



Convention on Biological Diversity

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AD HOC OPEN-ENDED WORKING GROUP ON REVIEW OF IMPLEMENTATION OF THE CONVENTION

Fifth meeting

Montreal, 16-20 June 2014

REVISED ANNOTATIONS TO THE PROVISIONAL AGENDA

INTRODUCTION

1. At its seventh meeting, the Conference of the Parties established the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention to, inter alia, consider progress in the implementation of the Convention and the Strategic Plan 2002-2010 and, in line with the multi-year programme of work of the Conference of the Parties, to review the impacts and effectiveness of existing processes under the Convention, and to consider ways and means of identifying and overcoming obstacles to the effective implementation of the Convention (decision VII/30, paragraph 23).
2. At its eleventh meeting, the Conference of the Parties provided for a fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation through decision XI/31. The specific requests for consideration by the fifth meeting, include among others, scientific and technical cooperation and technology transfer (decision XI/2), the strategy for resource mobilization (decision XI/4), the fourth review of the effectiveness of the financial mechanism (decision XI/5), biodiversity for poverty eradication and development (decision XI/22) and improving the efficiency of structures and processes under the Convention (decision XI/10). The outcomes of this meeting will support the twelfth meeting of the Conference of the Parties in addressing the review of implementation of the Convention, including the items suggested in the multi-year programme of work of the Conference of the Parties for the period 2011-2020 (paragraph (b) of decision X/9).
3. The fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, to be held in Montreal from 16 to 20 June 2014, will be followed by the eighteenth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA).
4. A list of documents for the fifth meeting is contained in annex II below. As they are prepared, the documents will be made available on the Convention's website: <http://www.cbd.int/doc/?meeting=WGRI-05>.
5. The Secretariat will distribute an information note containing details of registration and logistical arrangements for the meeting, including information on travel, visa requirements, accommodation and other matters.

In order to minimize the environmental impacts of the Secretariat's processes, and to contribute to the Secretary-General's initiative for a C-Neutral UN, this document is printed in limited numbers. Delegates are kindly requested to bring their copies to meetings and not to request additional copies.

I. ORGANIZATIONAL MATTERS

ITEM 1. OPENING OF THE MEETING

6. The meeting will be opened at 10 a.m. on Monday, 16 June 2014, by the President of the Conference of the Parties or his representative. The Executive Secretary will provide introductory remarks.

ITEM 2. ELECTION OF OFFICERS

7. Consistent with the rules of procedure and established practice, the Bureau of the Conference of the Parties will serve as the Bureau of the Working Group. Accordingly, the meeting will be chaired by the President of the Conference of the Parties or his representative. A member of the Bureau will be designated to act as Rapporteur.

ITEM 3. ORGANIZATION OF WORK

8. A draft agenda was reviewed by the COP Bureau at its meeting on 7 October 2013 and, based on further comments, finalized by the Executive Secretary. The provisional agenda is provided in document UNEP/CBD/WGRI/5/1.

9. The Working Group is expected to work in plenary but may establish contact groups as needed to advance its work. Interpretation will be provided in the six official languages of the United Nations. A suggested programme of work is included in annex I below.

II. IMPLEMENTATION OF THE STRATEGIC PLAN FOR BIODIVERSITY 2011-2020

10. In decision X/9, the Conference of the Parties adopted the multi-year programme of work for the Conference of the Parties for the period 2011-2020. In paragraph (b) of the decision, it stated that the twelfth meeting of the Conference of the Parties, in 2014 or early 2015, could address, inter alia, several issues, including the following that are relevant to the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention:

- (i) A review of the updated national biodiversity strategies and action plans;
- (ii) A mid-term review of the implementation of the Strategic Plan for Biodiversity 2011-2020, including the programmes of work and progress towards the Aichi Biodiversity Targets and specific elements of the programmes of work, as well as contributions to the achievement of relevant 2015 targets of the Millennium Development Goals based, inter alia, on the fifth national reports, and the fourth edition of the Global Biodiversity Outlook;
- (iii) A comprehensive review of implementation of its strategy for resource mobilization, including its targets and indicators;
- (iv) A review of progress in providing support to developing countries, in particular the least developed countries and the small island developing States, as well as countries with economies in transition, pursuant to Article 20, paragraph 2, of the Convention, in implementing the objectives of the Convention and its Strategic Plan for Biodiversity 2011-2020, including capacity-building and strengthening of the clearing-house mechanism;

- (v) Further consideration of how the implementation of the Convention has supported and has contributed to, and will continue to contribute to, the achievement of the Millennium Development Goals;
- (vi) Update of this multi-year programme of work to 2020.

11. The following agenda items listed under this section include the relevant items from the multi-year programme of work (X/9) that are required for Parties to review the progress in implementation of the Strategic Plan for Biodiversity 2011-2020. Based on the recommendations by the Working Group, the Executive Secretary will develop an updated multi-year programme of work to 2020 for consideration by the twelfth meeting of the Conference of the Parties.

ITEM 4. REVIEW OF PROGRESS IN UPDATING AND IMPLEMENTING NATIONAL BIODIVERSITY STRATEGIES AND ACTION PLANS (NBSAPS)

12. In decision X/2, the Conference of the Parties urged Parties and other Governments to implement the Strategic Plan for Biodiversity 2011-2020 and in paragraph 14 of the same decision stated that future meetings of the Conference of the Parties shall review progress in the implementation of the Strategic Plan for Biodiversity 2011-2020, share experiences relevant for implementation and provide guidance on means to address obstacles encountered.

13. In paragraph 1 of decision XI/2, the Conference of the Parties urged Parties and other Governments that have not yet done so to review and, as appropriate, update and revise, their national biodiversity strategies and action plans (NBSAPs) in line with the Strategic Plan for Biodiversity 2011-2020, including national plans related to biodiversity, and to report thereon to the Conference of the Parties at its twelfth meeting.

14. In paragraph 2 of the same decision, the Conference of the Parties invited Parties and other Governments to undertake voluntary peer-review of NBSAPs and their implementation, and to share experiences deriving from it through the Executive Secretary and the clearing-house mechanism; and in paragraph 3, Parties were called upon to enhance wider stakeholder consultations for setting national targets and indicators at the national level.

15. Through decision X/10, the Conference of the Parties had decided that the fifth national reports would be due by 31 March 2014 and would focus on, inter alia, implementation of the Strategic Plan for Biodiversity 2011-2020, progress toward the Aichi Biodiversity Targets, using indicators where possible and feasible, and allow countries to provide updates on the revision, updating and implementation of their NBSAPs. The fifth national reports therefore are a key source of information for the mid-term review of implementation of the Strategic Plan to be undertaken by the twelfth meeting, as well as for the preparation of the fourth edition of the Global Biodiversity Outlook to be published in 2014.

