

**CONVENTION ON
BIOLOGICAL
DIVERSITY**

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**CONFERENCE OF THE PARTIES TO THE
CONVENTION ON BIOLOGICAL
DIVERSITY**

Sixth meeting

The Hague, 7-19 April 2002

Item 3 of the provisional agenda*

ANNOTATIONS TO THE PROVISIONAL AGENDA

Note by the Executive Secretary

INTRODUCTION

1. In accordance with decision IV/16, the first version of the annotations to the provisional agenda for the sixth meeting of the Conference of the Parties was prepared six months in advance of the meeting. Since important preparatory meetings and related developments took place since the document was issued, the Executive Secretary prepared the present revision.
2. The Executive Secretary also prepared a compilation of the various elements of draft decisions that have been proposed by the inter-sessional meetings for the consideration of the Conference of the Parties. This compilation is presented in document UNEP/CBD/COP/6/1/Add.2.
3. In accordance with paragraph 2 of decision V/20 and the guidance of the Bureau, the Executive Secretary has organized the items on the provisional agenda for the sixth meeting of the Conference of the Parties into four sections: organizational matters; reports; review of implementation of the programme of work; and priority issues for review and guidance. A more detailed explanation as to the overall purpose of each section is provided in their opening paragraphs. A list of the documents for the meeting is contained in annex I.

I. ORGANIZATIONAL MATTERS

4. Section I of the provisional agenda comprises those items that are procedural in nature. They include matters relating to the organization, operation and protocol of the meeting, rather than substantive issues.

* UNEP/CBD/COP/6/1 and Corr.1/Rev.1.

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ITEM 1. OPENING OF THE MEETING

5. Following the invitation of the Government of the Netherlands, which was welcomed and accepted by the Conference of the Parties in its decision V/29, the sixth meeting of the Conference of the Parties will be held in The Hague, from 7 to 19 April 2002.

6. The meeting will be held at the Netherlands Congress Centre, 10, Churchillplein, P.O. Box 82000, 2508 EA, The Hague, The Netherlands.

7. An opening ceremony will be held at 3 p.m. on Sunday, 7 April 2002. A high-level representative of the host Government will make a statement. The Conference will also hear an address of welcome by the Mayor of the City of The Hague, as well as statements by the Executive Director of the United Nations Environment Programme (UNEP) and the Executive Secretary. The opening ceremony will be followed by a reception hosted by Mrs. Geke Faber, State Secretary for Agriculture, Nature Management and Fisheries.

8. Meeting rooms will be made available on the morning of Sunday, 7 April 2002, for informal consultations within the five regional groups.

9. In accordance with rule 6 of the rules of procedure for meetings of the Conference of the Parties, the Secretariat notified the United Nations, its specialized agencies and the International Atomic Energy Agency as well as States not party to the Convention, of this meeting so that they may be represented as observers.

10. In accordance with rule 7 of the rules of procedure, the Secretariat also notified any body or agency, whether governmental or non-governmental, qualified in fields relating to the conservation and sustainable use of biological diversity, which has informed the Secretariat of its wish to be represented at the meeting, so that they may be represented as observers. Such bodies or agencies that wish to be represented but have not already informed the Secretariat are requested to do so.

11. At the plenary meeting on Monday, 8 April, the President of the fifth meeting of the Conference of the Parties, H.E. Mr. Joseph Kamotho (Kenya), will make a statement. The Executive Secretary of the Convention will also make a statement highlighting the main issues before the Conference of the Parties.

ITEM 2. ELECTION OF OFFICERS

Election of the President

12. In accordance with past practice, it is expected that, at the opening session, the President of the fifth meeting of the Conference of the Parties will call for the election of a representative of the host country as President of the sixth meeting. The term of office of the President will begin with his or her election at the sixth meeting and end with the election of a successor at the seventh meeting.

Election of officers other than the President

13. In accordance with rule 21 of the rules of procedure (as amended by decision V/20), in addition to the President, ten Vice-Presidents, one of whom shall serve as Rapporteur, are to be elected from among the representatives of the Parties present at the meeting. The term of office of the Vice-Presidents will

commence upon the closure of this meeting of the Conference of the Parties and end at the closure of the seventh meeting of the Conference of the Parties. Accordingly, the President, Vice-Presidents and Rapporteur elected at the fifth meeting of the Conference of the Parties will continue to serve as members of the Bureau for the duration of the sixth meeting.

Election of officers of subsidiary bodies and other meetings

14. Rule 26 of the rules of procedure, provides that the chairperson of any subsidiary body shall be elected by the Conference of the Parties, while the election of other officers is the responsibility of the body itself. At this meeting, the Conference of the Parties will therefore need to elect the chair of Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA) for its ninth and tenth meetings. Previously elected chairs of SBSTTA have come from following regional groups: for the first meeting – Africa; for the second meeting – Western European and Others; for the third and fourth meetings – Asia; for the fifth and sixth meetings – Latin America and the Caribbean; and for the seventh and eight meetings - the Central and Eastern European Group.

15. In keeping with past practice, the Conference of the Parties may also wish to elect the chair of any other working groups, committees or other types of bodies it has established or will establish at this meeting.

ITEM 3. ADOPTION OF THE AGENDA

16. The provisional agenda (UNEP/CBD/COP/6/1 and Corr.1/Rev.1) has been prepared by the Executive Secretary in accordance with rule 8 of the rules of procedure for meetings of the Conference of the Parties, taking into account the programme of work contained in annex II to decision IV/16 and other relevant decisions adopted by the Conference of the Parties. In preparing the provisional agenda, the Executive Secretary has benefited from guidance of the Bureau of the fifth meeting of the Conference of the Parties.

ITEM 4. ORGANIZATION OF WORK

17. In light of the number and complexity of the matters to be addressed at the sixth meeting, the Conference of the Parties may wish to establish two working groups. A proposed timetable and division of responsibilities between two working groups is contained in annex II below.

18. Interpretation will be available for two working groups for morning and afternoon sessions. Interpretation will not, however, be available for evening sessions, should these be necessary.

ITEM 5. REPORT ON THE CREDENTIALS OF REPRESENTATIVES TO THE SIXTH MEETING OF THE CONFERENCE OF THE PARTIES

19. Rule 18 of the rules of procedure for meetings of the Conference of the Parties states that:

"The credentials of representatives and the names of alternate representatives and advisers shall be submitted to the Executive Secretary of the Conference of the Parties or the representative of the Executive Secretary not later than twenty four hours after the opening of the meeting. Any later change in the composition of the delegation shall also be submitted to the Executive

Secretary or his representative. The credentials shall be issued by the Head of State or Government or by the Minister for Foreign Affairs or, in the case of a regional economic integration organization, by the competent authority of that organization".

20. Rule 19 provides that "the Bureau of any meeting shall examine the credentials and submit its report to the Conference of the Parties for decision".

21. In order to assist Parties in fulfilling the requirements of rule 18, the Executive Secretary has prepared a sample format of appropriate credentials, which has been distributed to national focal points as an annex to the letter of invitation to the meeting.

22. The Conference of the Parties will be invited to consider and adopt the report on credentials submitted to it by the Bureau.

ITEM 6. PENDING ISSUES

23. At its first meeting, the Conference of the Parties adopted the rules of procedure for its meetings in decision I/1, with the exception of paragraph 1 of rule 40, relating to the taking of substantive decisions. The Conference of the Parties has considered this outstanding matter at its subsequent meetings but without resolving it conclusively. At the third meeting, consensus was reached concerning some, but not all, of the text in brackets.

24. By decision I/6, the Conference of the Parties adopted the financial rules for the administration of the Trust Fund for the Convention on Biological Diversity. Paragraphs 4 and 16 of these rules contain bracketed text. Paragraph 4 deals with scale of assessments for the apportionment of contributions by Parties to the Trust Fund. Paragraph 16 deals with adopting decisions regarding the Trust Fund. The paragraphs have been considered at subsequent meetings of the Conference of the Parties, but without any agreement. The text therefore remains bracketed.

25. The Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP), pursuant to paragraph 5 of Article 29 of the Protocol and decision V/1 of the Conference of the Parties, addressed the issue of the rules of procedure at its second meeting held in Nairobi, Kenya, from 1 to 5 October 2001. The ICCP recalled that no agreement has been achieved regarding this issue and noted that this might have some implications on the effective operation of the Conference of the Parties serving as the meeting of the Parties to the Protocol. Consequently, the ICCP recommended that the Conference of the Parties further consider paragraph 1 of rule 40 of the rules of procedure with a view to reaching agreement on this matter.

26. The President, after consultation with the Bureau, is of the view that it will not be possible to conclude consideration of the pending matters at the outset of this meeting. Accordingly, he recommends that Parties be invited again to pursue informal consultations during the meeting with a view to resolving this issue.

ITEM 7. DATE AND VENUE OF, AND PREPARATIONS FOR, THE SEVENTH MEETING OF THE CONFERENCE OF THE PARTIES

27. In accordance with paragraph 2 of rule 4 of its rules of procedure, the Conference of the Parties is to decide on the date and duration of its next ordinary meeting.

28. At its fifth meeting the Conference decided to amend rule 4 of its rules of procedure so that ordinary meetings of the Conference of the Parties shall be held every two years. The seventh meeting of the Conference of the Parties should therefore take place in 2004.

29. With respect to the venue of the next meeting of the Conference of the Parties, rule 3 of the rules of procedure states that meetings of the Conference of the Parties shall take place at the seat of the Secretariat, unless the Conference of the Parties decides otherwise or other appropriate arrangements are made by the Secretariat in consultation with the Parties.

30. Under this item, a decision regarding the date and venue of the seventh meeting of the Conference of the Parties will be required.

31. The priority themes for the seventh meeting of the Conference of the Parties are: mountain ecosystems; protected areas; and transfer of technology and technological cooperation. The Executive Secretary has prepared a note (UNEP/CBD/COP/6/2), which reviews these issues in a preliminary manner and describes how they could be considered during the inter-sessional period.

II. ADOPTION OF REPORTS

32. Section II of the provisional agenda provides for the presentation of the reports of:

- (a) The regional preparatory meetings for the sixth meeting of the Conference of the Parties;
- (b) The Subsidiary Body on Scientific, Technical and Technological Advice on the work of its sixth and seventh meetings (UNEP/CBD/COP/6/3 and 4);
- (c) The Open-ended Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention on Biological Diversity (UNEP/CBD/COP/6/5);
- (d) The Ad Hoc Open-ended Working Group on Access and Benefit-Sharing (UNEP/CBD/COP/6/6);
- (e) The Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions (UNEP/CBD/COP/6/7);
- (f) The Executive Secretary, on the status of the Cartagena Protocol on Biosafety (UNEP/CBD/COP/6/8);
- (g) The Global Environment Facility (UNEP/CBD/COP/6/9); and
- (h) The Executive Secretary, on the administration of the Convention (UNEP/CBD/COP/6/10).

33. The Conference of the Parties is invited to take note of the reports submitted, on the understanding that the substantive issues arising therein will be taken up under the appropriate agenda item, as indicated below.

ITEM 8. REPORTS OF THE REGIONAL PREPARATORY MEETINGS

34. Regional meetings were held in Africa (Nairobi, 19-21 March), Asia and the Pacific (Bangkok, 18-20 March), and Latin America and the Caribbean (Kingston, 18-20 March) to prepare for the sixth meeting of the Conference of the Parties and to review the implementation of the Convention in the various regions. An opportunity will be provided for the chairs of these regional meetings to introduce the reports of these meetings. Other regions will also be invited to present their views. The reports of the regional meetings are available as information documents.

