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## CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY SERVING AS THE MEETING OF THE PARTIES TO THE NAGOYA PROTOCOL ON ACCESS TO GENETIC RESOURCES AND THE FAIR AND EQUITABLE SHARING OF THE BENEFITS ARISING FROM THEIR UTILIZATION

Fourth meeting – Part II

Montreal, Canada, 7 – 19 December 2022

Agenda item 10

### **MONITORING AND REPORTING (ARTICLE 29)**

*Note by the Executive Secretary*

#### **I. INTRODUCTION**

1. Article 29 on monitoring and reporting requires each Party to the Nagoya Protocol to monitor the implementation of its obligations and to report to the Conference of the Parties serving as the meeting of the Parties to the Protocol (MOP) on measures it has taken to implement the Protocol.
2. At its first meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol agreed to the guidelines and the format for the interim national report on the implementation of the Nagoya Protocol and, among other things, set out the process for the submission of the reports (decision [NP-1/3](#)) which were due twelve months prior to the third meeting of the meeting of the Parties to the Protocol, i.e. by 1 November 2017.
3. At its third meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol welcomed the submission of interim national reports by Parties<sup>1</sup> and non-Parties and, in preparation for the submission of the next national report, requested the Executive Secretary to review the reporting format for its consideration at its fourth meeting (decision [NP-3/4](#)). In the same decision, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol accepted the invitation of the Conference of the Parties contained in decision [14/27](#), agreeing to a synchronized national reporting cycle for the Convention, the Cartagena Protocol and the Nagoya Protocol commencing in 2023 (para. 1). It decided to revisit the issue of intervals for reporting at its sixth meeting, taking into account the alignment of national reports as well as the post-2020 global biodiversity framework (para. 9).
4. Against this background, section II provides information on the previous reporting cycle and on compliance with Article 29 of the Protocol. Section III provides a summary of the elements considered in the revision of the reporting format. Section IV provides information on the implications of the synchronized national reporting cycle under the Convention and its Protocols, including a tentative timetable for review processes under the Protocol. Finally, section V contains elements of a draft decision for the consideration of the meeting, including the revised draft reporting format.

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<sup>1</sup> At the time of the meeting, 82 out of 100 Parties that had the obligation to report had submitted their interim national report.

## **II. INFORMATION REGARDING THE PREVIOUS REPORTING CYCLE AND COMPLIANCE WITH ARTICLE 29 OF THE PROTOCOL**

5. As of 1 May 2022, 92<sup>2</sup> Parties to the Nagoya Protocol with the obligation to submit a report had submitted their interim national report on the implementation of the Protocol. Eight countries, which were not Parties to the Protocol before 1 November 2017, also submitted interim national reports.<sup>3</sup>

6. All interim national reports received are available online on the Access and Benefit-sharing Clearing-House at the following link: <https://absch.cbd.int/reports>.

7. At its third meeting, held online from 21-23 April 2020, the Compliance Committee considered general issues of compliance under the Nagoya Protocol, including progress made in the submission of interim national reports. In paragraph 23 of its report,<sup>4</sup> the Committee recognized the progress made and noted that the Parties that had not yet done so should be urged to submit their report as soon as possible.

8. The Committee took note of the factors that had contributed to the delay in the submission of some national reports as expressed by a few Parties in correspondence with the Secretariat, including the absence of timely financial support from the financial mechanism, and the timeframe needed to coordinate the reporting process, identify consultants, and conduct consultations at the national level. In that regard, the Committee noted that it was essential for Parties that are eligible for financial support and which submit timely requests to the Global Environment Facility, to receive the funds in a timely manner, and at least six months prior to the national report submission deadline.

9. The Committee also noted that Parties to the Protocol should be encouraged to provide complete and accurate information to the extent possible.

## **III. ELEMENTS CONSIDERED IN THE REVISION OF THE FORMAT**

10. In decision NP-3/4, paragraph 8, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol requested the Executive Secretary to review the reporting format taking into account comments received, input from the Compliance Committee, the framework of indicators contained in decision NP-3/1, the post-2020 global biodiversity framework and the alignment of national reports under the Convention and its Protocols, while bearing in mind the need for continuity in the format in order to measure progress in implementation (decision NP-3/4, para. 8).

11. This section provides a summary of those elements considered in the revision of the draft format, which is contained in the annex to the elements of a draft decision, in section V.

### **A. Comments received by Parties and non-Parties on the reporting format**

12. Question 66 of the interim national report invited comments on the format of the report. This question, which was not mandatory, yielded a total of 39 responses from Parties and non-Parties.

13. Approximately 20 responses contained positive feedback on the format of the report, indicating for example that they found the format useful, user-friendly, simple or well structured. Many countries also provided suggestions to improve the reporting format, including the following:

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<sup>2</sup> Albania, Angola, Antigua and Barbuda, Argentina, Belarus, Belgium, Benin, Bhutan, Botswana, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, China, Comoros, Congo, Côte d'Ivoire, Croatia, Cuba, Czechia, Democratic Republic of the Congo, Denmark, Djibouti, Dominican Republic, Egypt, Eswatini, Ethiopia, European Union, Fiji, Finland, France, Gabon, Gambia, Germany, Guatemala, Guinea, Guinea-Bissau, Guyana, Honduras, Hungary, India, Indonesia, Japan, Kazakhstan, Kenya, Kuwait, Kyrgyzstan, Lao People's Democratic Republic, Lesotho, Liberia, Madagascar, Malawi, Mali, Malta, Mauritania, Mexico, Mongolia, Mozambique, Myanmar, Namibia, Netherlands, Niger, Norway, Pakistan, Panama, Peru, Philippines, Portugal, Qatar, Republic of Korea, Republic of Moldova, Rwanda, Samoa, Sao Tome and Principe, Senegal, Seychelles, Sierra Leone, Slovakia, South Africa, Spain, Sudan, Sweden, Switzerland, Tajikistan, Togo, Uganda, United Kingdom of Great Britain and Northern Ireland, United Arab Emirates, Uruguay, Viet Nam, Zambia.

