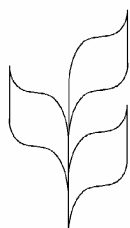




**CBD**



**CONVENTION ON  
BIOLOGICAL  
DIVERSITY**

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**CONFERENCE OF THE PARTIES TO THE  
CONVENTION ON BIOLOGICAL  
DIVERSITY**

Seventh meeting

Kuala Lumpur, 9-20 and 27 February 2004

Item 20.4 of the provisional agenda\*

**GUIDELINES FOR THE THIRD NATIONAL REPORT**

*Note by the Executive Secretary*

*Addendum*

**PROPOSALS FOR THE REVIEW OF IMPLEMENTATION OF THE EXPANDED  
PROGRAMME OF WORK ON FOREST BIOLOGICAL DIVERSITY**

**INTRODUCTION**

1. In paragraph 26 (a) of decision VI/22, the Conference of the Parties agreed to establish an ad hoc technical expert group to provide advice to the Executive Secretary and the Subsidiary Body on Scientific, Technical and Technological Advice on ways in which the review of the implementation of the expanded programme of work on forest biological diversity could be undertaken. The Expert Group met in Montpellier, France, from 24 to 27 November 2003, and has provided initial guidance to the Executive Secretary as reflected in the present note.

2. Bearing in mind that national reports are an important source of information for the review of implementation of the programmes of work and that the questionnaire on forest biological diversity within the format of the third national report for adoption by the Conference of the Parties (UNEP/CBD/7/17/Add.2) will, for the first time, provide information for the review of progress made by Parties in implementing the expanded programme of work on forest biological diversity, the Expert Group reviewed the questionnaire and considered that the proposed modifications to the questionnaire, as

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\* UNEP/CBD/COP/7/1 and Corr.1.

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contained in annex I below, could provide a more comprehensive view on progress in implementation without the addition of additional questions.

3. The Expert Group has also provided specific guidance to facilitate the reporting process, such as definitions of terms used in the questionnaire, and possible sources of information from other international forest-related forest processes and instruments.

4. In addition, the Expert Group also requested through the Executive Secretary that the Conference of the Parties at its seventh meeting invites international organizations to provide information on their contribution to the implementation of the work programme at national, regional and global levels. To this end, it drafted a text contained in annex II below.

*Annex I*

**PROPOSED AMENDED QUESTIONNAIRE FOR THE SECTION ON FOREST  
BIOLOGICAL DIVERSITY IN THE FORMAT OF THE THIRD NATIONAL REPORT**

**A. *Preamble***

1. The purpose of this section of the third national report is: (i) to advance the implementation of the three objectives of the Convention; (ii) to provide an indication of advancement toward the 2010 objective of the Convention on Biological Diversity, namely, to significantly reduce the rate of loss of forest biological diversity; (iii) to determine the effectiveness of the expanded programme of work on forest biological diversity towards this end; and (iv) to allow the Convention to provide feedback to Parties, international agencies, donor agencies and other organizations including tools, case studies, and suggested actions to facilitate implementation of the programme of work based on the range of experiences and common needs identified by the Parties. This feedback analysis of the reporting is expected to benefit the Parties by reducing redundancies and can be used directly in preparation of further reports.

2. The national report attempts to elicit information on actions that have been taken towards improved management and conservation of forest biological diversity, to examine successes achieved and their effectiveness, to identify needs and obstacles met, and, where possible, to indicate the establishment and achievement of targets.

3. The questions below are particularly addressed towards measures taken to implement the programme of work, especially on new means towards the implementation, towards an assessment of problems encountered in implementation and to identify the needs of the Parties.

**B. *General guidelines to the questionnaire***

4. Countries should, as far as possible, develop a participatory process in preparing the answers to the questionnaire taking into account in particular the different government services involved in forests and biodiversity, indigenous and local communities, and other relevant stakeholders.

