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GENERAL INFORMATION ON THE CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA (CITES)

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Prepared for the participants of the third meeting of the Subsidiary Body on Scientific, Technical and Technological Advice. Montreal, Canada 1-5 September 1997

This summarized record of decisions made on the 75 proposals to the 10th Conference of the Parties to CITES is organized into seven sections- ① mammals, ② birds, ③ reptiles, ② amphibians ⑤ fish ③ molluscs and ② plants. The entries within each section are listed in alphabetical order by common name of the species with proposal numbers in brackets, the scientific name for the species and a summary of the original proposal to the COP, the originator of the proposal and the decision made. Please note that the majority of decisions are made by consensus. In cases where decisions were made through voting, ballot figures are indicated in the "Outcome" column in the following order- for/against/abstain. A two thirds majority is required for proposals to be accepted by ballot. Only votes for or against are taken into consideration. Please note, these are only the decisions made on the 75 Proposals to COP10, covering 113-116 species. An update on the working document decisions will be available shortly.

Common Name	Proposal	by	Outcome
MAMMALS			
African elephant (10.25) Loxodonta africana	transfer of the population of Botswana from Appendix I to Appendix II with conditions.	Botswana Namibia Zimbabwe	accepted by secret ballot * 74/21/24. Conditions attached to the transfer.
African elephant (10.26) Loxodonta africana .	transfer of the Namibian population from Appendix I to Appendix II with conditions.	Botswana Namibia Zimbabwe	accepted by secret bailot * 74/22/24 Conditions attached to the transfer.
African elephant (10.27) Loxodonta africana	transfer of the Zimbabwean population from Appendix I to Appendix II with conditions.	Botswana Namibia Zimbabwe	accepted by secret ballot * 77/23/20 Conditions attached to the transfer.
Appendix II animal species (10.17)	amendment to the relevant annotations of Appendix-II species annotated to limit the trade to certain types of specimens.**	Switzerland	accepted
Banteng (10.36) Bos javanicus	inclusion in Appendix I	Thailand	withdrawn
Bennett's tree-kangaroo & Lumholtz's tree- kangaroo (10.5) Dendrolagus bennettianus, Dendrolagus lumholtzi	deletion from Appendix If	Australia	accepted
Brown bear (10.24) Urus arctos	transfer of all Asian and European populations from Appendix II to Appendix I	Bulgaria Finland Jordan	rejected by secret ballot 17/33/58
Bryde's whale (10.23) Balaenoptera edeni	transfer of the North Pacific Western stock from Appendix I to Appendix II	Japan	withdrawn
Collared peccary (10.29) Tayassu tajacu	deletion from Appendix II of the Mexican population	Mexico	accepted

Common Name	Proposal	by	Outcon
Grey whale (10.19) Eschrichtius robustus	transfer of the Eastern Pacific stock from Appendix I to Appendix II	Japan	rejected by secret ballo 47/61/08
Hairy armadillo (10.18) Chaetophractus nationi	inclusion in Appendix I	Bolivia	accepted with zero qu
Jaguar (10.15) Panthera onca	establishment of annual export quotas for hunting trophies of zero in 1997, 1998 and 1999 and of 20-30 thereafter	Venezuela	withdrawn
Kara Tau argali (10.38) Ovis ammon nigrimontana	transfer from Appendix II to Appendix I	Germany	accepted
Minke whale (10.20) Balaenoptera acutorostrata	transfer of the Okhotsk Sea West Pacific stocks from Appendix I to Appendix II	Japan	rejected by secret ballo 45/65/07
Minke whale (10.21) Balaenopiera acutorostrata	transfer of the Southern Hemisphere stocks from Appendix I to Appendix II	Japan	rejected by secret ballot 53/59/04
Minke whale (10.22) Balaenoptera acutorostrata	transfer of the Northeast Atlantic and the North Atlantic Central stocks from Appendix I to Appendix II	Norway	rejected by secret ballot 57/51/06
Mountain pygmy-possum (10.4) Barramys parvus	detetion from Appendix II	Australia	accepted
Pere David's deer (10.34) Elaphurus davidianus	inclusion in Appendix II	Argentina China	withdrawn
Southern white rhinoceros (10.28) Ceratotherium simum simum	amendment of the annotation °503 to allow the trade in parts and derivatives but with a zero export quota	South Africa	rejected by secret ballot 50/48/10
/icuña (10.30) /icugna vicugna	amendment to the annotation °504 to replace the words "VICUNANDES-CHILE" and "VICUNANDES-PERU" by the words "VICUNA-COUNTRY OF ORIGIN"	Peru	accepted
icuña (10.31) icugna vicugna	amendment to the annotation ° 504 to allow also the countries members of the Vicuña Convention to trade in luxury handicrafts and knitted articles made from wool sheared from five animals.	Peru	accepted
icuña (10.32) icugna vicugna	a (10.32) transfer of the population of the Province		accepted
cuña (10.33) cugna vicugna	transfer of the populations of the Conservation Units of Mauri-Desaguadero, Ulla Ulla and Lipez-Chichas, Bolivia, from Appendix I to Appendix II with the purpose of permitting international trade in cloth made from fibre, sheared from live animals.	Bolivia	accepted with zero export quota
ater buffalo (10.37) balus arnee	inclusioπ in Appendix I	Thailand	withdrawn
ood bison (10.35) son bison athabascae	transfer from Appendix I to Appendix II	Canada	accepted

