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SUBSIDIARY BODY ON IMPLEMENTATION

Third meeting

Quebec City (to be confirmed), Canada, 9-14 November 2020

Item 2 of the provisional agenda[[1]](#footnote-2)\*

Revised annotated provisional agenda

INTRODUCTION

1. The Conference of the Parties at its twelfth meeting established the Subsidiary Body on Implementation (decision [XII/26](https://www.cbd.int/doc/decisions/cop-12/cop-12-dec-26-en.pdf), para. 1) and adopted its terms of reference (decision XII/26, annex). It decided that the rules of procedure for meetings of the Conference of the Parties would apply, mutatis mutandis, to the meetings of the Subsidiary Body, with the exception of rule 18 (credentials of representatives), which would not apply (decision XII/26, para. 2(b)).
2. At its thirteenth meeting, the Conference of the Parties adopted the modus operandi of the Subsidiary Body on Implementation provided in the annex to decision XIII/25. The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety at its eighth meeting endorsed the modus operandi of the Subsidiary Body and decided that it should apply, mutatis mutandis, when the Subsidiary Body serves the Cartagena Protocol (decision [CP-VIII/9](https://www.cbd.int/doc/decisions/mop-08/mop-08-dec-09-en.pdf)). Similarly, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access and Benefit-sharing at its second meeting endorsed the modus operandi of the Subsidiary Body and decided that it should apply, mutatis mutandis, when the Subsidiary Body serves the Nagoya Protocol (decision [NP-2/11](https://www.cbd.int/doc/decisions/np-mop-02/np-mop-02-dec-11-en.pdf)).
3. The Conference of the Parties recognized the benefits of integrated approaches to the review and support of the implementation of the Convention and its Protocols and requested the Subsidiary Body to undertake any tasks that fall within the scope of its terms of reference as referred to it by the Conference of the Parties or the Conference of the Parties serving as the meetings of the Parties to the respective Protocols, and to report on its work to these bodies. When the Subsidiary Body serves one of the Protocols to the Convention, decisions under the Protocol shall be taken only by the Parties to the Protocol.
4. In accordance with the modus operandi of the Subsidiary Body (decision [XIII/25](https://www.cbd.int/doc/decisions/cop-13/cop-13-dec-25-en.pdf), annex), the Chairperson of the Subsidiary Body on Implementation is to be elected by the Conference of the Parties to ensure active participation in the preparatory process as well as facilitating the meeting. The Chair elected to preside over the third meeting of the Subsidiary Body is Ms. Charlotta Sörqvist (Sweden). In line with decision XII/26, paragraph 2(a), the Bureau of the Conference of the Parties will serve as the Bureau of the Subsidiary Body.
5. The third meeting of the Subsidiary Body on Implementation will be held from 9 to 14 November 2020. The Secretariat will issue an information note containing details of registration and other logistical arrangements for the meeting, including information on travel, visa requirements, accommodation and other matters. These annotations to the provisional agenda have been prepared and issued to facilitate preparations for the meeting by Parties and observers.

**Item 1. Opening of the meeting**

1. The Chair will open the meeting at 10 a.m. on Monday, 9 November 2020. A statement will be made by the Executive Secretary.

**Item 2. Adoption of the agenda and organization of work**

1. The provisional agenda for the third meeting of the Subsidiary Body was prepared by the Executive Secretary in consultation with the Bureau pursuant to paragraphs 8 and 9 of the rules of procedure for the meetings of the Conference of Parties, taking into account the terms of reference of the Subsidiary Body and the specific requests made of it by the Conference of the Parties at its fourteenth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety at its ninth meeting and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits arising from their Utilization to the Convention on Biological Diversity at its third meeting.
2. The Subsidiary Body will be invited to consider the provisional agenda for adoption (CBD/SBI/3/1).
3. The Subsidiary Body will be invited to adopt the proposed organization of work in annex I below.
4. A list of pre-session documents for the meeting is provided in annex II.