35. The Conference of the Parties will be invited to take note of and to incorporate, as appropriate, the conclusions of the regional meetings into its consideration of relevant items of the provisional agenda.

ITEM 9: REPORTS OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE***Report of the sixth meeting of SBSTTA***

36. The report of the sixth meeting of SBSTTA, held in Montreal from 12 to 16 March 2001, is contained in document UNEP/CBD/COP/6/3 and will be introduced by the Chair of that meeting or by the Rapporteur on his behalf.

37. The report contains nine recommendations, several of which do not require further attention by the Conference of the Parties.

38. The Conference of the Parties is invited to take note of the report of the sixth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice as presented under this item and to address the substantive recommendations of the Subsidiary Body contained in its report under the corresponding substantive item of the agenda, as follows:

<i>Recommendation</i>	<i>Subject matter of SBSTTA recommendation</i>	<i>Substantive agenda item</i>
VI/1	Ad hoc technical expert groups	24
VI/2	Marine and coastal biological diversity: progress report on the implementation of the programme of work, including the integration of coral reefs	16
VI/3	Biological diversity of inland water ecosystems	16
VI/4	Alien species that threaten ecosystems, habitats or species	22
VI/5	Scientific assessments: development of methodologies and identification of pilot studies	17.1 and 24 (to be discussed together with appropriate recommendation(s) from SBSTTA at its seventh meeting)
VI/6	The Global Taxonomy Initiative: programme of work	17.2

<i>Recommendation</i>	<i>Subject matter of SBSTTA recommendation</i>	<i>Substantive agenda item</i>
VI/7	Biological diversity and climate change, including cooperation with the United Nations Framework Convention on Climate Change	19.1 and 21 (to be discussed together with appropriate recommendation(s) from SBSTTA at its seventh meeting)
VI/8	Migratory species and cooperation with the Convention on the Conservation of Migratory Species of Wild Animals	19.1
VI/9	Global Biodiversity Outlook	24

Report of the seventh meeting of SBSTTA

39. The seventh meeting of SBSTTA was held in Montreal from 12 to 16 November 2001. The report of the meeting is before the sixth meeting of the Conference of the Parties as document UNEP/CBD/COP/6/4 and will be introduced by the Chair of the Subsidiary Body at its seventh meeting or by the Rapporteur on his behalf.

40. The Subsidiary Body adopted 13 recommendations on the following topics at its seventh meeting and the Conference of the Parties is invited to take them up in the context of the agenda items indicated:

<i>Recommendation</i>	<i>Subject matter of SBSTTA recommendation</i>	<i>Substantive agenda item</i>
VII/1	Ad hoc technical expert groups	24
VII/2	Progress report on ongoing assessments	17.1 and 24
VII/3	Biological diversity of dry and sub-humid lands: progress report on the implementation of the programme of work	16
VII/4	Progress in the development of practical principles, operational guidance and associated instruments on sustainable use;	17.6
VII/5	Sustainable tourism	17.6
VII/6	Forest biological diversity	21
VII/7	Agricultural biological diversity	16
VII/8	Global Strategy for Plant Conservation	17.3
VII/9	Incentive measures	17.6
VII/10	Further development of guidelines for incorporating biodiversity-related issues into environmental impact assessment legislation and/or processes and in strategic environmental assessment	17.1
VII/11	Designing national-level monitoring programmes and indicators	17.1

<i>Recommendation</i>	<i>Subject matter of SBSTTA recommendation</i>	<i>Substantiv e agenda item</i>
VII/12	Topics for future work	24
VII/13	Global Biodiversity Outlook	24

41. SBSTTA also proposed a provisional agenda for its eighth and ninth meetings (UNEP/CBD/COP/6/4, annex II). Since this matter is related to the future programme of work of the Conference of the Parties, the Conference of the Parties may wish to consider the provisional agendas of the eighth and ninth meetings of SBSTTA under item 24 below (“Strategic Plan, national reporting and operations of the Convention”).

42. It is also suggested that the respective chairs of the Subsidiary Body on Scientific, Technical and Technological Advice or their representatives should be invited to introduce the individual recommendations of the Subsidiary Body as and when they are taken up under the substantive agenda items.

ITEM 10. REPORT OF THE INTER-SESSIONAL MEETING ON THE STRATEGIC PLAN, NATIONAL REPORTS AND THE IMPLEMENTATION OF THE CONVENTION

43. In its decision V/20 on the operations of the Convention, the Conference of the Parties decided to hold an open-ended meeting to assist with preparations for the sixth meeting of the Conference of the Parties. This meeting was held in Montreal from 19 to 21 November 2001.

44. The report of the meeting (UNEP/CBD/COP/6/5) will be introduced by the President of the fifth meeting of the Conference of the Parties, or his representative, who served as chair of the Meeting.

45. Under this item, the Conference of the Parties is invited to take note of the report on the understanding that the substantive recommendations and advice of the Meeting will be taken up under agenda item 24.

ITEM 11. REPORT OF THE AD HOC OPEN-ENDED WORKING GROUP ON ACCESS AND BENEFIT-SHARING

46. By decision V/26 A, the Conference of the Parties established the Ad Hoc Open-ended Working Group on Access and Benefit-Sharing. Its mandate is to develop guidelines and other approaches for submission to the Conference of the Parties and to assist Parties and stakeholders in addressing key elements relevant to access to genetic resources and benefit-sharing. The Working Group met from 22 to 26 October 2001 in Bonn Germany. The report of the meeting (UNEP/CBD/COP/6/6) will be introduced by the Chair of the Working Group.

47. Under this item, the Conference of the Parties is invited to take note of the report of the meeting of the Working Group, on the understanding that the substantive recommendations and advice of the Group will be taken up under agenda item 23.

ITEM 12. REPORT OF THE WORKING GROUP ON THE IMPLEMENTATION OF ARTICLE 8(j) AND RELATED PROVISIONS

48. In its decision IV/9, the Conference of the Parties established and approved the mandate of the Ad Hoc Working Group on Article 8(j) and Related Provisions, which held its first meeting from 27 to 31 March 2000 in Seville, Spain. In decision V/16, the Conference of the Parties extended the mandate of the Working Group to review progress in the implementation of the priority tasks of its work programme and to recommend further action on the basis of that review. The Working Group held its second meeting from 4 to 8 February 2002 to review progress in the implementation of the priority tasks of its work programme. The report of the meeting (UNEP/CBD/COP/6/7) will be introduced by the Chair of the Working Group.

49. Under this item, the Conference of the Parties is invited to take note of the report of the second meeting of the Working Group, on the understanding that the substantive recommendations and advice of the Group will be taken up under agenda item 17.4.

ITEM 13. REPORT ON THE STATUS OF THE BIOSAFETY PROTOCOL

50. In its decision EM-I/3, the Conference of the Parties established an Open-ended Ad Hoc Intergovernmental Committee for the Cartagena Protocol on Biosafety (ICCP) to undertake the preparations necessary for the first meeting of the Parties to the Protocol.

51. By decision V/1, the Conference of the Parties endorsed a work plan for the ICCP over two meetings. The first meeting of the ICCP took place from 11 to 15 December 2000 in Montpellier, France. The second meeting was held from 1 to 5 October 2001 at the headquarters of the United Nations Environment Programme (UNEP), in Nairobi. The reports of those meeting will be before the Conference of the Parties as documents UNEP/CBD/COP/6/8/Add.1 and Add.2, respectively.

52. In its decision EM-I/3, the Conference of the Parties requested the Executive Secretary to commence preparatory work on the functioning of the Biosafety Clearing House referred to in Article 20 of the Protocol. The pilot phase of the Biosafety Clearing-House was launched on 5 April 2001 at the following address: <http://bch.biodiv.org/pilot>.

53. The Conference of the Parties further decided to establish a regionally balanced roster of experts, nominated by Governments, in fields relevant to risk assessment and risk management related to the Protocol, to, *inter alia*, provide advice and support, as appropriate and upon request, to developing country Parties and Parties with economies in transition. ICCP considered the operationalization of this roster at its second meeting.

54. The Conference of the Parties also welcomed the decision of the Council of the Global Environment Facility (GEF) to request the GEF secretariat, in consultation with the GEF Implementing Agencies and the Secretariat of the Convention on Biological Diversity to develop an initial strategy for assisting countries to prepare for the entry into force of the Biosafety Protocol. Implementation of this strategy was reviewed at the Open-ended Meeting of Experts in Capacity-Building for the Implementation of the Biosafety Protocol, held in Havana from 11 to 13 July 2001, the International Workshop on Financial Support for the National Biosafety Frameworks (which was co organized with UNEP) held in a the same location on 14 July, and the second meeting of ICCP.

55. The Executive Secretary provided a report of the status of these and other activities to implement the Cartagena Protocol on Biosafety (UNEP/CBD/COP/6/8). The report of the Executive Secretary also

addresses the continuing needs of interim arrangements and the necessary budgetary support; and, if appropriate, support and cooperation from other bodies.

56. Under this item, the Conference of the Parties is invited to take note of the report on the status of the Cartagena Protocol on Biosafety and consider the proposals of the Executive Secretary regarding the interim arrangements to be undertaken pending the entry into force of the Protocol.

ITEM 14. REPORT OF THE GLOBAL ENVIRONMENT FACILITY

57. Pursuant to Article 21 of the Convention on Biological Diversity and paragraph 3.1 of the Memorandum of Understanding between the Conference of the Parties and the GEF Council, the Conference of the Parties will have before it the report of the Global Environment Facility (UNEP/CBD/COP/6/9). In accordance with past practice, the report is made available only in the languages of submission, namely English, French and Spanish.

58. The Conference of the Parties will also have before it, as an addendum to the report of the GEF, a letter from the Chief Executive Officer and Chairman of the GEF addressed to the Executive Secretary and transmitting the Second Overall Performance Study of the GEF (UNEP/CBD/COP/6/9/Add.1). The letter highlights some of the recommendations of the Performance Study of relevance to the Conference of the Parties. The complete text of the Study is being made available as an information document (UNEP/CBD/COP/6/INF/29).

59. Under this item, the Conference of the Parties is invited to take note of the report of the Council and the results of the Second Overall Performance Study and to consider the information contained therein when deciding upon the need for further guidance to the financial mechanism under item 18.1 and other items of relevance.

ITEM 15. REPORT OF THE EXECUTIVE SECRETARY ON THE ADMINISTRATION OF THE CONVENTION AND THE BUDGET FOR THE TRUST FUND OF THE CONVENTION

60. The report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention in the biennium 2001-2002 will be before the Conference of the Parties as document UNEP/CBD/COP/6/10.

61. Under this item, the Conference of the Parties is invited to take note of the report and to take up the information contained therein in its consideration of agenda item 20 (Budget for the programme of work for the biennium 2003-2004).

III. REVIEW OF THE IMPLEMENTATION OF THE PROGRAMME OF WORK

62. The items included in section III of the provisional agenda are intended to provide the Conference of the Parties with an opportunity to review the implementation of the Convention and the programme of work. The section is structured in line with the existing programme of work and decisions of the Conference of the Parties..

63. Under this section, the Conference of the Parties will address a number of issues that have arisen during the course of implementing existing decisions and require the attention of the Conference of the Parties. Accordingly, the documentation provided by the Executive Secretary focuses on specific issues requiring action by the Conference of the Parties.

