



Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

Tenth meeting

Nagoya, Japan, 18–29 October 2010

Item 1.4 of the provisional agenda*

ORGANIZATION OF WORK

Annotations to the provisional agenda

INTRODUCTION

1. Following the invitation of the Government of Japan, which was welcomed by the Conference of the Parties in its decision IX/35, the tenth meeting of the Conference of the Parties will be held at the Nagoya Congress Centre in the City of Nagoya, Aichi Prefecture, Japan, from 18 to 29 October 2010.
2. At its meeting held in Paris on 30 March 2009, the Bureau approved the draft provisional agenda of the tenth meeting of the Conference of the Parties (UNEP/CBD/COP/10/1). Preliminary annotations to the provisional agenda were prepared by the Executive Secretary and reviewed by the Bureau at its meeting held in Strömstad, Sweden, on 9-10 September 2009. The annotations to the provisional agenda were updated and finalized by the Secretariat in the light of the outcomes of the fourteenth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice and the various open-ended inter-sessional working groups and made available to the Bureau at its meeting in Montreal on 9 July 2010.
3. In accordance with paragraph 2 of decision V/20 and the guidance of the Bureau, the Executive Secretary organized the provisional agenda for the tenth meeting of the Conference of the Parties under the following main sections: (1) organizational matters; (2) consideration of reports; (3) access and benefit-sharing; (4) strategic issues for evaluating progress and supporting implementation; (5) issues for in-depth consideration; (6) other substantive issues arising from decisions of the Conference of the Parties; (7) administrative and budgetary matters, and (8) final matters. Annex I provides a list of the documents for the meeting.
4. In accordance with rule 6 of the rules of procedure for meetings of the Conference of the Parties, the Secretariat has notified the United Nations, its specialized agencies and the International Atomic Energy Agency, as well as any State not party to the Convention, of this meeting so that they may be represented as observers.

* UNEP/CBD/COP/10/1.

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5. In accordance with rule 7 of the rules of procedure, the Secretariat has notified those bodies and agencies, whether governmental or non-governmental, qualified in fields relating to the conservation and sustainable use of biological diversity, which have informed the Secretariat of their wish to be represented at the meeting, so that they may be represented as observers. A list of such bodies will be made available to the Conference of the Parties for information.

6. The tenth meeting of the Conference of the Parties will include a high-level ministerial segment organized by the host country in consultation with the Secretariat and the Bureau. The high-level segment will take place from 27 to 29 October 2010 at the Nagoya Congress Centre in the City of Nagoya. It is expected that the high-level segment will be opened by the Minister of the Environment of Japan and that other senior officials will also address the body. It will be preceded on 25-26 October by a Summit on Cities and Biodiversity as well as a meeting on parliamentarians and biodiversity. A meeting of the heads of bilateral and multilateral donors agencies will be held on 26 October as well as a meeting of private donors meeting. A high-level dialogue on business and biodiversity will be held in the evening of 28 October in conjunction with MESSE Nagoya.

7. In accordance with established practice, the Executive Secretary has prepared a compilation of the various elements of draft decisions for the consideration of the Conference of the Parties proposed by the intersessional meetings or developed by the Executive Secretary in light of earlier decisions and recommendations (UNEP/CBD/COP/10/1/Add.2).

8. Subject to availability of financial resources, the tenth meeting of the Conference of the Parties will be preceded, on 17 October 2010, by the convening of the regional preparatory meetings for Africa, Asia, and Latin America and the Caribbean. The First G-77 Forum on Biodiversity for Development will be held on 18 October.

9. Registration of participants will commence at the venue of the meeting at 9 a.m. on Thursday, 14 October 2010. More information on the logistical arrangements for the meeting is available in the document "Information for Participants" on the website of the Secretariat.

I. ORGANIZATIONAL MATTERS

10. Section I of the provisional agenda comprises those sub-items that are procedural in nature. They relate to the organization, operation and protocol of the meeting.

ITEM 1.1 OPENING OF THE MEETING

11. The meeting will be opened at 10 a.m. on Monday, 18 October 2010, by the President of the ninth meeting of the Conference of the Parties or his representative.

12. At the opening session, the Conference will hear addresses of welcome by representatives of the Government of Japan and the local authorities of the host city.

13. The Executive Secretary will address the meeting and highlight the main issues before the Conference of the Parties.

ITEM 1.2 ELECTION OF OFFICERS

Election of the President

14. It is expected that, at the opening session, the President of the ninth meeting of the Conference of the Parties will call for the election of a representative of the host country as President of the tenth meeting. The term of office of the President will begin immediately following his or her election at the tenth meeting and will end with the election of a successor at the eleventh meeting.

Election of officers other than the President

15. In accordance with rule 21 of the rules of procedure (as adopted by decision I/1 and amended by decision V/20), in addition to the President, ten Vice-Presidents, one of whom acts as Rapporteur, are to be elected from among the representatives of the Parties present at the meeting. The term of office of the Vice-Presidents will commence upon the closure of the tenth meeting of the Conference of the Parties and end at the closure of the eleventh meeting of the Conference of the Parties. At its ninth meeting, the Conference of the Parties elected ten Vice-Presidents from the following countries for a term that will end at the closure of the tenth meeting: Cambodia, Cook Islands, Haiti, Malawi, Mexico, Serbia, Sudan, Sweden, Switzerland and Ukraine. Regional groups are urged to submit their nominations at the opening of the meeting. This will allow the newly elected members of the Bureau to attend, as observers, the Bureau meetings to be held during the current meeting of the Conference in order to ensure a smooth transition between outgoing and incoming Bureau members.

Election of officers of subsidiary bodies and other meetings

16. Rule 26 of the rules of procedure, provides that the chairperson of any subsidiary body shall be elected by the Conference of the Parties, while the election of other officers is the responsibility of the body itself. At this meeting, the Conference of the Parties will therefore need to elect the chair of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA) to officiate its meetings for a term of office that will extend until the end of the eleventh meeting of the Conference of the Parties. Previously elected chairs of SBSTTA have come from the following regional groups: first meeting – Africa; second meeting – Western European and Others; third and fourth meetings – Asia and the Pacific; fifth and sixth meetings – Latin America and the Caribbean; seventh and eighth meetings – the Central and Eastern European Group; ninth and tenth meetings – Africa; eleventh and twelfth meetings— Western European and Others; thirteenth meeting –Asia and the Pacific; and fourteenth meeting – Latin America and the Caribbean.

ITEM 1.3. ADOPTION OF THE AGENDA

17. The provisional agenda (UNEP/CBD/COP/10/1) has been approved by the Bureau of the Conference of the Parties. It was prepared by the Executive Secretary in accordance with rule 8 of the rules of procedure for meetings of the Conference of the Parties, with the guidance of the Bureau, and taking into account the refined multi-year programme of work of the Conference of the Parties up to 2010 contained in annex II to decision VIII/10 and other relevant decisions of the Conference of the Parties.

ITEM 1.4. ORGANIZATION OF WORK

18. In accordance with established practice, the Conference of the Parties may wish to establish two working groups. A proposed timetable and division of responsibilities between the plenary and the two working groups is contained in annex II below. Should two working groups be established, the Conference of the Parties will need to elect a Chairperson for each working group.

19. The plenary may also wish to establish a contact group to address agenda item 3, on the international regime on access and benefit sharing, under the leadership of the Co-Chairs of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing.

20. The plenary may also establish a budget contact group to address agenda item 7 on the administration of the Convention and budget for the programme of work for the biennium 2001-2012.

21. Interpretation will be available for the working groups for morning and afternoon sessions only. It will not be available for evening sessions.

ITEM 1.5. REPORT ON THE CREDENTIALS OF REPRESENTATIVES TO THE TENTH MEETING OF THE CONFERENCE OF THE PARTIES

22. Rule 18 of the rules of procedure for meetings of the Conference of the Parties states that:

“The credentials of representatives and the names of alternate representatives and advisers shall be submitted to the Executive Secretary of the Conference of the Parties or the representative of the Executive Secretary not later than twenty four hours after the opening of the meeting. Any later change in the composition of the delegation shall also be submitted to the Executive Secretary or his representative. The credentials shall be issued by the Head of State or Government or by the Minister for Foreign Affairs or, in the case of a regional economic integration organization, by the competent authority of that organization.”

23. Rule 19 provides that “the Bureau of any meeting shall examine the credentials and submit its report to the Conference of the Parties for decision”.

24. In order to assist Parties in fulfilling the requirements of rule 18, the Executive Secretary distributed to national focal points a sample format of appropriate credentials with the letter of invitation to the meeting (see notification 2009-107 of 1 September 2009 dispatched to all focal points as well as to the missions and embassies in New York, Nairobi and Geneva).

25. The Conference of the Parties will be invited to consider and adopt the report on credentials submitted to it by the Bureau.

ITEM 1.6 PENDING ISSUES

26. At its first meeting, the Conference of the Parties adopted the rules of procedure for its meetings in decision I/1, with the exception of paragraph 1 of rule 40, relating to the taking of substantive decisions. The Conference of the Parties has considered this outstanding matter at its subsequent meetings but without resolving it conclusively. At the third meeting, consensus was reached concerning some, but not all, of the text in brackets.

27. In decision I/6, the Conference of the Parties adopted the financial rules for the administration of the Trust Fund for the Convention on Biological Diversity. Paragraphs 4 and 16 of these rules contain bracketed text. Paragraph 4 deals with scale of assessments for the apportionment of contributions by Parties to the Trust Fund. Paragraph 16 deals with adopting decisions regarding the Trust Fund. The paragraphs have been considered at subsequent meetings of the Conference of the Parties, but without any agreement. The text therefore remains bracketed.

28. Since it is not expected that this matter can be resolved at the tenth meeting of the Conference of the Parties, it is suggested that its consideration be postponed to a future meeting.

ITEM 1.7 DATE AND VENUE OF THE ELEVENTH MEETING OF THE CONFERENCE OF THE PARTIES

29. In accordance with paragraph 2 of rule 4 of its rules of procedure, the Conference of the Parties is to decide on the date and duration of its next ordinary meeting.

30. At its fifth meeting, the Conference decided to amend rule 4 of its rules of procedure so that ordinary meetings of the Conference of the Parties shall be held every two years. At its eighth meeting, the Conference of the Parties decided to maintain the current periodicity of its ordinary meetings until its tenth meeting, in 2010.

31. Rule 3 of the rules of procedure provides that meetings of the Conference of the Parties shall take place at the seat of the Secretariat, unless the Conference of the Parties decides otherwise or other appropriate arrangements are made by the Secretariat in consultation with the Parties.

32. With respect to the eleventh meeting of the Conference of the Parties, the Government of India has made known its intention to offer to host, in 2012, the eleventh meeting of the Conference of the Parties and the fifth meeting of the Parties to the Cartagena Protocol on Biosafety. It is noted that the year 2012 will coincide with the twentieth anniversary of the adoption of the Convention and its opening of signature at the first Earth Summit held in Rio de Janeiro in June 1992.

33. Under this item, a decision regarding the date and venue of the eleventh meeting of the Conference of the Parties will be required. A draft decision is contained in the compilation of draft decisions prepared by the Executive Secretary (UNEP/CBD/COP/10/1/Add.2).

34. Taking into account the need to identify early in advance the host of meetings of the Conference of the Parties, Parties are encouraged to identify a possible host of their twelfth meeting and to agree on possible dates. In this respect, it should be noted that the Conference of the Parties will consider the periodicity of future meetings under agenda item 4.3 (a).

II. CONSIDERATION OF REPORTS

35. Section II of the provisional agenda provides for the presentation of the reports of the regional preparatory meetings for the tenth meeting of the Conference of the Parties; the inter-sessional meetings of subsidiary bodies; the report of the Global Environment Facility; and the report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention.

