



CONVENTION ON BIOLOGICAL DIVERSITY

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CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

Seventh meeting

Kuala Lumpur, 9-20 and 27 February 2004

Item 26 of the provisional agenda*

REVIEW AND CONSOLIDATION OF THE DECISIONS OF THE CONFERENCE OF THE PARTIES

Proposals by the Executive Secretary pursuant to decision VI/27 B on the operations of the Convention

I INTRODUCTION

1. In paragraph 2 of decision VI/27 B, adopted at its sixth meeting, the Conference of the Parties decided to review, on the basis of proposals by the Executive Secretary, the status of implementation of all its decisions at its next meeting with a view to adopting a consolidated body of decisions and to inform decision-making on the long-term work plan of the Convention. In this regard, the Conference of the Parties, by paragraph 4 of the same decision, requested the Executive Secretary to make proposals to its seventh meeting regarding, *inter alia*, the retirement of decisions and elements of decisions taken at the third and fourth meetings of the Conference of the Parties and the consolidation of its decisions and to communicate such proposals to Parties, Governments and relevant international organizations at least six months prior to its seventh meeting. In paragraph 5, the Conference of the Parties invited Parties, Governments and international organizations to submit to the Executive Secretary written comments on the proposals at least three months prior to its seventh meeting.

2. The Executive Secretary has prepared a note (UNEP/CBD/COP/7/20/Add.3) in response to these requests. The present information note contains, in its two annexes, the review of the decisions of the Conference of the Parties adopted at its third and fourth meetings (annex I) as well as a compilation of decisions and elements of decisions that could be consolidated (annex II). The review contained in annex I follows the format used in the information document (UNEP/CBD/COP/6/INF/17) prepared for the sixth meeting of the Conference of the Parties. The compilation of decisions and elements of decisions for consolidation contained in annex II is organized according to thematic programme areas, cross-cutting programme areas, and issues relating to the implementation and operations of the Convention.

* UNEP/CBD/COP/7/1 and Corr.1.

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*Annex I***REVIEW OF THE DECISIONS ADOPTED AT THE THIRD AND FOURTH MEETING OF THE CONFERENCE OF THE PARTIES AND STATUS OF THEIR IMPLEMENTATION****A. *Third meeting of the Conference of the Parties*****DECISION III/1 – PENDING ISSUES ARISING FROM THE WORK OF THE SECOND MEETING OF THE CONFERENCE OF THE PARTIES***Overview of the decision*

The decision concerns the transmittal of outstanding paragraphs of the financial rules and the rules of procedure to the fourth meeting of the Conference of the Parties for further consideration.

Overall assessment and possible action by the Conference of the Parties

The COP may wish to revisit the outstanding matters regarding the financial rules of the Trust Fund of the Convention and the Rules of Procedure of the Conference of the Parties. The decision is of continuing relevance to the Convention process.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided to transmit to the fourth meeting of the Conference of the Parties, for further consideration paragraph 4 of the financial rules as contained in the annex to the present decision;</p>	<p>At its first meeting, the COP adopted the Financial Rules for the administration of the Trust Fund for the Convention, with the exception of paragraph 4 and 16, concerning the scale of assessments for the apportionment of contributions by Parties and related voting procedures respectively.</p> <p>At its first meeting, the COP adopted the rules of procedure of the Conference of the Parties, with the exception of paragraph 1 of rule 40.</p> <p>The COP considered these pending issues, at its third and subsequent meetings, but failed to reach any conclusive agreement. These issues therefore remain outstanding.</p>
<p>2. Also decided to transmit to the fourth meeting of the Conference of the Parties, for further consideration, paragraph 16 of the financial rules as contained in annex II of decision II/20, entitled "Financing of and budget for the Convention", contained in document UNEP/CBD/COP/2/19;</p>	
<p>3. Further decided to transmit to its fourth meeting for further consideration paragraph 1 of rule 40 of the rules of procedure for meetings of the Conference of the Parties);</p>	

DECISION III/2 – REPORT AND RECOMMENDATIONS OF THE SECOND MEETING OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

Overview of the decision

The decision concerns the review of the *modus operandi* of SBSTTA.

Overall assessment and possible action by the Conference of the Parties

The decision contains no direct activity but relates to the integration of the review of SBSTTA's *modus operandi* into the longer-term review of the operations of the organs of the Convention. This longer-term review was undertaken at COP-4. COP-7 will consider an operational plan of the Subsidiary Body on Scientific, Technical and Technological Advice. Decision III/2 can therefore be archived.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Took note of the report of the second meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, held at the seat of the Secretariat from 2 to 6 September 1996, contained in document UNEP/CBD/COP/3/3;</p>	
<p>2. Noted recommendation II/11 of the Subsidiary Body on Scientific, Technical and Technological Advice which contains the proposed revised elements of its <i>modus operandi</i>, and decides to consider this recommendation further at its fourth meeting as part of the longer-term review of the programme of work and the operations of the Conference of the Parties and subsidiary organs.</p>	<p>SBSTTA 2 reviewed its <i>modus operandi</i> and in recommendation II/11, recommended certain revisions. COP 3 noted this recommendation and decided to consider it further at COP 4 as part of the longer-term review of the programme of work and the operations of the COP and its subsidiary organs. In decision IV/16, COP-4 adopted a revised <i>modus operandi</i> of SBSTTA relating to improving the operations of SBSTTA. SBSTTA has been requested to make proposals to COP-7 on how to improve the quality of its advice.</p>

DECISION III/3 – USE OF LANGUAGES IN THE MEETINGS OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

Overview of the decision

The decision addresses the issue of the working languages of SBSTTA. The COP decided that meetings of SBSTTA will be held in the six official languages of the United Nations.

Overall assessment and possible action by the Conference of the Parties

Paragraph 3 of the decision provides the legislative authority regarding the working languages of SBSTTA. Paragraphs 1, 2 and 4 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
The COP, 1. Took note of the concern expressed by several delegations that the proceedings of the Subsidiary Body on Scientific, Technical and Technological Advice are conducted only in the working languages of the Conference of the Parties;	
2. Took note also of the estimates given by the Secretariat of the cost of holding meetings of the Subsidiary Body on Scientific, Technical and Technological Advice in the six languages of the United Nations;	
3. Decided that the meetings of the Subsidiary Body on Scientific, Technical and Technological Advice will be held in the six official languages of the United Nations and that the modus operandi of the Subsidiary Body on Scientific, Technical and Technological Advice will be modified accordingly;	
4. Further decided that the amount of US\$ 500,000 will be allocated in the core budget to cover administrative costs of servicing the Subsidiary Body on Scientific, Technical and Technological Advice meeting in 1997.	

DECISION III/4 – CLEARING-HOUSE MECHANISM TO PROMOTE AND FACILITATE TECHNICAL AND SCIENTIFIC COOPERATION

Overview of the Decision

The decision focuses on the further development of the pilot phase of the clearing-house mechanism that was launched by decision II/3.

Overall Assessment & Action by COP

Paragraphs 1, 3, 5, 8, 10 & 14 of the decision could be retired. Paragraph 2 could be consolidated with decisions relating to guidance to the financial mechanism.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
<p>The COP,</p> <p>1. Decided that the pilot phase approved in its decision II/3 shall be extended for a further year, until December 1998;</p>	<p>Implemented</p>	<p>No action necessary -- could be retired</p>
<p>2. Requested the Global Environment Facility to support the activities referred to in paragraphs (d) and (e) above as critical components in the implementation of the clearing-house mechanism at the national, subregional and regional levels, including in the pilot phase;</p>	<p>Ongoing</p>	<p>COP may wish to re-emphasize role of GEF in CHM capacity building activities</p>
<p>3. Further requested the interim financial mechanism to implement its revised operational criteria for enabling activities in relation to CHM to give effect, as quickly as possible, to the recommendations contained in paragraph 2 above;</p>	<p>Implemented</p>	<p>No action necessary -- could be retired</p>
<p>4. Also requested Governments and other bilateral and multilateral funding institutions, as far as possible and as appropriate, to provide funding for capacity-building related to the implementation of the clearing-house mechanism;</p>	<p>Ongoing</p>	<p>COP may wish to re-emphasize the need for Governments and bilateral and multilateral fundings institutions to support CHM capacity-building activities</p>
<p>5. Requested Governments and relevant financial, scientific and technical institutions to facilitate, including through the provision of voluntary contributions, regional workshops with a view to attaining a clear definition of country and regional-level scientific and technical information needs and priorities identified and modalities to deliver information and evaluate national capacities for the implementation of the Convention. Such workshops should also review experience in scientific and technical cooperation in support of the objectives of the Convention, in order to identify ways by which the clearing-house mechanism can best facilitate such cooperation;</p>	<p>Implemented (see also decision VI/18, paragraph 4)</p>	<p>No action necessary – it could be retired. Superseded by paragraph 4 of decision VI/18 with respect to regional workshops.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
6. Emphasized that the key characteristics of the CHM are, <i>inter alia</i> , that it should be compatible with national capacities, needs-driven and decentralized in nature, should provide access to meta-data, should provide support to the decision-making process, and should to the extent possible involve the private sector;	Ongoing	Parties may wish to stress the need to use metadata and the Convention's biodiversity controlled vocabulary
7. Recommended that the clearing-house mechanism should disseminate, in addition to scientific and technical information, information on policy and management issues relevant to the implementation of the Convention;	Ongoing	Parties may wish to highlight the role of the CHM in the provision of dissemination and exchange of information
8. Endorsed the proposal of the Secretariat for the publication of a clearing-house mechanism newsletter;	Implemented – CHM newsletter metamorphosed into the CBD News	No action necessary – it could be retired
9. Recognized that ownership of all information made available through the clearing-house mechanism shall remain with the provider of the information;	Ongoing	No action necessary
10. Agreed that the clearing-house mechanism shall be assisted in its functioning by an informal advisory committee, constituted and coordinated by the Executive Secretary in a transparent manner, which will guide and integrate the development of the pilot phase activities and endeavour to ensure that all Parties can participate in the pilot phase of the clearing-house mechanism;	Implemented	No action necessary – it could be retired
11. Recommended that one important role of the clearing-house mechanism at the national level should be to provide relevant information linkages to the national focal points and relevant thematic focal points, in order to facilitate the fair and equitable sharing of the benefits arising out of the utilization of genetic resources. Linkages to patent offices in each country for up-to-date information on new patent registrations and patents in the public domain provide an example of one mechanism;	Ongoing	Parties may wish to stress the need for national CHMs to link with this category of information

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
12. Recommended that the work of the clearing-house mechanism at the international level focus on providing thematic focal points for linking to the activities at the national and regional level;	Ongoing (four international thematic focal points endorsed)	COP may wish to advise Parties on the need to endorse thematic focal points (pursuant to decision V/14, Annex II (c))
13. Recognized that close cooperation is needed with other conventions and agreements, and requests the Secretariat to identify those activities and organizations which could support the clearing-house mechanism, and to provide appropriate advice to the Subsidiary Body on Scientific, Technical and Technological Advice at its next meeting;	Ongoing	No action necessary
14. Recognized the role of the Secretariat in the coordination of the successful implementation of the clearing-house mechanism, and recommends that the clearing-house mechanism posts within the Secretariat should be filled as soon as possible;	Implemented	No action necessary – it could be retired
15. Requested all Parties to designate their clearing-house mechanism national focal points and make them operational as soon as possible;	Ongoing (see also decision VI/18, paragraph 1)	COP may wish to re-emphasize the need for Parties to establish national CHMs
16. Requested those Parties with access to the Internet to connect their national clearing-house mechanism homepage to the Secretariat's clearing-house mechanism homepage on the Internet, where possible, and further requests the Executive Secretary and partners to collaborate on the provision of advice to Parties and others on, inter alia, the necessary layout and system specifications.	Ongoing	COP may wish to re-emphasize the need for Parties to establish national CHMs and link to the CBD CHM

DECISION III/5 – ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM*Overview of the decision*

The decision was specifically devoted to guidance to the financial mechanism.

Overall Assessment & Possible Action by COP:

Elements of the decision should be consolidated with other decisions relating to guidance to the financial mechanism. Paragraph 7 was fully implemented and could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
<p>The COP,</p> <p>1. Urged the Implementing Agencies of the Global Environment Facility to enhance cooperation to increase efforts to improve the processing and delivery systems of the Global Environment Facility</p>	<p>It has no time dimension, and has been under implementation</p>	<p>Could be consolidated with subsequent guidance.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
<p>2. Decided to provide the following additional guidance to the Global environment Facility in the provision of financial resources in conformity with decisions I/2 and II/6 of the first and second meetings of the Conference of the Parties. In this regard the Global Environment Facility shall provide financial resources to developing countries for country-driven activities and programmes, consistent with national priorities and objectives, recognizing that economic and social development and poverty eradication are the first and overriding priorities of developing countries:</p> <p>2(a). For capacity-building in biosafety, including for the implementation by developing countries of the UNEP International Technical Guidelines on Safety in Biotechnology</p>	<p>2(a). Following the adoption of the Cartagena Protocol on Biosafety, UNEP/GEF has launched a global biosafety capacity building project where over 100 countries are being assisted in setting up their national biosafety frameworks. At present, it is the Biosafety Protocol, and not the UNEP Technical Guidelines, which mainly provides the context for designing and implementing biosafety capacity building activities and programmes.</p>	<p>2(a). The COP may wish to receive reports on and continue following up the implementation of the UNEP/GEF and other biosafety capacity building projects and to continue facilitating further capacity building activities when considering the provision of guidance to the financial mechanism in accordance with paragraph 3 of Article 28 of the Biosafety Protocol.</p>
<p>2(b). For capacity-building, including taxonomy, to enable developing countries to develop and carry out an initial assessment for designing, implementing and monitoring programmes in accordance with Article 7, taking into account the special need of small island States (Note: The Conference of the Parties endorsed recommendation II/2 of the Subsidiary Body on Scientific, Technical and Technological Advice, concerning capacity-building for taxonomy).</p>	<p>2(b). It has no time dimension, and has been under implementation</p>	<p>2(b). Could be consolidated with subsequent guidance</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
2(c). For supporting, as a priority, efforts for the conservation and sustainable use of biological diversity important to agriculture, in accordance with decision 3/11 It has no time dimension, and has been under implementation	2(c). It has no time dimension, and has been under implementation	2(c). Could be consolidated with subsequent guidance
2(d). For supporting the following activities as critical components in the implementation of the clearing-house mechanism at the national, subregional and regional levels, including in the pilot phase, to which critical components the Global Environment Facility shall give effect by implementing its revised operational criteria for enabling activities in relation to the clearing-house mechanism as quickly as possible: (i) capacity-building for the purpose of the clearing-house mechanism, including training in information systems technologies that will allow developing countries to take advantage of the recent developments in electronic communication, including the Internet; (ii) country-driven pilot projects, focused on priority areas identified by the Conference of the Parties which would enable developing countries to begin to implement the main features of the pilot-phase of the clearing-house mechanism;	2(d). It has no time dimension, and has been under implementation	2(d). Could be consolidated with subsequent guidance
3. Reconfirmed the importance of the Global Environment Facility's support for incentive measures, guidance for which was contained in Annex I to decision I/2, paragraph 4 (i), taking note of decision III/18.	It has no time dimension, and has been under implementation	Could be consolidated with subsequent guidance
4. Urged the Global Environment Facility, along with Governments, regional economic integration organizations, and competent international, regional and national organizations, to support human and institutional capacity-building programmes for Governments, non-governmental organizations	It has no time dimension, and has been under implementation	Could be consolidated with subsequent guidance

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
<p>and local and indigenous communities, as appropriate, to promote the successful development and implementation of legislative, administrative and policy measures and guidances on access to genetic resources, including scientific, technical, business, legal and management skills and capacities.</p>		
<p>5. Requested the Global Environment Facility to examine the support of capacity-building projects for indigenous and local communities embodying traditional lifestyles related to the preservation and maintenance of their knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity with their prior informed consent and their participation.</p>	<p>It has no time dimension, and has been under implementation</p>	<p>Could be consolidated with subsequent guidance</p>
<p>6. Requested the Global Environment Facility, in preparing projects in conformity with the Conference of the Parties guidance on policy, strategy, programme priorities and eligibility criteria, to include in such projects, when relevant to the project's objectives and consistent with national priorities, project components addressing:</p> <p>(a) Targeted research which contributes to conservation of biological diversity and the sustainable use of its components including research for reversing current trends of biodiversity loss and species extinction;</p> <p>(b) Promotion of the understanding of the importance of, and measures required for, the conservation and sustainable use of biological diversity.</p>	<p>It has no time dimension, and has been under implementation</p>	<p>Could be consolidated with subsequent guidance</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
7. Requested the Secretariat of the Convention and the Global Environment Facility to collaborate in preparing, for consideration by the Conference of the Parties at its fourth meeting, a proposal on the means to address the fair and equitable sharing of the benefits arising out of genetic resources including assistance to developing country Parties	The two secretariats jointly prepared document UNEP/CBD/COP/4/22 (Addressing the Fair and Equitable Sharing of the Benefits Arising out of Genetic Resources: Options for Assistance to Developing Country Parties to the Convention on Biological Diversity); action completed.	Could be retired

DECISION III/6 – ADDITIONAL FINANCIAL RESOURCES

Overview of the decision

The decision called for strengthened relationship between the Convention and funding institutions, and development of standardized report on financial support to the Convention.

Overall Assessment & Possible Action by COP:
Paragraphs 2(b) and 6 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
1. Urged all funding institutions, including bilateral and multilateral donors as well as regional funding institutions and non-governmental organizations, to strive to make their activities more supportive of the Convention, taking into account, inter alia, relevant elements contained in document UNEP/CBD/COP/3/7.	Ongoing implementation	
2. Requested the Executive Secretary: 2(a) To explore as soon as possible ways of collaborating with funding institutions to facilitate these efforts to achieve greater support for the Convention;	Continuing implementation	Could be consolidated with relevant future decisions

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
2(b) Requested the Executive Secretary: To invite all funding institutions to provide information to the Secretariat on ways in which their activities support the Convention, and further requests the Secretariat to submit a report to the next Conference of the Parties on the basis of this information;	Action completed; report submitted to COP-IV	Could be retired
3. Requested the Executive Secretary to explore further possibilities for encouraging the involvement of the private sector in supporting the Convention's objectives.	Continuing implementation	Could be consolidated with relevant later decisions
4. Urged developed country Parties to cooperate in the development, where possible, of standardized information on their financial support for the objectives of the Convention on Biological Diversity. Where possible, these Parties should submit this information to the Secretariat of the Convention on Biological Diversity in their national reports;	Ongoing implementation	
5. Invited other funding institutions, including bilateral and multilateral donors as well as regional funding institutions and non-governmental organizations, to compile information on their financial support for the Convention and to provide the Secretariat with such information;	Continuing implementation	Could be consolidated with relevant later decisions
6. Requested the Executive Secretary to make the information referred to above available to the Conference of the Parties.	Action completed; report submitted to COP-IV	Could be retired

DECISION III/7 – GUIDELINES FOR THE REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM

Overview of the decision

The decision provides the first set of guidelines for the review of the effectiveness of the financial mechanism, including objectives, criteria and methodology of the review.

Overall assessment and possible action by the Conference of the Parties

The COP may wish to consider retiring the entire decision and its Annex.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided to adopt the attached Annex, containing the objectives and criteria for the first review of the effectiveness of the financial mechanism to be conducted in time for the fourth meeting of the Conference of the Parties.</p>	<p>Enabling clauses for the decision, and the first review was concluded at COP-IV</p>
<p>2. Decided also that the review referred to in paragraph 3 of Article 21 of the Convention should be conducted under the authority of the Conference of the Parties.</p>	<p>It was for the first review which was concluded at COP-IV</p>
<p>3. Decided further that, based on the results of the review, the Conference of the Parties shall take appropriate action to improve the effectiveness of the mechanism if necessary.</p>	<p>It was for the first review which was concluded at COP-IV</p>

DECISION III/8 – MEMORANDUM OF UNDERSTANDING BETWEEN THE CONFERENCE OF THE PARTIES AND THE GEF COUNCIL

Overview of the decision

The memorandum of understanding outlines the relationship between the Convention and the Global Environment Facility in areas of the provisions of guidance, reporting, monitoring and evaluation, needs requirements, inter-secretarial cooperation etc.

Overall Assessment & Possible Action by COP:

The decision and the MOU provide the operational framework regarding the implementation of Article 21 of the Convention concerning the financial mechanism and should be consolidated with other decisions on the financial mechanism. Paragraph 2 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
<p>The COP,</p> <p>1. Adopted the Memorandum of Understanding contained in the annex to the present decision</p>	<p>Enabling clause for the MoU, and of continuing relevance and effect</p>	<p>There is need for regular review of the content of the MOU and of its implementation. The MOU was approved by the GEF Council.</p>
<p>2. Requested the Executive Secretary to transmit this decision to the Council of the Global Environment Facility</p>		<p>Could be retired</p>

DECISION III/9 IMPLEMENTATION OF ARTICLES 6 AND 8 OF THE CONVENTION

Overview of the Decision

Decision III/9 provides guidance for the implementation of Articles 6 on "General measures for conservation and sustainable use" and Article 8 on "In -situ conservation".

The decision also calls on the clearing-house mechanism to facilitate dissemination of national information provided in this regard, and on the interim financial mechanism to facilitate implementation of Article 6 by providing necessary financial resources to developing-country Parties.

As work has developed since COP 3, most of these issues are now being addressed separately and within the context of the thematic work programmes and cross-cutting issues.

Overall assessment and possible action by the Conference of the Parties

Most of the elements of the decision are being addressed and have been further developed by the COP at subsequent meetings.

Being concerned with the national development and implementation of biodiversity-related legislation, this decision continues to have relevance to the work of the Convention. However, the Conference of the Parties may wish to retire paragraphs 8 and 11 since these have been fully implemented and have no continuing relevance to the Convention.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP</p> <p>1. Stressed the need for Parties to ensure the cross-border coordination of their respective strategies, on a bilateral as well as on a regional basis;</p>	<p>Transboundary issues are being addressed within the relevant thematic PoWs and cross-cutting issues.</p>
<p>2. Urged Parties to include in their national plans or strategies and legislation measures for:</p> <p>(a) The conservation of biological diversity both in situ and ex situ;</p> <p>(b) The integration of biological diversity objectives in relevant sectoral policies in order to achieve conservation and sustainable use of biological diversity;</p> <p>(c) The equitable sharing of benefits arising out of the use of genetic resources;</p>	<p>(a) Elements for a protected areas PoW are being prepared for consideration by SBSTTA9.</p> <p>In-situ conservation is being addressed as an issue within the relevant thematic PoWs and cross-cutting issues.</p> <p>Decision VI/23 on alien species that threaten ecosystems, habitat or species</p>
<p>3. Further urged Parties to submit their first national reports on time;</p>	<p>Fully implemented, but of continuing relevance or effect.</p>
<p>4. Requested the interim financial mechanism under the Convention to make available to developing country Parties resources to enable them to facilitate urgent implementation of paragraphs 2 and 3 above;</p>	<p>To date, GEF has provided financial assistance to 110 Parties to develop both their NBSAP and first national report; to 14 Parties to develop their NBSAP; and to 7 Parties to develop their first national report.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
5. Encouraged all Parties to set measurable targets in order to achieve biological diversity conservation and sustainable use objectives;	Addressed mainly through decisions VI/26 (Strategic Plan) and VI/28 (MYPOW), VI/9 (GSPC), as well as targets are being addressed as a priority issue within the relevant thematic PoWs and cross-cutting issues.
6. Requested Parties to take action to achieve the restoration of habitats, including their biological diversity components;	Rehabilitation and restoration is being addressed as an issue within the relevant thematic PoWs and cross-cutting issues, i.e. agriculture (V/5), dry and sub-humid lands (V/23), forests (V/4), inland waters (V/IV/4), and marine and coastal (IV/5, VI/3), alien species (VI/23)
7. Requested the Executive Secretary to explore ways of enhancing the collection and dissemination to Parties of information on the implementation of Articles 6 and 8 by involving in a more regular and systematic fashion organizations already concerned with the collection and dissemination of such information;	<p>Information exchange is being addressed as an issue within the relevant thematic PoWs and cross-cutting issues.</p> <p>In 1998, the Secretariats of the five biodiversity-related conventions – CBD, CITES, CMS, Ramsar and World Heritage, and UNEP jointly commissioned WCMC to undertake a feasibility study on harmonizing information management among these conventions. In this regard, a draft Action Plan was completed in November 2002 and circulated to the UN Environmental Management Group (EMG) and the Issue Management Group (IMG) for comment. Ultimately, the results of the project will be reported back to the governing bodies of the five conventions.</p>
8. Requested the Executive Secretary to prepare a paper for consideration by the next meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, identifying existing conventions and other international agreements relevant to the implementation of the various paragraphs of Article 8;	The Executive Secretary prepared, for the consideration of the fourth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, document UNEP/CBD/SBSTTA/4/2 entitled ‘Report on Co-operation with other Bodies’, and document UNEP/CBD/SBSTTA/4/3 entitled ‘Progress Report on the Implementation of Programmes of Work on Thematic Areas: Inland Water, Marine and Coastal, Agricultural and Forest Biological Diversity’. Both documents identify existing conventions and international agreements relevant to implementation of various paragraphs of Article 8.
9. Recommended the development of a thematic approach in the further compilation and dissemination of information on the implementation of Articles 6 and 8 and commends the inclusion of the following work areas	<p>Reporting on the implementation of relevant thematic PoWs and cross-cutting issues, includes aspects (a) to (d).</p> <p>The Executive Secretary prepared a format</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>within this approach:</p> <p>(a) Methodologies to evaluate and mitigate threats to biological diversity;</p> <p>(b) Ways to suppress or mitigate perverse or negative incentives having a deleterious effect on biological diversity;</p> <p>(c) Alien species; and</p> <p>(d) Protected areas;</p>	<p>for a thematic report on alien species which was considered and endorsed by COP 5 (Decision V/19 called for a submission of this thematic report by Parties by 30 September 2000.)</p> <p>The Executive Secretary prepared a format for a thematic report on protected areas which was considered and endorsed by COP 6 (Decision VI/25 calls for submission of this thematic report by Parties by 30 March 2003.)</p>
<p>10. Encouraged the Scientific Committee on Problems of the Environment and the Invasive Species Specialist Group of the World Conservation Union to continue their efforts to develop a global strategy and action plan to deal with the problem of alien invasive species; 11. Decides that the first national reports referred to in decision II/17 should be submitted no later than 1 January 1998, taking into account decision III/25 of the third meeting of the Conference of the Parties on the date and venue of its next meeting.</p>	<p>Decision VI/23 on alien species that threaten ecosystems, habitat or species; Alien species is being addressed as an issue cross-cutting through the relevant thematic PoWs.</p>
<p>11. Decided that the first national reports referred to in decision II/17 should be submitted no later than 1 January 1998, taking into account decision III/25 of the third meeting of the Conference of the Parties on the date and venue of its next meeting.</p>	<p>Fully implemented.</p>

DECISION III/10 – IDENTIFICATION, MONITORING AND ASSESSMENT*Overview of the decision*

The decision emphasizes the need for the identification of indicators of biological diversity and the development of innovative methods to implement Article 7.

Overall assessment and possible action by the Conference of the Parties

The identification of indicators of biological diversity and the development of innovative methods to implement Article 7 are ongoing and will require continued refinement. Specific new requirements, such as those arising from the need to assess progress towards reaching agreed biodiversity targets, will continue to demand the development of appropriate tools. The decision is therefore of continuing relevance to the work of the Convention. It could be consolidated with other related decisions.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Urged Parties to identify indicators of biological diversity and to develop innovative methods of implementing Article 7 as a high priority, in particular commending the value of rapid biological diversity assessment approaches as an efficient and cost-effective way of assessing biological diversity and identifying priorities for action, and recognizing also the role of remote sensing as a useful tool for monitoring;</p>	<p>Many Parties have developed indicators related to biological diversity. However, these may need to be refined to serve the objectives identify in NBSAPs and other relevant plans and documents. Guidance on the development of biodiversity indicators is being prepared for consideration by COP 7, taking into account the specific recommendations of Decision III/10 and of subsequent Decisions.</p>
<p>2. Endorsed SBSTTA Recommendation II/1 concerning indicators, monitoring and assessment of biological diversity;</p>	<p>Most of the elements of Recommendation II/1 have been and continue to be taken into account in the implementation of the Decisions. Some elements (e.g. need for capacity building, need for improved taxonomic knowledge etc.) require long-term commitments.</p>
<p>3. Endorsed SBSTTA Recommendation II/2 concerning capacity-building for taxonomy;</p>	<p>A Global Taxonomy Initiative (see SBSTTA Recommendation II/2) was endorsed by decision IV/1 adopted by the Conference of the Parties at its fourth meeting.</p>
<p>4. Recommended that Parties consider a step-by-step approach to the implementation of Article 7, paying attention to the indicative list of categories of important components of biological diversity set out in Annex I of the Convention, beginning with the rapid implementation of Article 7 (a) and the first part of Article 7 (c), concerning identification of important components of biological diversity and the processes and categories of activities which have or are likely to have significant adverse impacts on biological diversity;</p>	<p>National priorities are laid down in NBSAPs. While these tend to follow the structure proposed in para 4 of the Decision deviations are possible.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
5. Stressed, however, that such an approach should not preclude the timely implementation of other Articles of the Convention, particularly Articles 6 and 8, with respect to those components of biological diversity that have been identified;	
6. Called on Parties to cooperate on a voluntary pilot project to demonstrate the use of successful assessment and indicator methodologies;	A multitude of projects on biological assessment has been carried out at bilateral and multilateral levels. A GEF project on indicator methodologies is underway.
7. Called on Parties to prepare, where appropriate, reports on experiences on the application of assessment methodologies and results from assessments and to disseminate these reports by appropriate mechanisms such as the clearing-house mechanism;	An abundance of relevant information is available on the Internet. Web addresses with sources of information on or related to biodiversity indicators are being compiled for COP 7.
8. Recommended to Parties that they explore ways to make taxonomic information housed in collections world-wide readily available, in particular to countries of origin;	A Global Taxonomy Initiative (see SBSTTA Recommendation II/2) was endorsed by decision IV/1 adopted by the Conference of the Parties at its fourth meeting. Institutional cooperations and regional projects facilitate the upgrading of collections in countries with limited collections
9. Instructed the Subsidiary Body on Scientific, Technical and Technological Advice: (a) To provide scientific advice and further guidance, through its thematic work on ecosystems, to the fourth meeting of the Conference of the Parties, to assist in the national elaboration of Annex I of the Convention, using as guidance the elaboration of the terms as set out in paragraphs 12-29 of document UNEP/CBD/COP/3/12; (b) Further to review methodologies for assessment of biological diversity and make recommendations for their application to the fourth meeting of the Conference of the Parties;	(a) Guidance has been provided through documents UNEP/CBD/SBSTTA/8/INF/4 pertaining to inland water ecosystems and, in part, through document UNEP/CBD/SBSTTA/8/INF/11 relating to marine and coastal protected areas. Guidance for other thematic areas has not been provided. (b) Methods have been reviewed on rapid assessment of inland water biodiversity (UNEP/CBD/SBSTTA/8/INF/5) and on rapid assessment of marine and coastal biodiversity (UNEP/CBD/SBSTTA/8/INF/13)
10. Requested the institutional structure of the interim financial mechanism of the Convention to provide financial resources to developing countries in order to address the need for capacity-building, including taxonomy, to enable them to develop and carry out an initial assessment for designing, implementing and monitoring programmes in accordance with Article 7, taking into account the special need of small island States.	Ongoing

DECISION III/11 – CONSERVATION AND SUSTAINABLE USE OF AGRICULTURAL BIOLOGICAL DIVERSITY

Overview of the decision

This decision established a multi-year programme of activities on agricultural biological diversity and provides guidance to the ES, Parties, other Governments, other relevant United Nations bodies including FAO and regional and international organizations regarding the development of this programme of work. Among the actions suggested are the need to collect and share information (including case studies), to identify and assess relevant ongoing activities and existing instruments at the international level, and define the priority actions at international and national level, consider this theme as a priority in the development and implementation of their NBSAPS, and provide adequate and timely support for the implementation of the programme of work.