**ITEM 16. THEMATIC PROGRAMMES OF WORK—PROGRESS REPORTS
ON IMPLEMENTATION: BIOLOGICAL DIVERSITY OF INLAND
WATERS; MARINE AND COASTAL BIOLOGICAL DIVERSITY;
BIOLOGICAL DIVERSITY OF DRY AND SUB-HUMID LANDS;
AND AGRICULTURAL BIOLOGICAL DIVERSITY**

64. The most recent recommendations of SBSTTA concerning the implementation of the programmes will be before the Conference of the Parties in the reports of the sixth and seventh meetings of the Subsidiary Body (UNEP/CBD/COP/6/3 and 4).

65. Under this item, the Executive Secretary has also prepared a note (UNEP/CBD/COP/6/11) identifying, on the basis of the review by SBSTTA and, where appropriate, recommendations of other bodies of the Convention and other organizations, matters that require the attention of the Conference of the Parties for progress to be made in the implementation of the programme. Draft elements of decisions for the consideration of the Conference of the Parties will be contained in document UNEP/CBD/COP/6/1/Add.2.

Inland water ecosystems

66. The programme of work on inland water ecosystem was adopted by the Conference of the Parties as annex I to decision IV/4. Annex II to the same decision contains a suggested timetable that SBSTTA and the Executive Secretary are to consider in their work on inland waters. The framework provided for most activities is designed to be applicable on a continuing basis. Also in decision IV/4, the Conference of the Parties indicated that urgent attention should be given to the development of rapid-assessment methodologies, especially related to small island developing States, and gave priority to two activities for the Executive Secretary: (i) compilation of information and case-studies for use by SBSTTA; and (ii) development of an improved picture of inland water biological diversity, its uses and threats, around the world. An overview of the status of implementation of the programme of work is provided in the progress report on the implementation of programmes of work on the biological diversity of inland water ecosystems, marine and coastal biological diversity, agricultural biological diversity and the biological diversity of dry and sub-humid lands (UNEP/CBD/COP/6/11).

67. At its fifth meeting, the Conference of the Parties took note of the review of progress by SBSTTA and endorsed the joint work plan with the Ramsar Convention on Wetlands. SBSTTA requested the Executive Secretary to prepare a progress report on the second joint work plan and a draft third joint work plan, in collaboration with the Convention on Wetlands. Accordingly, in collaboration with the Ramsar Bureau, the Conference of the Parties will have before it progress reports on the second joint work plan (UNEP/CBD/COP/6/INF/12) and on the River Basin Initiative (UNEP/CBD/COP/6/INF/13) and a draft third joint work plan with the Ramsar Convention on Wetlands for the period 2002-2006 (UNEP/CBD/COP/6/INF/14).

68. Under this item, the Conference of the Parties is invited to endorse the third draft work plan of work with the Ramsar Convention on Wetlands, consider the relevant elements of SBSTTA

recommendation VI/3, on biodiversity of inland water ecosystems, and to request the Executive Secretary to continue with the implementation of the programme of work.

Marine and coastal biological diversity

69. The programme of work on marine and coastal biological diversity, as approved by the Conference of the Parties in its decision IV/5, identifies important operational objectives and priority activities within the framework of five key programme elements: implementation of integrated marine and coastal area management (IMCAM); marine and coastal living resources; marine and coastal protected areas; mariculture; and alien species and genotypes. The programme provides a framework for activities to implement the Jakarta Mandate on Marine and Coastal Biological Diversity until 2000, although the framework is designed to be applicable beyond that year. The note prepared by the es1 under this item (UNEP/CBD/COP/6/11) provides an update on the status of implementation of the various elements of the programme of work.

70. Implementation of the programme was reviewed by the Conference of the Parties at its fifth meeting. As a result, the Conference of the Parties decided to integrate coral reefs into programme element 2 (Marine and coastal living resources) of the programme of work. Paragraph 4 of the decision requested the Executive Secretary to integrate fully the issue of coral bleaching in the programme of work on the conservation and sustainable use of marine and coastal biological diversity and to develop and implement a specific work plan on coral bleaching. In addition, paragraph 8 requested SBSTTA to consider the effects of physical degradation and destruction of coral reefs. Accordingly, at its sixth meeting, SBSTTA adopted recommendation VI/2, containing a new operational objective 2.3 incorporating coral reefs into programme element 2. Annex II to the recommendation contains a specific work plan on coral bleaching incorporating a number of activities developed on the basis of the priority areas for action adopted by the Conference of the Parties in the annex to decision V/3, taking into account the ongoing initiatives of other relevant organizations. Annex I to recommendation VI/2 contains proposed draft elements of a work plan to control human-induced causes of physical degradation and destruction of coral reefs.

71. In addition, the note by the Executive Secretary (UNEP/CBD/COP/6/11) reports on progress made in regards to a number of other elements of decision V/3. These include guidelines for coastal areas, taking into account decision V/6 on the ecosystem approach (paragraph 9 of decision V/3); the ad hoc technical expert groups on marine and coastal protected areas and mariculture (paragraph 15 of decision V/3); and cooperation with global organizations (paragraph 17) and regional seas conventions and action plans (paragraph 18). Progress made in work on ecosystem evaluation and assessment (paragraph 10 of decision V/3) is reported on in document UNEP/CBD/COP/6/12.

Biological diversity of dry and sub-humid lands

72. In its decision V/23, the Conference of the Parties adopted a programme of work on dry and sub-humid lands. In this decision, the Conference of the Parties requested the Executive Secretary:

(a) To review this programme of work and identify expected outcomes, further activities to achieve these outcomes, those who should implement these activities, and timetables for action and follow-up, taking into account the suggestions of the technical group of experts established by the same decision;

(b) To collaborate with the Secretariat of the Convention to Combat Desertification, including through the development of a joint work programme, as well as with other relevant bodies;

(c) To establish a roster of experts on the biological diversity of dry and sub-humid lands; and

(d) To make available relevant information through various means, including the development in the clearing-house mechanism of a database on dry and sub-humid lands.

73. In addition, the Conference of the Parties requested SBSTTA, *inter alia*, to review periodically the status of the biological diversity of dry and sub-humid lands, and make recommendations for the further refinement of the programme of work. SBSTTA will undertake this review in time for the seventh meeting of the Conference of the Parties.

74. At its seventh meeting, SBSTTA considered a progress report on the collaborative activities with the Secretariat of the Convention to Combat Desertification. A report on the status of implementation of the request outlined above is contained in the note by the Executive Secretary under this item (UNEP/CBD/COP/6/11).

Agricultural biological diversity

75. In its decision III/11, the Conference of Parties established a programme of activities on agricultural biodiversity, and requested the Executive Secretary to invite the Food and Agriculture Organization of the United Nations (FAO), in close collaboration with other relevant organizations, to identify and assess relevant ongoing activities and instruments. Based on this assessment, the Conference of Parties, in its decision IV/6, requested that SBSTTA provide to the Conference of the Parties, recommendations for the further development of the programme of work on agricultural biodiversity.

76. Based on this review of phase I of the programme of work, the Conference of the Parties adopted a further programme of work in decision V/5. In this decision the Conference of the Parties requested the Executive Secretary, *inter alia*:

(a) To prepare a progress report and proposals for its further implementation to be considered by SBSTTA prior to the sixth meeting of the Conference of the Parties; and

(b) To invite FAO to facilitate and coordinate the International Initiative for the Conservation and Sustainable Use of Pollinators, and to prepare a proposal for a plan of action on pollinators taking into account the recommendations of the São Paulo Declaration on Pollinators, as well as contributions submitted by countries and relevant organizations.

77. SBSTTA considered these issues at its seventh meeting and recommended that the Conference of the Parties note the progress made and adopt the proposals for further implementation by the Executive Secretary and partner organizations.

78. Additionally, the Conference of the Parties invited FAO, in close collaboration with member organizations of the Ecosystem Conservation Group, and other competent organizations and research bodies, to further study the potential implications of genetic use restriction technologies (GURTs) for the conservation and sustainable use of agricultural biological diversity and the range of agricultural production systems in different countries, and identify relevant policy questions and socio-economic issues that may need to be addressed; and invited FAO and its Commission on Genetic Resources for Food and Agriculture and other competent organizations to inform the Conference of the Parties at its sixth meeting of their initiatives in this area. A report prepared by FAO on the status of this work is available as an information document (UNEP/CBD/COP/6/INF/1).

79. In response to paragraph 29 of decision V/5, the Executive Secretary organized an informal consultation to assess the potential impacts of genetic use restriction technologies (GURTs) on local and indigenous communities and on Farmers' Rights, in the light of the International Treaty on Genetic Resources for Food and Agriculture. The informal consultation was held in Montreal on 3 February 2002 on the margins of the second meeting of the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity. A summary report of the meeting is available (UNEP/CBD/COP/6/11/Add.1), while the complete report has been circulated as an information document (UNEP/CBD/COP/6/INF/8).

80. By paragraph 10 of decision IV/6, the Conference of the Parties requested the Executive Secretary to report on the impact of trade liberalization on the conservation and sustainable use of agricultural biological diversity in consultation with relevant bodies, such as the World Trade Organization. The report on this matter was circulated in an information document (UNEP/CBD/COP/6/INF/2).

81. Under this item, the Conference of the Parties is invited to note the progress made and to adopt the proposals of SBSTTA on the further implementation of the programme of work.

ITEM 17. CROSS-CUTTING ISSUES: PROGRESS REPORTS ON IMPLEMENTATION

82. Under this item, the Executive Secretary has prepared a note (UNEP/CBD/COP/6/12) identifying, on the basis of the recommendations of the relevant body of the Convention and other organizations, matters that require the attention of the Conference of the Parties for progress to be made in the implementation of the programmes. Draft elements of decisions for the consideration of the Conference of the Parties are contained in document UNEP/CBD/COP/6/1/Add.2.

17.1. Identification, monitoring, indicators and assessments

Monitoring and indicators

83. In decision IV/1 A, the Conference of the Parties endorsed SBSTTA recommendation III/5, on current approaches to indicator development and recommendations for a preliminary core set of indicators of biological diversity, particularly those related to threats, and options for capacity-building in developing countries in the application of guidelines and indicators for subsequent national reports. This recommendation contained a series of short-term and long-term objectives. The short-term activities concentrate on incorporating existing science into the Convention process, mainly through preparatory activities carried out by a liaison group.

84. The fifth meeting of SBSTTA reviewed progress in the implementation of this decision for the fifth meeting of the Conference of the Parties. Based on this review, the Conference of the Parties by decision V/7 requested the Executive Secretary to carry out the pending activities set out in decision IV/1 A.

85. It also requested SBSTTA to review progress, in time for the sixth meeting of the Conference of the Parties.

86. Accordingly, the seventh meeting of SBSTTA considered:

(a) A set of principles and standard questions for designing national-level monitoring programmes and indicators; and

(b) An indicative list of available and potential indicators that may be used by Parties at the national, regional and global levels to describe the state and trends of biodiversity.

87. Under this item, the Conference of the Parties will be invited to consider SBSTTA recommendation VII/11.

Environmental impact assessment

88. With regard to environmental impact assessment, the Conference of the Parties adopted decision V/18 requesting the Executive Secretary, *inter alia*, to compile and evaluate existing guidelines, procedures and provisions for environmental impact assessment and SBSTTA to further develop guidelines for incorporating biodiversity-related issues into legislation and/or processes on strategic environmental assessment for consideration by the sixth meeting of the Conference of the Parties.

89. At its seventh meeting SBSTTA considered the draft guidelines for incorporating biodiversity-related issues into legislation and/or processes of strategic environmental assessment and provided advice on how to further implement paragraph 1 of Article 14 of the Convention with other related components of the Convention.