**Item 3. Review of progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020**

1. In decision [X/2](https://www.cbd.int/doc/decisions/cop-10/cop-10-dec-02-en.pdf), the Conference of the Parties urged Parties to review, and as appropriate, update and revise, their national biodiversity strategies and action plans, in line with the [Strategic Plan for Biodiversity 2011-2020](https://www.cbd.int/sp/) and the guidance adopted in decision [IX/8](https://www.cbd.int/doc/decisions/cop-09/cop-09-dec-08-en.pdf), integrating their national targets developed within the framework of the Strategic Plan and its Aichi Biodiversity Targets. In decision XII/26, the Conference of the Parties, recalling paragraph 14 of decision X/2, requested that, for the period up to 2020, the Subsidiary Body support the Conference of the Parties in reviewing progress in the implementation of the Strategic Plan for Biodiversity 2011-2020 and achievement of the Aichi Biodiversity Targets, taking into account also the [multi-year programme of work of the Conference of the Parties up to 2020](https://www.cbd.int/doc/decisions/cop-12/cop-12-dec-31-en.pdf).
2. Following the review of progress in implementation by the Subsidiary Body at its first and second meetings, the Conference of the Parties, in decision [14/1](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-01-en.pdf), requested the Executive Secretary to continue to update the analysis of progress towards the implementation of the Strategic Plan for Biodiversity 2011‑2020 on the basis of information contained in the sixth national reports.
3. Under this agenda item, the Subsidiary Body will have before it the analysis of progress prepared by the Executive Secretary (CBD/SBI/3/2). Additional documents will be prepared describing the status and providing an analysis of the revised national biodiversity strategies and action plans and providing an analysis of the contribution of national targets established by Parties, progress towards the achievement of the Aichi Biodiversity Targets, progress in gender mainstreaming and the implementation of the 2015-2020 Gender Plan of Action, and the requested information relating to the implementation of Article 8(j) and related provisions.
4. The Subsidiary Body is expected to review progress in implementation on the basis of the above-mentioned information and make recommendations to the Conference of the Parties for consideration at its fifteenth meeting. The Subsidiary Body will also take into consideration the fifth edition of the *Global Biodiversity Outlook*, further to decision [14/35](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-35-en.pdf).

# Item 4. Assessment and review of the effectiveness of the Cartagena Protocol on Biosafety

1. In its decision [CP-9/6](https://www.cbd.int/doc/decisions/cp-mop-09/cp-mop-09-dec-06-en.pdf), the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol decided that the fourth assessment and review of the Cartagena Protocol would be combined with the final evaluation of the [Strategic Plan for the Cartagena Protocol](https://bch.cbd.int/protocol/issues/cpb_stplan.shtml) for the period 2011-2020 and established a process for these purposes, including review by the Subsidiary Body on Implementation. The Subsidiary Body will have before it a summary of the analysis and synthesis of information prepared by the Executive Secretary, including information from the fourth national reports and the Biosafety Clearing-House as well as the conclusions reached by the Liaison Group and the Compliance Committee on the matter (CBD/SBI/3/3). The full analysis of information for the assessment and review of the effectiveness of the Cartagena Protocol on Biosafety and final evaluation of the Strategic Plan for the Cartagena Protocol for the period 2011-2020 will be issued as CBD/SBI/3/3/Add.1.
2. The Subsidiary Body will be invited to review this information and submit recommendations to the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol for consideration at its tenth meeting.