16. The fifth meeting of the Working Group on Review of Implementation of the Convention will have before it a note prepared by the Executive Secretary (UNEP/CBD/WGRI/5/2) that provides a synthesis and analysis of information related to NBSAP revision/updating and implementation and target setting in countries. This document will be accompanied by information documents as necessary. Information contained in these documents has been largely updated from that contained in earlier documents (UNEP/CBD/COP/11/12, UNEP/CBD/COP/11/INF/12). Further information has been collected from national-level activities outlined in the NBSAPs submitted after the earlier version was prepared, and from participants to the regional NBSAP capacity-building workshops, the Global

Workshop on Reviewing Progress and Building Capacity for the NBSAP Revision Process, and from fifth national reports submitted to date.

17. Under this agenda item, the fifth meeting of the Working Group is invited to review the information contained in the documents cited above and to consider ways to further enhance the efforts to achieve the 2020 Biodiversity Aichi Targets as part of a “Pyeongchang Roadmap.” This will include recommendations under agenda items 4, 5, 6, 8 and 12, among others, for the enhanced implementation of the Strategic Plan for Biodiversity 2011-2020 and achievement of Aichi Biodiversity Targets.

ITEM 5. REVIEW OF PROGRESS IN PROVIDING SUPPORT IN IMPLEMENTING THE OBJECTIVES OF THE CONVENTION AND ITS STRATEGIC PLAN FOR BIODIVERSITY 2011-2020

18. The fifth meeting of the Working Group will have before it a note prepared by the Executive Secretary, summarizing progress achieved overall in the provision of capacity-building support to facilitate implementation of the Strategic Plan in countries at various levels (UNEP/CBD/WGRI/5/3) and includes recommendations on the way forward to achieve the 2020 Biodiversity Aichi Targets as part of a “Pyeongchang Roadmap.” This item is also linked to capacity-building activities referenced further in UNEP/CBD/WGRI/5/4 under agenda item 6 on the strategy for resource mobilization. This document will be accompanied by addenda and information documents as necessary (UNEP/CBD/WGRI/5/INF/1). Previous documents related to this agenda item include the review of progress in providing support to Parties in the context of the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets (UNEP/CBD/COP/11/13) and the contributions of the Japan Biodiversity Fund (UNEP/CBD/COP/11/INF/13).

5.1 *Progress in the provision of support for revising and updating national biodiversity strategies and action plans*

19. In paragraph 9 of decision XI/2, the Conference of the Parties reiterated the request to the Executive Secretary, in cooperation with partner organizations, to facilitate the continued exchange of best practices and lessons learned from the preparation, updating and revision of NBSAPs.

20. In paragraph 10 of the same decision, the Conference of the Parties requested the Executive Secretary to continue promoting and facilitating, in partnership with relevant organizations, activities to strengthen implementation of the Strategic Plan for Biodiversity 2011-2020 and progress towards the Aichi Biodiversity Targets at the national, subregional and regional levels, and encourages other donors and parties to complement the funds provided by the Government of Japan.

21. During 2012 and 2013, the Secretariat, with the generous support of the Government of Japan, through the Japan Biodiversity Fund, and the European Commission, convened 7 subregional workshops to build capacity for the revision of NBSAPs, and one Global Workshop on Reviewing Progress and Building Capacity for the NBSAP Revision Process, involving over 146 Parties and 515 participants in total. These workshops, and other activities conducted through the Japan Biodiversity Fund, have provided a platform for engagement of other relevant partners in the revision of NBSAPs and the overall implementation of the Strategic Plan for Biodiversity 2011-2020.

22. Under this sub-item, the fifth meeting of the Working Group is invited to review the information contained in the documents cited above and to consider the way forward.

5.2. *Scientific and technical cooperation and technology transfer*

23. In paragraph 15 of decision XI/2 C, on review of progress in implementation of national biodiversity strategies and action plans and related capacity-building support to Parties, the Conference of the Parties requested the Executive Secretary to develop, in cooperation with relevant partner organizations and subject to the availability of resources, a coherent, consistent and coordinated approach to technical and scientific cooperation, with a view to facilitating the full and effective implementation of Article 18 and related articles of the Convention, and to develop operational options and proposals thereon.

24. In paragraph 17 of the same decision, the Conference of the Parties further requested the Executive Secretary, in line with the approach to technical and scientific cooperation developed in accordance with paragraph 15, to engage in a process towards establishing a capacity-building network to support the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets in developing countries, with a view to:

(a) Facilitating the compilation of knowledge, experiences and information on biodiversity-related technologies and associated activities that support, facilitate, regulate or promote technology transfer and scientific and technological cooperation of relevance to the Convention, and making it available, in a systematic and timely manner, through the clearing-house mechanism of the Convention and its database on technology transfer and cooperation;

(b) Providing technical and technological support to Parties, building on the information compiled, by responding to technology needs assessments submitted by Parties and other requests for technical and technological information in a tailored manner, by undertaking match-making, as feasible, and by catalysing or facilitating partnerships for technology transfer and scientific and technological cooperation, including, as appropriate, the development of thematic and regional or subregional pilot initiatives for enhanced technical and scientific cooperation in support of the Strategic Plan for Biodiversity 2011-2020.

25. In addition, in paragraph 3 of decision XI/8 D, on engagement of other stakeholders, major groups and subnational authorities, the Conference of the Parties requested the Executive Secretary, as part of a coherent, consistent and coordinated approach to technical and scientific cooperation, to promote South-South cooperation and triangular cooperation.

26. The Working Group will have before it a note by the Executive Secretary (UNEP/CBD/WGRI/5/3/Add.1) summarizing activities undertaken and progress made in developing a coherent, consistent and coordinated approach to technical and scientific cooperation and technology transfer and to support the implementation of the Strategic Plan for Biodiversity 2011–2020 and the achievement of the Aichi Biodiversity Targets in developing countries, including in South-South and triangular cooperation. The note will also contain further operational options and proposals, and will be complemented by a more detailed document with case studies and the results of consultations (UNEP/CBD/WGRI/5/INF/2). Under this sub-item, the Working Group may wish to consider progress made as well as the operational options and proposals provided, and to develop recommendations on effective and feasible next steps to be taken in this area.

5.3. *Clearing-house mechanism*

27. The Conference of the Parties, in decision X/15, adopted the mission, goals and objectives of the clearing-house mechanism for the period 2011-2020, and in paragraph 11 of decision XI/2 B welcomed the work programme for the clearing-house mechanism in support of implementation of the Strategic Plan for Biodiversity 2011-2020 (UNEP/CBD/COP/11/31) and agreed to keep this work programme under review. In paragraph 14 of decision XI/2, the Conference of the Parties requested the Executive

Secretary, subject to the availability of resources, to: (a) establish a standard information-exchange mechanism for the clearing-house mechanism to interconnect the central and national clearing-house mechanisms, where possible; (b) collaborate with the other biodiversity-related conventions to ensure mutual compatibility and to avoid duplication of work; (c) continue to use automated translation tools to facilitate exchange of technical and scientific information in lines with Articles 17 and 18 of the Convention.

28. The Working Group will have before it a note by the Executive Secretary (UNEP/CBD/WGRI/5/3/Add.2) detailing progress made on the work programme for the clearing-house mechanism as well as listing the planned actions. Under this sub-item, the Working Group may wish to consider progress made as well as the proposals provided, and develop recommendations on effective and feasible next steps to be taken in this area.