90. Under this item, the Conference of the Parties is invited to consider SBSTTA recommendation VII/10.

Scientific assessments

91. Decision V/20 of the Conference of the Parties on the operation of the Convention, requested SBSTTA to, *inter alia*, identify and, where needed, further develop procedures and methods to undertake or participate in scientific assessments, and to undertake a limited number of pilot scientific assessment projects. At its seventh meeting, SBSTTA identified options for potential pilot scientific assessment projects addressing ecosystems, specific biomes and habitats, and threats posed to biodiversity, and development of assessment methodologies. SBSTTA also proposed methods and procedures for scientific assessments within the framework of the Convention.

92. Under this item, the Conference of the Parties is invited to consider recommendation VI/5 of SBSTTA.

17.2. *Global Taxonomy Initiative*

93. In its decision IV/1 D, the Conference of the Parties, *inter alia*, endorsed ‘Suggestions for Action’ for the development and implementation of a Global Taxonomy Initiative and requested SBSTTA to provide advice on its further advancement. SBSTTA provided detailed guidance on further developing the Initiative at its fourth (recommendation IV/2) and fifth meetings (recommendation V/3). Based on this advice in its decision V/9, the Conference of the Parties, *inter alia*: established a coordination mechanism to assist the Executive Secretary to facilitate international cooperation under the Global Taxonomy Initiative (GTI); identified priority activities; and requested the Executive Secretary, with the assistance of the coordination mechanism, to draft a work programme for the GTI for consideration by SBSTTA, initiate short-term activities, synthesize the findings of previous meetings of experts and national reports, and use

the GTI to promote taxonomy in the implementation of the Convention. The sixth meeting of SBSTTA reviewed progress in implementation and recommended *inter alia* that the sixth meeting of the Conference of the Parties adopt the programme of work contained in the Annex to recommendation VI/6.

94. The Executive Secretary reported on the status of implementation of the GTI in document UNEP/CBD/COP/6/12. Under this item, the Conference of the Parties is invited to consider SBSTTA recommendation VI/6.

17.3. Global Strategy for Plant Conservation

95. By decision V/10, the Conference of the Parties decided to consider at its sixth meeting the establishment of a global strategy for plant conservation. To assist it in this matter, the Conference of the Parties requested SBSTTA to make recommendations regarding the development of a global strategy for plant conservation, which would be aimed at halting the current rate of loss of plant diversity. To this end, the Conference of the Parties requested the Executive Secretary to solicit the views of Parties and to liaise with relevant organizations, in order to gather information regarding plant conservation, including information on existing international initiatives.

96. At its seventh meeting, SBSTTA considered a draft global strategy for plant conservation, as well as information on international initiatives relating to plant conservation, based on a note prepared by the Executive Secretary in collaboration with various organizations through informal consultations, and taking into account the views of Parties.

97. Accordingly, SBSTTA prepared a proposal for the Strategy, including 16 outcome-oriented global targets for 2010. In its recommendation VII/8, SBSTTA recommended that the Conference of the Parties consider this proposal for adoption, taking into account the results of the inter-sessional work to: (i) refine the quantitative elements of the targets in the draft strategy providing a scientific and technical rationale in each case, and clarifying terms as necessary (paragraph 2), and (ii)) prepare an analysis of the opportunities for implementation of the strategy through the thematic and cross-cutting programmes of work of the Convention, including in particular the Ecosystem Approach and the Global Taxonomy Initiative, as well as through existing relevant international, regional and national initiatives, and an analysis of any gaps in these programmes and initiatives (paragraph 3). SBSTTA also invited Parties, Governments and relevant organizations to provide information to the Executive Secretary on relevant international, regional and national initiatives (paragraph 4) (recommendation VII/8).

98. In response to this recommendation, the Executive Secretary invited Parties, other governments and organizations to provide their views and convened a meeting of technical experts on the Global Strategy for Plant Conservation in Gran Canaria, Spain, from 11 to 13 February 2002. The results of the meeting are available in the note by the Executive Secretary on the technical review of the targets and analysis of opportunities for their implementation (UNEP/CBD/COP/6/12/Add.4). The full report of the meeting, together with a number of background documents prepared by the Executive Secretary for the meeting and revised by participants are also available as information documents (UNEP/CBD/COP/6/INF/21 and Add.1-4).

99. Under this item, the Conference of the Parties is invited to consider recommendation of SBSTTA, as well as the outcome of the Gran Canaria meeting of technical experts.

17.4. Article 8(j) and related provisions

100. In its decision V/16, the Conference of the Parties endorsed a programme of work for the implementation of Article 8(j) and related provisions of the Convention. In the same decision, the Conference of the Parties mandated the Ad Hoc Open-ended Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions to review progress in the implementation of the priority tasks of this programme of work and to report to the Conference of the Parties at its sixth meeting.

101. At its second meeting, held in Montreal from 4 to 8 February 2002, the Working Group on the Implementation of Article 8(j) and Related Provisions made recommendations concerning, among other things: (i) the outline of a composite report on the status and trends regarding the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity (recommendation 2/3); (ii) the conduct of cultural, environmental and social impact assessment regarding developments proposed to take place on, or which are likely to impact on, sacred sites and on lands and waters traditionally occupied or used by indigenous and local communities (recommendation 2/4); (iii) participatory mechanisms for indigenous and local communities (recommendation 2/5); and (iv) assessment of the effectiveness of existing subnational, national and international instruments, particularly intellectual property rights instruments, that may have implications for the protection of the knowledge, innovations and practices of indigenous and local communities (recommendation 2/6).

102. Under this item, the Conference of the Parties is invited to take up the recommendations contained in the report of the Working Group (UNEP/CBD/COP/6/7).

17.5. Liability and redress (Article 14, paragraph 2)

103. In its decision IV/10 C the Conference of the Parties invited submissions on national and international measures on: liability and redress applicable to damage to biodiversity; and information on experiences in implementation, as well as information on access by foreign citizens to national courts in cases of transboundary harm. At the behest of Conference of the Parties, the Secretariat prepared a synthesis report based on these submissions for the fifth meeting of the Conference of the Parties. In its decision V/18, the Conference of the Parties renewed its call for information and requested the Executive Secretary to update the synthesis report for consideration by the Conference of the Parties at its sixth meeting. The updated synthesis is contained in document UNEP/CBD/COP/6/12/Add.1.

104. The Conference of the Parties also decided to consider at its sixth meeting a process for reviewing paragraph 2 of Article 14 of the Convention, including the establishment of an ad hoc technical expert group, taking into account the outcome of the workshop and the consideration of these issues within the framework of the Cartagena Protocol on Biosafety.

105. The Conference of the Parties also welcomed the offer of France to organize a workshop on liability and redress in the context of the Convention. This workshop was held in Paris from 18 to 20 June 2001. Its report is contained in document UNEP/CBD/COP/6/INF/5. The Workshop suggested that the Executive Secretary collect further information. It recommended that a group of legal and technical experts be convened to assist the Conference of the Parties in its task under paragraph 2 of Article 14 and proposed some terms of reference for this Group. Finally, the Workshop noted the importance of the awareness-raising and capacity building.

106. Under this item, the Conference of the Parties is invited to take note of the updated synthesis and to consider the proposal of the Workshop in document UNEP/CBD/COP/6/INF/5.

17.6. Ecosystem approach; sustainable use; and incentive measures

Ecosystem approach

107. At its second meeting, the Conference of the Parties decided that the ecosystem approach should be the primary framework of action to be taken under the Convention. By decision V/6, the Conference of the Parties endorsed a description of the ecosystem approach, points of operational guidance, and recommended application of the principles as reflecting the present level of common understanding. It also encouraged further conceptual elaboration and practical verification. The Conference of the Parties called on Parties, and other relevant organizations to apply the approach, encouraging in particular regional cooperation, the identification of case-studies and implementation of pilot projects, and the provision of technical and financial support for capacity-building. It requested the Executive Secretary to prepare a synthesis of case-studies and lessons learned for presentation to SBSTTA before the seventh meeting of the Conference of the Parties and requested SBSTTA to review the principles and guidelines of the approach, to prepare guidelines for its implementation and to review its incorporation into the various work programmes, also before the seventh meeting of the Conference of the Parties.

108. The Executive Secretary reports on the status of implementation of these activities in document UNEP/CBD/COP/6/12.

Sustainable use

109. The Conference of the Parties considered sustainable use as a priority issue at its fifth meeting and adopted decision V/24, on sustainable use as a cross-cutting issue, and decision V/25, on the relationship between biological diversity and tourism within the context of sustainable use.

110. In its decision V/24, the Conference of the Parties requested the Executive Secretary to assemble practical principles, operational guidelines and associated instruments, and guidance specific to sectors and biomes, which would assist Parties and Governments to develop ways to achieve the sustainable use of biological diversity and to present a progress report for consideration by SBSTTA prior to the sixth meeting of the Conference of the Parties.

111. In response to that request, the Executive Secretary convened a series of three regional workshops on sustainable use of biological diversity, sponsored by the Government of The Netherlands. The first workshop was held in Maputo, Mozambique, from 24 to 27 September 2001 and focused on key elements relating, in particular, to the sustainable use of dryland resources and wildlife utilization in Africa. The second workshop was held in Hanoi, Vietnam, from 9 to 12 January 2002, and focused on forest biological diversity, including timber and non-wood forest products, with reference to agricultural biological diversity. The third workshop was held in Salinas, Ecuador, from 18 to 21 2002, and focused on marine and coastal biological diversity, including freshwater fisheries. This resulted in set of practical guidelines for sustainable use of biological diversity. The reports of the three regional workshops are available as information documents (UNEP/CBD/COP/6/INF/24 and Add.1-3).

Sustainable tourism

112. In its decision V/25, the Conference of the Parties accepted the invitation to participate in the international work programme on sustainable tourism development under the Commission on Sustainable Development process. To this end, the Conference of the Parties requested the Executive Secretary to prepare a proposal for the contribution on guidelines for activities related to sustainable tourism development, for example, by convening an international workshop. In addition, the Conference of the Parties, requested SBSTTA to transmit its findings, through the Executive Secretary, to the Commission on Sustainable Development at its tenth meeting (January 2002). Accordingly, at its seventh meeting, SBSTTA considered draft international guidelines for activities related to sustainable tourism development in vulnerable terrestrial, marine and coastal ecosystems and habitats of major importance for biological diversity and protected areas, including fragile riparian and mountain ecosystems, prepared by the Workshop on Biological Diversity and Tourism, convened by the Executive Secretary in Santo Domingo, from 4 to 7 June 2001. The report also includes recommendations for future action. The recommendations of SBSTTA, together with the draft guidelines, have been transmitted to the Commission on Sustainable Development acting as the preparatory committee for the World Summit on Sustainable Development at its second session.

113. A report on the status of implementation of these activities is contained in the note by the Executive Secretary on cross-cutting issues (UNEP/CBD/COP/6/12).

Incentive measures

114. By its decision V/15, the Conference of the Parties established a programme of work to promote the development and implementation of social, economic and legal incentive measures for the conservation and sustainable use of biological diversity. To this end, it requested the Executive Secretary to collaborate with relevant organizations:

(a) To gather and disseminate additional information on instruments in support of positive incentives and their performance, and to develop a matrix identifying the range of instruments available, their purpose, interaction with other policy measures, and effectiveness;

(b) To continue gathering information on perverse incentive measures, and on ways and means to remove or mitigate their negative impacts on biological diversity, and consider how these ways and means may be applied;

(c) To elaborate proposals for the design and implementation of incentive measures; and

(d) To promote coordinated action on incentives with other international biodiversity-related agreements and relevant organizations.

