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INFORMAL ADVISORY COMMITTEE
ON CAPACITY-BUILDING FOR THE
IMPLEMENTATION OF THE
NAGOYA PROTOCOL

Third meeting

Montreal, Canada, 20-22 March 2018

Item 4 of the provisional agenda*

**ELEMENTS FOR THE EVALUATION OF THE STRATEGIC FRAMEWORK FOR
CAPACITY-BUILDING AND DEVELOPMENT TO SUPPORT THE EFFECTIVE
IMPLEMENTATION OF THE NAGOYA PROTOCOL ON ACCESS AND BENEFIT-
SHARING**

Note by the Executive Secretary

INTRODUCTION

1. At its first meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted a strategic framework for capacity-building and development to support the implementation of the Nagoya Protocol ([decision NP-1/8](#), annex I) and established an informal advisory committee on capacity-building to provide advice to the Executive Secretary on matters of relevance to the assessment of the effectiveness of the strategic framework (decision NP-1/8, para. 2).
2. In paragraph 10(f) of the same decision, the Executive Secretary was requested to prepare an evaluation of the strategic framework in 2019 and submit the evaluation report for consideration by the meeting of the Parties to the Nagoya Protocol in 2020 to facilitate the review and possible revision of the strategic framework in conjunction with the review of the Strategic Plan for Biodiversity 2011-2020.
3. Furthermore, at its second meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol requested the Executive Secretary, in consultation with the Informal Advisory Committee, to prepare during the intersessional period elements for the evaluation of the strategic framework, in accordance with decision NP-1/8, paragraph 10(f), for consideration by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its third meeting ([decision NP-2/8](#), para. 10).
4. In addition, at its thirteenth meeting ([decision XIII/23](#)), the Conference of the Parties requested the Executive Secretary to initiate the process for preparing a long-term strategic framework for capacity-building beyond 2020, ensuring its alignment with the follow-up to the Strategic Plan for Biodiversity 2011-2020 and the work of the Protocols, and ensuring its coordination with the time table for the development of this framework, with a view to the timely identification of the priority capacity-building actions, for consideration by the Subsidiary Body on Implementation at its third meeting and for subsequent consideration by the Conference of the Parties at its fifteenth meeting.
5. The present document provides suggestions on elements for consideration and advice by the Informal Advisory Committee regarding the evaluation of the strategic framework for capacity-building and development to support the implementation of the Nagoya Protocol.

* [CBD/ABS/CB-IAC/2018/1/1](#).

PROPOSAL OF KEY ELEMENTS FOR THE EVALUATION

6. In paragraph 10 of decision NP-2/8, the Conference of the Parties serving as the meeting of the Parties to the Protocol essentially called for the elaboration of draft terms of reference to guide the strategic framework evaluation exercise. In response to this request, the following elements are proposed for the evaluation. They cover the objectives, scope, the methodology and the sources of information to be used in the evaluation.

A. Scope and objectives of the evaluation

7. It is proposed that the exercise focus on evaluating the relevance and effectiveness of the strategic framework in guiding the capacity-building and development efforts during the short and medium term (2014–2020) and offering recommendations that could be useful for the possible revision of the strategic framework beyond 2020. In other words, the main focus of the evaluation will be to assess the contribution of the Strategic Framework in helping foster a strategic, coherent and coordinated approach to capacity-building and development for the effective implementation of the Nagoya Protocol.

8. Specifically, the objectives of the evaluation will be threefold:

(a) To take stock and review progress made with the implementation of the strategic framework, including the main achievements, limitations and lessons learned since its adoption in 2014;

(b) To review the relevance and effectiveness of the strategic framework in guiding and facilitating capacity-building efforts while fostering coordination and cooperation in the short and medium term;

(c) To propose options for enhanced implementation of capacity-building and make recommendations that could be taken into consideration for the preparation of the long-term strategic framework for capacity-building and development beyond 2020.

B. Methodology and sources of information

9. The Secretariat will be responsible for carrying out the evaluation in 2019 with inputs from Parties, international organizations and other relevant stakeholders. Three main data collection methods will be used: (a) documentation review; (b) an online survey; and (c) interviews with key organizations working on capacity-building and development.