Overall assessment and possible action by the Conference of the Parties

A significant number of the elements of the decision have been superseded by subsequent decisions such as V/5 and VI/5 and other decisions relating to GEF and other funding mechanisms. Paragraphs 1-12, 16 and 23-24 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided to establish a multi-year programme of activities on agricultural biological diversity aiming, first, to promote the positive effects and mitigate the negative impacts of agricultural practices on biological diversity in agro-ecosystems and their interface with other ecosystems; second, to promote the conservation and sustainable use of genetic resources of actual or potential value for food and agriculture; and third, to promote the fair and equitable sharing of benefits arising out of the utilization of genetic resources; and which, in support of the implementation of ongoing or the initiation of new policies, programmes and plans in the field of agro-biodiversity, will have the following components:</p> <p>(a) The identification and assessment of relevant ongoing activities and existing instruments at the international level;</p> <p>(b) The identification and assessment of relevant ongoing activities and existing instruments at the national level;</p> <p>(c) The identification of issues that need to be addressed and relevant knowledge;</p> <p>(d) The identification of priority issues for further development of the programme;</p> <p>(e) The identification and implementation of case studies on issues identified;</p>	<p>The decision has been implemented. Decision IV/6, para.7, requested SBSTTA-4 to provide advice and recommendation for the development of the first phase of the multi-year programme of work on agricultural biodiversity.</p> <p>The decision could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
(f) The sharing of experiences and the transfer of knowledge and technologies;	
<p>2. Requested the Executive Secretary to invite the Food and Agriculture Organization of the United Nations, in close collaboration with other relevant United Nations bodies and regional and international organizations, to identify and assess relevant ongoing activities and existing instruments at the international level, choosing among the thematic areas in the indicative list in Annex 2. The results should be reported back on a phased basis to the Conference of the Parties through the Subsidiary Body on Scientific, Technical and Technological Advice;</p>	<p>ES invited FAO.</p> <p>At SBSTTA-3, the Secretariat provided a report on the policies, programmes and activities of relevant international organizations regarding agricultural biological diversity. FAO reported on its related policies, programmes and activities, on the global system for the conservation and sustainable use of PGRFA; and on farming systems approaches.</p> <p>FAO, in collaboration with the ES and other relevant organizations, undertook an assessment of ongoing activities and identified priorities for a programme of work in collaboration with FAO and other relevant organizations; the report was further considered by SBSTTA-5 and the programme of work adopted in decision V/5; the programme of work contains 4 programmes elements in line with decision III/11 paragraph 1.</p> <p>The decision could be retired.</p>
<p>3. Welcomed the offer by the Food and Agriculture Organization of the United Nations to continue serving countries in implementing the Convention on Biological Diversity in the area of agricultural biological diversity, and, referring to its earlier decisions, underlines the necessity of avoiding any duplication of work with respect to the activities being undertaken by the Food and Agriculture Organization of the United Nations in this programme of work;</p>	
<p>4. Requested Parties, as far as possible and as appropriate, to identify and assess relevant ongoing activities and existing instruments at the national level and to report back to the Conference of the Parties;</p>	<p>At SBSTTA-3, the Secretariat prepared a compilation on national contributions on agricultural biodiversity received from 8 countries. This was subsequently reported to COP-4.</p> <p>Further information was provided by the second national reports and will be provided in the third national report (containing a thematic report on agricultural biodiversity)</p> <p>The decision could be retired.</p>
<p>5. Requested Parties, as far as possible and as appropriate, to identify issues and priorities that need to be addressed at the national level and to report back to the Conference of the Parties;</p>	<p>The Secretariat set out, in collaboration with relevant organizations, the scientific, technical and technological issues that were considered by the SBSTTA-2, and presented</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
	<p>an outline of the kinds of actions that could be considered under the framework of the Convention. The report was considered at COP-4.</p> <p>86 Parties provided information in their first national reports.</p> <p>Decision can be retired.</p>
<p>6. Suggested that, in carrying out the initiatives described in paragraphs 3 and 4 above, Parties consider the thematic areas in the indicative list in Annex 2, as appropriate;</p>	<p>The programme of work developed took these themes in consideration.</p> <p>Decision can be retired.</p>
<p>7. Requested the Executive Secretary, in close collaboration with the Food and Agriculture Organization of the United Nations, as appropriate, to report the results, together with advice from the Subsidiary Body on Scientific, Technical and Technological Advice, of the above initiatives as a basis for setting priorities by the Conference of the Parties for further work within this programme of work using as criteria, inter alia:</p> <p>(a) The relevance of the issue to the objectives of the Convention;</p> <p>(b) The extent to which work on the issue is not already being undertaken;</p>	<p>The ES prepared documents with FAO, which were considered at SBSTTA-2 and SBSTTA-3 and considered at COP-4.</p> <p>Decision can be retired.</p>
<p>8. Requested that the clearing-house mechanism be used to promote and facilitate the development and transfer of technology relevant to the conservation and sustainable use of agricultural biological diversity by facilitating contacts among:</p> <p>(a) Groups needing solutions to specific problems;</p> <p>(b) Holders of technologies developed and maintained by many sources;</p> <p>(c) Technology-transfer brokers;</p> <p>(d) Enabling agencies which fund technology transfer;</p>	<p>Up to now, case-studies related to matters related to agricultural biodiversity (e.g. pollinators, soil biodiversity, animal genetic resources, plant genetic resources) are being disseminated through the clearing-house mechanism (e.g. proceedings of workshops, compilation, lessons learned). Only few case-studies were submitted individually and the Secretariat and posted (5 on pollinators; 5 on soil biodiversity).</p> <p>This decision is superseded by decision VI/5.</p>
<p>9. Encouraged the Parties, in accordance with decision I/2 of the Conference of the Parties, to use and/or study and develop methods and indicators to monitor the impacts of agricultural development projects, including the intensification and extensification of production systems, on biological diversity and to promote their application;</p>	<p>This decision was superseded by decision IV/6 para. 1 which <i>endorses</i> Recommendation III/4 para.12 “<i>Agrees</i> that work undertaken on the development of indicators for agricultural biodiversity be carried out in the context of recommendation III/5”.</p> <p>Further superseded by decision V/5 and VI/5.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>10. Invited countries to share case-study experiences addressing the conservation and sustainable use of agricultural biological diversity, which, among other ways of sharing information, should be posted through the clearing-house mechanism of the Convention;</p>	<p>Up to now, case-studies on matters related to agricultural biodiversity (e.g. pollinators, soil biodiversity, animal genetic resources, plant genetic resources) are being disseminated through the clearing-house mechanism (e.g. proceedings of workshops, compilation, lessons learned). Only few case-studies were submitted individually (5 on pollinators; 5 on soil biodiversity).</p> <p>Decision superseded by Decision V/5 and VI/5.</p> <p>The decision could be retired.</p>
<p>11. Encouraged interested Parties and international agencies to conduct case studies on the two initial issues identified by the Subsidiary Body on Scientific, Technical and Technological Advice in recommendation II/7, described in Annex 3;</p>	<p>The ES, FAO and other organizations compiled case studies undertaken by Parties and international agencies on soils and pollinators, and further reported in second national reports, SBSTTA-3 (farming systems), SBSTTA-7 (agricultural biodiversity, pollinators, soil biodiversity, animal genetic resources) and subsequent COP-5 and COP-6.</p> <p>Superseded by decision V/5 and VI/5</p> <p>Paragraph could be retired.</p>
<p>12. Instructed the Subsidiary Body on Scientific, Technical and Technological Advice to coordinate and assess the lessons learned from work on the topics described in Annex 3 and to report back thereon to the Conference of the Parties, as appropriate;</p>	<p>Superseded by Decision V/5 and VI/5.</p> <p>Paragraph could be retired.</p>
<p>13. Recognized that the successful implementation of policies aiming at the sustainable use of agrobiodiversity components largely depends on the degree of public awareness and understanding of its basic importance for society, and recommends Parties to establish or enhance mechanisms for information and education, including the use of the clearing-house mechanism, specific to groups of concern at national, regional and international levels;</p>	
<p>14. Endorsed the conclusions of the relevant sections of the 1995 Commission on Sustainable Development sectoral review of Agenda 21, which, inter alia, recognized the need for an integrated and multidisciplinary approach to the planning, development and management of land resources, and that the achievement of the multiple objectives related to sustainable agriculture and rural development requires a whole system approach that recognizes that it is not possible to focus on agricultural activities alone;</p>	<p>Of continuing relevance as policy guidance.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>15. Encouraged Parties to develop national strategies, programmes and plans which, <i>inter alia</i>:</p> <p>(a) Identify key components of biological diversity in agricultural production systems responsible for maintaining natural processes and cycles, monitoring and evaluating the effects of different agricultural practices and technologies on those components and encouraging the adoption of repairing practices to attain appropriate levels of biological diversity;</p> <p>(b) Redirect support measures which run counter to the objectives of the Convention regarding agricultural biodiversity;</p> <p>(c) Internalize environmental costs;</p> <p>(d) Implement targeted incentive measures which have positive impacts on agrobiodiversity, in order to enhance sustainable agriculture, in accordance with Article 11 and consistent with Article 22, as well as to undertake impact assessments in order to minimize adverse impacts on agrobiodiversity, in accordance with Article 14;</p> <p>(e) Encourage the development of technologies and farming practices that not only increase productivity, but also arrest degradation as well as reclaim, rehabilitate, restore and enhance biological diversity and monitor adverse effects on sustainable agricultural biodiversity. These could include, <i>inter alia</i>, organic farming, integrated pest management, biological control, no-till agriculture, multi-cropping, inter-cropping, crop rotation and agricultural forestry;</p> <p>(f) Empower their indigenous and local communities and build their capacity for in situ conservation and sustainable use and management of agricultural biological diversity, building on the indigenous knowledge systems;</p> <p>(g) Encourage <i>ex ante</i> and/or <i>ex post</i> evaluation of impacts on biological diversity from agricultural development projects, to assure the use of best practices to promote the conservation and sustainable use of biological diversity;</p> <p>(h) Integrate with other plans, programmes and projects relating to the conservation and sustainable use of other terrestrial, freshwater, coastal and marine ecosystems, in accordance with Article 6 (b) of the Convention on Biological Diversity;</p>	<p>On-going activity. Results presented in the second national report as presented at COP-6.</p> <p>Activity ongoing.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>(i) Promote partnerships with researchers, extension workers and farmers in research and development programmes for biological diversity conservation and sustainable use of biological diversity in agriculture. To achieve this, countries should be encouraged to set up and maintain local level forums for farmers, researchers, extension workers and other stakeholders to evolve genuine partnerships;</p> <p>(j) Promote at national and regional levels adequate and appropriate services to farmers and responsiveness of public research and extension services and development of genuine partnerships;</p> <p>(k) Promote research into, and development and implementation of, integrated pest management strategies, in particular, methods and practices alternative to the use of agro-chemicals, that maintain biodiversity, enhance agro-ecosystem resilience, maintain soil and water quality and do not affect human health;</p> <p>(l) Encourage the consideration of introducing necessary measures and/or legislation, as appropriate, to encourage appropriate use of and discourage excessive dependence on agro-chemicals with a view to reducing negative impacts on biological diversity;</p> <p>(m) Study, use and/or develop, in accordance with decision I/2, methods and indicators to monitor the impacts of agricultural development projects on biological diversity, including intensification and extensification, of production systems on biological diversity, and to promote their application;</p> <p>(n) Study the positive and negative impacts on ecosystems and biomes of agricultural transformation resulting from intensification or extensification of production systems in their countries;</p>	
<p>16. Encouraged Parties to develop national strategies, programmes and plans, which should focus on, <i>inter alia</i>:</p> <p>(a) The key elements of the Global Plan of Action, such as broadening the genetic base of major crops; increasing the range of genetic diversity available to farmers; strengthening the capacity to develop new crops and varieties that are specifically adapted to local environments; exploring and promoting the use of underutilized crops; and deploying genetic diversity to reduce crop vulnerability;</p>	<p>On-going activities.</p> <p>Activity (a) on Global Plan of Action led by FAO</p> <p>Activity (b) on animal genetic resources led by FAO</p> <p>Activity (c) facilitated by FAO in collaboration with other organizations in the framework of the International Initiative on Soil Biodiversity.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>(b) The development of inventories which consider the status of farm animal genetic resources and measures for their conservation and sustainable utilization;</p> <p>(c) Micro-organisms of interest for agriculture;</p>	<p>Superseded by decisions V/5 and VI/5.</p> <p>The decision could be retired.</p>
<p>17. Encouraged Parties at the appropriate level, with the support of the relevant international and regional organizations, to promote:</p> <p>(a) The transformation of unsustainable agricultural practices into sustainable production practices adapted to local biotic and abiotic conditions, in conformity with the ecosystem or integrated land use approach;</p> <p>(b) The use of farming practices that not only increase productivity, but also arrest degradation as well as reclaim, rehabilitate, restore and enhance biological diversity;</p> <p>(c) Mobilization of farming communities including indigenous and local communities for the development, maintenance and use of their knowledge and practices in the conservation and sustainable use of biological diversity in the agricultural sector with specific reference to gender roles;</p>	<p>Ongoing activity. Results presented in the second national report as presented at COP-6.</p> <p>Activity ongoing.</p>
<p>18. Noted that the various options for the legal status of a revised International Undertaking on Plant Genetic Resources, which include a voluntary agreement, binding instrument, or protocol to the Convention on Biological Diversity, have not been decided upon by the Food and Agriculture Organization of the United Nations, requests the Food and Agriculture Organization of the United Nations to inform the Conference of the Parties of its deliberations, affirms its willingness to consider a decision by the Conference of the Food and Agriculture Organization of the United Nations that the International Undertaking should take the form of a protocol to this Convention once revised in harmony with this Convention and further requests the Executive Secretary to inform the Commission on Genetic Resources for Food and Agriculture accordingly;</p>	
<p>19. Welcomed the contribution that the Global Plan of Action for the Conservation and Sustainable Utilization of Plant Genetic Resources, as adopted by the fourth International Technical Conference on Plant Genetic Resources, provides to the implementation of the Convention on Biological Diversity in the field of plant</p>	

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>genetic resources for food and agriculture and encourages Parties actively to implement the Global Plan of Action, in accordance with their national capacities, and endorses its priorities and policy recommendations; recognizes that several issues require further work in the context of the FAO Global System for the Conservation and Utilization of Plant Genetic Resources for Food and Agriculture, in particular: financing; the realization of Farmers' Rights as discussed in the Global Plan of Action; as well as terms of technology transfer to developing countries and access and benefit-sharing arrangements, in accordance with relevant provisions of the Convention. In this regard, calls for effective and speedy completion of the revision of the International Undertaking and strengthening of the FAO Global System;</p>	
<p>20. Appreciated the importance of the country-based Global Strategy for the Management of Farm Animal Genetic Resources under the Food and Agriculture Organization of the United Nations and strongly supports its further development;</p>	
<p>21. Drew the attention of Parties to Article 20.1 of the Convention, in the context of providing, in accordance with their capabilities, financial support and incentives for the conservation and sustainable use of biological diversity important to agriculture in accordance with national plans, priorities and programmes;</p>	<p>Still valid</p>
<p>22. Drew the attention of international funding agencies to the urgent need to support the conservation and sustainable use of biological diversity important to agriculture and invites these agencies to provide information and feedback in this respect to the Conference of the Parties and in this context, requests the interim financial mechanism to give priority to supporting efforts for the conservation and sustainable use of biological diversity important to agriculture in accordance with this decision;</p>	<p>Still valid</p>
<p>23. Encouraged the United Nations Environment Programme/Food and Agriculture Organization of the United Nations process developed by the Intergovernmental Committee negotiating an international binding instrument for the application of the prior informed consent procedure on hazardous chemical substances, including pesticides;</p>	<p>Implemented. Decision V/5, paragraph 13 “welcomes the adoption of the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade”.</p> <p>The paragraph could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>24. Recalled paragraph 39 (g) from the World Food Summit Plan of Action and encourages the World Trade Organization through its Committee on Trade and Environment, in collaboration with other relevant organizations, to consider developing a better appreciation of the relationship between trade and agricultural biodiversity and, in this consideration, recommends the collaboration with the Convention on Biological Diversity and requests the Executive Secretary to convey this request to the World Trade Organization.</p>	<p>Superseded by Decision V/5 paragraph 14 and Decision VI/5 paragraph 17.</p> <p>The decision could be retired.</p>

DECISION III/12 – PROGRAMME OF WORK FOR TERRESTRIAL BIOLOGICAL DIVERSITY: FOREST BIOLOGICAL DIVERSITY

Overview of the decision

The decision focuses on developing a work programme on forest biological diversity, including relevant partners and ongoing initiatives.

Overall assessment and possible action by the Conference of the Parties

The decision has been fully implemented. No need for further action. COP may want to consider archiving it.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Welcomed the comprehensive work taking place under the Intergovernmental Panel on Forests and acknowledges the cooperation between the Intergovernmental Panel on Forests and the Convention on Biological Diversity;</p>	
<p>2. Endorsed recommendation II/8 of the Subsidiary Body on Scientific, Technical and Technological Advice as contained in the annex to this decision;</p>	
<p>3. Affirmed that the Convention on Biological Diversity will be working in a complementary way with the Intergovernmental Panel on Forests and other forest-related forums on forests and biological diversity, with a view to avoiding duplication of effort;</p>	
<p>4. Decided to invite its President to transmit this decision on forests and its annex to the Intergovernmental Panel on Forests at its fourth meeting;</p>	
<p>5. Requested the ES to explore ways and means to cooperate with the Intergovernmental Panel on Forests or any successor process on matters relating to biological diversity and forests;</p>	<p>The ES cooperated with the Intergovernmental Panel on Forests as stated in note UNEP/CBD/COP/4/7</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>6. Further requested the Executive Secretary to develop a focused work programme for forest biological diversity. Optional elements for such a work programme should initially focus on research, cooperation and the development of technologies necessary for the conservation and sustainable use of forest biological diversity. In addition the programme should:</p> <p>(a) Take account of the outcome of the Intergovernmental Panel on Forests and other forest-related forums;</p> <p>(b) Facilitate the application and integration of the objectives of the Convention on Biological Diversity in the sustainable management of forests at the national, regional and global levels, in accordance with the ecosystem approach;</p> <p>(c) Complement and not duplicate the work of relevant international forums, notably the Intergovernmental Panel on Forests;</p> <p>(d) Complement existing national, regional or international criteria and indicator frameworks for sustainable forest management;</p> <p>(e) Incorporate traditional systems of forest biological diversity conservation;</p>	<p>Included in note prepared by the Executive Secretary UNEP/CBD/COP/4/7</p>
<p>7. Requested the Executive Secretary in developing this draft work programme, to work closely with the Intergovernmental Panel on Forests and relevant institutions, and also to take full account of the decisions of the United Nations Commission on Sustainable Development, noting in particular the report on institutional aspects contained in the programme element V.1 of the Intergovernmental Panel on Forests mandate resulting from the Swiss/Peruvian initiative on forests held in support of the Intergovernmental Panel on Forests, and encourages all Parties actively to assist the Executive Secretary in carrying out this work;</p>	
<p>8. Requested the Executive Secretary to report on progress in the draft programme of work to the next meeting of the Conference of the Parties for its discussion and consideration;</p>	
<p>9. Requested SBSTTA to contribute advice on the draft programme;</p>	<p>Relevant issues taken into account in note prepared by the Executive Secretary UNEP/CBD/COP/4/7</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
10. Directed SBSTTA to advance its scientific, technical and technological consideration of forest biological diversity in the areas of methodology to advance elaboration and implementation of criteria and indicators as part of sustainable forest management, and on analysis of the ways in which humans influence biological diversity.	Relevant issues taken into account in note prepared by the Executive Secretary UNEP/CBD/COP/4/7

DECISION III/13 – FUTURE PROGRAMME OF WORK FOR TERRESTRIAL BIOLOGICAL DIVERSITY: DRYLANDS, MOUNTAIN AND INLAND WATER ECOSYSTEMS

Overview of the decision

The decision endorses paragraph 5 of SBSTTA's recommendations as set out in Recommendation II/8.

Overall assessment and possible action by the Conference of the Parties

Most of the elements of the decision relevant to dry and sub-humid lands have been implemented. A number of its elements have been further developed by the COP at subsequent meetings.

The decision could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Endorsed paragraph 5 of recommendation II/8 of the Subsidiary Body on Scientific, Technical and Technological Advice and thereby requests the Executive Secretary:</p> <p>(a) To explore ways and means to cooperate with the United Nations Convention to Combat Desertification in those Countries Experiencing Serious Drought and/or Desertification particularly in Africa on matters relating to biological diversity and dry-lands, with a view to identifying common priorities;</p> <p>(b) To contact those agencies and networks working on sustainable mountain development with a view to examining forms of cooperation on matters relating to biological diversity and mountains;</p> <p>(c) To make the results of these activities available to the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice;</p>	<p>Cooperative arrangements and activities were undertaken with various organizations including the UNCCD and reported to the COP at its fourth meeting (UNEP/CBD/COP/4/13).</p> <p>A programme of work on dry and sub-humid lands was agreed to in decision V/23.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
2. Requested the Subsidiary Body on Scientific, Technical and Technological Advice to provide the fourth meeting of the Conference of the Parties with scientific, technical and technological advice on the status and trends of biological diversity in inland water ecosystems and the identification of options for conservation and sustainable use.	SBSTTA 3 provided advice as requested to COP 4: see SBSTTA recommendation III/1.

DECISION III/14 – IMPLEMENTATION OF ARTICLE 8(j)

Overview of the Decision

The decision requested Parties to develop national legislation and corresponding strategies for the implementation of Article 8(j) and to supply information on their endeavours and include such information in their national reports. The Executive Secretary is requested to follow developments in other international processes and bodies relevant to Article 8(j) and provide periodic reports to the COP. Most of the remaining elements of the decision are concerned with the establishment of an inter-sessional process to advance further work on the implementation of Article 8(j) and related provisions, including the holding of a 5-day workshop before COP 4, according to the terms of reference in the Annex to the decision. The interim financial mechanism is requested to examine the support for capacity-building projects for indigenous and local communities.

Overall Assessment and Possible Action by the Conference of the Parties

The directions contained in paragraphs 1,2, 4 and 5 are ongoing in nature. The inter-sessional process referred to in paragraph 7 continues through the work of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions, established and mandated by paragraph 1 of decision IV/9. The COP may wish to consider archiving the remaining paragraphs (3, 8-12) concerning the preparation for and conduct of the five-day workshop, as well as paragraph 6, concerning the indigenous knowledge post in the Secretariat.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
The COP, 1. Requested those Parties that have not yet done so to develop national legislation and corresponding strategies for the implementation of Article 8 (j) in consultation particularly with representatives of their indigenous and local communities;	There has been no indication by Parties in their National Reports as to any development of legislation to implement Article 8(j). Parties should now be requested to report on how they have implemented Article 8(j) and related provisions..
2. Urged Parties to supply information about the implementation of Article 8 (j) and related articles, for example, national legislation and administrative and incentive measures, and to include such information in national reports;	Ongoing
3. Invited Governments, international agencies, research institutions, representatives of indigenous and local communities and non-governmental organizations to submit case studies to the Executive Secretary in time for consideration by the workshop referred to in paragraph 9 below on measures taken to develop and implement the	Case-studies were submitted as requested and compiled by the ES for the workshop.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>Convention's provisions relating to indigenous and local communities. These studies could highlight key areas of discussion and help in considering the implementation of Article 8 (j) and related articles, including, <i>inter alia</i>, interactions between traditional and other forms of knowledge relating to conservation and sustainable use of biological diversity; the influence of current laws and policies on knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity; and incentive measures;</p>	
<p>4. Requested the Executive Secretary to remain informed as to relevant international processes and bodies, including, <i>inter alia</i>, those under the auspices of the Commission on Human Rights and the Commission on Sustainable Development, Convention 169 of the International Labour Organization, the World Intellectual Property Organization, the World Bank, the Food and Agriculture Organization of the United Nations, the United Nations Educational, Social and Cultural Organization, and the World Trade Organization, and to provide periodic reports related to Article 8 (j) and related articles to the Conference of Parties;</p>	<p>The Secretariat has maintained contact with the various UN agencies and has continued to be involved in meetings held by the Commission on Human Rights, CSD, ILO, FAO UNESCO and WTO. The CBD now has an MOC with WIPO. Coordination and collaboration with these agencies is ongoing.</p>
<p>5. Requested the interim financial mechanism to examine the support of capacity-building projects for indigenous and local communities embodying traditional lifestyles related to the preservation and maintenance of their knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity with their prior informed consent and their participation;</p>	<p>Ongoing</p>
<p>6. Recommended that the indigenous knowledge post in the Secretariat should be filled as soon as possible;</p>	<p>The position was filled in June 1997. This paragraph can be retired.</p>
<p>7. Decided that an inter-sessional process should be established to advance further work on the implementation of Article 8 (j) and related provisions with a view to producing a report for consideration at the fourth meeting of the Conference of the Parties;</p>	<p>The Ad Hoc Open-ended Intersessional Working Group on Article 8(j) and Related Provisions was established by paragraph 1 of decision IV/9. The inter-sessional process is ongoing and its mandate was extended by COP 5.</p>
<p>8. Decided that activities as part of the inter-sessional process referred to in paragraph 7 should include representation by Governments, indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biodiversity, and other relevant bodies;</p>	

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>9. Requested the Executive Secretary to arrange, as part of the intersessional process referred to in paragraph 7, a five-day workshop before the fourth meeting of the Conference of the Parties according to the terms of reference in the Annex;</p>	<p>Implemented. A workshop was held in Madrid Spain, from 24-28 November 1997, hosted by the Spanish Government. Indigenous and local community organizations and Parties as well as relevant UN Agencies and institutions participated. The workshop discharged its mandate as defined in the Annex to the decision. The report from this meeting is found in document UNEP/CBD/TKBD/1/3 or UNEP/CBD/COP/4/10</p>
<p>10. Further requested the Executive Secretary to produce, in support of the intersessional process referred to in paragraph 7, a background document containing the following:</p> <p>(a) The consideration of the linkages between Article 8 (j) and related issues including, <i>inter alia</i>, technology transfer, access to genetic resources, ownership, intellectual property rights, alternative systems of protection of knowledge, innovations and practices, incentives and Articles 6 and 7 and the remainder of Article 8;</p> <p>(b) The elaboration of concepts of key terms of Article 8 (j) and related provisions such as Articles 10 (c), 17.2 and 18.4;</p> <p>(c) A survey of the activities undertaken by relevant organizations and their possible contribution to Article 8 (j) and related articles;</p>	<p>Fulfilled as reflected in document UNEP/CBD/TKBD/1/2. This documents considered information regarding a, b and c.</p>
<p>11. Further requested the Executive Secretary to invite representatives of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biodiversity to provide input to the meeting;</p>	<p>A notification calling for case studies was sent to Parties, Indigenous and local community organizations, institutions and UN agencies to provide input into the process.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
12. Called upon Parties to make additional voluntary contributions to help fund the workshop.	<p>The Secretariat called on Parties for additional voluntary funds to assist the workshop and to support the participation of indigenous and local communities to the workshop in Madrid. A number of Countries provided financial assistance to support the participation of indigenous and local community representatives from the Pacific and South America.</p> <p>The Government of Spain hosted a preparatory meeting for indigenous and local community representatives as well as financially supported their participation for the meetings.</p>

DECISION III/15 - ACCESS TO GENETIC RESOURCES

Overview of the decision

The decision encourages further progress regarding the implementation of Article 15 and exchange of information on progress made by Parties in its implementation.

Overall Assessment and Possible Action by the Conference of the Parties

Based on the overview of the core elements of decision III/15, on access to genetic resources, and its status of implementation, the COP may consider retiring paragraphs 1, 2 and 7.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Urges Governments, regional economic integration organizations and other international, regional and national competent organizations to send to the Secretariat, five months before the fourth meeting of the COP, information on:</p> <p>(a) National, regional, and sectoral legislative, administrative and policy measures and guidelines for activities covered by Article implementation;</p> <p>(b) National participatory processes for the activities covered by Article 15, and in particular, ways by which access and benefit-sharing measures and guidelines, including related 15, and in particular, on access and benefit-sharing, both adopted and under development, including information on their institutional arrangements, are developed and implemented;</p> <p>As appropriate, research programmes on genetic resources;</p>	<p>1. The Secretariat received few official communications by Governments although reminders were sent on 4 February 1997 and 27 October 1997. Information was provided by the Governments of Tunisia, Turkey, Costa Rica, Germany and the European Commission.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>2. Requests the ES, in time for the fourth meeting of the COP:</p> <p>(a) To prepare a note based on information provided in response to paragraph 1, further summarizing legislative, administrative and policy measures, including guidelines and regional and sectoral measures for the activities covered by Article 15, and in particular on access and benefit-sharing, both under development and adopted. The note should include a summary of the scope of the genetic resources included and being considered; any national and regional interpretations of key terms; the elements included in access measures and consideration of the process by which such measures are prepared and implemented, included in access measures and consideration of the process by which such measures are prepared and implemented, including interim measures; and relevant national experiences in the development and implementation of such measures, including, as available, case studies;</p>	<p>2. UNEP/CBD/COP/4/23/Rev.1 updates information provided in documents UNEP/CBD/COP3/20 and UNEP/CBD/COP/2/13. The note draws on the information available to the Secretariat and synthesizes it to a more general statement.</p> <p>The document contains respectively user and provider related guidelines and elements for consideration in the development of legislation on access and benefit-sharing.</p>
<p>(b) To disseminate this information, including through the clearing-house mechanism;</p>	<p>(b) UNEP/CBD/COP/4/23/Rev.1 is available on the CBD website along with all other documents prepared by the Secretariat.</p>
<p>3. Urges Governments, regional economic integration organizations, the interim financial mechanism, and competent international, regional and national organizations to support and implement human and institutional capacity-building programmes for Governments, non-governmental organizations and local and indigenous communities, as appropriate, to promote the successful development and implementation of legislative, administrative and policy measures and guidelines on access, including scientific, technical, business, legal and management skills and capacities;</p>	<p>3. UNEP/CBD/COP/4/22 prepared in response to decision III/15, by the Secretariat in collaboration with the GEF, provides some guidance to the COP on steps to be taken by Parties for the fair and equitable sharing of the benefits arising out of genetic resources.</p>
<p>4. <i>Invites</i> Governments, regional economic integration organizations and competent international, regional and national organizations to conduct analyses of ongoing experiences of legislative, administrative and policy measures and guidelines on access, including regional efforts and initiatives, and to disseminate these widely to assist Parties and stakeholders involved in developing and implementing measures and guidelines on access;</p>	<p>A synthesis of case studies on benefit-sharing is included in UNEP/CBD/COP/4/Inf7</p> <p>Benefit-sharing case studies are included in: UNEP/CBD/COP/4/Inf.16 UNEP/CBD/COP/4/Inf.25</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
5. Encourages Governments and regional economic integration organizations to explore and develop, in collaboration with relevant stakeholders, guidelines and practices to ensure mutual benefits to providers and users of access measures and to implement them effectively at the national, regional or international level, as appropriate;	5 A workshop on best practices for access to genetic resources was held in Cordoba, Spain on 16-17 January 1998. The report of this workshop is available as UNEP/CBD/COP/4/Inf.10.
6. Encourages Governments and regional economic integration organizations to identify and communicate to the Secretariat competent national authorities responsible for granting access to genetic resources and/or competent national authorities to provide information on the granting of access to genetic resources;	
7. Urges Governments and regional economic integration organizations to bring to a rapid conclusion the negotiation for the adaptation of the International Undertaking on Plant Genetic Resources for Food and Agriculture, in harmony with the Convention on Biological Diversity, in particular, providing solutions to access to <i>ex situ</i> collections not acquired in accordance with the Convention;	7. UNEP/CBD/COP/4/Inf.20 provides the Report of the Fourth Extraordinary Session of the Commission on Genetic Resources for Food and Agriculture, Rome, 1-5 December 1997.
8. Requests the Executive Secretary to cooperate closely with the World Trade Organization through the Committee on Trade and Environment to explore the extent to which there may be linkages between Article 15 and relevant articles of the Agreement on Trade-related Aspects of Intellectual Property Rights;	8. Ongoing collaboration with the WTO Secretariat and participation in the Committee on Trade and Environment.
9. Urges the ES to coordinate closely with the Food and Agriculture Organization of the United Nations, United Nations Conference on Trade and Development and other relevant organizations working on access to genetic resources to ensure complementary efforts.	9. Close coordination with the FAO was carried out during the negotiation process leading to the adoption of the International Treaty on Plant Genetic Resources for Food and Agriculture.

DECISION III/16 – WAYS TO PROMOTE AND FACILITATE ACCESS TO AND TRANSFER AND DEVELOPMENT OF TECHNOLOGY, AS ENVISAGED IN ARTICLES 16 AND 18 OF THE CONVENTION

Overview of the decision

The decision notes that the issue of technology would be addressed at COP 4, focusing especially on matters relating to benefit-sharing and measures to promote and advance the distribution of benefits from biotechnology. The decision also endorses the recommendation that SBSTTA conduct its work on technology transfer at its third meeting within sectoral themes related to the priority issues set out in its programme of work.

Overall assessment and possible action by the Conference of the Parties

As part of the long-term programme of work, COP 4 decided that technology transfer and cooperation will be addressed at COP 7. Technology matters related to access and benefit sharing were taken up at COP 4, 5 and 6. Technology transfer and cooperation was integrated into the work of SBSTTA on sectoral themes at its third meeting. In conclusion, the whole decision could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Took note of decision II/4 of the second meeting of the Conference of the Parties, and recommendation II/3 of the second meeting of the Subsidiary Body on Scientific, Technical and Technological Advice;</p>	<p>This paragraph could be retired.</p>
<p>2. Noted also that the issue of technology will be dealt with at its fourth meeting <i>inter alia</i> in the context of agenda item 7.4 on consideration of matters related to benefit-sharing, and specifically agenda item 7.4.1: Consideration of measures to promote and advance the distribution of benefits from biotechnology in accordance with Article 19 and agenda item 7.4.2: Consideration of benefit-sharing in the light of the present decision;</p>	<p>COP 4 decided that technology transfer and cooperation will be addressed at COP 7. Technology transfer as related to access and benefit sharing has been taken up at COP 4, 5 and 6. COP 4 decided that the expert panel on ABS should also address the role of technology transfer (IV/8, paragraph 3, annex). COP 5 decided that technology transfer as a mechanism for benefit-sharing should be taken up by the Ad-hoc Open-ended Working Group on Access and Benefit Sharing (V/26, paragraph 11). The role of technology transfer as a mechanism to share benefits is reflected in the Bonn Guidelines on ABS adopted by COP 6 (paragraph 16 (b) (ix)), appendix 2, paragraph 2 (g).</p>
<p>3. Endorses the recommendation II/3 of the second meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, and requests the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice to conduct its work on technology transfer within sectoral themes related to the priority issues under its programme of work, as set out in recommendation II/12;</p>	<p>Technology transfer and cooperation was reflected in several recommendations of the third meeting of SBSTTA (III/1, i.e (inland waters); III/3, Annex (forests); III/4, paragraph 11 (agriculture); III/6, chapeau, paragraph 6 (CHM).</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
4. Emphasizes the importance of technology transfer in the achievement of each of the three objectives of the Convention.	

DECISION III/17 – INTELLECTUAL PROPERTY RIGHTS

Overview of the decision

The decision recognises that intellectual property rights are relevant to and may have implications for the implementation of the Convention and the achievement of its objectives. It also refers to relevant work being carried in other intergovernmental organisations and the need to further collaborate with them on IPR related issues.