<sup>3</sup> Austria, Barbados, Estonia, Morocco, Nigeria, Poland, Venezuela (Bolivarian Republic of), Saint Kitts and Nevis.

<sup>4</sup> The report of the Compliance Committee has been issued as [CBD/NP/MOP/4/2](https://cbd.int/doc/CBD/NP/MOP/4/2) and will be considered under agenda item 5.

(a) Some countries found that certain questions were repetitive (for example, Q7, Q8 and Q16 relating to permits and certificates), or could be improved (for example Q3 on making information available to the Access and Benefit-sharing Clearing-House);

(b) Some countries found the format too elaborate and suggested either to make questions clearer, or to include additional guidance or explanatory notes to facilitate reporting;

(c) Some countries suggested that more response options could be added to binary questions (for example, Q11) to allow more flexibility;

(d) Some countries pointed out technical issues and suggested improvements related to the online submission of the interim national report, such as adding a function to save a draft report automatically, improving how reference records or contacts are linked in responses, or increasing the number of characters allowed for some responses;

(e) Some countries provided suggestions for specific questions (for example, addition of “not applicable” as a possible response to Q48).

14. The above comments have been considered in the preparation of the reporting format contained in the annex to the elements of draft decision contained in section V. The suggestions for technical improvements to the online reporting tool of the Access and Benefit-sharing Clearing-House will also be taken into account by the Secretariat when preparing the online version of the reporting format.

## B. Input from the Compliance Committee

15. As requested to it in decision NP-3/4, paragraph 7, the Compliance Committee, at its third meeting, considered the revised reporting format and the guidelines prepared by the Secretariat<sup>5</sup>. Paragraphs 39 to 46 of the report of the meeting<sup>6</sup> provide an overview of the comments addressed to the Secretariat, including the following:

(a) The Committee welcomed the updated draft reporting format and noted that it was generally clear, user-friendly and balanced;

(b) When considering binary questions, the Committee suggested that a third category of responses could be created, as appropriate, to reflect situations in which a Party has made progress towards the implementation of a specific obligation even if that obligation had not been yet fully implemented; and

(c) The Committee expressed concerns with providing a response option under “No” specifying that the country had no current plans to implement measures to fulfil the obligation. The Committee agreed that the format should allow for Parties to state if they are in non-compliance with the Protocol, while allowing for further information to be provided on the reasons for not complying as well as the challenges faced.

16. In paragraph 47 of its report, the Committee requested the Secretariat to revise the reporting format taking into account the comments provided during the meeting and agreed to provide further specific input to the revised draft through the online forum in the Access and Benefit-sharing Clearing-House prior to the finalization of the format.

17. Accordingly, the Secretariat revised the format and shared it through an online forum hosted on the Access and Benefit-sharing Clearing-House, where members of the Committee were invited to provide additional feedback between 3 July and 3 August 2020. Several members of the Committee provided further suggestions and input.

18. Following the review of the Compliance Committee in 2020, response options were standardized throughout the reporting format, and include a response option to allow Parties to reflect a state of partial

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<sup>5</sup> Contained in the annex to document [CBD/NP/CC/3/4](#) (9 March 2020)

<sup>6</sup> [CBD/NP/MOP/4/2](#) (23 April 2020).

implementation (“Yes, to some extent” or “Yes, in some cases”), where appropriate. Furthermore, the reporting guidelines were expanded, and footnotes added to further encourage Parties to make use of the free text entries to share information on their progress.

#### **C. The framework of indicators adopted in decision NP-3/1 in the context of assessment and review under Article 31 of the Protocol**

19. Interim national reports on the implementation of the Nagoya Protocol were a key source of information for the first assessment and review of the effectiveness of the Protocol carried out by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its third meeting. As part of that process, it was noted that there were instances in which no conclusive information could be drawn from the responses to the interim national report for some elements of the assessment.<sup>7</sup>

20. Accordingly, the reporting format has been reviewed to take into account the indicators contained in the annex to [decision NP-3/1](#), in view of informing the second assessment and review of the effectiveness of the Protocol. Furthermore, the questions of the reporting format which are intended to collect data for these indicators are now all indicated as mandatory for Parties. This will help improve the quality of data available to support the second assessment and review, and assessments thereafter.

#### **D. The post-2020 global biodiversity framework**

21. The draft post-2020 global biodiversity framework builds on the Strategic Plan for Biodiversity 2011-2020 and sets out an ambitious plan to implement broad-based action to bring about a transformation in society’s relationship with biodiversity and to ensure that, by 2050, the shared vision of living in harmony with nature is fulfilled. The draft post-2020 framework includes four long-term goals for 2050 and 21 action-oriented targets for urgent action over the decade to 2030. Access and benefit-sharing is mainly addressed in Goal C and Target 13 of the draft framework. The different components of the post-2020 global biodiversity framework and their relevance to the Nagoya Protocol are further detailed in document CBD/NP/MOP/4/9.