ITEM 6. RESOURCE MOBILIZATION, INCLUDING TARGET SETTING, REVIEW OF IMPLEMENTATION OF THE STRATEGY FOR RESOURCE MOBILIZATION, AND THE ROADMAP AGREED AT THE ELEVENTH MEETING OF THE CONFERENCE OF THE PARTIES

29. In decision IX/11 the Conference of the Parties adopted the strategy for resource mobilization to substantially enhance international financial flows and domestic funding for biological diversity in order to achieve a substantial reduction of the current funding gaps in support of the effective implementation of the Convention's three objectives.

30. In decision XI/4, the Conference of the Parties adopted preliminary targets to achieve an overall substantial increase in total biodiversity-related funding for the implementation of the Strategic Plan for Implementation 2011-2020 from a variety of sources using average annual biodiversity funding for the years 2006-2010 as a preliminary baseline.

31. The Working Group will have before it a note by the Executive Secretary (UNEP/CBD/WGRI/5/4) addressing the various activities related to target setting, review of implementation of the strategy for resource mobilization, and roadmap for consideration by the Working Group. In addition, is the summary of the initial results of the High-Level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020 (UNEP/CBD/WGRI/5/INF/8); progress in providing support in implementing the objectives of the Convention (UNEP/CBD/WGRI/5/3); progress made to address biodiversity in poverty eradication and sustainable development (UNEP/CBD/WGRI/5/6) and engagement of business (UNEP/CBD/WGRI/5/10). Further details are provided below.

6.1. Comprehensive review of implementation of the strategy for resource mobilization

32. In the strategy for resource mobilization (decision IX/11), the Conference of the Parties decided to undertake a comprehensive review of the implementation of the strategy at its twelfth meeting of the Conference of the Parties. Recalling decision IX/11, the eleventh meeting of the Conference of the Parties, through paragraph 10 of decision XI/4, requested the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention to review the strategy and requested the Executive Secretary to prepare for this review, including by completing the review of implementation of Goals 2, 5, 6, 7 and 8 of the strategy for resource mobilization, based on the input provided by Parties and other relevant stakeholders as well as additional relevant sources of data (decision XI/4, paragraph 10).

33. The pertinent section of document UNEP/CBD/WGRI/5/4 has been prepared by the Executive Secretary in order to assist with the review by the Working Group and subsequently by the Conference of

the Parties. The full review of Goals 2, 5, 6, 7 and 8 of the strategy for resource mobilization is made available as an information document (UNEP/CBD/WGRI/5/INF/3). The Working Group is invited to consider the status of the progress made as well as gaps in implementation of the strategy for resource mobilization and to make recommendation to twelfth meeting of the Conference of the Parties.

6.2. *Global monitoring report on the implementation of the strategy for resource mobilization*

34. In the strategy for resource mobilization (decision IX/11), the Conference of the Parties decided that the Executive Secretary should prepare periodic global monitoring reports on the implementation of the strategy for resource mobilization, for consideration by the Conference of the Parties. The tenth meeting of the Conference of the Parties decided that the global monitoring reports on the implementation of the strategy for resource mobilization should be prepared in time for consideration by the Conference of the Parties at its ordinary meetings, with national and regional participation, and should provide essential information on the status and trends in biodiversity financing and help to disseminate funding knowledge and know-how as related to biodiversity (decision X/3, paragraph 5). At its eleventh meeting the Conference of the Parties reiterated this decision and requested the Executive Secretary to prepare periodic global monitoring reports on the implementation of the strategy for resource mobilization (decision XI/4, paragraph 11).

35. The draft 2014 global monitoring report, contained in document UNEP/CBD/WGRI/5/INF/4, is presented to the Working Group, at its fifth meeting, for comments and suggestions. The report will be updated for the twelfth meeting of the Conference of the Parties.

6.3. *Financial reporting*

36. In paragraph 5 of decision XI/4, on review of implementation of the strategy for resource mobilization, including the establishment of targets, the Conference of the Parties at its eleventh meeting welcomed and decided to use the preliminary reporting framework and methodological and implementation guidance contained in document UNEP/CBD/COP/11/14/Add.1 as a flexible and preliminary framework to report on and monitor resources mobilized for biodiversity at national and global level, and invited Parties to build on this flexible framework at national level as part of monitoring, including in the implementation of national biodiversity strategies and action plans, as appropriate, and to report prior to the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention on successes and barriers encountered in reporting on and monitoring resources mobilized for biodiversity, in view of the review foreseen in paragraph 23 of the same decision.

37. Paragraph 23 of decision XI/4 requested the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, at its fifth meeting, to further review the preliminary reporting framework and baseline information for each of the targets, including the role of collective action, including by indigenous and local communities, and non-market-based approaches to achieving the objectives of the Convention, and requested the Executive Secretary to prepare a note based on information received from Parties on the application of the preliminary reporting framework.

38. Pursuant to this request, the Executive Secretary sent notification 2013-050 (Ref. No. SCBD/TSI/RS/ML/lz/82040) dated 25 June 2013 inviting submission of pertinent information by Parties and others. Document UNEP/CBD/WGRI/5/4 provides a succinct synthesis of information on the application of the reporting framework submitted by Parties, other Governments and relevant international organizations and initiatives. The full compilation and analysis of information is provided as an information document (UNEP/CBD/WGRI/5/INF/5).

39. Under this agenda sub-item, the Working Group may wish to consider the pertinent section of document UNEP/CBD/WGRI/5/4 and develop recommendation for the consideration of the Conference of the Parties at its twelfth meeting.

6.4. Full operationalization of Aichi Biodiversity Target 3

40. In paragraph 8 of decision XI/4, the Conference of the Parties, mindful of the potential of Aichi Biodiversity Target 3 to mobilize resources for biodiversity, decided to consider modalities and milestones for the full operationalization of this Target at its twelfth meeting, with a view to their adoption.

41. In order to prepare for such consideration, the Executive Secretary, by notifications 2013-022 (Ref. No. SCBD/SEL/ML/GD/81348) of 12 March 2013 and 2013-049 (Ref. No. SCBD/SEL/ML/GD/81348) of 21 June 2013, invited Parties to submit their views on the development of modalities and milestones for the full operationalization of Aichi Biodiversity Target 3. Document UNEP/CBD/WGRI/5/4/Add.1 provides a summary and analysis of views and other relevant information submitted and, based on this, provides options for the development of modalities and milestones for the full operationalization of Aichi Biodiversity Target 3.

42. Under this agenda sub-item, the Working Group may wish to consider the summary and analysis of views and other relevant information provided in document UNEP/CBD/WGRI/5/4/Add.1 and, based on the options provided in this document, may wish to recommend draft modalities and milestones for the full operationalization of Aichi Biodiversity Target 3, for consideration by the Conference of the Parties at its twelfth meeting.

