



## Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE  
CONVENTION ON BIOLOGICAL DIVERSITY  
Thirteenth meeting  
Cancun, Mexico, 4-17 December 2016

### ANNOTATED PROVISIONAL AGENDA

#### INTRODUCTION

1. Following the invitation of the Government of Mexico, which was welcomed by the Conference of the Parties in its decision XII/34, the thirteenth meeting of the Conference of the Parties will be held in Cancun, Mexico, from 4 to 17 December 2016.
2. In line with decision XII/27, paragraph 3, as well as decision BS-VII/9, paragraph 1 of, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and decision NP-1/12, paragraph 1, of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, the meeting of the Conference of the Parties will be held concurrently with the meetings of the Protocols.
3. At its meeting held in Montreal on 27 and 28 April 2015, the Bureau of the Conference of the Parties considered the draft provisional agenda and annotations for the meeting. The Bureau approved the agenda, as subsequently finalized by the Executive Secretary, taking into account the comments by the Bureau at that meeting (UNEP/CBD/COP/13/1).
4. Preliminary annotations to the provisional agenda were issued on 25 June, 2015 to facilitate advance preparations for the thirteenth meeting of the Conference of the Parties by Parties and observers. The present document updates these annotations in the light of the outcomes of intersessional meetings.
5. The provisional agenda and the annotations have been prepared in line with the multi-year programme of work of the Conference of the Parties up to 2020 adopted in decision XII/31, in which the Conference of the Parties identified issues for consideration at its thirteenth meeting, and with other decisions of the Conference of the Parties.
6. In accordance with rule 6 of the rules of procedure for meetings of the Conference of the Parties, the Secretariat has notified the United Nations, its specialized agencies and the International Atomic Energy Agency, as well as any State not party to the Convention of this meeting so that they may be represented as observers.
7. In accordance with rule 7 of the rules of procedure, the Secretariat has sent notifications to those bodies and agencies, whether governmental or non-governmental, qualified in fields relating to the conservation and sustainable use of biological diversity, which have informed the Secretariat of their wish to be represented at the meeting, so that they may be represented as observers. A list of such bodies will be made available to the Conference of the Parties for information.

8. A high-level ministerial segment will be organized by the Government of Mexico, as host country, in consultation with the Secretariat and the Bureau. The high-level segment will be held from 2 to 4 December. .

9. In accordance with established practice, the Executive Secretary will prepare a compilation of the draft decisions proposed by subsidiary bodies at intersessional meetings or developed by the Executive Secretary in the light of earlier decisions and recommendations (UNEP/CBD/COP/13/2).

## I. ORGANIZATIONAL MATTERS

### Item 1. Opening of the meeting

10. The opening ceremony will take place on the afternoon of Sunday, 4 December 2016. The opening of the meeting will continue at 10 a.m. on Monday, 5 December, as necessary.<sup>1</sup>

11. The meeting will be opened by the President of the twelfth meeting of the Conference of the Parties or his representative. At the opening session, the President of the twelfth meeting of the Conference of the Parties or his representative will call for the election of a representative of the host country as President of the thirteenth meeting. The term of office of the President will begin immediately following his or her election at the thirteenth meeting of the Conference of the Parties and will end with the election of a successor at the fourteenth meeting.

12. At the opening session, the Conference of the Parties will hear a presentation on the outcomes of the high-level segment, as well as addresses of welcome by representatives of the Government of Mexico and other entities.

13. The Executive Secretary will address the meeting and highlight the main issues before the Conference of the Parties.

### Item 2. Adoption of the agenda and organization of work

#### *Adoption of the agenda*

14. The provisional agenda (UNEP/CBD/COP/13/1) has been approved by the Bureau of the Conference of the Parties. It was prepared by the Executive Secretary in accordance with rule 8 of the rules of procedure, with the guidance of the Bureau, and taking into account the multi-year programme of work of the Conference of the Parties up to 2020 (decision XII/31)<sup>2</sup> and other relevant decisions of the Conference of the Parties.

15. The Conference of the Parties will be invited to adopt its agenda on the basis of the provisional agenda prepared by the Executive Secretary.

<sup>1</sup> The meetings of the Conference of the Parties serving as the meetings of the Parties to the Cartagena and Nagoya Protocols will open following the opening of the thirteenth meeting of the Conference of the Parties.

<sup>2</sup> The main issues to be addressed at COP-13 listed in the annex to decision XII/31 are allocated to agenda items as follows:

Interim review of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, and related means of implementation – Agenda item 9 and 11 (for means of implementation).

Further consideration of the implications of the findings of GBO-4 and fifth national reports – Agendas items 9 and 10

Strategic actions to enhance national implementation, in particular through mainstreaming and the integration of biodiversity across relevant sectors, including agriculture, forests and fisheries – Agenda item 10

Ways and means to enhance the implementation of Article 12 of the Convention, in particular training and capacity-building for developing countries to support implementation of the Strategic Plan for Biodiversity 2011-2020 – Agenda items 12 (for training aspects) and 19 (for research aspects)

Integration among the Convention and its Protocols – Agenda item 18

Guidelines for the sixth national reports and modalities for future editions of GBO – Agenda item 19

Implications of the post-2015 United Nations development agenda and the sustainable development goals and of other relevant international processes for the future work of the Convention – Agenda item 9

Determination of funding needs to inform the GEF-7 replenishment for the 2018-2022 cycle – Agenda item 11

### *Organization of work*

16. The proposed organization of work provides for the concurrent meetings of the Convention and the Protocols (UNEP/CBD/COP/13/1/Add.2). It has been developed by the Executive Secretary and the Bureau in line with decision XII/27, paragraph 4, and recommendation 1/11 of the Subsidiary Body on Implementation.

17. In line with established practice, the proposed organization of work provides for plenary and two working groups sessions, with interpretation in the six United Nations languages.

18. The meeting will be invited to consider and adopt the proposal for the organization of its work. It would thus establish two working groups to support the work of the plenary. The Conference of the Parties would need to elect a chairperson for each.

19. It is envisaged that the two working groups would also address issues related to the Cartagena Protocol and the Nagoya Protocol as may be referred to the working groups by the respective meetings of the Parties. To ensure consideration of items under the Convention and the Protocols, as far as possible, in proximity, it is suggested that the meetings of the Parties to the Cartagena and Nagoya Protocols refer the substantive items on their agendas to the same working groups as established by the Conference of the Parties. The working groups are expected to commence their work in the afternoon of Monday, 5 December 2016.

### **Item 3. Election of officers**

#### *Election of officers other than the President*

20. As specified in rule 21 of the rules of procedure, in addition to the President, ten Vice-Presidents, one of whom acts as Rapporteur, are elected from among the representatives of the Parties present at a meeting. The term of office of the Vice-Presidents commences upon the closure of a meeting of the Conference of the Parties and ends at the closure of the subsequent meeting. At its twelfth meeting, the Conference of the Parties elected the following 10 Vice-Presidents for a term of office commencing upon the closure of its twelfth meeting and ending at the closure of its thirteenth meeting:

Ms. Skumsa Mancotywa (South Africa)  
Mr. Mike Ipanga (Democratic Republic of the Congo)  
Mr. Fumiko Nakao (Japan)  
Mr. Yousef Hafedh (Saudi Arabia)  
Ms. Natalya Minchenko (Belarus)  
Ms. Senka Barudanovic (Bosnia and Herzegovina)  
Ms. Maria Luisa del Rio Mispireta (Peru)  
Mr. Randolph Edmead (St. Kitts and Nevis)  
Ms. Tia Stevens (Australia)  
Ms. Mette Gervin Damsgaard (Denmark)

21. At the thirteenth meeting, the Conference of the Parties will elect 10 Vice-Presidents for a term of office commencing upon the closure of the meeting and ending at the closure of the fourteenth meeting. Regional groups are urged to submit their nominations as early as possible, preferably at the opening of the meeting. This will allow the election of officers to be completed early in the meeting and the newly elected members of the Bureau to attend, as observers, the Bureau meetings to be held during the meeting of the Conference of the Parties in order to ensure a smooth transition between outgoing and incoming Bureau members.

#### *Election of officers of subsidiary bodies and other meetings*

22. Rule 26 of the rules of procedure provides that the chairperson of any subsidiary body shall be elected by the Conference of the Parties, while the election of other officers is the responsibility of the body itself. At this meeting, the Conference of the Parties will therefore need to elect the chair of the Subsidiary Body on Scientific, Technical and Technological Advice to officiate its meetings for a term of office that will extend until

the end of the fourteenth meeting of the Conference of the Parties. In line with the practice of regional rotation, it is expected that the Asia and Pacific regional group will nominate a candidate for this office.<sup>3</sup>

23. The draft Modus Operandi for the Subsidiary Body on Implementation, prepared by the Subsidiary Body in recommendation 1/9, envisages that the Chair of the Subsidiary Body would be elected by the Conference of the Parties. The Conference of the Parties will consider this matter under agenda item 18. If it adopts the Modus Operandi as proposed, it would then need to elect the Chair of the Subsidiary Body on Implementation. In line with the practice of regional rotation and the sequence proposed in the draft document, it is expected that the African regional group will nominate a candidate for this office.<sup>4</sup>

#### **Item 4. Report on the credentials of representatives to the thirteenth meeting of the Conference of the Parties**

24. Rule 18 of the rules of procedure states that:

“The credentials of representatives and the names of alternate representatives and advisers shall be submitted to the Executive Secretary of the Conference of the Parties or the representative of the Executive Secretary not later than twenty-four hours after the opening of the meeting. Any later change in the composition of the delegation shall also be submitted to the Executive Secretary or his representative. The credentials shall be issued by the Head of State or Government or by the Minister for Foreign Affairs or, in the case of a regional economic integration organization, by the competent authority of that organization.”

25. Rule 19 provides that “the Bureau of any meeting shall examine the credentials and submit its report to the Conference of the Parties for decision”.

26. In order to assist Parties in fulfilling the requirements of rule 18, the Executive Secretary distributed to national focal points a sample format of appropriate credentials in notification 2016-085.<sup>5</sup> The notification also indicated that representatives of Parties to the Convention that are also Parties to the Nagoya Protocol and the Cartagena Protocol on Biosafety may submit a single set of credentials for the thirteenth meeting of the Conference of the Parties to the Convention, the eighth meeting of the Parties to the Cartagena Protocol on Biosafety and the second meeting of the Parties to the Nagoya Protocol.

27. The Conference of the Parties will be invited to consider and adopt the report on credentials submitted to it by the Bureau.

#### **Item 5. Pending issues**

28. At its first meeting, the Conference of the Parties adopted the rules of procedure for its meetings in decision I/1, with the exception of paragraph 1 of rule 40, relating to the taking of substantive decisions. The Conference of the Parties has considered this outstanding matter at its subsequent meetings but without resolving it conclusively. At the third meeting, consensus was reached concerning some, but not all, of the text in brackets.