36. The Conference of the Parties is invited to take note of the reports, on the understanding that the substantive issues arising therein will be taken up under the appropriate agenda item, as indicated below. The recommendations contained in the reports are also reflected in the compilation of draft decisions (UNEP/CBD/COP/10/1/Add.2) prepared by the Executive Secretary.

ITEM 2.1 REPORTS OF INTER-SESSIONAL MEETINGS OF SUBSIDIARY BODIES AND REGIONAL PREPARATORY MEETINGS

37. Under this item, the President will inform the Conference of the Parties of the meetings of subsidiary bodies held during the inter-sessional period and their reports on the understanding that the substantive issues arising therein will be taken up under the appropriate agenda item. These include: the

reports of the sixth meeting of the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions (UNEP/CBD/COP/10/2); the fourteenth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (UNEP/CBD/COP/10/3); and the third meeting of the Ad Hoc Open-ended Working Group on the Review of Implementation of the Convention (UNEP/CBD/COP/10/4).

38. The Co-Chairs of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing, Mr. Fernando Casas of Colombia and Timothy Hodges of Canada, will be invited to report on the outcome of the seventh, eighth and ninth meetings of the Working Group (UNEP/CBD/COP/10/5; UNEP/CBD/COP/10/5/Add.1; UNEP/CBD/COP/10/5/Add.2; UNEP/CBD/COP/10/5/Add.3), on the understanding that the substantive issues arising therein will be taken up under agenda item 3.

39. The regional groups may wish to report to the Conference of the Parties on the results of the preparatory meetings to be held immediately prior to the tenth meeting of the Conference of the Parties.

ITEM 2.2 REPORT OF THE GLOBAL ENVIRONMENT FACILITY

40. Pursuant to Article 21 of the Convention on Biological Diversity and paragraph 3.1 of the Memorandum of Understanding between the Conference of the Parties and the Council of the Global Environment Facility (GEF), the Conference of the Parties will have before it the report of GEF (UNEP/CBD/COP/10/6).

41. Under this item, the Conference of the Parties is invited to take note of the report, on the understanding that the substantive issues arising therein will be taken up under agenda item 4.10.

ITEM 2.3. REPORT OF THE EXECUTIVE SECRETARY ON THE ADMINISTRATION OF THE CONVENTION AND THE BUDGET FOR THE TRUST FUND OF THE CONVENTION

42. The report of the Executive Secretary on the administration of the Convention and the budget for the trust funds of the Convention (UNEP/CBD/COP/10/7 and Add.1) will be before the Conference of the Parties for its consideration. The Conference of the Parties is invited to take note of the report and to take up the information contained therein in its consideration of agenda item 7 on the budget for the programme of work for the biennium 2009-2010.

43. Pursuant to the invitation contained in paragraph 23 of its decision IX/34, the Conference of the Parties will also have before it, as an information document, a report outlining the status of implementation of the main recommendations contained in the 2009 report of the independent United Nations Board of Auditors. The Conference of the Parties will have also before it the audit report of 2010.

44. Consistent with past practice, it is suggested that a budget contact group be established to prepare a budget, which will be submitted to plenary for adoption. In accordance with the guidance for priority-setting for the allocation of financial resources adopted by the Conference of the Parties in decision VIII/10, annex I, the budget contact group will be invited to present its findings to the plenary of the Conference of the Parties mid-way through the meeting.

III. ACCESS AND BENEFIT-SHARING

ITEM 3. ACCESS AND BENEFIT-SHARING

45. As decided by the Conference of the Parties in decision IX/12, the Ad Hoc Open-ended Working Group on Access and Benefit-sharing (Working Group on ABS) held three meetings prior to the tenth meeting of the Conference of the Parties in order to complete the elaboration and negotiation of the international regime on access and benefit-sharing before the tenth meeting of the Conference of the Parties, in accordance with decisions VII/19 D and VIII/4 A.

46. The Working Group held its seventh meeting in Paris from 2 to 9 April 2009, its eighth meeting was held in Montreal from 9 to 15 November 2009, and the first part of its ninth meeting in Cali, Colombia, from 22 to 28 March 2010. The latter meeting was preceded from 16 to 18 March by the Co-Chairs' Interregional Informal Consultations. The reports of each of these meetings are available to the tenth meeting of the Conference of the Parties (UNEP/CBD/COP/10/5/Add.1; UNEP/CBD/COP/10/5/Add.2; UNEP/CBD/COP/10/5/Add.3) and will be introduced under agenda item 2.1.

47. At the conclusion of the first part of its ninth meeting in Cali, Colombia, on 28 March 2010, the Working Group agreed to annex the Co-Chairs draft protocol to the report of the meeting (UNEP/CBD/COP/10/5/Add.3) as annex I, clarifying that the text had not been negotiated, reflected the efforts of the Co-Chairs to elaborate the elements of the draft Protocol and was without prejudice to the rights of the Parties to make further amendments and additions to the text. By means of notification 2010-066 of 8 April 2010, the Executive Secretary circulated to all Parties the text of the draft Protocol, pursuant to Article 28, paragraph 3, of the Convention which stipulates that the text of any proposed protocol be communicated to the Contracting Parties at least six months before the meeting to which it is submitted for adoption.

48. The Working Group also agreed to suspend its ninth meeting, and to resume the meeting in Montreal at a later date to finalize the negotiations on the basis of the draft annexed to the report of the first part of its meeting. Accordingly, the Working Group resumed its ninth meeting in Montreal from 10 to 16 July 2010, preceded by two days of informal consultations. Its report is available as UNEP/CBD/COP/10/5/Add.4. The Working Group reviewed the text of the draft Protocol and agreed to hold a further resumed session in October, preceded by a session of the Interregional Negotiating Group in September. The final report of the ninth meeting will be made available to the Conference of the Parties (UNEP/CBD/COP/10/5).

49. Against this background, the Conference of the Parties is invited to consider for adoption the protocol on access and benefit sharing based on the text prepared by the Working Group on Access and Benefit-sharing. In its decision on the matter, the Conference of the Parties may also wish to decide on arrangements pending the entry into force of the Protocol.

IV. STRATEGIC ISSUES FOR EVALUATING PROGRESS AND SUPPORTING IMPLEMENTATION

ITEM 4.1. PROGRESS TOWARD THE 2010 BIODIVERSITY TARGET, REVIEW OF NATIONAL REPORTS AND THE GLOBAL BIODIVERSITY OUTLOOK

50. In accordance with paragraph 4(a) of Article 23 and the multi-year programme of work established in decision VII/31, the Conference of the Parties will consider progress in the implementation of the Convention and its Strategic Plan, including progress towards the achievement of the 2010

biodiversity targets. This review will be conducted on the basis of the fourth national reports, the third edition of the Global Biodiversity Outlook, and relevant recommendations from the fourteenth meeting of SBSSTA and the third meeting of the Working Group on Review of Implementation of the Convention.

51. Parties were required by decision VIII/14 to submit their fourth national reports by 30 March 2009. As of the end of June 2010, 150 fourth national reports had been received. Document UNEP/CBD/COP/10/8 provides a progress report on implementation of the Strategic Plan and Progress towards the 2010 biodiversity target, drawing upon information in the fourth national reports. This is supplemented by document UNEP/CBD/COP/10/8/INF/2 which provides an updated synthesis of information from the fourth national reports.

52. In decision VIII/14, the Conference of the Parties decided that the third edition of the Global Biodiversity Outlook (GBO-3) would be prepared for publication in 2010. In accordance with this decision and further guidance provided by the Bureaux of SBSTTA and COP, GBO-3 was officially launched, in all United Nations languages, on 10 May 2010 on the opening day of SBSTTA-14. It has also been distributed directly to CBD focal points. GBO-3 draws upon the results of work tracking progress towards the 2010 target using biodiversity indicators, scientific studies on the status, trends and future scenarios for biodiversity, the study *The Economics of Ecosystems and Biodiversity*, and information from the third and fourth national reports. In line with a request from SBSTTA, the Executive Summary, containing the key messages of GBO-3, has been made available in all United Nations languages (UNEP/CBD/COP/10/8/Add.1). At its fourteenth meeting, SBSTTA reviewed GBO-3 and its implications for the future implementation of the Convention (recommendation XIV/7).¹

53. In line with decision VIII/9 (paragraph 2), and on the basis of a progress report prepared by the Executive Secretary (UNEP/CBD/WGRI/3/2, updated and summarized in UNEP/CBD/COP/10/8) WGRI, at its third meeting, reviewed progress in the implementation of the Convention, including an in-depth review of goals 1 and 4 of the Strategic Plan of the Convention 2002-2010, and adopted recommendation 3/1, containing, *inter alia*, recommendations for capacity-building to support future implementation of the Convention.

54. The Working Group on Review of Implementation of the Convention also considered the needs for integration of biodiversity into poverty eradication and development and prepared Recommendation 3/3 containing suggestions for capacity building for the mainstreaming of biodiversity for sustainable development and poverty reduction.

55. Under this agenda item, the Conference of the Parties is invited to consider the following recommendations, which are contained in document UNEP/CBD/COP/10/1/Add.2:

- (a) SBSTTA recommendation XIV/7 (*Third edition of the Global Biodiversity Outlook implications for the future implementation of the Convention*);
- (b) WGRI recommendation 3/1 (*Implementation of the Convention and the Strategic Plan*);
- (c) WGRI recommendation 3/3 (*Integration of biodiversity into poverty eradication and development*).

¹ A proposal for the preparation of the fourth edition of the Global Biodiversity Outlook to provide a review of progress towards the 2020 targets is included in WGRI recommendation 3/5, to be considered under agenda item 4.2.

ITEM 4.2. REVISED STRATEGIC PLAN, BIODIVERSITY TARGET AND INDICATORS

56. In line with decision IX/9, the Conference of the Parties at its tenth meeting is expected to consider for adoption a revised and updated Strategic Plan for the Convention, on the basis of a recommendation from the Working Group on Review of Implementation of the Convention at its third meeting, taking into account also the scientific and technical review by the fourteenth meeting of SBSTTA of the targets and related indicators. The proposals presented to these meetings were prepared by the Executive Secretary following a preparatory process conducted in line with decision IX/9 which involved, *inter alia*, 50 submissions representing 42 Parties and 20 organizations and more than 20 informal consultative workshops (UNEP/CBD/WGRI/3/3/Add.1). The background and rationale for the Strategic Plan are derived from the analysis contained in the third edition of the Global Biodiversity Outlook.

57. At its fourteenth meeting, SBSTTA conducted an examination of the outcome-oriented goals and targets (and associated indicators) and their possible adjustment for the period beyond 2010 on the basis of document UNEP/CBD/SBSTTA/14/10. SBSTTA prepared some contributions on the proposed mission statement and on each of the proposed 5 goals and 20 targets (UNEP/CBD/COP/10/3, pp. 94-104), noting that the Working Group on Review of Implementation at its third meeting would draw upon these contributions (SBSTTA recommendation XIV/9, part I). SBSTTA also reviewed the progress made in biodiversity monitoring since the adoption of the framework of sub-targets and indicators in decision VII/30 and prepared part II of recommendation XIV/9 containing proposals for the consideration of the Conference of the Parties on the future monitoring of biodiversity under the Convention and the use of global indicators, as well as the establishment of an ad hoc technical expert group (AHTEG) to develop further advice on these matters.