Overall assessment and possible action by the Conference of the Parties

The COP may wish to consider retiring paragraphs 3 to 6 of decision III/17. Paragraphs 1, 2, 7 and 8 of decision III/17 should be included in a consolidated decision on IPRs, along with Paragraph 1(b) and (c) (ii) of decision II/12.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Encouraged Governments, and relevant international and regional organizations, to conduct and communicate to the Executive Secretary, for dissemination through means such as the clearing-house mechanism, case studies of the impacts of intellectual property rights on the achievement of the Convention's objectives, including relationships between intellectual property rights and the knowledge, practices and innovations of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity. Such studies could:</p> <p>(a) Take into account the information and options for future work contained in the preliminary study prepared by the Executive Secretary, contained in document UNEP/CBD/COP/3/22;</p> <p>(b) Take into consideration existing and potential interrelationships between intellectual property rights and other aspects of the Convention's implementation, including, for example, implementation of Articles 8 (j), 15 and 16;</p> <p>(c) Involve, through consultation or cooperation, relevant international organizations, as well as relevant regional and national bodies, stakeholders, and others with relevant expertise, as appropriate;</p> <p>(d) Consider the role and the potential of existing intellectual property rights systems in achieving the</p>	<p>No case studies were submitted to the SCBD.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>objectives of the Convention, including, inter alia, in facilitating technology transfer and in arrangements by which interested parties including indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity and countries may determine access to and share equitably the benefits of genetic resources or knowledge, innovations and practices;</p> <p>(e) Consider the development of intellectual property rights, such as sui generis systems/approaches, or alternative forms of protection that could promote achievement of the Convention's objectives, consistent with the Parties' international obligations;</p> <p>(f) Reflect the importance of coordinating efficiently with work undertaken pursuant to other elements of the work programme of the Conference of the Parties and work programmes of other relevant organizations;</p>	
<p>2. Noted that the possible establishment of a new international intellectual property rights regime for databases could have implications for scientific and technical cooperation related to conservation and sustainable use of biological diversity, and calls for an open and transparent evaluation of these implications;</p>	
<p>3. Requested the Executive Secretary to contact relevant international organizations, particularly the World Intellectual Property Organization, to invite them to take into account in their development cooperation programmes, where appropriate, the need to build capacity to achieve the objectives of the Convention on Biological Diversity as related to intellectual property rights;</p>	<p>WIPO has been examining IP issues related to genetic resources and traditional knowledge, in particular through the WIPO Inter-Governmental Committee on IP, Genetic resources and folklore.</p>
<p>4. Requested the Executive Secretary to transmit to the Secretariat of the World Trade Organization, for use by appropriate World Trade Organization bodies, decisions of the third meeting of the Conference of the Parties, as well as the documents placed before the third meeting of the Conference of the Parties, and to endeavour to undertake further cooperation and consultation with the World Trade Organization Secretariat, as appropriate. The documents shall be accompanied by the note from the Conference of the Parties included as the annex to this decision;</p>	<p>The SCBD initiated discussions with the WTO on a wide range of issues resulting from COP 3.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>5. Welcomed the decision of the Committee on Trade and Environment of the World Trade Organization to de-restrict and transmit documents to the Executive Secretary relating to its work, and invites the Committee on Trade and Environment to transmit future relevant documents to the Executive Secretary as they are produced;</p>	<p>5. Relevant documents of the WTO/CTE have been forwarded to the SCBD on a regular basis.</p>
<p>6. Requested the Executive Secretary to apply for observer status in the Committee on Trade and Environment of the World Trade Organization, for the purpose of representing the Convention on Biological Diversity in meetings whose agendas have a relationship with the Convention;</p>	<p>6. Observer status in the WTO CTE was granted and the SCBD has been participating in relevant meetings of the CTE on a regular basis.</p>
<p>7. Noted the potential mutual benefits of exchanging information related to Article 16 of the Convention on Biological Diversity and the laws and regulations received by the Council on Trade-related Aspects of Intellectual Property Rights pursuant to the notification requirement of Article 63 of the Agreement on Trade-Related Aspects of Intellectual Property Rights;</p>	
<p>8. Recognized that further work is required to help develop a common appreciation of the relationship between intellectual property rights and the relevant provisions of the Agreement on Trade-related Aspects of Intellectual Property Rights and the Convention on Biological Diversity, in particular on issues relating to technology transfer and conservation and sustainable use of biological diversity and the fair and equitable sharing of benefits arising out of the use of genetic resources, including the protection of knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity.</p>	<p>Document UNEP/CBD/ISOC/5 on “The relationship between Intellectual Property Rights and the relevant provisions of the Agreement on Trade-related Intellectual Property Rights (TRIPS Agreement) and the Convention on Biological Diversity” prepared for the ISOC meeting in May 1999 addressed these issues.</p>

DECISION III/18 – INCENTIVE MEASURES*Overview of the decision*

The decision provides policy guidance to Parties as to the use of incentive measures and economic valuation, and sets out further work on these items.

Overall assessment and possible action by the Conference of the Parties

The substance of the decision is of ongoing relevance to the work of the Convention on incentive measures. The sharing of experiences and the exchange of information on incentive measures is an ongoing process.

Many elements are reflected in later COP Decisions as well as the proposals for the design and implementation of incentive measures and the recommendations for further cooperation on incentive measures, endorsed by the Conference of the Parties at its sixth meeting (see Decision VI/15, Annex 1). Some elements of the decision could be incorporated in a consolidated text on incentive measures. Paragraphs 1, 5, 7-8 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
<p>The COP,</p> <p>1. Endorsed recommendation II/9 of the Subsidiary Body on Scientific, Technical and Technological Advice;</p>		<p>This paragraph could be retired.</p>
<p>2. Resolved that incentive measures shall be included as appropriate on the agenda of the Conference of the Parties and be integrated into the sectoral and thematic items under the medium-term programme of work of the Conference of the Parties;</p>	<p>Incentive measures were taken up at the fourth, fifth and sixth meeting of the Conference of the Parties; Incentive measures were integrated into a number of sectoral and thematic items.</p>	<p>This element is reflected in paragraph 4 of the programme of work on incentive measures (see Decision V/15), in which COP “<i>decides to integrate actions on incentives in thematic work programmes and ensure synergy with activities on sustainable use, [...]</i>” This element could therefore be suitably consolidated with regard to other sectoral items.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
3. Encouraged Parties to review their existing legislation and economic policies, to identify and promote incentive for the conservation and sustainable use of components of biological diversity, stressing the importance of taking appropriate action on incentives that threaten biological diversity;	Under implementation by Parties.	This element could be consolidated with decision IV/10 A, paragraph 1 (d), as well as with the proposals for the design and implementation of incentive measures endorsed by the sixth meeting of the Conference of the Parties.
4. Encouraged Parties to ensure adequate incorporation of the market and non-market values of biological diversity into plans, policies and programmes and other relevant areas, inter alia, national accounting systems and investment strategies;	Under implementation by Parties.	This element could eventually be consolidated with upcoming work to further implement the programme of work on incentive measures (See Decision V/15, paragraph 2 c).
5. Encouraged Parties to develop training and capacity-building programmes to implement incentive measures and promote private-sector initiatives in this regard;	Under implementation by Parties.	This element is reflected in paragraph 27 of the proposals for the design and implementation of incentive measures as well as in paragraphs 9-10 of the recommendation for further cooperation on incentive measures, both endorsed by COP-6 (see Decision VI/15, Annex I and II). This element has therefore been superseded by decision VI/15 and could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
6. Encouraged Parties to incorporate biological diversity considerations into impact assessments, consistent with Article 14 of the Convention, as a step in the design and implementation of incentive measures;	Under implementation by Parties.	This element could eventually be consolidated into upcoming work to further implement the programme of work on incentive measures with regard to the assessment of the values of biodiversity (See Decision V/15, paragraph 2 c).
7. Invited Parties to share experiences on incentive measures and make relevant case studies available to the Secretariat, and requests the Executive Secretary to facilitate the exchange of information on incentive measures, including case studies, amongst Parties, through appropriate means such as the clearing-house mechanism and regional workshops;	Parties have submitted and are submitting case studies on incentive measures. Information received has been disseminated through the clearing-house mechanism and through synthesis reports. A workshop on incentive measures was held in September 2001.	Requests of this nature have become a regular message in subsequent COP decisions (see, e.g., paragraphs 5 and 6 of Decision VI/15, on incentive measures). This element could therefore be retired.
8. Requested the Executive Secretary to provide an initial background document for consideration by the Conference of the Parties at its fourth meeting, providing guidance to the Parties on the design and implementation of incentive measures;	The Executive Secretary prepared document UNEP/CBD/COP/4/18, on design and implementation of incentive measures.	This element could be retired.
9. Requested the Executive Secretary to take into consideration relevant work under way in other forums, such as United Nations Conference on Trade and Development and the Organisation for Economic Cooperation and Development;	Ongoing.	Requests of cooperation with relevant international organizations have become a regular message in subsequent COP decisions. This element could therefore be consolidated with other similar decisions.
10. Requested the Subsidiary Body on Scientific, Technical and Technological Advice, as appropriate, to provide in its recommendations to the Conference of the Parties scientific, technical and technological advice on the implementation of Article 11 in relevant thematic areas.	Ongoing activity by SBSTTA.	Should be consolidated with other policy guidance to SBSTTA.

DECISION III/19 – SPECIAL SESSION OF THE GENERAL ASSEMBLY TO REVIEW IMPLEMENTATION OF AGENDA 21

Overview of the decision

The decision was basically made to transmit a statement from the Conference of the Parties to the special session of the UN General Assembly in 1997. The statement is contained in the annex to the decision and describes the inter-linkages between the Convention and Agenda 21, the work under the Convention and future challenges in its implementation.

Overall assessment and possible action by the Conference of the Parties

As the decision was made for the purpose of transmitting a statement from the Conference of the Parties to a specific session of the UN General Assembly as a contribution to the session, the decision as a whole has only a historical value. It can, therefore be archived.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Requested the President of the Conference of the Parties to transmit the annexed statement from the Conference of the Parties to the special session of the General Assembly in 1997;</p>	<p>The statement was transmitted to the UN General Assembly in 1997</p>
<p>2. Also requested the Executive Secretary to provide to the special session of the General Assembly and to the preparatory process such information related to activities and developments under the Convention as may be required, including the reports of the meetings of the Conference of the Parties.</p>	<p>The whole decision should be retired.</p>

DECISION III/20 – ISSUES RELATED TO BIOSAFETY

Overview of the decision

The decision gave guidance on the composition of the Bureau and organization of work for the Open-ended Ad Hoc Working Group on Biosafety (BSWG) established in accordance with decision II/5 of the second meeting of the COP. It also endorsed recommendation II/5 of SBSTTA in connection with the promotion of the application of the UNEP Technical Guidelines for Safety in Biotechnology, and financial support for capacity building in Biosafety. The decision was made against the background of the establishment of the Open-ended Ad Hoc Working Group on Biosafety by decision II/5, which submitted the report and recommendations of its first meeting to the third meeting of the COP.

Overall assessment and possible action by the Conference of the Parties

In general, the decision is implemented. The context for the implementation of the recommendation from SBSTTA has also substantially changed. The question of promoting the application of the UNEP Technical Guidelines is, for example, no more valid, and financial support for capacity building in biosafety has also taken a new dimension after the adoption of the Protocol. Therefore, this decision would not have any significance any more. It could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided:</p> <p>(a) On the establishment of a bureau for the BSWG by getting nominations of two representatives from the five UN regional groups;</p> <p>(b) That the Bureau, and its Chairman, should remain in office until the fourth meeting of the COP;</p> <p>(c) That BSWG should held sufficient number of meetings that would allow it to complete its work in 1998.</p>	<p>The decision relating to BSWG is fully implemented.</p>
<p>2. Endorsed recommendation II/5 of SBSTTA, in particular:</p> <p>(a) Activities to promote the application of the UNEP International Technical Guidelines for Safety in Biotechnology;</p> <p>(b) Funding for capacity building in biosafety, including from the financial mechanism.</p> <p>(c) The request to the interim institutional structure operating the financial mechanism to provide financial resources to developing country Parties for capacity-building in biosafety, in accordance with paragraph 3 of recommendation II/5, as set out in paragraph 2 (a) of decision III/5.</p>	<p>It is still pursued mainly in the context of the Biosafety Protocol. Elements relating to capacity building in biosafety have been superseded by subsequent COP decisions.</p>

DECISION III/21 – RELATIONSHIP OF THE CONVENTION WITH THE COMMISSION ON SUSTAINABLE DEVELOPMENT AND BIODIVERSITY-RELATED CONVENTIONS, OTHER INTERNATIONAL AGREEMENTS, INSTITUTIONS AND PROCESSES OF RELEVANCE

Overview of the decision

The decision focuses on the enhancement of cooperative activities with relevant international conventions, agreements, institutions and processes, in particular biodiversity-related conventions.

Overall assessment and possible action by the Conference of the Parties

In general cooperation and collaboration has been enhanced with relevant agreements, institutions and processes. Most of the elements of the decision have been superseded by subsequent COP decisions addressing the issue of cooperation, for example, decisions V/21 and VI/20. Paragraphs 1, 4-7, 9 and 11 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Expressed its appreciation to those conventions and institutions which have provided documentation and information to assist the deliberations of the Conference of the Parties at its third meeting;</p>	<p>This paragraph could be retired.</p>
<p>2. Endorsed the memoranda of cooperation entered into by the Executive Secretary with the secretariats of the Convention on Wetlands of International Importance, especially as Waterfowl Habitat, the Convention on International Trade in Endangered Species of Wild Fauna and Flora and the Convention on the Conservation of Migratory Species of Wild Animals, and encourages the development of further such arrangements with relevant international biological diversity-related bodies, including regional conventions;</p>	<p>This paragraph could be consolidated with subsequent decisions dealing with cooperation.</p>
<p>3. Requested the Executive Secretary to continue to coordinate with the secretariats of relevant biological diversity-related conventions, institutions, and processes, with a view to: facilitating the exchange of information and experience; exploring the possibility of recommending procedures for harmonizing, to the extent desirable and practicable, the reporting requirements of Parties under those instruments and conventions; exploring the possibility of coordinating their respective programmes of work; and consulting on how such conventions and other international legal instruments can contribute to the implementation of the provisions of the Convention on Biological Diversity;</p>	<p>This is an ongoing activity. The paragraph could be incorporated in a consolidated decision.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>4. Also requested the Executive Secretary to develop closer relationships with, in particular, the United Nations Framework Convention on Climate Change and the United Nations Convention to Combat Desertification in those Countries Experiencing Serious Drought and/or Desertification, particularly in Africa, with a view to making implementation activities and institutional arrangements mutually supportive;</p>	<p>This activity is ongoing. Various activities were undertaken to enhance cooperation with UNFCCC and UNCCD: see UNEP/CBD/COP/4/24. This element has been superseded by decision VI/20 and could be retired.</p>
<p>5. Encouraged the further development of cooperative arrangements at the scientific and technical level with appropriate biological diversity-related conventions and institutions, such as the Scientific Council of the Convention on Conservation of Migratory Species and the Scientific and Technical Review Panel of the Convention on Wetlands of International Importance, through the Subsidiary Body on Scientific, Technical and Technological Advice;</p>	<p>Cooperative activities with CMS and Wetlands Convention have been undertaken on the basis of joint programmes of work. This element has been superseded by decision VI/20 and could be retired.</p>
<p>6. Took note of document UNEP/CBD/COP/3/35 and the comments made by participants at its third meeting, and invites the Executive Secretary to continue to investigate, in collaboration with relevant global and regional conventions, institutions and processes, modalities for cooperation, and to report back to the Conference of the Parties at its fourth meeting in the light of the longer-term review of work;</p>	<p>The Executive Secretary prepared document UNEP/CBD/COP/4/13 for the fourth meeting. This element could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>7. Decided:</p> <p>(a) In relation to cooperation with the Convention on Wetlands of International Importance:</p> <p>(i) to note the Strategic Plan for 1997-2002 adopted by the Conference of Contracting Parties to the Convention on Wetlands of International Importance, in March 1996, which includes actions aimed at creating synergy between that Convention and the Convention on Biological Diversity;</p> <p>(ii) to invite the Convention on Wetlands of International Importance to cooperate as a lead partner in the implementation of activities under the Convention related to wetlands, and, in particular, requests the Executive Secretary to seek inputs from the Convention on Wetlands of International Importance, in the preparation of documentation concerning the status and trends of inland water ecosystems for the consideration of the Conference of the Parties at its fourth meeting;</p> <p>(b) In relation to cooperation with the Convention on the Conservation of Migratory Species, to request the Executive Secretary, in consultation with the Secretariat of that Convention, to evaluate how the implementation of that Convention can complement the implementation of the Convention on Biological Diversity through its transboundary coordinated and concerted action on a regional, continental and global scale;</p>	<p>Joint work plans developed with CMS and Wetlands Convention and are under active implementation. This element could be retired.</p>
<p>8. Urged the Parties to ensure that the conservation and sustainable use of wetlands, and of migratory species and their habitats, are fully incorporated into national strategies, plan and programmes to preserve biological diversity;</p>	<p>Ongoing. This element should be consolidated with other relevant policy decisions.</p>
<p>9. Invited the governing bodies of biological diversity-related conventions to consider the possible contributions of those conventions to the implementation of the objectives of the Convention on Biological Diversity, and to share experience with the Conference of the Parties on, inter alia, successful management and conservation practices;</p>	<p>The sharing of experiences is ongoing within the framework of joint work plans. This element could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>10. Called on the national focal points of the Convention on Biological Diversity, and the competent authorities of the Convention on Wetlands of International Importance, the Convention on the Conservation of Migratory Species and the Convention on International Trade in Endangered Species of Wild Fauna and Flora to cooperate on the implementation of these conventions at the national level to avoid duplication of effort;</p>	<p>Ongoing.</p>
<p>11. Urged the United Nations Environment Programme to undertake early implementation of decision II/14 of the Conference of the Parties;</p>	<p>Over the years, UNEP has convened coordinating meetings of UNEP-administered conventions. A Division of Environmental Conventions has been established in UNEP whose mandate includes co-ordination and the development of synergies in the implementation of relevant Conventions. This element could be retired.</p>
<p>12. Invited contracting parties to relevant biological diversity-related conventions to explore opportunities for accessing funding through the Global Environment Facility for relevant projects, including projects involving a number of countries, which fulfil the eligibility criteria and guidance provided by the Conference of the Parties to the Convention on Biological Diversity to the Global Environment Facility.</p>	<p>Ongoing.</p>

DECISION III/22 – MEDIUM-TERM PROGRAMME OF WORK FOR 1996-1997*Overview of the decision*

The decision concerns the review of the medium-term programme of work 1996-1997 for the Convention, particularly the review of the operations of the Conference of the Parties and its subsidiary organs as well as the overall review and consideration of a long-term programme of work. It invites Parties to provide the ES their views on the operations of COP, the overall review of the medium-term programme of work for 1995-1997 and a longer-term programme of work and requests the ES to submit these views to COP 4.

Overall assessment and possible action by the Conference of the Parties

The core elements of this decision have been implemented, so the Conference of the Parties may wish to retire this decision as a whole and put paragraph 1 into a consolidated text with COP IV/16.

This decision is simply a carry over of the medium-term programme of work for the third and fourth meetings of the COP adopted by the second meeting of the COP under decision II/18. On the basis of review undertaken by the Secretariat, the sixth meeting of the COP has already decided to retire decision II/18 and, consequently this decision, which contains, in its Appendix, a paragraph relevant to biosafety is presumed retired entirely, or at least with regard to its Appendix.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Recognized that it may be necessary for the Executive Secretary, with the guidance of the Bureau of the Conference of the Parties, to adjust further the servicing of the programme of work in the light of the resources available to the Secretariat;</p>	<p>This has been done by the Executive Secretary.</p>
<p>2. Invited Parties, participants and other relevant institutions to submit by 31 March 1997 their views to the Executive Secretary on:</p> <p>(a) The operations of the Conference of the Parties;</p> <p>(b) The overall review of the medium-term programme of work for 1995-1997;</p> <p>(c) A longer-term programme of work;</p>	<p>The views were submitted by the Parties and a synthesis was prepared to contain these views as in UNEP/CBD/COP/4/14</p>
<p>3. Requested the Executive Secretary to submit a synthesis of these views to the Conference of the Parties for consideration at its fourth meeting;</p>	<p>A synthesis of these views was prepared by the Executive Secretary and submitted to COP 4 in UNEP/CBD/COP/4/14. In addition, a workshop was organized to discuss about the Operations of the Convention and the report of the workshop was submitted to COP 4 as in UNEP/CBD/COP/4/INF.2</p>
<p>4. Welcomed offers to contribute to efforts to facilitate the review process;</p>	
<p>5. Took note of the provisional agenda for the fourth meeting of the Conference of the Parties, contained in Annex I of document UNEP/CBD/COP/3/31;</p>	

<p>6. Took note of the draft provisional agenda of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, contained in recommendation II/12 of the second meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, in document UNEP/CBD/COP/3/3;</p>	
<p>7. Requested the bureau of the Subsidiary Body on Scientific, Technical and Technological Advice to focus the agenda of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, in line with the comments given at the third meeting of the Conference of the Parties on the work of the Subsidiary Body on Scientific, Technical and Technological Advice, and to submit it to the Parties sufficiently I advance of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice.</p>	<p>This was done by the Bureau of SBSTTA.</p>

DECISION III/23 – ADMINISTRATIVE MATTERS

Overview of the decision

The decision concerns the procedures for the functioning of the Secretariat of the Convention and its respective roles and responsibilities vis-à-vis the United Nations Environment Programme.

Overall assessment and possible action by the Conference of the Parties

This decision is part of the legislative authority for the Administrative Arrangements between the Secretariat and UNEP and is of continuing relevance and effect.

The COP may, however, wish to review the Administrative Arrangements, endorsed by the COP in its decision IV/17, between the Secretariat and the United Nations Environment Programme. The COP may further wish to consider consolidating decisions III/1 and III/23 with other related decisions.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Invited the Executive Director of the United Nations Environment Programme and the Executive Secretary of the Convention on Biological Diversity to develop procedures, making an effort to conclude by 27 January 1997, with respect to the functioning of the Permanent Secretariat of the Convention on Biological Diversity, to clarify and make more effective their respective roles and responsibilities;</p>	<p>At its fourth meeting, the COP endorsed the Administrative Arrangements between UNEP and Secretariat, which came into force on 30 June 1997.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
2. Stressed that these procedures must provide for the managerial autonomy and efficiency of the Permanent Secretariat and its responsiveness to the needs of the Convention, and must ensure the administrative accountability of the Executive Secretary to the Conference of the Parties;	
3. Stressed also that the procedures must be in accordance with the United Nations financial and staff rules and regulations and with decision I/4 of the Conference of the Parties and should as far as possible, and where appropriate, follow the Personnel, Financial and Common Services arrangements agreed to between the United Nations and the Framework Convention on Climate Change;	
4. Requested the Executive Secretary to make available to the Parties copies of the agreed procedures on a timely basis and to report to the Conference of the Parties through its Bureau at its fourth meeting on the implementation of these arrangements.	The Administrative Arrangements are presented as an Annex to Decision IV/17 of the Conference of the Parties

DECISION III/24 – BUDGET OF THE TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY

Overview of the decision

The decision addresses the financial issues pertinent to the Convention; approves the budget for 1997-1998; and establishes two special trust funds.

Overall assessment and possible action by the Conference of the Parties

The requests were fully implemented.

This decision approves a budget for the Trust Fund for the Convention for the biennium 1997-1998 as specified in its Annex. The budget included costs for the Biosafety Protocol (staffing) and costs for Biosafety Protocol meetings. The approved budget in the Annex may have no practical significance, and thus could be retired. However, some of the paragraphs of the decision such as the decision to establish two special trust funds (paragraph 5(a) and (b)), invitation to make contribution to the special trust funds (5(c), information from the Trustee to the Executive Secretary on the status of the trust funds (5(e), etc., contain elements that are of continuing relevance and effect in the operation of the Convention. These paragraphs should therefore be consolidated with other relevant decisions. Paragraphs 1-4 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Adopted the budget for the 1997-1998 (attached as annex I);</p>	<p>The instructions were dealt with appropriately as reflected in document UNEP/CBD/COP/4/24.</p>
<p>2. Decided that the Trust Fund shall be extended for a period of two years, beginning 1 January 1998 and ending 31 December 1999;</p>	
<p>3. Urged all Parties to pay promptly their contributions to the Trust Fund, based on the scale set forth in the appendix to the budget (annex , part A);</p>	
<p>4. Requested the Parties and States not Parties to the Convention, as well as governmental, intergovernmental and non-governmental organizations and other sources, to contribute to the Trust Fund;</p>	

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>5. Decided:</p> <p>(a) that two special trust funds shall be established; (i) a special voluntary trust fund for additional voluntary contributions to the core budget for approved activities under the Convention on Biological Diversity (annex, part B), and (ii) a special voluntary trust fund for facilitating participation of Parties in the Convention process (annex, part C);</p> <p>(b) that the Executive Director UNEP should be requested to establish the special trust funds referred to in paragraph 5(a) above, for which the Financial Rules for the Administration of the Trust Fund for the Convention on Biological Diversity and other arrangements for that Fund shall apply <i>mutatis mutandis</i>, with the exception of the modification in sub-paragraph 5(f) below;</p> <p>(c) That all the Parties and States not Parties to the Convention, as well as governmental, intergovernmental and non-governmental organizations and other sources should be invited to contribute to the special trust funds;</p> <p>(d) That the Trustee shall promptly advise the Executive Secretary of the receipt of all the contributions and acknowledge receipt of such contributions;</p> <p>(e) That on a monthly basis the Trustee shall provide the Executive Secretary with information on the status of allotments, expenditures, trial balances and unliquidated obligations;</p> <p>(f) That the Executive Secretary may make transfers from one budget line to another in accordance with the Financial Rules and Regulations of the United Nations;</p>	
<p>6. Requested the Executive Secretary to explore in conjunction with the Executive Secretaries of the United Nations Framework Convention on Climate Change and the United Nations Convention to Combat Desertification in those Countries Experiencing Serious Drought and/or Desertification, particularly in Africa, the availability, cost and funding of suitable liaison arrangements in Geneva and/or New York and to report thereon to the Conference of the Parties at its next meeting;</p>	<p>The MOU with UNCCD contemplated the establishment of a liaison officer in New York. The CBD COP Bureau in 2002 approved the recruitment of a liaison officer in New York on a cost-sharing basis between UNFCCC and UNCCD. This paragraph provides the legal basis for the establishment of the liaison post.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
7. Urged all those making financial contributions to a trust fund of the Convention to provide details promptly to the Executive Secretary regarding the amounts, date of payment, conditions and any other relevant information;	
8. Requested the Executive Secretary to ensure that duplicates of all the information on financial matters and the relevant documents are kept in custody at the seat of the Permanent Secretariat according to the Financial Rules and Regulations of the United Nations;	
9. Directed the Executive Secretary to consider carefully all offers of support from other organizations and to cooperate with them with a view to making the most effective use of the available competencies, resources and services and to enter into such administrative and contractual arrangements as may be necessary for the effective discharge of the functions of the Permanent Secretariat;	
10. Requested the Executive Secretary to prepare and submit to Parties a quarterly report on the administration of the Convention including such matters as staff lists, status of contributions, progress on the implementation of the medium-term work programme and financial expenditures;	Ongoing
11. Requested the Executive Secretary to include in the documents circulated for consideration at future meetings of the Conference of the Parties estimates of the likely costs of the recommendations contained therein, where such recommendations would have significant implications for the budget of the Convention..	Ongoing

DECISION III/25 – DATE AND VENUE OF THE FOURTH MEETING OF THE CONFERENCE OF THE PARTIES

Overview of the decision

The decision provides for the date and venue of fourth meeting of the Conference of the Parties.

Overall assessment and possible action by the Conference of the Parties

The decision is only of historical value and could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Welcomed the kind offer of the Government of the Republic of Slovakia to host the fourth meeting of the Conference of the Parties;</p>	<p>The fourth meeting of the COP was convened in Bratislava, Slovakia from 4-15 May 1998.</p>
<p>2. Decided that the fourth meeting of the Conference of the Parties will take place in Bratislava, Slovakia, from 4 to 15 May 1998.</p>	

DECISION III/26 – CONVENING OF REGIONAL AND SUBREGIONAL MEETINGS FOR PARTIES TO THE CONVENTION

Overview of the decision

The decision requests Secretariat to convene and facilitate regional meetings.

Overall assessment and possible action by the Conference of the Parties

The decision was fully implemented and the COP may wish to retire it.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Requested the Executive Secretary to seek voluntary contributions to meet the administrative costs of the regional/subregional meetings;</p>	<p>Regional meetings for the preparation of COP4 were organized and held in Lima, Peru from 4-6 March 1998, for GRULAC; in Nairobi, Kenya from 9-11 March 1998 for Africa; in Almaty, Kazakhstan from 23-26 March 1998 for CEE; and in Hainan, China from 26-28 March for Asia & the Pacific.</p>
<p>2. Urged the Secretariat of the Convention to seek additional voluntary contributions for such meetings to facilitate the participation of developing country Parties, in particular the least developed countries and small island developing States.</p>	<p>Additional financial resources in support of the regional meetings were provided by a number of countries, see UNEP/CBD/COP/4/24.</p>

DECISION III/27 – TRIBUTE TO THE GOVERNMENT AND PEOPLE OF THE ARGENTINE REPUBLIC

Overview of the decision

The decision expresses the gratitude of the Conference of the Parties to the Republic of Argentina for hosting the third meeting of the Conference of the Parties.

Overall assessment and possible action by the Conference of the Parties

The decision has only historical value and could be retired.

B. Fourth meeting of the Conference of the Parties

DECISION IV/1 – REPORT AND RECOMMENDATIONS OF THE THIRD MEETING OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE, AND INSTRUCTIONS BY THE CONFERENCE OF THE PARTIES TO THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE

Overview of the decision

The decision makes recommendations relating to the activities on indicators, identification, assessment and monitoring; ecosystem approach; invasive alien species and the Global Taxonomy Initiative.

Overall assessment and possible action by the Conference of the Parties

The work on indicators as well as identification, assessment and monitoring is ongoing and elements of part A of the decision are therefore of continuing relevance to the work of the Convention.

Principles and operational guidelines for the ecosystem approach have been prepared.

The elements of the decision relating to alien invasive species remain mostly valid. Only paragraph C2 can be archived as the guiding principles on alien invasive species have been adopted by COP6.

Most of the elements on the Global Taxonomy Initiative remain valid.

The following parts and paragraphs could be retired: A1-3 and A5; Part B; C2 and C5-6; D1 and D3.

A. Report and recommendations of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Took note of the report of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, held in Montreal from 1 to 5 September 1997, contained in document UNEP/CBD/COP/4/2, bearing in mind that five of the seven recommendations - III/1 (inland water ecosystems); III/2 (marine and coastal biological diversity); III/3 (forest biological diversity); III/4 (agricultural biological diversity); and III/6 (clearing-house mechanism) - contain advice on matters that have been considered under other items on the agenda of the present meeting;</p>	<p>This paragraph could be retired.</p>
<p>2. Took note that the recommendations made at the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice constitute major inputs into the thematic work under the Convention;</p>	<p>This paragraph could be retired.</p>

<p>3. Endorsed recommendation III/5 of the Subsidiary Body on Scientific, Technical and Technological Advice, and requested the Executive Secretary to undertake the work outlined in its annex, in accordance with the guidance contained in the same recommendation, for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at its fourth meeting;</p>	<p>Most of the elements of Recommendation III/5 have been and continue to be taken into account in the implementation of the Decisions. Some elements (e.g. need for capacity building, need for improved taxonomic knowledge etc.) require long-term commitments. This paragraph could be retired.</p>
<p>4. Proposed that further work on indicators by the Parties and by the Subsidiary Body on Scientific, Technical and Technological Advice should take account of, <i>inter alia</i>, further work by the Subsidiary Body on Scientific, Technical and Technological Advice on the development of the ecosystem approach;</p>	<p>The ecosystem approach, as described in decision V/6 of the Conference of the Parties, provides the primary framework for action under the Convention. It has been reflected in the work on indicators considered by subsequent COPs, including COP 5 and COP 6. The further development of the operational guidelines for the ecosystem approach is being taken into account in the ongoing work on indicators. However, both the ecosystem approach and the work on indicators will continue in the future. This paragraph could be consolidated with other relevant decisions.</p>
<p>5. Welcomed the contributions provided by the DIVERSITAS working group of experts to the Executive Secretary, in its recommendations on scientific research that should be undertaken for the effective implementation of Articles 7, 8, 9, 10 and 14 of the Convention on Biological Diversity, as contained in document UNEP/CBD/COP/4/Inf.18;</p>	<p>This paragraph could be retired.</p>
<p>6. Decided to transmit those recommendations to the Subsidiary Body on Scientific, Technical and Technological Advice for further consideration and use and encourages the Subsidiary Body on Scientific, Technical and Technological Advice to further cooperate with DIVERSITAS and with other relevant international, regional and national organizations and institutions on such issues.</p>	<p>The contributions provided by the DIVERSITAS working group of experts have been reflected in the work on indicators considered by subsequent COPs, including COP 5 and COP 6. Elements are still pertinent for the ongoing work on indicators. This paragraph could be consolidated with other relevant decisions.</p>

B. Ecosystem approach

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Took note of the report of the workshop on the ecosystem approach, held in Lilongwe, Malawi, from 26 to 28 January 1998, as contained in document UNEP/CBD/COP/4/Inf.9;</p>	<p>This paragraph could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
2. Requested the Subsidiary Body on Scientific, Technical and Technological Advice to develop principles and other guidance on the ecosystem approach, taking into consideration, <i>inter alia</i> , the results of the Malawi workshop, and to report thereon to the Conference of the Parties at its fifth meeting.	A description and 12 principles of the ecosystem approach, and elements of operational guidance were prepared for and endorsed by COP in decision V/6. This paragraph could be retired.