29. In decision I/6, the Conference of the Parties adopted the financial rules for the administration of the Trust Fund for the Convention on Biological Diversity. Paragraphs 4 and 16 of these rules contain bracketed text. Paragraph 4 deals with scale of assessments for the apportionment of contributions by Parties to the Trust Fund. Paragraph 16 deals with adopting decisions regarding the Trust Fund. The paragraphs were considered at

<sup>3</sup> Previously elected chairs of the Subsidiary Body have come from the following regional groups: (a) first meeting – Africa; (b) second meeting – Western European and Other States; (c) third and fourth meetings – Asia and the Pacific; (d) fifth and sixth meetings – Latin America and the Caribbean; (e) seventh and eighth meetings – Central and Eastern Europe; (f) ninth and tenth meetings – Africa; (g) eleventh and twelfth meetings – Western European and Other States; (h) thirteenth meeting – Asia and the Pacific; (i) fourteenth meeting – Latin America and the Caribbean; (j) fifteenth and sixteenth meetings – Central and Eastern Europe; (k) seventeenth and eighteenth meetings – Africa; and (l) nineteenth and twentieth meetings – Western European and Other States

<sup>4</sup> Following the practice of the election of the SBSTTA Chair and avoiding that, at any one time, a regional group provides both the Chairs of the SBI and the SBSTTA, the order of regions from which the Chair will be elected is proposed as follows: Africa, Western Europe and others, Asia and the Pacific, Latin America and the Caribbean, and Central and Eastern Europe.

<sup>5</sup> Ref. No. SCBD/OES/BD/WDY/moc/85810 of 4 July 2016)

subsequent meetings of the Conference of the Parties but without any agreement. The text therefore remains bracketed.

30. Since it is not expected that these matters can be resolved at the thirteenth meeting of the Conference of the Parties, it will be suggested that their consideration be postponed to a future meeting.

#### **Item 6. Date and venue of future meetings of the Conference of the Parties**

31. In accordance with paragraph 2 of rule 4 of the rules of procedure, the Conference of the Parties is to decide on the date and duration of its next ordinary meeting. In line with rule 4, as amended at the fifth meeting of the Conference of the Parties, ordinary meetings will be held every two years. Thus the fourteenth meeting is due to be held in the fourth quarter 2018. Rule 3 of the rules of procedure provides that meetings of the Conference of the Parties shall take place at the seat of the Secretariat, unless the Conference of the Parties decides otherwise or other appropriate arrangements are made by the Secretariat in consultation with the Parties.

32. In decision XII/35, the Conference of the Parties invited interested Parties to notify the Executive Secretary of their offers to host the fourteenth meeting of the Conference of the Parties, as well as the ninth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol before the end of 2015, and of their offers to host the fifteenth meeting of the Conference of the Parties, as well as the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol preferably at least two months before the first meeting of the Subsidiary Body on Implementation. The Executive Secretary was also requested to prepare a proposal on how the hosting of meetings of the Conference of the Parties following its thirteenth meeting may be determined.

33. At its first meeting, the Subsidiary Body on Implementation took note of the interest of the Governments of Egypt and Turkey in hosting the fourteenth meeting of the Conference of the Parties and concurrent meetings of the Protocols, and of the Governments of China and Peru in hosting the fifteenth meeting of the Conference of the Parties and concurrent meetings of the Protocols, requested the Executive Secretary to consult with these Governments in order to clarify the requirements for hosting such meetings, with a view to confirming, at least three months prior to the thirteenth meeting of the Conference of the Parties, the offers to host the meetings.

34. The Conference of the Parties will be invited to consider the draft decision arising from SBI recommendation 1/11 (paragraphs 6-11) and reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2), taking into account any further information provided by the Executive Secretary and the prospective host governments.

## **II. REPORTS**

#### **Item 7. Reports of intersessional and regional preparatory meetings**

35. Under this item, the President will inform the Conference of the Parties of the meetings of subsidiary bodies held during the intersessional period and their reports on the understanding that the substantive issues arising therein will be taken up under the appropriate agenda item. The reports of the following meetings will be available to the Conference of the Parties:

(a) Ninth meeting of the Ad Hoc Open-ended Inter-Sessional Working Group on the Implementation of Article 8(j) and Related Provisions of the Convention on Biological Diversity (UNEP/CBD/COP/13/3);

(b) Nineteenth and twentieth meetings of the Subsidiary Body on Scientific, Technical and Technological Advice (UNEP/CBD/COP/13/4 and UNEP/CBD/COP/13/5);

(c) First meeting of the Subsidiary Body on Implementation (UNEP/CBD/COP/13/6).

36. At its nineteenth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, reviewed proposals for the identification of new and emerging issues submissions according to the procedure set out in decision IX/29 and recommended that the Conference of the Parties decide not to add a new and emerging

issue to the agenda of the Subsidiary Body on Scientific, Technical and Technological Advice (Recommendation XX/14).

37. Regional joint preparatory meetings of CITES and CBD representatives are being organized by the Secretariats of the two conventions prior to the meetings of the respective Conferences of the Parties.<sup>6</sup> The regional groups may wish to report to the Conference of the Parties on the results of these regional preparatory meetings.

**Item 8. Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Funds of the Convention**

38. The report of the Executive Secretary on the administration of the Convention, including the budget for the trust funds of the Convention (UNEP/CBD/COP/13/7) will be before the Conference of the Parties for its consideration. The Conference of the Parties will be invited to take note of the report and to take up the information contained therein in its consideration of the budget for the programme of work for the biennium 2017-2018.

39. Under this item, the Conference of the Parties is expected to adopt a programme budget for the biennium 2017-2018 to meet the core administrative costs of the Conference of the Parties, the Subsidiary Body on Scientific, Technical and Technological Advice, the Subsidiary Body on Implementation, meetings of other subsidiary bodies and the Secretariat on the basis of a proposed budget prepared by the Executive Secretary (UNEP/CBD/COP/13/8).

40. As requested in decision XII/32, the proposal will contain two alternatives for the core programme budget based on:

(a) Making an assessment of the required rate of growth for the programme budget (BY Trust Fund) which should not exceed a 5 per cent increase from the 2015-2016 level in nominal terms;

(b) Maintaining the programme budget (BY Trust Fund) at the 2015–2016 level in nominal terms.

41. As in the past, the levels of contributions to the budget by individual Parties, which will be annexed to the document, will be based on the United Nations scale of assessments for the apportionment of expenses adjusted for the Convention on Biological Diversity. Detailed information on activities and resource needs will be explained in the note by the Executive Secretary, while tables indicating the status of the trust funds under the Convention, the financial statements for 2014 and 2015 and the scale of contributions for the biennium 2015-2016 will be circulated as an information document.

42. In addition, the Executive Secretary will submit a document on the results of the in-depth functional review of the Secretariat responding to the request in decision XII/32 for the information of the Conference of the Parties.

43. In paragraph 15 of decision XII/32, the Parties to the Convention noted that the proportionate division between the Convention and its two Protocols would need to be reconsidered for the 2017-2018 budget following discussions on the implementation of the functional review of the Secretariat.

44. Further, in its recommendation 1/13, the Subsidiary Body on Implementation requested the Executive Secretary to prepare for the consideration of COP-13 and the meetings of the Parties to the two Protocols, a proposal for the review of the manner in which the costs of the core budgets of the Secretariat are shared among the Convention, the Cartagena Protocol and the Nagoya Protocol in order to develop cost scenarios in the light of the functional review of the Secretariat, including the increased integration of work and proportional to the number of Parties to the respective instruments and their respective contributions.

45. Concerning the voluntary budget for activities, the Subsidiary Body on Implementation also called on Parties in a position to do so and on a voluntary basis to prepare for COP-13, COP-MOP 8 of the Cartagena Protocol and COP-MOP 2 of the Nagoya Protocol on the basis of information to be provided in advance by the

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<sup>6</sup> See CBD Notifications 2016-077 {<https://www.cbd.int/doc/notifications/2016/ntf-2016-077-cites-cbd-en.pdf>} and 2016-084 {<https://www.cbd.int/doc/notifications/2016/ntf-2016-084-cites-cbd-en.pdf>}

Secretariat, with a view to making, during those meetings, pledges of financial support for voluntary activities envisaged in the draft decisions of the meetings, in order to assist the Secretariat in planning more effectively and utilizing the resources more efficiently.

46. In its decision XII/32 the Conference of the Parties decided to merge the Special Voluntary Trust Funds to facilitate the participation of Parties in the meetings of each of the Convention and the two Protocols. Further, in its recommendation 1/13, the Subsidiary Body on Implementation requested the Executive Secretary to prepare a proposal for the review and updating of the guidelines for the apportionment of funds for the participation of developing country Parties, in particular the least developed countries and small island developing States as well as countries with economies in transition, in the meetings of the Convention and its Protocols with a view to promoting full and effective participation in meetings of the Conference of the Parties, concurrent meetings of the Parties to the Cartagena and Nagoya Protocols and meetings of subsidiary bodies.

47. The plenary may wish to establish a contact group on the budget for the programme of work for the biennium 2017-2018, anticipating that the respective meetings of the Conference of the Parties serving as the meeting of the Parties to the Cartagena and Nagoya Protocols, at their first plenary sessions, would also refer to this contact group consideration of the budgets for their programmes of work (item 10 and item 9 on their agendas; see UNEP/CBD/BS/COP-MOP/8/1 and UNEP/CBD/NP/COP-MOP/2/1).

### **III. STRATEGIC PLAN FOR BIODIVERSITY 2011-2020: ASSESSING PROGRESS AND ENHANCING IMPLEMENTATION**

#### **Item 9. Interim review of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, and related means of implementation**

48. The Conference of the Parties, in decision X/2, paragraph 14, recalling that the role of the Conference of the Parties is to keep the implementation of the Convention under review, decided that future meetings of the Conference of the Parties shall review progress in the implementation of the Strategic Plan for Biodiversity 2011-2020, share experiences relevant for implementation and provide guidance on means to address obstacles encountered. The multi-year programme of work for the Conference of the Parties up to 2020 (decision XII/31), also provides for an interim review of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, and related means of implementation.

49. The Subsidiary Body on Scientific, Technical and Technological Advice at its nineteenth meeting gave further consideration to the implications of the findings of the fourth edition of the *Global Biodiversity Outlook* and related reports on the basis of a note prepared by the Executive Secretary, and in its recommendation XIX/1, took note of the information contained therein. The Subsidiary Body also considered tools to evaluate the effectiveness of policy instruments for the implementation of the Strategic Plan for Biodiversity 2011-2020, and in its recommendation XIX/3, prepared a draft decision on this matter for the Conference of the Parties

50. The Conference of the Parties, in decision XII/26, established the Subsidiary Body on Implementation with the terms of reference to *inter alia* review relevant information on progress in the implementation of the Convention, including in the provision of support for the implementation of the Convention, as well as of any strategic plans and other relevant decisions adopted by the Conference of the Parties, and on progress in the achievement of targets established under the Convention.