22. The proposed monitoring framework of the post-2020 global biodiversity framework includes indicators to monitor the implementation of goals and targets of the post-2020 global biodiversity framework. Recommendation 24/2 of the Subsidiary Body on Scientific, Technical and Technological Advice and the draft decision contained therein<sup>8</sup> also includes a possible process to operationalize the monitoring framework through the work of an ad hoc technical expert group. Depending on the goals, targets, and indicators agreed by Parties in the post-2020 global biodiversity framework, national reports on the implementation of the Nagoya Protocol may represent a source of information for measuring progress towards ABS-related goals, targets and/or indicators. In this context, the format of the first national report may need to be revised once the post-2020 global biodiversity framework and its monitoring framework are agreed, to avoid duplication and streamline reporting. For instance, questions related to monetary and non-monetary benefits (Q44 and Q45) may need to be revised.

23. The draft resource mobilization component of the post-2020 global biodiversity framework possibly including a successor to the current resource mobilization strategy for the Convention and, as applicable, its Protocols, will be considered by the Conference of the Parties at its fifteenth meeting, and could also be welcomed by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its fourth meeting.<sup>9</sup> Recommendation 3/6 of the Subsidiary Body on Implementation and the draft decision contained therein<sup>10</sup> also includes a section on future financial reporting. Depending on the nature of the final agreement among Parties, the section of the format of the first national report dedicated to finances resources and resource mobilization (Part XIV) may need to be revised once the post-2020 global

<sup>7</sup> [CBD/NP/MOP/DEC/3/1](#), annex II

<sup>8</sup> [CBD/SBSTTA/REC/24/2](#).

<sup>9</sup> CBD/NP/MOP/4/10 - Financial mechanism and resources (Article 25).

<sup>10</sup> [CBD/SBI/REC/3/6](#)

biodiversity framework and its resource mobilization component are agreed, with a view to avoid duplication and streamline reporting for Parties. For instance, questions on experiences with resource mobilization for the Protocol and on the status of funds mobilized (Q63 and Q64) may need to be revised.

#### E. Other revisions

24. Questions related to capacity-building and development (Q54 and Q55) have been improved in light of the findings and recommendations of the evaluation of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol.<sup>11</sup>

25. Questions that were of interest only in the context of the interim national report were removed from the revised format. This included a question on challenges faced to ratify the Protocol.

### IV. IMPLICATIONS OF THE SYNCHRONIZED NATIONAL REPORTING CYCLE UNDER THE CONVENTION AND ITS PROTOCOLS

26. In decision NP-3/4, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol accepted the invitation of the Conference of the Parties contained in decision 14/27 and agreed to have a synchronized national reporting cycle for the Convention, the Cartagena Protocol and the Nagoya Protocol commencing in 2023.

27. Due to the delays in holding the fifteenth meeting of the Conference of the Parties, the tenth meeting of the Parties to the Cartagena Protocol and the fourth meeting of the Parties to the Nagoya Protocol, the Conference of the Parties is expected to adopt a decision inviting the submission of seventh national reports by 30 June 2025, and of eighth national reports by 30 June 2029.<sup>12</sup> In this context, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol may wish to consider postponing the submission deadline of the first national report under the Nagoya Protocol to align with the submission deadline of the seventh national report, in view of maintaining the synchronized reporting cycle foreseen in decision 14/27 of the Conference of the Parties to the Convention and decision NP-3/4 of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol .

28. The submission deadline of the first national report will have important repercussions for other review processes under the Nagoya Protocol, namely the work of the Compliance Committee and the assessment and review process. An overview of the tentative timeline for these processes is presented in Table 1 below.

**Table 1. Overview and tentative timeline of relevant processes related to the Nagoya Protocol<sup>13</sup>**

<b>2017</b>	Submission deadline of the interim national report under the Nagoya Protocol in accordance with decision NP-1/3
<b>2018</b>	MOP-3 decision NP-3/1 on the first assessment and review of the Nagoya Protocol and preparations for the second assessment
<b>2022</b>	MOP-4 decisions welcoming the post-2020 global biodiversity framework and inviting the submission of reports by 30 June 2024 or 2025 <sup>14</sup>

<sup>11</sup> “Additional questions on capacity-building could be incorporated (...) on challenges and lessons and be used to identify ongoing capacity-building gaps and needs” [CBD/SBI/3/INF/1](#).

<sup>12</sup> The dates for the submission of the seventh and eighth national reports under the Convention are bracketed in the draft decision prepared by the Subsidiary Body on Implementation and will be further considered by the Conference of the Parties at its fifteenth meeting.

<sup>13</sup> This tentative timeline follows the scenario recommended by the Subsidiary Body on Implementation in its recommendation 3/19, whereby meetings of the Conference of the Parties will be held every two years unless decided otherwise by the Conference of the Parties.

<sup>14</sup> See document CBD/NP/MOP/4/1/Add.5 (Compilation of draft decisions).