5. When needed, sources of related information are available and may be useful in the preparation of the report: thematic reports submitted to the Convention Secretariat, national reports submitted to the Commission on Sustainable Development, national reports on implementation of the IPF/IFF proposals for action submitted to the United Nations Forum on Forests, <sup>1/</sup> and reports to other forest-related processes. These can be easily accessed through the portal on forest-related reporting found on the website of the Collaborative Partnership on Forests (CPF). <sup>2/</sup>

6. Definitions and terms used in the questionnaire can be accessed at the following sources:

- (a) Ecosystem approach: decision V/4 of the Conference of the Parties

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<sup>1/</sup> See a preliminary assessment of the similarity of the activities of the programme of work and the IPF/IFF proposals for action (UNEP/CBD/SBSTTA/9/INF/31).

<sup>2/</sup> <http://www.fao.org/forestry/cpf-mar>

(b) Relationship of ecosystem approach to sustainable forest management: report of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA) on the work of its ninth meeting (UNEP/CBD/COP/7/4);

(c) Forest related definitions: Collaborative Partnership on Forests web site; <sup>3</sup>/

(d) Other definitions and terms related to forest biological diversity: CBD Technical Report No. 7.

### ***C. Questionnaire***

#### **General**

Has your country incorporated relevant parts of the work programme into your national biodiversity strategies and action plans and national forest programmes?

a. Describe the process used

b. Constraints/obstacles encountered in the process

c. Lessons learned

d. Have you established targets for priority actions in the programme of work?

What recently applied tools (policy, planning, management, assessment and measurement) and measures is your country using to implement and assess the programme of work?; what tools and measures would assist the implementation?

To what extent and how has your country involved indigenous and local communities, and respected their rights and interests, in implementing the programme of work?

What efforts has your country made towards capacity building in human and capital resources for the implementation of the programme of work?

How has your country collaborated and cooperated (e.g., south-south, north-south, south-north, north-north) with other governments, regional or international organizations in implementing the programme of work?; and what are the constraints and/or needs identified?

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<sup>3</sup> <http://www.fao.org/forestry/foris/webview/cpf/index.jsp?siteId=1220&langId=1>

**Expanded programme of work on forest biological diversity**

<b>Programme element 1 – Conservation, sustainable use and benefit-sharing</b>
Is your country applying the ecosystem approach to the management of all types of forests?
Yes; please specify measures undertaken
No; but potential measures are being identified (please provide information)
No (please provide reasons below)
Comments on application of the ecosystem approach to management of forests (including effectiveness of actions taken, lessons learned, impact on forest management, constraints, needs, tools, and targets).

What measures has your country undertaken to reduce the threats and mitigate its impacts on forest biodiversity?
Please specify major threats identified in relation to each objective of goal 2
Please specify below measures undertaken to address priority actions
None (please provide reasons below)
Comments on measures to reduce threats and mitigate the impacts of threatening processes on forest biodiversity (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

What measures is your country undertaking to protect, recover and restore forest biological diversity?
Please identify priority actions in relation to each objective of goal 3
Please describe measures undertaken to address these priorities
None (please provide reasons below)
Further comments on measures to protect, recover and restore forest biological diversity ( including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

What measures is your country undertaking to promote the sustainable use of forest biological diversity?
Please specify priority actions in relation to each objective of goal 4
Please describe measures undertaken to address these priorities
None (please provide reasons below)
Further comments on the promotion of the sustainable use of forest biological diversity (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

What measures is your country undertaking to promote access and benefit-sharing of forest genetic
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resources?
(a) Please specify priority actions in relation to each objective of goal 5
b) Please describe measures undertaken
None (please provide reasons below)
Further comments on the promotion of access and benefit-sharing of forest genetic resources. (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets)

<b>Programme element 2 – Institutional and socio-economic enabling environment</b>
What measures is your country undertaking to enhance the institutional enabling environment for the conservation and sustainable use of forest biological diversity, including access and benefit-sharing?
Please identify priority actions in relation to each objective of Goal 1
Please describe measures undertaken to address these priorities
None (please provide reasons below)
Further comments on the enhancement of the institutional enabling environment for the conservation and sustainable use of forest biological diversity, including access and benefit-sharing (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

