%
WEOG	14	0	0	0	d)	0%	0%	0%	0%	0%	0%
Tot	33	13	6	0							



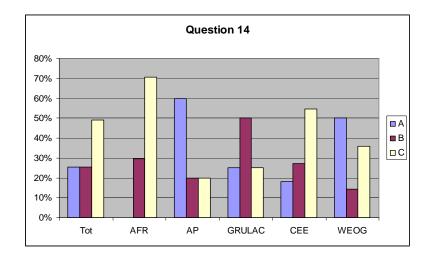
- 13. **Question 13.** Has your country indicated its needs for financial and technical assistance and capacity-building in respect of living modified organisms intended for direct use as food or feed, or for processing? (Article 11.9)
  - a) yes
  - b) no
  - c) not relevant

	a)	b)	c)					Percentages		
AFR:	6	9	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	2	0	a)	27%	38%	60%	40%	27%	0%
GRULAC	2	3	0	b)	35%	56%	40%	60%	36%	0%
CEE	3	4	4	c)	37%	6%	0%	0%	36%	100%
WEOG	0	0	14							
Tot	14	18	19							



- 14. **Question 14.** Did your country take decisions regarding import under domestic regulatory frameworks as allowed by Article 11.4?
  - a) yes
  - b) no
  - c) not applicable no decisions taken during the reporting period

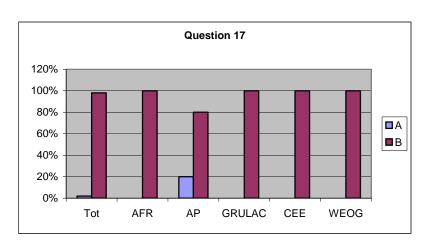
	a)	b)	c)					Percentages		
AFR:	0	5	12		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	1	1	a)	25%	0%	60%	25%	18%	50%
GRULAC	1	2	1	b)	25%	29%	20%	50%	27%	14%
CEE	2	3	6	c)	49%	71%	20%	25%	55%	36%
WEOG	7	2	5							
Tot	13	13	25							



# Article 13 - Simplified procedure

- 15. **Question 17.** Have you applied the simplified procedure during the reporting period?
  - a) yes
  - b) no

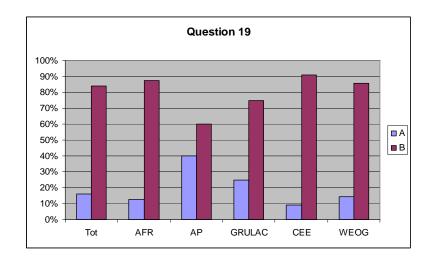
	a)	b)					Percentages		
AFR	0	17		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	4	a)	2%	0%	20%	0%	0%	0%
GRULAC	0	4	b)	98%	100%	80%	100%	100%	100%
CEE	0	11							
WEOG	0	14							
Tot	1	50							



# Article 14 - Bilateral, regional and multilateral agreements and arrangements

- 16. **Question 19.** Has your country entered into any bilateral, regional or multilateral agreements or arrangements?
  - c) yes
  - d) no

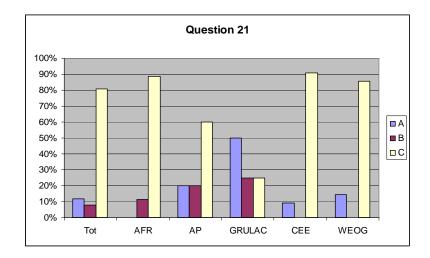
	a)	b)					Percentages		
AFR	2	14		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	3	a)	16%	13%	40%	25%	9%	14%
GRULAC	1	3	b)	84%	88%	60%	75%	91%	86%
CEE	1	10							
WEOG	2	12							
Tot	8	42							



#### Articles 15 and 16 - Risk assessment and risk management

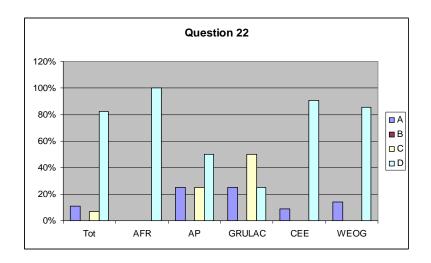
- 17. **Question 21.** If you were a Party of import during this reporting period, were risk assessments carried out for all decisions taken under Article 10? (Article 15.2)
  - a) yes
  - b) no
  - c) not a Party of import / no decisions taken under Article 10

	a)	b)	c)					Percentages		
AFR:	0	2	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	1	3	a)	12%	0%	20%	50%	9%	14%
GRULAC	2	1	1	b)	8%	11%	20%	25%	0%	0%
CEE	1	0	10	c)	81%	89%	60%	25%	91%	86%
WEOG	2	0	12							
Tot	6	4	42							