<b>2023-2024</b>	At least one meeting of the Compliance Committee Fourth meeting of the Subsidiary Body on Implementation to consider, inter alia, the proposed methodology for the second assessment and review of the Protocol
<b>2024</b>	MOP-5 decision on the methodology of the second assessment and review of the Protocol
<b>2025</b>	Submission deadline of national reports under the Convention and its Protocols
<b>2025-2026</b>	At least one meeting of the Compliance Committee
<b>2026</b>	MOP-6 to consider intervals for national reporting, the second assessment and review of the Nagoya Protocol based on the information contained in first national reports, and to invite the submission of second national reports by 30 June 2029
<b>2028</b>	MOP-7
<b>2029</b>	Submission deadline of national reports under the Convention and its Protocols

## V. SUGGESTED ELEMENTS OF A DRAFT DECISION<sup>15</sup>

29. The Conference of the Parties serving as the meeting of the Parties may wish to consider the following elements of a draft decision, which include paragraphs 8 and 9 of the recommendations of the Compliance Committee at its third meeting,<sup>16</sup> as well as the revised guidelines and format for the first national report on the implementation of the Nagoya Protocol in annex:

*The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,  
Recalling decision NP-3/4,*

*Noting the desirability of developing nationally-relevant indicators for access and benefit-sharing in the context of the post-2020 global biodiversity framework,*

1. *Welcomes* decision 15/-- of the Conference of the Parties which requests Parties to the Convention to submit their seventh national report by 30 June 2025 and agrees to maintain the synchronized national reporting cycle foreseen in decisions 14/27 and NP-3/4 and to commence such reporting cycle in 2025 in lieu of 2023;

2. *Takes note* of the monitoring framework for the post-2020 global biodiversity framework and its proposed indicators related to access and benefit-sharing, and welcomes the process set forth in decision 15/--;

3. *Adopts* the guidelines and format for submission of the first national report on the implementation of the Nagoya Protocol as contained in the annex to the present decision;

4. *Requests* the Executive Secretary to make the guidelines and the format for the first national report available through the Access and Benefit-sharing Clearing-House;

5. *Requests* Parties to submit a first national report on the implementation of their obligations under the Nagoya Protocol, and welcomes submissions of relevant information by non-Parties;

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<sup>15</sup> These elements are included in the compilation of draft decisions (CBD/NP/MOP/4/1/Add.5)

<sup>16</sup> [CBD/NP/MOP/4/2](#) (23 April 2020), annex.

- (a) In an official language of the United Nations;
- (b) Through the Access and Benefit-sharing Clearing-House;
- (c) By 30 June 2025;

6. *Requests* the Executive Secretary to assist Parties for which direct online submission of the national report through the Access and Benefit-sharing Clearing-House is technically not feasible, in making their reports available on the Access and Benefit-sharing Clearing-House;

7. *Also requests* the Executive Secretary to consolidate information contained in first national reports and information made available by Parties through the Access and Benefit-sharing Clearing-House for the consideration of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its sixth meeting as well as to make information shared by non-Parties and non-State actors, as appropriate, available for the information of the meeting, as a contribution to the second assessment and review of the effectiveness of the Protocol;

8. *Decides* to keep the format of the national report under review, based on feedback received from Parties and the experience gained;

9. *Urges* Parties to provide complete and accurate information in their national reports;

10. *Invites* the Global Environment Facility to make financial resources available in a timely manner to those eligible Parties in order to assist them in meeting their reporting obligation under the Protocol.<sup>17</sup>

#### *Annex*

### **GUIDELINES AND FORMAT FOR THE FIRST NATIONAL REPORT ON THE IMPLEMENTATION OF THE NAGOYA PROTOCOL**

#### **Overview**

1. Article 29 of the Nagoya Protocol requires each Party to monitor the implementation of its obligations under the Protocol and to report to the Conference of the Parties serving as the meeting of the Parties on the implementation measures it has taken. National reports play an essential role in the review of the implementation of the Protocol and in assisting the Conference of the Parties serving as the meeting of the Parties in taking, within its mandate, the decisions necessary to promote effective implementation of the Protocol in accordance with Article 26, paragraph 4. National reports can also be a useful tool for countries at the national level to engage with stakeholders, assess their level of implementation of the Protocol and identify challenges in implementation and gaps and needs in terms of capacity, resources and technology.

2. Submitting a national report is one of the key obligations the Nagoya Protocol places on its Parties. As per its procedures, the Compliance Committee under the Nagoya Protocol may examine a situation where a Party fails to submit its national report pursuant to Article 29 of the Protocol.

3. The first national report on the implementation of the Nagoya Protocol is due **by 30 June 2025**. The report is to provide an updated state of implementation of the Protocol since its entry into force or since the submission of the interim national report, as applicable to the Party.

4. Given the time required to prepare, approve and submit a national report, Parties are encouraged to start preparing their reports well before the deadline. It is recommended that Parties involve indigenous

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<sup>17</sup> As it is the Conference of the Parties that has the authority to provide guidance to the financial mechanism, this paragraph may be understood and read as follows: “Recommend to the Conference of the Parties to request the Global Environment Facility to make financial resources available in a timely manner to those eligible Parties in order to assist them in meeting their reporting obligation under the Protocol”.

peoples and local communities and other relevant stakeholders, as appropriate, in the preparation of the report in order to ensure a participatory and transparent approach to its development.

### **Content and structure of the report**

5. Mandatory questions are based on those provisions of the Protocol that establish obligations for its Parties. Other mandatory questions are ones necessary to contextualize other questions, or which serve as means of collecting information for the assessment and review of the effectiveness of the Nagoya Protocol. Mandatory questions and sub-questions are indicated by an asterisk.