51. In decision XII/26, para. 5, the Subsidiary Body on Implementation was requested, for the period up to 2020, to support the Conference of the Parties in reviewing progress in the implementation of the Strategic Plan for Biodiversity 2011-2020 and achievement of the Aichi Biodiversity Targets, taking into account also the multi-year programme of work of the Conference of the Parties to 2020. The Subsidiary Body on Implementation will carry out this mandate, taking into account inputs from the Subsidiary Body on Scientific, Technical and Technological Advice, as appropriate.

52. At its first meeting, the Subsidiary Body on Implementation took note of an analysis of progress towards implementation of the Strategic Plan for Biodiversity 2011-2020 prepared by the Executive Secretary on the basis of information provided by Parties in their fifth national reports and national biodiversity strategies and

action plans received since COP-10. The Subsidiary Body urged Parties that had not yet submitted their fifth national report to do so as a matter of urgency, and those that had not yet updated their national biodiversity strategies and action plans to do so as soon as possible. It also requested the Executive Secretary to update the analysis taking into account additional reports received. Accordingly, the Executive Secretary is preparing an updated report on progress in the Implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and towards the achievement of the Aichi Biodiversity Targets, including an updated Analysis of the Contribution of Targets Established by Parties and Progress towards the Aichi Biodiversity Targets (UNEP/CBD/COP/13/8 and addenda). The Subsidiary Body also prepared a draft decision for the Conference of the Parties (SBI recommendation 1/1). The draft decision also took into account information concerning progress in the programme of work on Article 8j and related provisions.

53. The Subsidiary Body on Implementation also considered a review of progress towards Aichi Biodiversity Target 16 on the Nagoya Protocol. It invited Parties to the Convention that had not yet done so to deposit their instrument of ratification to, or acceptance or approval of the Nagoya Protocol or their instrument of accession at the earliest opportunity, and prepared draft decisions for the Conference of the Parties as well as the meeting of the Parties to the Nagoya Protocol. An updated report of progress is available to both bodies as UNEP/CBD/NP/COP-MOP/2/2.

54. Under this agenda item, the Conference of the Parties will be invited to consider the draft decisions prepared by the Subsidiary Body on Implementation (contained in recommendations 1/1 and 1/2) as well as the draft decisions prepared by the Subsidiary Body on Scientific, Technical and Technological Advice (contained in recommendation XIX/3) and reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2), in light of the aforementioned updated reports.

55. In considering this matter, the Conference of the Parties may also wish to draw upon information provided under other relevant agenda items including progress towards Aichi Biodiversity Targets 11 and 12, considered under agenda item 10, and progress on financial reporting (relevant to Aichi Biodiversity Target 20) under item 11, as well as information on the implementation of the Gender Plan of Action under the Convention on Biological Diversity (UNEP/CBD/COP/13/8/Add.3).

**Item 10. Strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, including with respect to mainstreaming and the integration of biodiversity within and across sectors**

56. Taking into account the interim review on progress conducted under item 9, the Conference of the Parties is expected to decide, as appropriate, on further strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets. In doing so, the Conference of the Parties will address issues identified in the multi-year programme of work of the Conference of the Parties up to 2020 as well as respond to relevant specific requests arising from its twelfth meeting related to mainstreaming.

**Mainstreaming and the integration of biodiversity across relevant sectors, including agriculture, forests and fisheries and implications of the 2030 Agenda for Sustainable development and of other relevant international processes for the future work of the Convention**

57. Among the issues identified in the multi-year programme of work of the Conference of the Parties up to 2020 (Decision XII/31) are: Strategic actions to enhance national implementation, in particular through mainstreaming and the integration of biodiversity across relevant sectors, including agriculture, forests and fisheries; and Implications of the post-2015 United Nations development agenda and the sustainable development goals and of other relevant international processes for the future work of the Convention.

58. At its twelfth meeting, the Conference of the Parties also made requests concerning the mainstreaming of biodiversity at the subnational and local level (Decision XII/9) and through business engagement, including through the Global Partnership for Business and Biodiversity and its associated national and regional initiatives (Decision XII/10, including paragraphs 1(b) and 3(b) and (g)).



59. These issues were addressed at the nineteenth and twentieth meetings of the Subsidiary Body on Scientific, Technical and Technological Advice, and the first meeting of the Subsidiary Body on Implementation.

60. The Subsidiary Body on Scientific, Technical and Technological Advice, at its nineteenth meeting, addressed the mainstreaming of biodiversity across sectors in the context of its further consideration of the implications of the findings of the fourth edition of the Global Biodiversity Outlook and related reports, and on the basis of a number of documents prepared by the Executive Secretary<sup>7</sup>. The Subsidiary Body requested the Executive Secretary to further develop many of these documents for subsequent consideration at SBSTTA-20 and SBI-1, and prepared elements of a draft decision (Recommendation XIX/1).

61. The Government of Mexico, in cooperation with the Executive Secretary, organized an International Expert Workshop on Biodiversity Mainstreaming<sup>8</sup> in Mexico City, in November 2015. The Mexico City workshop addressed the integration of biodiversity in the three sectors identified in decision XII/31 (agriculture, forests and fisheries) as well as tourism, and also addressed cross-cutting issues. The outcomes of the workshop, as well as revised versions of the documents prepared by the Executive Secretary<sup>9</sup> were considered in the subsequent meetings of the Subsidiary Body on Scientific, Technical and Technological Advice and the Subsidiary Body on Implementation.

62. The Subsidiary Body on Scientific, Technical and Technological Advice, at its twentieth meeting, addressed, as a specific agenda item, the Mainstreaming of biodiversity across sectors including agriculture, forests, fisheries and aquaculture, and, in its recommendation XX/15, prepared elements of a draft decision for the Conference of the Parties. The Subsidiary Body also recommended that the Conference of the Parties consider addressing, at a subsequent meeting, the mainstreaming of biodiversity into other sectors that impact biodiversity including energy, urban and regional planning, infrastructure, manufacturing industry and mining.

63. The Subsidiary Body on Implementation, at its first meeting, further considered the mainstreaming of biodiversity, including in the tourism sector, in cross-sectoral policies and the role of key actors, specifically business and subnational and local governments as well as gender considerations. Further, in its recommendation 1/4, the Subsidiary Body prepared a consolidated draft decision, incorporating the elements of SBSTTA recommendation XX/15.

64. Given that the mainstreaming of biodiversity is a broad issue that is central to the theme of the Conference of the Parties and its high-level segment “Mainstreaming of biodiversity for well-being”, and that work being undertaken on issues that fall under other agenda items are also relevant, the Executive Secretary will prepare an overview document to facilitate the consideration of this item by the Conference of the Parties in an integrated manner (UNEP/CBD/COP/13/9).

65. In considering the issue of the mainstreaming of biodiversity, the subsidiary bodies welcomed the adoption of the 2030 Agenda for Sustainable Development and its Sustainable Development Goals, the Paris Agreement by the Conference of the Parties to the United Nations Framework Convention on Climate Change, the outcomes of the twelfth session of the Conference of the Parties to the United Nations Convention to Combat Desertification, and the Sendai Framework for Disaster Risk Reduction 2015-2030, as well as relevant policy frameworks, guidance, and tools developed by the Food and Agriculture Organization of the United Nations, and other relevant internationally agreed frameworks. The implications of these processes for the work of the Convention was included, to some extent, in their respective recommendations as well as other recommendations such as SBSTTA recommendation XX/10 on climate change (see paras 66-70 below). The

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<sup>7</sup> UNEP/CBD/SBSTTA/19/2; [UNEP/CBD/SBSTTA/19/INF/1](#), [UNEP/CBD/SBSTTA/19/INF/4/Rev.1](#), [UNEP/CBD/SBSTTA/19/INF/6](#), [UNEP/CBD/SBSTTA/19/INF/15](#), [UNEP/CBD/SBSTTA/19/INF/17](#)

<sup>8</sup> UNEP/CBD/SBSTTA/20/INF/52

<sup>9</sup> UNEP/CBD/SBSTTA/20/15; UNEP/CBD/SBSTTA/20/INF/49; UNEP/CBD/SBSTTA/20/INF/51; UNEP/CBD/SBSTTA/20/INF/52; UNEP/CBD/SBSTTA/20/INF/53; UNEP/CBD/SBSTTA/20/INF/54; UNEP/CBD/SBSTTA/20/INF/55; UNEP/CBD/SB1/5/Add.2

Executive Secretary will prepare a note on further implications of the 2030 Agenda for Sustainable development and of other relevant international processes for the future work of the Convention in the light of recent developments (UNEP/CBD/COP/13/10).

66. The Conference of the Parties will be invited to consider the consolidated draft decision prepared by the Subsidiary Body on Implementation, incorporating the relevant recommendations of the Subsidiary Body on Scientific, Technical and Technological Advice, and taking into account further information provided by the Executive Secretary. The draft decisions are reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

### **Other Strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets**

#### *Biodiversity and climate change*

67. The Conference of the Parties at its tenth meeting adopted a comprehensive decision on biodiversity and climate change (decision X/33). In that decision, the Conference of the Parties requested the Executive Secretary, in collaboration with relevant international organizations, to identify areas which, through conservation and restoration of carbon stocks and other ecosystem management measures, might have high potential for climate change mitigation and make this information widely available (decision X/33, para. 9(c)). It also requested the Executive Secretary to support the design and implementation of ecosystem-based approaches for mitigation and adaptation as they relate to biodiversity (decision X/33, para. 9(e)). The Conference of the Parties at its twelfth meeting further requested the Executive Secretary to promote ecosystem-based approaches to climate change adaptation and disaster risk reduction (decision XII/20, para. 7 (a)), to compile experiences in this regard (decision XII/20, para. 7 (c)), and to develop guidance on enhancing the positive and minimizing the negative impacts on biodiversity of climate-change adaptation activities (para. 7(d)).

68. The Conference of the Parties has also requested the Executive Secretary to further develop advice on possible indicators to assess the contribution of reducing emissions from deforestation and forest degradation, and the role of conservation of forest carbon stocks, sustainable management of forests and enhancement of forest carbon stocks in developing countries (REDD+) to achieve the objectives of the Convention and to assess potential mechanisms to monitor impacts on biodiversity from these activities and other ecosystem-based approaches for climate change mitigation measures (decision XI/19, para. 18). The Executive Secretary was also requested to compile information on experiences, lessons learned and best practices on the contribution REDD+ activities towards achieving the objectives of the Convention and the Strategic Plan for Biodiversity 2011–2020 (decision XII/20, para. 7(e)).

69. The Executive Secretary has carried out these tasks through a series of commissioned studies, workshops, compilation of submissions from Parties and observers, and further synthesis and analysis.<sup>10</sup>

70. The Subsidiary Body on Scientific, Technical and Technological Advice, in its recommendation XX/10, took note of the information prepared by the Executive Secretary<sup>11</sup> and prepared a draft decision for the Conference of the Parties.

71. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/10, reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

#### *Protected areas: Progress towards the achievement of Aichi Biodiversity Targets 11 and 12*

72. In decision X/31 on protected areas, the Conference of the Parties invited Parties to undertake major efforts to achieve all elements of Aichi Biodiversity Target and requested the Executive Secretary to support these efforts, inter alia through workshops, toolkits and cooperation among partners. In decision X/24, the

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<sup>10</sup> As detailed in UNEP/CBD/SBSTTA/20/10

<sup>11</sup> UNEP/CBD/SBSTTA/20/10; UNEP/CBD/SBSTTA/20/INF/2; UNEP/CBD/SBSTTA/20/INF/3; UNEP/CBD/SBSTTA/20/INF/29; UNEP/CBD/SBSTTA/20/INF/1; UNEP/CBD/SBSTTA/20/INF/4; UNEP/CBD/SBSTTA/20/10/Add.1; and UNEP/CBD/SBSTTA/20/INF/30.

Conference of the Parties requested the Executive Secretary to continue supporting implementation of national action plans for the programme of work on protected areas and progress towards achieving Aichi Biodiversity Target 11 and other related targets at the national, subregional and regional levels. Accordingly, the Executive Secretary, in collaboration with host countries and partners, has convened a series of regional workshops, and compiled information on progress towards Target 11, as well as Target 12 and other relevant targets. An updated assessment of progress is available in an information document.

73. The Subsidiary Body on Scientific, Technical and Technological Advice at its twentieth meeting considered an assessment of progress towards Aichi Biodiversity Targets 11 and 12, based on information collated by the Executive Secretary, including information from the aforementioned workshops. In its recommendation XX/1, the Subsidiary Body prepared a draft a draft decision for the Conference of the Parties.

74. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/1, reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

#### *Ecosystem restoration*

75. In considering the further implications of the findings of the fourth edition of the *Global Biodiversity Outlook* (GBO-4) and related reports at its nineteenth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, in recommendation XIX/1 requested the Executive Secretary to prepare, in consultation with relevant organizations and stakeholders, key elements for a short-term action plan on ecosystem restoration, with a view to the submission of a recommendation from the Subsidiary Body to the Conference of the Parties at its thirteenth meeting. The Executive Secretary prepared a document accordingly.

76. The Subsidiary Body on Scientific, Technical and Technological Advice, on the basis of the document<sup>12</sup> prepared by the Executive Secretary, finalized a draft short term action plan on ecosystem restoration, and, in its recommendation XX/12, prepared a draft decision for the Conference of the Parties, containing as an annex the short term action plan on ecosystem restoration.

77. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/12, reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

#### *Forest biodiversity – role of international organizations in supporting the achievement of the forest-related Aichi Biodiversity Targets*

78. In paragraph 21 of decision XII/6, the Conference of the Parties requested the Executive Secretary to prepare a study on the ways in which international organizations and secretariats with substantial programmes on forests are assisting in implementing the Strategic Plan for Biodiversity 2011-2020 and achieving the Aichi Biodiversity Targets of relevance to forests, and to report back to the Subsidiary Body on Scientific, Technical and Technological Advice, including on options for further action to achieve the forest-related Aichi Biodiversity Targets, in a mutually supportive manner. The Conference of the Parties invited other members of the Collaborative Partnership on Forests to contribute to the study. Accordingly, the Executive Secretary prepared this study, in collaboration with other members of the Collaborative Partnership on Forests.

79. The Subsidiary Body on Scientific, Technical and Technological Advice, in its recommendation XIX/8, took note of the study,<sup>13</sup> welcomed the contribution of the other members of the Collaborative Partnership on Forests and requested the Executive Secretary, working with the Secretariat of the United Nations Forum on Forests and the other members of the Collaborative Partnership on Forests, to contribute to the preparation of the Strategic Plan 2017-2030 of the international arrangement on forests and the related work plan of the Collaborative Partnership on Forests with a view to promoting consistency with the Strategic Plan for Biodiversity 2011-2020, and to further enhancing the achievement of the forest-related Aichi Biodiversity Targets in the context of the 2030 Agenda for Sustainable Development. The Subsidiary Body also prepared a draft decision for the Conference of the Parties.

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<sup>12</sup> UNEP/CBD/SBSTTA/20/12

<sup>13</sup> UNEP/CBD/SBSTTA/19/8

80. The Executive Secretary will prepare an information document providing an update on the preparation of the Strategic Plan 2017-2030 of the international arrangement on forests and related work.

81. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XIX/8, reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

#### *Biodiversity and human health*

82. In its decision on biodiversity and human health (decision XII/21), the Conference of the Parties, welcomed the progress in the preparation of the *State of Knowledge Review: Connecting Global Priorities: Biodiversity and Human Health*, developed by the Executive Secretary and the World Health Organization and other partners, and, among other tasks, *requested* the Executive Secretary to finalize the review and to prepare a report on the implications of its findings for the consideration of the Subsidiary Body on Scientific, Technical and Technological Advice.

83. Accordingly, the *State of Knowledge Review* was finalized, including a summary<sup>14</sup> and a report on its implications prepared.<sup>15</sup>

84. The Subsidiary Body on Scientific, Technical and Technological Advice considered the implications of the findings of the review at its nineteenth meeting and, in recommendation XIX/6 prepared a draft decision for the Conference of the Parties.

85. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XIX/6, reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

### **Item 11. Resource mobilization and financial mechanism**

#### *Resource mobilization*

86. In paragraph 1 of decision XII/3, the Conference of the Parties adopted targets for resource mobilization and, in paragraph 3 of the same decision, decided to review, at its thirteenth meeting, progress towards the above targets, and their adequacy, and to consider the need for appropriate action, based on information provided by Parties through the Financial Reporting Framework, including their respective identified resource needs, and taking into account their absorption capacities.

87. The Executive Secretary, in decision XII/3, paragraph 27, was requested to develop options for strengthening systems of information on biodiversity-related international financial resource flows to developing countries, as well as on domestic resource flows, making use of the Financial Reporting Framework, with a view to further increasing transparency and accessibility of information to support the implementation of Article 20 of the Convention. To this end, an International Technical Expert Workshop on Identifying, Accessing, Compiling and Aggregating Domestic and International Biodiversity-Related Investments and Impacts, was held in Mexico City from 5 to 7 May 2015.

88. In paragraph 17 of decision XII/3, the Conference of the Parties urged Parties to consider undertaking, as appropriate, a review and assessment of existing legislation and policies governing biodiversity financing mechanisms, with a view to identifying opportunities for mainstreaming biodiversity and strengthening current policies and their complementary safeguards. In addition, in paragraph 22 of the same decision, the Conference of the Parties invited Parties to report on progress in achieving the milestones for Aichi Biodiversity Target 3.

89. Further, the Executive Secretary, in decision XII/3, paragraph 31, was requested to facilitate the exchange of views and experiences on the contribution of collective action to biodiversity conservation and resource mobilization, including on experiences and lessons learned in applying pertinent methodologies, and to make this information available to the Subsidiary Body on Implementation at its first meeting for its consideration for update and provision of relevant guidelines.

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<sup>14</sup> Available from <http://www.cbd.int/health/stateofknowledge> and <http://www.who.int/globalchange/publications/biodiversityhuman-health/en/>.

<sup>15</sup> UNEP/CBD/SBSTTA/19/6. The summary of the review was made available as UNEP/CBD/SBSTTA/19/6/Add.1.

90. The Subsidiary Body on Implementation addressed these issues on the basis of information prepared by the Executive Secretary<sup>16</sup>. In its recommendation 1/6, the Subsidiary Body, noting the limited number of completed financial reporting frameworks received, urged Parties that have not yet done so to report using the framework, and also invited them to make available any additional national methodological information and definitions, and requested the Executive Secretary to compile and analyse this information. The Subsidiary Body also prepared a draft decision, including, as an annex, guiding principles on assessing the contribution of collective action by indigenous peoples and local communities.

91. The Conference of the Parties will be invited to consider the draft decision prepared by the Subsidiary Body, taking into account the updated information and analysis prepared by the Executive Secretary (UNEP/CBD/COP/13/11). The draft decisions are reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

#### *Financial mechanism*

92. In paragraph 1 of decision XII/30 on the financial mechanism, the Conference of the Parties invited Parties to enhance coordination among their respective biodiversity-related convention national focal points, in order to identify national priorities in support of the implementation of the various biodiversity-related conventions that are aligned with the Strategic Plan for Biodiversity 2011-2020 and with the implementation of the Aichi Biodiversity Targets, and incorporate them into their national biodiversity strategies and action plans. In paragraph 4 of the same decision, the Conference of the Parties requested the Executive Secretary to further liaise with the various biodiversity-related conventions and the Global Environment Facility in order to find ways to facilitate the efforts of Parties.

93. The Conference of the Parties, in decision XII/30 (para. 8(e)), invited the Global Environment Facility to make available a preliminary draft of its report to the Conference of the Parties, particularly focusing on the response of the Global Environment Facility to previous guidance from the Conference of the Parties, to the Subsidiary Body on Implementation prior to the meeting of the Conference of the Parties at which time the report will be formally considered, with a view to promoting effective and timely consideration of the information provided in the report.

94. The Conference of the Parties in decision XII/30, paragraph 10, also requested the Executive Secretary to explore and report on ways in which the Conference of the Parties can best utilize the Strategic Plan for Biodiversity 2011-2020 and the Convention's Protocols to set priorities for the financial mechanism within the context of the four-year framework of programming priorities for GEF-7. Further, in paragraph 11 of decision XII/30, the Conference of the Parties decided, in anticipation of the seventh replenishment of the Global Environment Facility Trust Fund, to undertake, at its thirteenth meeting, the second determination of funding requirements for the implementation of the Convention and its Protocols. To this end, a team of experts was established, in line with the terms of reference contained in the annex to decision XII/30.

95. The Subsidiary Body on Implementation addressed these issues at its first meeting on the basis of information prepared by the Executive Secretary, the Global Environment Facility and the aforementioned team of experts.<sup>17</sup> In its recommendation 1/7, the Subsidiary Body, took note of the submissions from the biodiversity-related conventions, the preliminary report of the Global Environment Facility, and the draft report of the expert team on a full assessment of the funds needed for the implementation of the Convention and its Protocols for the seventh replenishment of the Global Environment Facility. It requested the Executive Secretary to prepare a draft four-year framework of programme priorities for the seventh replenishment of the Global Environment Facility Trust Fund and draft terms of reference for the fifth review of the effectiveness of the financial mechanism, in consultation with the GEF and its Independent Evaluation Office respectively, and requested expert team to finalize the assessment report. Accordingly this information will be made available for consideration of the Conference of the Parties (UNEP/CBD/COP/13/12 and addenda). The Subsidiary Body also prepared an outline of a draft decision.

