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Please provide summary information on the process by which this report has been prepared, including information on the types of stakeholders who have been actively involved in its preparation and on material which was used as a basis for the report

In 1997 the Environment Unit established a National Biodiversity Advisory Committee. This committee has met several times a year between 1997 and 2002. This has provided an inter-agency steering committee for discussion of biodiversity matters including the national reports to the COP. Membership of the National Biodiversity Advisory Committee is drawn from relevant government Departments, Academic Institutions and NGOs.

In early 2001 the Environment Unit established four working groups to maintain discussion in greater detail on specific biodiversity issues of importance to Vanuatu. These draw on the specific interests of members of the National Biodiversity Advisory Committee and additional expertise available in the wider community, including academic institutions, statutory authorities and NGOs. These groups provide a forum for discussion on technical and scientific capacity issue; for discussion of traditional knowledge and rights; for discussion of institutional capacity for biodiversity conservation; and lastly for discussion of biodiversity conservation and sustainable use. These working groups and their discussions have fed into the compilation of this report.

Staff attached to the NBSAP Project worked on the report, collating information from published and unpublished sources, and drawing on the work of the Biodiversity Unit within the Environment Unit, and from the Advisory Committee and Working Groups. Supplementary information where required has been obtained from individuals or organisations active within Vanuatu through meetings and interviews.

The report has passed through normal Government channels to receive Ministerial level endorsement.

The report's long and detailed format makes it a difficult document to present as a whole for review. Securing views on discrete issues within the report prior to compilation has been more practical.

## Please provide information on any particular circumstances in your country that are relevant to understanding the answers to the questions in this report

Vanuatu is an archipelago of over 80 islands in the south west Pacific stretching 1,300 kilometres from north to south. Despite a small population, estimated at 193,219 people in  $1999^{\frac{1}{2}}$ , Vanuatu is culturally diverse with over 110 language and cultural groups. Seventy-nine percent of the population live in rural villages, ranging from one family to several hundred people, meeting their subsistence and cash needs from locally available terrestrial and inshore marine resources.

The Vanuatu constitution states that

- all land and resources on that land belongs to the indigenous custom owners and their descendants;
- custom rules are the basis for the ownership and use of land and resources on that land.

Land cannot be alienated, but can be leased from the landholders for fixed periods and agreed purposes. This system of land and resource management differs from most countries. It limits the capacity of government to conserve biodiversity without the support, understanding & commitment of landholders.

The national office responsible for the environment, the Environment Unit, now has only 3 permanent staff and an annual government budget of less than US\$50,000. The provincial governments have diverse responsibilities with the best resourced having a total staff of less than 15 people, some only 3 or 4 staff. The Forestry Department maintains officers on only 4 islands, with two of these islands having only a single officer responsible for all aspects of extension, conservation, overseeing forest operations, compliance monitoring and enforcement. Similarly the Fisheries Department maintains officers on only 4 islands, with two of these islands having only a single officer responsible for all aspects of commercial and subsistence fisheries and marine management.

Consequently Vanuatu has special issues that come from geographic and social diversity and isolation (..sea separating islands; diverse languages and cultures; limited transport and communication facilities...) and the limited ability of government to provide adequate services to all islands in the country.

<sup>1</sup> Statistics Office, 1999. 1999 Population Census Preliminary Result.

The COP has established programmes of work that respond to a number of Articles. Please identify the relative priority accorded to each theme and the adequacy of resources. This will allow subsequent information on implementation of each Article to be put into context. There are other questions on implementation of the programmes of work at the end of these guidelines.

#### Inland water ecosystems

1. What is the relative priority for implementation of this work progression.	ramme in your
a) High	Х
b) Medium	
c) Low	
d) Not relevant	
2. To what extent are the resources available adequate for meeting the recommendations made?	e obligations and
a) Good	
b) Adequate	
c) Limiting	
d) Severely limiting	Х

#### Marine and coastal biological diversity

3. What is the relative priority for implementation of this work programmer?	camme in your
a) High	Х
b) Medium	
c) Low	
d) Not relevant	
4. To what extent are the resources available adequate for meeting the recommendations made?	e obligations and
a) Good	
b) Adequate	
c) Limiting	
d) Severely limiting	X

#### Agricultural biological diversity

5. What is the relative priority for implementation of this work programmer?	camme in your
a) High	Х
b) Medium	
c) Low	
d) Not relevant	

6. To what extent are the resources available adequate for meeting the recommendations made?	e obligations and
a) Good	
b) Adequate	
c) Limiting	
d) Severely limiting	Х
Forest biological diversity	
7. What is the relative priority for implementation of this work programmer?	ramme in your
a) High	X
b) Medium	
c) Low	
d) Not relevant	
8. To what extent are the resources available adequate for meeting the recommendations made?	e obligations and
a) Good	
b) Adequate	
c) Limiting	
d) Severely limiting	X
Biological diversity of dry and sub-humid lands	
9. What is the relative priority for implementation of this work programmer?	ramme in your
a) High	
b) Medium	
c) Low	
d) Not relevant	X
10. To what extent are the resources available adequate for meeting the recommendations made?	e obligations and
a) Good	
b) Adequate	
c) Limiting	
d) Saveraly limiting	

#### Further comments on work programmes and priorities

Within all relevant GEF work programmes, Vanuatu's BSAP emphasises the importance of building in-country capacity for biodiversity conservation at every level and sector: government, Province, community and individual. Capacity has been defined broadly. It is the ways and means needed to do what has to be done, **effectively**, **efficiently and sustainably.** Capacity is much broader than simply skills, people and plans. It includes commitment, resources and all that is brought to bear on a process to make it successful.

Existing capacity was a key consideration in development of the national Biodiversity Strategy Action Plan and in prioritising work programmes for action, with capacity building the key to realising in the future actions that are not possible today.

Even so the resources available at a Provincial and National level for biodiversity management are extremely limiting. Vanuatu will be reliant on external support if key strategies relating to freshwater ecosystems, forests and marine and coastal environments are to be achieved. As a medium term strategy capacity building initiatives includes attempts to identify national level funding sources.

Given the inter-relatedness of ecosystems on small tropical islands, particularly where subsistence agricultural systems predominate, the division into GEF work programmes is artificial and problematic. Integrated and holistic approaches are considered more appropriate.

#### Article 5 Cooperation

11. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?										
a) High	Х	b)	Mediu	ım			c)	Low		
12. To what ex recommendation	tent are the rens made?	sourc	es av	ailab	ole adequat	e for	mee	ting the ol	bligation	s and
a) Good	b) Adequat	е		c)	Limiting	Х	d)	Severely 3	limiting	
Further comme	nts on relative	prio	rity a	and o	n availabi	lity o	of re	esources		
Vanuatu's ability to meet its CBD obligations is to a significant extent dependent on the co-operation it receives from neighbouring countries; from regional intergovernmental organisations (SPREP, SPC etc.); and from the international government and non government community. Co-operation and collaboration have been important in providing technical assistance; incountry capacity building; networking; and accessing funding to enable programme and project delivery.										
However this experience is also limiting. Vanuatu is not always able to address its priority issues, but often finds work confined to the priorities of those with whom it collaborates.										

13. Is your country actively cooperating with other Parties in respect national jurisdiction for the conservation and sustainable use of biol diversity?	
a) bilateral cooperation (please give details below)	Yes

# a) bilateral cooperation (please give details below) b) international programmes (please give details below) c) international agreements (please give details below) Yes

## Decision IV/4. Status and trends of the biological diversity of inland water ecosystems and options for conservation and sustainable use

14. Has your country developed effective cooperation for the sustainable management transboundary watersheds, catchments, river basins and migratory species through bilateral and multilateral agreements?				
a) no				
b) yes - limited extent (please give details below)	X			
c) yes - significant extent (please give details below)				
d) not applicable				

## Decision IV/15. The relationship of the CBD with the CSD and biodiversity-related conventions, other international agreements, institutions and processes or relevance

15. Has your country developed management practices for transboundary	protected areas?
a) no	
b) yes - limited extent (please give details below)	X
c) yes - significant extent (please give details below)	
d) not relevant	

#### Decision V/21. Co-operation with other bodies

16. Has your country collaborated with the International Biodiversity Observation Year of DIVERSITAS, and ensured complementarity with the initiative foreseen to be undertaken by the United Nations Educational, Scientific and Cultural Organization and the Secretariat of the Convention on Biological Diversity to increase scientific knowledge and public awareness of the crucial role of biodiversity for sustainable development?				
a) no	X			
b) to a limited extent				
c) to a significant extent				

## Decision V/27. Contribution of the Convention on Biological Diversity to the ten-year review of progress achieved since the United Nations Conference on Environment and Development

17. Is your country planning to highlight and emphasize biological divergence considerations in its contribution to the ten-year review of progress Summit?	-
a) no	
b) yes	X

#### Further comments on implementation of this Article

Vanuatu actively cooperates with other Parties in a range of bilateral and multilateral initiatives for the conservation and sustainable use of biological diversity. Many of these are programmes coordinated through regional inter-governmental organisations: South Pacific Regional Environment Programme; South Pacific Community; South Pacific Forum; Forum Fisheries Agency. Others are organised by international organisations or specific countries. The World Wide Fund for Nature South Pacific Programme has been particularly active in promoting regional level dialogue on biodiversity matters.

Vanuatu's biodiversity conservation initiatives have also benefited from informal technical assistance provided (often by remote means such as email communications) by scientific staff within government and academic institutions in other countries. Given the limited technical capacity incountry it will be vital that such assistance is forthcoming and readily accessible. It is also helpful if it can be provided in a collaborative way that enables young professional staff in-country to develop their own confidence and expertise.

Vanuatu is still preparing its national in-put to the ten-year review of UNCED. Vanuatu has participated in the preparation of a regional communication. It is intended that the National Biodiversity Advisory Committee, established to support preparation of the BSAP, will be a key agency involved in preparation of a national communication.

#### Article 6 General measures for conservation and sustainable use

18. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?									
a) High b) Medium X c) Low									
19. To what extent are the resources available adequate for meeting the obligations and recommendations made?									
a) Good b) Adequate c) Limiting d) Severely limiting X									
Further comments on relative priority and on availability of resources									

The second secon

Vanuatu completed and adopted its National Biodiversity Strategy and Action Plan in 2000. This document gives guidance at a national level on measures for conservation and sustainable use of natural resources.

With GEF enabling financing Vanuatu has established a working group that is leading discussion and debate on biodiversity conservation and sustainable use, and provides an advisory team to government.

However Vanuatu has only been able to proceed to develop a national strategy for conservation and sustainable use and to work on its implementation through access to enabling funds from the GEF. Without this support progress would have been limited.

Considerable emphasis is being placed on improved cross sectoral collaboration as a means of realising sustainable use of biodiversity within the limited resources and capacities available in-country.

20. What is the status of your national biodiversity strategy (6a)?		
a) none		
b) early stages of development		
c) advanced stages of development		
d) completed $\underline{2}$		
e) completed and adopted <u>2</u>	X	
f) reports on implementation available		
21. What is the status of your national biodiversity action plan (6a)?		
a) none		
b) early stages of development		
c) advanced stages of development		
d) completed $\underline{2}$		
e) completed and adopted <u>2</u>	X	
f) reports on implementation available		

<sup>2/</sup> Please provide information requested at the end of these guidelines.

22. Do your national strategies and action plans cover all articles of (6a)?	the Convention
a) some articles only	
b) most articles	Х
c) all articles	

23. Do your national strategies and action plans cover integration of cactivities (6b)?	ther sectoral
a) no	
b) some sectors	
c) all major sectors	
d) all sectors	Х

#### Decision II/7 and Decision III/9 Consideration of Articles 6 and 8

24. Is action being taken to exchange information and share experience action planning process with other Contracting Parties?	on the national
a) little or no action	
b) sharing of strategies, plans and/or case-studies	X
c) regional meetings	X
25. Do all of your country's strategies and action plans include an int cooperation component?	ternational
a) no	Х
b) yes	
26. Are your country's strategies and action plans coordinated with the neighbouring countries?	ose of
a) no	Not yet
b) bilateral/multilateral discussions under way	
c) coordinated in some areas/themes	
d) fully coordinated	
e) not applicable	
27. Has your country set measurable targets within its strategies and a	action plans?
a) no	
b) early stages of development	Х
c) advanced stages of development	
d) programme in place	
e) reports on implementation available	

#### If a developing country Party or a Party with economy in transition -

28. Has your country received support from the financial mechanism for the preparation of its national strategy and action plan?

a) no	
b) yes	X
If yes, which was the Implementing Agency (UNDP/UNEP/World Bank)?	UNEP

### Decisions III/21. Relationship of the Convention with the CSD and biodiversity-related conventions

29. Are the national focal points for the CBD and the competent authorities of the Ramsar Convention, Bonn Convention and CITES cooperating in the implementation of these conventions to avoid duplication?

a) no	
b) yes - limited extent	
c) yes - significant extent	Х

#### Further comments on implementation of this Article

Vanuatu's BSAP includes crude but measurable targets related to achieving specific outputs. Setting targets relating to biological or ecological parameters is impossible given the lack of baseline data on Vanuatu's biodiversity, and the limited resources available in-country. At present GEF administrative policy views monitoring as primarily a national responsibility, so there is limited access to international funding for this purpose.

As part of the current enabling add-on a capacity assessment of monitoring needs will be conducted, to include capacity sharing between sectors and institutions. It is anticipated that this capacity will fall well below that required to establish measurable and verifiable biodiversity indicators and targets.

Regional organisations and international organisations have established platforms for communication between Pacific Island Countries on CBD implementation. This has not moved to supporting international coordination of strategy content, and this is not considered appropriate. These fora are not always accessible, or are accessible with constraints e.g. travel funding might be at the expense of in-country programme delivery. Vanuatu has not participated in some of these meetings as funds were not available.

While beneficial in numerous ways, participation in regional and international platforms is also a burden with negative impacts. Given the small pool of professionals able to speak for Vanuatu at an international level, key individuals can be pulled out of the country for significant periods. There may not be resources available to replace their inputs to incountry work programmes, leading to delay or "stop-start" initiatives. Greater coordination of events, leading to reduced out of country time would be an advantage.

#### Article 7 Identification and monitoring

30. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?									
a) High	Х	b) Me	dium			c)	Low		
31. To what extrecommendation	tent are the re as made?	sources	availak	ole adequat	e for	mee	ting the ol	bligations	s and
a) Good	b) Adequat	е	c)	Limiting		d)	Severely 3	limiting	Х
Further commen	its on relative	priori	ty and c	n availabi	lity c	of re	esources		
Establishing baseline data and monitoring selected indicators was given high priority in Vanuatu's BSAP. However the GEF policy that monitoring and information management is a national priority has been a barrier to establishing effective systems to date, as in-country funds have not become available.									
Monitoring also depends on a degree of scientific capacity being available. At present Vanuatu lacks the most basic capacity: lack of scientific equipment and furbished laboratories; lack of taxonomic skills and resources; lack of baseline data and inventories. A first step must be to build incountry capacity, and establish procedures for capacity support from external parties. It is desirable that any technical capacity sharing takes place in a manner that builds local capacity (through training initiatives, partnerships, mentoring etc.) not purely as service delivery.									