C. *Alien species that threaten ecosystems, habitats or species*

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided that alien species is a cross-cutting issue for implementation of many of the themes of the Convention;</p>	<p>SBSTTA addressed alien species at its sixth meeting and a series of liaison group meetings and regional meetings were organized to assist with the preparations for COP 6. COP 6 adopted the Guiding Principles for the Prevention, Introduction and Mitigation of Impacts of Alien Species that Threaten Ecosystems, Habitats or Species and urged Parties, other Governments and relevant organizations to promote and implement the principles (decision VI/23*) This policy guidance remains valid.</p>
<p>2. Requested the Subsidiary Body on Scientific, Technical and Technological Advice to develop guiding principles for the prevention, introduction and mitigation of impacts of alien species and to report on those principles and any related work programme to the Conference of the Parties at its fifth meeting;</p>	<p>COP 5 adopted a modified version of the guiding principles on introduction of alien species. It urged Parties, Governments and relevant organizations to apply the guiding principles on an interim basis, noting that the definition of many of the terms was not yet settled.</p> <p>SBSTTA addressed alien species at its sixth meeting and a series of liaison group meetings and regional meetings were organized to assist with the preparations for COP 6. COP 6 adopted the Guiding Principles for the Prevention, Introduction and Mitigation of Impacts of Alien Species that Threaten Ecosystems, Habitats or Species. This paragraph could be retired.</p>

* One representative entered a formal objection during the process leading to the adoption of this decision and underlined that he did not believe that the Conference of the Parties could legitimately adopt a motion or a text with a formal objection in place. A few representatives expressed reservations regarding the procedure leading to the adoption of the decision. See UNEP/CBD/COP/6/20, paras. 294-324.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>3. Invited Parties to develop country driven projects at national, regional, sub-regional and international levels to address the issue of alien species and requested the financial mechanism to provide adequate and timely support for those projects;</p>	<p>In decision V/8, Parties were urged to carry out a number of actions regarding alien species, including application of the interim guiding principles contained in annex I of decision V/8, undertaking of case-studies, development of mechanisms for transboundary regional and multilateral cooperation, and development of education, training and public awareness measures. The COP stated that the GEF should provide adequate and timely support for country-driven projects at national and sub-regional levels addressing the issue of alien species in accordance with decision IV/1C. It also requested the GEF and other bodies to provide support to enable the GISP to fulfil the tasks outlined in decision V/8. This paragraph should be consolidated with decision VI/23 and decisions on guidance to the financial mechanism.</p>
<p>4. Invited the Parties to address the issue of alien species for the conservation and sustainable use of biological diversity and to incorporate such activities into their national strategies, programmes and action plans;</p>	<p>COP 6 urged Parties to take several specified concrete measures in implementing the Guiding Principles, and, when developing, revising and implementing national biodiversity strategies and action plans, to address the threats posed by invasive alien species. This paragraph could be consolidated with decision VI/23.</p>
<p>5. Requested the Subsidiary Body on Scientific, Technical and Technological Advice to identify the priority work pertinent to the issue of alien species in geographically and evolutionarily isolated ecosystems and to report thereon to the Conference of the Parties at its fifth meeting;</p>	<p>Two documents have been prepared for COP5: A Progress report on the Implementation of the Programmes of Work-Gaps in measures taken to prevent the introduction of Alien Invasive Species and Genotypes that threaten Marine and Coastal Ecosystems, Habitats or Species (UNEP/CBD/COP/5/INF/9) and Invasive Species in Eastern Africa: Proceedings of a workshop held at ICIPE, July 5-6, 1999 (UNEP/CBD/COP/5/INF/33). This paragraph could be retired.</p>
<p>6. Requested the Subsidiary Body on Scientific, Technical and Technological Advice, at its next meeting, to examine the Global Invasive Species Programme (GISP), with a view to considering concerted action and developing proposals for further action under the Convention on this issue.</p>	<p>The collaboration is described in the document on “Scientific and technical cooperation and the Clearing-house Mechanism. Report of the joint convention on biological diversity/global invasive species programme informal meeting on formats, protocols and standards for improved exchange of biodiversity-related information” (UNEP/CBD/COP/6/INF/18). This paragraph could be retired.</p>

D. Global Taxonomy Initiative

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Acknowledged the work already under way by the financial mechanism in response to decision III/10 of the Conference of the Parties, and requested the Global Environment Facility to report on these experiences at the fifth meeting of the Conference of the Parties;</p>	<p>The report of the Global Environment Facility is contained in document UNEP/CBD/COP/5/INF/20. Emphasis of funding efforts is placed on support to strengthening Parties develop and manage NBSAPs. This paragraph could be retired.</p>
<p>2. Stressed the urgent need for the further implementation of recommendation II/2 of the Subsidiary Body on Scientific, Technical and Technological Advice concerning capacity-building in all fields of taxonomy to assist in the implementation of the Convention, through the incorporation of targeted actions in its work plan, including promoting regional activities to set regional agendas;</p>	<p>Actions have been taken to facilitate, in each country, a national taxonomic needs assessment linked to national reporting under the Convention. The Secretariat has also begun coordination of a global directory of taxonomic expertise and biological collections to be made available in both electronic and paper form. Since the fourth meeting of the Conference of the Parties, three meetings of experts have been organized to discuss aspects of the GTI, including implementation, and their advice has been provided to SBSTTA at its fourth and fifth sessions. This element is still valid and should be consolidated with other relevant decisions.</p>
<p>3. Endorsed, as initial advice, the Suggestions for Action in the annex to the present decision to develop and implement a Global Taxonomy Initiative, and requested the Subsidiary Body on Scientific, Technical and Technological Advice to examine and provide advice on the further advancement of a Global Taxonomy Initiative;</p>	<p>COP 5 reviewed the implementation of the initiative and established the GTI coordination mechanism. COP 6 endorsed the programme of work for the GTI and the further submissions and elaboration of potential pilot projects. This paragraph has been superseded and could be retired.</p>
<p>4. Recognized that the implementation of a Global Taxonomy Initiative should occur on the basis of country-driven projects at the national, regional and subregional levels;</p>	<p>Actions have been taken to facilitate, in each country, a national taxonomic needs assessment linked to national reporting under the Convention. The Secretariat has also begun coordination of a global directory of taxonomic expertise and biological collections to be made available in both electronic and paper form. This policy statement remains valid and should be consolidated with other relevant decisions.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
5. Invited the United Nations Environment Programme to assist in the global implementation of a Global Taxonomy Initiative, as offered by the Executive Director in his address to the Conference of the Parties at its fourth meeting;	Ongoing
6. Encouraged Governments to make available appropriate resources to enhance the availability of taxonomic information;	<p>COP 6 requested all Parties and other Governments to:</p> <ul style="list-style-type: none"> (a) Designate a national focal point for the Global Taxonomy Initiative, linked to other national focal points, as requested in decision V/9, paragraph 4; (b) Provide updated information, through the clearing-house mechanism, about legal requirements for exchange of biological specimens and about current legislation and rules for access and benefit-sharing in terms of the needs of the Global Taxonomy Initiative; (c) Initiate the setting up of national and regional networks to aid the Parties in their taxonomic needs in implementing the Convention on Biological Diversity; <p>This paragraph is of continuing relevance.</p>
7. Encouraged Governments to develop bilateral and multilateral training and employment opportunities for taxonomists, particularly for those dealing with poorly known organisms;	<p>COP 2 asked SBSTTA to address the issue of the lack of taxonomists needed for the national implementation of the Convention.</p> <p>COP 6 requested all Parties and other Governments to initiate the setting up of national and regional networks to aid the Parties in their taxonomic needs in implementing the Convention on Biological Diversity;</p> <p>This paragraph is of continuing relevance.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>8. Stressed the need to consider indigenous and traditional knowledge as an important existing information source that should be taken into account, and made available through appropriate mechanisms;</p>	<p>COP 6 endorsed the programme of work on the GTI and item 5.3 Planned activity 16 calls for the Support in implementation of Article 8(j).</p> <p>The COP acknowledged that traditional biodiversity-related knowledge (TBRK) has the potential to inform the activities of the Convention on Biological Diversity. Before it can do so, indigenous and local communities require protection of their intellectual property in any collaborative efforts aimed at meshing traditional knowledge and science. Given that the GTI has the potential to make traditional biodiversity-related knowledge more accessible to a wide range of users, due regard must be given to the concerns raised by indigenous and local communities regarding the right to preserve, protect and manage traditional biodiversity-related knowledge, particularly traditional taxonomic knowledge.</p> <p>In its decision V/16, the Conference of the Parties endorsed a programme of work to implement Article 8(j) based on a number of principles, including full and effective participation of indigenous and local communities, the valuing of traditional knowledge, acknowledgment of spiritual and cultural values and the requirement for prior informed consent from traditional knowledge holders.</p> <p>Paragraph 17 of that decision requests the Parties to support the development of registers of traditional knowledge, innovations and practices of indigenous and local communities through participatory programmes and consultations with indigenous and local communities, taking into account strengthening legislation, customary practices and traditional systems of resources management, such as the protection of traditional knowledge against unauthorized use.</p> <p>A number of tasks in the programme of work for the implementation of Article 8(j) have a direct bearing on the proposed activities of the GTI, in particular tasks 1, 2 and 7 in phase 1 and tasks 6, 10, 13, and 16 in phase 2 (decision V/16).</p> <p>Traditional knowledge systems include taxonomic information, which if used in combination with Linnaean taxonomies could support the GTI Access to and use of</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
9. Stressed the urgent need for adequate financial resources to implement a Global Taxonomy Initiative and requested the institutional structure of the financial mechanism of the Convention to provide financial resources, particularly to assist in implementing, through country-driven activities within the context of the operational programmes of the Global Environment Facility, the Suggestions for Action annexed to the present decision.	This paragraph could be consolidated with other decisions relating to guidance to the financial mechanism.

DECISION IV/2 – REVIEW OF THE OPERATIONS OF THE CLEARING-HOUSE MECHANISM

Overview of the decision

The decision concerns the operation of the CHM and the review of its pilot phase.

Overall Assessment & Possible action by COP

Most of the elements of the decision relate to ongoing activities and could form part of a consolidated decision on the CHM. The following paragraphs could be retired: 7, 9(d), 10(a), 10(c), 10(f), 10(j) and 10(k).

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
1. Requested all Governments and bilateral and multilateral funding institutions to provide funding for the development and implementation of the CHM and its activities	Ongoing	COP may wish to re-emphasize the need for adequate funding in support of the CHM and its activities
2. Recommended that Parties include in their national reports the lessons learned through activities undertaken to implement their national CHMs;	Ongoing	COP may wish to re-emphasize the need for Parties to include this information in its national reports
3. Recommended that each Party organize an appropriate national CHM steering committee or working group composed of multi-sectoral and interdisciplinary representatives;	Ongoing	COP may wish to re-emphasize the need for Parties to establish/organize national CHM steering committees or working groups
4. Invited the Parties and other partners to use the CHM logo as a unifying element creating a CHM identity;	Ongoing	COP may wish to remind Parties of the need to use the CHM logo to identify CHM related activities and publications, etc.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
<p>5. Recommended that, in building up the content of information in the clearing-house mechanism either at the secretariat or other level, the following major content elements, among others, be used:(a) National, subregional and regional levels: country profiles, biodiversity strategy and action plans, appropriate legislation, scientific and technological information, financial sources;(b) Secretariat level: Convention on Biological Diversity and its implementation, national focal points, international themes, financial sources;</p>	<p>Ongoing</p>	<p>COP may wish to re-emphasize the need for Parties to make this information available</p>
<p>6. Requested those Parties with access to the Internet to link their national CHM home page to the Secretariat's CHM home page, where possible;</p>	<p>Ongoing</p>	<p>COP may wish to remind Parties of the need to establish web sites in support of the CHM and to link these web sites to the CBD CHM</p>
<p>7. Recommended that the development of a common format be investigated, under which the orderly sequence of the articles of the Convention should be followed; alternatively, the sequence of the three objectives of the Convention could be considered as the organizing format for information in the CHM</p>	<p>Implemented</p>	<p>No action necessary -- Retire</p>
<p>8. Agreed that the CHM should act as the CHM for future programmes and activities under the CBD, subject to budgetary considerations;</p>	<p>Ongoing</p>	<p>COP may wish to remind Parties of the need for support for these types of activities</p>
<p>9. Requested the Global Environmental Facility:</p> <p>(a) To be a catalyst in the development and implementation of the CHM, so as to assist it to fulfil its role in promoting and facilitating the implementation of the Convention, in a participatory manner and fully incorporating available modern information and communication tools;</p> <p>(b) To support capacity-building activities and country-driven pilot projects focused on priority areas, as critical components in the</p>	<p>Ongoing, except section (d) which was implemented (see: UNEP/CBD/COP/5/INF/24 Scientific and Technical Cooperation and the CHM The Experience of GEF in support of the CHM of the CBD)</p>	<p>Sections (a) to (c) ongoing; Retire section (d)</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
<p>implementation of the CHM at the national, subregional, biogeographic, and regional levels, both during and after the pilot phase;</p> <p>(c) To provide by all possible means, as appropriate, increased support for country-driven projects to establish and strengthen biodiversity information systems such as, inter alia, training, technology and processes related to the collection, organization, maintenance and updating of data and information and its communication to users through the CHM;</p> <p>(d) To evaluate at the end of the CHM pilot phase the experience of the GEF's support for developing countries' activities, to consider additional efforts to meet the increasing interest in taking part in and having access to the CHM, including in regional networking, and to report to the Executive Secretary prior to the next meeting of the SBSTTA;</p>		
10. Instructed the Executive Secretary:		
<p>(a) To put in place a list server with all officially designated clearing-house mechanism national focal points and other partners for the purpose of disseminating information on new and recent developments regarding the clearing-house mechanism, at either the national, regional or international level;</p>	Implemented. Listserver active for CHM NFP and IAC Members	No action necessary -- Retire
<p>(b) To act as a focal point, during and after the pilot phase, to encourage the development of a network of partners, including indigenous and local communities, and to facilitate these in developing specific training for the effective participation of users in the clearing-house network;</p>	Ongoing	No action necessary
<p>(c) To ensure that the CHM should be continuously assisted in its functioning by the IAC which is to provide</p>	Implemented	No action necessary. Retire (see decision V/14, paragraphs 8-10)

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
guidance to the Executive Secretary during and after the pilot phase, and to play a full role in the further development of the clearing-house mechanism;		
(d) To provide encouragement for and facilitate the coordination of biodiversity-related networks, activities and focal points that may constitute the CHM, to encourage their linkages within the CHM network and to make available information arising from the Secretariat's own functions as outlined in Article 24 of the Convention;	Ongoing	COP may wish to remind Parties on the need to implement national CHM NFPs and to identify national biodiversity-related networks
(e) To assist in ensuring that the implementation of Articles 16, 17 and 18 of the CBD is facilitated by CHM;	Ongoing	No action necessary
(f) To produce an informative and widely disseminated CHM brochure and newsletter to increase awareness of the activities of the CHM;	Brochure published, newsletter published, and now called the CBD News	No action necessary -- Retire
(g) To improve synergy in regard to information exchange with other biodiversity-related conventions and ongoing international or supranational information initiatives, and to contribute to the harmonization of the information management of other biodiversity-related treaties and to continue to discuss the possibilities of joint and harmonized approaches with the UNFCCC and the UNCCD;	Ongoing	Parties may wish to emphasize the role of the CHM in harmonization efforts
(h) To facilitate support to those Parties without adequate Internet access, preparing and disseminating to them updated information arising from the Secretariat's own functions as outlined in Article 24 of the Convention on, <i>inter alia</i> , CD-ROM or diskettes, either periodically or as required, and facilitating the dissemination of appropriate information to those Parties;	Ongoing	Parties may wish to emphasize the need for capacity-building with regard to improving access and use of new information technologies
(i) To collaborate, with partners, on the provision of advice to Parties and others on, <i>inter alia</i> , the necessary layout and system specifications of the CHM	Ongoing (first Toolkit developed, new revision close to completion)	Parties may wish to consider funding of new Toolkit to improve its design and accessibility

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY COP
(j) To produce a tool-kit prototype containing necessary information for NFPs to build their national CHMs, drawing upon the recommendations of the regional workshops and following an informal assessment of national practices in collaboration with the IAC on the CHM;	Implemented – second version under development	No action necessary – Retire (see also decision VI/18, paragraph 3)
(k) To undertake an independent review of the pilot phase of CHM, starting at the end of 1998, to be presented to the SBSTTA for its consideration together with a longer-term programme of work for the clearing-house mechanism.	Implemented – retire (see: UNEP/CBD/COP/5/INF/2, Scientific and Technical Cooperation and the Clearing-House Mechanism (Article 18) Report of the Independent Review of the Pilot Phase	No action necessary

DECISION IV/3 – ISSUES RELATED TO BIOSAFETY

Overview of the decision

This decision addresses a number of issues relating to the process of the negotiation of the Biosafety Protocol. The decision was, in particular, based on the report and recommendations of the fourth meeting of the Open-ended Ad Hoc Working Group on Biosafety (BSWG). The practical significance of the decision has ended with the end of the negotiation process of the Biosafety Protocol.

Overall assessment and possible action by the Conference of the Parties

As the decision is related with a past process, it can be archived among decisions of only historical value.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <ol style="list-style-type: none"> 1. Accepted the recommendations of the BSWG; 2. Decided on the composition and term of office of the bureau of the BSWG; 3. Decided on the date and venue of the final meeting of the BSWG; 4. Decided on the agenda of the extraordinary meeting of the COP for the adoption of the Biosafety Protocol; 5. Decided on the deadline for making written submissions by Governments of provisions to included in the Protocol; 6. Decided where and when should the Protocol be open for signature; and 7. Called on Parties to make financial contributions to facilitate the participation of developing countries and countries with economies in transition in the planned meetings. 	<p>The decision is fully implemented despite some adjustments dictated by the reality in the pace of the negotiations.</p>

DECISION IV/4 – STATUS AND TRENDS OF BIOLOGICAL DIVERSITY OF INLAND WATER ECOSYSTEMS AND OPTIONS FOR CONSERVATION AND SUSTAINABLE USE

Overview of the decision

The decision adopted the programme of work on inland water biological diversity, contained in the annex to the decision.

Overall assessment and possible action by the Conference of the Parties

Some of the activities of the programme of work have been completed while others require long-term efforts. On the basis of achievements and obstacles a revised and refined programme of work has been elaborated, which was adopted by SBSTTA 8, supplemented with specific targets by SBSTTA 9, and which will be considered by COP 7. With the adoption of the revised and refined programme of work on inland water biological diversity by COP 7, the COP may wish to retire some of the programmatic elements of the decision. The following paragraphs could be retired: 1 and annex 1, 4-5, 8 and 10.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <ol style="list-style-type: none"> 1. Adopted, on the basis of modified recommendation III/1 of the Subsidiary Body on Scientific, Technical and Technological Advice as contained in document UNEP/CBD/COP/4/2, annex I to the present decision as a work programme under the Convention on Biological Diversity, on the biological diversity of inland water ecosystems and the associated matters of identification and monitoring, assessment methodology and taxonomy; 	<p>Significant progress has been made in the implementation of some areas of the programme of work on inland water biological diversity. Obstacles to its full implementation have been identified (UNEP/CBD/SBSTTA/8/8). A revised and refined programme of work, to be considered by COP 7, has integrated</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
	additional issues and activities intended to fill the identified gaps and address the constraints in the implementation of the earlier work programme. Both this paragraph and annex I could be retired if a new programme of work is adopted at COP-7.
<p>2. Welcomed the recommendations on strategic approaches to freshwater management of the Commission on Sustainable Development at its sixth session and urges Parties and Governments to:</p> <p>(a) Include information on the biological diversity of inland waters when providing voluntary national communications and reports on actions further to the recommendations of the Commission on Sustainable Development; and</p> <p>(b) Consider inland water biological diversity in the agenda of subsequent meetings held to further the recommendations of the Commission on Sustainable Development.</p>	Consolidate with other decisions on inland water biodiversity.
<p>3. Urged Parties and Governments to include inland water biological diversity considerations in their participation and collaboration with organisations, institutions and conventions affecting or working with inland water resources, consistent with the guidance provided to the Conference of the Parties and the Executive Secretary in part A "General", paragraphs 1-3 of annex I to the present decision;</p>	The policy guidance remains valid.
<p>4. Encouraged the implementation of the Joint Work Plan with the Convention on Wetlands in document UNEP/CBD/COP/4/Inf.8, as recommended by the Conference of the Parties in its decision III/21 and by the Subsidiary Body on Scientific, Technical and Technological Advice in recommendation III/1, part A, section I, paragraph (b) and endorsed by the Conference of the Parties at its fourth meeting as a framework for enhanced cooperation between the Conventions through decision IV/15;</p>	COP6 endorsed the third joint work plan (2002-2006) with the Convention on Wetlands (UNEP/CBD/COP/6/INF/14). Could be retired.
<p>5. Urged Parties and Governments to integrate those elements highlighted by the Subsidiary Body on Scientific, Technical and Technological Advice as important for Parties, contained in annex I, sections A, B, C and D, to the present decision (respectively concerning inland water ecosystems, identification and monitoring, methodologies for assessment and taxonomy), as appropriate, into their national and sectoral plans and to implement these as soon as possible;</p>	Could be retired in view of a new POW.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>6. Recognizing that Global Environment Facility projects are country-driven, requested the Financial Mechanism, within the context of implementing national biological diversity strategies and action plans, to provide adequate and timely support to eligible projects which help Parties to develop and implement national, sectoral and cross-sectoral plans for the conservation and sustainable use of biological diversity of inland water ecosystems.</p>	<p>Ongoing. Could be consolidated with other decisions relating to guidance to the financial mechanism.</p>
<p>7. Urged Parties when requesting support, for projects related to inland water ecosystems, from the Financial Mechanism that priority be given to:</p> <ul style="list-style-type: none"> (a) Identifying inland water ecosystems in accordance with Article 7 and Annex I to the Convention, taking into account the criteria for Wetlands of International Importance as adopted under the Convention on Wetlands; (b) Preparing and implementing integrated watershed, catchment and river basin management plans based on an ecosystem approach including transboundary watersheds, catchments and river basins, and those which include ecosystems identified under subparagraph (a) above; (c) Investigating where appropriate, the processes contributing to the loss of biological diversity of inland water ecosystems, through targeted research, such as: investigations into the impacts of harmful substances, alien invasive species and saltwater intrusions; and the identification of measures needed to address these issues where they constitute threats to inland water ecosystem biological diversity; 	<p>Remains valid as policy guidance. Could be consolidated with other policy decisions on inland water biodiversity.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>8. Requested the Subsidiary Body on Scientific, Technical and Technological Advice to:</p> <p>(a) Implement the programme of work respecting the relevant tasks described in annexes I and II to the present decision, taking into account the decisions adopted at the fourth meeting of the Conference of the Parties and the schedule as contained in annex II to the present decision, subject to amending the time schedule so as to immediately commence development of regional guidelines for rapid assessment for small island States, and to report on progress to the Conference of the Parties at its fifth meeting;</p> <p>(b) Incorporate, as appropriate, the outcome of the sixth session of the Commission on Sustainable Development on strategic approaches to freshwater management into its work plan and report back thereon to the Conference of the Parties at its fifth meeting;</p> <p>(c) Continue to take note of the approved work programme and results, and pursue opportunities for cooperation with, the Scientific and Technical Review Panel of the Convention on Wetlands.</p>	<p>Implemented. Could be retired.</p>
<p>9. Invited all relevant organizations to support efforts by Parties and Governments to implement their national and sectoral plans for the conservation and sustainable use of the biological diversity of inland water ecosystems;</p>	<p>Ongoing. Could be consolidated with other relevant decisions.</p>
<p>10. Requested the Executive Secretary to facilitate the programme of work outlined in the present decision, including in its annexes I and II; including implementation of the tasks outlined for the Executive Secretary in paragraphs 1, 2 and 4 of annex I to the present decision, and additionally, in particular, to begin compiling information and case studies for use by the Subsidiary Body on Scientific, Technical and Technological Advice in addressing paragraphs 8 (a) and (c) of annex I to the present decision.</p>	<p>A revised and refined programme of work, to be considered by COP 7, has integrated additional issues and activities intended to fill the identified gaps and address the constraints in the implementation of the earlier work programme. Could be retired.</p>
<p>11. Noted that, while the implementation of the programme of work is subject to the availability of financial resources, particular attention should be given to early progress in the development of rapid assessment methodologies especially related to small island States.</p>	<p>Draft methods and guidelines for the rapid assessment of inland water biological diversity have been prepared (UNEP/CBD/SBSTTA/8/INF.5) and will be considered by COP 7. The policy guidance remains valid and could be consolidated with other relevant decisions.</p>

DECISION IV/5 – CONSERVATION AND SUSTAINABLE USE OF MARINE AND COASTAL BIOLOGICAL DIVERSITY, INCLUDING PROGRAMME OF WORK

Overview of the decision

The decision adopted the programme of work on marine and coastal biological diversity, which is contained in the annex. The decision expressed concern over recent extensive and severe coral bleaching, and recognized the uniqueness and the extreme fragility of small island developing States. The implementation of the programme of work was reviewed for SBSTTA 8. The review is contained in document UNEP/CBD/SBSTTA/8/9.

Overall assessment and possible action by the Conference of the Parties

Most elements of the decision are partially implemented, except for paragraphs I(4) and II(1 and 2), which have been fully implemented, but do need follow-up.

However, an elaborated version of the programme of work will be presented to COP 7, and therefore this decision may be replaced by the new COP 7 decision on marine and coastal biological diversity. In the occurrence the following paragraphs could be retired: I (1) and annex, and I (2); II (1)-(3).

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>I. 1. Adopted the programme of work on marine and coastal biological diversity as contained in the annex to the decision;</p>	<p>The programme of work has been partially implemented, as indicated in document UNEP/CBD/SBSTTA/8/9. Some activities, such as the ad hoc technical expert groups on marine and coastal protected areas and on mariculture have been fully implemented. The programme of work is in the process of being elaborated, and this elaborated version will be presented to COP 7. Could be retired if a new programme of work is adopted at COP 7.</p>
<p>2. Urged Parties, countries, relevant organizations and donor agencies to contribute to the implementation of the programme of work;</p>	<p>Many Parties, countries and relevant organizations have contributed to the implementation of the programme of work. Could be retired.</p>
<p>3. Urged Parties, when requesting assistance through the Financial Mechanism, to propose projects which promote the implementation of the programme of work;</p>	<p>The GEF has funded a relatively large number of such projects. Ongoing.</p>
<p>4. Urged the Executive Secretary to cooperate with the Convention on Wetlands of International Importance, especially as Waterfowl Habitat;</p>	<p>Elements relating to marine and coastal biological diversity have been included in each of the joint work plans with the Ramsar Convention. Ongoing.</p>
<p>II 1. Requested SBSTTA to make and analysis of the coral bleaching phenomenon, and provide relevant information to COP 5.</p>	<p>The review was completed, presented to COP 5, and resulted in decision V/3. Could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
2. Instructed the Executive Secretary to express its concern on coral bleaching to the Executive Secretary of UNFCCC and the Secretary-General of the Ramsar Convention, and to their COPs	This action has been completed. Could be retired.
3. Invited the UNFCCC to urgently address the issue in its deliberations;	UNFCCC has addressed the issue to some degree, and has referred it to the IPCC. Could be retired.
4. Urged Parties, with reference to programme element 1.3 (c) of the programme of work, to take appropriate actions to mitigate impacts upon marine and coastal biological diversity and consequent socio-economic effects;	Policy guidance remains valid.
III. Recognizes the uniqueness and extreme fragility of small island developing states (SIDS) and recommended to Parties, countries and relevant organizations and donor agencies that the special needs of small island developing states be a focus for implementing each of the programme elements of the programme of work.	The special status of SIDS is reflected in subsequent decisions of the COP relating to marine and coastal biodiversity. Remains valid and should be consolidated with other relevant decisions.

DECISION IV/6 – AGRICULTURAL BIOLOGICAL DIVERSITY

Overview of the decision

This decision invites the Executive Secretary, Parties, other Governments, FAO and other organizations to develop a multi-year programme of work on agricultural biological diversity, to collect and share information (including case studies), consider this theme as a priority in the development and implementation of NBSAPS, and provide adequate and timely support for the implementation of the programme of work.

Overall assessment and possible action by the Conference of the Parties

All the decisions have been implemented, although some were postponed to subsequent SBSTTA (development of the programme of work from SBSTTA-4 to SBSTTA-5, in time for COP-5). A new programme of work was adopted at COP5. While some of the elements of decision IV/6 are still relevant, most of them have been superseded by subsequent decisions V/5 and VI/5 and subsequent decisions relating to GEF and other funding mechanisms. The following paragraphs could be retired: 1-2, 5 and 7-13.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
The COP, 1. Endorsed recommendation III/4 of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA) and, noting the progress made so far in initiating the development of the multi-year work programme on agricultural biological diversity called for in decision III/11, highlights the importance of speeding up	Could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>implementation and requests full support of the Convention's instruments in such efforts;</p> <p><i>Recommendation III/4, paragraph 14.</i></p> <p>Recommended that the Conference of the Parties:</p> <p>(a) Note the significant progress made so far in initiating the development of the multi-year work programme on agricultural biological diversity called for in decision III/11;</p> <p>(b) Encourage the Executive Secretary, in collaboration with FAO, to continue ongoing efforts to compile and assess the findings of the review being conducted by Governments and Parties and by international and regional organizations, and to seek closer collaboration with other relevant United Nations bodies, as well as with other regional and international organizations, in particular the Consultative Group on International Agricultural Research (CGIAR) centres, and including non-governmental organizations, with a view to drawing on their experience and expertise;</p> <p>(c) Reaffirm that the reporting on, and development of, the multi-year work programme is an iterative and phased process;</p> <p>(d) Provide guidance to GEF and invite other funding institutions to assist countries with the implementation of decision III/11, in particular, through responding to urgent and priority national needs.</p>	<p>Done and led to the further development of the programme of work on agricultural biodiversity, decisions V/5 and VI/5. Several organizations identified as leading, facilitating and main partner organizations for its implementation. The decision could be retired.</p> <p>Superseded by general decisions for GEF and other funding mechanisms at COP-5 and COP-6. The decision could be retired.</p>
<p>2. Reiterated its wish, in accordance with paragraph 2 of decision III/11, that FAO maintain its coordinating role in the assessment of ongoing activities and instruments at regional and international levels and requests the Executive Secretary, in collaboration with FAO, to further consult with Parties, Governments and relevant organizations and bodies in the finalization of this review with a view to making available a clear and well structured report, well in advance of the fourth meeting of SBSTTA, that will facilitate the work of SBSTTA;</p>	<p>Done: FAO role maintained and ES consultation done.</p> <p>Results of "Assessment of ongoing activities and priorities for a programme of work" were submitted to SBSTTA-5 (instead of SBSTTA-4)</p> <p>The decision could be retired.</p>
<p>3. Requested the Executive Secretary to reiterate the invitation to Parties and Governments for further national submissions, if possible in electronic form, on ongoing activities, existing instruments and lessons learnt in the area of agricultural biological diversity, in the light of paragraphs 4, 5 and 6 and annex 2 of decision III/11;</p>	<p>Request for information was notified to Parties and governments (including an outline for case studies) through the clearing-house mechanisms. See above decision III/11 paragraph 10.</p> <p>Ongoing.</p>
<p>4. Suggested that Governments, funding agencies, the private sector and non-governmental organizations should join efforts to identify and promote sustainable agricultural</p>	<p>Ongoing.</p> <p>See above decision III/11 paragraph 10 and other subsequent decisions V/5 and VI/5.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
practices, integrated landscape management of mosaics of agriculture and natural areas, as well as appropriate farming systems that will reduce possible negative impacts of agricultural practices on biological diversity and enhance the ecological functions provided by biological diversity to agriculture. In this regard, <u>invites</u> Parties, Governments and organizations to begin the process of conducting case-studies based on socio-economic and ecological analyses of different land-use management options and to provide such case-studies to the Secretariat.	Several documents submitted in previous SBSTTA 5, 7 and 8 and COP-V and VI. Subsequent reports to be submitted according to schedule proposed in annex I of Decision VI/5 and in light of the recommendations of MYPOW.
5. Decided to expand the focus placed on soil micro-organisms in annex 3 of decision III/11 to address all soil biota, as outlined in paragraph 8 of SBSTTA recommendation III/4, and invites Parties, Governments and international organizations to conduct case-studies on soil biota in agriculture and to provide them to the Executive Secretary for compilation in the form of a synthesis report for consideration by SBSTTA;	Done. Report presented at SBSTTA-7. Now superseded by Decision VI/5 paragraph 13 establishing the International Initiative on Soil Biodiversity under the leading role of FAO in collaboration with other organizations. The decision could be retired.
6. Requested Parties, Governments and international organizations, in particular FAO, in the light of paragraphs 9, 15 (a) and 15 (m) of decision III/11 and of part A of decision IV/1 to begin to provide inputs on the development and application of methodologies for assessments of agricultural biological diversity and tools for identification and monitoring, including: criteria and indicators for agricultural biological diversity, including those addressing farming systems and agricultural ecosystems; rapid assessment techniques; the identification of underlying causes behind the loss of biological diversity; and the identification of incentives to overcome constraints and enhance the conservation and sustainable use of agricultural biological diversity and the fair and equitable sharing of benefits;	Ongoing activity as presented in decision VI/5, Annex I with collaboration of main partners including FAO, FAO/CGRFA, OECD and MA.
7. Requested SBSTTA at its fourth meeting, in accordance with paragraph 7 of decision III/11 and decision IV/16, to develop and provide to the Conference of the Parties at its fifth meeting, advice and recommendations for the development of the first phase, and subsequent phases, of the multi-year work programme on agricultural biological diversity;	Done. Programme of work presented at SBSTTA-5 (instead of SBSTTA-4) and further adopted at COP-5 as Decision V/5. Could be retired.
8. Welcomed the close cooperation established between the Secretariat of the Convention on Biological Diversity and FAO and, with reference to decision II/15 and decision III/11, paragraph 19, of the Conference of the Parties, urges that the momentum in the intergovernmental negotiations of the revision of the International Undertaking on Plant Genetic Resources in harmony with the Convention should be maintained with a view to its timely conclusion before the end of 1999;	Done; International Treaty on PGRFA developed in harmony with CBD and adopted through FAO Resolution 3/2001. Could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
9. Requested the Executive Secretary, as a complement to decision III/17, paragraph 6, to apply for observer status in the Committee on Agriculture of the World Trade Organization for the purpose of representing the Convention in meetings whose agendas may influence implementation of decision III/11 and related decisions of the Conference of the Parties;	ES applied for observer status but status was not granted. Superseded by Decision VI/5 paragraph 7 “encouraging Parties and Governments to support the application of the ES. The decision could be retired.
10. Requested the Executive Secretary to report to the Conference of the Parties on the impact of trade liberalization on the conservation and sustainable use of agricultural biological diversity in consultation with relevant bodies, such as the World Trade Organization;	Done. Information document presented at SBSTTA-8. Decision superseded by decisions V/5 paragraph 14 and VI/5 paragraph 17. Next information document to be presented at COP-7. The decision could be retired.
11. Reiterating the precautionary approach, requested SBSTTA, to consider and assess, in light of contributions to be provided by Parties, Governments and organizations, whether there are any consequences for the conservation and sustainable use of biological diversity from the development and use of new technology for the control of plant gene expression, such as that described in United States patent 5723765, and to elaborate scientifically based advice to the Conference of the Parties. Moreover, urges Parties, Governments as well as civil society and public and private institutions to consider the precautionary approach in its application;	Done. Report “Consequences of the Use of the New Technology for the Control of Plant Gene Expression for the Conservation and Sustainable Use of Biological Diversity” presented at SBSTTA-4 and COP-5. Decision superseded by Decision V/5 paragraphs 19 to 29 and decision VI/5 paragraphs 18 to 25. The decision could be retired.
12. With reference to paragraphs 21 and 22 of decision III/11, drew the attention of international funding agencies, including the financial mechanism, of the need to support capacity-building in the development and implementation of this work programme;	Fully implemented. New program of work adopted by decision V/5. Could be retired.
13. Welcomed the efforts being made by the financial mechanism in the development of its operational policy framework on agricultural biological diversity and urges the early completion of this framework, fully in line with decision III/11, so as to provide effective implementation support to Parties and Governments in all agricultural ecosystems.	Implemented. Could be retired.