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<sup>16</sup> UNEP/CBD/SBI/1/7 and addenda

<sup>17</sup> UNEP/CBD/SBI/1/8 and addenda

96. The Conference of the Parties will be invited to consider the draft decision prepared by Executive Secretary on the basis of recommendation 1/7 of the Subsidiary Body. The draft decision will be reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

**Item 12. Other means of implementation: Enhancement of capacity-building, technical and scientific cooperation and other initiatives to assist implementation**

97. As indicated under item 10, the Conference of the Parties, in decision X/2, paragraph 14, decided, among other things, that future meetings of the Conference of the Parties would share experiences relevant for implementation of the Strategic Plan for Biodiversity 2011-2020 and provide guidance on means to address obstacles encountered. Moreover, according to the multi-year programme of work for the Conference of the Parties up to 2020 (decision XII/31), the Conference of the Parties will consider related means of implementation. Under item 12, the Conference of the Parties will therefore consider additional strategic actions to support implementation, including ways and means to enhance the implementation of Article 12 of the Convention, in particular training and capacity-building for developing countries to support implementation of the Strategic Plan for Biodiversity 2011-2020, as mandated by the Conference of the Parties in the multi-year programme of work of the Conference of the Parties up to 2020 (decision XII/31).<sup>18</sup>

*Enhancement of capacity-building, technical and scientific cooperation and other initiatives to assist implementation*

98. The Conference of the Parties requested the Executive Secretary to undertake (a) an evaluation of the effectiveness of capacity-building activities that the Secretariat has supported and facilitated, (b) a review of related partnership arrangements and opportunities for delivery; and (c) an analysis of the gaps in capacity-building activities supporting the implementation of the Strategic Plan for Biodiversity 2011-2020, and, building on these elements, develop a short-term action plan to enhance and support capacity-building (decision XII/2 B, para. 8(d)).

99. The Executive Secretary was also requested to enhance technical and scientific cooperation and technology transfer under the Convention, with a view to supporting the effective implementation of the Strategic Plan for Biodiversity 2011-2020 and its Aichi Biodiversity Targets, as well as revised and updated national biodiversity strategies and action plans through a number of activities, including facilitating the linking of the needs of Parties with support for technical and scientific cooperation by relevant global, regional and national organizations and initiatives (decision XII/2, para. 9). In addition, the Executive Secretary was requested to continue developing the central clearing-house mechanism (decision XII/2 B, paragraph 18), and to develop a web strategy (decision XII/2 B, paragraph 19) for consideration by the Subsidiary Body on Implementation at its first meeting, in preparation for the thirteenth meeting of the Conference of the Parties.

100. This item will also include consideration of ways and means to enhance the implementation of Article 12 of the Convention, in particular training and capacity-building for developing countries to support implementation of the Strategic Plan for Biodiversity 2011-2020, as mandated by the Conference of the Parties in the multi-year programme of work of the Conference of the Parties up to 2020 (decision XII/31).

101. The Subsidiary Body on Implementation addressed these issues at its first meeting on the basis of information prepared by the Executive Secretary.<sup>19</sup> In its recommendation 1/5, the Subsidiary Body, took note of the draft short-term action plan and requested the Executive Secretary to further streamline and focus it for consideration by the Conference of the Parties. It also took note of the draft web strategy for the Convention and its Protocols prepared by the Executive Secretary<sup>20</sup> and requested the Executive Secretary to align it with the communication strategy that is being prepared (see paragraph 102). The Subsidiary Body also prepared a draft decision. The revised short term action plan will be available as document UNEP/CBD/COP/13/13 and the revised web strategy as UNEP/CBD/COP/13/14/Add.1. A progress report on the clearing house mechanism and

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<sup>18</sup> Note that the research element of article 12 is addressed under item 19 of the agenda

<sup>19</sup> UNEP/CBD/SBI/1/6 and addenda

<sup>20</sup> UNEP/CBD/SBI/1/6/Add.2

an action plan to guide the implementation of the Bio-Bridge Initiative on technical and scientific cooperation for the period 2017-2020 will also be available as information documents.

*Communication, education and public awareness and the United Nations Decade on Biodiversity*

102. In decision XII/2C, the Conference of the Parties requested the Executive Secretary to further promote awareness-raising, in collaboration with relevant actors, in particular through the facilitating the development of a global communication strategy, developing, improving and updating toolkits for communication, education and public awareness, conducting a workshop to develop and utilize messaging approaches for specific target groups and integrating issues related to the conservation and sustainable use of biodiversity into actions for Education for Sustainable Development. To support this work a meeting of the Informal Advisory Committee on Communication, Education and Public Awareness (CEPA-IAC) for the Convention on Biological Diversity was held in Montreal, Canada, on 28 and 29 July 2016.

103. The Executive Secretary will report on these activities in document UNEP/CBD/COP/13/14 and will prepare elements of a draft decision for the consideration of the Conference of the Parties.

104. The Conference of the Parties will be invited to consider the draft decision prepared by the Subsidiary Body, taking into account the updated information and analysis prepared by the Executive Secretary. The draft decisions are reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

**Item 13. Cooperation with other conventions and international organizations**

105. Under this item, the Conference of the Parties will also address cooperation with other conventions and international organizations and partnerships with a view to enhancing the implementation of the Strategic Plan for Biodiversity 2011-2020, including the achievement of the Aichi Biodiversity Targets. To facilitate these considerations, the Executive Secretary will prepare document UNEP/CBD/COP/13/16.

106. With regard to cooperation among the biodiversity-related conventions, the Conference of the Parties, in decision XII/6, decided to establish a regionally balanced informal advisory group to prepare a workshop with the task to prepare options which could include elements for a possible road map, for Parties of the various biodiversity-related conventions to enhance synergies and improve efficiency among them, without prejudice to the specific objectives and recognizing the respective mandates and subject to the availability of resources of these conventions, with a view to enhancing their implementation at all levels (para. 6). Accordingly, a Workshop on Synergies among the Biodiversity-related Conventions was held in Geneva in February 2016, and as requested in decision XII/6, the report of the workshop<sup>21</sup> was made available to the Subsidiary Body on Implementation, together with documents prepared by the Executive Secretary,<sup>22</sup> and is also available to the Conference of the Parties.

107. At its first meeting, the Subsidiary Body on Implementation, in its recommendation 1/8 welcomed the report of the workshop on synergies and requested the Executive Secretary to undertake further analysis of the outcomes and actions as presented in the note by the Executive Secretary and, in consultation with the Informal Advisory Group established under decision XII/6, the Liaison Group of Biodiversity-related Conventions and Parties to the biodiversity-related conventions to refine, consolidate and streamline the outcomes of the workshop, including options for action by Parties and at the international level. Accordingly this information will be made available for consideration of the Conference of the Parties (UNEP/CBD/COP/13/15). The Subsidiary Body also prepared a draft decision on the matter.

108. The Conference of the Parties will be invited to consider the draft decision prepared by the Subsidiary Body, and the revised options prepared by the Executive Secretary. The draft decisions are reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

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<sup>21</sup> UNEP/CBD/SBI/1/INF/21

<sup>22</sup> UNEP/CBD/SBI/1/9 and UNEP/CBD/SBI/1/9/Add.1

#### IV. OTHER ITEMS RESULTING FROM THE PROGRAMME OF WORK OF THE CONVENTION

##### **Item 14. Article 8(j) and related provisions: guidelines for the repatriation of traditional knowledge; guidelines for the development of legislation or other mechanisms; and recommendations from the United Nations Permanent Forum on Indigenous Issues**

*Guidelines for the development of legislation or other mechanisms, including national action plans and sui generis systems, as appropriate, for an effective implementation of Article 8(j) and related provisions, that recognize, safeguard and fully guarantee the rights of indigenous and local communities over their knowledge, innovations and practices, within the context of the Convention*

109. In decision XII/12 D, the Conference of the Parties, decided to implement a number of tasks related to the Multi-Year Programme of Work on the implementation of Article 8(j) and related provisions of the Convention in an integrated manner that is mutually supportive of the Nagoya Protocol and of the work undertaken in other international forums through the development of voluntary guidelines with the full and effective participation of indigenous peoples and local communities that will assist Parties and Governments in the development of legislation or other mechanisms, including national action plans and sui generis systems, as appropriate, for an effective implementation of Article 8(j) and related provisions, that recognize, safeguard and fully guarantee the rights of indigenous and local communities over their knowledge, innovations and practices, within the context of the Convention.

110. To this end, the Conference of the Parties decided that the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions would carry out a number of tasks in support of this work. Parties and observers were invited to submit their views, including information on community protocols, model clauses, best practices, experiences and practical examples for the prior informed consent or approval and involvement processes for access to the knowledge, innovations and practices of indigenous peoples and local communities relevant for the conservation and sustainable use of biological diversity and for the sharing of benefits arising from the use of this knowledge with those communities, and their complementarity with the Nagoya Protocol, on the above-mentioned subtasks and requested the Executive Secretary to compile and analyse these views and to draft the guidelines and the glossary and to make them available to the Working Group on Article 8(j) and Related Provisions for its consideration.

111. The Working Group on Article 8(j) and Related Provisions addressed these matters on the basis of a document prepared by the Executive Secretary,<sup>23</sup> and, in its recommendation 9/1, prepared a draft decision for the Conference of the Parties including draft guidelines which are annexed the draft decision.

*Best-practice guidelines for the repatriation of traditional knowledge relevant to the conservation and sustainable use of biological diversity*

In decision XII/12 C, the Conference of the Parties, decided to convene a meeting of a regionally balanced group of experts to develop draft voluntary guidelines to promote and enhance the repatriation of traditional knowledge relevant to the conservation and sustainable use of biological diversity, for consideration by the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions, at its ninth meeting. The Expert Meeting on the Repatriation of Traditional Knowledge Relevant to the Conservation and Sustainable Use of Biodiversity was held in Panajachel, Guatemala, on 14 and 15 June 2015.<sup>24</sup>

112. The Working Group on Article 8(j) and Related Provisions considered the outcome of the expert meeting and a document prepared by the Executive Secretary,<sup>25</sup> and, in its recommendation 9/2, prepared a draft decision for the consideration of the Conference of the Parties. The draft decision recommends further work on the development of the guidelines.

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<sup>23</sup> UNEP/CBD/WG8J/9/2

<sup>24</sup> UNEP/CBD/WG8J/9/INF/4

<sup>25</sup> UNEP/CBD/WG8J/9/2/Add.1



*Glossary of key terms and concepts to be used within the Context of Article 8 (j) and related provisions*

113. The tasks assigned to the Working Group on Article 8(j) and Related Provisions by the Conference of the Parties in decision XII/12 D (see para. 84 above), included the development of a glossary of key terms and concepts to be used within the Context of Article 8 (j) and related provisions.