# Item 5. Post-2020 global biodiversity framework

1. At its fourteenth meeting, the Conference of the Parties adopted a comprehensive decision on the preparation of the [post-2020 global biodiversity framework](https://www.cbd.int/conferences/post2020) (decision [14/34](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-34-en.pdf)). By this decision, the Conference of the Parties established an open-ended intersessional working group to support the preparation of the post-2020 global biodiversity framework. The Subsidiary Body on Implementation was requested to contribute, at its third meeting, to the development of the post-2020 global biodiversity framework, as prepared by the Open-ended Working Group, and to complement it with elements related to means to support and review implementation, including matters related to the Cartagena Protocol, the Nagoya Protocol and the Working Group on Article 8(j) and Related Provisions.
2. In decision [CP-9/7](https://www.cbd.int/doc/decisions/cp-mop-09/cp-mop-09-dec-07-en.pdf), the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety stressed that biosafety should be reflected in the post-2020 global biodiversity framework and set out steps towards the preparation of the biosafety component of the post-2020 framework.
3. In decision [NP-3/15](https://www.cbd.int/doc/decisions/np-mop-03/np-mop-03-dec-15-en.pdf), the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol welcome decision 14/34 of the Conference of the Parties and invited Parties to the Protocol to participate in the process for developing the post-2020 global biodiversity framework.
4. In decision [14/17](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-17-en.pdf), the Conference of the Parties requested the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions to develop, at its eleventh meeting, proposals for possible future work as well as institutional arrangements and their modus operandi. In other decisions, such as those on resource mobilization, the financial mechanism and capacity-building, the Conference of the Parties determined a process for issues relating to the post-2020 global biodiversity framework.
5. At its first meeting, the Open-ended Working Group on the Post-2020 Global Biodiversity Framework invited the Subsidiary Body on Implementation to bring to its attention any additional recommendations relevant to the post-2020 global biodiversity framework (see [CBD/WG2020/1/5](https://www.cbd.int/doc/c/0128/62b1/e4ded7710fead87860fed08d/wg2020-01-05-en.pdf), sect. I).
6. The Open-ended Working Group on the Post-2020 Global Biodiversity Framework at its second meeting invited the Subsidiary Body on Implementation at its third meeting, “in line with paragraph 18 of decision 14/34, to provide elements to the development of the post-2020 global biodiversity framework, in particular with regard to means to support and review implementation, including implementation support mechanisms, enabling conditions, responsibility and transparency and outreach and awareness, taking into account the report [of the meeting] and draft One of the global biodiversity framework. ”;
7. Items 6, 7, 8, 9 and 11 of the provisional agenda also cover work related to this process. Under the current item, the Subsidiary Body on Implementation will also consider elements of the post-2020 global biodiversity framework related to biosafety, access and benefit‑sharing and Article 8(j) and related provisions in line with the decisions referred to in paragraphs18, 19 and 20 above, as well as any tasks on the overall post-2020 global biodiversity framework allocated to it by the Open-ended Working Group on the Post-2020 Global Biodiversity Framework at its second meeting. It will also address proposals for the date, venue and periodicity of upcoming meetings of the Conference of the Parties and of the Conference of the Parties serving as the meetings of the Parties to the Protocols.
8. To assist the Subsidiary Body in these tasks, the Executive Secretary will issue document CBD/SBI/3/4, which will be supported by addendums and information notes as may be deemed necessary. This document will provide the Co-Chairs of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework and the Executive Secretary with elements to consider when preparing the first draft of the global biodiversity framework, as requested by the Working Group at its second meeting. The document will be issued six weeks prior to the third meeting of the Working Group.
9. In decision [CP-9/7](https://www.cbd.int/doc/decisions/cp-mop-09/cp-mop-09-dec-07-en.pdf), the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety agreed on a process for developing a specific implementation plan for the Cartagena Protocol. Furthermore, in decision [CP-9/3](https://www.cbd.int/doc/decisions/cp-mop-09/cp-mop-09-dec-03-en.pdf), the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol acknowledged the need for a specific action plan for capacity-building for implementation of the Cartagena Protocol and its Supplementary Protocol that is aligned with the implementation plan and complementary to the long-term strategic framework for capacity-building beyond 2020. The decisions set out processes for developing the two plans, including consideration by the Subsidiary Body on Implementation at its third meeting.
10. To assist it in this task, the Subsidiary Body on Implementation will have before it the text of the draft plans as well as an overview of the consultative process by which they were developed (CBD/SBI/3/18). The Plans are presented alongside each other to facilitate their alignment and complementarity and to avoid duplication.
11. Pursuant to decisions CP-9/7 and CP-9/3, the Subsidiary Body at its third meeting is expected to consider the draft implementation plan for the Cartagena Protocol and capacity-building action plan (2021-2030) and make a recommendation to the Parties to the Cartagena Protocol at their tenth meeting. Only Parties to the Cartagena Protocol will participate in decision-making on this item during the meeting of the Subsidiary Body.

# Item 6. Resource mobilization and Financial Mechanism

**A. Resource mobilization**

1. In decision [14/22](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-22-en.pdf), the Conference of the Parties affirmed that resource mobilization would be an integral part of the post-2020 global biodiversity framework and decided to initiate preparations on this component at an early stage in the process of developing the framework, in full coherence and coordination with the overall process for the post-2020 framework. As part of the process for considering this issue, the Executive Secretary was requested to contract a panel of experts to prepare reports on several issues related to the development of the resource mobilization component (decision [IX/11](https://www.cbd.int/doc/decisions/cop-09/cop-09-dec-11-en.pdf), annex) to help inform the work of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework and the Conference of the Parties.
2. The Subsidiary Body on Implementation may wish to review the outputs of this process as provided in document CBD/SBI/3/5 and the associated addendums and information notes and to develop its recommendation, based on the contribution of the panel of experts, for consideration by the Open-end Working Group on the Post-2020 Global Biodiversity Framework at its third meeting and the Conference of the Parties at its fifteenth meeting.