58. The Working Group on Review of Implementation of the Convention at its third meeting reviewed the proposed updated Strategic Plan on the basis of document UNEP/CBD/WGRI/3/3, taking into account also the aforementioned contributions from SBSTTA. The draft prepared by the Working Group includes consensus text on the rationale for the plan (part I), on the goals and on ten of the proposed twenty targets (part IV), on implementation, monitoring, review and evaluation (part V), and on supporting mechanisms (part VI). WGRI-3 proposed options for the Vision (part II), the Mission (part III) and on the remaining ten targets (part IV). WGRI also prepared a draft decision for the consideration of the Conference of the Parties (recommendation 3/5). During the adoption of the Working Group's recommendation, it was emphasized that the Strategic Plan must be seen in the broader context of negotiations relating also to resource mobilization and the access and benefit-sharing protocol.²

59. In line with requests from SBSTTA and the Working Group,³ the Executive Secretary has prepared an updated technical rationale for the proposed goals and targets of the Strategic Plan in the light of changes to the goals and targets introduced by SBSTTA and the Working Group as well as other comments by Parties and observers (UNEP/CBD/COP/10/9).

60. Under this agenda item, the Conference of the Parties is invited to consider the following recommendations, which are contained in document UNEP/CBD/COP/10/1/Add.2:

² The Chair noted that the brackets added at the beginning and end of the draft recommendation did not indicate lack of agreement on the contents, but rather that the final consideration of the Strategic Plan at the tenth meeting of the Conference of the Parties would be in the context of a broader package of negotiations (Report of the Ad Hoc Open-Ended Working Group on Review of Implementation of the Convention on the work of its third meeting (UNEP/CBD/COP/10/4, para. 68)

³ See footnotes to recommendations SBSTTA XIV/7 and WGRI 3/5.

(a) SBSTTA recommendation XIV/7 (part II) (*Examination of the outcome-oriented goals and targets (and associated indicators) and consideration of their possible adjustment for the period beyond 2010*); and

(b) WGRI recommendation 3/5 (*Updating and revision of the Strategic Plan for the post-2010 period*).

61. The Conference of the Parties may also wish to take note of the updated technical rationale for the revised Strategic Plan contained in document UNEP/CBD/COP/10/9.

62. In line with suggestions made at the third meeting of the Working Group on Review of Implementation, the Conference of the Parties may wish to refer to updated and revised Strategic Plan of the Convention 2011-2020 as “the Aichi Nagoya Strategic Plan”.

United Nations Decade on Biodiversity 2011–2020

63. At its third meeting, the Working Group on Review of Implementation of the Convention, in its recommendation 3/12, proposed that the United Nations General Assembly be invited to consider declaring 2011-2020 the United Nations Decade on Biodiversity.

64. Accordingly, under this agenda item, the Conference of the Parties is also invited to consider the WGRI recommendation 3/12 (*United Nations Decade on Biodiversity 2011–2020*), which is contained in document UNEP/CBD/COP/10/1/Add.2:

ITEM 4.3. OPERATIONS OF THE CONVENTION AND THE MULTI-YEAR PROGRAMME OF WORK

65. In decision IX/29, on operations of the Convention, the Conference of the Parties identified a number of issues to be considered at its tenth meeting including the periodicity of meetings (paragraphs 2-3), the review and retirement of decisions (paragraphs 14-16), and new and emerging issues (paragraphs 8-13). These issues are addressed under the present agenda item. In line with decision IX/9, the periodicity of meetings is considered together with the multi-year programme of work of the Conference of the Parties, on the basis of WGRI recommendation 3/6. Under this agenda item the COP is also invited to consider recommendations from the Working Group on Review of Implementation of the Convention on the fifth national reports (recommendation 3/7) and the science-policy interface (recommendation 3/4) as well a recommendation from SBSTTA on ways and means to improve the effectiveness of SBSTTA (recommendation XIV/17). While decision IX/29 also covered the ongoing review and revision of the administrative arrangements between the United Nations Environment Programme and the Secretariat of the Convention (paragraph 18), this matter is considered under agenda item VII (Administrative and budgetary matters).

A. Multi-year programme of work including periodicity of meetings and organization of work of the Conference of the Parties

66. The Conference of the Parties, in decision IX/9 (paragraph 3) decided to consider at its tenth meeting a multi-year programme of work for the period 2010-2022 consistent with the updated and revised Strategic Plan, and periodicity of meetings after 2010.

67. At its third meeting, the Working Group on Review of Implementation of the Convention, in line with decision IX/9 prepared recommendation 3/6 (part I) providing a Multi-year programme of work for 2010-2020, the same as the period for the proposed updated and revised Strategic Plan. The recommendation sets out issues to be addressed by the eleventh and twelfth meetings of the Conference

of Parties. The Working Group did not provide a concrete recommendation concerning the periodicity of the meetings of the Conference of the Parties, but instead proposed that the Conference of the Parties address this again at its eleventh or twelfth meetings. The Working Group requested the Executive Secretary to further develop the analysis presented in the comprehensive report of options (UNEP/CBD/WGRI/3/11) for the future periodicity of meetings prepared by the Executive Secretary for the Conference of the Parties at its tenth meeting pursuant to decision IX/29. The original report is provided as UNEP/CBD/COP/10/10/Add.1, supplemented by further information in UNEP/CBD/COP/10/10. The latter document also includes a tentative schedule of meetings for the period 2011-2012.

68. Under this agenda sub-item, the Conference of the Parties is invited to:

(a) Consider WGRI recommendation 3/6 (*The multi-year programme of work of the Convention for the period 2011-2020 and periodicity of meetings and organization of work of the Conference of the Parties*), which is contained in document UNEP/CBD/COP/10/1/Add.2, taking into account the information provided in document UNEP/CBD/COP/10/11; and

(b) Take note of the tentative schedule of meetings for the period 2011-2012 in UNEP/CBD/COP/10/10.

B. Fifth national reports

69. In accordance with decision V/19, Parties are requested to submit their national reports for consideration at alternate ordinary meetings of the Conference of the Parties. Accordingly, the fifth national report would be due in time for consideration by the Conference of the Parties at its twelfth meeting. In order that Parties have sufficient time for the preparation of reports, the Conference of the Parties is expected to determine the date of submission of the fifth national reports and to adopt guidelines for their preparation, on the basis of WGRI recommendation 3/7.

70. On the basis of the experience of the fourth and earlier national reports, the Executive Secretary prepared draft guidelines for the fifth national reports (UNEP/CBD/WGRI/3/6 and UNEP/CBD/WGRI/3/6/Add.1). The Working Group on Review of Implementation of the Convention, at its third meeting, took note of the draft guidelines and requested the Executive Secretary to develop the further, taking into account views expressed at the Working Group and further submissions (Recommendation 3/7 Part I). Accordingly, the Executive Secretary has prepared document UNEP/CBD/COP/10/11 (*Guidelines for the fifth national reports*).

71. The Working Group also prepared recommendation 3/7 (part II) proposing 31 March 2014 as a deadline for the fifth national reports, setting out an outline of the scope and contents of the reports, and requesting support for their preparation from the Global Environment Facility and other entities.

72. Under this agenda item, the Conference of the Parties is invited:

(a) To consider for adoption WGRI recommendation 3/6 (*National reporting: review of experience and proposals for the fifth national report*) (part II) contained in document UNEP/CBD/COP/10/1/Add.2; and

(b) To consider for endorsement the guidelines for the fifth national reports contained in document UNEP/CBD/COP/10/11.

C. Science-policy interface on biodiversity, ecosystem services and human well-being

73. Noting the need for improved scientific information, as related *inter alia* to the interests of the Convention on Biological Diversity and other biodiversity-related conventions with a view to strengthening the role of SBSTTA and the scientific advisory bodies of other biodiversity-related conventions, the Conference of the Parties, in its decision IX/15 welcomed the agreement of the Executive Director of the United Nations Environment Programme to convene an ad hoc open-ended intergovernmental multi-stakeholder meeting to consider establishing an efficient international science-policy interface on biodiversity, ecosystem services and human well-being, and requested the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, at its third meeting, to consider the outcome of the intergovernmental meeting and its implications for the implementation and organization of work of the Convention, including its Strategic Plan, and to make recommendations for consideration by the Conference of the Parties at its tenth meeting.

74. The Working Group, in its recommendation 3/4 took note of the outcomes of the two intergovernmental multi-stakeholder meetings held to date, welcomed the decision of the Governing Council/Global Ministerial Environment Forum on the matter and encouraged participation in the third and final ad hoc intergovernmental and multi-stakeholder meeting held in Busan, Republic of Korea, in June 2010. Moreover, the Working Group recommended “that the Conference of the Parties, at its tenth meeting, consider the outcome of the third and final ad hoc intergovernmental and multi-stakeholder meeting on an intergovernmental platform on biodiversity and ecosystem services and implications for the implementation and organization of work of the Convention, in particular, the work of SBSTTA (paragraph 5).

75. The third ad hoc intergovernmental and multi-stakeholder meeting concluded that an intergovernmental science-policy platform on biodiversity and ecosystem services should be established and reached agreement on the main elements of the proposed platform. The meeting further recommended that the 65th Session of the United Nations General Assembly be invited to consider its conclusions and to take appropriate action for the establishment of the platform. The report of the Busan meeting is annexed to document UNEP/CBD/COP/10/12.

76. Under this agenda sub-item, the Conference of the Parties, is invited to consider the outcome of the third and final ad hoc intergovernmental and multi-stakeholder meeting on an intergovernmental platform on biodiversity and ecosystem services and implications for the implementation and organization of work of the Convention, in particular, the work of SBSTTA, as recommended by WGRI recommendation 3/4 (paragraph 5). A draft decision is included in document UNEP/CBD/COP/10/1/Add.2.

D. Ways and means to improve the effectiveness of the Subsidiary Body on Scientific, Technical and Technological Advice

77. At its fourteenth meeting, SBSTTA considered proposals for ways and means to improve its effectiveness and prepared recommendation XIV/17 on the matter. Options are provided for the consideration of the Conference of the Parties on some issues which also concern other sub-items to this agenda item: formats for voluntary reports on scientific and technical issues (sub-item b); the suggestion to convene joint meetings with the scientific bodies of other conventions (sub-item a); the updating of the *modus operandi*, possibly to include the relationship with the intergovernmental science-policy platform on biodiversity and ecosystem services (sub-item c); and the number of SBSTTA meetings in the 2011-2012 period (sub-item a); as well as numerous matters related to financial resources. SBSTTA also requested the Conference of the Parties to provide further clarification on the mandate of SBSTTA regarding consideration of financial resources and guidance to the financial mechanism.

78. SBSTTA also requested the Executive Secretary to provide an analysis of previous decisions of the Conference of the Parties, in particular decision VIII/10, to identify possible inconsistencies and

duplications contained in the recommendations, to be addressed at the tenth meeting of the Conference of the Parties. Since this matter concerns all recommendations before the Conference of the Parties, it is addressed in document UNEP/CBD/COP/10/1/Add.2.

79. Under this agenda item, the Conference of the Parties is invited:

(a) To consider SBSTTA recommendation XIV/14 (*Ways and means to improve the effectiveness of the Subsidiary Body on Scientific, Technical and Technological Advice*) as contained in document UNEP/CBD/COP/10/1/Add.2; and

(b) To provide further clarification on the mandate of SBSTTA regarding consideration of financial resources and guidance to the financial mechanism.

E. New and emerging issues

80. In decision IX/29, the Conference of the Parties complemented the consolidated *modus operandi* of the Subsidiary Body on Scientific, Technical and Technological Advice (adopted through decision VIII/10) with additional elements, including a procedure for the identification of new and emerging issues relating to the conservation and sustainable use of biological diversity. Accordingly, the Executive Secretary invited Parties and relevant organizations to submit proposals and subsequently to provide views on the compilation of all proposals.

81. At its fourteenth meeting, SBSTTA reviewed the outcomes of this process and prepared recommendation XIV/16, which proposes that certain issues, relating to ocean acidification and ocean noise be considered within the context of existing programmes of work without the need to add these on the agenda of SBSTTA as separate issues. SBSTTA provided options concerning the submission of information related to synthetic biology and geo-engineering and the impacts of ground-level ozone.