32. Does your country have an ongoing inventory programme at species level (7a)?				
a) minimal activity				
b) for key groups (such as threatened or endemic species) or indicators	Initial stages, ad hoc rather than strategic.			
c) for a range of major groups				
d) for a comprehensive range of species				
33. Does your country have an ongoing inventory programme at ecosystem	level (7a)?			
a) minimal activity				
b) for ecosystems of particular interest only	Initial stages, ad hoc rather than strategic.			
c) for major ecosystems				
d) for a comprehensive range of ecosystems				
34. Does your country have an ongoing inventory programme at genetic le	evel (7a)?			
a) minimal activity	Х			
b) minor programme in some sectors				
c) major programme in some sectors				
d) major programme in all relevant sectors				

35. Does your country have ongoing monitoring programmes at species level (7a)?		
a) minimal activity		
b) for key groups (such as threatened or endemic species) or indicators	Initial stages, ad hoc rather than strategic.	
c) for a range of major groups		
d) for a comprehensive range of species		

36. Does your country have ongoing monitoring programmes at ecosystem level (7b)?				
a) minimal activity				
b) for ecosystems of particular interest only	Initial stages, ad hoc rather than strategic.			
c) for major ecosystems				
d) for a comprehensive range of ecosystems				
37. Does your country have ongoing monitoring programmes at genetic lev	rel (7b)?			
a) minimal activity	Х			
b) minor programme in some sectors				
c) major programme in some sectors				
d) major programme in all relevant sectors				
38. Has your country identified activities with adverse affects on biod	diversity (7c)?			
a) limited understanding				
b) threats well known in some areas, not in others	Х			
c) most threats known, some gaps in knowledge				
d) comprehensive understanding				
e) reports available				
39. Is your country monitoring these activities and their effects (7c)?				
a) no				
b) early stages of programme development	Х			
c) advanced stages of programme development				
d) programme in place				
e) reports on implementation available				
40. Does your country coordinate information collection and management level (7d)?	at the national			
a) no				
b) early stages of programme development	Х			
c) advanced stages of programme development				
d) programme in place				
e) reports on implementation available				
	-			

#### Decision III/10 Identification, monitoring and assessment

41. Has your country identified national indicators of biodiversity?	
a) no	
b) assessment of potential indicators underway	X (no donor)
c) indicators identified (if so, please describe below)	

42. Is your country using rapid assessment and remote sensing technique	es?
a) no	
b) assessing opportunities	
c) yes, to a limited extent	X Rapid assessment
	Remote sensing too expensive and not used for biodiversity maangement.
d) yes, to a major extent	
e) reports on implementation available	
43. Has your country adopted a "step-by-step" approach to implementing initial emphasis on identification of biodiversity components (7a) and having adverse effects on them (7c)?	
a) no	
b) not appropriate to national circumstances	
c) yes	To limited extent
44. Is your country cooperating with other Contracting Parties on pilot demonstrate the use of assessment and indicator methodologies?	projects to
a) no	
b) yes (if so give details below)	In early stages in context of a GEF MSP
45. Has your country prepared any reports of experience with application methodologies and made these available to other Contracting Parties?	on of assessment
a) no	X
b) yes	
46. Is your country seeking to make taxonomic information held in its owidely available?	collections more
a) no relevant collections	
b) no action	
c) yes (if so, please give details below)	X very limited collections

#### Decision V/7. Identification, monitoring and assessment, and indicators

47. Is your country actively involved in co-operating with other countries in region in the field of indicators, monitoring and assessment?					
a) no					
b) limited co-operation	Х				
c) extensive co-operation on some issues					
d) extensive co-operation on a wide range of issues					
48. Has your country made available case studies concerning the develop implementation of assessment, monitoring and indicator programmes?	oment and				
a) no	No case studies to circulate.				
b) yes - sent to the Secretariat					
c) yes - through the national CHM					
d) yes - other means (please specify)					
49. Is your country assisting other Parties to increase their capacity indicator and monitoring programmes?	to develop				
a) no	X				
b) providing training					
c) providing direct support					
d) sharing experience					
e) other (please describe)					

#### Further comments on implementation of this Article

Vanuatu has made limited progress to date in developing effective identification, monitoring and assessment indicators. At present there is inadequate baseline knowledge, and a lack of in-country capacity and resources.

Work is scheduled under the NBSAP add-on to stock take existing monitoring and assessment activities within country, and to identify how information from these diverse often sectoral activities could be used in a collaborative and integrated fashion to provide a foundation for biodiversity monitoring.

Vanuatu is receiving technical support from the Australian Government to improve its herbarium and fauna databases and to include GIS and mapping functions. This is an important tool that will build more effective capacity for species identification and monitoring, illustration of range and historical changes in species distribution. Currently this information is not accessible, and work on this system has been delayed.

#### Decisions on Taxonomy

## Decision IV/1 Report and recommendations of the third meeting of SBSTTA [part]

50. Has your country carried out a national taxonomic needs assessment, workshops to determine national taxonomic priorities?	and/or held
a) no	Х
b) early stages of assessment	
c) advanced stages of assessment	
d) assessment completed	
51. Has your country developed a national taxonomic action plan?	
a) no	Х
b) early stages of development	
c) advanced stages of development	
d) action plan in place	
e) reports on implementation available	
52. Is your country making available appropriate resources to enhance to f taxonomic information?	the availability
a) no	
b) yes, but this does not cover all known needs adequately	Х
c) yes, covering all known needs	
53. Is your country encouraging bilateral and multilateral training and opportunities for taxonomists, particularly those dealing with poorly	
a) no	
a) no b) some opportunities	X (receiving assistance from others)
	assistance
b) some opportunities	assistance from others)
b) some opportunities  c) significant opportunities  54. Is your country investing on a long-term basis in the development of	assistance from others)
b) some opportunities  c) significant opportunities  54. Is your country investing on a long-term basis in the development of infrastructure for your national taxonomic collections?	assistance from others)  of appropriate  X Need identified but no resources available to
b) some opportunities  c) significant opportunities  54. Is your country investing on a long-term basis in the development of infrastructure for your national taxonomic collections?  a) no	assistance from others)  of appropriate  X Need identified but no resources available to
b) some opportunities  c) significant opportunities  54. Is your country investing on a long-term basis in the development of infrastructure for your national taxonomic collections?  a) no  b) some investment	assistance from others)  of appropriate  X Need identified but no resources available to invest.
b) some opportunities  c) significant opportunities  54. Is your country investing on a long-term basis in the development of infrastructure for your national taxonomic collections?  a) no  b) some investment  c) significant investment  55. Is your country encouraging partnerships between taxonomic institut	assistance from others)  of appropriate  X Need identified but no resources available to invest.
b) some opportunities  c) significant opportunities  54. Is your country investing on a long-term basis in the development of infrastructure for your national taxonomic collections?  a) no  b) some investment  c) significant investment  55. Is your country encouraging partnerships between taxonomic institut developed and developing countries?	assistance from others)  of appropriate  X Need identified but no resources available to invest.
b) some opportunities  c) significant opportunities  54. Is your country investing on a long-term basis in the development of infrastructure for your national taxonomic collections?  a) no  b) some investment  c) significant investment  55. Is your country encouraging partnerships between taxonomic instituted developed and developing countries?  a) no	assistance from others)  of appropriate  X Need identified but no resources available to invest.

56. Has your country adopted any international agreed levels of collect	ion housing?
a) no	Х
b) under review	
c) being implemented by some collections	
d) being implemented by all major collections	
57. Has your country provided training programmes in taxonomy?	
a) no	Х
b) some	
c) many	
58. Has your country reported on measures adopted to strengthen national taxonomy, to designate national reference centres, and to make informa collections available to countries of origin?	
a) no	Х
b) yes - in the previous national report	
c) yes - via the clearing-house mechanism	
d) yes - other means (please give details below)	
59. Has your country taken steps to ensure that institutions responsible diversity inventories and taxonomic activities are financially and admistable?	
a) no	Х
b) under review	
c) yes for some institutions	
d) yes for all major institutions	
60. Has your country assisted taxonomic institutions to establish conscregional projects?	ortia to conduct
a) no	
b) under review	
c) yes - limited extent	Х
d) yes - significant extent	
61. Has your country given special attention to international funding of for specialist training abroad or for attracting international experts regional courses?	
a) no	Х
b) under review	
c) yes - limited extent	
c) yes - significant extent	

62. Has your country provided programmes for re-training of qualified p moving into taxonomy-related fields?	rofessionals
a) no	X
b) some	
c) many	

## Decision V/9. Global Taxonomy Initiative: Implementation and further advance of the Suggestions for Action

63. Has your country identified its information requirements in the are and assessed its national capacity to meet these requirements?	a of taxonomy,			
a) no	Х			
b) basic assessment				
c) thorough assessment				
64. Has your country established or consolidated taxonomic reference ce	ntres?			
a) no	Х			
b) yes				
65. Has your country worked to increase its capacity in the area of tax	onomic research?			
a) no				
b) yes	X Very early stages			
66. Has your country communicated information on programmes, projects a for consideration as pilot projects under the Global Taxonomy Initiative Executive Secretary?				
a) no	X			
b) yes				
67. Has your country designated a national Global Taxonomy Initiative f linked to other national focal points?	ocal point			
a) no	X			
b) yes				
68. Has your country participated in the development of regional networ information-sharing for the Global Taxonomy Initiative?	ks to facilitate			
a) no				
b) yes	X			
If a developing country Party or Party with economy in transition -				
69. Has your country sought resources through the financial mechanism for the priority actions identified in the decision?				
a) no				
b) applied for unsuccessfully	Х			
c) applied for successfully				

#### Further comments on implementation of these decisions

Vanuatu presently has limited taxonomic capacity. A herbarium collection is poorly housed and deteriorating in condition. There are scattered, incomplete and often inadequately housed collections of some fauna. There are no professional taxonomists within the country and no facilities to support their work. Requests have been made for assistance in developing taxonomic infrastructure to enable appropriate housing of collections and to make them more accessible for use, but donor funding has not been available.

The Australian Government has assisted Vanuatu to establish a computer flora and fauna database. However this work has been delayed and remains incomplete.

Vanuatu has been represented in meetings to discuss a regional taxonomic initiative.

Other biological information is of more immediate priority to Vanuatu. This includes information on ecosystem function, species and ecosystem ecology, and populations' dynamics. There is limited information on many of the species present in the country, and knowledge is mainly limited to larger species of plants and animals, especially those with commercial use values. There is very limited knowledge of Vanuatu's insects, fungi and microorganisms.

It is important to Vanuatu that specialist taxonomists in regional centres are available and accessible to support rapid assessments and ecological studies with information on un-identified collections.

On-going taxonomic revisions of several significant genera and families present in Vanuatu have been important in enhancing knowledge of the country's biodiversity. However these have taken place at the initiative of academic or international interests.

#### Article 8 In situ conservation [excluding Articles 8h and 8j]

70. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?											
a) High X b) Medium c) Low											
71. To what extent are the resources available adequate for meeting the obligations and recommendations made?											
a) Good		b) Adequat	е		c)	Limiting		d)	Severely	limiting	Х
Further comments on relative priority and on availability of resources											

The protection and wise use of biodiversity is objective one of Vanuatu's National Biodiversity Strategy and Action Plan. Priorities within this objective are:

- watershed or catchment management,
- management of introduced species,
- management of biodiversity used for subsistence and commercial purposes,
- management of cultural heritage and biodiversity knowledge and
- conservation of significant species and places.

Under an enabling add-on for internal capacity assessments a working group has been established that is focusing on these issues, discussing capacity gaps and strategies through which these needs can be addressed. Some progress has been made in cooperative approaches to these issues.

Biodiversity management at the watershed or catchment scale requires cooperation among agencies and interested communities, often despite them having different needs and responsibilities. Emphasis to date has been on encouraging collaboration between agencies; facilitating discussions over the Regional International Waters Project being managed by SPREP; and seeking external funding for pilot and demonstration initiatives that will enable in-country organisations to build their capacity in this area. Water resource legislation is in draft form, but has not yet been introduced to parliament.

A preliminary study of invasive species has been completed. Red Fire Ant has been chosen as an example that can be used to assess capacity. It is hoped to schedule a national workshop in the first quarter of 2002 to set national action plan priorities relating to invasive species.

A series of participatory meetings have been held to identify local level capacity for in-situ conservation and management of biodiversity. It is anticipated this will become an important focus of medium and long term conservation work because of the extremely limited capacity for government involvement at a national or Provincial level. The Environment Unit currently has GEF PDF funding to develop a proposal to strengthen local level conservation capacity.

There is limited knowledge of the ecology and population dynamics of Vanuatu's significant species and places. Facilitating research and improved access to information is perceived as a necessary first step. Significant progress has been made in 2001 toward identifying capacity needs for more effective co-ordination and facilitation of research. There is also a need to build in-country research capacity however this remains problematic given the limited research facilities in-country and the lack of resources available.

Priority has been given to building the conditions needed for compatibility between present uses and the conservation of biological diversity and sustainable use of its components rather than an immediate focus on protected area systems. The latter is problematic in the social and economic context of Vanuatu, where land is unalienable from traditional owners who are dependent on their biological resources for their subsistence livelihoods and to generate cash income.