6. The reporting format has been developed with a view to minimizing the reporting burden on countries. Many questions are multiple choice and wherever possible, a standard set of three options is provided for responding to these questions:

- (a) **Yes**, which means that the provision can be considered fully implemented;
  - (b) **Yes, to some extent**, which means the provision can be considered partially implemented (e.g. where work is underway to meet the requirement); or
  - (c) **No**, which means the provision can be considered not yet implemented.
7. Countries are encouraged to provide brief explanations of their responses in view of contributing more detailed information to review processes under the Nagoya Protocol.
8. The reporting format groups questions by theme, as follows:

- Part I – General information
- Part II – Institutional structures for the implementation of the Protocol
- Part III – Measures on access to genetic resources
- Part IV – Measures on fair and equitable benefit-sharing
- Part V – Measures on compliance with domestic legislation and on monitoring utilization
- Part VI – Measures on compliance with mutually agreed terms
- Part VII – Measures addressing special considerations
- Part VIII – Measures related to indigenous peoples and local communities
- Part IX – Contribution to conservation and sustainable use and benefits received
- Part X – Transboundary collaboration
- Part XI – Model contractual clauses, codes of conduct, guidelines and best practices and/or standards
- Part XII – Awareness-raising and capacity-building
- Part XIII – Technology transfer, collaboration and cooperation
- Part XIV – Financial resources and resource mobilization
- Part XV – Optional additional information
- Part XVI (offline submission only) – ABS-Clearing House record validation

9. At the end of each part, there is a question inviting countries to provide additional information and links to relevant documentation, should they wish to do so.

### **Submission of the report**

10. The first national report is to be submitted online through the ABS Clearing-House and in one of the six official languages of the United Nations. The ABS Clearing-House is available at:

<https://absch.cbd.int/>. To be able to publish its report, each Party will need to have designated a publishing authority for the ABS Clearing-House. Parties are also encouraged to use the opportunity of the preparation of their first national report to verify that their national records in the ABS Clearing-House are complete and up-to-date.

11. Any country may request technical support and assistance for the online submission of the report by contacting the Secretariat ([absch@cbd.int](mailto:absch@cbd.int)).

12. Only when technically not feasible, and in cases where technical issues persist despite the assistance from the Secretariat, may countries submit the completed offline format of the report to the Secretariat ([secretariat@cbd.int](mailto:secretariat@cbd.int)). For the report to be considered complete, all mandatory questions must be answered, and the country must include a scanned copy of the last page with the signature of the ABS Clearing-House publishing authority. Subsequently, the Secretariat will make the report available on the ABS Clearing-House.

*First national report on the implementation of the Nagoya Protocol<sup>18</sup>*

<b>Part I - General information</b>	
1. *Country:	<Country name>
2. Time period covered by this report <sup>19</sup>	<b>From [month / year] to [month / year]</b>

<b>Part II - Institutional structures for the implementation of the Protocol</b>	
<b>Article 13.1</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No  Please provide more information on your response and a summary of difficulties and challenges encountered. <Text entry>
<b>Article 13.2</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No  Please provide more information on your response and a summary of difficulties and challenges encountered. <Text entry>  ↴ If Yes is selected above, 5.1 *Has your country published information on its competent national authorities in the ABS Clearing-House? <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No

<sup>18</sup> In view of simplifying this format, questions have been kept concise to the extent possible. Countries are encouraged to refer to the full text of the Nagoya Protocol (available at: <https://www.cbd.int/abs/resources/protocol.shtml>) as needed.

<sup>19</sup> For countries who submitted an interim national report on the implementation of the Nagoya Protocol, the first national report should cover the time elapsed since the submission of the interim national report (e.g. from 2 November 2017 to 30 June 2025). For countries who were not required to submit an interim national report, the first national report should cover the time period since the entry into force of the Nagoya Protocol for the country.

<sup>20</sup> To designate a national focal point for access and benefit-sharing, countries should send an e-mail to the Secretariat ([secretariat@cbd.int](mailto:secretariat@cbd.int)), attaching an official letter addressed to the Executive Secretary with the necessary contact information or the completed designation form available for download at: <https://www.cbd.int/abs/common-formats/en/ABSCH-NFP-en.doc>. Contact information of an existing national focal point may be updated by notifying the Secretariat by e-mail ([secretariat@cbd.int](mailto:secretariat@cbd.int)).

	<p>5.2 Does your country have plans to designate additional competent national authorities in the future?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<b>Article 17</b> 5. *Has your country designated at least one checkpoint?	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Please provide more information on your response and a summary of difficulties and challenges encountered.</p> <p>&lt;Text entry&gt;</p> <p><i>If Yes is selected above,</i></p> <p>6.1 *Has your country published information on its checkpoints in the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>6.2 Does your country have plans to designate additional checkpoints in the future?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
6. Please provide any additional information.	<p>&lt;Text entry&gt;</p> <p><i>and/or &lt;URL and website name&gt;</i></p> <p><i>and/or &lt;Attachment&gt;</i></p>