DECISION IV/7 – FOREST BIOLOGICAL DIVERSITY

Overview of the decision

The decision endorsed the work programme on forest biological diversity, requested a synthesis on forest biological diversity based on national reports as well as information on status and trends of forest biological diversity—along with options for its conservation and sustainable use. It also called upon the GEF to provide financial support for capacity building issues.

Overall assessment and possible action by the Conference of the Parties

The decision has been fully implemented. An expanded programme of work on forest biological diversity was adopted by decision VI/22. COP may therefore consider retiring the full text of decision IV/7.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided to endorse the work programme for forest biological diversity as contained in the annex to the present decision;</p>	
<p>2. Urged Parties, countries, international and regional organizations, major groups and other relevant bodies to collaborate in carrying out the tasks identified in the work programme;</p>	
<p>3. Called upon Parties and countries to integrate forest biological diversity considerations in their participation and collaboration with organizations, institutions and conventions affecting or working with forest biological diversity;</p>	
<p>4. Invited the Food and Agriculture Organization of the United Nations (FAO) to further integrate forest biological diversity into ongoing work with the Global Forest Resources Assessment;</p>	
<p>5. Urged Parties and countries and international financial institutions, including the Global Environment Facility, to give high priority to the allocation of resources to activities that advance the objectives of the Convention in respect of forest biological diversity;</p>	
<p>6. Called upon the Global Environment Facility (GEF) to provide financial support, in accordance with Article 7 of the Convention, for activities and capacity-building for the implementation of the work programme for forest biological diversity and the use of the clearing-house mechanism, particularly for activities to halt and mitigate deforestation effects, basic assessments and monitoring of forest biological diversity, including taxonomic studies and inventories, focusing on forest species, other important components of forest biological diversity and ecosystems under threat;</p>	
<p>7. Invited Parties, when requesting assistance through the financial mechanism, to propose projects that are being fully consistent with previous guidance of the Conference of the Parties and promote the implementation of the focused work programme on forest biological diversity;</p>	
<p>8. Requested the financial mechanism of the Convention to consider the operational objectives of the programme of work as a guidance for funding in the field of forest biological diversity.</p>	<p>COP gave specific guidance for support provided by GEF in the field of forest biological diversity, as noted on decision V/13 par. 2(b)(iii).</p>
<p>9. Notes the potential impact of afforestation, reforestation, forest degradation and deforestation on forest biological diversity and on other ecosystems, and, accordingly, requested the Executive Secretary to</p>	

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
liaise and cooperate with the Secretariat of the United Nations Framework Convention on Climate Change and the Secretariat of the Convention to Combat Desertification in Those Countries Experiencing Serious Drought and/or Desertification, particularly in Africa to achieve the objectives of the Convention on Biological Diversity;	
10. Requested the Executive Secretary to compile a synthesized report on the information on forest biological diversity made available to the Conference of the Parties, particularly national reports;	Relevant item on note prepared by the Executive Secretary UNEP/CBD/COP/6/INF/11
11. Requested the Executive Secretary, in implementing the work programme on forest biological diversity to actively continue collaborating and cooperating with the secretariat of the Intergovernmental Forum on Forests and relevant institutions and to inform the Conference of the Parties thereon;	
12. Requested SBSTTA to provide advice on the status and trends of forest biological diversity and the identification of options for the conservation and sustainable use of forest biological diversity;	An ad hoc technical expert group on forest biological diversity prepared report (UNEP/CBD/SBSTTA/7/INF/3). COP in decision VI/22 welcomed the report.
13. Requested the Executive Secretary to transmit this decision to the Intergovernmental Forum on Forests at its second meeting, to the Conference of the Parties to the United Nations Framework Convention on Climate Change at its fourth meeting and to the Conference of the Parties to the Convention on Desertification at its second meeting.	

DECISION IV/8 - ACCESS AND BENEFIT-SHARING

Overview of the decision

The decision addresses options for access and benefit-sharing, aims to clarify concepts related to this issue and further assist Parties in the implementation of access and benefit-sharing arrangements.

Overall Assessment and Possible Action by the Conference of the Parties

Based on the overview of the core elements of the decision IV/8, on access and benefit-sharing, and its status of implementation, the COP may consider retiring paragraphs 1, 2, 3 and 6 (d).

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Requests the inter-sessional open-ended meeting referred to in decision IV/16, paragraph 2, to explore options for access and benefit-sharing mechanisms and to start work on paragraph 10 of decision IV/15 and to make recommendations for</p>	<p>1. The ISOC explored the options as mandated, see UNEP/CBD/ISOC/3, and made recommendations to COP and the Panel of experts. Could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
future work;	
<p>2. Requests the ES to invite information from Parties and relevant organizations in time for the inter-sessional meeting in respect of those <i>ex situ</i> collections which were acquired prior to the entry into force of the CBD and which are not addressed by the Commission on Genetic resources for Food and Agriculture of the Food and Agriculture Organization, to help the inter-sessional meeting to make recommendations to the fifth meeting of the COP for future work on resolving the issue of such <i>ex situ</i> collections, with due regard to the provisions of the Convention;</p>	<p>2. ES invited national focal points, FAO, CGIAR centres, botanical and zoological gardens, microbial collection centres and other relevant bodies to provide information. A report on the information provided was prepared for the ISOC meeting: UNEP/CBD/ISOC/1/4.</p> <p>UNEP/CBD/COP/5/21, section IV also addresses this issue and provides recommendations to COP V.</p> <p>Further progress was made regarding <i>ex situ</i> collections following the fifth meeting of the Conference of the Parties. This paragraph could be retired.</p>
<p>3. Decides to establish a regionally balanced panel of experts appointed by Governments, composed of representatives from the private and the public sectors as well as representatives of indigenous and local communities, operating in accordance with decisions II/15, III/11 and III/15, under the COP and reporting to its next meeting. The mandate of this panel would be to draw upon all relevant sources, including legislative, policy and administrative measures, best practices and case studies on access to genetic resources and benefit-sharing arising from the use of those genetic resources, including the whole range of biotechnology, in the development of a common understanding of basic concepts and to explore all options for access and benefit-sharing on mutually agreed terms including guiding principles, guidelines, and codes of best practice for access and benefit-sharing arrangements. These options might address, inter alia, the elements set out in the annex to the present decision.</p>	<p>3. The Panel of Experts was established and met in Costa Rica in October 1999. A document was prepared by the ES for the Panel: "Options for Access and Benefit-sharing Arrangements", UNEP/CBD/EP-ABS/2 and the report of the meeting is available as UNEP/CBD/COP/5/8. This paragraph could be retired.</p>
<p>4. Requests the financial mechanism to give special emphasis to the following programme priorities to fund initiatives by eligible Parties:</p> <p>(a) Stock-taking activities, such as, for example, assessments of current legislative, administrative, and policy measures on access to genetic resources and benefit-sharing, evaluation of the strengths and weaknesses of a country's institutional and human capacity, and promotion of consensus-building among its different stakeholders; and, for those developing country Parties that have identified arrangements for benefit-sharing as a national</p>	<p>4. In response to the guidance provided by Decision IV/ 8 and decision V/13, paragraph 8, in its report to COP V, UNEP/CBD/COP/5/7, the GEF states that it has integrated this guidance into its existing operational modalities and through modification of its enabling activities criteria so as to provide an "opportunity to request financing for stock-taking activities related to access and benefit-sharing" and "within the context of projects or through short term response measures, the GEF will provide</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>priority;</p> <p>(b) Formulation of access and benefit-sharing mechanisms at the national, subregional and regional level including monitoring and incentive measures;</p> <p>(c) Capacity-building for measures on access to genetic resources and sharing of benefits, including capacity-building for economic valuation of genetic resources;</p> <p>(d) Within biodiversity projects, other specific benefit-sharing initiatives, such as support for entrepreneurial developments by local and indigenous communities, facilitation of financial sustainability of projects promoting the sustainable use of genetic resources, and appropriate targeted research components;</p>	<p>support for specific benefit-sharing initiatives, such as policy, regulatory and institutional frameworks on mechanisms to facilitate access to genetic resources and benefit-sharing.” This paragraph could be consolidated with other decisions relating to the financial mechanism.</p>
<p>5. Invites all relevant organizations and the private sector to support efforts by Parties and Governments to develop and promote legislative or administrative measures, policies and programmes which facilitate the distribution of benefits arising from the use of genetic resources on mutually agreed terms and to update the ES on a regular basis regarding their activities and experiences;</p>	<p>5. UNEP/CBD/COP/4/Inf.16 submitted by the Government of Switzerland shows a survey in which companies and institutions that are users of genetic resources consider a voluntary code of conduct as a promising instrument for further cooperation between users and providers. This guidance remains valid.</p>
<p>6. Requests the ES:</p>	
<p>(a) To explore the possibility of linking the clearing-house mechanism with relevant international and other organizations to access publicly available information on intellectual property rights which are based on biological resources and to report on the progress made on this matter to the COP at its fifth meeting;</p>	<p>(a) This matter needs to be further explored. Ongoing.</p>
<p>(b) To compile information on access and benefit-sharing arrangements and to disseminate such information in a standardized format through the clearing-house mechanism;</p>	<p>(b) Case studies on benefit-sharing are available on the CBD website. Ongoing</p>
<p>(c) To facilitate the exchange of information related to access and benefit-sharing through appropriate means such as the clearing-house mechanism;</p>	<p>Ongoing</p>
<p>(d) To prepare a background document on the review of implementation of measures to promote and advance benefit-sharing arrangements, based on the experiences submitted by Parties, Governments and relevant organizations.</p>	<p>(d) Done. Submissions provided by Bahrain, China, Kiribati, Morocco, Oman and Ukraine are briefly outlined in document UNEP/CBD/ISOC/3 prepared for the ISOC meeting. Developments within the OAU and ASEAN were also notified to the Secretariat.</p>

DECISION IV/9 – IMPLEMENTATION OF ARTICLE 8(j) AND RELATED PROVISIONS*Overview of the Decision*

This decision is primarily concerned with the establishment and mandate of the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions. The Ad Hoc Working Group on Article 8(j) was mandated to develop a programme of work based on the structure of the elements in the report of the Madrid Workshop, as set out in the annex to decision IV/9, setting out short- and medium-term activities. The Executive Secretary was requested to transmit decisions and documents to, and apply for observer status in WIPO, and seek ways, including possible negotiation of a memorandum of understanding, to enhance co-operation with WIPO on issues arising from Article 8(j) and related provisions. WIPO was invited to take into account the lifestyles and traditional systems of access and use of traditional knowledge, technologies and practices of indigenous and local communities in its work.

Overall Assessment and Possible Action by the Conference of the Parties

The decision provides the legislative authority for the Ad Hoc Working Group on Article 8j. Some of the elements of the decision have been fully implemented and could be retired. These are paragraphs 5-6, 8-11, 14 and 16.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided that an ad hoc open-ended inter-sessional working group be established to address the implementation of Article 8(j) and related provisions of the Convention. The mandate of this working group shall be:</p> <p>(a) To provide advice as a priority on the application and development of legal and other appropriate forms of protection for the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity;</p> <p>(b) To provide the Conference of the Parties with advice relating to the implementation of Article 8(j) and related provisions, in particular on the development and implementation of a programme of work at national and international levels;</p> <p>(c) To develop a programme of work, based on the structure of the elements in the Madrid report (UNEP/CBD/COP/4/10/Add.1) as set out in the annex to the present decision;</p> <p>(d) To identify those objectives and activities falling within the scope of the Convention; to recommend priorities taking into account the programme of work of the Conference of the Parties, such as the equitable sharing of benefits; to identify for which work-plan objectives and activities advice should be directed to the Conference of the</p>	<p>Fulfilled, The ADHEIWG on Article 8(j) and Related Provisions held its first meeting in Seville, Spain from 27-31 March, 2000, hosted by the Government of Spain. Report from this meeting is found in document UNEP/CBD/COP/5/5.</p> <p>A programme of work was developed by the WG and endorsed by decision V/16</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>Parties and which should be directed to the Subsidiary Body on Scientific, Technical and Technological Advice; to recommend which of the work-plan objectives and activities should be referred to other international bodies or processes; to identify opportunities for collaboration and coordination with other international bodies or processes with the aim of fostering synergy and avoiding duplication of work;</p> <p>(e) To provide advice to the Conference of the Parties on measures to strengthen cooperation at the international level among indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity and make proposals for the strengthening of mechanisms that support such cooperation;</p>	
<p>2. Decided that the working group shall be composed of Parties and observers, including, in particular, representation from indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity with participation to the widest possible extent in its deliberations in accordance with the rules of procedure;</p>	
<p>3. Encouraged Parties to include representatives of indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity in their delegations;</p>	<p>Parties were encouraged to include indigenous and local community rep as part of their delegation. Some have and continue to include indigenous and local community reps as part of their delegation. However many parties need to continue to be encouraged to fulfil this request..</p>
<p>4. Encouraged Parties to promote consultations among indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity concerning the issues to be dealt with in the working group;</p>	<p>While this has been fulfilled it is an ongoing process. Governments now need to include in their national reports or cross-cutting report on Article 8(j), on consultation processes in their countries.</p>
<p>5. Encouraged indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity to participate and to identify the process to select their participants in the working group, taking into account the funds available, the need for geographical balance and the need for the working group to be effective and efficient;</p>	<p>Fulfilled and superseded by decision V/16 (see paragraph 1 of decision and task 4 of the first phase of the Programme of Work on Article 8(j)). However no funds have been made available through the CBD to ensure participation of indigenous and local communities. Could be retired.</p>
<p>6. Decided that the working group should meet in conjunction with Subsidiary Body on Scientific, Technical and Technological Advice, unless a Party offers to host the meeting of the working group</p>	<p>The first meeting of the Working Group took place in Seville, Spain in March 2000,. Report UNEP/CBD/COP/5/5. Could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>7. Decided that the working group shall report directly to the Conference of the Parties and that the working group may provide advice to the Subsidiary Body on Scientific, Technical and Technological Advice on issues relevant to its agenda;</p> <p>8. Decided that the costs of servicing the meetings of the working group shall be funded in accordance with the relevant provisions of decision IV/17, as related to the budget;</p>	<p>The Working Group continues to report directly to the COP</p> <p>Superseded by subsequent decisions..</p>
<p>9. Decided that there shall be both short- and medium-term activities in the programme of work to facilitate the work of the Parties in the implementation of Article 8(j) and related provisions.</p>	<p>Fulfilled. The Working Group on 8(j) prioritised a two-phase programme of work as reflected in document UNEP/CBD/WG8J/1/3. Could be retired.</p>
<p>10. As part of the short-term activities, invited Governments, international agencies, research institutions, representatives of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity and non-governmental organizations to submit case-studies and other relevant information to the Executive Secretary, on the following, as background information for the working group without being a prior condition to or pre-empting the deliberations of the working group in discharging its mandate as set out in paragraph 1 (c) of the present decision:</p> <p>(a) Interactions between traditional and other forms of knowledge relating to the conservation and sustainable use of biological diversity;</p> <p>(b) The influence of international instruments, intellectual property rights, current laws and policies on knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity;</p> <p>(c) The extent to which traditional knowledge of indigenous and local communities has been incorporated into development and resource-management decision-making processes;</p> <p>(d) Documented examples and related information on ethical guidance for the conduct of research in indigenous and local communities about the knowledge they hold; and</p> <p>(e) Matters of prior informed consent, fair and equitable sharing of benefits and <i>in situ</i> conservation in lands and territories used by indigenous and local communities embodying traditional lifestyles relevant for the conservation</p>	<p>Notification was sent to the relevant organizations and Parties to provide Case Studies.</p> <p>Fulfilled a-e , as reflected in documents UNEP/CBD/WG8J/1/3; UNEP/CBD/WG8J/1/2 and UNEP/CBD/WG8J/1/4. Could be retired.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
and sustainable use of biological diversity;	
11. Requested the Executive Secretary to prepare a suitable format for the presentation of the information requested in paragraph 10 of the present.	Fulfilled. A format was developed and sent to relevant organizations and focal points and international organizations and UN agencies. Could be retired.
12. Requested Parties according to their capabilities to facilitate the representation, and financially and logistically support the active participation in the working group of the indigenous and local communities from their territories;	No voluntary funds have been received to assist the participation of indigenous and local communities to the meeting. This is an ongoing request.
13. Encouraged Parties, when making applications to the interim financial mechanism for funding in respect of activities under Article 8(j) and related provisions, to consider: (a) priorities as set out in paragraph 10 and (b) projects in support of the development of national legislation and corresponding strategies on the implementation of Article 8(j), as well as (c) projects in support of preparations by indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity for their active participation in and contribution to the working group;	Ongoing. However, Parties need to report on what financial mechanisms they have to fund activities under Article 8(j) and on the success of such programmes, and on any development of national legislation or strategies on the implementation of Article 8(j) and related provisions.
14. Requested the Executive Secretary to transmit to the secretariat of the World Intellectual Property Organization, decisions and documents of the fourth meeting of the Conference of the Parties and to apply for observer status in the World Intellectual Property Organization, for the purpose of representing the Convention on Biological Diversity in meetings related to main programme 11.1 and 11.2 of the Organization;	Fulfilled. The Convention has observer status in the WIPO Intergovernmental Committee on Intellectual Property, Genetic Resources, Traditional Knowledge and Folklore. Could be retired.
15. Encouraged Governments, relevant international and regional organizations and representatives of indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity to conduct and communicate to the Executive Secretary, case studies for dissemination through means such as the clearing-house mechanism and requested the Executive Secretary to compile case-studies submitted under decisions of the Conference of the Parties at its third and fourth meetings relating to Article 8(j) and intellectual property rights, including existing <i>sui generis</i> systems and/or adapted forms of protection to the knowledge, innovations and practices of indigenous and local communities relevant to the conservation and sustainable use of biological diversity for transmittal to the World Intellectual Property Organization and for use in initiatives on legislating on the implementation of Article 8(j) and related provisions;	Ongoing. The CBD continues to cooperate with the World Intellectual Property Organization (WIPO).

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>16. Invited the World Intellectual Property Organization to take into account the lifestyles and the traditional systems of access and use of the knowledge, technologies and practices of indigenous and local communities embodying traditional lifestyles relevant to the conservation and sustainable use of biological diversity in its work and the relevant recommendations of the Conference of the Parties;</p>	<p>Ongoing within the framework of the WIPO Intergovernmental Committee. This paragraph has now been superseded by subsequent COP decisions and could be retired.</p>
<p>17. Requested the Executive Secretary to seek ways, including the possibility of negotiating a memorandum of understanding with the World Intellectual Property Organization, to enhance cooperation between the Convention on Biological Diversity and the World Intellectual Property Organization on issues arising from Article 8(j) and related provisions and encouraged Parties to forward information to the Executive Secretary to support such cooperation.</p>	<p>Ongoing An MOC has been concluded between the CBD and WIPO.</p>

DECISION IV/10 – MEASURES FOR IMPLEMENTING THE CONVENTION ON BIOLOGICAL DIVERSITY – INCENTIVE MEASURES: CONSIDERATION OF MEASURES FOR IMPLEMENTATION OF ARTICLE 11

A. Incentive measures: consideration of measures for the implementation of Article 11

Overview of the decision

The decision provides policy guidance to Parties as to how to design and implement incentive measures, and sets out the further work on this issue.

Overall assessment and possible action by the Conference of the Parties

The substance-matter of this decision is of ongoing relevance to the work on incentive measures. The sharing of experiences and the exchange of information on incentive measures is an ongoing process.

Most elements are reflected in later decisions and especially the proposals for the design and implementation of incentive measures, endorsed by the Conference of the Parties at its sixth meeting (see Decision VI/15, Annex 1). A number of elements could be incorporated into a consolidated decision on incentive measures. The following paragraphs could be retired: 1(b), (d)-(e), and (g); 5(a)-(c).

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
<p>The COP</p> <p>1. Encouraged Parties, Governments and relevant organizations:</p> <p>(a) To promote the design and implementation of appropriate incentive measures, taking fully into account the ecosystem approach and the various conditions of the Parties and employing the precautionary approach of Principle 15 of the Rio Declaration on Environment and Development, in order to facilitate achieving the implementation of the objectives of the Convention and to integrate biological diversity concerns in sectoral policies, instruments and projects;</p>	<p>Under implementation by Parties</p>	<p>The message is taken up in decision VI/15, paragraph 2-3, and the proposals for the design and implementation of incentive measures endorsed by COP-6 (see decision VI/15, Annex I, paragraphs 10 and 14). The element could be consolidated with these paragraphs.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
(b) As a first step towards formulating incentive measures, to identify threats to biological diversity and underlying causes of reduction or loss of biological diversity and relevant actors;	Under implementation by Parties	The message is taken up in the proposals for the design and implementation of incentive measures endorsed by COP-6 (see decision VI/15, Annex I, paragraphs 4-8). The element could be retired.
(c) To take into account economic, social, cultural and ethical valuation in the development of relevant incentive measures;	Under implementation by Parties	The message is taken up to some extent in the proposals for the design and implementation of incentive measures endorsed by COP-6 (see decision VI/15, Annex I, paragraphs 17, 21). The element could be consolidated with these paragraphs.
(d) To develop supportive legal and policy frameworks for the design and implementation of incentive measures;	Under implementation by Parties	The message of this element is taken up in the proposals for the design and implementation of incentive measures endorsed by COP-6 (see decision VI/15, Annex I, Paragraph 10 (f)). The element could be retired.
(e) To carry out participatory consultative processes at the relevant level to define the clear and target-oriented incentive measures to address the identified underlying causes of biodiversity reduction or loss and unsustainable use	Under implementation by Parties	The message is taken up in the proposals for the design and implementation of incentive measures endorsed by COP-6 (see decision VI/15, Annex I, paragraphs 7, 8, 21, 28-30). The element could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
(f) To identify perverse incentives and consider the removal or mitigation of their negative effects on biological diversity in order to encourage positive, rather than negative, effects on the conservation and sustainable use of biological diversity;	Under implementation by Parties	This element could be consolidated into the work underway to implement paragraph 7 of Decision VI/15, on incentive measures. In this paragraph, COP requested the Executive Secretary to elaborate proposals for the application of ways and means to remove or mitigate perverse incentives.
(g) To prepare case-studies on incentive measures in the thematic focus of the fifth meeting of the Conference of the Parties, utilizing the indicative outline prepared by the Executive Secretary as far as possible, and to make them available to the Executive Secretary.	A number of case studies have been submitted for the fifth meeting of the Conference of the Parties and are summarized in document UNEP/CBD/COP/5/INF/14.	The element could be retired.
(h) To undertake value addition and enhancement of naturally occurring genetic resources, based on the participatory approach, where appropriate, to work as incentives for their conservation and sustainable use;	Under implementation by Parties.	The element could be consolidated with other relevant decisions.
2. Requested Parties to include information on the design and implementation of incentive measures in their second national reports;	Under implementation by Parties who did not yet submit their second national report.	This element could be retired after submission of second national reports is completed.
3. Requested the financial mechanism to provide to eligible Parties adequate and timely support for the design and approaches relevant to the implementation of incentive measures including, where necessary, assessment of biological diversity of the relevant ecosystems, capacity-building necessary for the design and implementation of incentive measures and the development of appropriate legal and policy frameworks, and projects with components that provide for these incentives;	Under implementation by GEF.	This element could be consolidated with subsequent guidance to the financial mechanism.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
<p>4. Invited all relevant organizations:</p> <p>(a) To support efforts by Parties to design and implement appropriate incentive measures;</p> <p>(b) To assist Parties and Governments to identify gaps in national capacity for policy research and analysis relevant to the design of incentive measures and to develop the necessary capacity to conduct such research and analysis;</p>	Ongoing activity.	Element 4 (b) could be consolidated with paragraph 10 of the proposals for the design and implementation of incentive measures endorsed by COP-6 (see decision VI/15, Annex I, paragraph 10).
<p>5. Requested the Executive Secretary:</p> <p>(a) To compile the information received from Parties, Governments and relevant organizations and to facilitate the exchange of information through appropriate means, such as the clearing-house mechanism, taking full advantage of existing and ongoing work of Parties and relevant organizations in this area;</p>	Such compilation has been undertaken by the Executive Secretary on a regular basis. Information and case studies submitted prior to the fifth meeting of the Conference of the Parties are synthesized in document UNEP/CBD/COP/5/INF/14.	Requests of this nature have become a regular message in subsequent COP decisions (see, e.g., paragraphs 5 and 6 of Decision VI/15, on incentive measures). This element could therefore be retired.
<p>(b) To prepare in collaboration with the Organisation for Economic Development and Cooperation (OECD), the World Conservation Union (IUCN) and other relevant organizations, a background paper containing further analysis of the design and implementation of incentive measures for the conservation and sustainable use of biodiversity, as it is related to the incentive measures in the thematic focus of the fifth meeting of the Conference of the Parties, with the aim of developing guidance to Parties;</p>	The Executive Secretary prepared document UNEP/CBD/COP/5/15, entitled "Further analysis of the design and implementation of incentive measures".	This element could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION	OVERALL ASSESSMENT AND POSSIBLE ACTION BY THE CONFERENCE OF THE PARTIES
(c) To describe, in this document, ways and means to identify perverse incentives and possibilities to remove or mitigate their negative effects on biological diversity.	The Executive Secretary prepared document UNEP/CBD/COP/5/15, entitled "Further analysis of the design and implementation of incentive measures", which already included a section on perverse incentives and their removal or mitigation. Further to a request of the sixth meeting of the Conference of the Parties (Decision VI/15, paragraph 7), the Executive Secretary is now elaborating proposals for the application of ways and means to remove or mitigate perverse incentives.	This request is taken up in paragraph 7 of decision VI/15, on incentive measures. This element could therefore be retired.

B. Public education and awareness: consideration of measures for the implementation of Article 13

Overview of the decision

The decision concerns a number of measures that should be taken by Parties as well as relevant international organizations and NGOs to implement Article 13.

Overall assessment and possible action by the Conference of the Parties

The core elements of this decision are under implementation. The Conference of the Parties may wish to consolidate them with other relevant decisions. Paragraph 6 could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Urges Parties:</p> <p>(a) To place special emphasis on the requirements of Article 13 of the Convention in the development of their national strategies and action plans;</p> <p>(b) To promote education on biological diversity through relevant institutions, including non-governmental organizations;</p> <p>(c) To allocate appropriate resources for the</p>	<p>According to the information contained in second national reports, most of reporting countries have covered PEA needs in their NBSAPs. And most countries support initiatives by major groups that foster stakeholder participation and that integrate biological diversity conservation matters in their practice and education programmes. However, a relative majority of reporting countries allocate limited resources for the strategic use of education and communication instruments at each phase phase of policy formulation, implementation and evaluation.</p>

<p>strategic use of education and communication instruments at each phase of policy formulation, planning, implementation and evaluation, including the identification of relevant target groups seeking to provide these with relevant, timely, reliable and understandable information;</p> <p>(d) To integrate biological diversity concerns into education strategies, recognizing the particular needs of indigenous and local communities; and</p> <p>(e) To support initiatives by major groups that foster stakeholder participation in biological diversity conservation and sustainable use and that integrate biological diversity conservation matters into their practices and educational programmes;</p>	<p>And more than a half of reporting Parties indicate that they are in early stages of integrating biodiversity concerns into education strategies. The policy guidance remains relevant.</p>
<p>2. <i>Also urges</i> Parties to share experiences on initiatives on public education and awareness and public participation relevant to the Convention, particularly on a sectoral and thematic basis, and to make relevant case studies as well as lessons learned in the preparation of national biological diversity policies, strategies and plans available to the Executive Secretary and for the exchange of information among Parties through the clearing-house mechanism and to consider how to organize assistance for Parties who may be keen to develop public awareness and education strategies, but lack the ability to do so;</p>	<p>According to the information contained in second national reports, more efforts are needed to enhance information exchange. The paragraph is therefore of continuing relevance to the work of the Convention in this area.</p>
<p>3. <i>Encourages</i> Parties to make use of the media, including print and electronic media, to promote public education and awareness about the importance and appropriate methods for the conservation and sustainable use of biological diversity;</p>	<p>Ongoing. Policy guidance remains relevant.</p>
<p>4. Calls upon Parties, where necessary, to illustrate and translate the provisions of the Convention into respective local languages to promote public education and awareness-raising of relevant sectors, including local communities;</p>	<p>According to the second national reports, one fourth of reporting countries (85) indicated that they had illustrated and translated the Convention into respective local languages to promote PEA. Ongoing.</p>
<p>5. Decides that public education and awareness issues shall be integrated into and become an integral component of all sectoral and thematic items under the programme of work of the Conference of the Parties</p>	<p>The education and awareness issues have been integrated into some thematic programmes of work of the Convention. Ongoing.</p>
<p>6. Invites UNESCO to consider launching a global initiative on biological diversity education, training and public awareness and requests the ES to explore the feasibility of such an initiative and to report to COP 5 on the progress of such an initiative;</p>	<p>Some proposals as contained in UNEP/CBD/COP/5/Inf.5 concerning the Global Initiative was presented to COP 5. Following the guidance from COP 5 through Decision V/17, the ES and UNESCO worked together to prepare draft Global Initiative based on the recommendations from the Consultative Working Group on CEPA which convened three meetings in 2000 and 2001. The Global Initiative and a programme of work were</p>

	adopted at COP 6 by decision VI/19. This paragraph could be retired.
7. Invites UNEP, in cooperation with other UN bodies and other relevant international and regional organizations, agreements, processes and institutions, to continue and make use of existing initiatives and to further develop its information dissemination and public-awareness activities in support of the work of the Convention;	UNEP was also involved in the elaboration and preparation of the Global Initiative. This remains relevant and could be consolidated with other relevant decisions.
8. Urges Parties, relevant organizations and donor agencies to support local, national, subregional and regional public education and awareness initiatives;	Almost all reporting countries have supported to varying extents (59 for limited and 28 significant) local, national and regional initiatives for PEA according to the second national reports submitted to the Secretariat. Policy guidance remains relevant.
9. Urges Parties, when requesting for assistance through the financial mechanism of the Convention, to propose projects which promote measures for implementing the provisions of the Convention on public education and awareness;	A few Parties requested funding from GEF for PEA projects and a number of countries included PEA in their biodiversity projects. Remains relevant.
10. Decides to review progress in the implementation of the above activities, at the latest at its seventh meeting.	Forthcoming.

C. Impact assessment and minimizing adverse effects: consideration of measures for the implementation of Article 14

Overview of the decision

The decision calls for the sharing of experiences on impact assessment and makes recommendations on the implementation of Article 14 of the Convention. It also establishes a process for generating information necessary for addressing paragraph 2 of Article 14 on liability and redress for damage to biological diversity.

Overall assessment and possible action by the Conference of the Parties

The work on impact assessment is ongoing. The Guidelines for incorporating biodiversity-related issues into environmental-impact-assessment require further development and need to be complemented with guidelines on cultural and social impact. Most elements of the decision, therefore, remain pertinent. Only paragraph 3 of Decision IV/10 C has been fully taken up in Decision VI/7 A and COP may consider its retirement.

Information gathering on liability and redress initiated by this decision has been ongoing and has been further elaborated in decisions V/18 and VI/11. The elements of the decision dealing with this aspect could therefore be retired since they have been superseded by these decisions.

The following paragraphs could be retired: C2-4 and C8-11.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p><i>Concerning impact assessment</i></p> <p>1. Invited Parties, Governments, national and international organizations, and indigenous and local communities embodying traditional lifestyles, to transmit to the Executive Secretary for the purpose of exchanging information and sharing experiences on:</p> <p>(a) Impact assessments that consider environmental effects and interrelated socio-economic aspects relevant to biological diversity;</p>	<p>Based on a review of case studies guidelines for incorporating biodiversity-related issues into environmental-impact-assessment legislation or processes and in strategic impact assessment have been prepared for and endorsed by COP 6. Guidelines on cultural and social assessment are also being prepared. A report on experiences will be prepared for SBSTTA 9. Ongoing.</p>
<p>(b) Strategic environmental assessments;</p>	<p>Only two responses were received in response to the invitation to transmit case studies on incorporating biodiversity-related issues into environmental-impact-assessment legislation or processes and in strategic impact assessment. These will be incorporated into a report for SBSTTA 9. Ongoing.</p>
<p>(c) Ways and means of fully incorporating biodiversity considerations into environmental impact assessment procedures;</p>	<p>Only two responses were received in response to the invitation to transmit case studies on incorporating biodiversity-related issues into environmental-impact-assessment legislation or processes and in strategic impact assessment. These will be incorporated into a report for SBSTTA 9. Ongoing.</p>
<p>(d) Reports and case studies relating to environmental impact assessment in the thematic areas specifically referred to in its decisions, particularly with respect to biological diversity, including in respect of activities with transboundary implications and for environmental impacts having cumulative effects on biological diversity;</p>	<p>Case studies are being collected in collaboration with the International Association for Impact Assessment. Ongoing.</p>
<p>(e) Reports relating to existing legislation, experience with environmental impact assessment procedures and guidelines for environmental impact assessment, particularly with regard to the incorporation of biological diversity considerations into environmental impact assessment;</p>	<p>Only two responses were received in response to the invitation to transmit case studies on incorporating biodiversity-related issues into environmental-impact-assessment legislation or processes and in strategic impact assessment. These will be incorporated into a report for SBSTTA 9. Ongoing.</p>
<p>(f) Reports concerning the implementation of mitigating measures and incentive schemes to enhance compliance with existing national environmental impact assessment systems;</p>	<p>Case studies are being collected in collaboration with the International Association for Impact Assessment. Ongoing.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
2. Requested the Executive Secretary to prepare a synthesis report based on the information contained in such submissions and other relevant information, for the consideration of the Subsidiary Body on Scientific, Technical and Technological Advice;	A report is being prepared for the consideration of SBSTTA 9. Action completed.
3. Instructed the Subsidiary Body on Scientific, Technical and Technological Advice to identify further actions that would promote implementation of the impact assessment procedures requested by Article 14 of the Convention, including consideration of whether there is a need for additional work to develop guidelines on the incorporation of biological diversity considerations into environmental impact assessment and to report to the Conference of the Parties;	SBSTTA 4 prepared recommendation IV/6 which formed the basis of COP decision V/18 spelling out future actions for the implementation of paragraph 1 of Article 14. This paragraph could be retired.
4. Recommended that appropriate issues related to environmental impact assessment should be integrated into and become an integral component of relevant sectoral and thematic items under the programme of work of the Conference of the Parties;	Environmental impact assessment has been integrated into most thematic work areas of the Convention. Could be retired.
5. Requested the Executive Secretary to make this information available through the clearing-house mechanism and other appropriate means;	Efforts to share experience through the CHM are underway. Ongoing.
6. Encouraged the Executive Secretary to initiate collaboration between the Convention and other international organizations and bodies with expertise in this field and to seek cooperation, in particular with the Convention on Wetlands of International Importance, especially as Waterfowl Habitat and the Bonn Convention on the Conservation of Migratory Species, with the World Conservation Union (IUCN) and the International Association for Impact Assessment, with a view to drawing on their networks of professional expertise and sources of information and advice;	Ongoing activities and efforts to further develop and refine the guidelines on incorporating biodiversity-related issues into environmental-impact-assessment legislation or processes and in strategic impact assessment are being carried out in close collaboration with these organizations.
7. Emphasized the need to enable active participation by interested and affected stakeholders in the assessment process, including indigenous and local communities embodying traditional lifestyles and non-governmental organizations.	Guidelines on cultural and social assessment are in preparation. Policy guidance remains relevant.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p><i>Concerning liability and redress</i></p> <p>8. Invited Parties, Governments and relevant international organizations to provide the Executive Secretary with information on national, international and regional measures and agreements on liability and redress applicable to damage to biological diversity, including the nature, scope and coverage of such provisions, and information on experiences in their implementation, as well as information regarding access by foreign citizens to national courts potentially applicable to or in cases involving transboundary environmental harm;</p>	<p>A number of Parties and governments provided information to the ES. Paragraph has been superseded by decision V/18 and VI/11. Could be retired.</p>
<p>9. Invited Parties to include in their national reports information on actions taken with respect to liability and redress for damage to biological diversity;</p>	<p>A number of Parties included relevant information in their national reports. Superseded by decisions V/18 and VI/11. Could be retired.</p>
<p>10. Requested the Executive Secretary to prepare a synthesis report based on the information contained in submissions by Parties and other relevant information, for examination by the Conference of the Parties at its fifth meeting;</p>	<p>A synthesis report was prepared for COP 5 by the Executive Secretary: see UNEP/CBD/COP/5/16.</p>
<p>11. Noted that the decision (described in paragraphs 8-10 of item C) is without prejudice to the consideration of the issue of liability and redress in the negotiation of the Protocol on biosafety. (Item C- concerning liability and redress)</p>	<p>Article 27 of the Cartagena Protocol on Biosafety provides for the elaboration of international rules and procedures regarding liability and redress for damage resulting from the transboundary movement of LMOs. This paragraph could be retired.</p>

DECISION IV/11 –REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM

Overview of the decision

The decision reflected the results of the first review of the effectiveness of the financial mechanism and provided guidance to improve its effectiveness.