114. The Working Group addressed this issue on the basis of a document prepared by the Executive Secretary<sup>26</sup>, and in its recommendation 9/3, requested the Executive Secretary to revise the glossary and develop a comprehensive glossary of key terms and concepts for the consideration of the Conference of the Parties at its thirteenth meeting. The working group also prepared a draft decision for the Conference of the Parties. A revised glossary will be available as UNEP/CBD/COP/13/17.

*Recommendations from the United Nations Permanent Forum on Indigenous Issues*

115. At its ninth meeting, the Working Group on Article 8(j) and Related Provisions considered various recommendations arising from the United Nations Permanent Forum on Indigenous Issues from its 13<sup>th</sup> and 14<sup>th</sup> sessions, and in its recommendation 9/4, prepared a draft decision for the Conference of the Parties.

116. The draft decision, inter alia, invites the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to consider taking a decision to apply, mutatis mutandis, decision XII/12 F of the Conference of the Parties.

*In-depth dialogue on thematic areas and other cross-cutting issues*

117. In order to contribute to the incorporation of Article 8(j) and related provisions, as a cross-cutting issue, across the areas of work of the Convention, the Conference of the Parties, in paragraph 12 of its decision X/43, decided to include a new agenda item at future meetings of the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions, entitled: “In-depth dialogue on thematic areas and other cross-cutting issues”.

118. At its ninth meeting, the Working Group on Article 8(j) and Related Provisions in its recommendation 9/5, prepared a draft decision on this matter for the Conference of the Parties

*Action under agenda item 14*

119. Under this agenda item, the Conference of the Parties will be invited to consider the draft decisions arising from the ninth meeting of Working Group on Article 8(j) and Related Provisions. The draft decisions are reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

120. Matters related to the implementation of Article 8(j) and related provisions and the participation of indigenous peoples and local communities pursuant to decision XII/12 A (paras. 4 and 13), and on the implementation of the plan of action on customary sustainable use pursuant to decisions XII/12 B (para. 2), were considered by the Subsidiary Body on Implementation, at its first meeting, and the outcomes are reflected in recommendation 1/1 which will be taken up under items 9 of the agenda.

**Item 15. Marine and coastal biodiversity: ecologically or biologically significant marine areas; specific work plan on biodiversity and acidification in cold-water areas; addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity; and marine spatial planning and training initiatives**

*Ecologically or biologically significant marine areas*

121. In decision X/29, the Conference of the Parties requested the Executive Secretary to organize a series of regional workshops to facilitate the description of ecologically or biologically significant marine areas (EBSAs) through the application of scientific criteria in annex I of decision IX/20. In paragraph 42 of this decision, the Subsidiary Body on Scientific, Technical and Technological Advice was requested to prepare reports based on

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<sup>26</sup> UNEP/CBD/WG8J/9/3

the scientific and technical evaluation of information from the workshops, setting out details of areas that meet the criteria in annex I to decision IX/20 for consideration by the Conference of the Parties, with a view to including the reports in the repository referred to in paragraph 39 of the same decision and to submit them to the United Nations General Assembly and particularly its Ad Hoc Open-ended Informal Working Group to Study Issues Relating to the Conservation and Sustainable Use of Marine Biological Diversity Beyond Areas of National Jurisdiction, as well as Parties, other Governments and relevant international organizations. In decision XII/22, paragraph 6, the Conference of the Parties requested the Executive Secretary to continue to facilitate the description of areas meeting the criteria for EBSAs through the organization of additional regional or subregional workshops where Parties wish workshops to be held. In paragraph 6 of the same decision the Executive Secretary was requested to facilitate related technical training. Pursuant to these requests, workshops have held for the following regions: North-East Indian Ocean region<sup>27</sup> (Colombo, 22-27 March 2015) and North-West Indian Ocean and Adjacent Gulf Areas<sup>28</sup> (Dubai, United Arab Emirates, 19-24 April 2015) and the Seas of East Asia<sup>29</sup> (China, December 2015) regions.

122. The Executive Secretary was also requested to report on the progress of Parties and other Governments in undertaking national exercises, as appropriate, to describe areas meeting the EBSA criteria, or other relevant compatible and complementary nationally or intergovernmentally agreed scientific criteria in areas within national jurisdiction, and in making this information, and other relevant information, available through the EBSA repository or information-sharing mechanism (decision XII/22, para. 7).

123. Further, the Conference of the Parties, in decision XII/22, paragraph 10, requested the Executive Secretary to develop practical options to further enhance scientific methodologies and approaches on the description of areas meeting the EBSA criteria (decision XII/22, para. 10). The Executive Secretary carried out these requests accordingly.

124. At its twentieth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, addressed these matters and, in its recommendation XX/3, prepared a draft decision for the Conference of the Parties, containing, inter alia, the summary reports setting out details of areas that meet the EBSA criteria. Concerning the practical options to further enhance scientific methodologies and approaches on the description of areas meeting the EBSA criteria, the Subsidiary Body requested the Secretariat to further develop options for peer review and subsequent consideration by the Conference of the Parties. The revised options will be annexed to the draft decision for the consideration of the Conference of the Parties.

*Specific work plan on biodiversity and acidification in cold-water areas*

125. In decision XII/23 paragraph 16, the Conference of the Parties requested the Executive Secretary to prepare, in collaboration with Parties, other Governments and relevant organizations, a draft specific workplan on biodiversity and acidification in cold-water areas,<sup>30</sup> building upon the elements of a workplan on physical degradation and destruction of coral reefs, including cold-water corals and in close linkage with relevant work under the Convention and with relevant work of competent organizations (para. 16).

126. Accordingly, the Executive Secretary facilitated the preparation of a scientific compilation and synthesis on biodiversity and acidification in cold-water areas,<sup>31</sup> and prepared a draft workplan.

127. At its twentieth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, addressed these matters and, in its recommendation XX/4, prepared a draft decision for the Conference of the Parties, including as annexes, key messages from the scientific compilation and synthesis on biodiversity and ocean acidification in cold-water areas and a voluntary specific workplan on biodiversity in cold-water areas within the jurisdictional scope of the Convention.

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<sup>27</sup> UNEP/CBD/SBSTTA/20/INF/22

<sup>28</sup> UNEP/CBD/SBSTTA/20/INF/23

<sup>29</sup> UNEP/CBD/SBSTTA/20/INF/24

<sup>30</sup> Decision VII/5, annex I, appendix 2.

<sup>31</sup> UNEP/CBD/SBSTTA/20/INF/25. This document will be published in the CBD Technical Series.

*Addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity*

128. The Conference of the Parties, in decision XI/18 A, paragraph 26, requested the Executive Secretary to invite submissions on the impacts of marine debris on marine and coastal biodiversity and habitats, to compile and synthesize submissions, and to organize an expert workshop to prepare practical guidance on preventing and mitigating the significant adverse impacts of marine debris on marine and coastal biodiversity and habitats. Pursuant to this request, an expert workshop was convened in Baltimore, United States of America, from 2 to 4 December 2014.<sup>32</sup>

129. The Conference of the Parties requested the Executive Secretary to facilitate collaboration among Parties, other Governments and relevant organizations, on measures to avoid, minimize and mitigate the potential significant adverse impacts of anthropogenic underwater noise on marine and coastal biodiversity. In this context, the Executive Secretary was also requested to compile and synthesize relevant scientific and technical information concerning the specific examples of such measures listed in paragraph 3 of decision XII/23, as well as information on such measures taken by Parties, other Governments and competent organizations. Accordingly, the Executive Secretary compiled the information provided by Parties and observers and facilitated the preparation of an updated scientific synthesis of the impacts of underwater noise on marine and coastal biodiversity and habitats.<sup>33</sup>

130. At its twentieth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, addressed these matters and, in its recommendation XX/5, prepared a draft decision for the Conference of the Parties, including as an annex, voluntary practical guidance on preventing and mitigating the impacts of marine debris on marine and coastal biodiversity and habitats. The draft decision also refers to the updated scientific synthesis of the impacts of underwater noise and the report of the expert workshop on marine debris as well as ongoing related work under the United Nations Environment Assembly.<sup>34</sup>

*Marine spatial planning and training initiatives*

131. In decision XI/18, the Conference of the Parties requested the Executive Secretary to convene an expert workshop to provide consolidated practical guidance and a toolkit for marine spatial planning. Pursuant to this request, an expert workshop<sup>35</sup> was convened in Montreal, Canada, from 9 to 11 September 2014. Further, in decision XII/23, the Conference of the Parties requested the Executive Secretary to advance work on enhancing methods and tools, including monitoring measures, for marine spatial planning.

132. The Executive Secretary was also requested to facilitate, through technical training and the information-sharing mechanism on ecologically or biologically significant marine areas, the use of scientific information compiled for the description of areas meeting the scientific criteria for ecologically or biologically significant marine areas to support efforts, at the regional or national level, on the use of marine spatial planning by Parties and competent intergovernmental organizations. Furthermore, the Executive Secretary was requested to organize additional capacity-building workshops and partnership activities within the framework of the Sustainable Ocean Initiative to address priority issues identified for respective regions concerning the achievement of Aichi Biodiversity Targets in marine and coastal areas. Accordingly, the Executive Secretary has pursued these requests through the Sustainable Ocean Initiative.

133. At its twentieth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, addressed these matters and, in its recommendation XX/6, prepared a draft decision for the Conference of the Parties.

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<sup>32</sup> UNEP/CBD/SBSTTA/INF/7

<sup>33</sup> UNEP/CBD/SBSTTA/20/INF/8. This document will be published in the CBD Technical Series.

<sup>34</sup> UNEA Resolution on marine litter and microplastics

<sup>35</sup> UNEP/CBD/SBSTTA/20/INF/6

*Action under agenda item 15*

134. Under this agenda item, the Conference of the Parties will be invited to consider the draft decisions arising from SBSTTA recommendations XX/3, XX/4, XX/5 and XX/6. The draft decisions are reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

**Item 16. Invasive alien species: addressing risks associated with trade; experiences in the use of biological control agents; and decision support tools**

135. The Conference of the Parties, in decision XII/16, requested the Executive Secretary, in collaboration with the Convention on International Trade in Endangered Species of Wild Fauna and Flora and relevant organizations, to explore ways and means to address the risks associated with trade in wildlife introduced as pets, aquarium and terrarium species, and as live bait and live food, noting that some trade is unregulated, unreported or illegal, including by enhancing cooperation with authorities responsible for the control of wildlife trade. Further in decision XII/17, paragraph 9(d), the Conference of the Parties requested the Executive Secretary to explore with relevant partners, including the standard-setting bodies recognized by the World Trade Organization and other members of the inter-agency liaison group on invasive alien species, methods of alerting suppliers and potential buyers to the risk posed by invasive alien species sold via e-commerce.

136. The Executive Secretary was also requested, in decision XII/17, paragraph 9(g), to compile and prepare a synthesis of information from Parties, scientific institutions, and other relevant organizations, on experiences in the use of biological control agents against invasive alien species, in particular the release in the wild of alien species for this purpose, including positive and negative cases and cases of the application of appropriate risk assessment.