115. As a first phase of the programme of work, the Executive Secretary was requested, *inter alia*, to collaborate with relevant organizations to develop a matrix identifying the range of instruments available, their purpose, interaction with other policy measures and effectiveness, with a view to identifying and designing relevant instruments in support of positive measures, and to elaborate proposals for the design and implementation of incentive measures for consideration by SBSTTA and by the Conference of the Parties. To this end, a Workshop on Incentive Measures for the Conservation and Sustainable Use of Biological Diversity was held in October 2001, with financial support from the Government of the Netherlands. The conclusions of the Workshop were submitted to SBSTTA at its seventh meeting.

116. On the basis of these conclusions, SBSTTA adopted recommendation VII/9, in which it submits proposals for the design and implementation of incentive measures (annex I) to the sixth meeting of the Conference of the Parties, and also suggests recommendations for further cooperation on incentive measures (annex II).

117. Supplementary information on the progress of implementation of this item is available in document UNEP/CBD/COP/6/12, section VII D.

118. The Conference of the Parties is invited to consider the synthesis report, prepared by the Executive Secretary, on case-studies and best practices on incentive measures as well as information on perverse incentives received from Parties and relevant organizations (UNEP/CBD/COP/6/12/Add.3). The Conference of the Parties is also invited to further consider and endorse the proposals for the design and implementation of incentive measures, as well as the recommendations for further cooperation on incentive measures contained, respectively, in annexes I and II to SBSTTA recommendation VII/9 contained in document UNEP/CBD/COP/6/4.

ITEM 18. MECHANISMS FOR IMPLEMENTATION

119. Under this item, the Executive Secretary has also prepared a note (UNEP/CBD/COP/6/13) identifying, on the basis of the recommendations of the relevant bodies of the Convention and other organizations, matters that require the attention of the Conference of the Parties for progress to be made in the work of these mechanisms for implementation and making recommendations for future action.

18.1. Financial resources and mechanism (Article 20 and 21)

Further guidance to the financial mechanism

120. Pursuant to Article 21 of the Convention, and in accordance with the Memorandum of Understanding between the Conference of the Parties and the GEF Council, the Conference of the Parties is to communicate its guidance, and any revisions to previous guidance, to GEF, as the institutional structure operating the financial mechanism under the Convention, on the following matters:

- (a) Policy and strategy;
- (b) Programme priorities;
- (c) Eligibility criteria;
- (d) An indicative list of incremental costs;
- (e) A list of developed country Parties and other Parties which voluntarily assume the obligations of developed country Parties; and
- (f) Any other matter relating to Article 21, including periodic determination of the amount of resources needed, as detailed in paragraph 5 of the Memorandum of Understanding.

121. Under this item, and on the basis of its consideration of the report of the GEF Council (item 14), and its consideration of priority issues relating to forest biological diversity (item 21); alien species that threaten ecosystems, habitats or species (item 22); access and benefit-sharing as related to genetic

resources (item 23); and Strategic Plan, national reporting and operations of the Convention (item 24), the Conference of the Parties may wish to consider providing further guidance to GEF. The Conference of the Parties may also wish to consider whether it needs to adjust its existing guidance in light of its consideration of the thematic areas, cross-cutting issues and the mechanisms for implementation, respectively items 16, 17 and 18 of the provisional agenda.

122. By decision V/20 the Conference of the Parties decided that guidance to the financial mechanism should be incorporated into a single decision, including the identification of priority issues which will provide support for cross-cutting issues and capacity-building, especially for developing countries, in a manner that: (i) is transparent; (ii) allows participation; and (iii) allows full consideration of its other decisions.

123. To assist the Conference of the Parties in considering this issue, the Executive Secretary has prepared as an information document a compilation of past guidance to the financial mechanism by substantive item of the provisional agenda (UNEP/CBD/COP/6/INF/3).

Review of the effectiveness of the financial mechanism

124. Article 21, paragraph 3 provides that the Conference of the Parties shall first review the effectiveness of the financial mechanism not less than two years after the entry into force of the Convention. At its second meeting, the Conference of the Parties decided to undertake the first review the effectiveness of the financial mechanism at the fourth meeting of Conference of the Parties, and thereafter every three years. At its fourth meeting, the Conference of the Parties adopted a decision IV/11 on the review of effectiveness of the financial mechanism, in which it asked the GEF to take action identified in an annex to the decision with a view to improving effectiveness, and to report to the fifth meeting of the Conference of the Parties. In decision V/12, the Conference of the Parties determined the terms of reference for the second review of the financial mechanism and decided that it should be conducted in time for the sixth meeting of the Conference of the Parties. The second review covers the period from November 1996 to June 2001, and covers all operational programmes of the financial mechanism relevant to the Convention. Parties, governments and stakeholders were invited to communicate their views on the effectiveness and efficiency of the financial mechanism during the period under review by 30 September 2001. In accordance with decision V/12 an independent evaluator was commissioned to conduct the review. The full report of the evaluator is contained in an information document (UNEP/CBD/COP/6/INF/4), and the executive summary of that report provided by the evaluator was issued separately (UNEP/CBD/COP/6/13/Add.1).

125. As noted in paragraph 58 above, the Conference of the Parties will also have before it, as an addendum to the report of the GEF, a letter from the Chief Executive Officer and Chairman of the GEF addressed to the Executive Secretary and transmitting the Second Overall Performance Study of the GEF (UNEP/CBD/COP/6/9/Add.1). The letter highlights some of the recommendations of the Performance Study of relevance to the Conference of the Parties. The complete text of the Study is being made available as an information document (UNEP/CBD/COP/6/INF/29).

126. Under this item, the Conference of the Parties is invited to review the effectiveness of the financial mechanism and make recommendations based on the conclusions of the independent evaluator.

Additional financial resources

127. In addition to the provision of financial resources through the financial mechanism, the Convention also provides that developed country Parties may also provide financial resources related to the

implementation of the Convention through bilateral, regional and multilateral channels (Article 20, para. 3). It further provides that the Parties shall consider strengthening existing financial institutions to provide financial resources for the conservation and sustainable use of biological diversity (Article 21, para. 4). Under the heading of “Additional financial resources” the Conference of the Parties has adopted a number of decisions that call upon donors to provide support for activities to implement the Convention.

128. Most recently, in decision V/11, the Conference of the Parties requested the Secretariat to further develop a database on biodiversity-related funding information. The Conference of the Parties also invited GEF to assist the Convention Secretariat, in collaboration with other institutions, to convene a workshop on financing for biodiversity to share knowledge and experience among funding institutions and to explore the potential of the GEF as a funding a catalyst. Accordingly, a Workshop on Financing for Biodiversity was held in Havana, from 16 to 17 June 2001. The report of this Workshop is contained in document CBD-GEF/WS-Financing/2.

129. The Conference of the Parties further requested the Executive Secretary to prepare a report on additional financial resources, including proposals for monitoring financial support for the Convention; possible collaboration with relevant organisations; exploring possibilities for additional financial support for elements of the longer-term programme of work, and examining issues related to private sector support for implementation of the Convention. It also indicated that it would review implementation of decision V/11 at its sixth meeting.

130. Accordingly, the Executive Secretary has prepared a note on additional financial resources (UNEP/CBD/COP/6/14), which reports on implementation of decision V/11 and contains a number of recommendations for the consideration of the Conference of the Parties to further advance the implementation of this issue.

131. Under this item, the Conference of the Parties is invited to consider the recommendations contained in the note by the Executive Secretary.

18.2. Scientific and technical cooperation and the clearing-house mechanism (Article 18, paragraph 3)

132. At each of its meetings, the Conference of the Parties has reviewed progress in the development of the clearing-house mechanism of the Convention. By decision V/14, the Conference of the Parties endorsed a longer-term programme of work for the clearing-house mechanism and supported the implementation of a strategic plan for the clearing-house mechanism. It also set out measures and activities to be undertaken in relation to the development of the clearing-house mechanism by: (i) Parties and Governments at the national level; and (ii) the Secretariat in consultation with the informal advisory committee. The Conference of the Parties has also repeatedly highlighted the importance of cooperation with other organizations in the development of the clearing-house mechanism. It invited all organizations to cooperate as active partners in the operation of the clearing-house mechanism and instructed the Executive Secretary to improve synergy with regard to information exchange with other biodiversity related conventions and ongoing information initiatives. In decision V/14, the Conference of the Parties also requested the Executive Secretary to monitor and review the operation of the clearing-house mechanism and to report to the Conference of the Parties at its sixth meeting on any recommended adjustments to its operation or to the strategic plan.

133. The Executive Secretary, in consultation with the informal advisory committee of the clearing-house mechanism, has provided a brief report on the operations of the clearing-house mechanism and,

based on this experience, prepared a revision of the operation of the clearing-house mechanism, which is contained in the note by the Executive Secretary on mechanisms for implementation (UNEP/CBD/COP/6/13).

134. Under this item, the Conference of the Parties is invited to consider the recommendations of the Executive Secretary.

18.3. Education and public awareness (Article 13)

135. In decision IV/10 B, the Conference of the Parties invited the United Nations Educational, Scientific and Cultural Organization (UNESCO) to consider launching a global initiative on biodiversity education, training and public awareness, and requested the Executive Secretary to explore the feasibility of such an initiative and report to the fifth meeting of the Conference of the Parties on progress. In decision V/17, the Conference of the Parties requested the Executive Secretary, in cooperation with UNESCO, to convene a consultative working group of experts to identify priority activities for the proposed global initiative on biological diversity education and public awareness. The working group was to take into account priorities developed by the Conference of the Parties and priorities identified in the Strategic Plan to be prepared for the Convention (see item 24 of the provisional agenda for this meeting). The first meeting of the CBD/UNESCO Consultative Expert Group was held in Paris, France in July 2000. The report of that meeting is contained in document UNEP/CBD/GEEPA/1/3. A second meeting was held in November in Bergen, Norway. The report of that meeting is contained in document UNEP/CBD/GEEPA/2/3. A third meeting of the CBD/UNESCO Consultative Expert Group was held in Bilbao in November 2001. The report of that meeting is contained in document UNEP/CBD/GEEPA/3/3.

136. Based on the reports of the CBD/UNESCO Consultative Expert Group, the Executive Secretary has prepared a report on progress in developing the global initiative, which is contained in document UNEP/CBD/COP/6/13/Add.2.

137. Under this item, the Conference of the Parties is invited to consider the recommendations contained in document UNEP/CBD/COP/6/13/Add.2.

ITEM 19. COOPERATION

138. At its first meeting, the Conference of the Parties decided to consider as a standing item on its agenda the relationship of the Convention with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance. Since then the Conference of the Parties has consistently recognized the importance of cooperation and synergy with other conventions and organizations. Many of these activities are described in the context of specific areas of work and as such have been addressed in other items of the provisional agenda for this meeting. Under this item the Executive Secretary will report on important stand-alone activities.

19.1. Cooperation with other conventions and international organizations and initiatives

139. To facilitate cooperation with other conventions and international organizations and initiatives, the Secretariat has entered into a number of further memoranda of cooperation with a number of relevant conventions and institutions since the fifth meeting of the Conference of the Parties.