6.5. Innovative financial mechanisms

43. In paragraph 20 of its decision XI/4, while taking note of the synthesis on innovative financial mechanisms (UNEP/CBD/COP/11/14/Add.3) and discussion paper on safeguards for scaling up biodiversity finance and possible guiding principles (UNEP/CBD/COP/11/INF/7), the Conference of the Parties requested the Executive Secretary to further develop the discussion paper and requested the Working Group at its fifth meeting to prepare a recommendation for consideration by the Conference of the Parties at its twelfth meeting. In paragraph 21 of the same decision, the Conference of the Parties also requested the Working Group to discuss possible risks and benefits of innovative financial mechanisms and possible principles and safeguards for their use, based on the submissions from the Parties.

44. For this item, the Executive Secretary has prepared the compilation of the views and lessons learned on possible risks and benefits of country-specific innovative financial mechanisms and a revised version of the discussion paper on safeguards in document UNEP/CBD/WGRI/5/4/Add.2. In addition, the compilation of views on views and lessons learned on possible risks, benefits and safeguards is issued as an information document (UNEP/CBD/WGRI/5/INF/7).

6.6. High-Level Panel on Global Assessment of Resources for Implementing the Strategic Plan

45. In paragraph 24 of decisions XI/4, the Conference of the Parties welcomed the findings of the first phase of the High-Level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020, and invited the Panel, in collaboration with other relevant initiatives that could provide a more bottom-up approach, to continue its work with a broadened composition and to report back on the results of its work to the twelfth meeting of the Conference of the Parties. The Executive Secretary, through its notification 2013-026 (Ref. No. SCBD/ITS/RS/ES/LZ/81589) dated 27 March 2013, invited nominations from Parties for the membership of the Panel and established the second phase of the Panel in consultation with the COP Bureau, and the final membership was presented

to the Bureau at its meeting in May 2013 in Trondheim, Norway. The High-Level Panel, through the generous funding provided by the Governments of United Kingdom of Great Britain and Northern Ireland, Japan and Norway, conducted the required research and had meetings in May 2013 (in Trondheim, Norway), December 2013 (in Chennai, India) and April 2014 (Brasilia). The Panel has completed the initial draft assessment as highlighted in document UNEP/CBD/WGRI/5/4 and invites the Working Group to provide its comments and suggestions to enable it to complete the process prior to the twelfth meeting of the Conference of the Parties. The executive summary of the full report is available as UNEP/CBD/WGRI/5/INF/8.

6.7. *Second informal dialogue seminar on scaling up finance for biodiversity*

46. A dialogue seminar is being jointly organized by the Secretariat of the Convention on Biological Diversity, Stockholm Resilience Centre and IUCN-Sur and hosted by the Government of Ecuador in Quito from 9 to 12 April 2014. The meeting is being convened by the Governments of Ecuador, India, Japan, Norway, the Republic of Korea, Sweden and Uganda as well as the European Commission.

47. In its paragraph 20 of decision XI/4, the Conference of the Parties noted the reports of the first informal dialogue, which was organized to enhance understanding among participants with a view to facilitating discussions at the fourth meeting of the Working Group on Review of Implementation of the Convention and the eleventh meeting of the Conference of the Parties. The second dialogue seminar will follow a similar approach, focusing on the implementation of Aichi Targets 2, 3 and 20 in the context of decision XI/4, and its three sections on target setting, review of the implementation of the strategy for resource mobilization and the roadmap. Particular attention will be given to the different ways of assessing the value of biodiversity and ecosystem services and the mechanisms to integrate these values into decision-making. The co-chairs' summary of the dialogue seminar will be provided as an information document (UNEP/CBD/WGRI/5/INF/9).

6.8. *Final target for resource mobilization*

48. In paragraph 22 of decision XI/4, the Conference of the Parties decided to review at its twelfth meeting progress towards the achievement of Aichi Target 20 with the aim of adopting the final target for resource mobilization, building upon the financial resource flow responding to the preliminary target referred to in paragraph 7 (a) and the information set out in paragraphs 7 (c) and (d) of the same decision; and to keep under review the achievement of these targets at subsequent meetings of the Conference of the Parties until 2020.

49. To prepare for the deliberations at the twelfth meeting of the Conference of the Parties, the Working Group is invited to consider all the documents highlighted above under agenda item 6 with a view to recommending a final target for resource mobilization as referred to in paragraph 22 of decision XI/4 and to up-date the roadmap referred to in section III of decision XI/4.

ITEM 7. FINANCIAL MECHANISM

7.1. *The four-year framework of priorities, determination of funding requirements, and the Nagoya Protocol Implementation Fund*

50. In paragraph 2 of decision XI/5 B, the Conference of the Parties adopted a four-year outcome-oriented framework of programme priorities for the period 2014-2018 and requested the Global Environment Facility (GEF) to implement it and report back to the Conference of the Parties at its twelfth meeting on the sixth replenishment cycle of the GEF (GEF-6) strategy, in accordance with the Memorandum of Understanding between the Conference of the Parties to the Convention and the Council of the Global Environment Facility.

51. In paragraph 15 of decision XI/5, the Conference of the Parties decided to transmit to the Global Environment Facility the report on the assessment of needs for GEF-6, for consideration by the Global Environment Facility, so that the Facility will in its regular report to the Conference of the Parties indicate how it has responded during the replenishment cycle to the previous assessment by the Conference of the Parties.

52. In paragraph 6 of appendix I to decision XI/5, the Conference of the Parties requested the Global Environment Facility to continue to administer the Nagoya Protocol Implementation Fund until the time the resources committed up to the end of GEF-5 are disbursed, and to report on the status of the fund to the twelfth meeting of the Conference of the Parties to the Convention, which shall decide on its future.

53. To prepare for the consideration of implementation of decision XI/5 by the twelfth meeting of the Conference of the Parties, document UNEP/CBD/WGRI/5/5, prepared in close cooperation with the Secretariat of the Global Environment Facility, provides pertinent updates on the implementation of the various elements of decision XI/5, for consideration by the Working Group.

7.2. *Fourth review of the effectiveness of the financial mechanism*

54. In paragraph 7 of decision XI/5, the Conference of the Parties requested the Executive Secretary to present the report of the fourth review of the effectiveness of the financial mechanism to the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention. In accordance with paragraph 3 of Article 21 of the Convention, the Conference of the Parties will evaluate the findings in order to take appropriate measures to improve the effectiveness of the financial mechanism at its twelfth meeting.

55. The Executive Secretary procured the services of ICF International Inc., a renowned consulting firm, through competitive bidding, to conduct research and prepare the report with the support of the Secretariat, as requested by the Conference of the Parties. The Government of Canada provided generous funding to support this work.

56. In this regard, a questionnaire was submitted to all Parties through notification 2013-027 (SCBD/ITS/RS/ES/LZ/81635) issued on 9 April 2013 seeking input on assessing the effectiveness of the financial mechanism with respect to, inter alia, conformity of activities, efficiency in the provision and mobilization of financial resources, responsiveness and performance, synergy of activities, as well as effectiveness and relevance of guidance. The questionnaire was designed by the consultant, ICF International Inc.