Overall assessment and possible action by the Conference of the Parties

The Conference of the Parties may wish to consider retiring paragraph 3 of decision IV/11.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Determined to further improve the effectiveness of the financial mechanism.</p>	<p>Enabling clause, no implementation requirement</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
2. Requested the Council of the Global Environment Facility to take the action identified in the annex to the present decision with a view to improving the effectiveness of the financial mechanism, and further requests the Global Environment Facility to report thereon to the Conference of the Parties at its fifth meeting.	It has no time dimension, and has been under implementation. Document UNEP/CBD/COP/5/7 (Report of the Global Environment Facility) was prepared
3. Decided that the Conference of the Parties at its fifth meeting will determine terms of reference for the second review of the effectiveness of the financial mechanism.	Decision V/12 Second review of the financial mechanism was adopted
4. Requested the Executive Secretary to advise the Parties on matters relating to recommendations for further guidance to the financial mechanism with respect to: (a) The relationship of any draft guidance to previous guidance; and (b) Any possible effects of that draft guidance on the implementation of previous guidance from the Conference of the Parties.	It has no time dimension, and has been under implementation on an ongoing basis. For instance document UNEP/CBD/COP/5/13 Progress report on the mechanisms for implementation
Annex: Action to improve the effectiveness of the financial mechanism	It has no time dimension, and has been under implementation

DECISION IV/12 –ADDITIONAL FINANCIAL RESOURCES

Overview of the decision

The decision identified four areas of activities that would be undertaken under the programme on financial resources of the Convention.

Overall assessment and possible action by the Conference of the Parties

The Conference of the Parties may wish to consider retiring this decision

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>Requested the Executive Secretary to prepare, for consideration by the Parties at the fifth meeting of the Conference of the Parties, a report on additional financial resources to include proposals for:</p> <ol style="list-style-type: none"> a. Monitoring financial support for the implementation of the Convention; b. Possible collaboration with international organizations, institutions, conventions and agreements of relevance; c. Exploring possibilities for additional financial support to elements in the programme of work outlined in decision IV/16, annex II; 	Action completed; report submitted to COP-V

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
d. Examining the constraints to, opportunities for and implications of private sector support for the implementation of the Convention.	

DECISION IV/13 – ADDITIONAL GUIDANCE TO THE FINANCIAL MECHANISM

Overview of the decision

The decision provided another set of guidance to the financial mechanism.

Overall assessment and possible action by the Conference of the Parties

The COP may wish to consolidate this decision with other relevant decisions on guidance to the financial mechanism.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
1. Provide adequate and timely support for country-driven projects at national, regional and subregional levels addressing the issue of alien species in accordance with decision IV/1 C.	It has no time dimension, and has been under implementation
2. Provide financial resources for country-driven activities within the context of its operation programmes to participate in the Global Taxonomy Initiative which take into account as appropriate, elements of the Suggestions for Action contained in the annex to decision IV/1 D.	It has no time dimension, and has been under implementation
3. Within the context of implementing national biological diversity strategies and action plans, provide adequate and timely support to eligible projects which help Parties to develop and implement national, sectoral and cross-sectoral plans for the conservation and sustainable use of biological diversity of inland water ecosystems in accordance with decision IV/4.	It has no time dimension, and has been under implementation
4. In accordance with decision IV/7 and with Article 7 of the Convention and also within the context of implementing national biological diversity strategies and plans, provide adequate and timely financial support to Parties for projects and capacity-building activities for implementing the programme of work of forest biological diversity at the national, regional and subregional levels and the use of the clearing-house mechanism to include activities that contribute to halting and addressing deforestation, basic assessments and monitoring of forest biological diversity, including taxonomic studies and inventories,	It has no time dimension, and has been under implementation

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>focusing on forest species, other important components of forest biological diversity and ecosystems under threat.</p>	
<p>5. In accordance with decision IV/2:</p> <p>(a) Support capacity-building activities and country-driven pilot projects focused on priority areas, as critical components in the implementation of the clearing-house mechanism at the national, subregional, biogeographic, and regional levels, both during and after the pilot phase;</p> <p>(b) Provide, as appropriate, increased support, in the framework of country-driven projects to promote the objectives of the Convention, to establish and strengthen biodiversity information systems such as, <i>inter alia</i>, training, technology and processes related to the collection, organization, maintenance and updating of data and information and its communication to users through the clearing-house mechanism;</p> <p>(c) Evaluate at the end of the clearing-house mechanism pilot phase the experience of the Global Environment Facility's support for developing countries' activities, to consider additional efforts to meet the increasing interest in taking part in and having access to the clearing-house mechanism, including in regional networking, and to report to the Conference of the Parties prior to the next meeting of the Subsidiary Body on Scientific, Technical and Technological Advice.</p>	<p>It has no time dimension, and has been under implementation</p>
<p>6. Continue to provide financial assistance for the preparation of national reports, having regard to the constraints and needs identified by Parties in their first national reports, in accordance with decision IV/14.</p>	<p>It has no time dimension, and has been under implementation</p>
<p>7. Provide adequate and timely support for the design and approaches relevant to the implementation of incentive measures, including, where necessary, assessment of biological diversity of the relevant ecosystems, capacity-building necessary for the design and implementation of incentive measures and the development of appropriate legal and policy frameworks, and projects with components that provide for these incentives, in accordance with decision IV/10.</p>	<p>It has no time dimension, and has been under implementation</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>8. In accordance with decision IV/8, provide support for:</p> <p>(a) Stock-taking activities, such as, for example, assessments of current legislative, administrative and policy measures on access to genetic resources and benefit-sharing, evaluation of the strengths and weaknesses of a country's institutional and human capacity, and promotion of consensus-building among its different stakeholders;</p> <p>(b) Formulation of access and benefit-sharing mechanisms at the national, subregional and regional levels, including monitoring, assessment, and incentive measures;</p> <p>(c) Capacity-building on measures on access to genetic resources and sharing of benefits, including capacity-building on economic valuation of genetic resources;</p> <p>(d) Within biodiversity projects, other specific benefit-sharing initiatives such as support for entrepreneurial developments by local and indigenous communities, facilitation of financial sustainability of projects promoting the sustainable use of genetic resources, and appropriate targeted research components.</p>	<p>It has no time dimension, and has been under implementation</p>

DECISION IV/14 – NATIONAL REPORTS BY PARTIES

Overview of the decision

The decision concerns submission of first national reports and the simplification and streamlining of the national reporting process.

Overall assessment and possible action by the Conference of the Parties

Most of the elements of the decision have been implemented. In this regard, the Conference of the Parties may wish to consider retiring paragraphs 1-3 of the decision.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Encouraged those Parties that have submitted interim reports to submit a full report as soon as they are in a position to do so, and urged those that have yet to submit their first national report to do so as soon as they can and, in any event, no later than 31 December 1998;</p>	<p>Implemented.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>2. Requested the Executive Secretary to prepare, in time for the fourth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, a revised version of the report referred to in decision II/17 on the basis of the national reports received and other relevant information;</p>	<p>The Executive Secretary prepared, for the consideration of the fourth meeting of the Conference of the Parties, document UNEP/CBD/COP/4/11 entitled 'A Synthesis of Information contained in National Reports on the Implementation of the Convention'. Could be retired.</p>
<p>3. Requested the Subsidiary Body on Scientific, Technical and Technological Advice, at its fourth meeting, to consider the report of the Executive Secretary and to provide the Conference of the Parties, at its fifth meeting, with advice on the intervals and form of future national reports, taking into account the elements contained in the Annex to the present decision. This advice should cover the nature of the information needed from Parties in order to assess the state of implementation of the Convention; recommendations on improving the reporting process, through guidelines on format, style, length and treatment with a view to ensuring comparability between national reports; and identification of ways and means to further facilitate national implementation of the Convention;</p>	<p>The fourth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice considered the report of the Executive Secretary and prepared document UNEP/CBD/COP/5/13/ADD2 entitled 'Progress Report on the Mechanisms for Implementation' for the consideration of the fifth meeting of the Conference of the Parties. The meeting endorsed the formats for future national reports contained in the document. Could be retired.</p>
<p>4. Urged developed country Parties to include in their national reports information, in a standardized form, on their financial support for the objectives of the Convention;</p>	<p>Ongoing. Could be consolidated with other relevant decisions.</p>
<p>5. Requested the Global Environment Facility, as the operating entity of the financial mechanism, to continue to provide financial assistance for the preparation of national reports, having regard to the constraints and needs identified by Parties in their first national reports.</p>	<p>To date, GEF has provided financial assistance to 117 Parties to develop their first national report. Ongoing. Could be consolidated with other relevant decisions.</p>

DECISION IV/15 – THE RELATIONSHIP OF THE CONVENTION ON BIOLOGICAL DIVERSITY WITH THE COMMISSION ON SUSTAINABLE DEVELOPMENT AND BIODIVERSITY-RELATED CONVENTIONS, OTHER INTERNATIONAL AGREEMENTS, INSTITUTIONS AND PROCESSES OF RELEVANCE

Overview of the decision

The decision focuses on the enhancement of cooperation between the Convention and other agreements, conventions, institutions and processes. It also endorses a number of cooperative initiatives undertaken by the Executive Secretary.

Overall assessment and possible action by the Conference of the Parties

Some elements of the decision remain valid and need to be consolidated with similar decisions on cooperation with other international bodies. Where specific action was requested from the Executive Secretary, these have been implemented. The following paragraphs could be retired: 1-3, 7 and 11-17.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Expressed its appreciation to those conventions and institutions that provided documentation and information to the Conference of the Parties at its fourth meeting;</p>	<p>Could be retired.</p>
<p>2. Endorsed the Joint Work Plan with the Convention on Wetlands of International Importance, especially as Waterfowl Habitat (Ramsar) contained in UNEP/CBD/COP/4/Inf.8, as recommended by decisions III/21 and IV/4 as a framework for enhanced cooperation between these conventions and encourages its implementation;</p>	<p>New work plans have been developed and endorsed by COP: see decisions V/21 and VI/20. Could be retired.</p>
<p>3. Also endorsed the memoranda of cooperation entered into by the Executive Secretary with: the Intergovernmental Oceanographic Commission, the World Bank, the Food and Agriculture Organization of the United Nations (FAO), the World Conservation Union (IUCN), the Cartagena Convention, the United Nations Educational, Scientific and Cultural Organization (UNESCO), and the United Nations Conference on Trade and Development (UNCTAD);</p>	<p>Could be retired.</p>
<p>4. Requested that the Executive Secretary, on behalf of the Conference of the Parties, consider matters of liaison, cooperation and collaboration as a key responsibility;</p>	<p>Policy guidance remains valid.</p>
<p>5. Requested the Executive Secretary to continue to coordinate with the secretariats of relevant biodiversity-related conventions, institutions and processes, and to cooperate with related processes at regional and subregional levels, with a view to:</p> <p>(a) Facilitating the exchange of information and experience;</p> <p>(b) Exploring the possibility of procedures for promoting efficiencies between the reporting requirements of Parties under those instruments and conventions;</p> <p>(c) Exploring the possibility of developing joint work programmes, similar to that between the Convention on Biological Diversity and the Convention on Wetlands referred to above, between the Convention</p>	<p>MOUs and MOCs have been signed with several international organizations with a view to enhancing cooperation.</p> <p>Remains valid.</p> <p>UNEP's work on this issue was welcomed by COP6: see decision VI/20.</p> <p>Remains valid. Joint work plan also developed with CMS.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>on Biological Diversity and other relevant institutions and conventions;</p> <p>(d) Exploring modalities, where appropriate, for suitable liaison arrangements in relevant centres, in particular Geneva and/or New York, for the purpose of enhancing linkages with relevant processes, which will assist in achieving greater coherence in these intergovernmental organizations and processes;</p>	<p>A joint liaison officer in New York for CBD, UNCCD and UNFCCC has been approved by COP Bureau.</p>
<p>6. Encouraged the Executive Secretary to develop relationships with other processes with a view to fostering good management practices in areas such as: methods and approaches to deal with protected areas; ecosystem and bioregional approaches to protected area management and sustainable use of biological diversity; mechanisms to enhance stakeholder involvement; methods for developing systems plans and integrating biological diversity considerations into sectoral strategies and plans; and transboundary protected areas;</p>	<p>Ongoing.</p>
<p>7. Took note of the Executive Secretary's observer status in the Committee on Trade and Environment of the World Trade Organization for the purpose of representing the Convention on Biological Diversity in meetings whose agendas have relevance to the Convention;</p>	<p>Could be retired.</p>
<p>8. Also noted that some Parties to the Convention on Biological Diversity, particularly many developing countries, are not members of the World Trade Organization, and are therefore limited in their abilities to present their concerns regarding biological diversity at the World Trade Organization;</p>	<p>Remains valid.</p>
<p>9. Stressed the need to ensure consistency in implementing the Convention on Biological Diversity and the World Trade Organization agreements, including the Agreement on Trade-Related Aspects of Intellectual Property Rights, with a view to promoting increased mutual supportiveness and integration of biological diversity concerns and the protection of intellectual property rights, and invites the World Trade Organization to consider how to achieve these objectives in the light of Article 16, paragraph 5, of the Convention, taking into account the planned review of Article 27, paragraph 3 (b), of the Agreement on Trade-Related Aspects of Intellectual Property Rights in 1999;</p>	<p>Under implementation. Could be consolidated with subsequent decisions such as decision V/21 and VI/20.</p>
<p>10. Emphasized that further work is required to help develop a common appreciation of the relationship between intellectual property rights and the relevant</p>	<p>Under implementation. Could be consolidated with other relevant decisions.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
provisions of the Agreement on Trade-Related Aspects of Intellectual Property Rights and the Convention on Biological Diversity, in particular on issues relating to technology transfer and conservation and sustainable use of biological diversity and the fair and equitable sharing of benefits arising out of the use of genetic resources, including the protection of knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity.	
11. Requested the Executive Secretary to enhance cooperation with the World Intellectual Property Organization with respect to the Organization's programme of work;	An MOC has been signed with WIPO. The Executive Secretary is an observer at the WIPO Intergovernmental Committee on Intellectual Property, Genetic Resources and Traditional Knowledge & Folklore. Could be retired.
12. Also requested the Executive Secretary to prepare a report on the implementation of the Convention to assist the Conference of the Parties to contribute to the review of the implementation of Agenda 21 in the year 2002;	Implemented. COP6 adopted decision VI/21 which was transmitted to the WSSD. Could be retired.
13. Further requested the Executive Secretary to strengthen relationships with, in particular, the United Nations Framework Convention on Climate Change and its Kyoto Protocol, and the United Nations Convention to Combat Desertification in those Countries Experiencing Serious Drought and/or Desertification, particularly in Africa, with a view to making implementation activities and institutional arrangements mutually supportive;	Cooperation ongoing. Has been superseded by decision VI/20. Could be retired.
14. Took note of the Programme for the further implementation of Agenda 21 and requests Parties to submit information to the Executive Secretary on, inter alia: (a) Current threats to biological diversity from tourism activities; (b) Basic approaches, strategies and instruments that demonstrate where tourism and the conservation and sustainable use of biological diversity are mutually supportive; (c) The involvement of the private sector, local and indigenous communities in establishing sustainable tourism practices; (d) Collaborative efforts at the regional and the subregional levels, including case studies of particular relevance; (e) Infrastructure planning and regional and land-use planning for tourism that have incorporated consideration of the Convention on Biological Diversity; or	Time-bound. Could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
(f) Consideration of policies and activities which are supportive of its aims, in order to initiate a process of exchange of experiences, knowledge and best practices, under the Subsidiary Body on Scientific, Technical and Technological Advice, in particular at the national and regional levels on sustainable tourism and biological diversity within the framework of the Convention on Biological Diversity, including regarding protected areas;	
15. Further invited Parties to provide information to the Executive Secretary on the biodiversity-related activities of the Commission on Sustainable Development, such as: (a) Comprehensive review of the Programme of Action for the Sustainable Development of Small Island Developing States; (b) Oceans and seas, and freshwater resources; (c) Consumption and production patterns;	Time-bound. Could be retired.
16. Requested the Executive Secretary to provide inputs based on the above submissions to the discussion at the Commission on Sustainable Development at its seventh session to ensure that any future work of the Commission on Sustainable Development in these areas fully incorporates biological diversity considerations and makes full use of existing materials and national guidelines;	The Executive Secretary provided regular reports to the CSD and the UNGA. Could be retired.
17. Requested the Executive Secretary to report to the Conference of the Parties at its fifth meeting on the collaborative efforts with the Commission on Sustainable Development and with the Committee on Trade and Environment of the World Trade Organization, including suggestions for improving this relationship.	The Executive Secretary prepared a report to COP5. Could be retired.

DECISION IV/16 – INSTITUTIONAL MATTERS AND THE PROGRAMME OF WORK

Overview of the decision

The decision deals with various institutional issues including preparations for meetings of the Conference of the Parties, the *modus operandi* of SBSTTA and the review of the programme of work of Conference of the Parties.

Overall assessment and possible action by the Conference of the Parties

Most elements of this decision relate to policy guidance regarding the operations of the Convention and the implementation of the COP's programme of work. This guidance remains in effect and such elements could be consolidated with other relevant decisions. A number of elements that requested specific actions have been fully implemented and may be retired. The following paragraphs could be retired: 1-4, 10, 16-17, and 19-21.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Decided that the fifth meeting of the Conference of the Parties will take place in the second quarter of 2000 for a period of two weeks;</p>	<p>COP 5 was held in Nairobi, Kenya, from 15-26 May 2000. Could be retired.</p>
<p>2. Decided also to hold an open-ended meeting to consider possible arrangements to improve preparations for and conduct of the meetings of the Conference of the Parties, taking into account proposals made at the fourth meeting of the Conference of the Parties, including a preparatory discussion of the item on access to genetic resources on the agenda of the fifth meeting of the Conference of the Parties. This meeting will be of three days duration and is to be held in conjunction with one of the meetings planned for 1999;</p>	<p>The Inter-sessional Meeting on the Operations of the Convention (ISOC) was held in Montreal, Canada, from 28-30 June 1999 and submitted its report to COP5: see UNEP/CBD/COP/5/4. Could be retired.</p>
<p>3. Requested the Executive Secretary to analyse for the meeting described in paragraph 2 of the present decision the development and experience of other conventions and agreements and their potential relevance to the work of the Convention;</p>	<p>The Executive Secretary prepared a report to ISOC: see UNEP/CBD/ISOC/1/2. Could be retired.</p>
<p>4. Decided to consider at its fifth meeting the results of the meeting described in paragraph 2 of the present decision and the experience gained from the changes in the functioning of the Convention included in the present decision, with a view to taking a decision on the need for further measures to improve preparations for and conduct of meetings of the Conference of Parties;</p>	<p>The report of ISOC was considered at COP5 and decision V/20 on the Operations of the Convention adopted. The decision establishes a number of measures to improve the operations of the Convention. The paragraph could be retired.</p>
<p>5. Requested the Executive Secretary, subject to necessary voluntary contributions, to organize regional/subregional meetings to consider ways and means of implementing the Convention and the decisions of the Conference of the Parties;</p>	<p>The Secretariat contributed to events in the regions and sub-regions that served as inputs to the preparatory process for the fifth meeting of the Conference of the Parties but budgetary constraints had made it impossible to organize stand-alone meetings in each of the regions to prepare for the fifth meeting of the Conference of the Parties. The Secretariat, however, facilitated the holding of regional preparatory meetings in Nairobi immediately prior to the fifth meeting of the Conference of the Parties.</p>
<p>6. Requested the Executive Secretary when preparing the provisional annotated agenda to clearly indicate whether matters are for information or for consideration and when preparing the supporting documentation to include suggestion of elements for draft decisions as appropriate;</p>	<p>On the advice of the COP Bureau the Executive Secretary prepared a compilation of draft decisions for the fifth meeting of the Conference of the Parties. Policy guidance remains in effect.</p>

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
7. Invited Parties to forward any proposed decisions to the Executive Secretary in sufficient time to enable him/her to circulate those draft decisions to all Parties at least three weeks before the commencement of meetings of the Conference of the Parties;	Policy guidance remains in effect.
8. Requested the Executive Secretary to distribute the provisional annotated agenda for ordinary meetings of the Conference of the Parties as well as the principal documents for the meeting, in the official languages of the United Nations, as early as possible and in reasonable time for any regional preparatory meetings organized by the Executive Secretary and, in any event, preferably six months before the opening of its ordinary meetings;	Documents for meetings of the Conference of the Parties have been distributed in all official languages within the time-frame stipulated since COP 4. Policy guidance remains in effect.
9. Invited Parties to notify the Executive Secretary of any additional items they wish to add to the provisional agenda at least six weeks before the opening of the meeting;	Policy guidance remains in effect.
10. Requested the Executive Secretary to prepare, in time for the next meeting of the Conference of the Parties, a handbook that relates the decisions of the Conference of the Parties, and other material relevant to the operation of the Convention, as well as to the text of the Convention;	A draft Handbook of the Convention on Biological Diversity was finalized and distributed to delegates at the fifth meeting of the Conference of the Parties. Could be retired.
11. Adopted the <i>modus operandi</i> of the Subsidiary Body on Scientific, Technical and Technological Advice as set out in annex I to the present decision;	Part of the legislative authority for SBSTTA's <i>modus operandi</i> . Could be consolidated with other decisions on SBSTTA's operations.
12. Decided that the Subsidiary Body on Scientific, Technical and Technological Advice shall hold two meetings, each of five days duration, before next ordinary meeting of the Conference of the Parties;	Policy guidance on SBSTTA meetings remains in effect.
13. Decided that, while the Subsidiary Body on Scientific, Technical and Technological Advice should consider the financial implications of its proposals, its recommendations will only include advice to the Conference of the Parties regarding financial matters, including guidance to the financial mechanism, when the Conference of the Parties has so requested;	Policy guidance to SBSTTA remains in effect.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
14. Decided also that in future requests to the Subsidiary Body on Scientific, Technical and Technological Advice, the Conference of the Parties will make clear whether it expects to receive information for noting, recommendations for approval, or advice for decisions by the Conference of Parties, and that, likewise, the Subsidiary Body on Scientific, Technical and Technological Advice, when submitting recommendations to the Conference of the Parties, should indicate clearly whether it expects the Conference of the Parties to note, approve or decide on the matter in question;	Policy guidance remains in effect.
15. Requested its Bureau to liaise on a regular basis with the bureaux of its subsidiary bodies, in particular the Bureau of the Subsidiary Body on Scientific, Technical and Technological Advice, and, to this end, requested the Executive Secretary to organize wherever possible back-to-back meetings of the bureaux of the Conference of the Parties and the Subsidiary Body on Scientific, Technical and Technological Advice;	Policy guidance remains in effect.
16. Adopted the programme of work for the period from the fourth meeting of the Conference of the Parties until the seventh meeting of the Conference as contained in annex II to the present decision;	Could be retired at COP 7. Of only historical significance.
17. Recognized that it may be necessary for the Executive Secretary, with the guidance of the Bureau of the Conference of the Parties, to adjust further the servicing of the programme of work in light of the resources available to the Secretariat;	Could be retired at COP 7.
18. Decided to review the programme of work at each ordinary meeting of the Conference, in the light of developments in the implementation of the Convention;	Policy guidance remains in effect.
19. Requested the Executive Secretary to prepare the provisional agenda of the fifth meeting of the Conference of the Parties in agreement with the President within the framework of the programme of work contained in annex II to the present decision;	A provisional agenda was prepared by the Executive Secretary as requested. Could be retired.
20. Requested the Subsidiary Body on Scientific, Technical and Technological Advice and other subsidiary bodies to prepare proposals for their programmes of work based on the priorities set out in annex II to the present decision, with a view to streamlining and focusing the agendas of their meetings;	SBSTTA 4 prepared a draft programme of work based on priorities set out in annex II to decision IV/16: see SBSTTA recommendation IV/1. Could be retired.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>21. Requested the Subsidiary Body on Scientific, Technical and Technological Advice, taking into account its proposals for the programme of work developed under paragraph 16 of the present decision, to advise the fifth meeting of the Conference of the Parties of the terms of reference for the ad hoc technical expert groups on thematic areas. The terms of reference should take into account the need, <i>inter alia</i>, to provide a peer-reviewed scientific and technical assessment of the status and trends of, and impacts on, biological diversity, including the effectiveness of the types of measures for conservation and sustainable use of biological diversity.</p>	<p>At its fifth meeting SBSTTA adopted recommendation V/14 on the Ad Hoc Technical Experts Groups. This formed the basis of the relevant sections of COP decision V/20 regarding the operations of the Convention. Could be retired.</p>

DECISION IV/17 – PROGRAMME BUDGET FOR THE BIENNIUM 1999-2000

Overview of the decision

The decision addresses budgetary matters for the biennium and endorses the Administrative Arrangements between UNEP and the Secretariat of the Convention contained in the annex to the decision.

Overall assessment and possible action by the Conference of the Parties

The requests to the Executive Secretary have been fully complied with. The following paragraphs could be retired: 2-7 and 10-15.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
<p>The COP,</p> <p>1. Endorsed the Administrative Arrangements between the United Nations Environment Programme and the Secretariat of the Convention on Biological Diversity, contained in annex III of document UNEP/CBD/COP/4/24, which entered into force on 30 June 1997, and requested the Executive Secretary to report regularly to the Conference of the Parties, through its Bureau, on the implementation of its provisions;</p>	<p>Validates the Administrative Arrangements and therefore remains in effect. The Administrative Arrangements contained in the annex to the decision, however, require urgent adjustment, especially as they relate to the appointment of the Executive Secretary and the appointment and promotion of other staff of the Secretariat. In accordance with the policies, procedures and practices for senior-level appointments within the UN, appointments to ASG and USG fall within the discretionary power of the Secretary-General, and, accordingly, are made outside the established procedures for recruitment and promotion. This is the Secretary-General's personal responsibility and has been exercised as such. In this regard, the procedure for the appointment of the Executive</p>

	<p>Secretary provided for in the Administrative Arrangements is no longer in conformity with UN policies, procedures and practices given the current level of the post of the Executive Secretary (ASG level). In addition, the Administrative Arrangements provide for the establishment of an Appointments and Promotions Board (APB) at the Secretariat to advise the Executive Director, UNEP, on all matters related to appointments, promotions and review of staff up to the D1 level. The Board has never been established. Moreover, a new system of appointments, the Galaxy System, has been adopted by the United Nations regarding appointments up to the D1 level. With this new system some of the requirements of the Administrative Arrangements relating to appointments and promotions are no longer valid.</p> <p>Reports have been provided by the Executive Secretary on a regular basis.</p>
<p>2. Approved the programme budget for the biennium 1999-2000, amounting to US\$ 17,301,600 for the purposes specified in table 1 (below);</p>	
<p>3. Noted the statement of the Trustee that there is an accumulated surplus in the amount of US\$ 3,616,566 and decided to use this amount on an exceptional basis to offset the contributions from Parties during the biennium 1999-2000;</p>	
<p>4. Welcomed the annual contribution of US\$ 1,000,000 by the host Government to offset the contributions from Parties for the biennium 1999-2000;</p>	
<p>5. Approved the staffing table for the programme budget as contained in table 2 below and requested that all staff positions be filled expeditiously;</p>	Superseded by subsequent decisions.
<p>6. Decided that the three Trust Funds (BY, BE, BZ) for the Convention shall be extended for the period of two years, beginning 1 January 2000 and ending 31 December 2001;</p>	Superseded by subsequent decisions.
<p>7. Authorized the Executive Secretary to make transfers, between each of the main appropriation lines set out in table 1 below, up to an aggregate limit of 15 per cent of the total estimated for those appropriation lines, provided that a further limitation of up to a maximum 25 per cent of each such appropriation line shall apply;</p>	Superseded by subsequent decisions.

<p>8. Invited all Parties to the Convention to note that contributions to the core budget are due on 1 January of each year in accordance with paragraph 4 of the financial rules and to pay promptly and in full, for each of the years 1999 and 2000, the contributions required to finance expenditures approved under paragraph 2 above, as offset by surpluses noted in paragraph 3 and contributions noted under paragraph 4 of the present decision and, in this regard, <i>requests</i> the Executive Secretary to notify all Parties of the amount of their contributions by 1 October of the year preceding the year in which their contributions are due;</p>	<p>Such notification has been effected on an on-going basis. Policy guidance remains in effect.</p>
<p>9. Urged all Parties and States not Parties to the Convention, as well as governmental, intergovernmental and non-governmental organizations and other sources, to contribute to the special trust funds;</p>	<p>Remains relevant.</p>
<p>10. Approved the supplementary amount of US\$ 542,400 to the 1998 budget for the additional activity related to the Biosafety Protocol, to be drawn from the surpluses in addition to those referred to in paragraph 3 above;</p>	<p>Superseded.</p>
<p>11. Approved the supplementary amount of US\$542,400 to the 1998 budget for the additional activity related to the Biosafety Protocol, to be drawn from the surpluses.</p>	<p>Superseded.</p>
<p>12. Took note of the funding estimates for the Special Voluntary Trust Fund (BE) for Additional Voluntary Contributions in Support of Approved Activities for the Biennium 1999-2000 specified by the Executive Secretary and included in Table 3 below, and invited Parties to make contributions to this fund;</p>	
<p>13. Took note of the funding estimates for the Special Voluntary Trust Fund (BZ) for Facilitating Participation of Parties in the Convention Process for the Biennium 1999-2000 as specified in Table 4, which includes budget for the sixth meeting of the BSWG and for the Conference of the Parties.</p>	
<p>14. Authorized the Trustee to transfer the unspent balance of additional special voluntary contributions received prior to 1997 from the Trust Fund for the Convention (BY) to the Special Trust Fund (BE) for additional activities approved by the Conference of the Parties and <i>requests</i> the Executive Secretary to consult with the original donor country/countries on the use of</p>	<p>Consultations were held with the respective donor countries on the use of the funds.</p>

these funds for additional activities approved by the Conference of the Parties;	
15. Requested the Executive Secretary to report to the Conference of the Parties at its fifth session on income and budget performance, and to propose any adjustments that might be needed in the Convention budget for the biennium 1999-2000.	A report was submitted to the Conference of the Parties at its fifth meeting (UNEP/CBD/COP/5/9). Could be retired.

DECISION IV/18 – DATE AND VENUE OF THE FIFTH MEETING OF THE CONFERENCE OF THE PARTIES

Overview of the decision

The decision provides for a venue and date for the fifth meeting of the Conference of the Parties

Overall assessment and possible action by the Conference of the Parties

The decision has only of historical value. The Conference of the Parties may wish to retire it.

CORE ELEMENTS OF THE DECISION	STATUS OF IMPLEMENTATION
The COP, 1. Welcomed the kind offer of the Government of the Republic of Kenya to host the fifth meeting of the Conference of the Parties;	
2. Decided that the fifth meeting of the Conference of the Parties will take place in Nairobi, Kenya, at a date to be specified by the Bureau, in accordance with paragraph 1 of decision IV/16, and communicated to all Parties.	COP 5 took place in Nairobi, Kenya, from 15-26 May 2000.

DECISION IV/19 – TRIBUTE TO THE GOVERNMENT AND PEOPLE OF THE SLOVAK REPUBLIC

Overview of the decision

The decision expresses the gratitude of the Conference of the Parties to the Slovak Republic for hosting the fourth meeting of the Conference of the Parties.

Overall assessment and possible action by the Conference of the Parties

The decision has only historical value and can be archived.