72. Has your country established a system of protected areas which aims biological diversity (8a)?	to conserve
a) system under development	
b) national review of protected areas coverage available	
c) national protected area systems plan in place	
d) relatively complete system in place	
e) other	Х
73. Are there nationally adopted guidelines for the selection, establish management of protected areas (8b)?	hment and
a) no	X
b) no, under development	
c) yes	
d) yes, undergoing review and extension	
74. Does your country regulate or manage biological resources important conservation of biological diversity with a view to ensuring their consustainable use (8c)?	
a) no	
b) early stages of development	
c) advanced stages of development	
d) programme or policy in place	
e) reports on implementation available	
f) An ad-hoc fragmented system in place. Some resources well managed (notably resources of commercial value in the forestry and fisheries sector); some resources not able to be managed due to lack of knowledge; some resources not managed due to lack of capacity.	Х
75. Has your country undertaken measures that promote the protection of natural habitats and the maintenance of viable populations of species is surroundings (8d)?	
a) no measures	
b) some measures in place	X (But not geographically specific to protected areas.)
c) potential measures under review	
d) reasonably comprehensive measures in place	

76. Has your country undertaken measures that promote environmentally s sustainable development in areas adjacent to protected areas (8e)?	ound and
a) no measures	
b) some measures in place	X (But not geographically specific to protected areas.)
c) potential measures under review	
d) reasonably comprehensive measures in place	
77. Has your country undertaken measures to rehabilitate and restore de ecosystems (8f)?	graded
a) no measures	
b) some measures in place	Х
c) potential measures under review	
d) comprehensive measures in place	
78. Has your country undertaken measures to promote the recovery of thr (8f)?	eatened species
a) no measures	
b) some measures in place	Х
c) potential measures under review	
d) comprehensive measures in place	
79. Has your country undertaken measures to regulate, manage or control associated with the use and release of living modified organisms result biotechnology (8g)?	
a) no measures yet	Х
b) some measures in place	
c) potential measures under review	
d) comprehensive measures in place	
80. Has your country made attempts to provide the conditions needed for between present uses and the conservation of biological diversity and of its components (8i)?	
a) no	
b) early stages of development	
c) advanced stages of development	
d) programme or policy in place	Х
e) reports on implementation available	

81. Has your country developed and maintained the necessary legislation regulatory provisions for the protection of threatened species and pop	
a) no	
b) early stages of development	
c) advanced stages of development	Х
d) legislation or other measures in place	
82. Does your country regulate or manage processes and categories of acidentified under Article 7 as having significant adverse effects on bidiversity (81)?	
a) no	
b) under review	
c) yes, to a limited extent	Х
d) yes, to a significant extent	
If a developed country Party -	
83. Does your country cooperate in providing financial and other support conservation particularly to developing countries $(8m)$ ?	rt for in- situ
If a developing country Party or Party with economy in transition -	
84. Does your country receive financial and other support for $\underline{in\ situ}$ (8m)?	conservation
a) no	
b) yes (if so, please give details below)	X

### Decision II/7 Consideration of Articles 6 and 8 of the Convention

85. Is action being taken to share information and experience on implementation of this Article with other Contracting Parties?			
a) little or no action			
b) sharing of written materials and/or case-studies	X		
c) regional meetings	Х		

#### Further comments on implementation of this Article

- Q.84 Vanuatu has received limited support for in situ conservation. The support received includes:
  - Financial support for establishment and management of 3 major conservation areas. Financial support has not been available in the long term, and the sustainability of these initiatives remains in doubt.
- Financial support for work to rehabilitate degraded areas on Aneityum.
- Financial support and technical assistance in studying significant species and places, building the knowledge and capacity to regulate resource use to a sustainable level. This assistance has been most forthcoming for specific resources of commercial significance at a regional level (e.g. Coconut crab (Birgus latro) and trochus (Trochus niloticus)), but difficult to access for species with primarily subsistence use or not used.
- Support for protective measures such as improved quarantine and phyt-sanitary facilities.
- Support for more sustainable resource usage practices, such as for development of a national code of logging practice.

The focus of much present work in country is on resource use and management systems, that are both applicable and practical at a local level, and that are compatible with in-situ conservation of biodiversity. Support will be needed for monitoring to document the effectiveness of these approaches; extension services to promote and expand awareness of compatible resource use systems. Significant gains have initially been made in the Fisheries sector, but much work is still required for forest and agricultural ecosystems. Given the limited resources within country external financial support for this work will be necessary.

#### Article 8h Alien species

86. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?								
a) High	Х	b) Medi	um			c) Low		
87. To what ex recommendation	tent are the re ns made?	sources a	vailak	ole adequat	e for	meeting the o	bligation	s and
a) Good	b) Adequat	e	c)	Limiting		d) Severely	limiting	Х
Further commer	nts on relative	priority	and o	n availabi	lity	of resources		
the National study of invance example to national work	s are identification Biodiversity asive species that can be used to see the seed of the seed of the seed of the species.	Conserva has been ed to ass first qua	tion comp ess c rter	Strategy Dleted. Resapacity. of 2002 t	and in the state of the state o	Acion Plan. A re Ant has be s hoped to sc t national ac	prelimin en chosen hedule a tion plan	nary n as n

88. Has your country identified alien species introduced?	
a) no	
b) only major species of concern	
c) only new or recent introductions	
D1) Introduced species have been identified, but no tracking system in place	Х
d) a comprehensive system tracks new introductions	
e) a comprehensive system tracks all known introductions	
89. Has your country assessed the risks posed to ecosystems, habitats of introduction of these alien species?	or species by the
a) no	
b) only some alien species of concern have been assessed	Х
c) most alien species have been assessed	
90. Has your country undertaken measures to prevent the introduction of eradicate those alien species which threaten ecosystems, habitats or s	
a) no measures	
b) some measures in place	X
c) potential measures under review	

#### Decision IV/1 Report and recommendations of the third meeting of SBSTTA

91. Is your country collaborating in the development of projects at nat sub-regional and international levels to address the issue of alien sp	
a) little or no action	
b) discussion on potential projects under way	
c) active development of new projects	Х
92. Does your national strategy and action plan address the issue of al	ien species?
a) no	
b) yes - limited extent	Х
c) yes - significant extent	

## Decision V/8. Alien species that threaten ecosystems, habitats or species

93. Is your country applying the interim guiding principles for prevent introduction and mitigation of impacts of alien species in the context aimed at implementing article 8(h) of the Convention, and in the vario	of activities					
a) no						
b) under consideration	Х					
c) limited implementation in some sectors						
d) extensive implementation in some sectors						
e) extensive implementation in most sectors						
94. Has your country submitted case-studies to the Executive Secretary thematic assessments?	focusing on					
a) no						
b) in preparation	Х					
c) yes						
95. Has your country submitted written comments on the interim guiding the Executive Secretary?	principles to					
a) no	Х					
b) yes						
96. Has your country given priority to the development and implementati invasive species strategies and action plans?	on of alien					
a) no						
b) yes	Х					
97. In dealing with the issue of invasive species, has your country developed or involved itself in mechanisms for international co-operation, including the exchar of best practices?						
a) no						
b) trans-boundary co-operation						
c) regional co-operation	Х					
d) multilateral co-operation						

98. Is your country giving priority attention to geographically and evolutionarily isolated ecosystems in its work on alien invasive species?							
a) no	Х						
b) yes							
99. Is your country using the ecosystem approach and precautionary and approaches as appropriate in its work on alien invasive species?	bio-geographical						
a) no							
b) yes	Х						
100. Has your country developed effective education, training and pul measures concerning the issue of alien species?	blic-awareness						
a) no							
b) some initiatives	Х						
c) many initiatives							
101. Is your country making available the information which it holds species through the CHM?	on alien						
a) no	Х						
b) some information							
c) all available information							
d) information available through other channels (please specify)							
102. Is your country providing support to enable the Global Invasive Programme to fulfil the tasks outlined in the decision and its annexes							
a) no	Х						
b) limited support							
c) substantial support							

#### Further comments on implementation of this Article

Alien species is a priority issue for Vanuatu. Most recent introductions have been intentionally brought to Vanuatu to contribute to development of the productive forest or agriculture sector or as pets and curiosities.

Assessments of capacity have identified that a priority need is to develop policy and legal mechanisms for management of introductions, in accord with the Guiding Principles under this article. Initial work has focused on developing an understanding of the issue, and encouraging cross-sectoral dialogue. Informal arrangements are being promoted as an interim measure.

A second issue is awareness of invasive species, at a community level, to reduce the incidence of purposeful or negligent extension of a species' range. Progress in this respect has been more limited. There are few incountry resources available to dedicate awareness and extension purposes.

There are capacity limitations at a National, Provincial and island level that prevent effective control mechanisms and/or eradication. Legislation providing for the eradication of designated weeds exists, but is neither applied nor enforced. There is no legislation addressing other invasive species. Given the very limited national resources available, priority has been directed to the control of significant pests to agriculture and forestry (e.g. fruit flies), leaving little capacity to address environmentally invasive species. With collaboration from the New Zealand Government a first step is being taken with the invasive Red Fire Ant, which is a recent AND serious introduction to several islands in the north of Vanuatu.

With limited community awareness of the concept of environmentally invasive species and no regulatory capacity there are no barriers to the spread of invasive species from one island to another in canoes and small boats. Only four islands have staff involved in inspection and surveillance, with a focus on international air and ship movements. More widely based professionals such as agricultural field assistants have limited experience and knowledge beyond the scope of agricultural pests and weeds.

On-going international support is going to be needed to enable Vanuatu to meet the goals set in the National Biodiversity Strategy and Action Plan.

#### Article 8j Traditional knowledge and related provisions

103. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?									
a) High X b) Medium c) Low									
104. To what extent are the resources available adequate for meeting the obligations and recommendations made?									
a) Good b) Adequate		c)	Limiting	Х	d)	Severely 3	limiting		
Further comments on relative priority and on availability of resources									

The Constitution gives clear recognition to the rights and interests of traditional landholders, who remain the principal managers and users of biological resources and systems in Vanuatu.

Objectives 2, 4 and 6 of Vanuatu's National Biodiversity Strategy and Action Plan contain priority actions that relate specifically to the maintenance of, and respect for, the traditional practices and innovations of ni-Vanuatu.

Under a capacity building enabling activity a working group has been established to discuss priority concerns relating to the maintenance of and respect for traditional practices and innovations. Priority needs relate to the documentation of traditional knowledge and practices; the management and application of this information; and formal recognition of traditional biodiversity knowledge and management systems within modern legal and administrative systems.

Priority has also been given to discussions around the equitable sharing of benefits from the wider use of traditional knowledge and innovations. Administrative systems to protect the rights to traditional information and innovations have been incorporated into draft environmental legislation to be introduced to Parliament in 2002, and into administrative systems to monitor and facilitate research.

The Vanuatu Cultural Centre is a key partner in work to record, preserve and maintain traditional practices and innovations. Its network of voluntary field workers have demonstrated valuable capacity to gather information from the many cultural groups in the country.

Legislation to endorse traditional chiefly rights and decisions, including roles in the management and regulation of biodiversity use, is in pre-draft form for wider discussion.

Provisions for protection of expressions of indigenous knowledge have been included in the Copyright Bill (not yet gazetted) and in the draft Trademarks, Patents and Designs Bills which are currently before parliament.

The Malvatamauri (National Body of Chiefs) has a national policy that clearly protects rights to indigenous knowledge, but this has limited legal status.

The Vanuatu National Cultural Centre has a national research policy that includes conditions relating to the use of indigenous knowledge and expressions, and this policy is supported by legislation.

However despite high pofile and public commitment, progress remains slow, measures remain fragmented, and there is a need for strengthening of capacities and administrative capabilities. Progress is affected by the limited personnel and financial resources available.

105. Has your country undertaken measures to ensure that the knowledge, innovations and practices of indigenous and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity are respected, preserved and maintained?					
a) no measures					
b) some measures in place	Х				
c) potential measures under review	Х				
d) comprehensive measures in place					
106. Is your country working to encourage the equitable sharing of befrom the utilization of such knowledge, innovations and practices?	enefits arising				
a) no					
b) early stages of development	Х				
c) advanced stages of development	Х				
d) programme or policy in place	Х				
	Some policies in place, some in development stages				
Decision III/4 and Decision TV/9. Implementation of Article	. 8(i)				

for the implementation of Article 8(j)?

c) all

107. Has your country developed national legislation and corresponding strategies

a)	no	
b)	early stages of development	Х
c)	advanced stages of development	
d)	legislation or other measures in place	
108. other	Has your country supplied information on the implementation of a Contracting Parties through media such as the national report?	Article 8(j) to
a)	no	
b)	yes - previous national report	
c)	yes - CHM	
d)	yes - other means (please give details below)	Х
	Has your country submitted case-studies to the Executive Secreta to develop and implement the Convention's provisions relating to communities?	-
a)	no	Х
b)	yes	
110.	Is your country participating in appropriate working groups and	meetings?
a)	none	
b)	some	Х

111. Is your country facilitating the active participation of repres indigenous and local communities in these working groups and meetings?	
a) no	
b) yes	Х

### Decision V/16. Article 8(j) and related provisions

112. Has your country reviewed the programme of work specified in the annex to the decision, and identified how to implement those tasks appropriate to national circumstances?					
a) no					
b) under review					
c) yes (please provide details)					
d) other See details below	Х				
113. Is your country integrating such tasks into its ongoing program account the identified collaboration opportunities?	mes, taking into				
a) no					
b) not appropriate to national circumstances					
c) yes - to a limited extent					
d) yes - to a significant extent	Х				
114. Is your country taking full account of existing instruments, gu and other relevant activities in the implementation of the programme of					
a) no					
b) not appropriate to national circumstances					
c) yes - to a limited extent	Х				
d) yes - to a significant extent					

115. Has your country provided appropriate financial support for the of the programme of work?	implementation
a) no	X
b) not appropriate to national circumstances	
c) yes - to a limited extent	
d) yes - to a significant extent	
116. Has your country fully incorporated women and women's organizate activities undertaken to implement the programme of work contained in decision and other relevant activities under the Convention?	
a) no	
b) yes	X
117. Has your country taken measures to facilitate the full and effect participation of indigenous and local communities in the implementation Convention?	
a) no	
b) not appropriate to national circumstances	
c) yes - to a limited extent	
d) yes - to a significant extent	X
118. Has your country provided case studies on methods and approaches preservation and sharing of traditional knowledge, and the control of by indigenous and local communities?	
a) no	X
b) not relevant	
c) yes - sent to the Secretariat	
d) yes - through the national CHM	
e) yes - available through other means (please specify)	
119. Does your country exchange information and share experiences reglegislation and other measures for the protection of the knowledge, in practices of indigenous and local communities?	
a) no	
b) not relevant	
c) yes - through the CHM	
d) yes - with specific countries	X (Other countries in Region)
e) yes - available through other means (please specify)	
120. Has your country taken measures to promote the conservation and knowledge, innovations, and practices of indigenous and local communit	
a) no	
b) not relevant	
c) some measures	Х
d) extensive measures	

121. Has your country supported the development of registers of trad knowledge, innovations and practices of indigenous and local communitic collaboration with these communities?	
a) no	
b) not relevant	
c) development in progress	
d) register fully developed	
e) Work is in progress to record traditional knowledge, innovations and practices, but this is not in the context of formal registers. At present records remain fragmented and incomplete.	Х
122. Have representatives of indigenous and local community organiza participated in your official delegation to meetings held under the Co Biological Diversity?	
a) not relevant	
b) not appropriate	
c) yes	
d) To date only indigenous ni-Vanuatu have attended meetings held under the CBD, but most are technical and administrative staff of the national government, not representatives of village communities.	Х
123. Is your country assisting the Secretariat to fully utilize the mechanism to co-operate closely with indigenous and local communities that enable them to make informed decisions concerning release of thei knowledge?	to explore ways
a) no, there is very limited access to the internet outside of Vanuatu's 2 urban centres.	X
b) awaiting information on how to proceed	
c) yes	
124. Has your country identified resources for funding the activitie the decision?	s identified in
a) no	Х
b) not relevant	
c) partly	
d) fully	

#### Further comments on implementation of this Article

Q.106 ... Vanuatu authorities are actively engaged in cross-sectoral activities to encourage the equitable sharing of benefits arising from the use of indigenous knowledge, innovations and practices. Some measures (e.g. Vanuatu Cultural Centre Research Policy) have been in place for some time. Other responses are in various stages of development, including draft legislation before parliament, and mentioned after question Q. 104. However more work is required before an effective and comprehensive programme of responses is in place that fully ensures the equitable sharing of benefits from applications of traditional knowledge and use of biodiversity and protects the rights of the knowledge holders. One weakness inherent to much of the existing body of work relates to limited capacity to enforce national policies at an international level.