Common Name	Proposal	by	Outcome
BIRDS			
Black-billed parrot (10.41) Amazona agilis	transfer from Appendix II to Appendix I	Germany	withdrawn
Black-breasted buttonquail (10.6) Turnix melanogaster	deletion from Appendix II	Australia	accepted
Easter-weka rail (10.8) Gallirallus australis hectori	deletion from Appendix II	New Zealand	accepted
Green avadavat (10.54) Amandava formosa	inclusion in Appendix II	Netherlands	accepted
Green-cheeked amazon (10.42) Amazona viridigenalis	transfer from Appendix II to Appendix I	Germany Mexico and United States	accepted
Hill mynah (10.56) Gracula religiosa	inclusion in Appendix II	Netherlands	accepted
Java sparrow (10.55) Padda oryzivora	inclusion in Appendix II	Netherlands	accepted by vote 55/9/0
Kuhl's lorikeet (10.45) Vini Kuhlii	transfer from Appendix II to Appendix I	Germany	rejected by vote 33/21/0
Lesser sulphur-crested cockatoo (10.43) Cacatua sulphurea	transfer from Appendix II to Appendix I	Germany	withdrawn
Northern helmeted curassow (10.39) Pauxi pauxi	inclusion in Appendix II	Netherlands	withdrawn
Omei Shan leiothrix (10.52) Liocichla omeiensis	inclusion in Appendix II	Netherlands	accepted by vote 46/21/0
Horned parakeet (10.44) Eunymphicus cornutus uvaeensis	transfer from Appendix II to Appendix I	Germany	withdrawn
Plains wanderer (10.7) Pedionomus torquatus	deletion from Appendix II	Australia	accepted
Red-billed leiothrix (10.51) Leiothrix lutea	inclusion in Appendix II	Netherlands	accepted
Red-crowned parrot (10.42a and 10.42b) Amazona viridigenalis	transfer from Appendix II to Appendix I	Germany United States	accepted
Seven-colored tanager (10.53) Tangara fastuosa	inclusion in Appendix II	Germany Netherlands	accepted ,
Silver-eared mesia (10.50) Leiothrix argentauris	inclusion in Appendix II	Netherlands	accepted
Horned curassow (10.40) Pauxi unicornis	inclusion in appendix It	Netherlands	withdrawn
Straw-headed bulbul (10.49) Pycnonotus zeylanicus	inclusion in Appendix II	Netherlands United States	accepted
Tahitian lorikeet (10.46) Vini peruviana	transfer from Appendix II to Appendix I	Germany	rejected by vote 32/25/0
Ultramarine lorikeet (10.47) Vini ultramarina	transfer from Appendix II to Appendix I	Germany	accepted by vote in plenary 48/03/0
Writhed-billed hombill (10.48) Aceros waldeni	transfer from Appendix II to Appendix I	Germany	withdrawn