**Part III – Measures on access to genetic resources (Article 6)<sup>21</sup>**

<p>7. *Has your country established legislative, administrative or policy measures on ABS?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.  &lt;Text entry&gt;</p> <p><i>↳ If Yes or Yes, to some extent is selected above,</i></p> <p>8.1 *Has your country published information on its legislative, administrative or policy measures in the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes, all information is published  <input type="checkbox"/> Yes, some information is published  <input type="checkbox"/> No</p>
<p><b>Article 6.1</b></p> <p>8. In your country, is access to genetic resources subject to prior informed consent?</p>	<p><input type="checkbox"/> Yes, in all cases  <input type="checkbox"/> Yes, in some cases  <input type="checkbox"/> No, my country has determined that access to its genetic resources is not subject to prior informed consent</p> <p>Please explain your response.  &lt;Text entry&gt;</p>
<p><i>If you answered No to question 8, please skip questions 9 to 14, and continue to Part IV.</i></p>	
<p><b>Article 6.3 (b)</b></p> <p>9. * Does your country have fair and non-arbitrary rules and procedures on accessing genetic resources?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.  &lt;Text entry&gt;</p>
<p><b>Article 6.3 (c)</b></p> <p>10. * Does your country provide information on how to apply for prior informed consent?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.  &lt;Text entry&gt;</p>

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<sup>21</sup> Questions on the provisions of Article 6 related to indigenous peoples and local communities are found in Part VIII of this reporting format.

	<p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>11.1 Has your country published information on how to apply for PIC on the ABS Clearing-House through the ABS Procedures common format?<sup>22</sup></p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p>
<b>Article 6.3 (d)</b>	<p>11. *Does your country provide for a clear and transparent written decision by a competent national authority?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.<sup>23</sup>  &lt;Text entry&gt;</p>
<b>Article 6.3 (e)</b>	<p>12. *Does your country provide for the issuance of a permit or its equivalent at the time of access?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.<sup>24</sup>  &lt;Text entry&gt;</p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>13.1 *How many permits (or their equivalent) has your country issued during the reporting period? If no permits were issued, please indicate 0.</p> <p>&lt;Numerical value&gt;</p> <p>Additional information.  &lt;Text entry&gt;</p> <p>13.2 *Has your country published relevant information on permits or their equivalent as internationally recognized certificates of compliance to the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes, in all cases  <input type="checkbox"/> Yes, in some cases  <input type="checkbox"/> No</p>

<sup>22</sup> ABS Clearing-House common formats are available on the Dashboard at: <https://absch.cbd.int/register> (log in required).

<sup>23</sup> This could include information on the type of written decision provided (e.g. licence, contract, resolution, access or export permit), or information on progress made towards implementing this provision of the Protocol.

<sup>24</sup> This could include information on whether permits are issued for all types of genetic resources in the country, on the format of the permit or equivalent, or information on progress made towards implementing this provision of the Protocol.

	<p><i>If Yes, in some cases or No is selected, please explain your response: &lt;Text entry&gt;</i></p>
<b>Article 6.3 (g)</b> 13. *Does your country have rules and procedures for requiring and establishing mutually agreed terms?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <sup>25</sup> <i>&lt;Text entry&gt;</i>  <p><i>If Yes or Yes, to some extent is selected above,</i></p> 14.1 Has your country published National Model Contractual Clauses to the ABS Clearing-House? <sup>26</sup> <input type="checkbox"/> Yes <input type="checkbox"/> No
14. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<i>&lt;Text entry&gt;</i> <i>and/or &lt;URL and website name&gt;</i> <i>and/or &lt;Attachment&gt;</i>

<b>Part IV - Measures on fair and equitable benefit-sharing (Article 5)</b>	
<b>Article 5.3</b> 15. *Does your country have measures in place requiring that benefits from the utilization of genetic resources, as well as subsequent applications and commercialization, be shared with the Party providing such resources?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <sup>27</sup> <i>&lt;Text entry&gt;</i>
<b>Article 5.2</b> 16. *Does your country have measures in place aiming to ensure that benefits from the utilization of genetic resources held by indigenous peoples and local communities are shared	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No

<sup>25</sup> This could include information on the measures dealing with this aspect and on the process for establishing mutually agreed terms, or information on the progress made to implement this provision of the Protocol.

<sup>26</sup> ABS Clearing-House common formats are available on the Dashboard at: <https://absch.cbd.int/register> (log in required).

<sup>27</sup> This could include information on the measures dealing with the sharing of benefits from the utilization, in your country, of genetic resources provided by other countries and examples of how the measures are applied, or information on the progress made to implement this provision of the Protocol.

with the indigenous peoples and local communities concerned?	<p>Please explain your response.<sup>28</sup></p> <p>&lt;Text entry&gt;</p>
<b>Article 5.5</b> <p>17. *Has your country taken measures in order that benefits arising from the utilization of traditional knowledge associated with genetic resources are shared with indigenous peoples and local communities holding such knowledge?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.<sup>29</sup></p> <p>&lt;Text entry&gt;</p>
<p>18. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p>&lt;Text entry&gt;</p> <p>and/or &lt;URL and website name&gt;</p> <p>and/or &lt;Attachment&gt;</p>

<b>Part V - Measures on compliance with domestic legislation or regulatory requirements on ABS (Articles 15 and Article 16) and monitoring the utilization of genetic resources (Article 17)</b>	
<b>Article 15</b> <p>19. *Has your country taken appropriate, effective and proportionate measures to provide that genetic resources utilized within its jurisdiction have been accessed in accordance with prior informed consent and that mutually agreed terms have been established as required by the domestic ABS legislation or regulatory requirements of other Parties?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.<sup>30</sup></p> <p>&lt;Text entry&gt;</p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>20.1 *Has your country encountered and addressed situations of non-compliance with these measures?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Additional information.</p> <p>&lt;Text entry&gt;</p>

<sup>28</sup> This could include information on measures in place to facilitate directing benefits to indigenous peoples and local communities or information on the progress made to implement this provision of the Protocol.