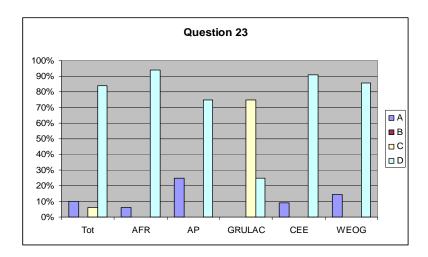
- 18. **Question 22.** If yes to question 21, did you require the exporter to carry out the risk assessment?
  - a) yes in all cases
  - b) yes in some cases
  - c) no
  - d) not a Party of import / no decisions taken under Article 10

	a)	b)	c)	d)					Percentages		
AFR:	0	0	0	12		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	0	1	2	a)	11%	0%	25%	25%	9%	14%
GRULAC	1	0	2	1	<b>b</b> )	0%	0%	0%	0%	0%	0%
CEE	1	0	0	10	c)	7%	0%	25%	50%	0%	0%
WEOG	2	0	0	12	d)	82%	100%	50%	25%	91%	86%
Tot	5	0	3	37							



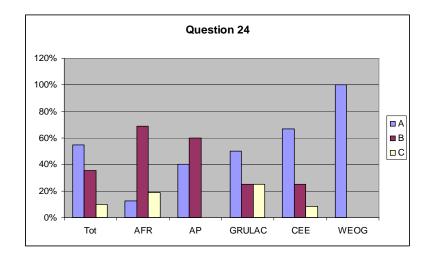
- 19. **Question 23.** If you took a decision under Article 10 during the reporting period, did you require the notifier to bear the cost of the risk assessment? (Article 15.3)
  - a) yes in all cases
  - b) yes in some cases
  - c) no
  - d) not a Party of import / no decisions taken under Article 10

	a)	b)	c)	d)					Percentages		
AFR:	1	0	0	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	0	0	3	a)	10%	6%	25%	0%	9%	14%
GRULAC	0	0	3	1	b)	0%	0%	0%	0%	0%	0%
CEE	1	0	0	10	c)	6%	0%	0%	75%	0%	0%
WEOG	2	0	0	12	d)	84%	94%	75%	25%	91%	86%
Tot	5	0	3	42							



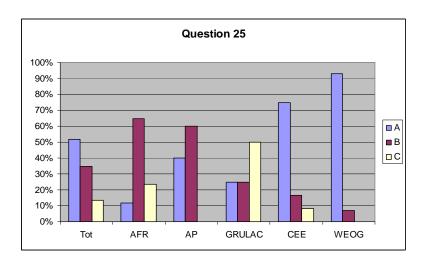
- 20. **Question 24.** Has your country established and maintained appropriate mechanisms, measures and strategies to regulate, manage and control risks identified in the risk assessment provisions of the Protocol? (Article 16.1)
  - a) yes fully established
  - b) not yet, but under development or partially established
  - c) no

	a)	b)	c)					Percentages		
AFR:	2	11	3		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	3	0	a)	55%	13%	40%	50%	67%	100%
GRULAC	2	1	1	b)	35%	69%	60%	25%	25%	0%
CEE	8	3	1	c)	10%	19%	0%	25%	8%	0%
WEOG	14	0	0							
Tot	28	18	5							



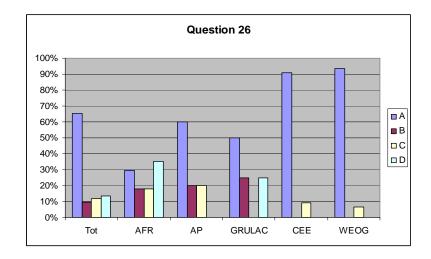
- 21. **Question 25.** Has your country adopted appropriate measures to prevent unintentional transboundary movements of living modified organisms? (Article 16.3)
  - a) yes fully established
  - b) not yet, but under development or partially established
  - c) no

	a)	b)	c)					Percentages		
AFR:	2	11	4		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	3	0	a)	52%	12%	40%	25%	75%	93%
GRULAC	1	1	2	b)	35%	65%	60%	25%	17%	7%
CEE	9	2	1	c)	13%	24%	0%	50%	8%	0%
WEOG	2	1	0							
Tot	27	18	7							



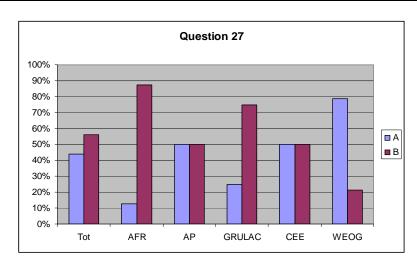
- 22. **Question 26.** Does your country endeavour to ensure that any living modified organism, whether imported or locally developed, undergoes an appropriate period of observation commensurate with its life-cycle or generation time before it is put to its intended use? (Article 16.4)
  - a) yes in all cases
  - b) yes in some cases
  - c) no
  - d) not applicable

	a)	b)	c)	d)					Percentages		
AFR:	5	3	3	6		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	1	1	0	a)	65%	29%	60%	50%	91%	93%
GRULAC	2	1	0	1	b)	10%	18%	20%	25%	0%	0%
CEE	10	0	1	0	c)	12%	18%	20%	0%	9%	7%
WEOG	14	0	1	0	d)	13%	35%	0%	25%	0%	0%
Tot	34	5	6	7							