140. In accordance with decision V/19 the Secretariat has also participated in a project on harmonizing reporting requirements of biodiversity-related conventions (the Convention on International Trade in

Endangered Species of Wild Fauna and Flora, the Convention on the Conservation of Migratory Species of Wild Animals, the Ramsar Convention on Wetlands and the World Heritage Convention), and has continued to develop joint work programmes to implement a more harmonious system of reporting.

141. The Conference of the Parties also requested the Executive Secretary to collaborate with, *inter alia*:

(a) The Secretariat of the Convention on Migratory Species (CMS) to develop a proposal on how migratory species could be integrated into the CBD work programme; and

(b) The Millennium Ecosystem Assessment with the view to facilitating and supporting the undertaking of a number of pilot scientific assessments for SBSTTA.

142. At its sixth meeting, SBSTTA considered a proposed joint work programme between the Convention on Migratory Species and the Convention on Biological Diversity. It requested the Executive Secretary in close collaboration with the Secretariat of the Convention on Migratory Species to further develop the joint work programme. Accordingly, the proposed joint work programme will be presented to the Conference of the Parties at its sixth meeting for its consideration.

143. The Conference of the Parties also has called for strengthened collaboration between the Convention on Biological Diversity and the United Nations Framework Convention on Climate Change (UNFCCC), on issues such as: coral bleaching; the impact of climate change on forest biodiversity; incentive measures; and the integration of biodiversity considerations in the implementation of the Kyoto Protocol. The UNFCCC Subsidiary Body for Scientific and Technical Advice (SBSTA), at its thirteenth session took note of the decisions adopted at the fifth meeting of the Conference of the Parties to the Convention on Biological Diversity and their links to the UNFCCC. SBSTA agreed to consider this matter in more detail at its fourteenth session, in June 2001. It invited UNFCCC Parties to submit their views on the issues identified in a discussion note prepared by the Executive Secretary of the Convention on Biological Diversity, to the UNFCCC Secretariat no later than 1 March 2001.

144. In response to the request of the Conference of the Parties, SBSTTA, at its sixth meeting, in its recommendation VI/7, noted the interlinkages between biological diversity and climate change, and decided to promote, on the basis of the ecosystem approach, a wider assessment of these inter-linkages. As a first step in this wider assessment, SBSTTA decided to carry out a pilot assessment to prepare scientific advice to integrate biodiversity considerations into the implementation of the Framework Convention on Climate Change and its Kyoto Protocol and, for this purpose, established, an ad hoc technical expert group in accordance with its *modus operandi*. To this end, an expert group was established and met in November 2001. The Group is expected to finalize its report at its second meeting in May 2002. The report will be considered by a joint CBD/UNFCCC workshop. It is expected that SBSTTA will consider a summary for policy makers at its ninth meeting and make recommendations to the seventh meeting of the Conference of the Parties.

145. The note by the Executive Secretary prepared under this item (UNEP/CBD/COP/6/15) reports on the status of these activities. Under this item, the Conference of the Parties is invited to endorse the joint work programme with Convention on Migratory Species and take note of the activities with the United Nations Framework Convention on Climate Change.

19.2. Contribution to the ten-year review of the implementation of Agenda 21 (“Rio+10”)

146. By decision V/27, the Conference of the Parties welcomed the invitation of the United Nations General Assembly to the Secretariat of the Convention to report on how its activities are contributing to the implementation of Agenda 21 and the invitation of the Commission on Sustainable Development extended to secretariats of UNCED-related conventions to support preparatory activities of the ten-year review. The Conference of the Parties requested the Executive Secretary to support such preparatory activities and, in particular, to report to the Commission on Sustainable Development on progress made in the implementation of the Convention and encouraged Parties, Governments and countries to highlight and emphasize biological diversity considerations in their contributions to the ten-year review. The Executive Secretary has responded to the invitation of the General Assembly to provide a report on the experience of the Convention, the lessons learned and to provide ideas and proposals for the further implementation of Agenda 21 and the Programme for the Further Implementation of Agenda 21, for the consideration of the Commission on Sustainable Development at its tenth session and for the World Summit on Sustainable Development (General Assembly resolution 54/218, para. 11 (e), resolution 55/201, para. 10, and resolution 55/199, para. 11). This report has been prepared and has been provided to the Secretariat of the United Nations. In addition, the Executive Secretary participated in the second session of the Commission on Sustainable Development acting as the preparatory committee for the summit and briefed participants on the achievements and challenges of the Convention on Biological Diversity and its potential contribution to the objectives of the Summit.

147. Other activities being planned for the World Summit on Sustainable Development are described in the note by the Executive Secretary under this item (UNEP/CBD/COP/6/15).

148. Under this item, the Conference of the Parties is invited to take note of the report of the Executive Secretary, to consider requesting its President and the Executive Secretary to represent the Conference of the Parties at the World Summit on Sustainable Development, and to adopt a statement from the Conference of the Parties to the Summit based on the draft provided in Annex III of document UNEP/CBD/COP/6/15.

ITEM 20. BUDGET FOR THE PROGRAMME OF WORK FOR THE BIENNIUM 2003-2004

149. Under this item, the Conference of the Parties is invited to adopt a programme budget for the biennium 2003-2004 to meet the core administrative costs of the Conference of the Parties, SBSTTA, other meetings and the Secretariat. At its fifth meeting, the Conference of the Parties approved a programme budget of \$8,594,000 for the year 2001 and \$10,049,900 for the year 2002 for the BY Trust Fund. After allowing for the savings from previous years, the Conference of the Parties approved the sum of \$13,440,700 for the biennium, to be raised by means of assessed contributions from the Parties to the Convention.

150. At its sixth meeting, the Conference of the Parties will have before it, for consideration and approval, a proposed budget for the BY, BE and BZ Trust Funds for the Convention on Biological Diversity for 2003-2004 (UNEP/CBD/COP/6/16 and Corr.1).

151. The proposed programme budget builds upon the existing programmes and subprogrammes. It also anticipates likely developments arising from the sixth meeting of the Conference of the Parties. Detailed information on activities and resource needs by programme and subprogramme are explained in

the note by the Executive Secretary on detailed subprogramme activities and resources required (UNEP/CBD/COP/6/16/Add.1).

IV. PRIORITY ISSUES FOR REVIEW AND GUIDANCE

152. Section IV of the provisional agenda includes those items that the Conference of the Parties, in its decision IV/16, singled out for in-depth consideration at its sixth meeting

153. Parties have indicated that, in undertaking a strategic review of the issue in this way, the Conference of the Parties should endeavour to achieve three goals: review of accomplishments to date; adoption of decisions regarding the products of work completed to date and the establishment of a vision for future work. Any programme initiated by the Conference of the Parties should, where possible, establish a vision for future work and identify: the types of products towards which the programme should be working; a timetable for those products; and the means to achieve these goals. The types of products, given the nature of the Convention process, include:

- (a) Manuals of best practice;
- (b) Guidelines;
- (c) Codes of conduct;
- (d) Guidance for the institutions under the Convention;
- (e) Criteria;
- (f) Indicators;
- (g) Standards/labelling; and
- (h) Protocols.

154. For each item in this section, the Conference of the Parties will have before it detailed advice from SBSTTA and, where appropriate, other bodies. The notes from the Executive Secretary submitted under each item will focus on supplementary information.

ITEM 21. FOREST BIOLOGICAL DIVERSITY

155. The focus of the work programme for forest biological diversity, as adopted by the Conference of the Parties in its decision IV/7, is on research, cooperation and indicators, and development of technologies necessary for the conservation and sustainable use of forest biodiversity. In its decision V/4, the Conference of the Parties decided to consider expanding the focus of the work programme on forest biological diversity from research to practical action at its sixth meeting.

156. In addition, the Conference of the Parties requested SBSTTA:

- (a) To consider:
 - (i) The impact of climate change on forest biological diversity (paragraph 11);

- (ii) The causes and effects of human-induced uncontrolled forest-fires on forest biological diversity and possible approaches to address their negative impacts (paragraph 12); and
- (iii) The impact of, and propose sustainable practices for, the harvesting of non-timber forest resources, including bush meat and living botanical resources (paragraph 14); and

(b) To prepare scientific advice in order to integrate biodiversity considerations, including biodiversity conservation, in the implementation of the United Nations Framework Convention on Climate Change and its Kyoto Protocol (paragraph 17).

157. The Conference of the Parties established an Ad Hoc Technical Expert Group on Forest Biological Diversity to assist SBSTTA in its work on forest biological diversity. The Expert Group met twice and reported to the seventh meeting of SBSTTA.

158. At its seventh meeting, SBSTTA considered the report of the Expert Group (UNEP/CBD/SBSTTA/7/6). In particular, SBSTTA considered how the practical actions identified by the Expert Group could be integrated in an expanded programme of work on forest biological diversity to facilitate the implementation of paragraph 2 of decision V/4 of the Conference of the Parties. In recommendation VII/6, the Subsidiary Body proposed elements for an expanded programme of work based on the advice of the Expert Group, and requested the Executive Secretary to prepare a report identifying elements in the existing work programme of relevance to the expanded work programme and potential actors, a suggested timeframe and ways and means for the implementation of the activities proposed. The report by the Executive Secretary on these topics is contained in document UNEP/CBD/COP/6/17 and 17/Add.1.

159. In addition, SBSTTA considered the impacts of climate change on forest biodiversity, the causes and effects of human-induced uncontrolled forest fires on forest biological diversity and the impact of harvesting of non-timber forest resources, including bush meat and living botanical resources as well as possible options on how to mitigate the negative impacts on forest biological diversity for consideration and inclusion in national biodiversity strategies and action plans (UNEP/CBD/SBSTTA/7/7). A workshop to explore opportunities for collaboration between the Convention on Biological Diversity, the United Nations Forum on Forests and the Collaborative Partnership on Forests was held in Accra on 28-30 January 2002. The report of that workshop is contained in document UNEP/CBD/COP/6/INF/7.

160. Under this item, the Conference of the Parties is invited to adopt the elements of the expanded programme of work based on the recommendations of SBSTTA (UNEP/CBD/COP/6/4) and to consider the complementary proposals by the Executive Secretary (UNEP/CBD/COP/6/17 and Add.1).

ITEM 22. ALIEN SPECIES THAT THREATEN ECOSYSTEMS, HABITATS OR SPECIES

161. The Conference of the Parties has considered this issue, as reflected in a number of decisions, most importantly decisions IV/1 C and V/8. In decision IV/1 C, the Conference of the Parties decided that alien species was a cross-cutting issue within the Convention, and recognized the particular importance of geographically and evolutionarily isolated ecosystems, such as small islands, when considering it. It asked SBSTTA to report on alien species to the fifth meeting of the Conference of the

Parties and to examine the Global Invasive Species Programme (GISP) with a view to developing proposals for further action under the Convention on this issue.

162. SBSTTA considered the subject at both its fourth and fifth meetings. It recommended a format for case-studies and proposed that the Conference of the Parties adopt a set of interim guiding principles on introduction of alien species. At its fifth meeting, the Conference of the Parties adopted a modified version of these interim guiding principles, which it urged Parties, Governments and relevant organizations to apply in interim fashion, noting that the definition of many of the terms was not yet settled. It also called for case-studies to be submitted. The Conference of the Parties stressed the importance of the Global Invasive Species Programme, calling on it to develop a second phase of its activities and urging that the programme be supported financially.