Annex II

DECISIONS AND ELEMENTS OF DECISIONS FOR CONSOLIDATION

A. Thematic programmes

AGRICULTURAL BIODIVERSITY

I. Principal Decisions:

Decision II/15 (FAO Global System for the Conservation and Utilization of Plant Genetic Resources for Food and Agriculture)

Decision II/16 (Statement to the International Technical Conference on the Conservation and Utilization of Plant Genetic Resources for Food and Agriculture)

Decision III/11 (Conservation and sustainable use of agricultural biological diversity)

Decision IV/6 (Agricultural biological diversity)

Decision V/5 (Agricultural biological diversity: review of phase I of the programme of work and adoption of a multi-year work programme)

Decision VI/5 (Agricultural biological diversity)

II. Other decisions that make direct reference to agricultural biodiversity:

Decision I/8 (Preparation to the third session of the CSD), annex, paragraphs 9 and 11

Decision II/13 (Cooperation with other biodiversity-related conventions), paragraph 7

Decision III/5 (Additional guidance to the financial mechanism), paragraph 1

Decision III/15 (Access to genetic resources), paragraph 13

Decision III/19 (Implementation of Agenda 21), Annex, paragraph 4, 12, 17, 19 (c), 20 (g)

Decision III/22 (Medium-term programme of work for 1996-1997), Appendix, 6.3

Decision IV/1 (Recommendations of the third meeting of the SBSTTA), paragraph 1; Part C, 3rd "Recalling"

Decision IV/15 (The relationship of the CBD with the CSD and biodiversity-related conventions), para 3

Decision V/6 (Ecosystem approach), paragraph 12

Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (b) (i) and 2 (c).

Decision V/18 (Impact assessment, liability and redress), paragraph 1 (a)

Decision VI/6 (The International Treaty on Plant Genetic Resources for Food and Agriculture), paragraph 2

Decision VI/9 (Global Strategy for Plant Conservation)

Decision VI/20 (Cooperation with other organizations, initiatives and conventions), paragraph 7 and 9

Decision VI/21 (The Hague Ministerial Declaration), Annex, paragraph 11

DRY AND SUB-HUMID LANDS BIODIVERSITY

I. Principal decisions:

Decision VI/4 (Biological diversity of dry and sub-humid lands)

Decision V/23 (Consideration of options for conservation and sustainable use of biological diversity in dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems)

II. Other decisions that make direct reference to dry and sub-humid lands:

Decision V/5 (PoW on Agricultural biological diversity), annex, paragraph 3 (c)

Decision V/8 (Alien species), paragraph 10

Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (b) (ii)

Decision V/18 (Impact assessment, liability and redress), paragraph 1 (a)

Decision V/21 (Cooperation with other bodies), paragraph 3

Decision VI/5 (Agriculture), paragraph 7

Decision VI/8 (Global Taxonomy Initiative), paragraph 5 and planned activity 10

Decision VI/9 (Global Strategy for Plant Conservation), Element D (c)

Decision VI/20 (Cooperation with other organizations, initiatives and conventions), paragraph 9

FOREST BIODIVERSITY

I. Principal decisions

Decision II/9 (Forests and biological diversity)

Decision III/12 (Forest biological diversity)

Decision IV/7 (Forest biological diversity)

Decision V/4 (Forest biological diversity)

Decision VI/22 (Forests biological diversity)

II. Other decisions that make direct reference to the forest biodiversity:

Decision I/7 (SBSTTA), paragraphs 1(a), (b), (c), 3

Decision I/8 (Statement to CSD), annex, paragraph 15

Decision III/11 (Agricultural biodiversity), paragraph 1, 2(a), 15 (e) and (h)

Decision III/12 (Sustainable use), paragraph 7

Decision III/19 (Statement to UNGA special session), annex, paragraph 12

Decision IV/13 (Guidance to financial mechanism), paragraph 4

Decision IV/16 (Programme of Work), annex II

Decision V/5 (Agricultural biodiversity), annex, section A, paragraph 3(c)

Decision V/8 (Alien species), paragraph 10

Decision V/13 (Further guidance to the financial mechanism), paragraph 2(b)(iii)

Decision V/15 (Incentive measures), paragraph 4

Decision V/16 (Article 8(j)), paragraph 8

Decision V/17 (Education and public awareness), paragraph 5

Decision V/18 (Impact assessment), section I, paragraph 1(a)

Decision V/19 (National reports), paragraph 8

Decision V/21 (Cooperation with other bodies), paragraph 2

Decision V/25 (Biological diversity and tourism), annex A, paragraph 16 Environmental impacts (deforestation), paragraph 17, (forest fires)

Decision VI/5 (Agricultural biological diversity), paragraph 25 b, annex II and Element 4, Mainstreaming, Plan of action for the International Initiative for the Conservation and Sustainable use of Pollinators

Decision VI/7 (Identification, monitoring, indicators and assessments), appendix 2, The screening criteria, Appendix 4, B. Designing national-level monitoring programmes and indicators, paragraph 4 d

Decision VI/8 (Global Taxonomy Initiative), annex (PoW GTI), paragraph 5, planned activity 8

Decision VI/9 (Global Strategy for Plant Conservation), annex, Global Strategy for Plant Conservation, D The Strategy as a framework, paragraph 14 c; appendix, B Conserving plant diversity target 4, 6, 12 and 16

Decision VI/10 (Article 8(j) and related provisions), section A. Report on progress in the integration of relevant tasks of the programme of work on Article 8(j) and related provisions into the thematic programmes of the Convention on Biological Diversity, paragraph 2a; annex I phase I, 1.4.1

Decision VI/12 (Ecosystem approach), paragraph 2(b)

Decision VI/15 (Incentive Measures), annex I, Proposals for the design and implementation of incentive measures Incentive measures, B. Design, paragraph 2, forestry, paragraph 3 deforestation; Capacity-building, paragraph 5c forestry Annex II, Interlinkages between multilateral environmental agreements (MEAs), paragraph 14, Linking biodiversity to macro-economic policies, paragraph 16

Decision VI/17 (Financial mechanism under the Convention), paragraph 10(c)

Decision VI/20 (Cooperation with other organizations, initiatives and conventions), paragraph 2, 5 and 9

Decision VI/23 (Alien species), Other options, (b) international cooperation, paragraph 15 c (forestry); annex, C-Introduction of species, guiding principle 11, Unintentional introductions, paragraph 2

INLAND WATER ECOSYSTEMS

I. Principal decisions:

Decision III/13 (Future programme of work for terrestrial biological diversity: dryland, mountain and inlandwater ecosystems)

Decision IV/4 (Status and trends of the biological diversity of inlandwater ecosystems and options for conservation and sustainable use)

Decision V/2 (Progress report on the implementation of the programme of work on the biological diversity of inlandwater ecosystems (implementation of decision IV/4))

Decision VI/2 (Biological diversity of inland waters)

II. Other decisions that make direct reference to inland water biodiversity:

Decision III/13 (Future programme of work for terrestrial biological diversity), paragraph 2

Decision III/19 (Implementation of Agenda 21), annex, paragraph 20 (a).

Decision III/21 (Relationship with the CSD and biodiversity-related conventions), paragraph 7 (a) (ii)

Decision III/22 (Medium-term programme of work for 1996-1997), appendix 1, paragraph 7.6.1

Decision IV/1 (Recommendations of the third meeting of the SBSTTA), paragraph 1; part C

Decision IV/5 (Marine and coastal biological diversity), paragraph 4

Decision IV/13(Additional guidance to the financial mechanism), paragraph 3

Decision V/5 (Agricultural biological diversity), annex, paragraph 3 (c)

Decision V/8 (Alien species), paragraph 10

Decision V/13 (Further guidance to the financial mechanism), paragraph 2(n)

Decision V/18 (Impact assessment, liability and redress), paragraph 1 (a).

- Decision VI/8 (Global taxonomy initiative), annex, paragraph 5 and Part B, paragraph 4.4
Decision VI/9 (Global strategy for Plant Conservation), annex, paragraph 14 (c)
Decision VI/10 (Article 8(j) and related provisions), paragraph 2 (c); annex I, paragraph 1.4.6
Decision VI/17(Financial mechanism under the Convention), paragraph 10 (i)
Decision VI/21(The Hague Ministerial Declaration), annex, paragraph 11

MARINE AND COASTAL BIODIVERSITY

I. Principal decisions:

- Decision II/10 (Conservation and sustainable use of marine and coastal biological diversity)
Decision IV/5 (Conservation and sustainable use of marine and coastal biological diversity, including Programme of Work)
Decision V/3 (Progress report on the implementation of the programme of work on marine and coastal biological diversity)
Decision VI/3 (Marine and coastal biological diversity)

II. Other decisions that make direct reference to the issue marine and coastal biodiversity:

- Decision I/2(Financial mechanism and resources), annex I, paragraph 4(k)
Decision I/7 (SBSTTA), annex, paragraph 5.5.3
Decision I/9(Medium-term programme of work), annex, paragraph 5.3.1
Decision III/10 (Identification, monitoring and assessment), paragraph 2
Decision III/11 (Agriculture), paragraph 15(h)
Decision III/18 (Incentive measures), paragraph 1
Decision III/19 (statement to UNGA Special Session), annex, paragraphs 10 and 11
Decision IV/4 (Inland water ecosystems), annex I, paragraph 14
- Decision V/5 (Agricultural biological diversity), annex, paragraph 3 (c)
Decision V/8 (Alien species), paragraph 10
Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (d)
Decision V/18 (Impact assessment, liability and redress), paragraph 1 (a)
Decision V/25 (Biological diversity and tourism), paragraph 2; annex, paragraph 11, 21
- Decision VI/8 (Global taxonomy initiative), annex, paragraph 5; part C, targeted actions 4.2
Decision VI/9 (Global Strategy for Plant Conservation), paragraph 14 (c)
Decision VI/10 (Article 8(j) and related provisions), paragraph 2 (b); annex I, paragraph 1.4.3
Decision VI/14 (Biological diversity and tourism), paragraph 2
Decision VI/20 (Cooperation with other organizations, initiatives and conventions), paragraph 9
Decision VI/21 (The Hague Ministerial Declaration), annex, table in paragraph 9, paragraph 11
Decision VI/23 (Alien species), paragraph 20

B. Cross-cutting issues

ACCESS TO GENETIC RESOURCES AND BENEFIT-SHARING

I. Principal decisions:

- Decision II/11 (Access to genetic resources)
Decision III/15 (Access to genetic resources)
Decision IV/8 (Access and benefit-sharing)
Decision V/26 (Access to genetic resources)

Decision VI/24 (Access and benefit-sharing as related to genetic resources)

II. Other decisions that make direct reference to access and benefit-sharing:

Decision II/9 (Forest - Statement to IPF), annex, paragraph 9

Decision II/10 (Marine and coastal), paragraph 12

Decision III/5 (Additional guidance to the financial mechanism), paragraphs 4; 7

Decision III/9 (Implementation of Articles 6 and 8), paragraph 2 c

Decision III/11 (Agriculture), paragraphs 1, 18, 19

Decision III/14, (Implementation of Article 8(j)), paragraph 10 (a)

Decision III/17 (Intellectual property rights), paragraph 8

Decision III/19 (Statement to UNGA Special Session), annex, paragraph 24 (c)

Decision IV/4 (Inland water), annex I, paragraph 8 (c) (v)

Decision IV/5 (Marine and coastal), annex, C, programme element 2, operational objective 2.2

Decision IV/6 (Agriculture), paragraph 6

Decision IV/9 (Implementation of Article 8 (j)), paragraphs 1 (d), 10 (e); annex

Decision IV/13 (Additional guidance to the financial mechanism), paragraph 8

Decision IV/16 (Institutional matters and the programme of work), paragraph 2

Decision V/3(Marine and coastal), paragraph 12

Decision V/5 (Agriculture), paragraph 29; annex, A, paragraphs 2 (c), 3 (b), 4; annex, B, programme element 2, Activity 2.2 (c) (iv); programme element 3, Activity 3.4

Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (g)

Decision V/16 (Article 8 (j) and related provisions), paragraph 5; annex, II, element 1, task 2; element 4, task 7, paragraph 14;

Decision V/19 (National reports), paragraph 8

Decision V/23 (Dry and sub-humid lands), annex I, II, part B, Activity 9 (e)

Decision VI/5 (Agricultural biological diversity), paragraph 22, Annex II, 3.2., Element 3

Decision VI/6 (The International Treaty on Plant Genetic resources for Food and Agriculture), paragraph 2

Decision VI/7 (Identification, monitoring, indicators and assessments), Annex 16c; Appendix II a

Decision VI/8 (Global Taxonomy Initiative), Introd. Paragraphs 3; 5; 6b; 5.1

Decision VI/9 (Global Strategy for Plant Conservation), paragraph 6, Annex 5c, Annex B 11a,d; Annex D, paragraph 14c

Decision VI/10 (Article 8(j) and related provisions) , paragraph 34 d, f, g; Annex I,3

Decision VI/17 (Financial mechanism under the Convention), paragraph 10 (m)

Decision VI/22 (Forest biological diversity), paragraphs 9, 13; 19a, f, 31, 39a, Annex (a), Programme Element I – Goal 4 – Objective 4, Goal 5 (Access and benefit-sharing of forest genetic resources);

Programme element II – Objective 3e)

ALIEN SPECIES

I. Principal decisions:

Decision V/8 (Alien species that threaten ecosystems, habitats or species)

Decision VI/23 (Alien species that threaten ecosystems, habitats or species)

II. Other decisions that make direct reference to alien species:

Decision II/10 (Marine and Coastal Biological Diversity), annex I, paragraph (xi)

Decision III/9 (Implementation of Articles 6 and 8 of the Convention), paragraph 1 (c) and 2; Annex, paragraph 10 (ii)

Decision IV/1 (Report and recommendations of the third meeting of the SBSTTA), part C.

Decision IV/4 (Inland water ecosystems), paragraph 7 c; annex I, part A, 2, Work plan of the SBSTTA, Conservation and sustainable use, c vi; part 3 Recommendations to Parties, e iv, h

Decision IV/5 (Marine and coastal biological diversity), annex, PoW Marine and C. Biodiv. A 1; C. Prog. Elements, prog. El. 5

Decision IV/7 (Forest biological diversity), annex, PoW, Part II Work Programme, paragraph 32, 35, 49

Decision IV/13 (Financial mechanism), paragraph 1

Decision IV/16 (Institutional matters and the programme of work), Annex I, Modus Operandi of the SBSTTA, PoW, table

Decision V/2 (Inland water ecosystems), paragraph 5

Decision V/3 (Marine and coastal biological diversity), Part IV, Alien Species and Genotypes

Decision V/5 (Agricultural biological diversity), Annex, PoW on Agr. Biodiv. A3 (c)

Decision V/19 (National reporting), paragraph 8

Decision V/23 (Dryland, arid, semi-arid, grassland and savannah ecosystems), annex, II PoW, Part B, Activity 7 (b)

Decision V/25 (Biological diversity and tourism), annex Part II, paragraph 18, 19, 21.

Decision VI/5 (Agricultural biological diversity), annex II, Part I, paragraph 2; part II, paragraph 7, Part III, element 2 part 2.1 (c) ii

Decision VI/7 (Identification, monitoring, indicators and assessments), annex, Appendix 2, Category A, Indicative list (b)

Decision VI/8 (Global Taxonomy Initiative), annex, PoW, Intro, paragraph 5; Part C, Operational objective 4.2, (iv), Actors, (vii) Pilot Projects, Operational objective 5.2, planned activity 15, IAS

Decision VI/9 (Global Strategy for Plant Conservation), annex part B, paragraph 6; part C, paragraph 12 (b) (x) part D, paragraph 14. (b), (c), paragraph 15; appendix, Target 10

Decision VI/10 (Article 8(j) and related provisions), annex II Part I B, paragraph 9

Decision VI/17 (Financial mechanism under the Convention), paragraph 10 (k)

Decision VI/22 (Forest biological diversity), annex, Pr. El. 1, Goal 2, objective 1 and activities (a) and (b)

ARTICLE 8(J) AND RELATED PROVISIONS OF THE CONVENTION: TRADITIONAL KNOWLEDGE, INNOVATIONS AND PRACTICES

I. Principal decisions:

Decision III/14 (Implementation of Article 8(j))

Decision IV/9 (Implementation of Article 8(j) and related provisions)

Decision V/16 (Ecosystem approach)

Decision VI/10 (Implementation of Article 8(j) and related provisions)

II. Other decisions that make direct reference to the Article 8(j) issues:

Decision I/2 (Financial resources and mechanism) annex I, section III, para 4(i) and (j)

Decision I/8 (Preparation of the participation of the Convention on Biological Diversity in the third session of the Commission on Sustainable Development), annex, paras. 7, 12, 13, and 14

Decision II/9 (Forest and biological diversity) paragraphs 2 (a) and (c); Annex, paras. 8, 12, 13, 14, 16, 17

Decision II/10 (Marine and coastal biological diversity) para. 8(c); Annex II, para. 3(d)

- Decision II/12 (Intellectual property rights) para. (b)
Decision II/17 (Form and intervals of national reports by Parties) Annex, paras. (b) and (g).
Decision II/18 (Programme of work of the COP for 1996- 1997) Annex, paras. 6; 6.1, 6.1.1; 6.5, 6.5.1
- Decision III/5 (Financial mechanism) paras. 4 and 5.
Decision III/11 (Agricultural biological diversity) paras. 15(f); 17 (c); Annex 1, Section 'B', paragraph 7 (a); Annex 2, para. 8
Decision III/12 (Forest biological diversity) para. 6 (e).
Decision III/15 (Access to Genetic Resources), preamble and para. 3
Decision III/17 (Intellectual property rights), paras. 1, 1 (b) and (d), and para. 8.
Decision III/18 (Incentive measures), preamble.
Decision III/19 (Implementation of Agenda 21), preamble; annex, section A, section C, paras. b(iii), g(17); section D, para. 19 (e); section E, para. 20 (i); and section G, para. 24 (d).
- Decision IV/1 (Third Meeting of the SBSTTA), section D, para 8.
Decision IV/2 (Clearing-House Mechanism), para. 10 (b)
Decision IV/4 (Inland Waters ecosystems), annex 1, preamble; section A, part 3, para. 1 (i -iii); section C, para. 14.
Decision IV/5 (Marine and coastal biological diversity), annex, section B, part 5, para. 9; section C, programme element 1 and 2, operational objective 1.2 and 2.1, activities 1.2 (h) and 2.1(e).
Decision IV/7 (Forest biological diversity), annex, part I, section A, paras. 3 (d) (e); part II, para. 10, section 1, paras. 14 and 21; section 2, paras 30, 34, 38 and 39.
Decision IV/8 (Access and Benefit-sharing), paras. 3 and 4(d).
Decision IV/10 (Measures for implementing the Convention on Biological Diversity), section B, preamble; paras. 1(d) and 4; section C, paras 1 (a) to (f).
Decision IV/13 (Guidance to the financial mechanism), para. 8(d)
Decision IV/15 (The relationship of the Convention on Biological Diversity with the Commission on sustainable development and biodiversity), paras. 10 and 14 (c).
Decision IV/17 (Budget for the biennium 1999- 2000), para. 11
- Decision V/3 (Marine and coastal biological diversity), section III, para. 11.
Decision V/4 (Forest biological diversity), paras. 5, 7, 9, and 15; annex, para. 2 (b) and (v).
Decision V/5 (Agricultural biological diversity), section I, para. 5; section III, para. 29; annex, section A, para. 3(c) and para. 4; section B, para. 6, programme element 1, activity 1.3, programme element 2, activities 2.2 (c)-ii, 2.3, programme element 3, activities 3.1, 3.2, 3.3, 3.4, 3.5, 3.6; appendix, paras 2(b) and 3(d) (i)
Decision V/6 (Ecosystem approach), section B, para.6, principle 1, 2, 7 and 11; section C, paras. 8, 9 and 11.
Decision V/8 (Alien species), para. 14 (c)
Decision V/13 (Financial mechanism), para. 2(i).
Decision V/15 (Incentive measures), para. 4.
Decision V/17 (Education and public awareness), para. 7 (a).
Decision V/18 (Impact assessment, liability and redress), para. 1 (d) and 4
Decision V/20 (Operations of the Convention), section III, para. 31(b)
Decision V/22 (Budget for the programme of work for the biennium 2001-2002), Table 4.
Decision V/23 (Consideration of options for conservation and sustainable use of biological diversity in dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems), annex I, section I, para. 3, part A, para. 6, activities 6, part B, activity 8 (a) and (b)
Decision V/24 (Sustainable use), paras 1 and 6.
Decision V/25 (Biological diversity and tourism), paras. 4 (a), (b), (d), (f), (g), (h) and (i); paras. 11, 12(a), 13 and 14; section II, paras. 15, 19, 27, 28 and 29.
Decision V/26 (Access to genetic resources), section A, paras. 4(c), 11, 11(b), 14, 14 (d) and 15(e) and section B, para. 1.1.

Decision VI/5 (Agricultural Biological Diversity), paras. 1(b), 21, 22, 24, 25 (a) to (c), table 1 - 'steps for the further implementation of the programme of work by the E.S. and partner organizations', 1.3, 2.2, 3.3; Annex II, section III, element 1, activities 1.3(b), element 3.

Decision VI/7 (Indicators), annex, para. 36, and 40; appendix 2, category B, para. (a).

Decision VI/8 (Global Taxonomy Initiative), Annex, section I, para 5; section II, para. 14(d); part 3, para.15, operational objective 5, planned activity 4; Part B 1(v), operational objective 4, 4.5, planned activity 12 (i); operational objective 5, 5.1, planned activity 14, (i) rationale, planned activity 16; Support in implementation of Article 8(j),(i) rationale; (ii) Outputs, (iii) timing, (iv) Actors, (v) Mechanisms, 5.3 (i) Rationale, paragraphs 17.

Decision VI/9 (Global Strategy for Plant Conservation), annex, paras. 11(b), 12(b) (ix), 12 (c) (xiii), 14(c), 19(c); appendix, targets 9, 12, 13, and 15.

Decision VI/13 (Sustainable Use), preamble

Decision VI/14 (Biological Diversity and Tourism), preamble

Decision VI/15 (Incentives Measures), preamble; annex, paras. 1 (a), 7, 8, 21, 25, 28; annex II, paras. 6 to 8, 10 (c) and 11

Decision VI/17 (Financial Mechanism), para.10 (n)

Decision VI/18 (Clearing-House Mechanism), para.5.

Decision VI/19 (Communication, Education and Public Awareness), paras. 10, 12(c); annex, para.(e), programme element 1, para. 2

Decision VI/20 (Cooperation), paras. 6, 36, 38 and 39.

Decision VI/21 (Hague Ministerial Declaration), paras. 9 and 23 (c).

Decision VI/22 (Forest Biological Diversity), paras. 13, 19 (a),(d), (e-(i-b)); (f); paragraphs 26(c), 31, 32, 39 and 44; Annex paragraph 1 (b); Programme element 1, goal 2, objective 6, activities (a); goal 3, objective 3, activities (b); goal 4, objective 1, activities (a) and (f); objective 2 (a- i-iv) and (b); objective 3, activities (a) to (f) and objective 4, activities (e); goal 5, objective 1, activities (a) to (c); Programme element 2, goal 1, objective 2, activities (f), objective 3, activities (b) and (d); goal 2, objective 1, activities (f); Programme element 3, goal 2, objective 1, activities (b).

Decision VI/23 (Alien Species), section IV part (a) para. 10 (f); Section IV part (b) paragraph 15 (a); Section IV, part (c), para. 24, (d), (i) and (j)

Decision VI/24 (Access and Benefit-Sharing), Section A, paras. 7 and 10; Annex, section I, paras. 1, 9,11(g and j); section II, paras. 13 and 14 (g and h), 16(a) iii,vi,vii; (b) ii, iii, ix, (c) I; (d) ii; section III, para 19; section IV, paras. 26 (d, 27 (e), 30, 31, 37, 42(b) I, 43 (a) and (b), 44 (g), 48; section V, paras. 56; appendix II, 2(g), section I, paras. 1, 2, 3 and 9; annex, paras. 3 (b, f, j, and k), 4 (e -vi), 5(i); section C, paras. 2, 3 (b), 4 (c) and (d), 10,11, 12, 13

Decision VI/25 (National Reports), annex 1, para 16; annex II, paras. 6 and 10; annex III, para. 1

Decision VI/26 (Strategic Plan for the Convention on Biological Diversity), annex, para. 2, goal 4.3; appendix sections 2 (d), 3(b) and 7 (d).

Decision VI/27 (Operations of the Convention), section A, para. 2(e).

BIOLOGICAL DIVERSITY AND TOURISM

I. Principal decisions:

Decision V/25 (Biological diversity and tourism)

Decision VI/14 (Biological diversity and tourism)

II. Other decisions that make direct reference to biological diversity and tourism:

Decision II/10 (Marine and coastal biological diversity), Annex I (ii)

Decision IV/15 (Relationship with the CSD and biodiversity-related conventions), paragraph 14 (a, b, c, e and f)

Decision V/3 (Marine and coastal biological diversity), preamble

Decision V/5 (Agricultural biological diversity), appendix, paragraph 3(d) (ii)

Decision V/8 (Alien species), Interim guiding principles, part C(introduction of species), guiding principle 11
Decision V/18 (Impact assessment, liability and redress), Part I, paragraph 1 (a)
Decision V/21 (Cooperation with other bodies), paragraph 7
Decision V/23 (Dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems), Annex 1, section II, part A, paragraph 6, activity 4
Decision VI/15 (Incentive measures), Annex I, paragraph 11; Annex II, paragraph 16
Decision VI/ 19 (Communication, education and public awareness), Annex, Program element 1, Proposed actions, paragraph 2.
Decision VI/22 (Forest biological diversity), Annex, program element 2, objective 2
Decision VI/23 (Alien species), Section II, preamble; Part C (Introd. of species), guiding principle 11

CLIMATE CHANGE AND BIOLOGICAL DIVERSITY

Decisions that make direct reference to climate change and biological diversity:

Decision III/21(Relationship with the CSD and biodiversity-related conventions), paragraph 4
Decision III/23 (Administrative matters), paragraph 3
Decision III/24(Budget of the Trust Fund for the CBD), paragraph 6

Decision IV/2 (Review of the operations of the CHM), paragraph 10. (g)
Decision IV/5 (Marine and coastal biological diversity), Part II Coral Reef, paragraph 2 and 3
Decision IV/7 (Forest biological diversity), paragraph 9 and 10; Annex Work Prog. Forest Biodiv. Part I E, paragraph 9
Decision IV/15 (Relationship with the CSD and biodiversity-related conventions), paragraph 13

Decision V/3 (Marine and coastal biological diversity), Part I Coral Reefs, paragraph 4 and 5; Annex part C, third “issue” and “response” and sixth “issue”; Part D Financing
Decision V/4 (Forest biological diversity), paragraph 11, 16, 17, 18, 19, 20
Decision V/6(Ecosystem approach), annex, Principle 9
Decision V/15 (Incentive measures), paragraph 6
Decision V/21(Cooperation with other bodies), paragraph 3

Decision VI/4 (Dry and sub-humid lands), paragraph 2
Decision VI/9 (Global Strategy for Plant Conservation), Annex, Part B, paragraph 6
Decision VI/10(Article 8(j) and related provisions), Part E, paragraph 25; Annex Part IV, paragraph 23
Decision VI/15 (Incentive measures), Annex I, Part B, paragraph 16; Annex II, paragraph 14
Decision VI/20 (Cooperation with other organizations, initiatives and conventions), paragraphs 9 to 14
Decision VI/22(Forest biological diversity), paragraph 7 to 9; Collaboration on specific issues, 2nd unnumbered, paragraph 40, 41 and 45; annex, Prog. el. 1, Goal 2, Obj. 3; Prog. el. 2, Goal 1 Obj. 2, paragraph (h)
Decision VI/23 (Alien species that threaten ecosystems, habitats or species), Part II; Part IV (b), paragraph 15 (a); Part IV (c), paragraph 24 (a)
Decision VI/26 (Strategic Plan for the Convention on Biological Diversity), annex, Appendix, paragraph 8 (a)

INCENTIVE MEASURES

I. Principal decisions:

Decision III/18 Incentive Measures
Decision IV/10A Incentive Measures
Decision V/15 Incentive Measures

Decision VI/15 Incentive Measures

II. Other decisions that make direct reference to incentive measures:

Decision III/5 (Additional guidance to the financial mechanism), paragraph 3

Decision III/9 (Implementation of Articles 6 and 8), paragraph 9 (b)

Decision III/11 (Agriculture), paragraph 15 (b), (c), (d)

Decision III/14 (Implementation of Article 8(j), paragraphs 2,3,10

Decision IV/4 (Inland water), annex I, paragraphs 8 (d), 9 (f), (m)

Decision IV/5 (Marine and coastal), annex, C, operational objective 3.2, Activity (c)

Decision IV/6 (Agriculture), paragraph 6

Decision IV/7 (Forests), annex, paragraph 56

Decision IV/8 (Access and benefit-sharing), paragraph 4 (b)

Decision IV/10 C (Impact assessment and minimizing adverse effects), paragraph 1 (f)

Decision IV/13 (Additional guidance to the financial mechanism), paragraph 7, 8 (b)

Decision V/3 (Marine and coastal), paragraph 13

Decision V/4 (Forests), paragraph 13

Decision V/5 (Agriculture), paragraphs 5, 15 (c), annex, B, programme element 2, Activity 2.2 (c); programme element 3, Activity 3.4

Decision V/6 (Ecosystem approach), annex, principle 4, and paragraph 9

Decision V/11 (Additional financial resources), paragraph 16

Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (h)

Decision V/16 (Article 8 (j) and related provisions), annex, element 3, task 14

Decision V/21 (Cooperation), paragraph 3

Decision V/23 (Dry and sub humid lands), annex I, paragraph 11, activity 7 (g), activity 9

Decision V/24 (Sustainable use), paragraph 4

Decision V/25 (Biological Diversity and tourism), paragraph 4(a), (d), (g)

Decision V/26 (Access to genetic resources), part A, paragraph 12

Decision VI/5 (Agricultural biological diversity), annex I, programme element 3.4, annex II, programme elements 2.2 and 3.2

Decision VI/ 7 (Identification, monitoring, indicators and assessment – Guidelines for incorporating biodiversity related issues into environmental impact assessment legislation and/or process and in strategic impact assessment), 7 annex, paragraph 14 (b)

Decision VI/10 (Article 8(j) and related provisions), annex I, element 2.2

Decision VI/16 (Additional financial resources), paragraph 7 (b)

Decision VI/17 (Financial mechanism under the Convention), paragraph 10 (j)

Decision VI/20 (Cooperation with other organizations, initiatives and conventions), paragraph 8

Decision VI/22 (Forests), annex I, programme element 1, goal 4, objective 3, activities (a), (d); programme element 2, goal 2, objective 1, activities (d) (e) (f)

Decision VI/24A (Access and benefit-sharing – Bonn Guidelines), annex, paragraph 51

Decision VI/26 (Strategic Plan), appendix, paragraph 4 (c)

ECOSYSTEM APPROACH

I. Principal decisions:

Decision V/6 (Ecosystem approach)

Decision VI/12 (Ecosystem approach)

II. Other decisions that make direct reference to ecosystem approach:

Decision II/8 (Preliminary consideration of components of biological diversity particularly under threat and action which could be taken under the Convention), paragraph 1
Decision II/9 (Forests and Biological Diversity), annex, paragraph 12

Decision III/12 (Forest biological diversity), paragraph 6 (d); Annex (b), (f)
Decision III/19 (Implementation of Agenda 21), annex, paragraph 3

Decision IV/1 (Report and recommendations of the third meeting of the SBSTTA), paragraph 4; Part B. Ecosystem Approach
Decision IV/4 (Inland water ecosystems), paragraph 7 (b); Annex I Part A, 3., paragraph 9 (d)
Decision IV/5 (Marine and coastal biological diversity), Annex Part B, paragraph 1; Part C Pr. element 2, Operational objective 2.1
Decision IV/7 (Forest biological diversity), Annex, paragraph 3 (b);, paragraph 10, 11, 16 to 20 and title before, paragraph 52
Decision IV/10 (Measures for implementing the Convention on Biological Diversity), paragraph 1 (a)

Decision V/3 (Marine and coastal biological diversity), paragraph 9; Annex Part B 2nd Issue
Decision V/4 (Forest biological diversity), paragraph 3 and 8; Annex, first (not numbered), paragraph of Terms of ref.
Decision V/5 (Agricultural biological diversity), Annex, paragraph 4
Decision V/7 (Identification, monitoring and assessment, and indicators), paragraph 1 (b)
Decision V/8 (Alien species), paragraph 8; Annex I Part A, Guiding principle 3:
Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (a)
Decision V/16 (Article 8(j) and related provisions), Annex Part I, paragraph 4; Part II, Element 1, Task 2; Part III, Element 3, Task 13
Decision V/18 (Impact assessment, liability and redress), paragraph 4, paragraph 5 (b)
Decision V/21 (Cooperation with other bodies), paragraph 6 and 7
Decision V/23 (Dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems), Annex I Section I, paragraph 3; Section II, Part B, Activity 7 (m) and Activity 8.
Decision V/24 (Sustainable use), paragraph 2 and 3
Decision V/25 (Biological diversity and tourism), paragraph 4 (b)

Decision VI/2 (Inland waters), paragraph 4
Decision VI/5 (Agricultural biological diversity), Annex II Part II, paragraph 7; Element 4
Decision VI/7 (Identification, monitoring, indicators and assessments), paragraph 3; Annex, paragraph 5, 26
Decision VI/8 (Global Taxonomy Initiative), Annex Part II C Operational objective 5, 5.4 Planned activity 17
Decision VI/9 (Global Strategy for Plant Conservation), Annex, paragraph 3, 11 (c); Appendix Target 3
Decision VI/10 (Article 8(j) and related provisions), Annex II, paragraph 22
Decision VI/23 (Alien species), paragraph 12 (d); Annex Part A, Guiding principle 3
Decision VI/26 (Strategic Plan for the Convention on Biological Diversity), Annex, paragraph 8

GLOBAL STRATEGY FOR PLANT CONSERVATION

I. Principal decisions:

V/10 (Global strategy for plant conservation)
VI/9 (Global strategy for Plant Conservation)

II. Other decisions that make direct reference to global strategy for plant conservation:

Decision VI/5, paragraph 22.(Agricultural biological diversity)
Decision VI/17, paragraph 10 (d) (Financial mechanism under the Convention)

Decision VI/29 (Administration of the Convention and the budget for the programme of work for the biennium 2003-2004), paragraph 30

GLOBAL TAXONOMY INITIATIVE

I. Principal decisions:

Decision V/9 (Global taxonomy initiative)

VI/8 (Global taxonomy initiative)

II. Other decisions that make direct reference to GTI:

Decision IV/1 (Report and recommendations of the third meeting of the SBSTTA), Part D: Global Taxonomy Initiative; Annex, paragraph 1

Decision IV/4 (Inland water ecosystems), Annex I, Part D, paragraph 21; Annex II, Last item of the possible time-frame of a work progr. For the SBSTTA

Decision IV/5 (Marine and coastal biological diversity), annex, paragraph 6; Part C, Progr. element 6

Decision IV/7 (Forest biological diversity), annex, paragraph 42

Decision IV/13 (Additional guidance to the financial mechanism), paragraph 2

Decision V/5 (Agricultural biological diversity), Annex, paragraph 3 (c)

Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (k)

Decision V/21 (Cooperation with other bodies), paragraph 6 and 7

Decision VI/2 (Biological diversity of inland waters), paragraph 3

Decision VI/5 (Agricultural biological diversity), annex II, Part II; Part III, Element 3.5 and Element 4.4

Decision VI/9 (Global strategy for Plant Conservation), annex, paragraph 14. (c)

Decision VI/17 (Financial mechanism under the Convention), paragraph 10 (f)

Decision VI/23 (Alien species), paragraph 24 (h)

Decision VI/24 (Access and benefit-sharing), annex, paragraph 11 (l);, paragraph 34; Appendix II, Annex, paragraph 3 (b);, paragraph 5 (l)

IMPACT ASSESSMENT

I. Principal decisions:

Decision V/18 (Impact assessment, liability and redress)

Decision VI/7A (Identification, monitoring, indicators and assessments)

II. Other decisions that make direct reference to impact assessment:

Decision II/9, annex, paragraph 10 (Forest and Biological Diversity)

Decision III/11 (Agricultural biological diversity), paragraph 5 (d)

Decision III/18 (Incentive measures), paragraph 6

Decision III/19 (Implementation of Agenda 21), annex, paragraph 20 (d)

Decision IV/4 (Inland water ecosystems), annex I, paragraph 8 (c) (iii);, paragraph 9 (e) (iv) and (g) (i);, paragraph. 20

Decision IV/10 (Measures for implementing the Convention on Biological Diversity), Part C

Decision V/2 (Inland water ecosystems), paragraph 5

Decision V/8 (Alien species), annex I Part C Guiding principle 10; Guiding principle 11, paragraph 2

Decision V/16 (Article 8(j) and related provisions), annex, Part II, Element 6, task 9; Part III, Element 3, task 13

Decision V/25 (Biological diversity and tourism), paragraph 4 (g); annex, paragraph 2

Decision VI/8 (Global taxonomy initiative), annex, Part II C, Operational objective 5.4

Decision VI/10 (Article 8(j) and related provisions), paragraph 12, 13, 15, 19; Annex II

Decision VI/22 (Forest biological diversity), paragraph 44; annex, Prog. el 2, Goal 1, Objective 3, activity (h)

Decision VI/23 (Alien species), paragraph 12 (a); annex, Part C, Guiding principle 1; Guiding principle 2

Decision VI/26 (Strategic Plan for the Convention on Biological Diversity), annex, appendix, Item 1 (c)

LIABILITY AND REDRESS FOR DAMAGE TO BIOLOGICAL DIVERSITY

I. Principal decisions:

Decision IV/10C, paragraphs. 8-11, (Measures for implementing the Convention)

Decision V/18 (Impact assessment, liability and redress)

Decision VI/11 (Liability and redress)

II. Other decisions that make direct reference to the issue:

Decision V/1, Annex, part B (para. 1) (Cartagena Protocol on Biosafety)

Decision V/15, para. 2(d) (Incentive measures)

Decision VI/5, para. 24 (a) (Agricultural biological diversity)

Decision VI/24, appendix I, part (c), para.6 (Access and benefit-sharing)

INDICATORS

I. Principal decisions:

Decision V/7 (Identification, monitoring and assessment, and indicators)

Decision VI/7 (Identification, monitoring, indicators and assessments)

II. Other decisions that make direct reference to indicators:

Decision II/9 (Forest and Biological Diversity), Annex, paragraph 15

Decision II/17 (Forms and Intervals of National Reports by Parties), Annex, paragraph (j)

Decision III/10 (Identification, monitoring and assessment), paragraph 1 and 2

Decision III/11 (Agricultural biological diversity), paragraph 9 and 15 (m)

Decision III/12 (Forest biological diversity), paragraph 10 (a); annex, Related Recommendations, paragraph (c) and following, paragraph (a)

Decision III/19 (Implementation of Agenda 21), annex, paragraph 20 (j)

Decision IV/1 (Report and recommendations of the third meeting of the SBSTTA), part A, paragraph 3 and 4

Decision IV/4 (Inland water ecosystems), Annex I, Part A, paragraph 9 (e) (ii); Part C, paragraph 15 (e)

Decision IV/5 (Marine and coastal biological diversity), Annex Part C, Programme element 1, Operational objective 1.3

Decision IV/6 (Agricultural biological diversity), paragraph 6

Decision IV/7 (Forest biological diversity), Annex Part I D, paragraph &. (d); Part II, paragraph 40 to 43, 45 46 and 48

Decision IV/14 (National reports by Parties), Annex, paragraph 3

Decision V/3 (Marine and coastal biological diversity), Part II, paragraph 10

Decision V/4 (Forest biological diversity), Annex, Terms of reference, first, paragraph.