- 23. **Question 27.** Has your country cooperated with others for the purposes specified in Article 16.5?
  - e) yes
  - f) no

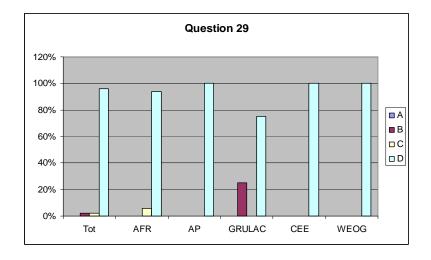
	a)	b)					Percentages		
AFR	2	14		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	2	a)	44%	13%	50%	25%	50%	79%
GRULAC	1	3	b)	56%	88%	50%	75%	50%	21%
CEE	5	5							
WEOG	11	3							
Tot	21	27							



#### Article 17 - Unintentional transboundary movements and emergency measures

- 24. **Question 29.** During the reporting period, if there were any occurrences under your jurisdiction that led, or could have led, to an unintentional transboundary movement of a living modified organism that had, or could have had, significant adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health in such States, did you immediately consult the affected or potentially affected States for the purposes specified in Article 17.4?
  - a) yes all relevant States immediately
  - b) yes partially consulted, or consultations were delayed
  - c) no did not consult immediately
  - d) not applicable (no such occurrences)

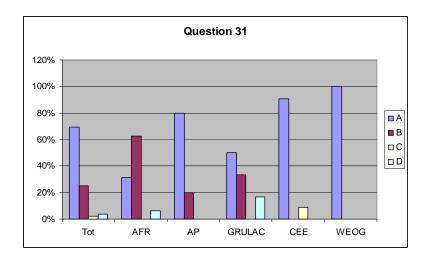
	a)	b)	c)	d)					Percentages		
AFR:	0	0	1	16		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	0	0	5	a)	0%	0%	0%	0%	0%	0%
GRULAC	0	1	0	3	b)	2%	0%	0%	25%	0%	0%
CEE	0	0	0	11	c)	2%	6%	0%	0%	0%	0%
WEOG	0	0	0	14	d)	96%	94%	100%	75%	100%	100%
Tot	0	1	1	49							



#### Article 18 - Handling, transport, packaging and identification

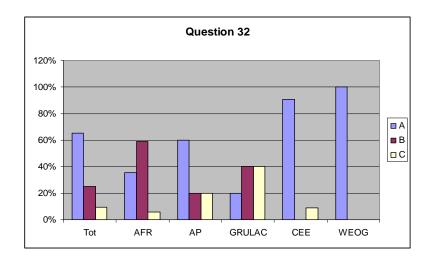
- 25. **Question 31.** Has your country taken measures to require that living modified organisms that are subject to transboundary movement within the scope of the Protocol are handled, packaged and transported under conditions of safety, taking into account relevant international rules and standards? (Article 18.1)
  - a) yes
  - b) not yet, but under development
  - c) no
  - d) not applicable

	a)	b)	c)	d)				I	Percentages		
AFR:	5	10	0	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	4	1	0	0	a)	69%	31%	80%	50%	91%	100%
GRULAC	3	2	0	1	b)	25%	63%	20%	33%	0%	0%
CEE	10	0	1	0	c)	2%	0%	0%	0%	9%	0%
WEOG	14	0	0	0	d)	4%	6%	0%	17%	0%	0%
Tot	36	13	1	2							



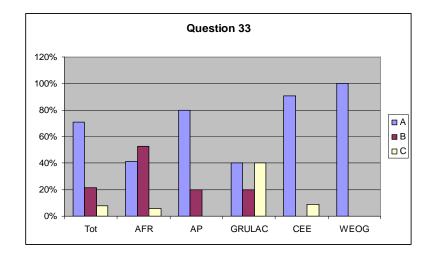
- 26. **Question 32.** Has your country taken measures to require that documentation accompanying living modified organisms for direct use as food or feed, or for processing, clearly identifies that they 'may contain' living modified organisms and are not intended for intentional introduction into the environment, as well as a contact point for information? (Article 18.2(a))
  - a) yes
  - b) not yet, but under development
  - c) no

	a)	b)	c)					Percentages		
AFR:	6	10	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	1	1	a)	65%	35%	60%	20%	91%	100%
GRULAC	1	2	2	b)	25%	59%	20%	40%	0%	0%
CEE	10	0	1	c)	10%	6%	20%	40%	9%	0%
WEOG	14	0	0							
Tot	34	13	5							