163. The Conference of the Parties also decided that it would consider, at its sixth meeting, further options for implementing Article 8(h), including the possibility of developing an international instrument. To assist in these deliberations, the Conference of the Parties asked Parties to submit a thematic report on alien species by 30 September 2000, following a standardized format. SBSTTA considered the issue in detail at its sixth meeting. It provided a report on the status and trends of alien species, further revised the interim guiding principles and recommended that SBSTTA be requested to further identify and explore specific gaps in the international regulatory framework and to report on its conclusions at the seventh meeting of the Conference of the Parties.

164. The note by the Executive Secretary under this item (UNEP/CBD/COP/6/18) complements the recommendations of SBSTTA (UNEP/CBD/COP/6/3) providing further information, where required and considering the relevant aspects of the decision IV/1 C and decision V/8 beyond the mandate of SBSTTA.

165. Under this item, the Conference of the Parties is invited to consider the recommendations of SBSTTA and review the proposals of the Executive Secretary.

**ITEM 23: ACCESS AND BENEFIT-SHARING AS THEY RELATE TO
GENETIC RESOURCES: RECENT DEVELOPMENTS IN THE
IMPLEMENTATION OF DECISIONS V/26 A-C**

166. In its decision V/26 A, the Conference of the Parties established the Ad Hoc Open-ended Working Group on Access and Benefit-sharing to develop guidelines and other approaches for consideration of the Conference of the Parties at its sixth meeting, and to assist Parties and stakeholders in addressing a list of elements relevant to access and benefit-sharing. The elements listed are: terms for prior informed consent and mutually agreed terms; roles, responsibilities and participation of stakeholders; relevant aspects relating to *in situ* and *ex situ* conservation and sustainable use; mechanisms for benefit-sharing, for example through technology transfer and joint research and development; and means to ensure the respect, preservation and maintenance of knowledge, innovations and practices of indigenous and local communities. The guidelines are to be based, *inter alia*, on the recommendations of the Panel of Experts on Access and Benefit-Sharing, which held a second meeting in Montreal in March 2000. The Conference of the Parties also requested that the Working Group consider the role of intellectual property rights in the implementation of access and benefit-sharing arrangements.

167. The Conference of the Parties has acknowledged that there are close links between provisions of the Convention and the World Trade Organization (WTO) and the World Intellectual Property Organization (WIPO), in particular with respect to intellectual property rights and benefit-sharing. The

Conference of the Parties has requested the Executive Secretary to cooperate with WIPO on a wide range of issues, in particular the role of intellectual property rights and the implementation of Article 8(j). The Conference of the Parties has requested the Executive Secretary to cooperate with the WTO and through the WTO Committee on Trade and Environment to explore the extent to which there may be linkages between Article 15 and relevant articles of the Agreement on Trade Related Aspects of Intellectual Property Rights (TRIPs). It has also emphasized that further work is needed to help develop a common appreciation of the relationship between the TRIPs Agreement and the Convention with regard to, *inter alia*, the fair and equitable sharing of benefits arising out of the use of genetic resources.

168. The Ad Hoc Open-ended Working Group met in Bonn from 22 to 26 October 2001.

169. In accordance with the mandate set out in decision V/26 A, the Working Group developed draft guidelines and other approaches for submission to the Conference of the Parties at its sixth meeting. The report of the meeting is contained in document UNEP/CBD/COP/6/6. The annex to the report contains the recommendations adopted by the Ad Hoc Open-ended Working Group on Access and Benefit-sharing, for submission to the Conference of the Parties, on the following issues:

- (a) Draft Bonn Guidelines on Access to Genetic Resources and Fair and Equitable Sharing of the Benefits Arising out of their Utilization;
- (b) Other approaches, including the development of an action plan for capacity-building;
- (c) Role of intellectual property rights in the implementation of access and benefit-sharing arrangements.

170. In order to complement the report of the meeting of the Working Group, a progress report on the implementation of decisions V/26 A-C (UNEP/CBD/COP/6/19) was prepared by the Executive Secretary to provide an overview of activities carried out under the Convention process to implement decisions V/26 A-C of the Conference of the Parties on access to genetic resources. It contains information on the second meeting of the Panel of Experts on Access and Benefit-sharing and the meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing. It provides an overview of progress achieved with respect to issues such as: information related to access and benefit-sharing, capacity-building, intellectual property rights, and *ex situ* collections acquired prior to the entry into force of the Convention. It also provides information on the thematic reports on access and benefit-sharing submitted by Parties, and recent developments in other international forums, such as the World Intellectual Property Organization (WIPO), the World Trade Organization (WTO) and the Food and Agriculture Organization of the United Nations (FAO). Finally, the note contains elements for draft decisions to be considered by the Conference of the Parties, in addition to the recommendations of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing.

171. In addition, the note by the Executive Secretary entitled "Recent developments on access and benefit-sharing" (UNEP/CBD/COP/6/19/Add.1) reports on actions taken by the Executive Secretary in response to requests of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing regarding the use of terms under paragraph 6 of the draft Bonn Guidelines, and the further development of draft elements for an action plan on capacity-building for access and benefit-sharing. Additional elements of recommendations related to further work for the development of an action plan on capacity-building for access and benefit-sharing are included for consideration by the Conference of the Parties.

172. Under this item, the Conference of the Parties is invited to consider the draft Bonn Guidelines on Access to Genetic Resources and Fair and Equitable Sharing of the Benefits Arising out of their Utilization, other approaches including the development of an action plan for capacity-building, and the role of intellectual property rights in the implementation of access and benefit-sharing arrangements contained in document (UNEP/CBD/COP/6/6), as well as the additional elements proposed by the Executive Secretary (UNEP/CBD/COP/6/19 and 19/Add.1).

ITEM 24. STRATEGIC PLAN, NATIONAL REPORTING AND OPERATIONS OF THE CONVENTION

173. Considering ways and means to ensure the efficient operations of the Convention has been a central issue for each meeting of the Conference of the Parties. Most recently the matter has been the subject of attention in decisions IV/16 and decision V/20. In decision V/20, the Conference of the Parties adopted a number of developments with respect to the operations of the Convention dealing with; meetings of the Conference of the Parties, the development of a Strategic Plan for the Convention, the operations of SBSTTA, implementation and other miscellaneous matters.

174. In the same decision, the Conference of the Parties decided to hold an open-ended inter-sessional meeting, to assist with preparations for the sixth meeting of the Conference of the Parties. The Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention was held in Montreal from 19 to 21 November 2001. The Meeting considered and developed draft elements of decisions on the following topics for the consideration of the Conference of the Parties:

- (a) Strategic Plan for the Convention;
- (b) National reports; and
- (c) Implementation of the Convention, in particular, implementation of priority actions in national biodiversity strategies and action plans.

175. The report of the meeting has been circulated as document UNEP/CBD/COP/6/5.

176. The meeting requested the Executive Secretary to prepare, for the sixth meeting of the Conference of the Parties, parameters for as many operational goals of the Strategic Plan as possible and a multi-year programme of work for the Conference of the Parties up to 2010. The draft parameters and the multi-year programme of work are contained respectively in documents UNEP/CBD/COP/6/5/Add. 1 and Add.2.

177. The meeting also requested the Executive Secretary to prepare an assessment of the information contained in the second national reports, including the identification of progress made by Parties in the implementation of the Convention and constraints encountered, and to ascertain the reasons for late submissions of reports. A summary of the status of national reporting and the main conclusions of the assessment of the information in the reports has been prepared by the Executive Secretary (UNEP/CBD/COP/6/5/Add.3), while the full text of the assessment is available in two information documents (UNEP/CBD/COP/6/INF/10, for the assessment with respect to cross-cutting issues, and UNEP/CBD/COP/6/INF/11, with respect to thematic areas).

178. The meeting also requested the Executive Secretary to carry out a pilot review on the status of implementation and continued relevance of the decisions of the Conference of the Parties, proposing a

preliminary list of decisions that could be retired and identifying issues on which work has commenced but which the Conference of the Parties has not considered sufficiently to allow for implementation to start. A summary of the main conclusions of the review has been circulated as document UNEP/CBD/COP/6/5/Add.4, while the full review is being made available as an information document (UNEP/CBDCOP/6/INF/17)

179. In addition, the meeting requested the Executive Secretary to prepare draft formats for the thematic reports on the items to be discussed in depth at the seventh meeting of the Conference of the Parties. The draft formats have been made circulated in document UNEP/CBD/COP/6/5/Add.5.

180. Under this item, the Conference of the Parties is invited to consider the recommendations of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention, as well as the complementary recommendations by the Executive Secretary.

V. FINAL MATTERS

ITEM 25. OTHER MATTERS

181. The Conference of the Parties may wish to consider other matters raised and accepted for discussion in accordance with rule 12 of the rules of procedure and paragraph 7 of decision IV/16.

ITEM 26. ADOPTION OF THE REPORT

182. The Conference of the Parties, at its sixth meeting, will consider and adopt its report. In accordance with established practice, the Conference of the Parties is invited to authorize the Rapporteur to complete the final report after the meeting, with the guidance of the President and assistance of the Secretariat.

ITEM 27. CLOSURE OF THE MEETING

183. In the event that the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol does take place from 22 to 26 April 2002 (see para. **Error! Reference source not found.** above), it is expected that the sixth meeting of the Conference of the Parties will be closed by its President on Friday, 26 April, at approximately 6p.m. Otherwise, the meeting will be closed at the same time on Friday 19 April.

Annex I

LIST OF DOCUMENTS FOR THE SIXTH MEETING OF THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

A. Working documents	
<i>Symbol</i>	<i>Title</i>
UNEP/CBD/COP/6/1	Provisional agenda
UNEP/CBD/COP/6/1/Corr.1/Rev.1	Revised corrigendum to the provisional agenda
UNEP/CBD/COP/6/1/Add.1/Rev.1	Revised annotations to the provisional agenda
UNEP/CBD/COP/6/1/Add.2	Draft decisions for the sixth meeting of the Conference of the Parties
UNEP/CBD/COP/6/2	Preparations for the seventh meeting of the Conference of the Parties
UNEP/CBD/COP/6/3	Report of the sixth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice
UNEP/CBD/COP/6/4	Report of the seventh meeting of the Subsidiary Body on Scientific, Technical and Technological Advice
UNEP/CBD/COP/6/5	Report of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention
UNEP/CBD/COP/6/5/Add.1	Parameters for the operational goals of the Strategic Plan
UNEP/CBD/COP/6/5/Add.2/Rev.1	Multi-year programme of work for the Conference of the Parties up to 2010
UNEP/CBD/COP/6/5/Add.3	Assessment of information contained in the second national reports
UNEP/CBD/COP/6/5/Add.4	Review of the status of the decisions of the Conference of the Parties
UNEP/CBD/COP/6/5/Add.5	Formats for thematic reports
UNEP/CBD/COP/6/6	Report of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing
UNEP/CBD/COP/6/7	Report of the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions
UNEP/CBD/COP/6/8	Report on the status of the Cartagena Protocol on Biosafety
UNEP/CBD/COP/6/8/Add.1	Report of ICCP on the work of its first meeting
UNEP/CBD/COP/6/8/Add.2	Report of ICCP on the work of its second meeting
UNEP/CBD/COP/6/9	Report of the Global Environment Facility