Q. 112... The Programme of Work on the implementation of article 8j and related provisions of the CBD has not been formally reviewed at a national level. However the priorities it prescribes are mainstream development issues and practices in Vanuatu.

Vanuatu's constitution recognises the status and position of the traditional landholders. Land, and hence decisions relating to the use of resources on land, cannot be alienated from the traditional landholders. It is national practice for traditional landholders to be a party to all decisions relating to the management and use of resources and biodiversity. Without their agreement very limited progress can be made, while disputes between and among landholders are recognised as a major barrier to the progress of many proposed development initiatives.

Strengthening the capacity of traditional landholders and developing effective systems for resolving disputes and uncertainties over traditional ownership and rights is a major and mainstream national issue.

The experience of the Vanuatu Cultural Centre provides a good case study on "methods and approaches concerning the preservation and sharing of traditional knowledge." However, there is limited capacity to prepare formal case-studies and reports to the CBD secretariat.

Х

c) Low

#### Article 9 Ex situ conservation

b) Medium

the associated decisions by your country?

125. What is the relative priority afforded to implementation of this Article and

a) Hi	gh		b)	Mediu	ım			c)	Low	X	
126. and re		extent are the	res	ources	s ava	ilable ade	quate	for	meeting	the obligat	ions
a) Goo	d	b) Adequat	е		c)	Limiting		d)	Severely	y limiting	Х
Further comments on relative priority and on availability of resource									esources		
biodiv recove	versity ery and	s facilities f . It has not k rehabilitation habitats.	been	able	to d	dedicate r	natio	nal	resource	es toward t	the
specif	Urban street plantings of <i>Carpoxylon macrospermum</i> (An endangered monospecific genera of palm) have been established, but these are small in extent.										
biolog	gical re	systems to mo esources for e l priority.									een
127. biolog		r country adopt ersity <i>native</i> t					itu c	onsei	rvation o	f component	s of
a)	no meas	ures								Х	
b)	some me	asures in place	:								
c)	potenti	al measures und	ler r	eview							
d)	compreh	ensive measures	in	place							
128. biolog		r country adopt rersity <i>originat</i>							rvation o	f component	s of
a)	no meas	ures								X	
b)	some me	asures in place	<b>:</b>								
c)	potenti	al measures und	ler r	eview							
d)	compreh	ensive measures	in	place							
129. collab		answer to the p							being do	ne in activ	e
a)	no										
b)	yes										
130. Has your country established and maintained facilities for the <u>ex situ</u> conservation of and research on plants, animals and micro-organisms that represent genetic resources <i>native</i> to your country (9b)?											
a)	no									Х	
b)	yes - 1	imited extent									
c)	yes - s	ignificant exte	nt								

131. Has your country established and maintained facilities for the <u>ex situ</u> conservation of and research on plants, animals and micro-organisms that represent genetic resources <i>originating elsewhere</i> (9b)?					
a) no	X				
b) yes - limited extent					
c) yes - significant extent					
132. If the answer to the previous question was yes, is this being do collaboration with organizations in the other countries (9a)?	ne in active				
a) no					
b) yes					
133. Has your country adopted measures for the reintroduction of thre into their natural habitats under appropriate conditions (9c)?	atened species				
a) no measures	X				
b) some measures in place					
c) potential measures under review					
d) comprehensive measures in place					
134. Has your country taken measures to regulate and manage the colle biological resources from natural habitats for $ex \ situ$ conservation pur to threaten ecosystems and $ex \ in \ situ$ populations of species (9d)?					
a) no measures					
b) some measures in place					
c) potential measures under review	X				
d) comprehensive measures in place					
If a developed country Party -  135. Has your country cooperated in providing financial and other support for ex situ conservation and in the establishment and maintenance of ex situ conservation facilities in developing countries (9e)?					
If a developing country Party or Party with economy in transition -					
136. Has your country received financial and other support for ex sit and in the establishment and maintenance of ex situ conservation facili					
a) no	Х				
b) yes					
Further comments on implementation of this Article					

#### Article 10 Sustainable use of components of biological diversity

137. What is the relative priority afforded to implementation of the associated decisions by your country?	nis Article and				
a) High X b) Medium c) Low					
138. To what extent are the resources available adequate for meeting the obligations and recommendations made?					
a) Good b) Adequate c) Limiting d) Sever	rely limiting X				
Further comments on relative priority and on availability of resource	es				
The principal objective of Vanuatu's National Biodiversity Station Plan is the protection and sustainable use of biological This recognises that 80% of the population live traditional statifiestyles fully dependent on biodiversity to meet their needs include food, shelter, medicines and adequate fresh water support further recognises that these peoples are the owners and users biodiversity and integrally involved in any actions to manage a national or local level.	al diversity. ubsistence s. These needs plies. It s of				
The National Biodiversity Strategy and Action Plan describes 4 issues toward meeting this objective: wise use of biodiversity that is sustainable for the long term; reduction of threats; protection of indigenous biological resources; and managing and protecting endangered species and places that are under threat.					
Specific priorities include improving the capacity of custom chiefs, lcoal communities and landowners to manage water catchment areas and foster cooperation at the watershed scale; to manage introduced invasive species; to maintain stocks of socially or economically used resources; and to integrate technical conservation knowledge with traditional management approaches and to strengthen traditional conservation mechanisms.					
139. Has your country integrated consideration of the conservation use of biological resources into national decision making (10a)?	and sustainable				
a) no	Х				
b) early stages of development					
c) advanced stages of development					
d) programme or policy in place					
e) review of implementation available					
140. Has your country adopted measures relating to the use of biological resources that avoid or minimize adverse impacts on biological diversity (10b)?					
a) no measures					
b) some measures in place	Х				
c) potential measures under review					
d) comprehensive measures in place					

141. Has your country put in place measures that protect and encourag of biological resources that is compatible with conservation or sustain requirements (10c)?	-
a) no measures	
b) some measures in place	X
c) potential measures under review	
d) comprehensive measures in place	
142. Has your country put in place measures that help local populatio implement remedial action in degraded areas where biological diversity reduced (10d)?	_
a) no measures	
b) some measures in place	X
c) potential measures under review	
d) comprehensive measures in place	
143. Does your country actively encourage cooperation between governm and the private sector in developing methods for sustainable use of bid diversity (10e)?	
a) no	
b) early stages of development	X (Very small private sector present in Vanuatu)
c) advanced stages of development	
d) programme or policy in place	
e) review of implementation available	
Decisions IV/15. Relationship of the Convention with the Commis Sustainable Development and biodiversity-related convention	

144. Has your country submitted to the Secretariat information on tourism and its impacts on biological diversity, and efforts to effectively plan and manage tourism?					
a) no	X				
b) yes - previous national report					
c) yes - case-studies					
d) yes - other means (please give details below)					
145. Has your country submitted to the Secretariat information on biodiversity-related activities of the CSD (such as SIDS, oceans, seas and freshwater resources, consumption and production patterns)?					
a) no	X				
b) yes - previous national report					
c) yes - correspondence					
d) yes - other means (please give details below)					

Х

#### Decision V/24. Sustainable use as a cross-cutting issue

146. Has your country identified indicators and incentive measures for relevant to the conservation and sustainable use of biodiversity?	or sectors
a) no	X
b) assessment of potential indicators underway	
c) indicators identified (if so, please describe below)	
147. Has your country assisted other Parties to increase their capacisustainable-use practices, programmes and policies at regional, nation levels, especially in pursuit of poverty alleviation?	
a) no	Х
b) not relevant	
c) to a limited extent	
d) to a significant extent (please provide details)	
148. Has your country developed mechanisms to involve the private second indigenous and local communities in initiatives on sustainable use, and to ensure that indigenous and local communities benefit from such sust	d in mechanisms
a) no	
b) mechanisms under development	
c) mechanisms in place (please describe)	Х
149. Has your country identified areas for conservation that would be the sustainable use of biological diversity and communicated this info Executive Secretary?	
a) no	Х
b) yes	
Decision V/25. Biological diversity and tourism	
150. Has your country based its policies, programmes and activities sustainable tourism on an assessment of the inter-linkages between tou biological diversity?	
a) no	Х
b) to a limited extent	
c) to a significant extent	
151. Has your country submitted case-studies on tourism as an example sustainable use of biological diversity to the Executive Secretary?	e of the
a) no	X
b) yes	
152. Has your country undertaken activities relevant to biodiversity support of the International Year of Ecotourism?	and tourism in

a) no b) yes

153. Has your country undertaken activities relevant to biodiversity support of the International Year of Mountains?	and tourism in
a) no	X
b) yes	
154. Has your country undertaken activities relevant to biodiversity support of the International Coral Reef Initiative?	and tourism in
a) no	X
b) yes	
155. Has your country established enabling policies and legal framew complement voluntary efforts for the effective implementation of susta	
a) no	Х
b) to a limited extent	
c) to a significant extent (please describe)	

#### Further comments on implementation of this Article

The sustainable use of biological resources by indigenous local communities is a core focus of biodiversity conservation efforts in Vanuatu. All resources are the property of traditional landowners, who practice subsistence cultivation and harvest wild resources to meet their daily needs. Conservation cannot take place in Vanuatu without the express involvement and agreement of landowners. Consequently conservation must be compatible with sustainable subsistence livelihoods. Sustainable resource use is consequently a natural emphasis of conservation work.

For species at risk of over-harvesting regulations apply tools such as size limits and closed seasons, and these are complemented by periodic closures imposed by chiefs in accord with traditional customs. Sustainable use principles guides forestry activities with a Logging Code of Practice in place, a licensing system in place, and designation of areas vulnerable to degradation (such as steep gradients and highly rugged terrain) as inappropriate for timber harvesting. Similarly with marine fisheries there are licensing provisions that manage commercial fishing, size limits and closed seasons and restrictions on the use of some fishing techniques with potential to damage biodiversity.

However many areas are inadequately provided for within the present system. Particular gaps relate to fauna hunted for subsistance purposes but not on a commercial basis; and to land clearing and forest conversion activities within the agriculture sector. The current regulatory environment also fails to address issues relating to newer practices that are just being introduced to Vanuatu - e.g. the live reef fish trade. Work is needed to address these gaps and external assistance may be needed for research to document sustainable use levels, consulting with communities, for assistance in identifying and drafting appropriate regulations and in establishing awareness and understanding of new measures to be introduced.

#### Article 11 Incentive measures

	Artı	cie ii ii	ncent.	ive measu:	res				
156. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?									
a) High		b) Medi	um			c)	Low	Х	
157. To what extent are the resources available adequate for meeting the obligations and recommendations made?									
a) Good	b) Adequat	e	c)	Limiting		d)	Severely 3	limiting	Х
Further comments on relative priority and on availability of resources									
Very little attention has been given to economic and legal aspects of incentive measures. To date, most of the community level conservation work that has proceeded in Vanuatu provides direct benefits to the communities in terms of access to subsistence resources, practice of traditional customs or income generation from managed harvesting or ecotourism. These benefits have									

The National Biodiversity Strategy and Action Plan gives initial priority to other areas including sustainable use, developing appropriate management systems, developing understanding of biodiversity to guide future decisions and building in-country capacity. These will employ existing capacity. Incentive measures remain an area for future work.

been adequate incentive for many.

There are presently many perverse incentives in place, and preliminary work needs to focus on garnering cross-sectoral support for change

158. Are programmes in place to identify and ensure the adoption of economically and socially sound measures that act as incentives for the conservation and sustainable use of components of biological diversity? Х a) no b) early stages of development c) advanced stages of development d) programmes in place e) review of implementation available 159. Do these incentives, and the programmes to identify them and ensure their adoption, cover the full range of sectoral activities? a) no Χ b) some sectors c) all major sectors d) all sectors

#### Decision III/18. Incentive measures

160. Has your country reviewed legislation and economic policies to promote incentives for the conservation and sustainable use of compone biological diversity?					
a) no					
b) reviews in progress	X				
c) some reviews complete					
d) as far as practically possible					
161. Has your country ensured the development of mechanisms or approadequate incorporation of both market and non-market values of biologinto plans, policies and programmes and other relevant areas, inter a accounting systems and investment strategies?	ical diversity				
a) no	X				
b) early stages of identifying mechanisms					
c) advanced stages of identifying mechanisms					
d) mechanisms in place					
e) review of impact of mechanisms available					
162. Has your country developed training and capacity building progrimplement incentive measures and promote private-sector initiatives?	rammes to				
a) no	Х				
b) planned					
c) some					
d) many					
163. Has your country incorporated biological diversity consideration assessments as a step in the design and implementation of incentive me	_				
a) no	X				
b) yes					
164. Has your country shared experience on incentive measures with other Contracting Parties, including making relevant case-studies available to the Secretariat?					
a) no	Х				
b) yes - previous national report					
c) yes - case-studies					
d) yes - other means (please give details below)					

### Decision IV/10. Measures for implementing the Convention [part]

165.	Is your country actively designing and implementing incentive measures?						
a)	no	Х					
b)	early stages of development						
c)							
d)	measures in place						
e)	review of implementation available						
	Has your country identified threats to biological diversity and of biodiversity loss, including the relevant actors, as a stage ive measures?						
a)	no						
b)	partially reviewed	X					
c)	thoroughly reviewed						
d)	measures designed based on the reviews						
e)	review of implementation available						
167. and et	Do the existing incentive measures take account of economic, so hical valuation of biological diversity?	cial, cultural					
a)	no	X					
b)	yes - limited extent						
C)	yes - significant extent						
168. implem	Has your country developed legal and policy frameworks for the dentation of incentive measures?	design and					
a)	no	Х					
b)	early stages of development						
c)	advanced stages of development						
d)	frameworks in place						
e)	review of implementation available						
169. orient	Does your country carry out consultative processes to define cleed incentive measures to address the underlying causes of biodiv						
a)	no						
b)	processes being identified						
c)	processes identified but not implemented						
d)	processes in place	X					
170.	Has your country identified and considered neutralizing perverse	e incentives?					
a)	no						
b)	identification programme under way	Х					
c)	identified but not all neutralized						
d)	identified and neutralized						

#### Decision V/15. Incentive measures

171. Has your country reviewed the incentive measures promoted through the Kyoto Protocol to the UN Framework Convention on Climate Change?					
a) no	X (Involvement at a diplomatic level have not filtered through to a practical implementation level as yet)				
b) yes					
172. Has your country explored possible ways and means by which these measures can support the objectives of the Convention on Biological Dicountry?					
a) no	X				
b) under consideration					
c) early stages of development					
d) advanced stages of development					
e) further information available					

#### Further comments on implementation of this Article

Vanuatu has limited capacity. Initial emphasis have been given to setting up base capacity to meet priorities established, and building support for cooperative approaches to biodiversity conservation. With this base in place the country will be in a better position to address and respond to important issues such as incentives and perverse incentives, which have broad cross-sectoral implications.