82. Under this agenda sub-item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/7 (*New and emerging issues*), which is contained in document UNEP/CBD/COP/10/1/Add.2:

F. Retirement of decisions

83. In section III of its decision IX/29, the Conference of the Parties decided to continue reviewing and, if appropriate, retiring decisions and elements of decisions, at an interval of eight years following their adoption, taking care to avoid retiring guiding principles and decisions that have not been implemented or reflected in subsequent decisions. It also decided to re-examine the interval for review at its tenth meeting (paragraph 14). For decisions taken at its fifth and sixth meetings, it requested the Executive Secretary to make proposals to the Conference of the Parties at its tenth meeting regarding the retirement of decisions and elements of decisions (paragraph 15).

84. The Executive Secretary, by a notification dated 9 April 2010, communicated the proposals to Parties, Governments and relevant international organizations. Comments were received from China, the European Union, Mexico and the Philippines. All comments were very carefully considered by the Secretariat and reflected, as appropriate, in its full review of the decisions of the Conference of the Parties adopted at its fifth and sixth meetings as contained in document UNEP/CBD/COP/10/INF/1. For ease of reference, the document sets out the rationale for the Secretariat's original proposals. The document also provides annotations of the comments made by the four Parties in response to the notification. These comments included proposals not to retire certain decisions and elements of decisions. In keeping with past practice, these were accordingly reflected in the revised proposals for retirement in the draft decision. In a new development, one Party in its comments also proposed retiring

additional elements of decisions from the fifth meeting of the Conference of the Parties. These are reflected in the comprehensive review document, as well as in the revised list of proposals for retirement in the draft decision found in document UNEP/CBD/COP/10/1/Add.2.

85. Under this agenda sub-item, the Conference of the Parties is invited to review and adopt the proposals regarding the retirement of decisions and elements of decisions taken at its fifth and sixth meetings contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 4.4. STRATEGY FOR RESOURCE MOBILIZATION

86. At its ninth meeting, the Conference of the Parties adopted a Strategy for resource mobilization in support of the Convention's three objectives for the period 2008-2015 (decision IX/11 B).

87. In paragraph 5 of that decision, the Conference of the Parties decided to review the implementation of the strategy for resource mobilization at its tenth meeting, and requested the Executive Secretary to prepare the necessary documentation. Accordingly, the Executive Secretary has prepared the document UNEP/CBD/COP/10/13 focussing on the implementation of goals 1, 3, 4, 6 and 8, in line with paragraph 14 of the Strategy. This includes proposals for the periodic global monitoring reports on the implementation of the strategy for resource mobilization (paragraph 15 of the Strategy).

88. In decision IX/11 B, the Conference of the Parties requested the Ad Hoc Open Ended Group on Review of Implementation of the Convention at its third meeting to prepare a list of concrete activities and initiatives to achieve the strategic goals of the strategy for resource mobilization and on indicators to monitor the implementation of the Strategy (paragraph 8 of the decision). This was done on the basis of submissions by Parties (including submissions on targets and/or indicators) and a compilation prepared by the Executive Secretary. The Working Group prepared recommendation 3/8 on the matter which includes suggestions on country-specific implementation strategies and lists a set of concrete activities and initiatives. Agreement was not reached however on indicators or targets for monitoring implementation of the Strategy.

89. The Conference of the Parties also requested the Working Group to identify a series of options and policy recommendations concerning innovative financial mechanisms (paragraph 9 of the decision). This was done taking note of the report of the proceedings of an International Workshop on Innovative Financial Mechanisms (UNEP/CBD/WGRI/3/INF/5). Accordingly, the Working Group prepared recommendation 3/9. The recommendation includes bracketed text on a few issues including a proposed green development mechanism.

90. Under this agenda item, the Conference of the Parties is invited to consider for finalization and adoption the following recommendations which are contained in document UNEP/CBD/COP/10/1/Add.2:

(a) WGRI recommendation 3/8 (*Concrete activities and initiatives including measurable targets and/or indicators to achieve the strategic goals contained in the strategy for resource mobilization and on indicators to monitor the implementation of the strategy*), taking into account also the proposals of the Executive Secretary for the periodic global monitoring reports contained in document UNEP/CBD/COP/10/13;

(b) WGRI recommendation 3/9 (*Policy options concerning innovative financial mechanisms*); and

(c) Additional recommendations prepared by the Executive Secretary on the basis of document UNEP/CBD/COP/10/13.

ITEM 4.5. SCIENTIFIC AND TECHNICAL COOPERATION AND THE CLEARING HOUSE MECHANISM

91. In decision VIII/11, the Conference of the Parties adopted the strategic plan of the clearing-house mechanism and its programme of work for the period 2005-2010, and requested the Executive Secretary to prepare a report on progress made for consideration at the tenth meeting of the Conference of the Parties. Further, in decision IX/30, the Conference of the Parties highlighted priorities activities for Parties, relevant partners, and the Executive Secretary, taking into account that full implementation of the strategic plan has been constrained by the limited capacity and resources available at national and global levels, including the Secretariat.

92. Under this item, the Executive Secretary has prepared a progress report (UNEP/CBD/COP/10/15) in consultation with the informal advisory committee of the clearing-house mechanism. This report also notes relevant recommendations for further development of the clearing-house mechanism in the recommendations on the Implementation of the Convention and on the updated and revised Strategic Plan of the Convention beyond 2010.

93. The Conference of the Parties is invited to review document UNEP/CBD/COP/10/15 and make recommendations as necessary on the basis of the draft in document UNEP/CBD/COP/10/1/Add.2.

ITEM 4.6. TECHNOLOGY TRANSFER AND COOPERATION

94. At its eighth meeting, the Conference of the Parties requested the Executive Secretary to explore the possibility of developing a “Biodiversity Technology Initiative” taking into account the Climate Technology Initiative (decision IX/14, paragraph 6). The Conference of the Parties, further considered this matter at its ninth meeting, and by decision IX/14 (paragraphs 6, 7), requested the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention at its third meeting, on the basis of work prepared by the Executive Secretary in cooperation with relevant partner organizations, to review, for consideration by the Conference of the Parties at its tenth meeting, options for activities to be included in a prospective Biodiversity Technology Initiative as well as for its structure, functioning and governance, and criteria for selecting the host institution of the Initiative.

95. Accordingly the Working Group prepared recommendation 3/11 which sets out the character and scope of the proposed initiative, and invites further inputs from Parties, governments and relevant international organizations. Agreement was not reached on whether or not the proposed initiative should be of a voluntary nature.

96. A progress report on other work on technology transfer and cooperation in follow-up to decision IX/14, aside from the Biodiversity Technology Initiative, is provided document UNEP/CBD/COP/10/21.

97. Under this agenda sub-item, the Conference of the Parties is invited to finalize and adopt WGRI recommendation 3/11 (*Further consideration of the proposed Biodiversity Technology Initiative*), which is contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 4.7. GLOBAL STRATEGY FOR PLANT CONSERVATION

98. The Global Strategy for Plant Conservation (decision VI/9, annex) was adopted in 2002 with the ultimate goal to halt the current and continuing loss of plant diversity. In decision IX/3, the Conference of the Parties decided to consider the further development and implementation of the Strategy beyond 2010, including an update of the current targets within the broader context of and consistent with the new

Strategic Plan beyond 2010, taking into account current and emerging environmental challenges on plant diversity.

99. The Conference of the Parties therefore requested the Subsidiary Body on Scientific, Technical and Technological Advice to provide, prior to the tenth meeting of the Conference of the Parties, proposals for a consolidated update of the Global Strategy, taking into account the Plant Conservation Report, the third edition of the Global Biodiversity Outlook, the fourth national reports and additional inputs from the Global Partnership for Plant Conservation and other relevant organizations. Accordingly, the Executive Secretary, based on a series of meetings, online consultations and peer review, developed proposals for a consolidated update of the Strategy beyond 2010, including revision and update of the outcome-oriented targets adopted in 2002 and also provided a technical rationale for the adjusted targets (document UNEP/CBD/SBSTTA/14/9).

100. At its fourteenth meeting, SBSTTA reviewed the proposals and prepared recommendation XIV/8 which contains, as an annex, proposals for an update of the Global Strategy for Plant Conservation 2011-2020.

101. The Executive Secretary has updated the technical rationale for the proposed goals and targets of the Global Strategy in the light of changes to the goals and targets introduced by SBSTTA and other comments by Parties and observers (UNEP/CBD/COP/10/19).

102. Under this agenda item, the Conference of the Parties is invited:

(a) To consider and adopt SBSTTA recommendation XIV/8 (*Proposals for an update of the Global Strategy for Plant Conservation 2011-2020*), which is contained in document UNEP/CBD/COP/10/1/Add.2; and

(b) To take note of the updated technical rationale for the revised Global Strategy (UNEP/CBD/COP/10/19).

ITEM 4.8. COMMUNICATION, EDUCATION AND PUBLIC AWARENESS (CEPA) AND THE INTERNATIONAL YEAR OF BIODIVERSITY

103. In its decision IX/32, the Conference of the Parties welcomed the contributions of Parties and of the Executive Secretary to the implementation of the programme of work on communication, education and public awareness (CEPA) and invited Parties to double their efforts to achieve the goals of the short list of priority activities (adopted by decision VIII/6), using the “Agenda for Action,” developed by the Executive Secretary in consultation with the Informal Advisory Committee. In the same decision, the Conference of the Parties requested the Executive Secretary to compile information on the implementation of CEPA and to develop the appropriate indicators to determine such impacts.

104. Under this item, the Executive Secretary has prepared a progress report in consultation with the informal advisory committee for CEPA (UNEP/CBD/COP/10/17). This document also provides a preliminary report on celebrations of the International Year of Biodiversity.

105. Under this agenda item, the Conference of the Parties is invited to consider for review and adoption the recommendations developed by the Executive Secretary on the basis of document UNEP/CBD/COP/10/16, which are contained in document UNEP/CBD/COP/10/1/Add.2:

ITEM 4.9. COOPERATION WITH OTHER CONVENTIONS AND INTERNATIONAL ORGANIZATIONS AND INITIATIVES, ENGAGEMENT OF STAKEHOLDERS, INCLUDING BUSINESS AND BIODIVERSITY, CITIES AND BIODIVERSITY, AND SOUTH/SOUTH COOPERATION

106. The Conference of the Parties had consistently noted the importance of cooperation with other organizations, conventions and initiatives, as well as with stakeholders in all major groups, for the achievement of the Convention's objectives. Cooperation is relevant to each of the Convention's programmes of work and cross-cutting issues, and thus cooperative activities or arrangements specific to these are addressed under the appropriate agenda items. This agenda item focuses on more general cooperation activities, those that address multiple areas of work under the Convention follow-up activities to decision IX/27 and new areas of cooperation.

107. Document UNEP/CBD/COP/10/17 provides a report on cooperation with other conventions and international organizations and initiatives with while UNEP/CBD/COP/10/18 provides a report on engagement of stakeholders and major groups and gender mainstreaming.

A. General aspects of cooperation with other conventions and international organizations and initiatives

108. The note by the Executive Secretary (UNEP/CBD/COP/10/17) provides an update on the activities of the Liaison Group on Biodiversity-Related Conventions, and Joint Liaison Group of the Rio Conventions, and on the implementation of memoranda of cooperation and joint programmes of work with partner organizations. It also provides a report on the International Conference on Cultural and Biological Diversity for Development, which was jointly organized with UNESCO. The full report of the Conference will be made available as an information document.

109. Under this agenda sub-item, the Conference of Parties is invited to consider the recommendations developed by the Executive Secretary on the basis of document UNEP/CBD/COP/10/17, contained in document UNEP/CBD/COP/10/1/Add.2.