**B. Financial mechanism**

1. In accordance with decision [14/23](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-23-en.pdf), the Subsidiary Body at its third meeting is to prepare proposals for a four-year outcome-oriented framework of programme priorities for the eighth replenishment period (July 2022-June 2026) of the Trust Fund of the Global Environment Facility for the Convention and its Protocols, aligned with the draft post-2020 global biodiversity framework. The Subsidiary Body will also consider a compilation of estimated funding and investment needs submitted by relevant Parties to inform the third determination of funding requirements in anticipation of the eighth replenishment of the Trust Fund (CBD/SBI/3/6), including a document to be issued by the team of experts on the full assessment of funding necessary and available for the implementation of the Convention for the period July 2022 to June 2026. In addition, the Subsidiary Body will review the terms of reference for the sixth review of the effectiveness of the financial mechanism prepared by the Executive Secretary for consideration by the Conference of the Parties at its sixteenth meeting.
2. A preliminary draft report of the Council of the Global Environment Facility will also be issued for the consideration of the Subsidiary Body (CBD/SBI/3/6/Add.1).
3. The Subsidiary Body is expected to make recommendations on these matters to the Conference of the Parties and to the Conference of the Parties serving as the meetings of the Parties to the Cartagena and Nagoya protocols.

# Item 7. Capacity-building, technical and scientific cooperation, technology transfer, knowledge management and communication

**A. Capacity-building, technical and scientific cooperation and technology transfer**

1. In decision [14/24](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-24-en.pdf), the Conference of the Parties addressed issues relating to capacity-building, technical and scientific cooperation and technology transfer. The Executive Secretary was requested to initiate the process for preparing a draft long-term strategic framework for capacity‑building beyond 2020 aligned with the draft post-2020 global biodiversity framework and the [2030 Agenda for Sustainable Development](https://sustainabledevelopment.un.org/post2015/transformingourworld) for consideration by the Subsidiary Body at its third meeting and subsequently by the Conference of the Parties at its fifteenth meeting.
2. Additionally, the Executive Secretary was requested, in collaboration with partners, to further promote and facilitate technical and scientific cooperation, and to prepare proposals for an inclusive process to review and renew technical and scientific cooperation programmes and submit the proposals for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice and the Subsidiary Body on Implementation at their meetings prior to the fifteenth meeting of the Conference of the Parties. In its recommendation [23/6](https://www.cbd.int/doc/recommendations/sbstta-23/sbstta-23-rec-06-en.pdf), the Subsidiary Body took note of the draft proposals and requested the Executive Secretary to further develop them taking into account views and suggestions from Parties, other Governments and relevant organizations and provide a compilation of relevant institutional arrangements and networks for technical and scientific cooperation relating to different thematic topics.
3. In decision [CP-9/3](https://www.cbd.int/doc/decisions/cp-mop-09/cp-mop-09-dec-03-en.pdf), the Parties to the Cartagena Protocol acknowledged the need for a specific action plan for capacity-building for implementation of the Cartagena Protocol and its Supplementary Protocol that is aligned with the post-2020 implementation plan for the Protocol and complementary to the long-term strategic framework for capacity-building beyond 2020. As indicated in paragraphs 26 to 28 above, the draft capacity-building action plan for the Protocol will be discussed along with the draft implementation plan under item 5 but will also be taken into account during consideration of the long-term strategic framework for capacity-building.
4. In decision [NP-3/5 B](https://www.cbd.int/doc/decisions/np-mop-03/np-mop-03-dec-05-en.pdf), the Parties to the Nagoya Protocol welcomed the process for the preparation of a long-term strategic framework for capacity-building beyond 2020 and requested Parties to the Protocol and the Informal Advisory Committee on Capacity-building for the implementation of the Nagoya Protocol to contribute to the process. They also requested the Executive Secretary to submit the draft long-term strategic framework for consideration by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its fourth meeting.
5. In the light of the above, the Subsidiary Body will have for its consideration a note by the Executive Secretary addressing issues relating to capacity-building, technical and scientific cooperation and technology transfer, including elements of possible recommendations to the Conference of the Parties (CBD/SBI/3/7), a draft long-term strategic framework for capacity-building beyond 2020 (CBD/SBI/3/7/Add.1), proposals to strengthen technical and scientific cooperation and for an inclusive process to review and renew technical and scientific cooperation programmes (CBD/SBI/3/7/Add.2), as well as an initial compilation of relevant institutional arrangements and networks for technical and scientific cooperation relating to different thematic topics (CBD/SBI/3/7/Add.3).
6. In decision [NP-3/5](https://www.cbd.int/doc/decisions/np-mop-03/np-mop-03-dec-05-en.pdf), the Parties to the Nagoya Protocol requested the Executive Secretary to prepare an evaluation of the strategic framework for capacity-building and development to support the effective implementation of the Protocol in accordance with decision [NP-1/8](https://www.cbd.int/doc/decisions/np-mop-01/np-mop-01-dec-09-en.pdf), and to submit the evaluation report for the consideration of the Subsidiary Body at its third meeting with a view to ensuring an effective approach to capacity-building under the Nagoya Protocol that is consistent with the post-2020 global biodiversity framework.
7. Accordingly, the Subsidiary Body will consider the evaluation of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol on Access and Benefit-sharing and make recommendations to the fourth meeting of the Parties to the Protocol. To assist the Subsidiary Body in these tasks, the Executive Secretary will issue document CBD/SBI/3/16.