#### Article 12 Research and training

173. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?								
a) High X b) Medium c) Low								
174. To what extent are the resources available adequate for meeting the obligations and recommendations made?								
a) Good b) Adequate c) Limiting d) Severely limiting X								
Further comments on relative priority and on availability of resources								

Establishing and maintaining programmes for scientific research and training is a high priority of the Vanuatu Biodiversity Strategy and Action Plan.

Objectives 3 and 4 of the National Biodiversity Strategy and Action Plan relate to research, assessment and monitoring of biodiversity and capacity building for environmental management. Priority issues addressed are

- Building knowledge of Vanuatu's biodiversity so that appropriate mechanisms for better management and conservation can be identified.
- Collecting information on changes that are taking place among species populations and their ecosystems so that appropriate management decisions can be made
- Monitoring the impact of development activities on biodiversity, including the success and failure of conservation programmes and projects.
- Improving the technical capacity of relevant sectors plus the resource people within governments, provinces and communities

At present there is very limited capacity in terms of knowledge of biodiversity, scientifically trained staff, access to equipment and technical resources such as collections, and few institutions able to conduct scientific work. Initial priority has been given to identifying an appropriate system to better harness and direct research work being conducted by international researchers, to collect published and unpublished data and to develop networks with regional organisations available to provide scientific advise and expertise.

International assistance is needed to allow Vanuatu to establish facilities for scientific work, housing collections etc. To date such assistance has not been forthcoming.

175 The come combine out-blished measurement for animalific and technical education

and training in measures for the identification, conservation and sustainable use of biological diversity and its components (12a)?					
a) no	X				
b) early stages of development					
c) advanced stages of development					
d) programmes in place					

176. Has your country provided support to other Parties for education in measures for the identification, conservation and sustainable use of diversity and its components (12a)?	
a) no	Х
b) yes	
177. Does your country promote and encourage research which contribut conservation and sustainable use of biological diversity (12b)?	es to the
a) no	
b) yes - limited extent	X
c) yes - significant extent	
178. Does your country promote and cooperate in the use of scientific biological diversity research in developing methods for conservation and use of biological resources (12c)?	
a) no	
b) yes - limited extent	X
c) yes - significant extent	
If a developed country Party -	
179. Does your country's implementation of the above activities take the special needs of developing countries?	into account
a) no	
b) yes, where relevant	
Further comments on implementation of this Article	

#### Article 13 Public education and awareness

180. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?									
a) High X b) Medium c) Low									
181. To what extent are the resources available adequate for meeting the obligations and recommendations made?									
a) Good	b) Adequat	е	c)	Limiting	Х	d)	Severely 1	limiting	
Further comments on relative priority and on availability of resources									

Environmental education, awareness and information sharing is the 5<sup>th</sup> objective of the National Biodiversity Strategy and Action Plan. Priority issues addressed include

- 1. Improving environmental education within the school system.
- 2. Raising wider awareness of biodiversity and its values.
- 3. Encouraging information sharing and co-operation within and between sectors and between local communities.
- 4. Raising community awareness of the provisions of environmental natural resource legislation.

Since adoption of the National Biodiversity Strategy and Action Plan limited progress has been made. This is primarily due to lack of resources and staff to coordinate and conduct awareness and information sharing activities and to prepare relevant resource materials.

Activities have often been focused in the week around World Environment Day.

182. Does your country promote and encourage understanding of the importance of, and the measures required for, the conservation of biodiversity $(13a)$ through media?				
a) no				
b) yes - limited extent	X (limited media outlets in Vanuatu)			
c) yes - significant extent				
183. Does your country promote and encourage understanding of the importance of, and the measures required for, the conservation of biodiversity (13a) through the inclusion of this topic in education programmes?				
a) no				
b) yes - limited extent	X (yes but inadequate)			
c) yes - significant extent				

184. Does your country cooperate with other States and international organizations in developing relevant educational and public awareness programmes (13b)?		
a) no		
b) yes - limited extent	X	
c) yes - significant extent		

### Decision IV/10. Measures for implementing the Convention [part]

185. Are public education and awareness needs covered in the national action plan?	strategy and
a) no	
b) yes - limited extent	
c) yes - significant extent	Х

186. Has your country allocated appropriate resources for the strategic use of education and communication instruments at each phase of policy formulation, implementation and evaluation?				
a) limited resources				
b) significant but not adequate resources	X (Financing through GEF)			
c) adequate resources				
187. Does your country support initiatives by major groups that foster stakeholder participation and that integrate biological diversity conservation matters in their practice and education programmes?				
a) no				
b) yes	Х			
188. Has your country integrated biodiversity concerns into education strategies?				
a) no				
b) early stages of development				
c) advanced stages of development				
Yes, but only partially.	Х			
d) yes				
189. Has your country made available any case-studies on public education and awareness and public participation, or otherwise sought to share experiences?				
a) no				
b) yes	X (Regional networking)			

190. Has your country illustrated and translated the provisions of the Convention into any local languages to promote public education and awareness raising of relevant sectors?				
a) not relevant				
Vanuatu has 116 languages in a population of under 200,000 with limited literacy. Translating the CBD into local languages is not a priority. The National Biodiversity Strategy and Action Plan is in 3 languages used formally: English, French and Bislama.	х			
b) still to be done				
c) under development				
d) yes				
191. Is your country supporting local, national, sub-regional and reg and awareness programmes?	ional education			
a) no				
b) yes - limited extent	Х			
c) yes - significant extent				
If a developing country Party or Party with economy in transition -				
192. When requesting assistance through the GEF, has your country prothat promote measures for implementing Article 13 of the Convention?	posed projects			
a) no				
b) yes	X			
Decision V/17. Education and public awareness				
193. Does your country support capacity-building for education and co biological diversity as part of the national biodiversity strategy and				
a) no				
b) limited support				
c) yes (please give details)	Х			
Further comments on implementation of this Article				

#### Article 14 Impact assessment and minimizing adverse impacts

194. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?						
a) High X b) Medium c) Low						
195. To what extent are the resources available adequate for meeting the obligations and recommendations made?						
a) Good b) Adequate c) Limiting d) Severely limiting X						
Further comments on relative priority and on availability of resources						

Ensuring all government planning documents, policies and laws recognise the importance and values of biodiversity, and introducing environmental impact assessments so as to minimise the adverse affects of development activities are both high priorities in the National Biodiversity Strategy and Action Plan. Progress to date has been limited.

Legislation that requires impact assessment is currently in draft form. It is hoped that this will be tabled in parliament during 2002. However it is not clear whether the resources to manage and implement these provisions will become available.

At present government procedures require that all government development proposals review their environmental and social impacts. However these are often superficial and do not adequately review impacts on biodiversity. Environmental Impact Assessments are also requested of major private sector development activities, although without formal legal status, and are often superficial by international standards. Detailed impact assessments primarily occur in the context of development projects financed externally, where requirements of the financing country must be met.

196. Is legislation in place requiring an environmental impact assessment of proposed projects likely to have adverse effects on biological diversity (14 (1a))?				
a) no				
b) early stages of development				
c) advanced stages of development	X			
d) legislation in place				
e) review of implementation available				
197. Do such environmental impact assessment procedures allow for public participation (14(1a))?				
a) no				
b) yes - limited extent	X			
c) yes - significant extent				

198. Does your country have mechanisms in place to ensure that the environmental consequences of national programmes and policies that are likely to have significant adverse impacts on biological diversity are duly taken into account (14(1b))?		
a) no		
b) early stages of development		
c) advanced stages of development		
d) yes but superficial and not systematic	Х	
d) fully compliant with current scientific knowledge		
199. Is your country involved in bilateral, regional and/or multilate on activities likely to significantly affect biological diversity outsi country's jurisdiction (14(1c))?		
a) no		
b) yes - limited extent		
c) yes - significant extent	Х	
200. Is your country implementing bilateral, regional and/or multilat on activities likely to significantly affect biological diversity outsi country's jurisdiction $(14(1c))$ ?	_	
a) no		
b) no, assessment of options in progress		
c) Yes but partially according to priority and interest and availability of financs.	X	
c) some completed, others in progress		
b) yes		
201. Has your country mechanisms in place to notify other States of c imminent or grave danger or damage to biological diversity originating and potentially affecting those States (14(1d))?		
a) no	Х	
b) early stages of development		
c) advanced stages of development		
d) mechanisms in place		
e) no need identified		
202. Has your country mechanisms in place to prevent or minimize dang originating in your State to biological diversity in other States or in the limits of national jurisdiction (14(1d))?	_	
a) no	X	
b) early stages of development		
c) advanced stages of development		
d) fully compliant with current scientific knowledge		
e) no need identified		

203. Has your country national mechanisms in place for emergency response to activities or events which present a grave and imminent danger to biological diversity $(14(1e))$ ?			
a) no			
Some mechanisms in specific areas - oil spill contingency plan for Port Vila harbour.	Х		
b) early stages of development			
c) advanced stages of development			
d) mechanisms in place			
204. Has your country encouraged international cooperation to establi contingency plans for emergency responses to activities or events which grave and imminent danger to biological diversity (14(1e))?	•		
a) no	X		
b) yes			
c) no need identified			

#### Decision IV/10. Measures for implementing the Convention [part]

205. Has your country exchanged with other Contracting Parties information and experience relating to environmental impact assessment and resulting mitigating measures and incentive schemes?				
a) no				
b) information provided to the Secretariat				
c) information provided to other Parties	X (at a regional level)			
d) information provided on the national CHM				
206. Has your country exchanged with other Contracting Parties information on measures and agreements on liability and redress applicable to damage to biological diversity?				
a) no	X			
b) information provided to the Secretariat				
c) information provided to other Parties				
d) information provided on the national CHM				

#### Decision V/18. Impact assessment, liability and redress

207. Has your country integrated environmental impact assessment into thematic areas and on alien species and tourism?	programmes on
a) no	
b) partly integrated	X
c) fully integrated	

208. When carrying out environmental impact assessments does your couloss of biological diversity and the interrelated socio-economic, cultuhealth aspects relevant to biological diversity?	-
a) no	
b) partly	Х
c) fully	
209. When developing new legislative and regulatory frameworks, does have in place mechanisms to ensure the consideration of biological divergence from the early stages of the drafting process?	= =
a) no	
b) in some circumstances	X
c) in all circumstances	
210. Does your country ensure the involvement of all interested and a stakeholders in a participatory approach to all stages of the assessment	
a) no	X
b) yes - in certain circumstances	
c) yes - in all cases	
training, educational and public awareness programmes and exchange program to promote the development of local expertise in methodologies, technique procedures for impact assessment?  a) no	
b) some programmes in place	
c) many programmes in place	X
d) integrated approach to building expertise	<u> </u>
212. Has your country carried out pilot environmental impact assessme order to promote the development of local expertise in methodologies, t procedures?	
a) no	Х
b) yes (please provide further details)	
213. Does your country use strategic environmental assessments to ass the impact of individual projects, but also their cumulative and global ensure the results are applied in the decision making and planning products.	effects, and
a) no	X
b) to a limited extent	
c) to a significant extent	
214. Does your country require the inclusion of development of altern mitigation measures and consideration of the elaboration of compensation environmental impact assessment?	· · · · · · · · · · · · · · · · · · ·
a) no	
b) to a limited extent	Х
c) to a significant extent	

215. Is national information available on the practices, systems, mechanisms and experiences in the area of strategic environmental assessment and impact assessment?					
a) no	Х				
b) yes (please append or summarise)					

#### Further comments on implementation of this Article

#### Article 15 Access to genetic resources

216. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?								
a) High X b) Medium c) Low								
217. To what extent are the resources available adequate for meeting the obligations and recommendations made?								
a) Good b) Adequate c) Limiting X d) Severely limiting								
Further comments on relative priority and on availability of resources								

Access to genetic resources is an important issue in Vanuatu, and complicated by the fact that land and the resources associated with land belong to the traditional landholders and cannot be alienated.

Particular priority has been given to identifying ways to protect the property rights of ni-Vanuatu to their knowledge and use of biodiversity, including local cultivars of subsistence and commercial crops. This results from a strong perception that local knowledge of biodiversity and its uses have been exploited in recent times, with inadequate recognition or benefit to local peoples.