B. Promoting business engagement

110. In decision IX/26, the Conference of the Parties recognized the potential impacts of business operations on biodiversity and the role that the business community and civil society need to play for the implementation of the three objectives of the Convention, at all levels and welcomed a framework for priority actions to be undertaken by the Secretariat (annexed to the decision). A progress report on implementation of the priority actions is contained in document UNEP/CBD/COP/10/18.

111. This issues was considered by the third meeting of the Working Group on Review of Implementation which developed, in recommendation 3/2, proposals for further action.

112. Under this agenda sub-item, the Conference of Parties is invited to consider WGRI recommendation 3/2, (*promoting business engagement*), contained in document UNEP/CBD/COP/10/1/Add.2.

C. Promoting the engagement of cities and local authorities

113. In decision IX/28, the Conference of the Parties stressed the importance of promoting the engagement of cities and local authorities in the implementation of the Convention and invited Parties to further engage their cities and local authorities.

114. A Global Partnership on Cities and Biodiversity has been launched by associations of cities and local authorities, United Nations agencies, IUCN and international NGOs as a follow-up to the 2007 Curitiba Declaration and the 2009 Bonn Call for Action, as a collaborative platform to support local implementation of the Convention and collaborate in developing strategies and action plans on biodiversity, consistent with national policies. Work is also progressing to test the Singapore Index on Cities' Biodiversity, as a tool for the evaluation of the performance of local authorities on biodiversity, consistent with the indicator framework of the Convention. Document UNEP/CBD/COP/10/18 provides further information on these activities.

115. The City Biodiversity Summit 2010 in Aichi/Nagoya, to be held from 24 to 26 October 2010 in conjunction with the tenth meeting of the Conference of the Parties, as well as a dialogue between delegates to the Conference of the Parties and mayors scheduled for the high-level segment of the meeting, are also expected to contribute to this item..

116. Under this agenda sub-item, the Conference of the Parties is invited to consider for review and adoption the recommendations developed by the Executive Secretary on the basis of document UNEP/CBD/COP/10/18, which are contained in document UNEP/CBD/COP/10/1/Add.2.

D. South-South Cooperation

117. By decision IX/25, on South-South Cooperation on biodiversity for development, the Conference of the Parties took note of the initiative of developing countries to prepare, in collaboration with the Secretariat and other relevant organizations, programmes and agencies, a multi-year plan of action on South-South Cooperation to promote the conservation and sustainable use of biodiversity for protection of life on Earth as well as the access and fair equitable sharing of benefit arising from the use of genetic resources. In the same decision, the Executive Secretary was requested to report on the preparation of the multi-year plan of action and Parties and other Governments, regional and international organizations were invited to support the organization of a South-South cooperation forum on biodiversity for development at the margins of the tenth meeting of the Conference of the Parties.

118. Taking into account existing national and regional initiatives, the successive chairs and the Secretariat of the Group of 77, the Secretariat of the Convention and experts on South-South cooperation worked on the preparation of the plan during an expert meeting on South-South Cooperation, held in Montreal on 6-7 November 2008, the South-South-Exchange Meeting on the Conservation and Sustainable Use of Forest Biodiversity, held in Montreal from 8 to 10 July 2009, and the first meeting of the Steering Committee for South-South Cooperation on Biodiversity, held in Montreal on 29 October 2009. Its final version was validated at the second Expert Meeting on South-South Cooperation convened in Nairobi, on 29-30 May 2010 in conjunction with the third meeting of the Working Group on Review of Implementation of the Convention. The Executive Secretary has prepared a note (UNEP/CBD/COP/10/18/Add.1) containing the draft multi-year plan of action on South-South Cooperation and also provides an overview of relevant actions taken by the Secretariat, Parties and organizations..

119. A first South-South Cooperation Forum on Biodiversity for Development, to be held on 17 October 2010, with the support of the Group of 77, will also contribute to the deliberations of the Parties.

120. The Conference of the Parties is invited to consider for endorsement a draft multi-year plan of action on South-South Cooperation, on the basis of the draft in document UNEP/CBD/COP/10/18/Add.1. taking into account also the outcome of the Forum on October 17. A draft recommendation to this effect is included in document UNEP/CBD/COP/10/1/Add.2.

E. Gender mainstreaming

121. The Conference of the Parties, at its ninth meeting, welcomed the development of the Gender Plan of Action (decision IX/24). Elements to promote gender mainstreaming have been included in the draft updated and revised Strategic Plan of the Convention. Document UNEP/CBD/COP/10/18 notes other steps taken by the Secretariat to promote gender mainstreaming.

122. The Conference of the Parties is invited to take note of the appropriate sections of document UNEP/CBD/COP/10/18.

ITEM 4.10. FINANCIAL MECHANISM: FOURTH REVIEW OF EFFECTIVENESS AND GUIDANCE

123. The Memorandum of Understanding between the Conference of the Parties to the Convention on Biological Diversity and the Council of the Global Environment Facility (decision III/8, annex) set out five major provisions: guidance to the financial mechanism, reporting from the GEF Council, review of the effectiveness of the financial mechanism, determination of funding requirements, and inter-secretariat cooperation. On this subject, the Executive Secretary has prepared the document entitled “The Financial Mechanism” (UNEP/CBD/COP/10/14).

124. At its ninth meeting, the Conference of the Parties adopted a Four-year framework of programme priorities related to the utilization of GEF resources for biodiversity for the period 2010-2014, for consideration during the fifth replenishment (decision IX/31 B). The fifth replenishment was completed in May 2010 (see the Report of the GEF, UNEP/CBD/COP/10/6). The Conference of the Parties also decided to continue to explore ways and means of improving the effectiveness of providing guidance to the financial mechanism (paragraph 2). Further, in decision IX/31 C (paragraph 3) the Conference of the Parties decided, to consider at its tenth meeting the recommendations of the third meeting of the Working Group on Review of Implementation on streamlined guidance, and to consider requests for any new guidance in light of those recommendations. Accordingly, the Working Group prepared recommendation 3/10 which envisages that the Conference of the Parties would adopt consolidated guidance to GEF, prepared on the basis of a draft prepared by the Executive Secretary. This is contained in document in document UNEP/CBD/COP/10/14.

125. In paragraph 5 (c) of decision IX/31 A, the Conference of the Parties requested the Executive Secretary, to prepare, in consultation with the Parties, for the consideration of the Conference of the Parties at its tenth meeting, draft terms of reference for a full assessment of the amount of funds needed for the implementation of the Convention for the sixth replenishment period of the Trust Fund of the Global Environment Facility. The Executive Secretary circulated a notification inviting Parties to submit assessments of their future funding needs based on their updated national biodiversity strategies and action plans, and received submissions from Brazil, the Czech Republic, Egypt, the European Union, France, Germany, Peru and Qatar. The submissions received have been made available on the website of the Convention at <https://www.cbd.int/financial/>, and reproduced in an information document (UNEP/CBD/WGRI/3/INF/5). Based on the submissions received, the Executive Secretary prepared draft terms of reference, which are contained in document UNEP/CBD/COP/10/14.

126. At its ninth meeting, the Conference of the Parties considered the third review of the effectiveness of the financial mechanism (decision IX/31 A). In paragraph 6 of that decision, the Conference of the Parties requested the Executive Secretary to prepare, in consultation with the GEF Council, a proposal on the terms of reference including cost options for the fourth review of the effectiveness of the financial mechanism, for consideration by the tenth meeting of the Conference of the Parties. Accordingly, the Executive Secretary prepared a draft proposal and made it available to the

thirty-seventh meeting of the GEF Council. The proposal is contained in document UNEP/CBD/COP/10/14.

127. Under this agenda item, the Conference of the Parties is invited to consider the following recommendations, which are contained in document UNEP/CBD/COP/10/1/Add.2:

- (a) WGRI recommendation 3/10 (*Review of guidance to the financial mechanism*);
- (b) A recommendation on consolidated guidance to the financial mechanism prepared by the Executive Secretary on the basis of the analysis in document UNEP/CBD/COP/10/14;
- (c) A recommendation on the terms of reference for a full assessment of the amount of funds needed for the implementation of the Convention for the sixth replenishment period of the Trust Fund of the Global Environment Facility prepared by the Executive Secretary on the basis of the analysis in document UNEP/CBD/COP/10/14; and
- (d) A recommendation on the terms of reference for the fourth review of the effectiveness of the financial mechanism prepared by the Executive Secretary on the basis of the analysis in document UNEP/CBD/COP/10/14.

V. ISSUES FOR IN-DEPTH CONSIDERATION

128. In line with the multi-year programme of work of the Conference of Parties up to 2010 (decision VII/31, annex, and decision VIII/10, annex II) the Conference of the Parties is due to undertake, at its tenth meeting, in-depth reviews of the work on sustainable use of biodiversity and on biodiversity and climate change as well as of the following programmes of work: biological diversity of inland water ecosystems; marine and coastal biological diversity; mountain biological diversity; and protected areas. The in-depth reviews were undertaken in accordance with guidelines provided in annex III to decision VIII/15 and considered by the Subsidiary Body on Scientific, Technical and Technological Advice at its fourteenth meeting on the basis of documents prepared by the Secretariat. The outcomes of the in-depth reviews are contained in the report of SBSTTA (UNEP/CBD/COP/10/3) and are further elaborated under the following agenda items.

ITEM 5.1. INLAND WATERS BIODIVERSITY

129. The in-depth review of the programme of work on the biological diversity of inland water ecosystems was considered by SBSTTA on the basis of documents UNEP/CBD/SBSTTA/14/3 and UNEP/CBD/SBSTTA/14/INF/3. The result of the SBSTTA review is contained in recommendation XIV/2.

130. SBSTTA also requested the Executive Secretary, and invited the Scientific and Technical Review Panel of the Ramsar Convention on Wetlands to prepare proposals for strengthening advice regarding the linkages between biodiversity and water. A proposal is contained in document UNEP/CBD/COP/10/20.

131. Under this agenda item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/2 (*In-depth review of the programme of work on the biological diversity of inland water ecosystems*), which is contained in document UNEP/CBD/COP/10/1/Add.2, taking into account the proposals of the Executive Secretary contained in document UNEP/CBD/COP/10/20.

ITEM 5.2. MARINE AND COASTAL BIODIVERSITY

132. The in-depth review of the programme of work on marine and coastal biological diversity was considered by SBSTTA on the basis of documents UNEP/CBD/SBSTTA/14/4.

133. In decisions IX/20, the Conference of Parties requested the Executive Secretary to: (i) prepare scientific synthesis reports on the impacts of destructive fishing practices, unsustainable fishing, and IUU fishing; ocean fertilization; and ocean acidification on marine biodiversity; and (ii) organize two expert workshops on: (a) identification of ecologically or biologically significant areas (EBSAs); and (b) scientific and technical aspects relevant to environmental impact assessment in marine areas beyond national jurisdiction. The outcomes of these activities were reflected in document UNEP/CBD/SBSTTA/14/4 and considered by SBSTTA.

134. SBSTTA, at its fourteenth meeting, adopted recommendation XIV/3, which, in addition to addressing the in-depth review, contains sections on: the identification of ecologically or biologically significant areas and scientific and technical aspects relevant to environmental impact assessment in marine areas;; impacts of destructive fishing practices, unsustainable fishing, and IUU fishing; the impacts of ocean fertilization; the impacts of ocean acidification; and the impacts of unsustainable human activities. On some issues, SBSTTA has presented options for consideration of the Conference of the Parties. The recommendation includes two annexes: an indicative list of activities of operational objective 2.4 of the programme of work and scientific guidance on the identification of marine areas beyond national jurisdiction.

135. Under this agenda item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/3 (*In-depth review of the implementation of the programme of work on marine and coastal biological diversity*), which is contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 5.3. MOUNTAIN BIODIVERSITY

136. The in-depth review of the programme of work on mountain biological diversity was considered by SBSTTA on the basis of document UNEP/CBD/SBSTTA/14/2.

