In order to minimize the environmental impacts of the Secretariat’s processes, and to contribute to the Secretary-General’s initiative for a C-Neutral UN, this document is printed in limited numbers. Delegates are kindly requested to bring their copies to meetings and not to request additional copies.
Submission from Canada


Canada welcomes the opportunity to make comments on the revised Draft Strategy for Resource Mobilization. The revised strategy is still very much in draft form. We note that the section on needs assessment and gap analysis is missing as well as objectives under Goal 5. Without some sense of gaps in funding, and the needs of countries, it is difficult to define a corresponding strategy for resource mobilization, as was noted at the October consultation. However, elaborating a list of needs and gaps can be a considerable challenge. For the purpose of this Strategy, it might be appropriate or practical for the Strategy to make an overall statement of needs based for example on information found in NBSAPs. The strategy would also refer to the fact that each Party should tailor its domestic funding strategy to its needs.

We also note that the language is inconsistent in a number of areas. Some objectives read as being directive, while others read as non-binding suggestions for action. In Canada's view, any strategy for resource mobilization needs to be voluntary in nature and provide maximum flexibility for all Parties to work within their national circumstances to identify ways in which they could leverage resources for the implementation of the Convention. It is also important that a new strategy does not increase reporting obligations on Parties but rather uses existing reporting requirements under the Convention.

Canada is generally comfortable with the 6 goals that are proposed, although we note that Goal 6 is more of an explanation of how the overall strategy will be implemented that a stand-alone goal. Perhaps this text could be best use in a preamble or Introduction to the strategy. We also note that consistent language should be used for the wording of the goals. The Conclusion section also refers to implementation and we would suggest that again this text could be best placed in an introduction or preamble.

In Canada's view, the paper lists too many objectives and would benefit from further prioritization and greater focus. The paper also needs to avoid taking a prescriptive approach as Parties need to have the flexibility to determine which options for mobilizing resources work best for them.

Following are some specific suggestions:

- Objectives 1.4 and 1.5 could be merged.
- Objectives 1.10 and 1.11 could also be merged.
- Objectives 2.1 and 2.4 overlap and could be merged.
- Objective 3.1 is of concern as it suggests an increase in the number of meetings, which may not be cost-effective or time efficient.
- Objectives 3.6 and 3.7 are covered in other sections of the paper (such as Goal 5) and could be removed from Goal 3.
- Objective 3.10 seems duplicative of Objective 1.13.
- Objective 4.4 is unclear.
- For objective 6.3, we suggest making the best use of existing mechanisms to achieve this.

Finally, we note that the previous draft from September included a draft of a fairly comprehensive and constructive list of suggestions for action. While Canada and others indicated during the informal
consultation that the list should be analyzed, refined and prioritized and remain voluntary, and that it did not constitute a strategy in itself, we suggest there may be some value in retaining this list for now in an Annex while we further develop the strategy.

Submission from Portugal and the European Commission, on behalf of the European Community and its Member States

Subject: EU submission in reply to Notification 2007-129 – Draft strategy for resource mobilization in support of the achievement of the Convention’s three objectives

In reply to notification 2007-129, Portugal and the European Commission, on behalf of the European Community and its Member States, would like to transmit the following views.

The EU would like to thank the Secretariat for the issuing of the draft strategy for resource mobilization which reflects some of the preliminary comments and views made during the first informal consultation with Parties and relevant organizations, held on 13 October 2007 in Montreal. The revised document contains good general elements which have to be developed further. Nevertheless the current document falls short of reflecting what in our view a Resource Mobilization Strategy should look like. At the moment the draft document is a simple list of objectives on a very general level.

Therefore while agreeing on the general structure of the document containing goals and a number of objectives under each we also believe that each objective should incorporate a number of suggested specific activities or initiatives with their respective time frames. In this regard, the formulation of suggested activities or initiatives should allow for the necessary flexibility when referring to domestic resource mobilization as key actors and structures may differ among Parties. However, the document should be as precise as possible when identifying actors for resource mobilization in the international context. Therefore in our point of view the document should:

- Design a fund-raising "pyramid" showing the breakdown of the funding goals by sector (e.g., multilateral, bilateral, national sources, private sector, private foundations) as well as those who would be soliciting the funds
- Where possible assign the tasks of resource mobilization by sector to the appropriate institutions, agencies or individuals
- Where possible address the obstacles and capacity needs of these respective institutions to attract the necessary resources and propose ways to overcome/improve them
- When referring to financial resources (e.g. current Goal 2) the document should address in a differentiated manner both the national and the international context. On the latter the objectives and suggested activities and initiatives should not be restricted to the GEF, but should also elaborate on activities at national level.

Consideration should also be given to a follow up process for the monitoring of its implementation including tracking performance against fund-raising goals.

To provide a solid basis for the identification of Goals, Objectives and suggested activities and initiatives it is important to thoroughly develop Section I (Needs assessment and gap analyses). In this regard it would be important that this Section I addresses the following issues:

- What are the priority funding needs for biodiversity globally?

/...
How much of those needs are currently being met by existing sources?

How much of the gap between the needs and the current flows is a realist fund raising target until 2015?

What would be the need and potential of possible innovative mechanisms?

Finally we would like to emphasise that Decision VIII/13 also asks the Secretariat to explore possible innovative international financial mechanisms for resource mobilization. Whilst the main focus of the strategy should be on the enhancement of existing mechanisms and the mobilization of resources in the short and mid term the strategy should also initiate a concrete process on the exploration and if possible later implementation of innovative mechanisms. Such mechanisms might be necessary in order to fill possible existing funding gaps. But most of these mechanisms still need a thorough analysis of political and technical feasibility and would need a longer process of negotiations. It is therefore important to initiate a concrete process for such discussions on global level without pre-empting any outcome.