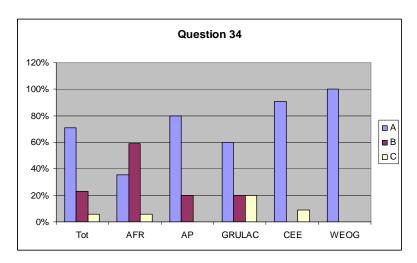
- 27. **Question 33.** Has your country taken measures to require that documentation accompanying living modified organisms that are destined for contained use clearly identifies them as living modified organisms and specifies any requirements for the safe handling, storage, transport and use, the contact point for further information, including the name and address of the individual and institution to whom the living modified organisms are consigned? (Article 18.2(b))
  - a) ves
  - b) not yet, but under development
  - c) no

	a)	b)	c)					Percentages		
AFR:	7	9	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	4	1	0	a)	71%	41%	80%	40%	91%	100%
GRULAC	2	1	2	b)	21%	53%	20%	20%	0%	0%
CEE	10	0	1	c)	8%	6%	0%	40%	9%	0%
WEOG	14	0	0							
Tot	37	11	4							



- 28. Question 34. Has your country adopted measures to require that documentation accompanying living modified organisms that are intended for intentional introduction into the environment of the Party of import and any other living modified organisms within the scope of the Protocol, clearly identifies them as living modified organisms; specifies the identity and relevant traits and/or characteristics, any requirements for the safe handling, storage, transport and use, the contact point for further information and, as appropriate, the name and address of the importer and exporter; and contains a declaration that the movement is in conformity with the requirements of this Protocol applicable to the exporter? (Article 18.2(c))
  - a) yes
  - b) not yet, but under development
  - c) no

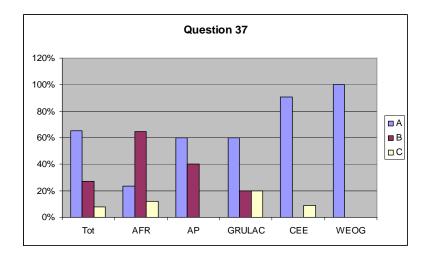
	a)	b)	c)					Percentages		
AFR:	6	10	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	4	1	0	a)	71%	35%	80%	60%	91%	100%
GRULAC	3	1	1	b)	23%	59%	20%	20%	0%	0%
CEE	10	0	1	c)	6%	6%	0%	20%	9%	0%
WEOG	14	0	0							
Tot	37	12	3							



#### Article 21 - Confidential information

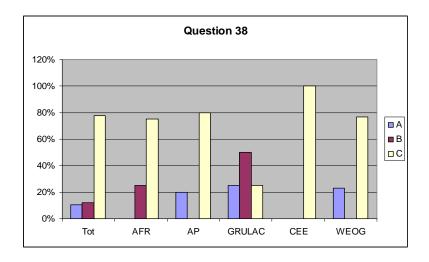
- 29. **Question 37.** Does your country have procedures to protect confidential information received under the Protocol and that protect the confidentiality of such information in a manner no less favourable than its treatment of confidential information in connection with domestically produced living modified organisms? (Article 21.3)
  - a) yes
  - b) not yet, but under development
  - c) no

	a)	b)	c)					Percentages		
AFR:	4	11	2		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	2	0	a)	65%	24%	60%	60%	91%	100%
GRULAC	3	1	1	b)	27%	65%	40%	20%	0%	0%
CEE	10	0	1	c)	8%	12%	0%	20%	9%	0%
WEOG	14	0	0							
Tot	34	14	4							



- 30. **Question 38.** If you were a Party of import during this reporting period, did you permit any notifier to identify information submitted under the procedures of the Protocol or required by the Party of import as part of the advance informed agreement procedure that was to be treated as confidential? (Article 21.1)
  - a) yes
  - b) no
  - c) not applicable not a Party of import / no such requests received

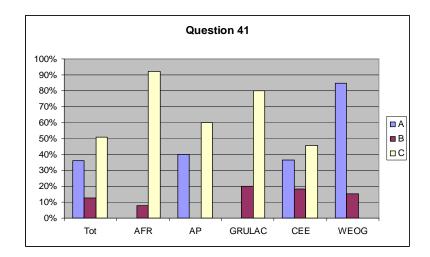
	a)	b)	c)					Percentages		
AFR:	0	4	12		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	0	4	a)	10%	0%	20%	25%	0%	23%
GRULAC	1	2	1	b)	12%	25%	0%	50%	0%	0%
CEE	0	0	11	c)	78%	75%	80%	25%	100%	77%
WEOG	3	0	10							
Tot	5	6	38							