137. At its fourteenth meeting, SBSTTA reviewed implementation of the programme of work, identified the opportunities and obstacles to implementation and ways and means to overcome them and adopted recommendation XIV/1.

138. Under this agenda item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/1 (*In-depth review of the implementation of the programme of work on mountain biological diversity*), which is contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 5.4. PROTECTED AREAS

139. The programme of work on protected areas was adopted in 2004, in decision VII/28. In paragraph 28 of this decision, the Conference of the Parties decided to assess progress in the implementation of the programme of work at each of its meetings until 2010. At its ninth meeting, the Conference of the Parties reviewed the implementation of the programme of work for the period 2006-2008 and adopted decision IX/18. In paragraph 25 of this decision, while deciding the process for preparing the in-depth review of the programme of work at its tenth meeting, the Conference of the Parties requested the Executive Secretary to prepare the review and propose ways and means for strengthening the implementation of the programme of work for consideration by SBSTTA prior to the tenth meeting of the Conference of the Parties.

140. Accordingly, the SBSTTA at its fourteenth meeting reviewed the implementation of the programme of work on the basis of documents UNEP/CBD/SBSTTA/14/5 and Add.1. SBSTTA proposed ways and means for strengthening the implementation of the programme of work, and adopted recommendation XIV/4.

141. SBSTTA also requested the Executive Secretary to prepare a reporting framework on national implementation of the programme of work, for the consideration of the Conference of the Parties at its tenth meeting. Accordingly this framework has been developed by the Executive Secretary, taking into account the draft framework contained in document UNEP/CBD/SBSTTA/14/5/Add.1, submissions made at the fourteenth meeting of SBSTTA, and further consultations through an e-forum (see Notification 2010-117, dated 14 June 2010 and <http://www.cbd.int/protected/collaboration/>) and other means. The revised framework is contained in UNEP/CBD/COP/10/1/Add.2.

142. Under this agenda item, the Conference of the Parties is invited to consider the following which are contained in document UNEP/CBD/COP/10/1/Add.2:

(a) SBSTTA recommendation XIV/1 (*In-depth review of the implementation of the programme of work on protected areas*), which is contained in document UNEP/CBD/COP/10/1/Add.2; and

(b) The reporting framework on national implementation of the programme of work contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 5.5. SUSTAINABLE USE OF BIODIVERSITY

143. Article 10 of the Convention addresses sustainable use of biodiversity. Sustainable use is also one of the three objectives of the Convention and is reflected in all programmes of work, several cross-cutting issues and in the 2010 biodiversity target. The Addis Ababa Principles and Guidelines for the sustainable use of biodiversity were adopted by the Conference of the Parties in decision VII/12.

144. SBSTTA, at its fourteenth session, undertook an in depth review of the activities on sustainable use and application of the Addis Ababa Principles and Guidelines on the basis of document UNEP/CBD/SBSTTA/14/7 and adopted recommendation XIV/6. The national and international level recommendations towards a more sustainable use of bushmeat, prepared by the Liaison Group on Bushmeat, are annexed to the recommendation. The recommendation includes a proposal to establish an ad hoc technical expert group on sustainable use on agriculture and forestry including non-timber forest products, and a proposal on the *Satoyama* Initiative. SBSTTA also requested the Executive Secretary to solicit views of Parties on the terms of reference of the group and to submit a revised terms of reference for the consideration of the Conference of the Parties

145. Under this agenda item, the Conference of the Parties is invited to consider the following which are contained in document UNEP/CBD/COP/10/1/Add.2:

(a) SBSTTA recommendation XIV/6 (*In-depth reviews of implementation of the work on Article 10 of the Convention (sustainable use of biodiversity) and application of the Addis Ababa Principles and Guidelines*); and

(b) The terms of reference for an ad hoc technical expert group on sustainable use on agriculture and forestry including non-timber forest products.

ITEM 5.6. BIODIVERSITY AND CLIMATE CHANGE

146. In preparation for the in-depth review on ongoing work on the cross-cutting issue of biodiversity and climate change, scheduled in the multi-year programme of work, the Conference of the Parties, in paragraph 3 of its decision IX/16 B, requested that the in-depth review include case-studies, good-practice examples and lessons learned on activities, tools and methods to promote synergies between activities addressing biodiversity, desertification/land degradation and climate change at national and, where appropriate, local levels.

147. At its fourteenth meeting, SBSTTA carried out the in-depth review on the basis of three notes by the Executive Secretary (UNEP/CBD/SBSTTA/14/6 and Add. 1 and 2). The climate-change-related aspects of the other in-depth reviews were also taken into account.

148. SBSTTA adopted recommendation XIV/5 which, *inter alia*, welcomed the report of the second ad hoc technical expert group on biodiversity and climate change and made a number of recommendations based on its findings on the following topics: assessing impacts of climate change; reducing impacts; ecosystem-based approaches for adaptation; ecosystem-based approaches for mitigation; reducing impacts of climate change mitigation and adaptation measures, valuation and incentive measures. The recommendation also covers links between climate change and the biodiversity of dry and sub-humid lands as requested by decision IX/17 and includes, as options, proposals for a joint work programme among the Rio conventions. SBSTTA requested the Executive Secretary to consult Parties on possible joint activities and report to the Conference of the Parties. Accordingly, the Executive Secretary has organized an on-line consultation and the results will be made available in document UNEP/CBD/COP/10/23.

149. Additional to the review by SBSTTA, decision IX/16 calls for a specific proposal to be considered by the tenth meeting of the Conference of the Parties on ways and means to achieve biodiversity co-benefits and benefits for combating desertification/land degradation in climate-change activities, including through capacity-building. The same decision requests a report on the nature and scope of the Bali Strategic Plan for Technology Support and Capacity-Building with a view to identifying how it might support the achievement of synergies between the three Rio conventions in national implementation. The Executive Secretary, in collaboration with relevant partners, has prepared document UNEP/CBD/COP/10/22 to address these matters.

150. The report of the ninth and tenth meetings of the Joint Liaison Group of the Rio Conventions will be made available to Parties.

151. Under this agenda item, the Conference of the Parties is invited to consider the following:

(a) SBSTTA recommendation XIV/5 (*In-depth review of the work on biodiversity and climate change*), contained in document UNEP/CBD/COP/10/1/Add.2, taking into account also, the outcome of the consultations on possible joint activities (UNEP/CBD/COP/10/23); and

(b) Additional elements for the recommendation prepared by the Executive Secretary, also contained in document UNEP/CBD/COP/10/1/Add.2, concerning proposals on ways and means to achieve biodiversity co-benefits and benefits for combating desertification/land degradation in climate-change activities based on document UNEP/CBD/COP/10/22;

VI. OTHER SUBSTANTIVE ISSUES ARISING FROM DECISIONS OF THE CONFERENCE OF THE PARTIES

ITEM 6.1. AGRICULTURAL BIODIVERSITY

152. In decision IX/1, the Conference of the Parties requested the Executive Secretary with the Food and Agriculture Organization of the United Nations (FAO) and, as appropriate, other relevant organizations to: (i) identify appropriate methods or means, including provisional goals and targets, and indicators, including existing indicators, to objectively evaluate implementation of the programme of work (paragraph 6); (ii) further elaborate the operational guidelines of the Addis Ababa Principles and Guidelines for the sustainable use of agricultural biodiversity, taking into account the special nature of agricultural biodiversity, its distinctive features, and problems needing distinctive solutions (paragraph 32); and (iii) prepare a joint work plan on biodiversity for food and agriculture, working also with the Secretariat of the FAO Commission on Genetic Resources for Food and Agriculture (paragraph 37). These matters were considered by the Subsidiary Body on Scientific, Technical and Technological Advice at its fourteenth meeting (document UNEP/CBD/SBSTTA/14/11). Point (ii) was taken up under the agenda item on sustainable use (see item 5.5 above) and the others under this topic.

153. SBSTTA adopted recommendation XIV/10 A⁴ concerning, *inter alia*, the areas of cooperation between the Convention, FAO and its Commission on Genetic Resources for Food and Agriculture, as well as the International Treaty on Plant Genetic Resources for Food and Agriculture.

154. Under this agenda item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/10 A (*Agricultural biodiversity, follow up to the requests of the Conference of the Parties in decision IX/1*), contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 6.2. BIODIVERSITY OF DRY AND SUB-HUMID LANDS

155. Decision IX/17 on the biodiversity of dry and sub-humid lands called for a number of items to be considered by the fourteenth meeting of SBSTTA including: (i) the means to strengthen collaboration in pastoralism and agricultural use of dry and sub-humid lands, (ii) proposals for the integration of climate change considerations within the programme of work on the biodiversity of dry and sub-humid lands, (iii) the further development of the activities contained in paragraphs 29 and 30 of the progress report and consideration of proposals for future action (UNEP/CBD/COP/9/19), particularly activities related to economic valuation and payments for ecosystem services, and (iv) information on the impacts of drought on biodiversity and prepare proposals on management options for biodiversity and drought, including early warning systems.

156. Furthermore, the same decision adopted the delineation of drylands resulting from the study by the World Conservation Monitoring Centre of the United Nations Environment Programme (UNEP-WCMC) subject to the addition of dry and sub-humid tropical forests. This delineation has been revised accordingly following further work by UNEP-WCMC, as reported in the progress report on the thematic programmes of work (UNEP/CBD/COP/10/20). This document also includes a report on other activities carried out by the Secretariat in response to decision IX/17.

157. Finally the same decision calls for proposals on the enhanced integration of climate change – related activities within the programme of work. However this request will be taken up under agenda

⁴ SBSTTA recommendation XIV/10 B covers biofuels and biodiversity, which falls under agenda item 6.4. As suggested in the proposed organization of work (annex II below), these items would be considered in the same session of the Working Group.

item 5.6 the in-depth review of the cross-cutting issue on the biodiversity of dry and sub-humid lands (recommendation XIV/5).

158. At its fourteenth session, SBSTTA adopted recommendation XIV/11 addressing, *inter alia*, cooperation with the United Nations Convention to Combat Desertification, good practices related to pastoralism, and the integration of biodiversity into drought-management and related plans.

159. SBSTTA also requested the Executive Secretary to consult Parties concerning possible joint activities among the Rio conventions. This is addressed in the note by the Executive Secretary on Proposals on ways and means to achieve biodiversity co-benefits and benefits for combating desertification/land degradation in climate-change activities including through implementation of the Bali Strategic Plan for Technology Support and Capacity-Building (UNEP/CBD/COP/10/22), which is being circulated under agenda item 5.6.

160. Under this agenda item, the Conference of the Parties is invited to consider:

(a) SBSTTA recommendation XIV/11 (*Consideration of proposals related to the programme of work on dry and sub-humid lands*), contained in document UNEP/CBD/COP/10/1/Add.2; and

(b) The revised delineation for dry and sub-humid lands (UNEP/CBD/COP/10/20).

ITEM 6.3. FOREST BIODIVERSITY

161. On the basis of the in depth review of the expanded programme of work carried out by SBSTTA at its thirteenth meeting, the Conference of the Parties, at its ninth meeting adopted decision IX/5, which urged Parties to strengthen the implementation of the programme of work, including through capacity building. Decision IX/5 also lists major human induced threats to forest biodiversity which should be addressed as a matter of priority, including unregulated and unsustainable use of forest products and resources (including unsustainable hunting and trade of bushmeat, and their impacts on non-target species), climate change, desertification and desert creep, illegal land conversion, habitat fragmentation, environmental degradation, forest fires, and invasive alien species.