Decision V/5 (Agricultural biological diversity), Annex, Part A, paragraph 3 (c); Part B, Rationale and Activities, paragraph 1.5

Decision V/13 (Further guidance to the financial mechanism), paragraph 2 (j)

Decision V/21 (Cooperation with other bodies), paragraph 6 and 7

Decision V/23 (Dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems), paragraph 7 (a); Annex I, Section II, Part A, Activity 3

Decision V/24 (Sustainable use), paragraph 4

Decision V/25 (Biological diversity and tourism), paragraph 4 (c)

Decision VI/5 (Agricultural biological diversity), Annex I, table 1, item 1.5

Decision VI/8 (Global taxonomy initiative), annex, Part I, paragraph 5; Part II C, Operational Objective 4.1, 4.2, 4.3, 4.5 and 5.4

Decision VI/9 (Global strategy for Plant Conservation), annex, Part D, paragraph 14 (c); Part E, paragraph 17; Appendix, Part C, Target 12, paragraph 4; Part D, Target 14

Decision VI/10 (Article 8(j) and related provisions), annex II, Part I C, paragraph 14

Decision VI/12 (Ecosystem approach), paragraph 2 (c)

Decision VI/15 (Incentive measures), Annex I, paragraph 9 and 33; Annex II., paragraph 2 (b) (i)

Decision VI/22 (Forest biological diversity), paragraph 12, 19 (f), 34; Annex, Prog. elem 1, Goal 1, Objective 1; Prog. elem 2, Goal 1, Objective 2; Prog. elem. 3, Goal 1, Objective 1, Goal 2, Objective 1

Decision VI/24 (Access and benefit-sharing), annex, appendix II, annex, paragraph 3 (m) and, paragraph 4 (g)

PROTECTED AREAS

Decisions that make direct reference to protected areas:

Decision II/9 (Forests and Biological Diversity), paragraph 13

Decision II/10 (Marine and Coastal Biological Diversity), annex I, paragraph (iv)

Decision III/9 (Implementation of Articles 6 and 8 of the Convention), paragraph 9 (d)

Decision III/12 (Forest biological diversity), Annex, paragraph (b) and, paragraph (f)

Decision III/19 (Implementation of Agenda 21), Annex, paragraph 10 (ii)

Decision IV/4 (Inland water ecosystems), Part A-2, paragraph 8 (c) (vii)

Decision IV/5 (Marine and coastal biological diversity), annex, paragraph 1, and 3; Part C, Programme element 3

Decision IV/7 (Forest biological diversity), annex, paragraph 3. (h), paragraph 52

Decision IV/15 (Relationship with the CSD and biodiversity-related), paragraph 6 and 14 (f)

Decision IV/16 (Institutional matters and the programme of work), annex II, table

Decision V/3 (Marine and coastal biological diversity), paragraph 6 (d), 13, 15; Annex, Part C, first Issue

Decision V/4 (Forest biological diversity), paragraph 10; Annex, paragraph 2 (b) (i)

Decision V/6 (Ecosystem approach), annex, paragraph 5

Decision V/16 (Article 8(j) and related provisions), annex, Part II, Element 1, Task 2

Decision V/21 (Cooperation with other bodies), paragraph 6 and 7

Decision V/23 (Dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems), annex I, Section II, Part B, Activity 7 (a)

Decision V/25 (Biological diversity and tourism), paragraph 2; Annex, paragraph 10 and 28

Decision VI/7 (Identification, monitoring, indicators and assessments), annex, appendix 2, Category A; Appendix 4, Section C, paragraph 4

Decision VI/8 (Global taxonomy initiative), annex, Part II C 4.2, 5.3 and 5.5

Decision VI/9 (Global strategy for Plant Conservation), annex, paragraph 5 (a) (i); Appendix, Part B, Target 4, 5 and 7

Decision VI/10 (Article 8(j) and related provisions), annex I, Part IV, paragraph 26

Decision VI/22 (Forest biological diversity), paragraph 19 (d); Annex, Exp. PoW., paragraph (c); Prog. Element 1, Goal 3; Prog. Elem. 2, Goal 1

Decision VI/25 (National reports), paragraph 4 and 10; Annex II

Decision VI/30 (Preparations for the seventh meeting of the Conference of the Parties), paragraph 2 and 3

PUBLIC EDUCATION AND AWARENESS

I. Principal decisions:

Decision IV/10 (Public education and awareness), part B

Decision V/17 (Education and public awareness)

Decision VI/19 (Communication, education and public awareness)

II. Other decisions that make direct reference to public education and awareness:

Decision II/9 (Forests), annex, para 14

Decision III/11 (Agriculture), para 13

Decision III/19 (Statement to UNGA Special Session), annex

Decision IV/4 (Inland Water), annex, para 9(i)

Decision IV/5 (Marine and coastal), annex, C

Decision IV/7 (Forests), annex

Decision IV/9 (Article 8 (j) and related provisions), para 1

Decision V/2 (Inland water), para 2

Decision V/3 (Marine and coastal), part I, para 6(c), annex, B

Decision V/5 (Agriculture), para 10, annex, B

Decision V/6 (Ecosystem approach), para 3

Decision V/8 (Alien Species), paras 9, 12, annex I, part A

Decision V/9 (GTI), para 6

Decision V/13 (Further guidance to the financial mechanism), para 2(1)

Decision V/14 (Clearing-house Mechanism), annex I, II

Decision V/16 (Article 8 (j) and related provisions), annex II

Decision V/18 (Impact assessment, liability and redress), part I, para 1(e)

Decision V/21 (Cooperation), paras 7,8,9

Decision V/23 (Dry and sub-humid lands), annex I

Decision V/25 (Biological diversity and tourism), para 4(f)

Decision VI/8 (GTI), annex

Decision VI/9 (Global Strategy for Plant Conservation), annex

Decision VI/22 (Forests), annex

Decision VI/23 (Alien invasive species), annex

SUSTAINABLE USE OF BIOLOGICAL DIVERSITY

I. Principal Decisions

Decision V/24 (Sustainable use)

Decision VI/13 (Sustainable Use)

II. Other decisions that make direct reference to sustainable use of biodiversity:

Decision I/8 (Statement to CSD), annex, paragraphs 1, 2, 6, 13, 15, 17 (e, h)

Decision II/9 (Forest-Statement to IPF), annex, paragraphs 8 and 10

Decision II/10 (Marine and coastal), paragraphs 1(c), 2, 11, 12, 13; annex I, paragraphs (vii, xi); annex II, paragraphs 2(b), 3(a,c,d), 4 (a)

Decision III/11 (Agriculture), paragraph 15 (f)

Decision III/12 (Forest), paragraph 6; annex, paragraphs (b, c)

Decision III/14 (Implementation of Article 8 (j)), paragraph 10

Decision III/18 (Incentive measures), paragraph 4

Decision IV/1 (Report and recommendations of the third meeting of SBSTTA), part A, paragraphs 5 and 6.

Decision IV/4 (Inland water), annex I, paragraph 9 (f)

Decision IV/5 (Marine and coastal), annex, C, operational objective 2.1

Decision IV/6 (Agriculture), paragraph 6

Decision IV/7 (Forest), annex

Decision IV/9 (Implementation of Article 8 (j) and related provisions), paragraphs 1 (c); 10 (c), 15, annex, C

Decision IV/15 (Cooperation), paragraphs 14 (f) and 16

Decision IV/16 (Institutional matters and programme of work), annex II

Decision V/3 (Marine and coastal), paragraph 13

Decision V/4 (Forest), paragraphs 10 and 14; annex, paragraph 2 (a) and (b)

Decision V/5 (Agriculture), annex, A, paragraph 3 (a)

Decision V/18 (Impact assessment, liability and redress), part I, paragraph 1 (a)

Decision V/21 (Cooperation), paragraph 7

Decision V/23 (Dry and sub-humid lands), annex I, II, part B, Activity 9 (b, c, d)

Decision VI/7 (Identification, monitoring, indicators and assessment), annex, appendix I

Decision VI/8 (Global Taxonomy Initiative), annex, objectives 3-5

Decision VI/9 (Global Strategy for Plant Conservation), annex, objectives A and B

Decision VI/10 (Article 8(j)), paragraphs 3 (c), 25; part C, annex I and II; Part E, paragraphs 21 and 23

Decision VI/13 (Sustainable use), paragraphs 4 and 7

Decision VI/15 (Incentive measures), paragraph 3; annex I, paragraphs 1 and 23; annex II, paragraphs 6 and 14

Decision VI/16 (Additional financial resources), paragraphs 7 (b) and 11 (f)

Decision VI/19 (Cooperation with other organizations, initiatives and conventions), paragraph 19

Decision VI/22 (Forest biological diversity), paragraphs 13, 19 (b,c,f), 33, 39 (a); and Annex (objective 3 paragraph 3 and f)

Decision VI/25 (Operations of the Convention), paragraph 2 (b)

Decision VI/26 (Strategic Plan), annex, paragraph 2 and 18

C. Implementation and operations of the Convention

CLEARING-HOUSE MECHANISM

I. Principal decisions:

Decision I/3 (Clearing-House mechanism for technical and scientific cooperation)

Decision II/3 (Clearing-house Mechanism)

Decision III/4 (Clearing-House mechanism to promote and facilitate technical and scientific cooperation)

Decision IV/2 (Review of the operations of the clearing-house mechanism)

Decision V/14 (Scientific and technical cooperation and the clearing-house mechanism (Article 18))

Decision VI/18 (Scientific and technical cooperation and the clearing-house mechanism)

II. OTHER DECISIONS THAT MAKE DIRECT REFERENCE TO THE CLEARING-HOUSE MECHANISM:

Decision I/8 (Preparation of the participation of the CBD in the third session of the CSD), annex, para 13

Decision II/7 (Consideration of articles 6 and 8), para 3

Decision II/10 (Marine and Coastal Biological Diversity), annex II, para 3 (e)

Decision II/17 (National Reports), para 10

Decision III/5 (Financial Mechanism), para 2(d)(i-ii)

Decision III/9 (Implementation of Articles 6 and 8), preamble

Decision III/10 (Identification, Monitoring and Assessment), para 7

Decision III/11 (Agricultural biological diversity), para 8, 10, and 13

Decision III/15 (Access to Genetic Resources), para 2

Decision III/17 (Intellectual property rights), para 1

Decision III/18 (Incentive measures), para 7

Decision III/19 (Implementation of Agenda 21), Annex, para 14 (e), 17 (g)

Decision III/22 (Medium-term programme of work for 1996-1997), appendix 2, 2.5

Decision IV/1 (Third meeting of the SBSTTA), para 1, 10

Decision IV/4 (Inland water ecosystems), annex I, A(1), para 5; annex I, A(2), para 8(c)

Decision IV/5 (Marine and coastal biological diversity), annex C, programme elements, operational objective 1.3, activities, (e), operational objective 2.1, operational objective 3.2, activities (c), operational objective 5.3, activities (b), operational objective 6.1, activities (c), operational objective 6.2, activities (b)

Decision IV/7 (Forest biological diversity), para 6; annex I, D, para 7; annex II, para 18 and 37

Decision IV/8 (Access and benefit-sharing), para 6 (a,b,c)

Decision IV/9 (Implementation of Article 8(j)), para 15

Decision IV/10 (Measures for implementing the CBD), part A, para 5(a); part B, para 2; part C, para 5

Decision IV/13 (Financial Mechanism), para 4, 5(a, b, c)

Decision IV/16 (Institutional matters), annex I, XI, para 19

Decision V/2 (Inland water ecosystems), para 6

Decision V/3 (Marine and coastal biodiversity), para 7, para 11

Decision V/5 (Agricultural Biological Diversity), para 18, 22, 23, 25 and 27; annex 5, B, 3/ (c) (c)

Decision V/6 (Ecosystem approach), para 3

Decision V/8 (Alien species), para 4 and 12

Decision V/9 (Global taxonomy initiative), terms of reference

Decision V/11 (Financial resources), para 1

Decision V/13 (Financial mechanism), para 2(f)

Decision V/15 (Incentive measures), para 2 (a)

Decision V/16 (Article 8(j)), para 19(a), task 8

Decision V/17 (Education and public awareness), para 6(b)
 Decision V/18 (Impact Assessment, liability and redress), para 5(d)
 Decision V/19 (National reporting), para 9
 Decision V/20 (Operations of the Convention), para 22, 32 and 34
 Decision V/23 (Dryland, arid and semi-arid), para 10; annex I, para 7; part B (c)
 Decision V/24 (Sustainable use), para 1 and 5
 Decision V/25 (Biological diversity and tourism), para 5
 Decision V/26 (Access to genetic resources), para 13

Decision VI/5 (Agricultural Biological Diversity), para 3
 Decision VI/7 (Identification, Monitoring, Indicators and Assessments), para 2,3, 42 and 44
 Decision VI/8 (Global Taxonomy Initiative), para 4 and 6(b); Annex, part B, 1, 1.1(ii) and (v), 3, 3.1(i) and (iv).
 Decision VI/9 (Global Strategy for Plant Conservation), annex, para 17
 Decision VI/10 (Article 8(j)), para 28, 34, 37, 44 and 45
 Decision VI/13 (Sustainable use), para 7
 Decision VI/15 (Incentive measures), para 6; annex II, para 2(a) and 3
 Decision VI/16 (Financial mechanisms), para 7(a) and 11(b)
 Decision VI/19 (Communication, education and public awareness), annex, expected result 1; annex, programme element 2
 Decision VI/20 (Cooperation), para 20
 Decision VI/21 (The Hague Ministerial Declaration), Annex, para 7
 Decision VI/22 (Forest Biological Diversity), para 19(b) and (g), 24; Annex, programme element 1, goal 1, objective 1, activities (f); Annex, programme element 1, goal 5, objective 1, activities (c); Annex, programme element 2, goal 1, objective 1, activities (c); Annex, programme element 2, goal 4, objective 1
 Decision VI/23 (Alien Species), para 13, 25, 28(e), 32; Annex, Guiding principle 8
 Decision VI/24 (Access and benefit-sharing), para 9; Annex, paras 11 (i), 13, 16(a) and (ii); Appendix II, para 12; Appendix, II, annex, 2, 3(e), 4, 5(b) and (c); Appendix, II, Annex, C, 5, 13; Appendix, II, annex, D, 5, 7.
 Decision VI/25 (National reports), para 3(c)
 Decision VI/27 (Operations of the Convention), Part A, para 2(g); Part B, para 9

FINANCIAL MECHANISM

I. Principal decisions:

Decision I/2 (Financial resources and mechanism)
 Decision II/6 (Financial resources mechanism)
 Decision III/5 (Additional guidance to the financial mechanism)
 Decision III/6 (Additional financial resources)
 Decision III/7 (Guidelines for the review of the effectiveness of the financial mechanism)
 Decision III/8 (Memorandum of Understanding between the Conference of the Parties to the Convention on Biological Diversity and the Council of the Global Environment Facility)
 Decision IV/11 (Review of the effectiveness of the financial mechanism)
 Decision IV/12 (Additional financial resources)
 Decision IV/13 (Additional guidance to the financial mechanism)
 Decision V/11 (Additional financial resources)
 Decision V/12 (Second review of financial mechanism)
 Decision V/13 (Further guidance to the financial mechanism)
 Decision VI/16 (Additional financial resources)
 Decision VI/17 (Financial mechanism under the Convention)

II. Other decisions that make direct reference to the financial mechanism:

Decision II/3 (Clearing house mechanism), paras 6 and 9
Decision II/7 (Consideration of articles 6 and 8 of the convention), para. 6
Decision II/8 (Preliminary consideration of components of a biological diversity particularly under threat and action which could be taken under the convention), para. 5
Decision II/10 (Marine and coastal biological diversity), para. 11
Decision II/17 (Form and intervals of national reports by parties), paras. 12, and annex, (a), (c), (f) and (i)

Decision III/4 (Clearing-house mechanism), paras. (a), (b), 3, 4, 5, and 6
Decision III/9 (Implementation of Articles 6 and 8 of the Convention), para. 10
Decision III/10 (Identification, monitoring and assessment), para. 11
Decision III/11 (Agricultural biological diversity), paras. 12 and 13
Decision III/14 (Implementation of Article 8 (j)), para. 14
Decision III/19 (Special session of the General Assembly to review implementation of Agenda 21), annex, paras. 15, 16 and 17
Decision III/20 (Issues related to biosafety), para 2
Decision III/21 (Relationship of the Convention with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance), para. 15

Decision IV/1 (Report and recommendations of the third meeting of the SBSTTA), paras. 1 and 9
Decision IV/2 (Clearing-house mechanism), paras. 1 and 9
Decision IV/4 (Inland water ecosystems), paras. 6, 7, and annex I, 10, 11
Decision IV/5 (Marine and coastal biological diversity), paras. 2 and 3
Decision IV/6 (Agricultural biological diversity), paras. 4, 12 and 13
Decision IV/7 (Forest biological diversity), paras. 1, 2, 3 and 4
Decision IV/8 (Access and benefit-sharing), paras. 4 and 5
Decision IV/9 (Implementation of Article 8(j)), paras. 12 and 13
Decision IV/10 (Measures for implementing the Convention on Biological Diversity), part. A (5), part (B) 6 and 7
Decision IV/14 (National reports by Parties), paras. 4 and 5
Decision IV/16 (Institutional matters and the programme of work), para. 13

Decision V/1, annex, A, paragraphs 2 and 3, and annex B, paragraph 4 (Work plan of the Intergovernmental Committee for the Cartagena Protocol on Biosafety)
Decision V/3, annex, D (Progress report on the implementation of the programme of work on marine and coastal biological diversity)
Decision V/5, paragraph 9; paragraph 18; annex, programme elements 1, 2, 3, and 4, ways and means, (Agricultural biological diversity: Review of phase I of the programme of work and adoption of a multi-year work programme)
Decision V/6 paragraph 6 (Ecosystem approach)
Decision V/8 paragraph 17 (Alien species that threaten ecosystems, habitats or species)
Decision V/9 paragraph 6 (Global Taxonomy Initiative: Implementation and further advance of the suggestions for action)
Decision V/14, annex I, paragraph (g)(i) (Scientific and technical cooperation and the clearing-house mechanism (Article 18))
Decision V/15 paragraphs 1(b) and 2(c) (Incentive measures)
Decision V/16 paragraphs 7, 19(b), and 20; annex, part IV (Article 8(j) and related provisions)
Decision V/20 paragraphs 8 and 15(f) (Operations of the Convention)
Decision V/22 paragraph 15 (Budget for the programme of work for the biennium 2001-2002)
Decision V/23, paragraphs 3 and 11; annex, paragraphs 7(b), 7(c) and Part B, ways and means, paragraph (a) (Consideration of options for conservation and sustainable use of biological diversity in dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems)

Decision VI/2 paragraph 6 (Biological diversity of inland waters)
 Decision VI/3 paragraph 5 (Marine and coastal biological diversity)
 Decision VI/5 paragraphs 1(c), 12 and 16, and annex II, elements 1, 2, 3 and 4, ways and means (Agricultural biological diversity)
 Decision VI/7 part A, paragraph 2 and annex (Further development of guidelines for incorporating biodiversity-related issues into environmental-impact-assessment legislation or processes and in strategic impact assessment) and part C, paragraph 2 (Scientific assessments)
 Decision VI/8 paragraphs 5 and 8, annex, part A, paragraph 9(f) and part B, paragraphs 1.1(i), 1.1(v), 1.1(vi), 1.1(vii), 1.2 (iv), 1.2 (vi), 1.2(vii), 1.3(iv), 1.3(vi), 1.3(vii), 1.4(vi), 1.4(vii), 2.1(iv), 2.1(v), 2.1(vi), 2.1(vii), 2.2(vi), 2.2(vii), 3.1(vi), 3.1(vii), 4.1(vi), 4.2(vi), 4.2(vii), 4.3(vi), 4.3(vii), 4.5(iv), 4.5(vi), 4.5(vii), 5.1(vi), 5.3(vi), and 5.4(vi) (Global Taxonomy Initiative)
 Decision VI/9, paragraph 7 and annex, paragraph 19 (Global Strategy for Plant Conservation)
 Decision VI/10 paragraphs 18, 19, 29, 30, 42, and annex I, paragraph 29 (Article 8(j) and related provisions)
 Decision VI/11 paragraph 3 (Liability and redress (Article 14, paragraph 2)
 Decision VI/12 paragraph 3 (Ecosystem approach)
 Decision VI/13 paragraph 5 (Sustainable use)
 Decision VI/15 paragraph 8 and annex I, paragraph 31 (Incentive measures)
 Decision VI/19 paragraphs 5, 6 and 9 (Communication, education and public awareness)
 Decision VI/21, annex, paragraphs 7, 18, 19, 20 and 23(m) (Annex to The Hague Ministerial Declaration of the Conference of the Parties to the Convention on Biological Diversity)
 Decision VI/21, annex, paragraphs 7, 19 and 20 (Annex to The Hague Ministerial Declaration of the Conference of the Parties to the Convention on Biological Diversity)
 Decision VI/22 paragraphs 17 19(g) and annex, preambular paragraph (e) (Forest biological diversity)
 Decision VI/23 paragraph 12(b), 15(c), 17, 19, 34, and part IV, preamble, and annex, guiding principle 9, paragraphs (c), (d) (Alien species that threaten ecosystems, habitats or species)
 Decision VI/24, part A, paragraph 5 and part B, paragraph 7 annex, paragraphs 5(f) and 5(j) (Access and benefit-sharing as related to genetic resources)
 Decision VI/25 paragraphs 3(d)(vii), 5 and 9 (National reports)
 Decision VI/26, annex, paragraphs 9, goals 2.2 and 2.3, appendix, paragraphs 4(a) and 4(b) (Strategic Plan for the Convention on Biological Diversity)
 Decision VI/27, part A, paragraphs 4, 5, 6, 8, 9, 10 and 11 and part B, paragraphs 11(c) and 12, 16, 17 and 18 (Operations of the Convention)
 Decision VI/29 paragraph 19 (Administration of the Convention and the budget for the programme of work for the biennium 2003-2004)
 Decision VI/30 paragraph 3 (Preparation for the seventh meeting of the Conference of the Parties)

NATIONAL REPORTS

I. Principal decisions:

Decision II/17 (Forms and Intervals of National Reports by Parties)
 Decision IV/14 (National Reports by Parties)
 Decision V/19 (National Reporting)
 Decision VI/25 (National Reports)

II. Other decisions that make direct reference to National Reports:

Decision I/7 (SBSTTA), annex
 Decision II/6 (Financial mechanism and resources), para 11
 Decision II/7 (Consideration of Articles 6 and 8), para 3
 Decision II/8 (Components of biological diversity under threat), para 6 (i)

Decision II/10 (Marine and coastal), annex II, para 3 (e)
Decision II/13 (Cooperation), para 4 (b)
Decision III/6 (Additional financial resources), para 4
Decision III/9 (Implementation of Articles 6 and 8), paras 3,4, 11
Decision III/10 (Identification, monitoring and assessment), para 2
Decision III/14 (Implementation of Article 8(j)), para 2
Decision III/21 (Cooperation), para 3
Decision IV/1 (SBSTTA), part A, para 3
Decision IV/2 (Clearing-house Mechanism), para 2
Decision IV/5 (Marine and coastal), annex, C
Decision IV/7 (Forests), para 10
Decision IV/10 (Incentive Measures), part A, para 2; part C, para 9

Decision IV/13 (Financial mechanism), para 6
Decision IV/15 (Cooperation), para 5(b)
Decision V/2 (Inland water), para 3
Decision V/4 (Forests), para 7
Decision V/11 (Additional financial resources), paras 4 and 14
Decision V/13 (Further guidance to the financial mechanism), para 2 (e)
Decision V/14 (Clearing-house mechanism), annex, II
Decision V/16 (Article 8 (j) and related provisions), annex, III
Decision V/18 (Impact assessment, liability and redress), part I, para 3
Decision V/20 (Operations of the Convention), paras 36 and 38(b)
Decision V/23 (Dry and sub-humid lands), annex I, III, para 12(a)
Decision VI/5 (Agricultural biodiversity), paras 4,5,6, 15
Decision VI/7 (Identification, monitoring, indicators and assessments), part A, para 2
Decision VI/10 (Article 8 (j) and related provisions), part A, para 3; part E, paras 20 and 21
Decision VI/17 (Further guidance to the financial mechanism), paras 6, 10(I)
Decision VI/20 (Cooperation with other organizations, initiatives and conventions), para 22
Decision VI/22 (Forest biological diversity), paras 21, 22, 27
Decision VI/23 (Alien species), para 32

OPERATIONS OF THE CONVENTION

I. Principal decisions:

Decision V/20 (Operations of the Convention)
Decision VI/27 (Operations of the Convention)

II. Other decisions that make direct reference to the operations of the Convention:

Decision IV/16 (Institutional matters and the programme of work), paras. 2 and 5

ADMINISTRATIVE AND BUDGETARY MATTERS

I. Principal decisions:

Decision I/6 (Financing of and budget for the Convention)
Decision II/20 (Financing of and budget for the Convention)
Decision III/23 (Administrative Matters)
Decision III/24 (Budget of the Trust Fund for the Convention on Biological Diversity)
Decision IV/17 (Program Budget for the biennium 1999-2000)
Decision V/22 (Budget for the programme of work for the biennium 2001-2002)

Decision VI/29 (Administration of the Convention and the budget for the programme of work for the biennium 2003-2004)

COOPERATION WITH OTHER BODIES

I. Principal decisions:

Decision I/5 (Support to the Secretariat by international organizations)

Decision I/8 (Preparation of the participation of the Convention on Biological Diversity in the third session of the Commission on Sustainable Development)

Decision II/13 (Cooperation with other biodiversity-related conventions)

Decision III/21 (Relationship of the Convention with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance)

Decision IV/15 (The relationship of the Convention on Biological Diversity with the Commission on Sustainable Development and biodiversity-related conventions, other international agreements, institutions and processes of relevance)

Decision V/21 (Cooperation with other bodies)

Decision VI/20 (Cooperation with other organizations, initiatives and conventions)

II. Other decisions that make direct reference to cooperation:

Decision I/2 (Financial resources and mechanism), annex I, part I (Policy and strategy)

Decision I/8 (Preparation of the participation of the Convention on Biological Diversity in the third session of the Commission on Sustainable Development), annex, para.8

Decision II/3 (Clearing-house Mechanism), paras. 4(g) and 8

Decision II/7 (Consideration of Articles 6 and 8 of the Convention), para. 2

Decision III/4 (Clearing-House mechanism to promote and facilitate technical and scientific cooperation), paras. 5 and 13

Decision III/5 (Additional guidance to the financial mechanism), para. 1

Decision III/8 (Memorandum of understanding between the Conference of the Parties to the Convention on Biological Diversity and the Council of the Global Environment Facility), annex, para.7

Decision III/12 (Forest biological diversity), para 1

Decision III/13 (Future programme of work for terrestrial biological diversity: dryland, mountain and inland water ecosystems), para. 1(b)

Decision III/17 (Intellectual property rights), paras 1(c), 3, 4 and annex.

Decision III/18 (Incentive measures), para. 9

Decision III/19 (Special session of the General Assembly to review implementation of Agenda 21), Annex, paras. 3, 6, 10(i), 11, 19(j) and 22

Decision IV/4 (Status and trends of the biological diversity of inland water ecosystems and options for conservation and sustainable use), paras. 4 and 8(c); Annex I, paras. 2, 3, 6, 8 and 9(k).

Decision IV/5 (Conservation and sustainable use of marine and coastal biological diversity, including a programme of work), Annex, para 11 and programme element 5 (Ways and Means)

Decision IV/6 (Agricultural biological diversity), para 8

Decision IV/7 (Forest biological diversity), Annex, paras. 15 and 23

Decision IV/9 (Implementation of Article 8(j) and related provisions), paras. 1(e) and 17

Decision IV/10 (Measures for implementing the Convention on Biological Diversity), paras. 5(b) and 7; part C, para 6

Decision IV/11 (Review of the effectiveness of the financial mechanism), Annex, para 1(k)

Decision IV/16 (Institutional matters and the programme of work), Annex, para 14

Decision V/1 (Work plan of the Intergovernmental Committee for the Cartagena Protocol on Biosafety), Annex, paras 2 and 3

Decision V/2 (Inland water ecosystems), preamble

Decision V/3 (Marine and coastal biological diversity), paras. 4, 17 and 18

Decision V/4 (Forest biological diversity), para 20

Decision V/5 (Agricultural biological diversity), paras 6 and 16; Annex 5, para 4
Decision V/6 (Ecosystem approach), para 7; part C, para 11
Decision V/7 (Identification, monitoring and assessment, and indicators), para 2
Decision V/9 (Global Taxonomy Initiative), para 1 and ToR
Decision V/16 (Article 8(j) and related provisions), Programme of work, section III, Task 17
Decision V/17 (Education and public awareness), para 2
Decision V/23 (Conservation and sustainable use of biological diversity in dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems), Annex 1, paras. 11(l) and 11(m)
Decision V/26 (Access to genetic resources), part B, para 3
Decision VI/2 (Biological diversity of inland waters), para 5
Decision VI/5 (Agricultural biological diversity), para 17
Decision VI/6 (The International Treaty on Plant Genetic Resources for Food and Agriculture), paras 4 and 5
Decision VI/7 (Identification, monitoring, indicators and assessments), Annex. Paras. 42 to 45; Annex, Section III, para 17
Decision VI/9 (Global Strategy for Plant Conservation), Annex, para 20
Decision VI/11 (Liability and redress), para 2
Decision VI/16 (Additional financial resources), para 11(c)
Decision VI/19 (Communication, education and public awareness), para 7(b)
Decision VI/22 (Annex to The Hague Ministerial Declaration), paras 35 and 39(c)
Decision VI/23 (Alien species), para 10(d) and 18(b)
Decision VI/24 (Access and benefit-sharing as related to genetic resources), Appendix II, Annex, part D (Other issues), paras. 3 to 5