57. The executive summary of the fourth review is provided as a document (UNEP/CBD/WGRI/5/5/Add.1) for the consideration of the Working Group. The full report, including the responses received from Parties, as put together by the consultant ICF International, is available as an information document (UNEP/CBD/WGRI/5/INF/10).

ITEM 8. BIODIVERSITY FOR POVERTY ERADICATION AND SUSTAINABLE DEVELOPMENT

58. Under this item of the agenda, the Working Group is invited to consider progress made to address biodiversity in poverty eradication and sustainable development and to make recommendations to the Conference of the Parties accordingly. The note by the Executive Secretary (UNEP/CBD/WGRI/5/6) presents progress and recommendations in this regard within two broad areas: firstly, that of integrating biodiversity into poverty eradication and development and, in particular, the work and recommendations in this respect of the Expert Group on Biodiversity for Poverty Eradication and Development; and secondly in integrating biodiversity and the Aichi Biodiversity Targets in the processes to develop sustainable development goals and the post-2015 development agenda.

59. At its tenth meeting, in decision X/6, the Conference of the Parties recognized the urgent need to improve capacity for mainstreaming the three objectives of the Convention into poverty eradication strategies and plans and development processes as a means to enhance the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and enhance their contribution to sustainable development and human well-being. In the same decision, the Conference of the Parties established an Expert Group on Biodiversity for Poverty Eradication and Development. The Expert Group met from 12 to 14 December 2011 in Dehradun, India, hosted by India's Ministry of Environment and Forests.

At its eleventh meeting, the Conference of the Parties in decision XI/22 took note of the Dehradun Recommendations (UNEP/CBD/COP/11/33/Rev.1), taking into account submissions by Parties, discussions by the Working Group on Review of Implementation at its fourth meeting, and the outcomes of the United Nations Conference on Sustainable Development, and decided that the Expert Group on Biodiversity for Poverty Eradication and Development would continue its work, in accordance with terms of reference annexed to the decision. Based on the nominations received and following the selection criteria provided in decision X/6, the Expert Group was reconstituted and its second meeting, generously funded by the Governments of Japan and France, and with the kind support of the Ministry of Environment and Forests of the Government of India, was held from 4-6 December 2013 in Chennai, India. The Expert Group prepared a thematically restructured and streamlined draft of the "Dehradun Recommendations" and guidance for implementation, in accordance with its terms of reference. A progress report by the Executive Secretary on the work of the Expert Group is submitted for the consideration of the Working Group (UNEP/CBD/WGRI/5/INF/11).

60. In paragraph 7 of decision XI/22, the Conference of the Parties encouraged Parties and all partners, institutions, organizations and processes concerned to consider the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets in developing the post-2015 United Nations development agenda and in the process of establishing sustainable development goals under the United Nations General Assembly. In paragraph 11 (c) of the same decision, the Conference of the Parties requested the Executive Secretary to collaborate in the process of developing sustainable development goals with the United Nations Department of Economic and Social Affairs, the secretariats of the two other Rio conventions and multilateral environment agreements, and international organizations and specialized agencies involved in poverty eradication, human health, food security and gender issues. In paragraph 11 (d), the Conference of the Parties also requested the Executive Secretary to provide information on ways and means for Convention national focal points to engage in processes to develop sustainable development goals and the post-2015 development agenda at national, subregional, regional and global level; monitor the integration of biodiversity and the Aichi Biodiversity Targets into this process; and report on progress to the Working Group on Review of Implementation at its fifth meeting. A note by the Executive Secretary (UNEP/CBD/WGRI/5/6) reports on such progress, and additional material can be found in an information document (UNEP/CBD/WGRI/5/INF/12).

ITEM 9. GENDER MAINSTREAMING

61. At its eleventh meeting, in decision XI/9, the Conference of the Parties requested the Executive Secretary, subject to the availability of resources, to provide guidance, in collaboration with relevant organizations, for mainstreaming gender into all programmes of work under the Convention. It also requested that the Executive Secretary update the current Gender Plan of Action (2008-2012) to 2020, taking into consideration the Strategic Plan for Biodiversity 2011-2020 and its Aichi Biodiversity Targets. The Conference of the Parties further requested the Executive Secretary to invite Parties to make submissions to the Secretariat on the development of indicators to monitor gender mainstreaming, including the collection of sex-disaggregated data, under the Convention and in compliance with the Gender Plan of Action, subject to the availability of financial resources. It requested the Executive

Secretary to report to the twelfth meeting of the Conference of the Parties on the development of indicators to monitor gender mainstreaming in activities of the Convention. The note by the Executive Secretary, UNEP/CBD/WGRI/5/7, provides a report on progress in this work.

III. COOPERATION

ITEM 10. COOPERATION WITH OTHER CONVENTIONS, INTERNATIONAL ORGANIZATIONS AND INITIATIVES

62. Under this item, the Working Group will be invited to provide guidance on cooperation with the other Rio conventions, other biodiversity-related conventions, international organizations and initiatives, in response to the requests from the eleventh meeting of the Conference of the Parties. A progress report on these issues and other information related to this agenda item is contained in document UNEP/CBD/WGRI/5/8.

63. In decision XI/6, the Conference of the Parties reiterated the importance of cooperation among the biodiversity-related conventions, the Rio conventions and other relevant instruments for achieving full implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020. The Conference of the Parties invited the Environment Management Group to continue facilitating cooperation among its members in support of the implementation of the Strategic Plan for Biodiversity 2011-2020.

64. The Executive Secretary was requested, in paragraph 17 of decision XI/6 and paragraph 7 of decision XI/15, to undertake a number of activities related to cooperation with other biodiversity-related and Rio conventions.

65. In paragraph 48 of decision XI/6 (section E on collaboration on biodiversity and tourism development), the Conference of the Parties decided to review the application of the Guidelines on Biodiversity and Tourism Development at its twelfth meeting. Potential recommendations will be provided for the consideration of the Working Group in a note by the Executive Secretary (UNEP/CBD/WGRI/5/8).

66. In decision X/20, the Conference of the Parties welcomed the joint programme of the United Nations Educational, Scientific and Cultural Organization (UNESCO) and the Secretariat of the Convention on Biological Diversity on biological and cultural diversity. In decision XI/6, section B, the Conference of the Parties welcomed further steps to explore, document and raise awareness of the value added by incorporating links between biological and cultural diversity into the implementation of the Convention. A progress report on advances under the joint programme is made available as an information document (UNEP/CBD/WGRI/5/INF/14).

ITEM 11. ENGAGEMENT WITH SUBNATIONAL AND LOCAL GOVERNMENTS

67. In paragraph 1 of its decision X/22, the Conference of the Parties endorsed the Plan of Action on Subnational Governments, Cities and Other Local Authorities for Biodiversity (2011-2020) and encouraged Parties and other Governments to implement it, as appropriate, in the context of the Strategic Plan for Biodiversity 2011-2020, taking into account national priorities, capacities and needs, and to report on their activities in the fifth national report of the Parties to the Convention. In paragraph 4 of decision XI/8 A, Parties and other Governments were invited “to develop, with their local and subnational governments, guidelines and capacity-building initiatives to develop, enhance or adapt local and subnational biodiversity strategies and action plans, or to mainstream biodiversity into sustainable development, in line with their national biodiversity strategies and action plans, so as to ensure

harmonious and coherent implementation of the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets at all levels of governance”.