#### Article 22 - Capacity-building

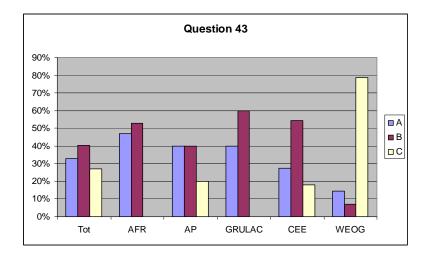
- 31. **Question 41.** If a developed country Party, during this reporting period has your country cooperated in the development and/or strengthening of human resources and institutional capacities in biosafety for the purposes of the effective implementation of the Protocol in developing country Parties, in particular the least developed and small island developing States among them, and in Parties with economies in transition?
  - a) yes
  - b) no
  - c) not applicable not a developed country Party

	a)	b)	c)					Percentages		
AFR:	0	1	12		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	0	3	a)	36%	0%	40%	0%	36%	85%
GRULAC	0	1	4	b)	13%	8%	0%	20%	18%	15%
CEE	4	2	5	c)	51%	92%	60%	80%	45%	0%
WEOG	11	2	0							
Tot	17	6	24							



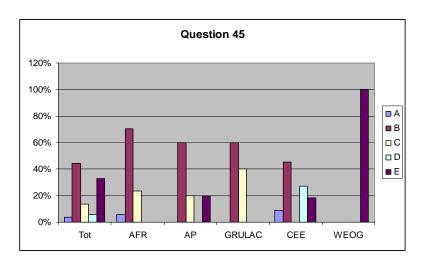
- 32. **Question 43.** If a developing country Party, or Party with an economy in transition, during this reporting period has your country contributed to the development and/or strengthening of human resources and institutional capacities in biosafety for the purposes of the effective implementation of the Protocol in another developing country Party or Party with an economy in transition?
  - a) yes
  - b) no
  - c) not applicable not a developing country Party

	a)	b)	c)					Percentages		
AFR:	8	9	0		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	2	1	a)	33%	47%	40%	40%	27%	14%
GRULAC	2	3	0	b)	40%	53%	40%	60%	55%	7%
CEE	3	6	2	c)	27%	0%	20%	0%	18%	79%
WEOG	2	1	11							
Tot	17	21	14							



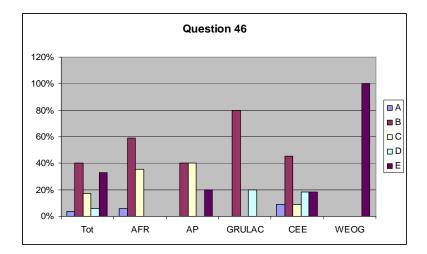
- 33. **Question 45.** If a developing country Party or a Party with an economy in transition, have you benefited from cooperation for technical and scientific training in the proper and safe management of biotechnology to the extent that it is required for biosafety?
  - a) yes capacity-building needs fully met
  - b) yes capacity-building needs partially met
  - c) no capacity-building needs remain unmet
  - d) no we have no unmet capacity-building needs in this area
  - e) not applicable not a developing country Party or a Party with an economy in transition

	a)	b)	c)	d)	e)				Pe	ercentages		
AFR:	1	12	4	0	0		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	3	1	0	1	a)	4%	6%	0%	0%	9%	0%
GRULAC	0	3	2	0	0	b)	44%	71%	60%	60%	45%	0%
CEE	1	5	0	3	2	c)	13%	24%	20%	40%	0%	0%
WEOG	0	0	0	0	14	d)	6%	0%	0%	0%	27%	0%
Tot	2	23	7	3	17	e)	33%	0%	20%	0%	18%	100%



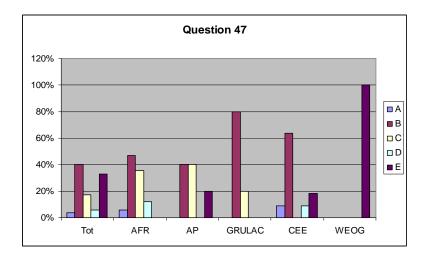
- 34. **Question 46.** If a developing country Party or a Party with an economy in transition, have you benefited from cooperation for technical and scientific training in the use of risk assessment and risk management for biosafety?
  - a) yes capacity-building needs fully met
  - b) yes capacity-building needs partially met
  - c) no capacity-building needs remain unmet
  - d) no we have no unmet capacity-building needs in this area
  - e) not applicable not a developing country Party or a Party with an economy in transition

	a)	b)	c)	d)	e)				Pe	ercentages		
AFR:	1	10	6	0	0		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	2	2	0	1	a)	4%	6%	0%	0%	9%	0%
GRULAC	0	4	0	1	0	b)	40%	59%	40%	80%	45%	0%
CEE	1	5	1	2	2	c)	17%	35%	40%	0%	9%	0%
WEOG	0	0	0	0	14	d)	6%	0%	0%	20%	18%	0%
Tot	2	21	9	3	17	e)	33%	0%	20%	0%	18%	100%