Common Name	Proposal	by	Outcome
REPTILES			
Alligator snapping turtle (10.57) Macroclemys temminckii	inclusion in Appendix II	United States	withdrawn
Broad-snouted caiman (10.1) Caiman latirostris	transfer of the Argentine population from Appendix I to Appendix I for ranching.	Argentina	accepted
Hawksbill sea turtle (10.60) Eretmochelys imbricata	transfer of the Cuban population from Appendix I to Appendix II under certain conditions	Cuba	rejected by plenary vote 55/49
Indian monitor (10.61) Varanus bengalensis	transfer of the population of Bangladesh from Appendix I to Appendix II subject to annual export quotas of 150,000 skins in 1997 and 225,000 skins in 1998 and 1999	Bangladesh	rejected by vote 2/40/0
Map turtles (10.59) Graptemys spp. (12 species)	inclusion in Appendix If	United States	rejected by vote 37/19/0
Nile Crocodile (10.16) Crocodylus niloticus	establishment of an annual export quota of 1000 skins and 100 hunting trophies from wild animals for the years 1998-2000	United Republic of Tanzania	accepted
Nile crocodile (10.2) Crocodylus niloticus	maintenance of the Matagasy population in Appendix II for ranching	Madagascar	accepted with quotas removed.
Nile crocodile (10.3) Crocodylus niloticus	maintenance of the Ugandan population in Appendix II for ranching	Uganda	accepted with quotas removed.
Yellow monitor (10.62) Varanus flavescens	transfer of the population of Bangladesh from Appendix I to Appendix II subject to annual export quotas of 100,000 skins in 1997, 1998 and 1999	Bangladesh	rejected
Painted terrapin (10.58) Callagur borneoensis	inclusion in Appendix II	Germany	accepted
Timber rattlesnake (10.63) Crotalus horridus	inclusion in Appendix II	United States	withdrawn
AMPHIBIANS			
Golden mantella (10.64) Mantella bernhardi, Mantella cowani, Mantella haraldmeri, Mantella viridis	inclusion in Appendix II	Netherlands	withdrawn
FISH			
Sturgeons (10.65) Acipenseriformes spp. (23 species)	inclusion in Appendix II	Germany United States	accepted. Entry into forc 1/4/98
Sawfishes (10.66) Pristiformes spp. (4-7 species)	inclusion in Appendix I	United States	rejected by vote 24/50/0
MOLLUSCS			
Long solid mussel, Ozark lamp pearly mussel, slab- side pearly mussel (10.9) Fusconaia subrotunda, Lampsilis brevicula, Lexingtonia dolabelloindes	deletion from Appendix II	United States	accepted
Land snails(10.10) Paryphanta spp. (11 species)	deletion from Appendix II	New Zealand	accepted

Common Name	Proposal	by	Outcome
PLANTS		3 2 3	
All flora (10.11)	Amendment of the current annotations #1, #2, #4 and #8 to include the following exemption: "Cut flowers of artificially propagated plants"	Switzerland	accepted
merican ginseng (10.13) Panax quinquefolius	amendment of annotation #3 to read: "Designates roots and specimens recognizable as being parts of roots"	Switzerland	accepted with modified wording.
artificially propagated specimens of hybrids and autivars (10.68)	inclusion of an annotation in Appendix Ilto exclude the artificially propagated specimens of some hybrids and cultivars	Denmark	accepted with amendment
Sigleaf mahogany- neotropical populations (10.69) wietenia macrophylla	inclusion in Appendix II with an annotation to cover logs, sawn wood, veneer sheets and plywood sheets.	United States Bolivia	rejected by vote 67/45/9 Remains in appendix II
Cacti (10.67) Cactaceae spp	amendmentto annotation #4 a) to indicate that the seeds of cacti originating in Mexico are included in Appendix II	Mexico	accepted with modified wording***
Goldenseal (10.73) Hydrasiis canadensis	inclusion in Appendix II	United States	accepted by vote 38/17/0
Ground-rose (10.72) Protea odorata	transfer from Appendix I to Appendix II in accordance with precautionary measure B.2.a) of Resolution Conf. 9.24, Annex 4	South Africa	accepted
ndian nard (10.75) Vardostachys grandiflora	inclusion in Appendix II. Parts and derivatives to be covered: roots and readily recognizable parts thereof.	India	accepted by vote 57/0/0 with modified wording
Katki (10.74) Picrorhiza kurrooa	inclusion in Appendix II; parts and derivatives to be covered: "roots and readily recognizable parts thereof"	India	accepted by vote 62/07/0 with modified wording
Marsh-rose (10.71) Orothamnus zeyheri	transfer from Appendix I to Appendix II.	South Africa	accepted
Timber (10.12)	Amendment of annotation #5 to read: "Designates logs, sawn wood and veneer sheets"	Switzerland	accepted
Tweedy's bitteroot (10.70) Lewisia (weedyi	deletion from Appendix II	United States	accepted
Yellow-flowered (or Golden) camellia (10.14) Camellia chrysantha	deletion from Appendix II	China	accepted