68. A report of the Executive Secretary on the implementation of decision X/22 on progress made by national, subnational and local governments was submitted for consideration by the Conference of the Parties at its eleventh meeting (UNEP/CBD/COP/11/INF/32). At its fifth meeting, the Working Group will have before it a report by the Executive Secretary that updates this, including on subnational contributions to national biodiversity strategies and action plans and to national reporting as well as on actions undertaken by the Secretariat and other organizations to promote harmonious and coherent implementation at all levels of governance (UNEP/CBD/WGRI/5/9).

69. The Working Group is invited to consider experience related to subnational implementation, and to provide recommendations to the Conference of the Parties on how such actions, including biodiversity planning and reporting coordinated across levels of government, may be further encouraged.

ITEM 12. ENGAGEMENT WITH OTHER STAKEHOLDERS AND MAJOR GROUPS, INCLUDING BUSINESS

70. Under this item of the agenda, the Working Group is invited to consider the engagement of groups other than local authorities, including business, towards the objectives and implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020.

12.1. Business

71. In paragraphs 1 and 2 of decision XI/7, the Conference of the Parties called upon businesses to continue liaising with national governments, civil society organizations, academia and other stakeholders to formulate relevant actions for biodiversity conservation and to consider the revised 2012 International Finance Corporation Performance Standards. In paragraph 4 of the decision, the Conference of the Parties further encouraged businesses to continue to take action to implement the Strategic Plan for Biodiversity 2011-2020. In paragraph 3 of the decision, the Conference of the Parties invited Parties to consider promoting biodiversity values in private sector activities and to adopt policies that respect the goals of the Convention, including for the promotion of sustainable consumption and production. In paragraph 5 of the decision, the Conference of the Parties requested the Executive Secretary to continue to facilitate dialogue among stakeholders; compile information on best practices and facilitate the adoption of such practices through various means, including the Global Platform on Business and Biodiversity website; continue to work with partners to refine the analysis of tools and mechanisms; and help raise awareness.

72. In paragraphs 14 and 16 of decision XI/4 on resource mobilization, the Conference of the Parties invited Parties to examine their role in establishing enabling conditions for the public and private sectors to support the objectives of the Convention. Furthermore, in paragraph 7 of its decision XI/30, the Conference of the Parties encouraged Parties and invited Governments to consider inclusion of specific criteria on biodiversity in national procurement plans.

73. A progress report and suggested recommendations on these issues are provided in document UNEP/CBD/WGRI/5/10, including a report on the third meeting of the Global Partnership for Business and Biodiversity with a detailed report provided as an information document (UNEP/CBD/WGRI/5/INF/15). Under this item, the Working Group may wish to provide recommendations to the Conference of the Parties on furthering the engagement of business.

12.2. Other stakeholders and major groups

74. In its decisions XI/8 B and C, the Conference of the Parties encouraged Parties and other governments to include major civil society groups, including workers and trade unions as well as youth, in all relevant processes, and specifically in the implementation of the Strategic Plan for Biodiversity 2011-2020 and in national biodiversity strategies and action plans, as activities under the United Nations Decade on Biodiversity.

75. The Working Group is invited to consider a note by the Executive Secretary on stakeholder engagement, including ways to enhance engagement with stakeholder and major groups (UNEP/CBD/WGRI/5/11) that builds on views submitted to the Secretariat on ways and means to improve the efficiency of structures and processes under the Convention and its Protocols, addressed in item 13 of the agenda of this meeting. The Working Group is invited to share additional experience related to engagement of stakeholder and major groups, and to provide recommendations to the Conference of the Parties on how such engagement may be further promoted.

IV. OPERATION OF THE CONVENTION

ITEM 13. IMPROVING THE EFFICIENCY OF STRUCTURES AND PROCESSES UNDER THE CONVENTION

76. In paragraph 2 of its decision XI/10, the Conference of the Parties requested the Executive Secretary, in consultation with the Bureau, to prepare a proposal on improving the efficiency of structures and processes under the Convention and its Protocols.

77. Pursuant to the request of the Conference of the Parties and with a view to the development of the proposal, the Executive Secretary through notification 2013-120 (Ref. no. SCBD/OES/OJ/moc/82999), dated 19 December 2013, invited Parties, Governments, international organizations, indigenous and local communities and stakeholders to submit views and suggestions to the Secretariat on ways and means to improve the efficiency of structures and processes under the Convention and its Protocols, including the following:

(a) The organization of intersessional work, including the periodicity of meetings of SBSTTA and inter-sessional open-ended working groups;

(b) The organization of the meetings of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety, and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of the Benefits Arising from their Utilization.

78. The Executive Secretary is providing a summary and a proposal prepared taking into consideration these views as well as the feedback received from Parties on the organization of SBSTTA 17, in line with SBSTTA recommendation XVII/1 (paragraph 6 (e)), in documents UNEP/CBD/WGRI/5/12 and Corr.1.

79. In response to a request by the Intergovernmental Committee for the Nagoya Protocol at its third meeting (recommendation 3/2) a note concerning the organization of the concurrent meetings of the Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol will be made available for information of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention at its fifth meeting.

80. Furthermore, in paragraph 25 of its decision XI/31 the Conference of the Parties requested the Executive Secretary to undertake an in-depth functional review of the Secretariat and report to the Parties at the twelfth meeting of the Conference of the Parties. The Executive Secretary initiated the functional review in consultation with the UNEP Executive Director and procured the services of Universal Management Group of Montreal, Canada through competitive bidding and a progress report is attached (UNEP/CBD/WGRI/5/INF/16/Rev.1) for the consideration of the Working Group.

ITEM 14. RETIREMENT OF DECISIONS

81. In paragraph 3 of decision XI/12, the Executive Secretary was requested to make proposals for the consideration of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention at its fifth meeting on how best to refocus the exercise of retirement of decisions towards supporting the review of implementation of existing decisions and creating a good basis for the adoption of new decisions.

82. In line with paragraphs 2 and 3 of decision XI/12, the proposal by the Executive Secretary is contained in document UNEP/CBD/WGRI/5/13 for the consideration of the Working Group.

V. FINAL MATTERS

ITEM 15. OTHER MATTERS

83. Under this item, the Working Group may address other matters related to the subject matter of the meeting.

ITEM 16. ADOPTION OF THE REPORT

84. The Working Group will be invited to consider and adopt the report on the work of its fifth meeting on the basis of the draft report prepared by the Rapporteur.