- 35. **Question 47.** If a developing country Party or a Party with an economy in transition, have you benefited from cooperation for technical and scientific training for enhancement of technological and institutional capacities in biosafety?
  - a) yes capacity-building needs fully met
  - b) yes capacity-building needs partially met
  - c) no capacity-building needs remain unmet
  - d) no we have no unmet capacity-building needs in this area
  - e) not applicable not a developing country Party or a Party with an economy in transition

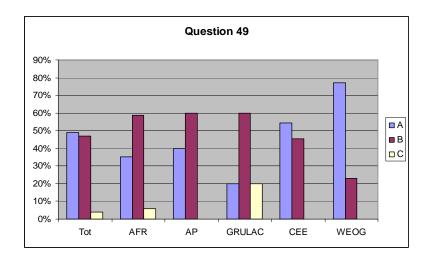
	a)	b)	c)	d)	e)				Pe	ercentages		
AFR:	1	8	6	2	0		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	2	2	0	1	a)	4%	6%	0%	0%	9%	0%
GRULAC	0	4	1	0	0	b)	40%	47%	40%	80%	64%	0%
CEE	1	7	0	1	2	c)	17%	35%	40%	20%	0%	0%
WEOG	0	0	0	0	14	d)	6%	12%	0%	0%	9%	0%
Tot	2	21	9	3	17	e)	33%	0%	20%	0%	18%	100%



# Article 23 - Public awareness and participation

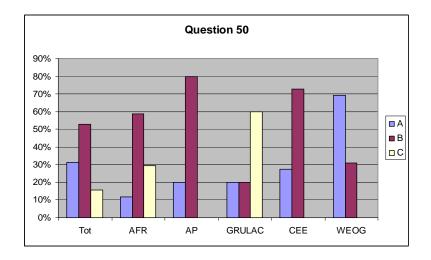
- 36. **Question 49.** Does your country promote and facilitate public awareness, education and participation concerning the safe transfer, handling and use of living modified organisms in relation to the conservation and sustainable use of biological diversity, taking also into account risks to human health? (Article 23.1(a))
  - a) yes significant extent
  - b) yes limited extent
  - c) no

	a)	b)	c)					Percentages		
AFR:	6	10	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	3	0	a)	49%	35%	40%	20%	55%	77%
GRULAC	1	3	1	b)	47%	59%	60%	60%	45%	23%
CEE	6	5	0	c)	4%	6%	0%	20%	0%	0%
WEOG	10	3	0							
Tot	25	24	2							



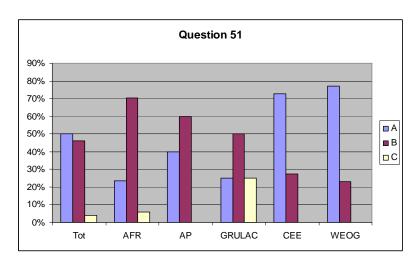
- 37. **Question 50.** If yes, do you cooperate with other States and international bodies?
  - a) yes significant extent
  - b) yes limited extent
  - c) no

	a)	b)	c)					Percentages		
AFR:	2	10	5		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	4	0	a)	31%	12%	20%	20%	27%	69%
GRULAC	1	1	3	b)	53%	59%	80%	20%	73%	31%
CEE	3	8	0	c)	16%	29%	0%	60%	0%	0%
WEOG	9	4	0							
Tot	16	27	8							



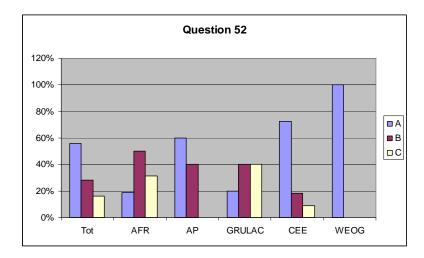
- 38. **Question 51.** Does your country endeavour to ensure that public awareness and education encompass access to information on living modified organisms identified in accordance with the Protocol that may be imported? (Article 23.1(b))
  - a) yes fully
  - b) yes limited extent
  - c) no

	a)	b)	c)					Percentages		
AFR:	4	12	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	3	0	a)	50%	24%	40%	25%	73%	77%
GRULAC	1	2	1	b)	46%	71%	60%	50%	27%	23%
CEE	8	3	0	c)	4%	6%	0%	25%	0%	0%
WEOG	10	3	0							
Tot	25	23	2							