Policy, planning and legal mechanisms that monitor, facilitate and regulate access to genetic resources, and provide a means of protecting the rights of traditional landholders are being discussed and developed. Proposals are being drafted for

- a Scientific Research Council to facilitate and monitor biodiversity related research
- $\bullet\,$  licensing of bio-prospecting activities in the context of Environmental legislation

218. Has your country endeavoured to create conditions to facilitate a genetic resources for environmentally sound uses by other Contracting P	
a) no	
b) yes - limited extent	Х
c) yes - significant extent	
219. Is there any mutual understanding or agreement in place between on interest groups and the State on access to genetic resources (15(4))?	different
a) no	X
b) yes - limited extent	
c) yes - significant extent	
220. Has your country an open participation planning process, or any in place, to ensure that access to resources is subject to prior inform (15(5))?	——————————————————————————————————————
a) no	
b) early stages of development	
c) advanced stages of development	
d) processes in place	
Yes and no (see below)	X
221. Has your country taken measures to ensure that any scientific regenetic resources provided by other Contracting Parties is developed an with the full participation of such Contracting Parties (15(6))?	
a) no measures	
b) some measures in place	
c) potential measures under consideration	Х
d) comprehensive measures in place	
222. Has your country taken measures to ensure the fair and equitable results of research and development and the benefits arising from the cother use of genetic resources with any Contracting Party providing suc $(15(7))$ ?	ommercial and
a) no measures	
b) some measures in place	
c) potential measures under consideration	X
d) comprehensive measures in place	
If so, are these measures	
a) Legislation	
b) Statutory policy or subsidiary legislation	
c) Policy and administrative measures	

### Decision II/11 and Decision III/15. Access to genetic resources

223. Has your country provided the secretariat with information on re legislation, administrative and policy measures, participatory processes programmes?	
a) no, no legislation etc. at present	X
b) yes, within the previous national report	
c) yes, through case-studies	
d) yes, through other means (please give details below)	
224. Has your country implemented capacity-building programmes to pro development and implementation of legislative, administrative and polic guidelines on access, including scientific, technical, business, legal skills and capacities?	cy measures and
a) no	
b) some programmes covering some needs	Х
c) many programmes covering some needs	
d) programmes cover all perceived needs	
e) no perceived need	
225. Has your country analysed experiences of legislative, administra measures and guidelines on access, including regional efforts and initi in further development and implementation of measures and guidelines?	
a) no	
b) analysis in progress	Х
c) analysis completed	
c) analysis completed  226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?	
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef	
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?	
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no	its to
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no  b) yes - limited extent  c) yes - significant extent	X
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no  b) yes - limited extent	X
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no  b) yes - limited extent  c) yes - significant extent  227. Has your country identified national authorities responsible for	X
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no  b) yes - limited extent  c) yes - significant extent  227. Has your country identified national authorities responsible for access to genetic resources?	X
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no  b) yes - limited extent  c) yes - significant extent  227. Has your country identified national authorities responsible for access to genetic resources?  a) no,  b) yes but in process, Draft legislation to go before government	granting  X  with the
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no  b) yes - limited extent  c) yes - significant extent  227. Has your country identified national authorities responsible for access to genetic resources?  a) no,  b) yes but in process, Draft legislation to go before government in 2002 will confirm this.  228. Is your country taking an active role in negotiations associated adaptation of the International Undertaking on Plant Genetic Resources	granting  X  with the
226. Is your country collaborating with all relevant stakeholders to develop and implement guidelines and practices that ensure mutual benef providers and users of access measures?  a) no  b) yes - limited extent  c) yes - significant extent  227. Has your country identified national authorities responsible for access to genetic resources?  a) no,  b) yes but in process, Draft legislation to go before government in 2002 will confirm this.  228. Is your country taking an active role in negotiations associated adaptation of the International Undertaking on Plant Genetic Resources Agriculture?	granting  X  with the for Food and

#### Decision V/26. Access to genetic resources

229. Has your country designated a national focal point and one or more competent

national authorities to be responsible for access and benefit-sharing a to provide information on such arrangements?	rrangements or
a) no	
b) yes but in process. Draft legislation to go before government in 2002 will confirm authority.	х
c) yes, and Executive Secretary notified	
230. Do your country's national biodiversity strategy, and legislativ administrative or policy measures on access and benefit-sharing, contriconservation and sustainable use objectives?	
a) no	
b) to a limited extent	
c) to a significant extent	Х
Parties that are recipients of genetic resources	
231. Has your country adopted administrative or policy measures that of efforts made by provider countries to ensure that access to their ge is subject to Articles 15, 16 and 19 of the Convention?	
a) no	
b) other arrangements made	
c) yes	
232. Does your country co-operate with other Parties in order to find equitable solutions supportive of efforts made by provider countries to access to their genetic resources is subject to Articles 15, 16 and 19 Convention, recognizing the complexity of the issue, with particular countries to multiplicity of prior informed consent considerations?	ensure that of the
a) no	
b) yes (please provide details)	
233. In developing its legislation on access, has your country taken and allowed for the development of a multilateral system to facilitate benefit-sharing in the context of the International Undertaking on Plan Resources?	access and
a) no, not yet	X
b) legislation under development	
c) yes	
234. Is your country co-ordinating its positions in both the Conventi Biological Diversity and the International Undertaking on Plant Genetic	
a) no	
b) taking steps to do so	X
c) yes	

235. Has your country provided information to the Executive Secretary on user institutions, the market for genetic resources, non-monetary benefits, new and emerging mechanisms for benefit sharing, incentive measures, clarification of definitions, sui generis systems and "intermediaries"?				
a) no	Х			
b) some information provided				
c) substantial information provided				
236. Has your country submitted information on specific issues related to the role of intellectual property rights in the implementation of access and benefit-sharing arrangements to the Executive Secretary?				
a) no	X			
b) yes				
237. Has your country provided capacity-building and technology develoransfer for the maintenance and utilization of ex situ collections?	opment and			
a) no	Х			
b) yes to a limited extent				
c) yes to a significant extent				

#### Further comments on implementation of this Article

- Q 218. At present State players are discussing appropriate responses, and having discussions with key interest groups. These are not sufficiently firm to be described as a mutual understanding or agreement.
- Q. 220 Legal provisions requiring formal prior informed consent apply to cultural research in fields such as anthropology, archaeology, linguistics etc. Temporary provisions applying to other forms of scientific research are not in a capacity to formally require informed consent prior to research commencing. It is hoped that as this system is refined and legalised, such provisions can be included and enforced.

A major barrier is researchers (usually international) being reluctant to incur the costs of gaining prior informed consent prior to commencing research, and the difficulties in fully explaining research purposes to local peoples. However, there are cases in which prior informed consent has been abused. For example blood samples collected from throughout the archipelago for malaria studies with informed consent, were stored and subsequently used for unrelated DNA and genome studies for which consent had not been given.

It is very difficult for a country such as Vanuatu to establish a legal basis to protect parties from such mis-use of consent, and there is a perception that increasingly samples collected for one purpose, may be stored and subsequently used for a separate purpose without recourse to the people involved.

Full international collaboration will be needed if Prior Informed Consent requirements are to be enforceable.

#### Article 16 Access to and transfer of technology

238. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?								
a) High		b) Medi	um	X		c) Low		
239. To what extent are the resources available adequate for meeting the obligations and recommendations made?								
a) Good	b) Adequat	е	c)	Limiting		d) Severely	limiting	Х
Further commen	nts on relative	priority	and c	n availabi	lity	of resources		
Vanuatu has limited access to technology. Access to the internet through the CHM has given the Environment Unit's biodiversity section greater capacity to access information, but often there is limited information that is directly relevant to the Pacific Region.  Technical and scientific cooperation at an international level is vital. The involvement of regional organisations (South Pacific Community, South Pacific Regional Environment Programme, University of the South Pacific) is valuable as intermediaries and organisations with scientific and technical expertise. However it has been difficult to effectively influence the decisions that are taken so as to meet national priorities.								
240. Has your country taken measures to provide or facilitate access for and transfer to other Contracting Parties of technologies that are relevant to the conservation and sustainable use of biological diversity or make use of genetic resources and do not cause significant damage to the environment (16(1))?								
a) no meas	ures						Х	
b) some me	asures in place							
c) potential measures under review								
d) comprehensive measures in place								
_	country aware your country o	_						<b>;</b>
a) no							X	
b) yes (pl	b) yes (please give brief details below)							
242. Has your country taken measures so that Contracting Parties which provide genetic resources are provided access to and transfer of technology which make use of those resources, on mutually agreed terms (16(3))?								
a) not rel	evant							
b) relevan	it, but no measu	ires					X	
c) some me	asures in place	:						
d) potenti	al measures und	ler review	7					
e) compreh	ensive measures	in place	<u> </u>					
If so, are	these measures							
a) Legi	slation							
	utory policy or							
c) Poli	cv and administ	rative ar	range	ments				

	0.2
243. Has your country taken measures so that the private sector facil to joint development and transfer of relevant technology for the benefi institutions and the private sector of developing countries (16(4))?	
a) no measures	Х
b) some measures in place	
c) potential measures under review	
d) comprehensive measures in place	
If so, are these measures	
a) Legislation?	
b) Statutory policy and subsidiary legislation?	
c) Policy and administrative arrangements?	
244. Does your country have a national system for intellectual proper protection (16(5))?	ty right
a) no,	
Almost legislation has passed through parliament but is not yet gazetted. Addresses ownership of cultural knowledge, but does not specifically address biodiversity	Х
b) yes	
245. If yes, does it cover biological resources (for example, plant s way?	pecies) in any
a) no	
b) yes - limited extent	
c) yes - significant extent	
Decision III/17. Intellectual property rights	
246. Has your country conducted and provided to the secretariat case-impacts of intellectual property rights on the achievement of the Convergetives?	
a) no	Х
b) some	

#### Further comments on implementation of this $\mbox{Article}$

c) many

	ļ.

#### Article 17 Exchange of information

the associated decisions by your country?

247. What is the relative priority afforded to implementation of this Article and

a) High	X	b)	Medium			c)	Low		
248. To what	extent are the ations made?	resc	ources a	vailable ade	quate	for	meeting th	e obligat	ions
a) Good	b) Adequat	e	С	Limiting		d) Severely limiting			Х
Further comments on relative priority and on availability of resources									
Countries such as Vanuatu with limited internal scientific capacity are dependent on international colleagues for exchange of information. However it is difficult to fully access information through normal challenges, as technical and scientific publications are not commonly received, there are no technical libraries, there are no staff able to commit time to information searches through external organisations the country inevitabley receives that portion of information that is forwarded to us. While the web based CHM has improved access to information this is perceived as being very limited and not always the information that we urgently need.									
	ur country taker lable sources (			facilitate	the ex	kchan	nge of info	rmation f	rom
a) no meas	sures								
b) restric	cted by lack of	resou	ırces						
c) some me	easures in place	2						X	
d) potenti	al measures und	der re	eview						
e) compreh	nensive measures	s in p	lace						
a) no									
	limited extent							X	
c) yes - s	significant exte	ent							

Article 17(2),	do these measur including tec programmes, sp	hnical, sc	ienti	fic and so	cio-e	conomic	resear	rch, train	ing
a) no								Х	
b) yes - 1	imited extent								
c) yes - s	ignificant exte	ent							
	Article 18 Te	chnical a	and s	cientific	coop	peratio	n		
	the relative places decisions by			ed to imple	ement	ation o	f this	Article an	nd
a) High	Х	b) Medi	ım			c) Lo	W		
253. To what	extent are the	resource	s ava:	ilable ade	quate	for me	eting t	the obligat	tions
a) Good	b) Adequat	.e	c)	Limiting		d) Se	everely	limiting	Х
Further commen	nts on relative		and o	n availabi	lity				
for this word applied and of Where ever po	nd is depender k. As a consect directed toward ossible attempt dencourage the to Vanuatu.	quence mo rds speci pt is mad	st re es of e to	esearch the commerci liaise wi	nat h Lal s Lth t	as beer ignific he inte	n unde: cance. ernatio	rtaken is onal rese	
054							1 '		
	r country taker peration in the 1))?								ical
a) no meas	ures								
b) some measures in place					Х				
c) potential measures under review									
d) compreh	d) comprehensive measures in place								
255. Do the measures taken to promote cooperation with other Contracting Parties in the implementation of the Convention pay special attention to the development and strengthening of national capabilities by means of human resources development and institution building (18(2))?									
a) no									
b) yes - 1	imited extent							Х	
c) yes - significant extent									

256. Has your country encouraged and developed methods of cooperation for the development and use of technologies, including indigenous and traditional technologies, in pursuance of the objectives of this Convention (18(4))?				
a) no				
b) early stages of development	Х			
c) advanced stages of development				
d) methods in place				

257. Does such cooperation include the training of personnel and exch $(18(4))$ ?	ange of experts				
a) no					
b) yes - limited extent	X				
c) yes - significant extent					
258. Has your country promoted the establishment of joint research programmes and joint ventures for the development of technologies relevant to the objectives of the Convention (18(5))?					
a) no					
b) yes - limited extent	Х				
c) yes - significant extent					

## Decision II/3, Decision III/4 and Decision IV/2. Clearing House Mechanism

259. Is your country cooperating in the development and operation of the Clearing House Mechanism?					
a) no					
b) yes	X				
260. Is your country helping to develop national capabilities through disseminating information on experiences and lessons learned in impleme Convention?	~ ~				
a) no					
b) yes - limited extent	Х				
c) yes - significant extent					
261. Has your country designated a national focal point for the Clear Mechanism?	ing-House				
a) no					
b) yes	X				
262. Is your country providing resources for the development and impl the Clearing-House Mechanism?	ementation of				
a) no					
b) yes, at the national level	X				
c) yes, at national and international levels					

263. meetin	Is your country facilitating and participating in workshops and gs to further the development of the CHM at international levels?	_
a)	no	
b)	participation only	Х
c)	supporting some meetings and participating	
264.	Is your CHM operational	
a)	no	
b)	under development	X
c)	yes (please give details below)	
265.	Is your CHM linked to the Internet	
a)	no	
b)	yes	Х
266. steeri	Has your country established a multi-sectoral and multi-discipling committee or working group at the national level?	nary CHM
a)	no	
b)	yes	Х
	Decision V/14. Scientific and technical co-operation and t clearinghouse mechanisms (Article 18)	
267. and so	Has your country reviewed the priorities identified in Annex I to implement them?	o the decision,
a)	not reviewed	Х
b)	reviewed but not implemented	
c)	reviewed and implemented as appropriate	
	Further comments on implementation of these Articles	

Х

c) Low

#### Article 19 Handling of biotechnology and distribution of its benefits

b) Medium

the associated decisions by your country?

a) High

268. What is the relative priority afforded to implementation of this Article and

269. To what extent are the resources available adequate for meeting the obligations and recommendations made?							
a) Goo	d	b) Adequate	c) Limiting	d)	Severely	limiting	Х
Furthe	r comments	on relative priori	ty and on availabi	lity of re	esources		
decisi our ca	Vanuatu lacks the scientific facilities to enable it to actively implement these decisions. They are consequently of lower priority that many issues that it is within our capacity to address. This will be reconsidered as the National Biodiversity Strategy is revised in 5 years time.						
0.50							
	hnological :		res to provide for s by those Contrac (19(1))?				
a)	no measures	3					
b)	some measur	res in place				X	
c)	potential m	measures under rev	iew				
d)	comprehensi	ve measures in pla	ace				
If	so, are the	se measures:					
	a) Legislat	ion					
	b) Statutor	ry policy and subs	idiary legislation				
	c) Policy a	and administrative	measures			Х	
benefi	on a fair a	and equitable basi from biotechnologi	racticable measures s by Contracting P es based upon gene	arties to	the resul	lts and	_
a)	no measures	3					
b)	some measur	res in place				Х	
c)	potential m	neasures under rev	iew				
d)	comprehensi	ve measures in pla	ace				

# Decision IV/3. Issues related to biosafety and Decision V/1. Work Plan of the Intergovernmental Committee for the Cartagena Protocol on Biosafety

272.	Is your country a Contracting Party to the Cartagena Protocol on	Biosafety?
a)	not a signatory	X
b)	signed, ratification in progress	
c)	instrument of ratification deposited	

#### Further comments on implementation of this Article

Vanuatu is seeking to better understand the benefits of signing the Cartegena Protocol, and needs assistance in building cross-sectoral awareness and support prior to becoming a signatory.