ITEM 17. CLOSURE OF THE MEETING

85. The meeting is expected to close at 6 p.m. on Friday, 20 June 2014.

*Annex I***PROPOSED ORGANIZATION OF WORK***

DAY AND TIME	ITEMS
Monday, 16 June 10 a.m. – 1 p.m.	<ol style="list-style-type: none"> 1. Opening of the meeting 2. Election of officers 3. Organization of work 4. Review of progress in updating and implementing national biodiversity strategies and action plans 5. Review of progress in providing support in implementing the objectives of the Convention and its Strategic Plan for Biodiversity 2011-2020
3 p.m. – 6 p.m.	<ol style="list-style-type: none"> 6. Resource mobilization, including target setting, review of implementation of the strategy for resource mobilization and the road map 7. Financial mechanism
Tuesday, 17 June 10 a.m. – 1 p.m.	<p>WGRI-5 Informal Dialogue Session*</p> <p><u>Panel 1</u>: Mainstreaming biodiversity in the sustainable development goals: the road ahead</p> <p><u>Panel 2</u>: Mobilization of resources for achieving the 2020 Aichi Biodiversity Targets</p>
3 p.m. – 6 p.m.	<ol style="list-style-type: none"> 8. Biodiversity for poverty eradication and sustainable development 13. Improving the efficiency of structures and processes under the Convention
Wednesday, 18 June 10 a.m. – 1 p.m.	<ol style="list-style-type: none"> 10. Cooperation with other conventions, international organizations and initiatives 11. Engagement with subnational and local governments 12. Engagement of stakeholders and major groups, including business 9. Gender mainstreaming 14. Retirement of decisions
3 p.m. – 6 p.m.	<i>Pending issues</i>
Thursday, 19 June 10 a.m. – 1 p.m.	<i>Pending issues</i>
3 p.m. – 6 p.m.	<i>Pending issues</i>
Friday, 20 June 10 a.m. – 1 p.m.	<ol style="list-style-type: none"> 15. Other matters 16. Adoption of the report 17. Closure of the meeting
3 p.m. – 6 p.m.	<i>(Continued as necessary)</i>

*Further information on the Informal Dialogue Session can be found in annex III.

*Annex II***WORKING DOCUMENTS**

<i>Symbol</i>	<i>Title</i>	<i>Agenda item</i>
UNEP/CBD/WGRI/5/1	Provisional agenda	3
UNEP/CBD/WGRI/5/1/Add.1/Rev.1	Revised annotations to the provisional agenda	3
UNEP/CBD/WGRI/5/2	Review of progress in revising/updating and implementing national biodiversity strategies and action plans and submission of fifth national reports	4
UNEP/CBD/WGRI/5/3	Review of progress in providing support in implementing the objectives of the Convention and its Strategic Plan for Biodiversity 2011-2020	5.1
UNEP/CBD/WGRI/5/3/Add.1	Technical and scientific cooperation and technology transfer	5.2
UNEP/CBD/WGRI/5/3/Add.2	Progress report on the clearing-house mechanism	5.3
UNEP/CBD/WGRI/5/4	Strategy for resource mobilization: review of implementation	6
UNEP/CBD/WGRI/5/4/Add.1	Modalities and milestones for the full operationalization of Aichi Biodiversity Target 3, and obstacles encountered in implementing options identified for eliminating, phasing out or reforming incentives that are harmful for biodiversity	6.4
UNEP/CBD/WGRI/5/4/Add.2	Consideration of views and lessons learned on possible risks, benefits and safeguards for country-specific innovative financial mechanisms	6.5
UNEP/CBD/WGRI/5/5	Financial mechanism: review of implementation of decision XI/5	7.1
UNEP/CBD/WGRI/5/5/Add.1	Financial mechanism: summary of the fourth review of the effectiveness of the Global Environment Facility (GEF)	7.2
UNEP/CBD/WGRI/5/6	Report on the progress made to address biodiversity in poverty eradication and sustainable development	8
UNEP/CBD/WGRI/5/7	Report on an Updated Gender Plan of Action to 2020 and progress in gender mainstreaming, monitoring and evaluation and indicators	9
UNEP/CBD/WGRI/5/8	Cooperation with other Conventions, international organizations and initiatives	10
UNEP/CBD/WGRI/5/9	Engagement with subnational and local governments	11
UNEP/CBD/WGRI/5/10	Report on progress related to business engagement	12.1
UNEP/CBD/WGRI/5/11	Stakeholder engagement	12.2
UNEP/CBD/WGRI/5/12 and Corr. 1	Improving the efficiency of structures and processes under the Convention and its Protocols	13

UNEP/CBD/WGRI/5/13

Retirement of decisions: refocusing the exercise

14

INFORMATION DOCUMENTS

Symbol	Title	Agenda item
UNEP/CBD/WGRI/5/INF/1	Progress report on the provision of support for communication, education and public awareness (CEPA), including the United Nations Decade on Biodiversity (UNDB)	5
UNEP/CBD/WGRI/5/INF/2	Status, mechanisms and trends in scientific and technical cooperation on biodiversity for development	5.2
UNEP/CBD/WGRI/5/INF/3	Strategy for resource mobilization: review of Goals 2, 5, 6, 7 and 8	6.1
UNEP/CBD/WGRI/5/INF/4	Summary of the Global Monitoring Report on the implementation of the strategy for resource mobilization	6.2
UNEP/CBD/WGRI/5/INF/5	Review of preliminary reporting framework	6.3
UNEP/CBD/WGRI/5/INF/6	Biodiversity policy response indicators to contribute to monitoring progress towards Aichi Targets 3 and 20	6.5
UNEP/CBD/WGRI/5/INF/7	Revised and expanded version of the Discussion Paper: Safeguards for Scaling Scaling-up Biodiversity Financing and Possible Guiding Principles	6.5
UNEP/CBD/WGRI/5/INF/8	Summary of the initial results of the High-Level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020	6.6
UNEP/CBD/WGRI/5/INF/9	Co-chairs' summary of the second informal dialogue seminar on scaling up finance for biodiversity	6.7
UNEP/CBD/WGRI/5/INF/10	The financial mechanism: report of the fourth review of the effectiveness of the financial mechanism	7.2
UNEP/CBD/WGRI/5/INF/11	Progress report on the work of the Expert Group on Biodiversity for Poverty Eradication and Development	8
UNEP/CBD/WGRI/5/INF/12	Integration of biodiversity and the Aichi Biodiversity Targets in processes to develop sustainable development goals and the post-2015 development agenda	8
UNEP/CBD/WGRI/5/INF/13	The Biodiversity Finance Initiative: an overview and key progress summary	6
UNEP/CBD/WGRI/5/INF/14	Progress report on the joint programme of work on the links between biological and cultural diversity	10
UNEP/CBD/WGRI/5/INF/15	Report on the progress made in engaging business	12.1
UNEP/CBD/WGRI/5/INF/16	Status of the Functional Review of the Secretariat of the Convention on Biological Diversity	13
UNEP/CBD/WGRI/5/INF/17	Draft 2015-2020 Gender Plan of Action	9
UNEP/CBD/WGRI/5/INF/17/Add1	Gender Mainstreaming in the CBD	9
UNEP/CBD/WGRI/5/INF/18	Compilation of views on ways and means to improve the efficiency of structures and processes under the Convention and its Protocols	13