**B. Knowledge management and the clearing-house mechanism**

1. In decision [14/25](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-25-en.pdf), paragraph 4, the Conference of the Parties requested the Executive Secretary, subject to the availability of resources, to implement various activities related to knowledge management under the Convention and its Protocols and the clearing house mechanism, and to submit a progress report to the Subsidiary Body on Implementation for consideration at its third meeting. The activities include the development of a knowledge management component as a part of the preparatory process for the post-2020 global biodiversity framework further support for the implementation of the work programme for the clearing‑house mechanism, implementation of the web strategy for the Convention and its Protocols, promotion of communities of practice and knowledge networks and collaboration with partners on relevant knowledge management initiatives and tools.
2. The Subsidiary Body is to consider a report on the progress with, and the outcomes from, implementation of the above-mentioned requests, including the draft elements of the knowledge management component of the post‑2020 global biodiversity framework and a progress report on the implementation of the work programme for the clearing-house mechanism and the web strategy, the ongoing support for national clearing-house mechanisms, including progress in the use of the Bioland tool and its effectiveness, and the testing of the Data and Reporting Tool (CBD/SBI/3/8).

**C. Communication**

1. In decision [14/26](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-26-en.pdf), the Executive Secretary was requested, subject to the availability of funding, to continue the implementation of the framework for a global communications strategy and to develop, in collaboration with the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services, relevant multilateral environmental agreements, and other relevant organizations, such as the International Union for Conservation of Nature, themes and background material around which Parties, other Governments, relevant organizations and indigenous peoples and local communities can organize communication and public awareness campaigns on the current state of biodiversity and the preparation of the post-2020 global biodiversity framework.
2. The Executive Secretary was also requested to submit a progress report on the above-mentioned activities and the implementation of decision [XIII/22](https://www.cbd.int/doc/decisions/cop-13/cop-13-dec-22-en.pdf) to the Subsidiary Body on Implementation for consideration at its third meeting (CBD/SBI/3/9) and for subsequent consideration by the Conference of the Parties at its fifteenth meeting. In addition, under agenda item 5, a communications strategy for the post‑2020 global biodiversity framework will be shared as document CBD/SBI/3/4/Add.1.

# Item 8. Cooperation with other conventions, international organizations and initiatives

1. In decision [14/30](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-30-en.pdf), the Conference of the Parties considered ongoing efforts to address cooperation with other conventions, international organizations and initiatives, including efforts to enhance synergies among conventions.
2. The Executive Secretary was requested, subject to the availability of resources, to continue to support the work of the informal advisory group on synergies and to organize a workshop to facilitate discussions among Parties of the various biodiversity-related conventions, with a view to enhancing their participation in the design of the post-2020 global biodiversity framework.
3. The Subsidiary Body is to consider an update on cooperation (CBD/SBI/3/10), including a progress report of the informal advisory group, as well as reports of consultation workshops with biodiversity-related and Rio conventions on the post-2020 global biodiversity framework.

# Item 9. Mechanisms for reporting, assessment and review

1. In decision [14/29](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-29-en.pdf), the Conference of the Parties considered review mechanisms for the Convention.
2. The Executive Secretary was requested to prepare for and organize the testing of a Party-led review process, including through an open-ended forum to be held at the third meeting of the Subsidiary Body on Implementation, and to develop guidance for the voluntary delivery of review reports at this forum. Additionally, the Executive Secretary was requested to develop options for enhancing review mechanisms with a view to strengthening implementation, and to report on possible modalities for applying approaches to enhancing the review of implementation as a part of the process for developing the post-2020 global biodiversity framework.
3. Further, in decision 14/34, paragraph 18, the Conference of the Parties requested the Subsidiary Body on Implementation at its third meeting to complement the post-2020 global biodiversity framework with elements related to means to support and review implementation.
4. In decision [14/27](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-27-en.pdf), the Conference of the Parties requested the Executive Secretary to provide updates on work related to national reporting, in particular in response to requests contained in paragraphs 3(b), (e) and (h).
5. At its third meeting, the Subsidiary Body will conduct a trial of an open-ended forum (a party-led review process) as a part of the organization of its work.
6. The Subsidiary Body is also expected to consider options for enhancing mechanisms reporting, assessment and review, as well as related issues of national planning, with a view to strengthening the implementation of the Convention.
7. To assist the Subsidiary Body in undertaking these tasks, the Executive Secretary will issue documents CBD/SBI/3/11 and Add.1 and 2, which include outputs from the Thematic Consultation on Transparent Implementation, Monitoring, Reporting and Review, held in Rome in February 2020, among others.