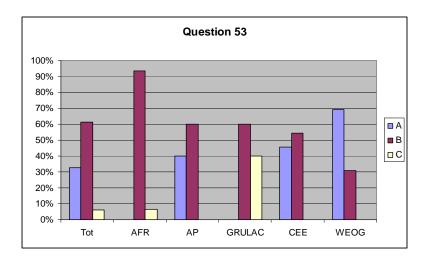
- 39. **Question 52.** Does your country, in accordance with its respective laws and regulations, consult the public in the decision-making process regarding living modified organisms and make the results of such decisions available to the public? (Article 23.2)
  - a) yes fully
  - b) yes limited extent
  - c) no

	a)	b)	c)					Percentages		
AFR:	3	8	5		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	3	2	0	a)	56%	19%	60%	20%	73%	100%
GRULAC	1	2	2	b)	28%	50%	40%	40%	18%	0%
CEE	8	2	1	c)	16%	31%	0%	40%	9%	0%
WEOG	13	0	0							
Tot	28	14	8							



- 40. **Question 53.** Has your country informed its public about the means of public access to the Biosafety Clearing-House? (Article 23.3)
  - a) yes fully
  - b) yes limited extent
  - c) no

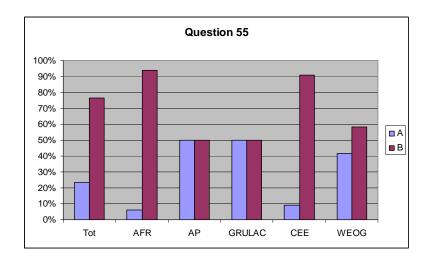
	a)	<b>b</b> )	c)					Percentages		
AFR:	0	14	1		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	3	0	a)	33%	0%	40%	0%	45%	69%
GRULAC	0	3	2	b)	61%	93%	60%	60%	55%	31%
CEE	5	6	0	c)	6%	7%	0%	40%	0%	0%
WEOG	9	4	0							
Tot	16	30	3							



# Article 24 - Non-Parties

- 41. **Question 55.** Have there been any transboundary movements of living modified organisms between your country and a non-Party during the reporting period?
  - a) yes
  - b) no

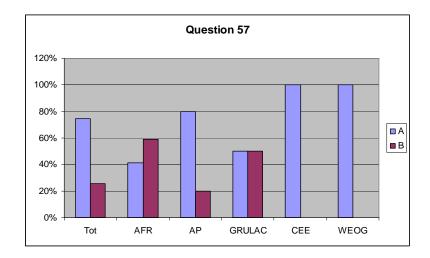
	a)	b)					Percentages		
AFR	1	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	2	2	a)	23%	6%	50%	50%	9%	42%
GRULAC	2	2	b)	77%	94%	50%	50%	91%	58%
CEE	1	10							
WEOG	5	7							
Tot	11	36							



### Article 25 - Illegal transboundary movements

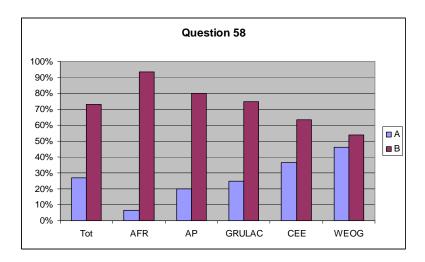
- 42. **Question 57.** Has your country adopted appropriate domestic measures to prevent and penalize, as appropriate, transboundary movements of living modified organisms carried out in contravention of its domestic measures? (Article 25.1)
  - a) yes
  - g) no

	a)	b)					Percentages		
AFR	7	10		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	4	1	a)	75%	41%	80%	50%	100%	100%
GRULAC	2	2	b)	25%	59%	20%	50%	0%	0%
CEE	11	0							
WEOG	14	0							
Tot	38	13							



- 43. **Question 58.** Have there been any illegal transboundary movements of living modified organisms into your country during the reporting period?
  - a) yes
  - b) no

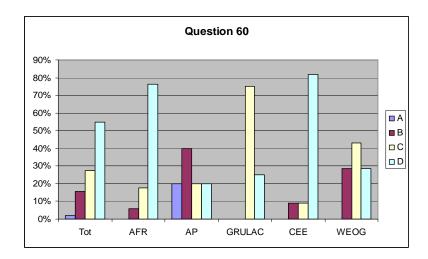
	a)	b)					Percentages		
AFR	1	14		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	4	a)	27%	7%	20%	25%	36%	46%
GRULAC	1	3	b)	73%	93%	80%	75%	64%	54%
CEE	4	7							
WEOG	6	7							
Tot	13	35							