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UNEP/CBD/WGRI/5/INF/19	Report on activities of Japan as President and the host country of COP 10	13
UNEP/CBD/WGRI/5/INF/20	Methodologies of self-assessment by Parties of the implementation of the Convention	5
UNEP/CBD/WGRI/5/INF/21	Aid to Biodiversity & OECD DAC Work on Rio markers, Biodiversity and Development	6
UNEP/CBD/WGRI/5/INF/22	The New Strategic Framework of the Food and Agriculture Organization of the United Nations and its relevance to the Strategic Plan for Biodiversity 2011 – 2020 and to the Aichi Biodiversity Targets	4
UNEP/CBD/WGRI/5/INF/23	Updated report on the fifth national reports	4
UNEP/CBD/WGRI/5/INF/24	The International Partnership for the Satoyama Initiative (IPSI) from formation to current practice: a progress report	5

*Annex III***INFORMAL DIALOGUE SESSION****Tuesday, 17 June 2014 (10 a.m. to 1.30 p.m.)***Panel 1: Mainstreaming biodiversity in the sustainable development goals: the road ahead***Background**

In the Rio+20 outcome document, *The future we want*, governments at the highest-level reaffirmed the “intrinsic value of biological diversity as well as the ecological, genetic, social, economic, scientific, educational, cultural, recreational and aesthetic values of biological diversity and its critical role in maintaining ecosystems that provide essential services which are critical foundations for sustainable development and human well-being.” In December 2013, the United Nations General Assembly adopted a resolution¹ encouraging Parties and all partners, institutions, organizations and processes concerned to consider the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets in developing the post-2015 United Nations development agenda and in the process of establishing sustainable development goals under the United Nations General Assembly.

The implementation of the Strategic Plan for Biodiversity 2011-2020 and of the Sustainable Development Goals (SDGs) can and should be mutually supportive. The Open Working Group on SDGs has included in their “zero draft” goals on terrestrial ecosystems and biodiversity as one of the focus areas of SDGs and is developing targets consistent with the Strategic Plan. Interlinkages with other focus areas are also identified. The 12th session of the Open Working Group, to be held from 16 to 20 June 2014, is expected to produce refined proposals for SDGs and targets and the 13th session, to be held from 14 to 18 July 2014, is expected to adopt a report with recommendations on possible SDGs and targets for the 69th session of the United Nations General Assembly.

Objectives

This panel is an opportunity to provide an update to participants in the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, at its fifth meeting, about the ongoing SDGs process and of the importance of the SDGs in achieving the Strategic Plan for Biodiversity 2011-2020 and its Aichi Biodiversity Targets. The panel will discuss the linkages of biodiversity with other possible SDGs, such as poverty eradication, sustainable agriculture and food security, health, sustainable cities, sustainable consumption and production, climate change and biodiversity and on oceans and seas. Participants will also consider how the SDGs and other development processes can be integrated with national biodiversity strategies and action plans (NBSAPs) and other national-level processes.

Guiding questions

Participants are encouraged to consider the following guiding questions to ensure focused discussions:

- Given the relevance of biodiversity and ecosystems to achieving many of the other draft SDGs, is the zero draft adequate in addressing biodiversity and ecosystems?
- What role could CBD Parties, the CBD Secretariat and the CBD community play in helping to mainstream biodiversity and ecosystems in the SDGs and post-2015 process?
- How can NBSAPs be better integrated at the national level with development, disaster prevention and other relevant planning processes, and how can the United Nations system support such integration?

¹ Resolution 66/288, annex.

Draft Programme: Panel 1

Opening remarks	Mr. Hem Pande , Chair of WGRI 5 / Representative of the COP Presidency, India
Presentations by invited panel members	Mr. Olav Kjørven , Special Adviser to the UNDP Administrator on the Post-2015 Development Agenda
	Mr. Hesiquio Benitez Diaz , General Director of International Cooperation and Implementation, CONABIO (Mexico) (tbc)
	Ms. Lucy Mullenkei , Executive Director, Indigenous Information Network, Kenya
	Ms. Cyriaque Sendashonga , Global Director, Programme & Policy Group, IUCN
Discussion period	
Concluding remarks	Mr. Braulio Dias , Executive Secretary of the CBD

*Panel 2: Mobilization of resources for achieving the 2020 Aichi Biodiversity Targets***Background**

The effective mobilization of resources, including financial resources, is central for the implementation of the Strategic Plan for Biodiversity 2011-2020 and its twenty Aichi Biodiversity Targets. The extent to which the global biodiversity targets can be achieved will depend largely upon the availability of resources mobilized from public and private sources and through traditional and innovative mechanisms. We know from recent assessments that a significant funding gap remains for countries to achieve the Strategic Plan and the Aichi Targets. The eleventh meeting of the Conference of the Parties, by adopting a set of global targets for increasing funding from all sources, has given considerable political momentum to address this funding gap.

The fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention has before it a note by the Executive Secretary (UNEP/CBD/WGRI/5/4) reporting on the progress made by Parties in achieving the preliminary targets (decision XI/4, paragraph 7), lessons learned on possible risks and benefits of innovative financial mechanisms and safeguards, and highlighting the initial results of the High-level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity.

Objectives

The panel will consider the means of implementation as highlighted in sections V and VI of the annex to decision X/2 on the Strategic Plan for Biodiversity 2011-2020 with a focus on resource mobilization.

Parties will also consider decisions that can significantly increase biodiversity-related funding from all sources. The dialogue session will cover the broad range of options available to Parties, based on their diverse experiences, for achieving Aichi Target 20. It is an opportunity to clarify areas of convergence and divergence regarding the means to scale up financing to support the achievement of the 2020 Aichi Biodiversity Targets. The discussion will be based on the outcomes of the second informal

dialogue seminar on scaling up finance for biodiversity (Quito 2), held in Quito 2 from 9 to 13 April 2014, and the final report of the High-level Panel on Resource Assessment for the Implementation of the Strategic Plan for Biodiversity 2011-2020.

Guiding questions

Participants are encouraged to consider the following guiding questions to ensure focused discussions:

- Identify examples where biodiversity-related activities were successfully prioritized in national budgeting processes. What were the success factors and lessons learned?
- What specific capacity is required to mobilize resources (financial and human) to achieve the 2020 Aichi Biodiversity Targets?
- What are the positive impacts of biodiversity and ecosystem services on national income?

Draft Programme: Panel 2

Opening remarks	Mr. Hem Pande , Chair of WGRI 5 / Representative of the COP Presidency, India
Presentations by invited panel members	Mr. Carlos Manuel Rodriguez , Chair of the <i>High-level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020</i>
	Mr. Francis Ogwal , Co-chair of the <i>Informal Dialogue Seminar on Scaling up Finance for Biodiversity</i> and National Focal Point, Uganda
	Ms. Christina van Winkle , OECD Secretariat
	Mr. Jon K. Grant , Chair of the <i>Ontario Biodiversity Council</i> and former chairman and CEO of <i>The Quaker Oats Company of Canada</i> and <i>CCL Industries</i> , Canada
	Ms. Caroline Petersen , <i>UNDP Biodiversity Programme</i>
Discussion period	
Concluding remarks	Mr. Braulio Dias , Executive Secretary of the CBD