#### Article 20 Financial resources

273. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?											
a) High		Х	b)	Mediu	ım			c)	Low		
274. To what and recommend		ent are the ns made?	reso	ources	avai	ilable adeo	quate	for	meeting th	e obligat	ions
a) Good		b) Adequat	e		c)	Limiting		d)	Severely :	limiting	Х
Further comme	nts c	n relative	prio	rity a	and o	n availabi	lity	of r	esources		
Vanuatu has vobligations international portion of it its first nat	t has supp s CBI	s incurred wort form de oort form de oobligation	under evelo ns tha	the oping of at ha	CBD. count ve be	Vanuatu ha ry parties en identif	s had to e ied a	dif: nabl	ficulty acc e it to mee	cessing et even th	nat

275. Has your country provided financial support and incentives in renational activities which are intended to achieve the objectives of the $(20(1))$ ?	_
a) no	Х
b) yes - incentives only	
c) yes - financial support only	
d) yes - financial support and incentives	
If a developed country Party -	
276. Has your country provided new and additional financial resources developing country Parties to meet the agreed incremental costs to them implementing measures which fulfil the obligations of the Convention, a between you and the interim financial mechanism (20(2))?	n of
a) no	
b) yes	
If a developing country Party or Party with economy in transition -	
277. Has your country received new and additional financial resources to meet the agreed full incremental costs of implementing measures whicobligations of the Convention (20(2))?	
a) no	
b) yes	X GEF enabling funding only
If a developed country Party -	
278. Has your country provided financial resources related to impleme Convention through bilateral, regional and other multilateral channels	
If a developing country Party or Party with economy in transition -	
279. Has your country used financial resources related to implementat Convention from bilateral, regional and other multilateral channels (20	
a) no	
b) yes	Х
Decision III/6. Additional financial resources	
280. Is your country working to ensure that all funding institutions	(including

280. Is your country working to ensure that all funding institutions (including bilateral assistance agencies) are striving to make their activities more supportive of the Convention?					
a) no	X				
b) yes - limited extent					
c) yes - significant extent					
281. Is your country cooperating in any efforts to develop standardiz on financial support for the objectives of the Convention?	ed information				
a) no	X				
b) yes (please attach information)					

#### Decision V/11. Additional financial resources

282. Has your country established a process to monitor financial supp biodiversity?	ort to
a) no	х
b) procedures being established	
c) yes (please provide details)	
283. Are details available of your country's financial support to nat biodiversity activities?	ional
a) no	
b) not in a standardized format	Х
c) yes (please provide details)	
284. Are details available of your country's financial support to bio activities in other countries?	diversity
a) not applicable	Х
b) no	
c) not in a standardized format	
d) yes (please provide details)	
Developed country Parties -	
285. Does your country promote support for the implementation of the the Convention in the funding policy of its bilateral funding instituti of regional and multilateral funding institutions?	_
a) no	
b) yes	
Developing country Parties -	
286. Does your country discuss ways and means to support implementati objectives of the Convention in its dialogue with funding institutions?	
a) no	Х
b) yes	
287. Has your country compiled information on the additional financia provided by the private sector?	l support
a) no	Х
b) yes (please provide details)	
288. Has your country considered tax exemptions in national taxation biodiversity-related donations?	systems for
a) no	
b) not appropriate to national conditions	Х
c) exemptions under development	
d) exemptions in place	

#### Further comments on implementation of this Article

Funding and financing opportunities under the GEF are perceived as complex and difficult to access.

Funding and financing opportunities from bilateral, multi-lateral and other organisations are limited, and not particularly in accord with national priorities.

#### Article 21 Financial mechanism

289. What is the relative priority afforded to implementation of this Article and the associated decisions by your country?											
a) High		Х	b)	Mediu	ım			c)	Low		
290. To what and recommend		ent are thens made?	resc	ources	ava:	ilable ade	quate	for	meeting th	e obligat	ions
a) Good		b) Adequat	е		c)	Limiting		d)	Severely 3	limiting	Σ
Further co	mmen	ts on relat:	ive p	riori	ty an	ıd on avail	labili	ty o	f resources	5	

291. Has your country worked to strengthen existing financial institutions to provide financial resources for the conservation and sustainable use of biological diversity?			
a) no	X		
b) yes			

### Decision III/7. Guidelines for the review of the effectiveness of the financial mechanism

292. Has your country provided information on experiences gained thr funded by the financial mechanism?	ough activities
a) no activities	
b) no, although there are activities	X
c) yes, within the previous national report	
d) yes, through case-studies	
e) yes, through other means (please give details below)	

Further	comments	on	implementation	of	this	Article

#### Article 23 Conference of the Parties

293. How many people from your country participated in each of the meetings of the Conference of the Parties?				
a) COP 1 (Nassau)	0			
b) COP 2 (Jakarta)	0			
c) COP 3 (Buenos Aires)	1			
d) COP 4 (Bratislava)	0			
e) COP 5 (Nairobi)	1			

### Decision I/6, Decision II/10, Decision III/24 and Decision IV/17. Finance and budget

294.	Has your country paid all of its contributions to the Trust Fund	?
a)	no	X
b)	yes	

### Decision IV/16 (part) Preparation for meetings of the Conference of the Parties

295. Has your country participated in regional meetings focused on discussing implementation of the Convention before any meetings of the Conference of the Parties?				
a) no				
b) yes (please specify which)	Yes Meetings for the South Pacific Region countries.			
If a developed country Party -				
296. Has your country funded regional and sub-regional meetings to prepare for the COP, and facilitated the participation of developing countries in such meetings?				
a) no				
b) yes (please provide details below)				

### Decision V/22. Budget for the programme of work for the biennium 2001- 2002

297. Did your country pay its contribution to the core budget (BY Tr 2001 by $1^{\rm st}$ January 2001?	rust Fund) for
a) yes in advance	
b) yes on time	
c) no but subsequently paid	
d) not yet paid	Х

# Article 25 Subsidiary body on scientific, technical and technological advice

300. How many people from your country participated in each of the r SBSTTA?	neetings of
a) SBSTTA I (Paris)	0
b) SBSTTA II (Montreal)	1
c) SBSTTA III (Montreal)	0
d) SBSTTA IV (Montreal)	0
e) SBSTTA V (Montreal)	0

# Further comments on implementation of this Article

### Article 26 Reports

301. What is the status of your first national report?	
a) Not submitted	
b) Summary report submitted	
c) Interim/draft report submitted	
d) Final report submitted	
If b), c) or d), was your report submitted:	
by the original deadline of 1.1.98 (Decision III/9)?	
by the extended deadline of 31.12.98 (Decision IV/14)?	Х
Later (please specify date)	

### Decision IV/14 National reports

302. Did all relevant stakeholders participate in the preparation of report, or in the compilation of information used in the report?	this national
a) no	
b) yes	X
303. Has your country taken steps to ensure that its first and/or second report(s) is/are available for use by relevant stakeholders?	ond national
a) no	
b) yes	X
If yes, was this by:	
a) informal distribution?	
b) publishing the report?	
c) making the report available on request?	Х
d) posting the report on the Internet?	

### Decision V/19. National reporting

304. Has your country prepared voluntary detailed thematic reports on the items for in-depth consideration at an ordinary meeting of the part the guidelines provided?	
a) no	
b) yes - forest ecosystems	
c) yes - alien species	X In preparation
d) yes - benefit sharing	

## Decision V/6. Ecosystem approach Is your country applying the ecosystem approach, taking into account the principles and guidance contained in the annex to decision V/6? a) no b) under consideration c) some aspects are being applied d) substantially implemented 306. Is your country developing practical expressions of the ecosystem approach for national policies and legislation and for implementation activities, with adaptation to local, national, and regional conditions, in particular in the context of activities developed within the thematic areas of the Convention? Χ b) under consideration c) some aspects are being applied d) substantially implemented 307. Is your country identifying case studies and implementing pilot projects that demonstrate the ecosystem approach, and using workshops and other mechanisms to enhance awareness and share experience? Χ a) no b) case-studies identified c) pilot projects underway d) workshops planned/held e) information available through CHM 308. Is your country strengthening capacities for implementation of the ecosystem approach, and providing technical and financial support for capacity-building to implement the ecosystem approach? a) no Χ

b) yes within the country

c) yes including support to other Parties

Further comments on implementation of this Article

309. Has your country promoted regional co-operation in applying the approach across national borders?	ecosystem
a) no	
b) informal co-operation	Х
c) formal co-operation (please give details)	

### Inland water ecosystems

# Decision IV/4. Status and trends of the biological diversity of inland water ecosystems and options for conservation and sustainable use

310. Has your country included information on biological diversity is providing information and reports to the CSD, and considered including biological diversity issues at meetings to further the recommendations	inland water
a) no	Х
b) yes	
311. Has your country included inland water biological diversity con its work with organizations, institutions and conventions affecting or inland water?	
a) no	
b) yes	X
If a developing country Party or Party with economy in transition -	
312. When requesting support for projects relating to inland water e the GEF, has your country given priority to identifying important area conservation, preparing and implementing integrated watershed, catchme basin management plans, and investigating processes contributing to bi	s for nt and river
a) no	Х
b) yes	
313. Has your country reviewed the programme of work specified in an decision, and identified priorities for national action in implementing	
a) no	Х
b) under review	
c) yes	

# Decision V/2. Progress report on the implementation of the programme of work on the biological diversity of inland water ecosystems (implementation of decision IV/4)

314.	Is your country supporting and/or participating in the River Bas	in Initiative?
a)	no	X
b)	yes	

315. Is your country gathering information on the status of inland wa diversity?	ter biological
a) no	
b) assessments ongoing	X
c) assessments completed	
316. Is this information available to other Parties?	
a) no	
b) yes - national report	
c) yes - through the CHM	
d) yes - other means (please give details below)	X On request
317. Has your country developed national and/or sectoral plans for the and sustainable use of inland water ecosystems?	e conservation
a) no	X
b) yes - national plans only	
c) yes - national plans and major sectors	
d) yes - national plans and all sectors	
318. Has your country implemented capacity-building measures for devimplementing these plans?	eloping and
a) no	
b) yes	X
Decision III/21. Relationship of the Convention with the CSD biodiversity-related conventions	
319 Is the conservation and sustainable use of wetlands, and of migra	atory species

319. Is the conservation and sustainable use of wetlands, and of migrand their habitats, fully incorporated into your national strategies, programmes for conserving biological diversity?	
a) no	
b) yes	Х

# Further comments on implementation of these decisions and the associated programme of work

### Marine and coastal biological diversity

# Decision II/10 and Decision IV/5. Conservation and sustainable use of marine and coastal biological diversity

sustainable use of marine and coastal biological diversity?	ation and
a) no	
b) yes - limited extent	
c) yes - significant extent	X
321. Has your country established and/or strengthened institutional, and legislative arrangements for the development of integrated management and coastal ecosystems?	
a) no	
b) early stages of development	X
c) advanced stages of development	
d) arrangements in place	
322. Has your country provided the Executive Secretary with advice an on future options concerning the conservation and sustainable use of maccoastal biological diversity?	
a) no	X
b) yes	
323. Has your country undertaken and/or exchanged information on demo	
a) no	Х
a) no b) yes - previous national report	х
77 - 1	X
b) yes - previous national report	Х
b) yes - previous national report c) yes - case-studies	vledge on the
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to see	vledge on the
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to senhancement and/or sea-ranching activities?	vledge on the stock
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to enhancement and/or sea-ranching activities?  a) no	vledge on the stock
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to enhancement and/or sea-ranching activities?  a) no  b) programmes are being developed	vledge on the stock
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to enhancement and/or sea-ranching activities?  a) no  b) programmes are being developed  c) programmes are being implemented for some species	vledge on the stock
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to enhancement and/or sea-ranching activities?  a) no  b) programmes are being developed  c) programmes are being implemented for some species  d) programmes are being implemented for many species	vledge on the stock  X  annex to the
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to enhancement and/or sea-ranching activities?  a) no  b) programmes are being developed  c) programmes are being implemented for some species  d) programmes are being implemented for many species  e) not a perceived problem  325. Has your country reviewed the programme of work specified in an	vledge on the stock  X  annex to the
b) yes - previous national report  c) yes - case-studies  d) yes - other means (please give details below)  324. Has your country programmes in place to enhance and improve know genetic structure of local populations of marine species subjected to enhancement and/or sea-ranching activities?  a) no  b) programmes are being developed  c) programmes are being implemented for some species  d) programmes are being implemented for many species  e) not a perceived problem  325. Has your country reviewed the programme of work specified in an decision, and identified priorities for national action in implementing	annex to the g the programme?

# Decision V/3. Progress report on the implementation of the programme of work on marine and coastal biological diversity (implementation of decision IV/5)

326. Is your country contributing to the implementation of the work plan on coral bleaching?						
a) no X						
b) yes						
c) not relevant						
327. Is your country implementing other measures in response to coral bleaching?						
a) no						
b) yes (please provide details below)	X monitoring of sea level change and sea temperatures					
c) not relevant						
328. Has your country submitted case-studies on the coral bleaching phenomenon to the Executive Secretary?						
a) no	X					
b) yes						
c) not relevant						

# Further comments on implementation of these decisions and the associated programme of work

### Agricultural biological diversity

# Decision III/11 and Decision IV/6. Conservation and sustainable use of agricultural biological diversity

329. Has your country identified and assessed relevant ongoing activi existing instruments at the national level?	ties and
a) no	
b) early stages of review and assessment	Х
c) advanced stages of review and assessment	
d) assessment completed	
330. Has your country identified issues and priorities that need to b the national level?	e addressed at
a) no	
b) in progress	X
c) yes	
331. Is your country using any methods and indicators to monitor the agricultural development projects, including the intensification and ex of production systems, on biological diversity?	_
a) no	Х
b) early stages of development	
c) advanced stages of development	
d) mechanisms in place	
332. Is your country taking steps to share experiences addressing the and sustainable use of agricultural biological diversity?	conservation
a) no	X
b) yes - case-studies	
c) yes - other mechanisms (please specify)	
333. Has your country conducted case-studies on the issues identified pollinators, ii) soil biota, and iii) integrated landscape management a systems?	
a) no	Х
b) yes - pollinators	
c) yes - soil biota	
d) yes - integrated landscape management and farming systems	
334. Is your country establishing or enhancing mechanisms for increas awareness and understanding of the importance of the sustainable use of agrobiodiversity components?	
a) no	
Some measures for some species e.g. Calophyllum nuts, taro and kava (Piper methysticum) BUT no systematic approach	X
b) early stages of development	
c) advanced stages of development	
d) mechanisms in place	

335. Does your country have national strategies, programmes and plans which ensure the development and successful implementation of policies and actions that lead to sustainable use of agrobiodiversity components?						
a) no						
Some measures for some species but no systematic strategy or programmes.	х					
b) early stages of development						
c) advanced stages of development						
d) mechanisms in place						
336. Is your country promoting the transformation of unsustainable agricultural practices into sustainable production practices adapted to local biotic and abiotic conditions?						
a) no						
b) yes - limited extent						
c) yes - significant extent	Х					
337. Is your country promoting the use of farming practices that not only increase productivity, but also arrest degradation as well as reclaim, rehabilitate, restore and enhance biological diversity?						
a) no						
b) yes - limited extent						
c) yes - significant extent	Х					
338. Is your country promoting mobilization of farming communities for the development, maintenance and use of their knowledge and practices in the conservation and sustainable use of biological diversity?						
a) no						
b) yes - limited extent	X					
c) yes - significant extent						
339. Is your country helping to implement the Global Plan of Action for the Conservation and Sustainable Utilization of Plant Genetic Resources?						
a) no	Х					
b) yes						
340. Is your country collaborating with other Contracting Parties to identify and promote sustainable agricultural practices and integrated landscape management?						
a) no						
b) yes	X					