# Item 10. Review of the effectiveness of processes under the Convention and its protocols

1. According to the multi-year programme of work of the Conference of the Parties adopted through decision [XII/31](https://www.cbd.int/doc/decisions/cop-12/cop-12-dec-31-en.pdf), the Conference of the Parties at its fifteenth meeting will consider, among other things, a review of the effectiveness of processes under the Convention and its protocols.
2. At its twelfth meeting, the Conference of the Parties decided to hold its future ordinary meetings within a two-week period that would include the meetings of the Parties to the Cartagena and Nagoya Protocols. It also decided to review, at its fourteenth and fifteenth meetings, the experience with the holding of concurrent meetings (see decision [XII/27](https://www.cbd.int/doc/decisions/cop-12/cop-12-dec-27-en.pdf)). The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol similarly decided to undertake this review at its third and fourth meetings (see decision [NP‑1/12](https://www.cbd.int/doc/decisions/np-mop-01/np-mop-01-dec-12-en.pdf)). The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol had also adopted a similar decision to complete such a review at its tenth meeting (see decision BS‑[VII/9](http://bch.cbd.int/protocol/decisions/?decisionID=13356) A, para. 5).
3. On the basis of recommendations from the Subsidiary Body on Implementation, the Conference of the Parties at its fourteenth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its ninth meeting, and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its third meeting reviewed the experience in holding meetings of the Conference of the Parties with meetings of the Parties to the Cartagena and Nagoya Protocols concurrently. This review used the criteria determined in decisions [XIII/26](https://www.cbd.int/doc/decisions/cop-13/cop-13-dec-26-en.pdf), [CP-VIII/10](http://bch.cbd.int/protocol/decisions/?decisionID=13540), and [NP-2/12](https://www.cbd.int/doc/decisions/np-mop-02/np-mop-02-dec-12-en.pdf), and requested the Executive Secretary to further develop the preliminary review, on the basis of the experience gained from the concurrent meetings of the fourteenth meeting of the Conference of the Parties, the ninth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, and the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, for consideration by the Subsidiary Body on Implementation at its third meeting. In the light of the above, the Subsidiary Body is expected to review information on the experience in holding concurrent meetings (see CBD/SBI/3/12) and make recommendations for the consideration of the Conference of the Parties at its fifteenth meeting and, as appropriate, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its tenth meeting, and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its fourth meeting.

# Item 11. Mainstreaming of biodiversity within and across sectors and other strategic actions to enhance implementation

1. In decision [XIII/3](https://www.cbd.int/doc/decisions/cop-13/cop-13-dec-03-en.pdf), the Conference of the Parties considered strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, including with respect to mainstreaming and the integration of biodiversity within and across sectors and, in particular, issues related to agriculture, forests, fisheries and aquaculture, and tourism. In decision [14/3](https://www.cbd.int/doc/decisions/cop-14/cop-14-dec-03-en.pdf), the Conference of the Parties considered the mainstreaming of biodiversity into the sectors of energy and mining, infrastructure, manufacturing and processing, and health.
2. In decision 14/3, the Executive Secretary was requested to establish an Informal Advisory Group on Mainstreaming of Biodiversity to advise on further development of the proposal for a long-term approach to mainstreaming biodiversity, including on ways to integrate mainstreaming adequately into the post-2020 global biodiversity framework. Further, the Open-ended Working Group on the Post-2020 Global Biodiversity Framework at its first meeting invited the Informal Advisory Group to include in its report to the Subsidiary Body on Implementation concrete proposals.
3. The Subsidiary Body may wish to consider the progress report on the work of the Informal Advisory Group at its outputs as provided in CBD/SBI/3/13 and the accompanying addendums and information notes, and to develop its recommendation, based on the advice and outputs of the Informal Advisory Group and, taking into account outcomes of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework related to mainstreaming, for consideration by the Open-ended Working Group on the Post‑2020 Global Biodiversity Framework at its third meeting and the Conference of the Parties at its fifteenth meeting.