137. Further, the Conference of the Parties, in decision XII/17, paragraphs 9(c) and (f), requested the Executive Secretary to develop decision-support tools for assessing and evaluating the social, economic and ecological consequences of invasive alien species, cost-benefit analyses for eradication, management and control measures, and tools for examining the impacts of climate change and land-use change on biological invasions as well as to develop a user-friendly guide to existing decisions of the Conference of the Parties on invasive alien species and the relevant guidance and standards developed by other relevant organizations.

138. The Conference of the Parties also invited the Invasive Species Specialist Group of the International Union for Conservation of Nature and other technical partners to continue and complete the work on pathway analysis, and to continue to develop a system for classifying invasive alien species based on the nature and magnitude of their impacts (decision XII/17, para. 3).

139. To facilitate work to address these requests, the Executive Secretary convened an expert meeting on alien species in wildlife trade, experiences in the use of biological control agents and development of decision support tools for management of invasive alien species.<sup>36</sup>

140. The Subsidiary Body on Scientific, Technical and Technological Advice addressed these issues at its twentieth meeting. In recommendation XX/7, it welcomed the report of the expert meeting and prepared recommendations to the Conference of the Parties. The draft decision also reflected progress by relevant organizations in addressing the risk of invasive species moving with sea containers. The Subsidiary Body in its recommendation also noted the progress of the entry into force of the International Convention for the Control and Management of Ships' Ballast Water and Sediments.<sup>37</sup>

141. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/7 and reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

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<sup>36</sup> UNEP/CBD/SBSTTA/20/INF/31.

<sup>37</sup> In its decision VIII/27, the COP urged Parties and other Governments to ratify and implement the International Convention on the Control and Management of Ships' Ballast Water and Sediments as soon as possible.

**Item 17. Other scientific and technical issues, including synthetic biology, implications of the IPBES assessment on pollinators, and sustainable wildlife management**

*Climate-related geoengineering*

142. In decision XI/20, paragraph 9, the Conference of the Parties invited Parties to report on measures undertaken in accordance with the guidance on climate-related geoengineering contained in decision X/33, paragraph 8(w), and requested the Executive Secretary to compile this information.

143. In decision XI/20, paragraph 16(b), the Conference of the Parties requested the Executive Secretary to prepare an overview of the further views of Parties, other governments, indigenous and local communities and other stakeholders on the potential impacts of geoengineering on biodiversity, and associated social, economic and cultural impacts, and, at the appropriate time, to prepare, provide for peer review, and submit for consideration by a future meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, an update on the potential impacts of geoengineering techniques on biodiversity, and on the regulatory framework of climate-related geoengineering relevant to the Convention. Accordingly, the Executive Secretary prepared document UNEP/CBD/SBSTTA/19/7 and associate information documents.<sup>38</sup>

144. At its nineteenth meeting, the Subsidiary Body took note of the updated report on climate-related geoengineering<sup>39</sup> in relation to the Convention on Biological Diversity and prepared a recommendation for the Conference of the Parties.

145. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XIX/7 reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

*Synthetic biology*

146. In decision XII/24 the Conference of the Parties established an Ad Hoc Technical Expert Group on Synthetic Biology and requested the Executive Secretary to convene a moderated open-ended online forum to support the work of the Ad Hoc Technical Expert Group. The Executive Secretary was also requested to prepare an updated report on relevant information on components, organisms and products resulting from synthetic biology techniques that may have impacts on the conservation and sustainable use of biological diversity and associated social, economic and cultural considerations, as well as on possible gaps and overlaps with the applicable provisions of the Convention, its Protocols and other relevant agreements related to components, organisms and products resulting from synthetic biology techniques, for consideration by the Ad Hoc Technical Expert Group.

147. At its twentieth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice considered the information submitted by Parties, other Governments, relevant organizations and stakeholders, the outcomes of the Open-ended Online Forum on Synthetic Biology and the Ad Hoc Technical Expert Group (AHTEG) on Synthetic Biology, as well as the comments from the peer-review process, and prepared a recommendation to the Conference of the Parties.

148. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/8 reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

*Implications of the IPBES assessment on pollinators, pollination and food production*

149. The Conference of the Parties, in decision XII/25, paragraph 5(e), requested the Executive Secretary to bring the deliverables of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services to the attention of the Subsidiary Body on Scientific, Technical and Technological Advice for its consideration with regard to the relevance of the findings for the work of the Convention, and for the development, as appropriate, of recommendations to the Conference of the Parties.

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<sup>38</sup> This document will be published in the CBD Technical Series.

<sup>39</sup> UNEP/CBD/SBSTTA/19/INF/2

150. At its fourth plenary meeting, the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services approved the Summary for Policy Makers of the thematic assessment on pollinators, pollination and food production, and accepted the full report of the assessment.

151. At its twentieth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice considered implications of the Summary for Policy Makers of the thematic assessment on pollinators, pollination and food production for the work of the Convention. The Subsidiary Body, in its recommendation XX/9, welcomed the assessment and developed a draft decision for the Conference of the Parties. The Subsidiary Body also requested the Executive Secretary, in cooperation with IPBES and the Food and Agriculture Organization of the United Nations to prepare a regional report for Africa on pollinators and pollination, drawing upon the assessment and relevant work under the International Pollinators Initiative, and make the findings available for peer review prior to the thirteenth meeting of the Conference of the Parties. Accordingly, the report will be made available as an information document.

152. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/9 reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

#### *Sustainable wildlife management*

153. In decision XII/18, paragraph 13(a), the Conference of the Parties requested the Executive Secretary to prepare technical guidance on the role of sustainable wildlife management for the implementation of the Strategic Plan for Biodiversity 2011-2020. The Executive Secretary was also requested, in paragraph 13(b) of the same decision, to prepare an analysis of the impacts of the “subsistence use” of wildlife on the survival and regeneration of wild species, in the context of growing human populations and pressures on wildlife resources. Further joint work by the Collaborative Partnership on Sustainable Wildlife Management was addressed in paragraph 13(d) of the decision. The Subsidiary Body on Scientific, Technical and Technological Advice addressed these issues at its twentieth meeting and prepared a draft decision for the Conference of the Parties (Recommendation XX/11).

154. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/11 and reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

## **V. OPERATIONS OF THE CONVENTION**

### **Item 18. Improving the efficiency of structures and processes under the Convention, including integration among the Convention and its Protocols**

#### *Modus operandi of the Subsidiary Body on Implementation and mechanisms to support review of implementation*

155. The Conference of the Parties requested the Executive Secretary to prepare a draft modus operandi on the organization and operation of the Subsidiary Body on Implementation in line with the terms of reference contained in the annex to decision XII/26, and taking into account the consolidated modus operandi of the Subsidiary Body on Scientific, Technical and Technological Advice, and any views expressed, in this regard, as reflected in the reports of twelfth meeting of the Conference of the Parties, the seventh meeting of the Parties to the Cartagena Protocol and the first meeting of the Parties to the Nagoya Protocol (decision XII/26, para. 6(c)).

156. In decision XII/29, paragraph 3, the Conference of the Parties requested the Executive Secretary to develop a methodology for a voluntary peer-review process for the national biodiversity strategies and action plans.

157. In decision XII/28, paragraph 3(b), the Conference of the Parties requested the Executive Secretary to implement an online decision-tracking tool on a pilot basis and use it to review the decisions of the eighth and ninth meetings of the Conference of the Parties, assemble information on their status and any other related information as outlined in the annex to the decision.

158. The Subsidiary Body on Implementation, in its recommendation 1/9, welcomed the draft *modus operandi* for the Subsidiary Body on Implementation prepared by the Executive Secretary.<sup>40</sup> It further developed the draft and recommended that the Conference of the Parties at its thirteenth meeting adopt the *modus operandi* on the basis of this draft.

159. In the same recommendation, the Subsidiary Body took note of the draft methodology for the voluntary peer review mechanism for national biodiversity strategies and action plans, and of the progress made,<sup>41</sup> requested the Executive Secretary to facilitate further work. An updated progress report will be available as UNEP/CBD/COP/13/18. The recommendation of the Subsidiary Body also includes elements of draft decision on the further development of the voluntary peer review mechanism and decision-tracking tool as well as on other ways to enhance the review of implementation.

160. The Subsidiary Body also welcomed the progress made in the development of a decision tracking tool and took note of the results of the application of the tool on a pilot basis to review the decisions of the eighth and ninth meetings of the Conference of the Parties.

161. The Conference of the Parties will be invited to consider the draft decision arising from SBI recommendation 1/9 and reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

#### *Ways to increase the efficiency of meetings*

162. In decision XII/29, paragraph 2, the Conference of the Parties requested the Executive Secretary to explore ways to increase the efficiency of meetings, including convening meetings through virtual means, and to liaise with appropriate entities, such as the United Nations Development Programme, to identify the necessary facilities for delegates, including national focal points, in developing countries to participate effectively in these meetings. A progress report will be made available to the Conference of the Parties,

#### *Integration among the Convention and its Protocols*

163. The Conference of the Parties, in decision XII/31, indicated in the multi-year programme of work of the Conference of the Parties up to 2020 that it would address the integration among the Convention and its Protocols at its thirteenth meeting.

164. Further, the Conference of the Parties, in paragraph 6 of decision XII/31, requested the Subsidiary Body on Implementation to develop criteria to facilitate the review, at its fourteenth and fifteenth meetings, of experience with the concurrent organization of meetings.

165. The Subsidiary Body on Implementation addressed these matters at its first meeting and, in its recommendation 1/11, prepared elements of a draft decision concerning integrated approach (paras 1, 2), criteria for the review of experience with the concurrent organization of meetings, and regional preparatory meetings.

166. The Conference of the Parties will be invited to consider the draft decision arising from SBI recommendation 1/11 (paras 1-5) and reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

167. The Conference of the Parties, in decision XII/27, paragraph 4(d), requested the Executive Secretary to prepare an analysis of the level of participation of Parties, especially developing country Parties, in particular the least developed and small island developing States, and Parties with economies in transition, in the twelfth meeting of the Conference of the Parties and the first meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, including a comparison with earlier meetings under the Convention, and possible ways to enhance the level of participation. The analysis prepared for the first meeting of the Subsidiary Body on Implementation will be further developed and made available to the Conference of the Parties.

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<sup>40</sup> UNEP/CBD/SBI/1/10

<sup>41</sup> UNEP/CBD/SBI/1/10/10/Add.1

**Item 19. Guidelines for the sixth national reports, modalities for future editions of the Global Biodiversity Outlook and indicators**

*Guidelines for the sixth national report*

168. The Conference of the Parties, in decision XII/1, paragraph 9(a), requested the Executive Secretary to develop a proposal on guidelines for the sixth national report. The proposal should take into account the types of information from the fifth national reports used in the preparation of the fourth edition of the *Global Biodiversity Outlook* and gaps that have been identified. In decision XII/29, paragraph 4, the Conference of the Parties requested the Executive Secretary to make the online reporting tool of the clearing-house mechanism fully operational as soon as possible, invited Parties and other Governments, on a voluntary basis, to make available information through the tool, and requested the Executive Secretary to report on progress. At its nineteenth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, in recommendation XIX/5, paragraph 3, provided further guidance on the development of the guidelines for the sixth national reports and the online reporting tool.