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/COP/6/9/Add.1	Second Overall Performance Study of the Global Environment Facility
UNEP/CBD/COP/6/10	Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention
UNEP/CBD/COP/6/11	Progress report on the implementation of programmes of work on the biological diversity of inland water ecosystems, marine and coastal biological diversity, agricultural biological diversity and the biological diversity of dry and sub-humid lands
UNEP/CBD/COP/6/11/Add.1	Report on the impacts of the application of genetic use restriction technologies on indigenous and local communities and Farmers' Rights
UNEP/CBD/COP/6/12	Progress report on cross-cutting issues
UNEP/CBD/COP/6/12/Add.1	Liability and redress
UNEP/CBD/COP/6/12/Add.2	Results of the electronic consultation on the draft international guidelines for activities related to sustainable tourism development
UNEP/CBD/COP/6/12/Add.3	Synthesis report on case-studies and best practices on incentive measures as well as information on perverse incentives received from Parties and relevant organizations
UNEP/CBD/COP/6/12/Add.4	Global Strategy for Plant Conservation of the Convention on Biological Diversity: technical review of the targets and analysis of opportunities for their implementation
UNEP/CBD/COP/6/13	Progress report on the mechanisms for implementation
UNEP/CBD/COP/6/13/Add.1	Executive summary of the second review of the financial mechanism
UNEP/CBD/COP/6/13/Add.2	Implementation of the Global Initiative on Education and Public Awareness
UNEP/CBD/COP/6/14	Additional financial resources (decision V/11)
UNEP/CBD/COP/6/15	Cooperation and the ten-year review of the implementation of Agenda 21
UNEP/CBD/COP/6/16 and Corr.1	Proposed budget for the programme of work for the biennium 2003-2004
UNEP/CBD/COP/6/16/Add.1	Programme and subprogramme activities and resources required

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/COP/6/17	Forest biological diversity: elaboration of elements for an expanded work programme on forest biological diversity – relation to the existing work programme, activities of the United Nations Forum on Forests, and framework for selecting priorities and defining activities
UNEP/CBD/COP/6/17/Add.1	Potential priorities for the proposed expanded programme of work on forest biological diversity
UNEP/CBD/COP/6/17/Add.2	Summary report on the Helsinki Workshop on Biological Diversity and Climate Change
UNEP/CBD/COP/6/17/Add.3	Summary report of the Accra Workshop on Forests and Biological Diversity
UNEP/CBD/COP/6/18/Add.1/Rev.1	Review and consideration of options for the implementation of Article 8(h) on alien species that threaten ecosystems, habitats or species: use of terms
UNEP/CBD/COP/6/19	Access and benefit-sharing as they relate to genetic resources: progress report on the implementation of decisions V/26 A-C
UNEP/CBD/COP/6/19/Add.1	Recent developments on access and benefit-sharing

B. Information documents

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/COP/6/INF/1	The potential implications of genetic use restriction technologies (GURTs) for the conservation and sustainable use of agricultural biological diversity and the range of agricultural production systems in different countries
UNEP/CBD/COP/6/INF/2	The impact of trade liberalization on the conservation and sustainable use of agricultural biological diversity
UNEP/CBD/COP/6/INF/3	Compilation of past guidance to the financial mechanism by substantive item of the provisional agenda
UNEP/CBD/COP/6/INF/4	Review of the effectiveness of the financial mechanism: report of the evaluator
UNEP/CBD/COP/6/INF/5	Report of the Workshop on Liability and Redress in the Context of the Convention on Biological Diversity
UNEP/CBD/COP/6/INF/6	Report of the first meeting of the Ad Hoc Technical Expert Group on Biodiversity and Climate Change
UNEP/CBD/COP/6/INF/7	Report of the Accra Workshop on Forests and Biological Diversity
UNEP/CBD/COP/6/INF/8	Report of the informal consultation on the potential impacts of the application of genetic use restriction technologies on indigenous and local communities and on Farmers' Rights

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/COP/6/INF/9	Potential actors, time-frames, performance measures for the implementation of the proposed activities, as well as indicators of progress, for the programme of work on forest biodiversity
UNEP/CBD/COP/6/INF/10	Assessment of second national reports with respect to cross-cutting issues
UNEP/CBD/COP/6/INF/11	Assessment of second national reports with respect to thematic areas
UNEP/CBD/COP/6/INF/12	Progress report on implementation of the second Joint Work Plan (2000-2001) of the Convention on Biological Diversity and the Convention on Wetlands (Ramsar, Iran, 1971)
UNEP/CBD/COP/6/INF/13	Progress report on implementation of the River Basin Initiative
UNEP/CBD/COP/6/INF/14	Draft third Joint Work Plan (2002-2006) of the Convention on Biological Diversity and the Convention on Wetlands (Ramsar, Iran, 1971)
UNEP/CBD/COP/6/INF/15	Draft joint work plan between Convention on Biological Diversity and the Convention on the Conservation of Migratory species of Wild Animals
UNEP/CBD/COP/6/INF/16	The role of protected areas within the Convention on Biological Diversity
UNEP/CBD/COP/6/INF/17	Review of the status of the decisions of the Conference of the Parties
UNEP/CBD/COP/6/INF/18	Report of the CHM meeting on common formats
UNEP/CBD/COP/6/INF/19	Report of the CHM/CKN meeting in Malawi
UNEP/CBD/COP/6/INF/20	Compilation of submissions from Governments on the preparation of the Strategic Plan of the Convention on Biological Diversity particularly with respect to draft elements relating to the Biosafety Protocol
UNEP/CBD/COP/6/INF/21	Report of the Gran Canaria meeting on the Global Plant Conservation Strategy
UNEP/CBD/COP/6/INF/21/Add.1	Refinement of the 16 targets
UNEP/CBD/COP/6/INF/21/Add.2	Global Plant Conservation Strategy: analysis of opportunities
UNEP/CBD/COP/6/INF/21/Add.3	Global Plant Conservation Strategy: review of initiatives at the national level
UNEP/CBD/COP/6/INF/21/Add.4	Global Plant Conservation Strategy: review of initiatives at the international and regional levels
UNEP/CBD/COP/6/INF/22	* European Plant Conservation Strategy
UNEP/CBD/COP/6/INF/23	Progress and status of the Global Taxonomy Initiative
UNEP/CBD/COP/6/INF/24	Compilation of the results of the three regional workshops on sustainable use of biological diversity
UNEP/CBD/COP/6/INF/24/Add.1	Maputo workshop

<i>Symbol</i>	<i>Title</i>
UNEP/CBD/COP/6/INF/24/Add.2	Hanoi workshop
UNEP/CBD/COP/6/INF/24/Add.3	Salinas workshop
UNEP/CBD/COP/6/INF/25	Assessment of protected areas
UNEP/CBD/COP/6/INF/26	Expert meeting on harmonization of forest-related definitions for use by various stakeholders
UNEP/CBD/COP/6/INF/27	Submission from Parties on (i) potential priorities for the proposed expanded programme of work on forest biological diversity and (ii) potential actors, a suggested timeframe and possible ways and means for the implementation of the activities proposed, as well as indicators of progress in implementation
UNEP/CBD/COP/6/INF/28	Invasive alien species: progress report on matters relating to the implementation of paragraph 14 of decision V/8 of the Conference of the Parties and section B in recommendation VI/4 of the Subsidiary Body on Scientific, Technical And Technological Advice
UNEP/CBD/COP/6/INF/29	Second Overall Performance Study of the GEF
UNEP/CBD/COP/6/INF/30	Additional information on potential main themes for the multi-year programme of work of the Conference of the Parties for 2006-2010
UNEP/CBD/COP/6/INF/31	The first report on the State of the World's Animal Genetic Resources for Food and Agriculture

Annex II

**SUGGESTIONS FOR THE ORGANIZATION OF WORK AT THE SIXTH MEETING OF THE
CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY**

	PLENARY	WORKING GROUP I	WORKING GROUP II
<i>Sunday 7 April 2002</i>			
3 p.m.	Opening ceremony		
5 p.m.	Reception		
<i>Monday 8 April 2002</i>			
10 a.m. - 1 p.m.	<ol style="list-style-type: none"> 1. Opening of the meeting. 2. Election of officers 3. Adoption of the agenda 4. Organizational matters. 6. Pending issues. 8. Reports of the regional preparatory meetings. 9. Reports of the Subsidiary Body on Scientific, Technical and Technological Advice. 10. Report of the Inter-Sessional Meeting on the Strategic Plan, National Reports and the Implementation of the Convention. 11. Report of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing. 12. Report of the Working Group on the Implementation of Article 8(j) and Related Provisions. 13. Report of the status of the Cartagena Protocol on Biosafety. 14. Report of the Global Environment Facility. 15. Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention. 		

	PLENARY	WORKING GROUP I	WORKING GROUP II
	20. Budget for the programme of work for the biennium 2003-2004.		
3 p.m. - 6 p.m.		21. Forest biological diversity.	23 Access and benefit-sharing as related to genetic resources.
<i>Tuesday 9 April 2002</i> 10 a.m. - 1 p.m.		21 (continued)	23 (continued)
15:00 - 18:00		22 Alien species that threaten ecosystems, habitats or species.	24 Strategic plan, national reporting and operations of the Convention.
<i>Wednesday 10 April 2002</i> 10 a.m. - 1 p.m.		22 (continued)	24 (continued)
3 p.m. - 6 p.m.		16. Thematic programmes of work—progress reports on implementation: biological diversity of inland waters; marine and coastal biological diversity; biological diversity of dry and sub-humid lands; and agricultural biological diversity.	18.1 Financial resources and mechanism. 18.2 Scientific and technical cooperation and the clearing-house mechanism.
<i>Thursday 11 April 2002</i> 10 a.m. - 1 p.m.		17.1. Identification, monitoring, indicators and assessments.	18.3 Education and public awareness.
3 p.m. - 6 p.m.		17.2. Global Taxonomy Initiative.	19.1 Cooperation with other conventions and international organizations and initiatives.
<i>Friday 12 April 2002</i> 10 a.m. - 1 p.m.		17.3. Global Strategy for Plant Conservation .	19.2 Contribution to the ten-year review of the implementation of Agenda 21 (“Rio+10”).
3 p.m. - 6 p.m.	Review of progress		
<i>Monday 15 April 2002</i> 10 a.m. - 1 p.m.		17.6. Ecosystem approach; sustainable use; and incentive measures.	17.4 Article 8(j) and related provisions.
3 p.m. - 6 p.m.		17.5. Liability and redress (Article 14, paragraph 2).	(Matters outstanding)
<i>Tuesday 16 April 2002</i> 10 a.m. - 1 p.m.		(Matters outstanding)	(Matters outstanding)
3 p.m. - 6 p.m.		(Matters outstanding)	(Matters outstanding)

	PLENARY	WORKING GROUP I	WORKING GROUP II
<i>Wednesday 17 April 2002</i> 10:00 - 15:00		<i>(Matters outstanding)</i>	<i>(Matters outstanding)</i>
3 p.m. - 6 p.m.		<i>(Preparation of report)</i>	<i>(Preparation of report)</i>
	PLENARY	WORKING GROUP I	WORKING GROUP II
<i>Thursday 18 April 2002</i> 10 a.m. - 1 p.m.		<i>(Approval of the report)</i>	<i>(Approval of the report)</i>
3 p.m. - 6 p.m.	<p>5 Report on the credentials of representatives to the sixth meeting of the Conference of the Parties.</p> <p>7 Date and venue of, and preparations for, the seventh meeting of the Conference of the Parties.</p> <p>25 Other matters.</p>		
<i>Friday 19 April 2002</i> 10 a.m. - 1 p.m.	<p>26. Adoption of the report of the sixth meeting of the Conference of the Parties to the Convention on Biological Diversity.</p> <p>27. Closure of the sixth meeting of the Conference of the Parties to the Convention on Biological Diversity.</p>		
3 p.m. - 6 p.m.	<i>(continued as needed)</i>		