# Item 12. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol

1. In decision [NP-3/14](https://www.cbd.int/doc/decisions/np-mop-03/np-mop-03-dec-14-en.pdf), the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took note of a study[[2]](#footnote-3) on and potential criteria for specialized international ABS instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol and agreed to reconsider these potential criteria at its fourth meeting.
2. The Subsidiary Body is to consider a synthesis of information provided by Parties and other Governments on how specialized international ABS instruments are addressed in their domestic measures and views on the potential criteria contained in the study as well as developments in relevant international forums (CBD/SBI/3/14), and to make a recommendation to the fourth meeting of the Parties to the Nagoya Protocol.

# Item 13. Global multilateral benefit-sharing mechanism (Article 10 of the Nagoya Protocol)

1. In decision [NP-3/13](https://www.cbd.int/doc/decisions/np-mop-03/np-mop-03-dec-13-en.pdf), the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol reviewed information related to a global multilateral benefit-sharing mechanism and considered that more information on specific cases of genetic resources and traditional knowledge associated with genetic resources that occur in transboundary situations or for which it is not possible to grant or obtain prior informed consent accompanied by an explanation as to why such cases cannot be covered under the bilateral approach as well as options for addressing those cases, including through a global multilateral benefit-sharing mechanism, would assist in consideration of Article 10.
2. The Executive Secretary was requested to commission a peer reviewed study to identify specific cases of genetic resources and traditional knowledge associated with genetic resources that occur in transboundary situations or for which it is not possible to grant or obtain prior informed consent, and to synthesize information submitted by Parties and others on specific cases accompanied by an explanation as to why such cases cannot be covered under the bilateral approach set out in the Nagoya Protocol.
3. The Subsidiary Body is to consider the study and synthesis (CBD/SBI/3/15) with a view to identifying specific cases and, if identified, options for addressing these cases, including a possible global multilateral benefit‑sharing mechanism and to make a recommendation to the fourth meeting of the Parties to the Nagoya Protocol.

# Item 14. Administrative and budgetary matters

1. The Executive Secretary will prepare an update on the activities of the Secretariat and status of Trust Funds administered by the Convention and the Protocols (CBD/SBI/3/17) for the consideration of the Subsidiary Body with a view to developing a recommendation for consideration by the Conference of the Parties at its fifteenth meeting.

# Item 15. Other matters

1. Under this item, the Subsidiary Body may address other matters related to the subject matter of the meeting.

# Item 16. Adoption of the report

1. The Subsidiary Body will be invited to consider and adopt the report on the work of its third meeting on the basis of the draft report prepared by the Rapporteur.

# Item 17. Closure of the meeting

1. The meeting is expected to close at 6 p.m. on Saturday, 14 November 2020.

# *Annex I*

**Proposed organization of work**

| **Date** | **10 a.m. – 1 p.m.** | **3 p.m. – 6 p.m.** |
| --- | --- | --- |
| Monday 9 November 2020 | 1. Opening of the meeting 2. Adoption of the agenda and organization of work 3. Review of progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 4. Assessment and review of the effectiveness of the Cartagena Protocol on Biosafety | 1. Post-2020 global biodiversity framework 2. Resource mobilization and the financial mechanism |
| Tuesday 10 November 2020 | 1. Capacity-building, technical and scientific cooperation, technology transfer, knowledge management and communication 2. Cooperation with other conventions, international organizations and initiatives | 1. Review of the effectiveness of the processes under the Convention and its protocols 2. Mainstreaming of biodiversity within and across sectors and other strategic actions to enhance implementation |
| Wednesday 11 November 2020 | 1. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol 2. Global multilateral benefit-sharing mechanism (Article 10 of the Nagoya Protocol) | Open forum on agenda item 9 (Mechanisms for reporting, assessment and review of implementation) |
| Thursday 12 November 2020 | 1. Mechanisms for reporting, assessment and review of implementation   Consideration of conference room papers | Consideration of conference room papers |
| Friday 13 November 2020 | Consideration of conference room papers | Consideration of conference room papers |
| Saturday  14 November 2020 | 15. Other matters  16. Adoption of the report | Item 16 continued, as necessary  17. Closure of the meeting |