<sup>29</sup> This could include information on the measures taken or information on the progress made to implement this provision of the Protocol.

<sup>30</sup> This could include information on the measures for monitoring domestic utilization of genetic resources accessed from other countries, explanation of how the measures are appropriate, effective or proportional, or information on progress made towards implementing this provision of the Protocol.

	<p>20.2 *Has your country cooperated with other Parties in specific cases of alleged violation of ABS measures relating to genetic resources?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Additional information.  &lt;Text entry&gt;</p>
<p><b>Article 16</b></p> <p>20. *Has your country taken appropriate, effective and proportionate measures to provide that traditional knowledge associated with genetic resources utilized within its jurisdiction has been accessed with the prior informed consent or approval and involvement of indigenous peoples and local communities and that mutually agreed terms have been established in accordance with the domestic requirements of the Party where such indigenous peoples and local communities are located?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.  &lt;Text entry&gt;</p> <p><i>If Yes or Yes to some extent is selected,</i></p> <p>21.1 *Has your country encountered and addressed situations of non-compliance with these measures?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Additional information.  &lt;Text entry&gt;</p> <p>21.2 *Has your country cooperated with other Parties in specific cases of alleged violation of ABS measures relating to traditional knowledge associated with genetic resources?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Additional information.  &lt;Text entry&gt;</p>
<p><b>Article 17.1(a)</b></p> <p>21. *Does your country require users of genetic resources to provide the information referred to in</p>	<p><input type="checkbox"/> Yes, in all cases  <input type="checkbox"/> Yes, in some cases  <input type="checkbox"/> No</p>

<p>Article 17.1 (a)(i)<sup>31</sup> to its designated checkpoint/s?</p>	<p>Please explain your response.<sup>32</sup>          &lt;Text entry&gt;</p> <p><i>If Yes, in all cases or Yes, in some cases is selected above,</i></p> <p>22.1 *Have your designated checkpoint/s collected or received information from users during the reporting period?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p><i>If Yes is selected,</i></p> <p>22.2 *Has your country published the information collected or received to the ABS Clearing-House through the Checkpoint Communiqué common format?<sup>33</sup></p> <p><input type="checkbox"/> Yes, in all cases  <input type="checkbox"/> Yes, in some cases  <input type="checkbox"/> No</p> <p><i>If Yes, in some cases or No is selected, please explain your response:</i> &lt;Text entry&gt;</p> <p>22.3 *Has your country provided the information referred to in Article 17.1 (a)(i) to relevant national authorities and to the Party providing prior informed consent through other means than the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p>
<p><b>Article 17.1(b)</b></p> <p>22. *Has your country taken measures to encourage users and providers to include provisions in mutually agreed terms to share information on the implementation of such terms?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.<sup>34</sup>          &lt;Text entry&gt;</p>

<sup>31</sup> Information related to prior informed consent, to the source of the genetic resource, to the establishment of mutually agreed terms and/or to the utilization of genetic resources

<sup>32</sup> This could include information on the effectiveness of checkpoints and the functions assigned to them.

<sup>33</sup> ABS Clearing-House common formats are available on the Dashboard at: <https://absch.cbd.int/register> (log in required).

<sup>34</sup> This could include information on the measures taken, how they are applied, or information on progress made towards implementing this provision of the Protocol.

<b>Article 17.1 (c)</b> 23. *Does your country encourage the use of cost-effective communication tools and systems to monitor utilization and enhance transparency?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <sup>35</sup> <i>&lt;Text entry&gt;</i>
24. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<i>&lt;Text entry&gt;</i> <i>and/or &lt;URL and website name&gt;</i> <i>and/or &lt;Attachment&gt;</i>

<b>Part VI - Measures on compliance with mutually agreed terms (Article 18)<sup>36</sup></b>	
<b>Article 18.1</b> 25. *Is your country encouraging the inclusion of provisions on dispute resolution in mutually agreed terms?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <i>&lt;Text entry&gt;</i>
<b>Article 18.2</b> 26. *Does your country ensure that opportunity to seek recourse is available under your legal systems in case of disputes arising from mutually agreed terms?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <i>&lt;Text entry&gt;</i>
<b>Article 18.3 (a)</b> 27. *Has your country taken measures regarding access to justice?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <i>&lt;Text entry&gt;</i>

<sup>35</sup> This could include information on how your country is encouraging the use of cost-effective communication tools and systems or on progress made towards implementing this provision of the Protocol. Links to relevant online tools may be provided in response to question 24.

<sup>36</sup> When filling this section, Parties are encouraged to coordinate at national level to draw from the expertise of other institutions dealing with contractual law, private international law or domestic measures related to access to justice (decision NP-3/1, paragraph 22)

<b>Article 18.3 (b)</b> 28. *Has your country taken measures regarding utilization of mechanisms on mutual recognition and enforcement of foreign judgements and arbitral awards?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <Text entry>
29. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> and/or <URL and website name> and/or <Attachment>

Part VII - Measures addressing special considerations (Article 8)	
<b>Article 8(a)</b> 30. *In the development and implementation of its ABS legislation or regulatory requirements, has your country created conditions to promote and encourage research which contributes to the conservation and sustainable use of biodiversity including through simplified measures on access for non-commercial research purposes?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No <input type="checkbox"/> Not applicable <sup>37</sup>  Please explain your response. <Text entry>
<b>Article 8(b)</b> 31. *In the development and implementation of its ABS legislation or regulatory requirements, has your country paid due regard to cases of present or imminent emergencies that threaten or damage human, animal or plant health?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <Text entry>  <i>If Yes or Yes, to some extent is selected above,</i> 32.1 In such cases, has your country considered the need for expeditious access to genetic resources and expeditious fair and equitable sharing, including access to affordable treatments by those in need? <input type="checkbox"/> Yes <input type="checkbox"/> No

<sup>37</sup> This response option may be appropriate for countries who have determined not to require prior informed consent for access to their genetic resources.