#### Article 26 - Socio-economic considerations

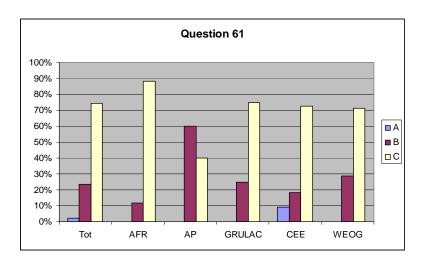
- 44. **Question 60.** If during this reporting period your country has taken a decision on import, did it take into account socio-economic considerations arising from the impact of living modified organisms on the conservation and sustainable use of biological diversity, especially with regard to the value of biological diversity to indigenous and local communities? (Article 26.1)
  - a) yes significant extent
  - b) yes limited extent
  - c) no
  - d) not a Party of import

	a)	b)	c)	d)				I	Percentages		
AFR:	0	1	3	13		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	2	1	1	a)	2%	0%	20%	0%	0%	0%
GRULAC	0	0	3	1	b)	16%	6%	40%	0%	9%	29%
CEE	0	1	1	9	c)	27%	18%	20%	75%	9%	43%
WEOG	0	4	6	4	d)	55%	76%	20%	25%	82%	29%
Tot	1	8	14	28							



- 45. **Question 61.** Has your country cooperated with other Parties on research and information exchange on any socio-economic impacts of living modified organisms, especially on indigenous and local communities? (Article 26.2)
  - a) yes significant extent
  - b) yes limited extent
  - c) no

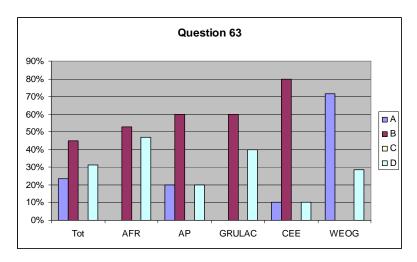
	a)	b)	c)					Percentages		
AFR:	0	2	15		Tot	AFR	AP	GRULAC	CEE	WEOG
AP	0	3	2	a)	2%	0%	0%	0%	9%	0%
GRULAC	0	1	3	b)	24%	12%	60%	25%	18%	29%
CEE	1	2	8	c)	75%	88%	40%	75%	73%	71%
WEOG	0	4	10							
Tot	1	12	38							



#### Article 28 - Financial mechanism and resources

- 46. **Question 63.** Please indicate if, during the reporting period, your Government made financial resources available to other Parties or received financial resources from other Parties or financial institutions, for the purposes of implementation of the Protocol.
  - a) yes made financial resources available to other Parties
  - b) yes received financial resources from other Parties or financial institutions
  - c) both
  - d) neither

	a)	b)	c)	d)	Percentages							
AFR:	0	9	0	8			Tot	AFR	AP	GRULAC	CEE	WEOG
AP	1	3	0	1		a)	24%	0%	20%	0%	10%	71%
GRULAC	0	3	0	2		b)	45%	53%	60%	60%	80%	0%
CEE	1	8	0	1		c)	0%	0%	0%	0%	0%	0%
WEOG	10	0	0	4		d)	31%	47%	20%	40%	10%	29%
Tot	12	23	0	16								



#### Annex

# Section A: List of countries having reported by 16 October 2007 <sup>2</sup>/

	Section A. List of countries naving reported	by 10 October 2007
Parties:	1. Armenia	28. Lithuania
	2. Austria*	29. Madagascar
	3. Belgium	30. Malaysia*
	4. Cambodia	31. Mexico
	5. Cameroon	32. Netherlands
	6. China	33. New Zealand
	7. Costa Rica	34. Norway
	8. Croatia	35. Peru
	9. Cuba	36. Poland
	10. Czech Republic	37. Portugal
	11. Democratic Republic of the	38. Qatar
	Congo	39. Republic of Moldova
	12. Dominican Republic	40. Rwanda
	13. Estonia	41. Senegal
	14. Ethiopia	42. Seychelles
	15. European Union	43. Slovakia
	16. Finland	44. Slovenia
	17. France	45. Spain
	18. Germany	46. Sudan
	19. Ghana	47. Swaziland
	20. Hungary	48. Sweden
	21. Indonesia*	49. Syrian Arab Republic
	22. Ireland	50. Togo
	23. Italy	51. Uganda
	24. Japan	52. United Kingdom of Great
	25. Kenya	Britain and Northern Ireland
	26. Latvia	53. United Republic of Tanzania
	27. Liberia	

Non-Parties: 54. Côte d'Ivoire 55. Guinea

# Section B: List of countries having reported between 16 October 2007 and 8 February 2008

Parties:	56. Barbados	63. Romania
	57. Bhutan	64. Saint Lucia
	58. Bulgaria	65. South Africa
	59. Colombia	66. Thailand
	60. Islamic Republic of Iran	67. Ukraine**
	61. Mozambique	68. Venezuela
	62. Nigeria	69. Viet Nam

**Non-Parties:** 70. Australia

<sup>&</sup>lt;sup>2</sup>/ The deadline of submission of the first national report was 11 September 2007. Only reports submitted by 16 October 2007 in MS Word format were uploaded on the Secretariat's National Report Analyzer and therefore included in the analysis presented in this document. However, this list includes all countries whose reports were received by the Secretariat by the date this document has been finalized.

<sup>\*</sup> Reports not submitted in MS Word format

<sup>\*\*</sup> Report submitted in Russian only