Note: Changes to the appendices, unless otherwise noted, enter into force on 18/09/97.

- Modified proposal accepted by secret ballot with no commercial international trade in raw ivory permitted until 18 March 1999 then only provided that conditions are met within the set limits. Trade prior to 18 March 1999 may include specimens from these populations which: involve export of live animals to acceptable and appropriate destinations, export of hunting trophies for non-commercial purposes, export of hides (Zimbabwe only) export of leather goods and ivory carvings for non-commercial purposes.
- ** All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.
- the annotation is amended to indicate that the seeds of the Mexican cacti originating in Mexico are also subject to the provisions of the Convention.

Lists Of Parties By Year Of Entry Into Force in CITES

1975

Brazil
Canada
Chile
Costa Rica
Cyprus
Ecuador
Madagascar
Mauritius
Nepal
Niger
Nigeria
Penu
South Africa
Sweden
Switzerland

Tunisia United States of America Uruguay

1976

Australia
Congo, Democratic
Republic of
Finland
Germany
Ghana
India
Iran, Islamic
Republic of
Morocco
Norway
Pakistan
Papua New Guinea
United Kingdom of Great

1977

Britain and Northern

Denmark Gambia Guyana Nicaragua Paraguay Senegal Seychelles

ireland

1978

Botswana Egypt France Malaysia Monaco Panama Venezuela 1979

Bahamas Bolivia Indonesia Italy Jordan Kenya Sri Lanka Togo

1980

Central African Republic Guatemala Israel Japan Liechtenstein Tanzania, United Republic of

1981

Argentina
Belize*
Cameroon
China
Colombia
Guinea
Liberia
Mozambique
Philippines
Portugal
Rwanda
Suriname
Zambia
Zimbabwe

1982

Austria Bangladesh Malawi

1983

Congo Saint Lucia Sudan Thailand

1984

Algeria Belgium Benin Luxembourg Netherlands Trinidad and Tobago 1985

Honduras Hungary

1986

Afghanistan Somalia Spain

1987

Dominican Republic El Salvador Singapore

1988

Burundi

1989

Chad
Ethiopia
Gabon
Malta
New Zealand
Saint Vincent and the
Grenadines
Vanuatu

1990

Brunei Danussalam Burkina Faso Cuba Guinea-Bissau Poland United Arab Emirates

1991

Bulgaria Mexico Namibia Uganda

1992

Djibouti Equatorial Guinea Estonia Russian Federation* 1993

Barbados Czech Republic* Greece Republic of Korea Slovakia*

1994

Mali Romania Saint Kitts and Nevis Viet Nam

1995

Belarus* Comoros Côte d'Ivoire Dominica Eritrea Sierra Leone

1996

Georgia* Mongotia Saudi Arabia Turkey

1997

Antigua & Barbuda.⁷
Cambodia²
Jamaica
Latvia
Myanmar ³
Swaziland
Uzbekistan⁴⁴
Yemen⁵

Date of entry into force I 6/10/97

2 2/10/97 3 11/09/97 4 8/10/97 5 5/05/97

* covered previously by CITES under another entity.