162. In decision IX/5, the Conference of the Parties requested the Executive Secretary:

(a) To explore possibilities for developing a work plan with targeted joint activities between the secretariats of the Convention on Biological Diversity and the United Nations Forum on Forests;

(b) To continue the cooperation with the Food and Agriculture Organization of the United Nations and other relevant organizations on the monitoring of forest biodiversity, and on clarifying the definitions of forest and forest types that reflect forest biodiversity at the level appropriate for reporting and monitoring the status of forest biodiversity, building on the existing concepts and definitions provided by Parties and members of the Collaborative Partnership on Forests and other relevant organizations and regional criteria and indicator processes; and

(c) To report to SBSTTA prior to the tenth meeting of the Conference of the Parties.

163. Following consultation with national focal points, the Executive Secretary prepared a note on collaboration with the United Nations Forum on Forests and the Food and Agriculture Organization of the United Nations (UNEP/CBD/SBSTTA/14/14).

164. SBSTTA, at its fourteenth session, considered this report and adopted recommendation XIV/12 on matters related to forest biodiversity.

165. A progress report on other work on forest biodiversity in follow-up to decision IX/5 is provided in the progress report of the Executive Secretary on the thematic programmes of work under the Convention (UNEP/CBD/COP/10/20).

166. Under this item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/12 (*Forest biodiversity: Collaboration with the secretariat of the United Nations Forum on Forests and report on cooperation on the monitoring of forest biodiversity and on clarifying the definitions of forest and forest types*), contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 6.4. BIOFUELS AND BIODIVERSITY

167. At its ninth meeting, the Conference of the Parties adopted decision IX/2, on agricultural biodiversity: biofuels and biodiversity, in which it encouraged the sharing of information related to monitoring the effects of biofuels on biodiversity and on promoting the positive and minimizing the negative impacts of biofuels on biodiversity and requested the Executive Secretary to convene regional workshops on the sustainable production and use of biofuels. The Conference of the Parties requested SBSTTA to consider the reports of the regional workshops, and a compilation of information referred to above and to recommend, for consideration by the Conference of the Parties at its tenth meeting, ways and means to promote the positive and minimize the negative impacts of the production and use of biofuels on biodiversity.

168. Accordingly, on the basis of document UNEP/CBD/SBSTTA/14/12, SBSTTA adopted recommendation XIV/10 B. On many aspects of the recommendation, it was not possible to reach consensus and many options remain in the recommendation for the consideration of the Conference of the Parties.

169. Under this agenda item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/10 B (*Biofuels and biodiversity: Consideration of ways and means to promote the positive and minimize the negative impacts of the production and use of biofuels on biodiversity*), contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 6.5. INVASIVE ALIEN SPECIES

170. The Conference of the Parties has progressively identified, clarified and addressed the gaps and inconsistencies in the international regulatory framework on invasive alien species. In its decision IX/4 A, it, *inter alia* invited a number of organizations to address certain gaps. It also requested the Executive Secretary to continue to collaborate with various organizations on this and other issues. The progress report of the Executive Secretary on cross-cutting issues (UNEP/CBD/COP/10/21) provides information in follow-up to these requests. Decision IX/4 A also called for further work under the Convention on Biological Diversity on the specific area of invasive alien species introduced as pets, aquarium and terrarium species, as live bait and live food, including the collation of best practices, and requested SBSTTA to consider the establishment of an ad hoc technical expert group on the issue.

171. At its fourteenth session, SBSTTA considered the issue of invasive alien species introduced as pets, aquarium and terrarium species, as live bait and live food on the basis of a note by the Executive Secretary on the subject (UNEP/CBD/SBSTTA/14/16/Rev.1) and adopted recommendation XIV/13 A, which, *inter alia*, establishes an ad hoc technical expert group to suggest ways and means to address

this issue. SBSTTA also made recommendations on other matters related to invasive alien species in recommendation XIV/13 B.

172. At its ninth meeting, the Conference of the Parties also conducted an in depth review of its work on invasive alien species requested the Executive Secretary :(i) to conduct a systematic analysis of resources and opportunities to meet the capacity needs, and (ii) to report on implementation of this decision, and decision VIII/27. Information on these matters is compiled by the Executive Secretary and available in the progress report on cross-cutting issues (UNEP/CBD/COP/10/21).

173. Under this agenda item, the Conference of the Parties is invited to consider the following,), all of which are contained in document UNEP/CBD/COP/10/1/Add.2:

(a) SBSTTA recommendation XIV/13 A (*Invasive alien species introduced as pets, aquarium and terrarium species, and as live bait and live food*);

(b) SBSTTA recommendation XIV/13 B (*Other matters related to invasive alien species*);
and

(c) Further recommendations developed by the Executive Secretary on the basis of the progress report on cross-cutting issues (UNEP/CBD/COP/10/21).

ITEM 6.6. GLOBAL TAXONOMY INITIATIVE

174. In decision IX/22, the Conference of the Parties endorsed a set of outcome-oriented deliverables for each of the planned activities of the programme of work on the Global Taxonomy Initiative and requested the Executive Secretary to report on the progress in these matters to SBSTTA and the Conference of the Parties. The Executive Secretary was also requested to develop planned activities on island biodiversity and protected areas in consultation with the Coordination Mechanism of the Global Taxonomy Initiative and to include mobilization of resources for implementation of the programme of work on the Global Taxonomy Initiative in possible future donor meetings that may be held on the margins of SBSTTA or other meetings.

175. SBSTTA reviewed a progress report provided by the Executive Secretary (UNEP/CBD/SBSTTA/14/15) and prepared recommendation XIV/14

176. On the margins of SBSTTA, the Coordination Mechanism and the Secretariat organized a GTI Symposium, followed by the ninth meeting of the Coordination Mechanism. The progress report on cross-cutting issues (UNEP/CBD/COP/10/21) provides information on the outcome of these events as well as an update on the implementation of the requests to the Executive Secretary in decision IX/22.

177. Under this item, the Conference of the Parties is invited to consider SBSTTA recommendation XIV/14 (*The Global Taxonomy Initiative: results and lessons learned from regional taxonomic needs assessments and identification of priorities*), contained in document UNEP/CBD/COP/10/1/Add.2.

ITEM 6.7. ARTICLE 8(j) AND RELATED PROVISIONS

178. In accordance with decision IX/13 A, paragraph 5, the Ad Hoc Open-ended Working Group on Article 8(j) and related provisions held its sixth meeting, in Montreal from 2 to 6 November 2009.

179. The Working Group finalized a recommendation (6/1) on mechanisms to promote the effective participation of indigenous and local communities in the work of the Convention, including sections on:

/...

(a) Capacity-building efforts, (b) Development of communications, mechanisms and tools to facilitate the effective participation of indigenous and local communities in the work of the Convention, (c) Participation of indigenous and local communities in the work of the convention, including through the voluntary fund, and (d) Other initiatives.

180. The Working Group also finalized a recommendation (6/2) on elements of *sui generis* systems for the protection of traditional knowledge.

181. The Working Group adopted recommendation 6/3 and advanced elements of a code of ethical conduct to ensure respect for the cultural and intellectual heritage of indigenous and local communities relevant to the conservation and sustainable use of biological diversity, which are annexed to the recommendation.

182. The Working Group developed, in recommendation 6/4, a multi-year programme of work which revised the programme of work to include a new major focus on Article 10(c) (Customary sustainable use); and also decided that meetings of the Working Group would include in-depth dialogues on thematic areas and other cross-cutting issues. The actual topic for the dialogue at its seventh meeting is yet to be decided. In the same recommendation, the Working Group adopted two additional indicators for traditional knowledge; recommended that an expert meeting of local community representatives be convened to gather advice on how local communities can be more effectively engaged in the work of the Convention; decided to collaborate with WIPO and relevant agencies to complete its toolkit on the documentation of traditional knowledge; and considered recommendations from the UNPFII.

183. The Working Group also provided views to the Ad Hoc Working Group on Access and Benefit Sharing concerning traditional knowledge associated with genetic resources.

184. Under this item, the Conference of the Parties is invited to consider the following recommendations of the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions (UNEP/CBD/COP/10/2), which are also contained in document UNEP/CBD/COP/10/1/Add.2:

(a) Recommendation 6/1 (*Mechanisms to promote the effective participation of indigenous and local communities in the work of the Convention*);

(b) Recommendation 6/2 (*Elements of sui generis systems for the protection of traditional knowledge*);

(c) Recommendation 6/3 (*Elements of a code of ethical conduct to ensure respect for the cultural and intellectual heritage of indigenous and local communities*);

(d) Recommendation 6/4 (*Multi-year programme of work on the implementation of Article 8(j) and related provisions of the Convention on Biological Diversity*).

ITEM 6.8 INCENTIVE MEASURES

185. At its ninth meeting, the Conference of the Parties requested the Executive Secretary to convene an international workshop on the removal and mitigation of perverse, and the promotion of positive, incentive measures with a view to collecting, exchanging and analysing information, including case-studies on, good practices for, and lessons learned from, concrete and practical experiences in identifying and removing or mitigating perverse incentive measures, and to identify a limited number of good practice cases from different regions, for consideration by SBSTTA and review by the Conference of the Parties at its tenth meeting (decision IX/6, paragraph 6). Accordingly, the workshop was convened and its report was made available to SBSTTA at its fourteenth meeting (UNEP/CBD/SBSTTA/14/17 and UNEP/CBD/SBSTTA/14/INF/26).

186. SBSTTA prepared recommendation XIV/15 which, inter alia, encouraged dissemination of the lessons learned and good case studies from the international workshop and made other recommendations to further the work on incentive measures under the Convention. SBSTTA also requested the Executive Secretary to complement the report of the international workshop with additional information and make this available to the tenth meeting of the Conference of the Parties. This is provided in document UNEP/CBD/COP/10/24.

187. A progress report on other work on incentive measures in follow-up to decision IX/6, aside from the international workshop, as well as decision VIII/25, is provided document UNEP/CBD/COP/10/21.

188. Under this agenda item, the Conference of the Parties is invited:

(a) To consider SBSTTA recommendation XIV/15 (*Incentive measures*), contained in document UNEP/CBD/COP/10/1/Add.2, taking into account also the suggestions made in the progress report on cross-cutting issues (UNEP/CBD/COP/10/21); and

(b) To take note of the note by the Executive Secretary on information and good-practice cases on the identification and removal or mitigation of perverse, and the promotion of positive, incentive measures (UNEP/CBD/COP/10/24).

ITEM 6.9 NEW AND EMERGING ISSUES

189. In line with previous practice, this item is addressed under item 4.3 (e) (*Operations of the Convention*).

VII. ADMINISTRATIVE AND BUDGETARY MATTERS

ITEM 7. ADMINISTRATION OF THE CONVENTION AND BUDGET FOR THE TRUST FUND OF THE CONVENTION FOR THE BIENNIUM 2011-2012

A. Programme budget for the biennium 2011-2012

190. Under this item, the Conference of the Parties is invited to adopt a programme budget for the biennium 2011-2012 to meet the core administrative costs of the Conference of the Parties, SBSTTA, the meetings of other subsidiary bodies and the Secretariat.

191. The Conference of the Parties will have before it, for consideration and approval three alternatives for the core budget BY as well as a proposed budget for the voluntary BE and BZ Trust Funds for the Convention (UNEP/CBD/COP/10/25). As in the past, the levels of contributions to the budget by individual Parties, which are annexed to the document, have been based on the United Nations scale of assessments for the apportionment of expenses. Detailed information on activities and resource

needs by programme and subprogramme are explained in the note by the Executive Secretary on detailed subprogramme activities and resources required (UNEP/CBD/COP/10/25/Add.1), while tables indicating the status of the trust funds under the Convention, the financial statements for 2008 and the scale of contributions for the biennium 2009-2010 are being circulated as an information document).