169. Accordingly, the Executive Secretary prepared draft guidelines, including draft reporting templates, for the sixth national report. The Subsidiary Body on Implementation took note of these guidelines and requested the Executive Secretary to arrange for their peer review by Parties and subsequent revision, also taking into account any suggestions from other biodiversity-related convention. The Subsidiary Body on Implementation also prepared a draft decision on national reporting, recommending that the Conference of the Parties adopt the revised guidelines. A revised draft resource manual on national reporting will also be available as an information document.

170. The Conference of the Parties will be invited to consider the draft decision arising from SBI recommendation 1/10 (paragraph 4) reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

*The fifth edition of the Global Biodiversity Outlook, and related work of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services*

171. The Conference of the Parties, in decision XII/1, paragraph 19, requested the Subsidiary Body on Scientific, Technical and Technological Advice to evaluate the scope, process and findings of the *Global Biodiversity Outlook* in the light of, and avoiding duplication with, the ongoing work of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services on a global assessment on biodiversity and ecosystem services. The Subsidiary Body was requested to report on its evaluation to the Conference of the Parties in order to inform the consideration of the modalities of potential future editions of the *Global Biodiversity Outlook*.

172. At its fourth plenary meeting, the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services decided to undertake a global assessment on biodiversity and ecosystem services. It also approved the Summary for Policy Makers of the methodological assessment of scenarios and models of biodiversity and ecosystem services. In line with decision XII/25, para. 5(e), the Subsidiary Body on Scientific, Technical and Technological Advice considered these outcomes at its twentieth session and prepared elements of a draft decision.

173. The Conference of the Parties will be invited to consider the draft decisions arising from SBSTTA recommendations XIX/5 (paragraph 5) and XX/13 (paragraph 4; paragraphs 1-7) reproduced in the compilation of draft decision (UNEP/CBD/COP/13/2).

*Indicators for the Strategic Plan for Biodiversity 2011-2020*

174. The Conference of the Parties requested the Executive Secretary (decision XII/1, para. 20(b)) to convene a meeting of the Ad Hoc Technical Expert Group on Indicators for the Strategic Plan for Biodiversity 2011-2020. The Subsidiary Body on Scientific, Technical and Technological Advice at its nineteenth meeting considered the report of the Ad Hoc Technical Expert Group on Indicators for the Strategic Plan for Biodiversity 2011-2020<sup>42</sup> including (a) a set of potential indicators that could be used to monitor progress at the global level

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<sup>42</sup> UNEP/CBD/SBSTTA/19/INF/5



towards the achievement of the Aichi Biodiversity Targets, including a number of indicators that may be relevant to the United Nations post-2015 development agenda and sustainable development goals; and (b) guidance on the different types of indicators and approaches used to monitor progress in the implementation of the Strategic Plan for Biodiversity 2011-2020 at the regional, national and subnational levels. At its nineteenth meeting, in recommendation XIX/4, the Subsidiary Body on Scientific, Technical and Technological Advice, provided further guidance on the development of the indicators.

175. At its twentieth meeting, the Subsidiary Body on Scientific, Technical and Technological Advice, considered an updated set of indicators and developed a draft decision for the Conference of the Parties. Further minor updates have been made in line with a request by the Subsidiary Body.<sup>43</sup>

176. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/13 (paragraph 4; paragraphs 8-24 of the draft decision), including as an annex the further updated indicators, reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

*Scientific assessment of progress towards selected Aichi Biodiversity Targets*

177. The Subsidiary Body on Scientific, Technical and Technological Advice, in recommendation XX/2, requested the Executive Secretary to develop proposals for the next scientific assessment of progress towards selected Aichi Biodiversity Targets and prepared a draft decision on this matter. The proposals will be made available in document UNEP/CBD/COP/13/19.

178. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XX/2, reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2), taking into account the information in document UNEP/CBD/COP/13/19.

*Key scientific and technical needs related to the implementation of the Strategic Plan for Biodiversity 2011-2020 and related research*

179. The Subsidiary Body on Scientific, Technical and Technological Advice, considered a progress report on key scientific and technical needs related to the implementation of the Strategic Plan for Biodiversity 2011-2020 in follow-up to its seventeenth meeting. It also considered research needs in the light of the decision of the Conference of the Parties to address Article 12 of the Convention (Research and training) at its thirteenth meeting. The Subsidiary Body in its recommendation XIX/2, welcomed the establishment of the Future Earth programme and invited it to take into account the Strategic Plan for Biodiversity 2011-2020 when developing and implementing its research agenda. It also prepared a draft decision for the consideration of the Conference of Parties including voluntary guidance to improve the accessibility of biodiversity-related data and information, annexed to the recommendation.

180. The Conference of the Parties will be invited to consider the draft decision arising from SBSTTA recommendation XIX/2, reproduced in the compilation of draft decisions (UNEP/CBD/COP/13/2).

## **VI. FINAL MATTERS**

### **Item 20. Other matters**

181. The Conference of the Parties may wish to consider other matters raised and accepted for discussion in accordance with rule 12 of the rules of procedure and paragraph 7 of decision IV/16.

### **Item 21. Adoption of the report**

182. The Conference of the Parties will be invited to consider and adopt the report on the work of its thirteenth meeting on the basis of the draft report prepared by the Rapporteur and the reports of the two working groups. It may also wish to annex to its report the outcomes of the high-level segment of the meeting and other events organized in conjunction with its meeting. In accordance with established practice, the Conference of the

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<sup>43</sup> Add information on update- link to page??

Parties may wish to authorize the Rapporteur to complete the final report after the meeting with the guidance of the President and assistance of the Secretariat.

**Item 22. Closure of the meeting**

183. It is expected that the thirteenth meeting of the Conference of the Parties will close on Saturday, 17 December 2016, at approximately 6 p.m.

Advance unedited

*Annex***LIST OF DOCUMENTS FOR THE THIRTEENTH MEETING OF THE CONFERENCE OF THE PARTIES**

| <i>Symbol</i>                 | <i>Working title</i>   | <i>Agenda item(s)</i> |
|-------------------------------|--|-----------------------|
| UNEP/CBD/COP/13/1             | Provisional agenda   | 2                     |
| UNEP/CBD/COP/13/1/Add.1/Rev.1 | Annotations to the provisional agenda  | 2                     |
| UNEP/CBD/COP/13/1/Add.2*      | Organization of work   | 2                     |
| UNEP/CBD/COP/13/2             | Draft decisions for the Conference of the Parties at its thirteenth meeting  |                       |
| UNEP/CBD/COP/13/3             | Report of the Ad Hoc Open-ended Inter-Sessional Working Group on Article 8(j) and Related Provisions on the work of its ninth meeting  | 7                     |
| UNEP/CBD/COP/13/4             | Report of the Subsidiary Body on Scientific, Technical and Technological Advice on the work of its nineteenth meeting  | 7                     |
| UNEP/CBD/COP/13/5             | Report of the Subsidiary Body on Scientific, Technical and Technological Advice on the work of its twentieth meeting   | 7                     |
| UNEP/CBD/COP/13/6*            | Report of the Subsidiary Body on Implementation on the work of its first meeting   | 7                     |
| UNEP/CBD/COP/13/7*            | Report of the Executive Secretary on the administration of the Convention, including the budget for the trust funds of the Convention  | 8                     |
| UNEP/CBD/COP/13/7/Add.1*      | Note by the Executive Secretary on budgetary matters   |                       |
| UNEP/CBD/COP/13/7/Add.2*      | Final report of the in-depth Functional Review of the Secretariat  |                       |
| UNEP/CBD/COP/13/8             | Updated report on progress in the Implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and towards the achievement of the Aichi Biodiversity Targets                             | 9                     |
| UNEP/CBD/COP/13/8/Add.1       | Updated Analysis on Progress in Revising/Updating and Implementing National Biodiversity Strategies and Action Plans, Including National Targets   | 9                     |
| UNEP/CBD/COP/13/8/Add.2       | Updated Analysis of the Contribution of Targets Established by Parties and Progress towards the Aichi Biodiversity Targets   | 9                     |
| UNEP/CBD/COP/13/8/Add.3       | Implementation of the Gender Plan of Action  |                       |
| UNEP/CBD/NP/COP-MOP/2/2       | Updated report on progress towards Aichi Biodiversity Target 16 on the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization            | 9                     |
| UNEP/CBD/COP/13/9             | Mainstreaming and the integration of biodiversity across relevant sectors, including agriculture, forests and fisheries – an overview of work under the Convention   | 10                    |
| UNEP/CBD/COP/13/10            | Further implications of the 2030 Agenda for Sustainable development and of other relevant international processes for the future work of the Convention  | 10                    |
| UNEP/CBD/COP/13/11            | Resource Mobilization: Updated Analysis of the Information Provided through the Financial Reporting Framework and on and Options for Strengthening Biodiversity-Related Financial Information Systems          | 11                    |
| UNEP/CBD/COP/13/12*           | Financial Mechanism  | 11                    |
| UNEP/CBD/COP/13/12/Add.1*     | Summary of the report of the Global Environment Facility   | 11                    |
| UNEP/CBD/COP/13/12/Add.2*     | Summary of the report of the Expert Group on a full assessment of the funds needed for the implementation of the Convention and its Protocols for the seventh replenishment of the Global Environment Facility | 11                    |
| UNEP/CBD/COP/13/13            | Revised short-term action plan on capacity building  | 12                    |

| <i>Symbol</i>            | <i>Working title</i>  | <i>Agenda item(s)</i> |
|--------------------------|---|-----------------------|
| UNEP/CBD/COP/13/14       | Communications strategy   | 12                    |
| UNEP/CBD/COP/13/14/Add.1 | Updated web strategy  | 12                    |
| UNEP/CBD/COP/13/15       | Synergies among the biodiversity related conventions – options for action   | 13                    |
| UNEP/CBD/COP/13/16       | Cooperation with conventions and international organizations and partnerships to enhance the implementation of the Strategic Plan for Biodiversity 2011-202 | 13                    |
| UNEP/CBD/COP/13/17       | Glossary of key terms and concepts to be used within the Context of Article 8 (j) and related provisions  | 14                    |
| UNEP/CBD/COP/13/18       | Voluntary peer-review process for the national biodiversity strategies and action plans: Progress report and updated methodology                            |                       |
| UNEP/CBD/COP/13/19       | Proposal for scientific assessment of progress towards the Aichi Biodiversity Targets   |                       |

\*Documents indicated by an asterisk are also being made available as working documents for BS/COP-MOP/8 and NP/COP-MOP/2.