*Annex II*

**LIST OF WORKING DOCUMENTS**

| *Symbol* | *Title* | *Agenda item* |
| --- | --- | --- |
| CBD/SBI/3/1 | Provisional agenda | 2 |
| CBD/SBI/3/1/Add.1/Rev.2 | Revised annotated provisional agenda | 2 |
| CBD/SBI/3/2 | Progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and towards the achievement of the Aichi Biodiversity Targets | 3 |
| CBD/SBI/3/2/Add.1 | Update on progress in revising/updating and implementing national biodiversity strategies and action plans, including national targets | 3 |
| CBD/SBI/3/2/Add.2 | Analysis of the contribution of targets established by Parties and progress towards the Aichi Biodiversity Targets | 3 |
| CBD/SBI/3/2/Add.3 | Review of implementation of the 2015-2020 Gender Plan of Action | 3 |
| CBD/SBI/3/2/Add.4 | Progress towards Aichi Biodiversity Target 18 on traditional knowledge and customary sustainable use of biodiversity | 3 |
| CBD/SBI/3/3  CBD/SBI/3/3/Add.1 | Assessment and review of the effectiveness of the Cartagena Protocol on Biosafety  Analysis of information for the assessment and review of the effectiveness of the Cartagena Protocol on Biosafety and final Evaluation of the Strategic Plan for the Cartagena Protocol for the period 2011-2020 | 4  4 |
| CBD/SBI/3/4 | Post-2020 global biodiversity framework | 5 |
| CBD/SBI/3/4/Add.1 | Communications for the post-2020 global biodiversity framework | 5 |
| CBD/SBI/3/5 | Resource mobilization | 6 |
| CBD/SBI/3/5/Add.1 | Evaluation and review of the strategy for resource mobilization and Aichi Biodiversity Target 20. Summary of the first report of the Panel of Experts on Resource Mobilization | 6 |
| CBD/SBI/3/5/Add.2 | Estimation of resources needed for implementing the post-2020 global biodiversity framework. Preliminary second report of the Panel of Experts on Resource Mobilization | 6 |
| CBD/SBI/3/5/Add.3 | Contribution to a draft resource mobilization component of the post-2020 global biodiversity framework. Third report of the Panel of Experts on Resource Mobilization | 6 |
| CBD/SBI/3/6 | Guidance to the financial mechanism | 6 |
| CBD/SBI/3/6/Add.1 | Preliminary report of the Global Environment Facility | 6 |
| CBD/SBI/3/6/Add.2 | Interim report on the assessment of the amount of funds needed for the implementation of the Convention and its Protocols during the eighth replenishment period of the Trust Fund of the Global Environment Facility | 6 |
| CBD/SBI/3/7 | Capacity-building, technical and scientific cooperation, and technology transfer | 7 |
| CBD/SBI/3/7/Add.1 | Draft long-term strategic framework for capacity-building beyond 2020 | 7 |
| CBD/SBI/3/7/Add.2 | Draft proposals to strengthen technical and scientific cooperation in support of the post-2020 global biodiversity framework | 7 |
| CBD/SBI/3/8 | Knowledge management and the clearing-house mechanism | 7 |
| CBD/SBI/3/9 | Communication | 7 |
| CBD/SBI/3/10 | Cooperation with other conventions, international organizations and initiatives | 8 |
| CBD/SBI/3/11 | Mechanisms for review of implementation | 9 |
| CBD/SBI/3/11/Add.1 | National reporting under the Convention and its Protocols | 9 |
| CBD/SBI/3/11/Add.2 | Options to increase synergies in reporting among the biodiversity-related conventions and the Rio Conventions | 9 |
| CBD/SBI/3/12 | Review of the effectives of the process under the Convention and its Protocols | 10 |
| CBD/SBI/3/13 | Mainstreaming of biodiversity within and across sectors and other strategic actions to enhance implementation – Long-term approach to mainstreaming | 11 |
| CBD/SBI/3/13/Add.1 | Mainstreaming of biodiversity within and across sectors and other strategic actions to enhance implementation – Action plan for a long-term approach to mainstreaming | 11 |
| CBD/SBI/3/14 | Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol | 12 |
| CBD/SBI/3/15 | Global multilateral benefit-sharing mechanism (Article 10 of the Nagoya Protocol) | 13 |
| CBD/SBI/3/16 | Evaluation of the strategic framework on capacity-building to support the implementation of the Nagoya Protocol | 7 |
| CBD/SBI/3/17 | Trends in the budgets of the Convention and its Protocols |  |
| CBD/SBI/3/18 | Draft implementation plan for the Cartagena Protocol and capacity-building action plan (2021-2030) | 5 |

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1. \* CBD/SBI/3/1. [↑](#footnote-ref-2)
2. “Study into criteria to identify a specialized international access and benefit-sharing instrument, and a possible process for its recognition” (CBD/SBI/2/INF/17). [↑](#footnote-ref-3)