192. The Cartagena Protocol on Biosafety provides that, “to the extent that they are distinct, the costs of the Secretariat services for the Protocol shall be met by the Parties hereto”. Therefore, there is a need to identify those Secretariat costs that are distinct to the Protocol and those that are common to the Convention and the Protocol. Given that the fifth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol will immediately precede the tenth meeting of the Conference of the Parties to the Convention, the latter will be informed of the budget adopted for the Protocol for the next biennium as distinct costs.

193. In decision IX/34, paragraph 37, the Conference of the Parties requested the Executive Secretary to explore the advantages and disadvantages of using the host country currency or the US\$ as the currency of the account and budget of the Convention and to report and make proposals for decision by the Conference of the Parties at its tenth meeting. In keeping with this request, a document has been developed by the Executive Secretary containing a detailed analysis of the issue and proposing action to be taken by the Conference of the Parties. The document is submitted for the consideration of the Conference of the Parties as an annex to UNEP/CBD/COP/10/5.

B. Review and revision of the administrative arrangements between the United Nations Environment Programme and the Secretariat of the Convention

194. In section V of its decision IX/29, the Conference of the Parties took note of the ongoing review and revision of the administrative arrangements between the United Nations Environment Programme (UNEP) and the Secretariat of the Convention and urged the Executive Director of UNEP and the Executive Secretary to finalize the revision for consideration by the Conference of the Parties at its tenth meeting.

195. As of date of the present document, revised administrative arrangements between UNEP and Executive Secretary remain to be agreed. Any revised administrative arrangements post-dating this document will be made available to the Conference of Parties.

VIII. FINAL MATTERS

ITEM 8.1. OTHER MATTERS

196. The Conference of the Parties may wish to consider other matters raised and accepted for discussion in accordance with rule 12 of the rules of procedure and paragraph 7 of decision IV/16.

ITEM 8.2. ADOPTION OF THE REPORT

197. The Conference of the Parties will be invited to consider and adopt the report on the work of its tenth meeting on the basis of the draft report prepared by the *Rapporteur* and the reports of the two working groups. It may also wish to annex to its report the outcomes of the high-level segment of the meeting and other events organized in conjunction with its meeting. In accordance with established practice, the Conference of the Parties is invited to authorize the *Rapporteur* to complete the final report after the meeting with the guidance of the President and assistance of the Secretariat.

ITEM 8.3. CLOSURE OF THE MEETING

198. It is expected that the tenth meeting of the Conference of the Parties will be closed on Friday, 29 October 2010 at approximately 6 p.m. It will be immediately followed by a closing reception offered by the host of the eleventh meeting of the Conference of the Parties.

Annex I

**PROVISIONAL LIST OF DOCUMENTS FOR THE TENTH MEETING OF
THE CONFERENCE OF THE PARTIES TO THE
CONVENTION ON BIOLOGICAL DIVERSITY**

<i>Document symbol</i>	<i>Agenda item(s)</i>	<i>Title of document</i>
UNEP/CBD/COP/10/1	1.3	Provisional agenda
UNEP/CBD/COP/10/1/Add.1	1.3	Annotations to the provisional agenda
UNEP/CBD/COP/10/1/Add.2	Several	Draft decisions for the ninth meeting of the Conference of the Parties
UNEP/CBD/COP/10/2	2.1, several	Report of the sixth meeting of the Open-ended Ad Hoc Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions
UNEP/CBD/COP/10/3	2.1, several	Report of the fourteenth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice
UNEP/CBD/COP/10/4	2.1, several*	Report of third meeting of the Ad Hoc Open-ended Working Group on the Review of Implementation of the Convention
UNEP/CBD/COP/10/5	2.1, 3	Final report of the ninth meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing
UNEP/CBD/COP/10/5/Add.1	2.1, 3	Report of the seventh meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing
UNEP/CBD/COP/10/5/Add.2	2.1, 3	Report of the eighth meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing
UNEP/CBD/COP/10/5/Add.3	2.1, 3	Report of the first part of the ninth meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing
UNEP/CBD/COP/10/5/Add.4	2.1, 3	Report of the second part of the ninth meeting of the Ad Hoc Open-ended Working Group on Access and Benefit-sharing
UNEP/CBD/COP/10/6	2.2, 4.10	Report of the Global Environment Facility
UNEP/CBD/COP/10/7	2.3, 7	Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention
UNEP/CBD/COP/10/8	4.1	Implementation of the Convention: Implementation of the Strategic Plan and progress towards the 2010 biodiversity target
UNEP/CBD/COP/10/8/Add.1	4.1	Global Biodiversity Outlook 3 (Executive summary)
UNEP/CBD/COP/10/9	4.2	Revised and updated Strategic Plan: technical rationale and suggested milestones and indicators
UNEP/CBD/COP/10/10	4.3 (a)	The multi-year programme of work and periodicity of meetings of the Conference of the Parties
UNEP/CBD/COP/10/10/Add.1	4.3 (a)	Periodicity of meetings and organization of work of the Conference of the Parties – analysis of options.
UNEP/CBD/COP/10/11	4.3 (b)	Guidelines for the fifth national reports

<i>Document symbol</i>	<i>Agenda item(s)</i>	<i>Title of document</i>
UNEP/CBD/COP/10/12	4.3 (c)	Outcome of the third ad hoc intergovernmental and multi-stakeholder meeting on an intergovernmental platform on biodiversity and ecosystem services
UNEP/CBD/COP/10/13	4.4	Review of the implementation of the strategy for resource mobilization in support of the Convention's three objective for the period 2008-2015 (Goals 1, 3, 4, 6 and 8).
UNEP/CBD/COP/10/14	4.10	The financial mechanism
UNEP/CBD/COP/10/15	4.5	Scientific and technical cooperation and the clearing-house mechanism: implementation of the strategic plan of the clearing-house mechanism
UNEP/CBD/COP/10/16	4.8	Communication, education and public awareness and the International Year of Biodiversity
UNEP/CBD/COP/10/17	4.9 (a)	Cooperation with other conventions and international organizations and initiatives
UNEP/CBD/COP/10/18	4.9 (b)	Engagement of stakeholders and major groups and gender mainstreaming
UNEP/CBD/COP/10/18/Add.1	4.9 (d)	Plan of Action on South-South Cooperation
UNEP/CBD/COP/10/19	4.7	Global Strategy for Plant Conservation: technical rationale, justification for updating and suggested milestones and indicators
UNEP/CBD/COP/10/20	5 & 6	Progress report on the thematic programmes of work
UNEP/CBD/COP/10/21	5 & 6	Progress report on cross-cutting issues.
UNEP/CBD/COP/10/22	5.6	Proposals on ways and means to achieve biodiversity co-benefits and benefits for combating desertification/land degradation in climate-change activities including through implementation of the Bali Strategic Plan for Technology Support and Capacity-Building
UNEP/CBD/COP/10/23	5.6	Synthesis of the consultations on possible joint activities among the Rio Conventions
UNEP/CBD/COP/10/24	6.8	Information and good-practice cases on the identification and removal or mitigation of perverse, and the promotion of positive, incentive measures.
UNEP/CBD/COP/10/25	7	Proposed budget for the programme of work of Convention and its Cartagena Protocol for the biennium 2009-2010
UNEP/CBD/COP/10/25/Add.1	7	Details of activities and resource requirements by programme and subprogramme

Annex II

**SUGGESTIONS FOR THE ORGANIZATION OF WORK AT THE TENTH MEETING OF THE
CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY**

	PLENARY	WORKING GROUP I	WORKING GROUP II
<i>Monday, 18 October 2010 10 a.m. – 1 p.m.</i>	1.1 Opening of the meeting 1.2 Election of officers 1.3 Adoption of the agenda 1.4 Organization of work 1.5 Report on the credentials of representatives to the ninth meeting of the Conference of the Parties. 1.6 Pending issues 2.1 Reports of intersessional and regional preparatory meetings 2.2 Report of the Global Environment Facility 2.3 Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Fund of the Convention 3. International regime on access and benefit-sharing 7. Administration of the Convention and budget for the programme of work of the Convention for the biennium 2009-2010		
<i>3 p.m. – 6 p.m.</i>		5.1 Inland waters biodiversity. 5.3 Mountain biodiversity.	4.1. Progress toward the 2010 biodiversity target and the Global Biodiversity Outlook. 4.2. Revised Strategic Plan, biodiversity target and indicators.
<i>Tuesday, 19 October 10 a.m. – 1 p.m.</i>		5.2 Marine and coastal biodiversity.	4.3. Operations of the Convention, including programme of work for the period 2011-2022 and periodicity of meetings of the Conference of the Parties. 6.9 New and emerging issues.

	PLENARY	WORKING GROUP I	WORKING GROUP II
3 p.m. – 6 p.m.		5.4 Protected areas. 5.5 Sustainable use of biodiversity.	4.4. Strategy for resource mobilization. 4.10. Financial mechanism: fourth review of effectiveness and guidance.
<i>Wednesday, 20 October</i> 10 a.m. – 1 p.m.		5.6 Biodiversity and Climate Change	4.5 Scientific and technical cooperation and the clearing-house mechanism. 4.6. Technology transfer and cooperation.
3 p.m. – 6 p.m.		6.2. Biodiversity of dry and sub-humid lands. 6.3. Forest biodiversity.	4.8. Communication, education and public awareness (CEPA) and the International Year of Biodiversity
<i>Thursday, 21 October</i> 10 a.m. – 1 p.m.		6.1 Agriculture biodiversity. 6.4. Biofuels and biodiversity.	4.9. Cooperation with other conventions and international organizations and initiatives, engagement of stakeholders, including business and biodiversity, cities and biodiversity, and South/South cooperation.
3 p.m. – 6 p.m.		6.5 Invasive alien species. 6.6. Global Taxonomy Initiative.	4.7. Global Strategy for Plant Conservation.
<i>Friday, 22 October</i> 10 a.m. – 1 p.m.		6.8. Incentive measures.	6.7 Article 8(j) and related provisions.
3 p.m. – 6 p.m.	Review of progress in Working Groups and in the contact groups on access and benefit-sharing and the budget, followed by meetings of the working groups	<i>Following plenary meeting:</i> (Matters outstanding)	<i>Following plenary meeting:</i> (Matters outstanding)
6 p.m.	<i>Linnaeus Lecture</i>		
<i>Monday, 25 October</i> 10 a.m. – 1 p.m.		(Matters outstanding)	(Matters outstanding)
3 p.m. – 6 p.m.		(Matters outstanding)	(Matters outstanding)

	PLENARY	WORKING GROUP I	WORKING GROUP II
<i>Tuesday, 26 October</i> 10 a.m. – 1 p.m.		(Matters outstanding)	(Matters outstanding)
3 p.m. – 6 p.m.		(Matters outstanding)	(Matters outstanding)
<i>Wednesday, 27 October</i> 10 a.m. – 1 p.m.		(Matters outstanding)	(Matters outstanding)
3 p.m. – 6 p.m.		(Matters outstanding)	(Matters outstanding)
<i>Thursday, 28 October</i> 10 a.m. – 1 p.m.		(Matters outstanding)	(Matters outstanding)
3 p.m. – 6 p.m.		(Matters outstanding)	(Matters outstanding)
<i>Friday, 29 October</i> 10 a.m. – 1 p.m.	<i>(no meetings scheduled)</i>	<i>(no meetings scheduled)</i>	<i>(no meetings scheduled)</i>
3 p.m. – 6 p.m.	<p>3. International regime on access and benefit-sharing (<i>continued</i>)</p> <p>7. Administration of the Convention and budget for the programme of work of the Convention for the biennium 2009-2010 (<i>continued</i>)</p> <p>Consideration of draft decisions of the Working Groups</p> <p>1.7. Date and venue of the eleventh meeting of the Conference of the Parties.</p> <p>8.1. Other matters.</p> <p>8.2 Adoption of the report.</p> <p>8.3. Closure of the meeting.</p>		
6 p.m.	Closing reception by the host of COP 11		