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What measures is your country undertaking to address socio-economic failures and distortions that lead to decisions that result in loss of forest biological diversity?
Please identify priority actions in relation to each objective of Goal 2
Please describe measures undertaken to address these priorities
None (please provide reasons below)
Further comments on review of socio-economic failures and distortions that lead to decisions that result in loss of forest biological diversity (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

What measures is your country undertaking to increase public education, participation and awareness in relation to forest biological diversity?
Please identify priority actions in relation to each objective of goal 3
Please describe measures undertaken to address these priorities
None (please provide reasons below)



Further comments on measures to increase public education, participation and awareness in relation to forest biological diversity (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

### **Programme element 3 – Knowledge, assessment and monitoring**

What measures is your country undertaking to characterize forest ecosystems at various scales in order to improve the assessment of the status and trends of forest biological diversity?

Please identify priority actions in relation to each objective of goal 1

Please describe measures undertaken to address these priorities

None (please provide reasons below)

Further comments on characterization of forest ecosystems at various scales (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

What measures is your country undertaking to improve knowledge on, and methods for, the assessment of the status and trends of forest biological diversity?

a) Please identify priority actions in relation to each objective of goal 2

Please describe measures undertaken to address these priorities

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None (please provide reasons below)
Further comments on improvement of knowledge on and methods for the assessment of the status and trends (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

What measures is your country undertaking to improve the understanding of the role of forest biodiversity and ecosystem functioning?
Please identify priority actions in relation to each objective of goal 3
Please describe measures undertaken to address these priorities
None (please provide reasons below)
Further comments on the improvement of the understanding of the role of forest biodiversity and ecosystem functioning (including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

What measures is your country undertaking at national level to improve the infrastructure for data and information management for accurate assessment and monitoring of global forest biodiversity?
Please identify priority actions in relation to each objective of goal 4
Please describe measures undertaken to address these priorities
None (please provide reasons below)
Further comments on the improvement of the infrastructure for data and information management

(including effectiveness of actions taken, lessons learned, impacts on forest biodiversity, constraints, needs, tools and targets).

**Please add any further comments on the impacts or outcomes achieved by your country in implementing this programme of work, particularly in terms of**

- (a) Achieving the objectives and goals of the Strategic Plan of the Convention,**
- (b) Progressing toward the 2010 target.**

**Please provide any additional information on constraints and/or impediments encountered by your country in the implementation of this programme of work and associated decisions.**

*Annex II*

**PROPOSAL FOR INVITING MEMBERS OF THE COLLABORATIVE PARTNERSHIP ON FORESTS AND OTHER INTERNATIONAL AND REGIONAL ORGANIZATIONS AND PROCESSES TO PROVIDE INFORMATION RELATED TO THE IMPLEMENTATION OF THE EXPANDED PROGRAMME OF WORK ON FOREST BIOLOGICAL DIVERSITY**

1. In paragraphs 15 and 18 of decision VI/22, the Conference of the Parties recognized the important role of international and regional organizations and processes in supporting Parties in their implementation of the expanded programme of work on forest biological diversity, and further invited their participation recognizing that the work programme contains a number of regional and international activities. In addition, the Conference of the Parties invited the members of the Collaborative Partnership on Forests to support the implementation of the work programme (paragraph 38 of the same decision).

2. In order to facilitate the review of implementation of the work programme at regional and international levels, the Secretariat, based on the recommendations by the Ad Hoc Technical Expert Group in the review of implementation of the programme of work is circulating the following questions and invites members of the Collaborative Partnership on Forests and international and regional organizations to submit their replies to the Secretariat not later than the deadline of the third national report:

(a) Has your organization considered how it can contribute to the implementation of the expanded programme of work on forest biological diversity? if not, why not?;

(b) If so, and within your own program of work, what key initiatives, tools, and/or measures are you developing, or have recently developed, that might assist the Parties in the implementation of the expanded programme of work on forest biological diversity? and

(c) What are the constraints that your organization has encountered while undertaking any relevant actions aimed at assisting Parties to implement the work programme?

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