### Decision V/5. Agricultural biological diversity: review of phase I of the programme of work and adoption of a multi-year work programme

341. Has your country reviewed the programme of work annexed to the didentified how you can collaborate in its implementation?	lecision and				
a) no	Х				
b) yes					
342. Is your country promoting regional and thematic co-operation wit framework of the programme of work on agricultural biological diversity					
a) no					
b) some co-operation	Х				
c) widespread co-operation					
d) full co-operation in all areas					
343. Has your country provided financial support for implementation of work on agricultural biological diversity?	of the programme				
a) no	X				
b) limited additional funds					
c) significant additional funds					
If a developed country Party -					
344. Has your country provided financial support for implementation of work on agricultural biological diversity, in particular for capacit case-studies, in developing countries and countries with economies in the contribution of the contribution	ty building and				
a) no	X				
b) yes within existing cooperation programme(s)					
b) yes, including limited additional funds					
c) yes, with significant additional funds					
345. Has your country supported actions to raise public awareness in sustainable farming and food production systems that maintain agricultudiversity?					
a) no					
b) yes, to a limited extent	Х				
c) yes, to a significant extent					
346. Is your country co-ordinating its position in both the Convention Diversity and the International Undertaking on Plant Genetic Resources?					
a) no	X				
b) taking steps to do so					
c) yes					
347. Is your country a Contracting Party to the Rotterdam Convention Informed Consent Procedure for Certain Hazardous Chemicals and Pesticic International Trade?					
a) not a signatory	Х				
b) signed - ratification in process					
c) instrument of ratification deposited					

348. Is your country supporting the application of the Executive Secret observer status in the Committee on Agriculture of the World Trade Organization.			
a) no			
b) yes	Х		
349. Is your country collaborating with other Parties on the conservations sustainable use of pollinators?	tion and		
a) no	X		
b) yes			
350. Is your country compiling case-studies and implementing pilot proto the conservation and sustainable use of pollinators?	ojects relevant		
a) no	X		
b) yes (please provide details)			
351. Has information on scientific assessments relevant to genetic use restriction technologies been supplied to other Contracting Parties through media such as the Clearing-House Mechanism?			
a) not applicable			
b) no	X		
c) yes - national report			
d) yes - through the CHM			
e) yes - other means (please give details below)			
352. Has your country considered how to address generic concerns regarding such technologies as genetic use restriction technologies under international and national approaches to the safe and sustainable use of germplasm?			
a) no	X		
b) yes - under consideration			
c) yes - measures under development			
353. Has your country carried out scientific assessments on <u>inter alia</u> social and economic effects of genetic use restriction technologies?	<u>a</u> ecological,		
	a ecological,		
social and economic effects of genetic use restriction technologies?	_		
social and economic effects of genetic use restriction technologies?  a) no	_		
social and economic effects of genetic use restriction technologies?  a) no  b) some assessments	X nts on <u>inter</u>		
social and economic effects of genetic use restriction technologies?  a) no  b) some assessments  c) major programme of assessments  354. Has your country disseminated the results of scientific assessments	X nts on <u>inter</u>		
social and economic effects of genetic use restriction technologies?  a) no  b) some assessments  c) major programme of assessments  354. Has your country disseminated the results of scientific assessments  alia ecological, social and economic effects of genetic use restriction	X  nts on inter technologies?		

355. Has your country identified the ways and means to address the potential impacts of genetic use restriction technologies on the <u>in situ</u> and <u>ex situ</u> conservation and sustainable use, including food security, of agricultural biological diversity?				
a) no	Х			
b) some measures identified				
c) potential measures under review				
d) comprehensive review completed				
356. Has your country assessed whether there is a need for effective regulations at the national level with respect to genetic use restriction technologies to ensure the safety of human health, the environment, food security and the conservation and sustainable use of biological diversity?				
a) no				
b) yes - regulation needed	Nor formal asessment, but it is recognised that regulationsa re needed			
c) yes - regulation not needed (please give more details)				
357. Has your country developed and applied such regulations taking i <pre>inter alia</pre> , the specific nature of variety-specific and trait-specific restriction technologies?				
a) no	Х			
b) yes - developed but not yet applied				
c) yes - developed and applied				
358. Has information about these regulations been made available to o Contracting Parties?	ther			
a) no	X			
b) yes - through the CHM				
c) yes - other means (please give details below)				
Further comments on implementation of these decisions and associated programme of work	the			

### Forest biological diversity

### Decision II/9 and Decision IV/7. Forest biological diversity

	2						
359. Has your country included expertise on forest biodiversity in its delegations to the Intergovernmental Panel on Forests?							
a) no X							
b) yes							
c) not relevant							
360. Has your country reviewed the programme of work annexed to the didentified how you can collaborate in its implementation?	ecision and						
a) no							
Informal review, and some ad hoc responses, but no systematic X review.							
b) under review							
c) yes							
361. Has your country integrated forest biological diversity considerations in its participation and collaboration with organizations, institutions and conventions affecting or working with forest biological diversity?							
a) no							
b) yes - limited extent	X						
c) yes - significant extent							
362. Does your country give high priority to allocation of resources to activities that advance the objectives of the Convention in respect of forest biological diversity?							
a) no	Х						
b) yes							
For developing country Parties and Parties with economies in transition	n -						
363. When requesting assistance through the GEF, Is your country prop which promote the implementation of the programme of work?	osing projects						
a) no							
b) yes	Х						
Decision V/4. Progress report on the implementation of the progress to logical diversity	ramme of						

364. Do the actions that your country is taking to address the conservation and sustainable use of forest biological diversity conform with the ecosystem approach?			
a) no	Х		
b) yes			
365. Do the actions that your country is taking to address the conservation and sustainable use of forest biological diversity take into consideration the outcome of the fourth session of the Intergovernmental Forum on Forests?			
a) no			
b) yes	Х		

366. Will your country contribute to the future work of the UN Forum on Forests?						
a) no						
Will depend on funding assistance	X					
b) yes						
367. Has your country provided relevant information on the implementa work programme?	tion of this					
a) no X						
b) yes - submission of case-studies						
c) yes - thematic national report submitted						
d) yes - other means (please give details below)						
368. Has your country integrated national forest programmes into its biodiversity strategies and action plans applying the ecosystem approach sustainable forest management?						
a) no						
b) yes - limited extent	Х					
c) yes - significant extent						
369. Has your country undertaken measures to ensure participation by sector, private sector, indigenous and local communities and non-govern organisations in the implementation of the programme of work?						
a) no						
b) yes - some stakeholders	X					
c) yes - all stakeholders						
370. Has your country taken measures to strengthen national capacities including local capacities, to enhance the effectiveness and functions of forest protected area networks, as well as national and local capacities for implementation of sustainable forest management, including restoration?						
a) no						
b) some programmes covering some needs	X					
c) many programmes covering some needs						
d) programmes cover all perceived needs						
e) no perceived need						
371. Has your country taken measures to implement the proposals for action of the Intergovernmental Forum on Forests and the Intergovernmental Panel on Forests on valuation of forest goods and services?						
a) no						
b) under consideration						
c) some measures taken	X					

### Biological diversity of dry and sub-humid lands

# Decision V/23. Consideration of options for conservation and sustainable use of biological diversity in dryland, Mediterranean, arid, semi-arid, grassland and savannah ecosystems

372. Has your country reviewed the programme of work annexed to the decision and identified how you will implement it?					
NOT RELEVANT X					
a) no					
b) under review					
c) yes					
373. Is your country supporting scientifically, technically and financially, at the national and regional levels, the activities identified in the programme of work?					
a) no	Х				
b) to a limited extent					
c) to a significant extent					
374. Is your country fostering cooperation for the regional or subregional implementation of the programme among countries sharing similar biomes?					
a) no	Х				
b) to a limited extent					
c) to a significant extent					

# Further comments on implementation of these Decisions and the associated programme of work

- Q. 360... The Programme of Work on the implementation of decisions II/9 and IV/7 and related provisions of the CBD has not been formally reviewed at a national level. However work is in place that addresses some of the issues defined in these decisions, often in the context of work related to the Intervovernmental Forum on Forests, the work of the ITTO, and regional forest management programmes.
- Q.362 ...Issues relating to sustainable harvesting of forest timber resources have been addressed within the Code of Logging Practice, which is overseen by the Forestry Department. This includes some consideration of biological diversity and some aspects of the ecosystem approach, yet is limited in focus to timber harvesting activities. The extent to which this code is enforced or implemented varies, and reflects the availability of in-country and on-island resources.
- Q.365 Vanuatu's actions within the Forest sector are geared toward the goals and objectives of the International Forum on Forests and other international conventions. However the extent of progress in meeting these objectives is often limited due to resource constraints.

Vanuatu has recently submitted a report on the status of Vanuatu in implementing the ITTO critera and indicators for sustainable forest management.

### Decision V/20. Operations of the Convention

375. Does your country take into consideration gender balance, involvement of indigenous people and members of local communities, and the range of relevant disciplines and expertise, when nominating experts for inclusion in the roster?				
NOT RELEVANT, very few in-country experts.	X			
a) no				
b) yes				
376. Has you country actively participated in subregional and regiona order to prepare for Convention meetings and enhance implementation of				
a) no				
b) to a limited extent				
c) to a significant extent	Х			
377. Has your country undertaken a review of national programmes and needs related to the implementation of the Convention and, if appropriate, informed the Executive Secretary?				
a) no				
Internal review of national programmes ongoing but no reports to the Executive Secretar	Х			
b) under way				
c) yes				

Please use this box to identify what specific activities your country has carried out as a DIRECT RESULT of becoming a Contracting Party to the Convention, referring back to previous questions as appropriate:

- Prepared a National Biodiversity Strategy and Action
- Reviewed and collected at a central location information on national biodiversity
- Consulted widely at community and national level over biodiversity related issues.
- Established a cross-sectoral national biodiversity advisory committee
- Conducted research to better document national biodiversity, with a particular focus on freshwater biodiversity.
- Facilitated research and put in place policy to facilitate, monitor and collect information from research
- Preliminary work to identify system to ensure Prior Informed Consent, protect rights of traditional landholders, and manage biodiversity prospecting.
- Participated in regional projects to document and collect genetic diversity within selected agricultural and forest projects.
- Participated at a regional and international level in activities that build capacity to manage biodiversity, and that shared experience and expertise.
- Establishing a national Clearing House Mechanism and currently developing and internet component.
- Fostered cross sectoral discussion about and participation in issues relating to biodiversity.
- Reviewed legislation relating to biodiversity and made decisions to reconcile some anomalous pieces of legislation and to address gaps with environmental legislation currently in draft form.
- Participated in regional initiatives to promote conservation of biodiversity including the GEFE supported South Pacific Biodiversity Conservation Programme.
- Development of a strategy on invasive species in progress.

## Please use this box to identify joint initiatives with other Parties, referring back to previous questions as appropriate:

The South Pacific Regional Environment Programme is a key agency organising forums for the discussion of biodiversity related issues at a regional level. These include strategies on invasive species; bird conservation; access to benefit sharing and prior informed consent legislation; coastal and marine conservation; sharing of experiences through training and meetings for individuals involved in biodiversity conservation. Vanuatu as a SPREP member country is participating in many of these collaborative initiatives.

The World Wide Fund for Nature South Pacific Programme has also taken a lead in co-ordinating regional collaboration including an periodic training and networking meetings for individuals involved in biodiversity conservation. Vanuatu has benefited through participation in a number of these initiatives.

South Pacific Community is also active in fostering regional collaboration. Vanuatu has participated in SPC initiatives to facilitate exchange relating to agro-biodiversity, including taro genetic collections and participation in the taxonomic initiative.

Vanuatu has participated in the South Pacific Regional Initiative for Forest Genetic Resources (Phase 1 1006 to 2000; Phase 2 2001 to 2006)a collaborative venture between the Forestry Departments of Vanuatu, Fiji, Tonga, Samoa and Solomon Islands and the Australian Government, developed with inputs from the FAO Panel of Experts on Forest Gene Resources. The SPRIG Programme aims to strengthen the capacity of the participating South Pacific Countries in the areas of collection, assessment, improvement and conservation of priority forest genetic resources and to help conserve and better utilise the genetic resources of priority regionally important, high value forest tree species.

The University of the South Pacific has also contributed in co-ordinating regional opportunities to conserve biodiversity, including involving incountry specialists in creation of a regional herbarium, and human capacity building and training.

to	national	implementation	ο£	the	Convention:

Please use this box to provide any further comments on matters related

The wording of these questions is based on the Articles of the Convention and the decisions of the Conference of the Parties. Please provide information on any difficulties that you have encountered in interpreting the wording of these questions

This report is extremely comprehensive in addressing the articles of the Convention and decisions of the Convention of Parties. The process of report preparation has proved valuable in forcing more in-depth consideration of the provisions of the convention, and the limitations of our national responses so far. It demonstrates the limited capacity of a country such as Vanuatu to meet the obligations it has assumed in becoming signatory to the Convention, and the importance on international support to enable Vanuatu to build the capacity .

However at the same time its preparation has been difficult.

- There have been delays in preparation of this report due to the complexity and detail requested.
- The format of the report presented significant challenges to fostering wide participation in the reports' preparation, leading to indirect discussion of many issues in the context of working groups assisting the National Biodiversity Advisory Committee.
- It has been difficult to get agencies and responsible authorities to systematically review the report because of its length and lack of specific focus.

# If your country has completed its national biodiversity strategy and action plan (NBSAP), please give the following information:

Date of completion:	June 2000
If the NBSAP has been adopted by the	Government
By which authority?	Endorsed by Council of Ministers
On what date?	
If the NBSAP has been published please give	
Title:	Dec, 2000
Name and address of publisher:	Evironment Unit,
	PMB 9063,
	Port Vila, VANUATU.
ISBN:	none
Price (if applicable):	1,500VT
Other information on ordering:	Available on enquiry. International orders would need to pay postage costs.
If the NBSAP has not been published	
Please give full details of how copies can be obtained:	Written or personal enquiry to the Environment Unit, PMB 9063, Port Vila.
If the NBSAP has been posted on a national website	
Please give full URL:	no
If the NBSAP has been lodged with an Implementing Agency of the GEF	
Please indicate which agency:	UNEP
Has a copy of the NBSAP been lodged	with the Convention Secretariat?
Yes X	No

Please provide similar details if you have completed a Biodiversity Country Study or another report or action plan relevant to the objectives of this Convention	
Please provide details of any national body (e.g. national audit office) that has or will review the implementation of the Convention in your country	
office) that has or will review the implementation of the Convention in	
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