10. To guide the review, the following set of questions and subquestions is proposed:

(a) What progress has been made in the implementation of the strategic framework?

(i) What have been the main achievements relating to the implementation of the strategic framework?

(ii) What progress has been made in strengthening capacities in the five key areas¹ identified by the strategic framework?

(iii) Are there any gaps (thematic and/or geographic) in the implementation of the strategic framework?

(iv) What have been the main challenges/obstacles?

(v) What have been the most successful approaches and lessons arising from the capacity-building initiatives?

(b) To what extent has the strategic framework been effective in fostering a systematic, coherent and coordinated approach to capacity-building and development?

(i) To what extent is the framework being used as a reference to guide the policies and actions of Parties, organizations and donors in relation to capacity-building for ABS?

¹ See [decision NP-1/8](#), annex I, para. 19.

- (ii) How have the mechanisms for coordination supported the implementation of the strategic framework?
 - (iii) To what extent are Parties and relevant organizations cooperating on capacity-development?
- (c) In what way has the strategic framework been effective in guiding and facilitating capacity-building activities?
- (i) How has the strategic framework been used to guide capacity-building activities at the national, regional and international levels?
 - (ii) Was the strategic framework used to guide resource mobilization by Parties and has it influenced donor financing?
 - (iii) To what extent was the strategic framework taken into account by eligible countries in prioritizing their requests to the Global Environment Facility for supporting ABS capacity-building projects?
- (d) Are the elements of the strategic framework (i.e key areas, objectives, proposed activities) still relevant?
- (i) To what extent are the objectives of the strategic framework still valid?
 - (ii) Are the key areas and indicative activities for capacity-building² still consistent with the overall strategic goals, objectives and desired changes in capacity-building and development to support the implementation of the Nagoya Protocol?
- (e) Recommendations for the preparation of the long-term strategic framework for capacity-building and development beyond 2020:
- (i) Which are the priority capacity-building actions related to ABS that should be included in the long-term strategic framework for capacity-building and development beyond 2020?
 - (ii) What key recommendations can you make for enhancing capacity-building and development on ABS beyond 2020?

11. Information for the review will be drawn from various sources including the following:

- (a) National reports (interim-national reports);
- (b) Information published in the ABS Clearing-House;
- (c) Stock-taking reports prepared by the Secretariat;
- (d) Information shared by members during the meetings of the Informal Advisory Committee;
- (e) Reports and submissions from the Global Environment Facility and its implementing agencies, as well as from other key organizations involved in capacity-building (e.g. ABS Capacity Development Initiative);
- (f) Information gathered in an online survey and targeted interviews with key stakeholders.

C. Expected output and outcomes

12. The strategic framework is a flexible and living document. From its inception, it was intended to be used, adapted and updated on the basis of emerging experiences and lessons. As per paragraph 44 of the strategic framework, the main output of the review will be a report to be used by the Conference of the Parties serving as the meeting of the Parties to the Protocol to review, and revise as appropriate, the strategic framework in conjunction with the consideration and adoption of a post-2020 global biodiversity framework.

² See decision NP-1/8, appendix II of the strategic framework.

13. Committee members will be invited to provide inputs and make suggestions on the proposed elements including the objectives, methodology and sources of information that will be used for the evaluation of the strategic framework.

D. Renewal of the mandate of the Informal Advisory Committee

14. At its first meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to establish an informal advisory committee to provide advice to the Executive Secretary, until the third meeting of the Parties, on matters related to the assessment of the effectiveness of the strategic framework in accordance with the terms of reference contained in decision NP-1/8, annex II.

15. At its second meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided that the Informal Advisory Committee would hold at least one meeting and online consultations as needed, to complete its mandate and report on the outcomes of its work to the Conference of the Parties serving as the meeting of the Parties to the Protocol at its third meeting.

16. Given that the evaluation on which the Informal Advisory Committee has been called upon to provide advice is scheduled to take place in 2019 and the results will be reported to the Parties to the Nagoya Protocol at their fourth meeting, the Committee will be invited to discuss whether its mandate should be renewed in the next biennium in order to see the exercise through to its end and continue supporting the implementation of the strategic framework for capacity-building and development.