	<p>Additional information.  <i>&lt;Text entry&gt;</i></p>
<b>Article 8(c)</b>  32. *In the development and implementation of its ABS legislation or regulatory requirements, has your country considered the importance of genetic resources for food and agriculture and their special role for food security?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <i>&lt;Text entry&gt;</i>
33. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<i>&lt;Text entry&gt;</i> <i>and/or &lt;URL and website name&gt;</i> <i>and/or &lt;Attachment&gt;</i>

<b>Part VIII – Measures related to indigenous peoples and local communities (Articles 6, 7 and 12)</b>	
34. *Are there indigenous peoples and local communities in your country?	<input type="checkbox"/> Yes (please specify which case applies) <ul style="list-style-type: none"> <li><input type="checkbox"/> There are indigenous peoples in my country</li> <li><input type="checkbox"/> There are local communities in my country</li> <li><input type="checkbox"/> There are indigenous peoples and local communities in my country</li> </ul> <input type="checkbox"/> No  Additional information. <i>&lt;Text entry&gt;</i>
<i>If you answered No to question 34, please skip questions 35 to 41, and continue to Part IX.</i>	

<p><b>Articles 6.2 and 6.3(f)</b></p> <p>35. *Do indigenous peoples and local communities have the established right to grant access to genetic resources according to your country's domestic law?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response and if applicable, provide information on the domestic law establishing rights of indigenous peoples and local communities to grant access to genetic resources.</p> <p>&lt;Text entry&gt;</p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>36.1 *Does your country have measures in place with the aim of ensuring that the prior informed consent or approval and involvement of indigenous peoples and local communities is obtained for access to genetic resources?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>36.2 *Has your country set out criteria and/or process for obtaining prior informed consent or approval and involvement of indigenous peoples and local communities for access to genetic resources?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p>
<p><b>Article 7</b></p> <p>36. *Has your country taken measures with the aim of ensuring that traditional knowledge associated with genetic resources that is held by indigenous peoples and local communities within your country is accessed with their prior informed consent, or their approval and involvement, and that mutually agreed terms have been established?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.</p> <p>&lt;Text entry&gt;</p>
<p><b>Article 12.1</b></p> <p>37. *Has your country considered indigenous peoples and local communities' customary laws, community protocols and procedures with respect to traditional knowledge associated with genetic resources in implementing the Protocol?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.</p> <p>&lt;Text entry&gt;</p>
<p><b>Article 12.2</b></p> <p>38. *Has your country established mechanisms, with the effective</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent</p>

<p>participation of indigenous peoples and local communities concerned, to inform potential users of traditional knowledge associated with genetic resources about their obligations?</p>	<input type="checkbox"/> No  Please explain your response. <sup>38</sup> <Text entry>
<b>Article 12.3</b>  39. *Has your country supported the development by indigenous peoples and local communities, including women within these communities, of community protocols, minimum requirements for mutually agreed terms and model contractual clauses?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <sup>39</sup> <Text entry>
<b>Article 12.4</b>  40. *Has your country endeavoured not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous peoples and local communities?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <sup>40</sup> <Text entry>
41. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> <i>and/or &lt;URL and website name&gt;</i> <i>and/or &lt;Attachment&gt;</i>

<b>Part IX - Contribution to conservation and sustainable use (Article 9) and benefits received</b>	
<b>Article 9</b>  42. *Does your country encourage users and providers to direct benefits arising from the utilization of genetic resources towards the conservation of biological diversity and sustainable use of its components?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <Text entry>
43. *Has implementation of the Nagoya Protocol contributed to conservation	<input type="checkbox"/> Yes <input type="checkbox"/> No

<sup>38</sup> This could include information on the mechanisms established and which means were deployed to ensure effective participation of indigenous peoples and local communities, including women within these communities, in the development of such mechanisms.

<sup>39</sup> This could include further information on how your country is supporting the development by indigenous peoples and local communities of community protocols, minimum requirements for mutually agreed terms and/or model contractual clauses. Links to such resources can be provided in response to question 41.

<sup>40</sup> This could include information on how ABS measures address this aspect.

<p>and sustainable use of biodiversity in your country?</p>	<p>Please explain your response and provide, if available, supporting data or examples of impact at national and/or local levels.</p> <p>&lt;Text entry&gt;</p>
<p>44. *Has your country received monetary benefits from granting access to genetic resources for their utilization and/or access to traditional knowledge associated with genetic resources during the reporting period?<sup>41</sup></p>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Access fees and/or up-front payments</li> <li><input type="checkbox"/> Milestone payments, royalties and/or license fees</li> <li><input type="checkbox"/> Research funding, grants and/or scholarships</li> <li><input type="checkbox"/> Contributions to a national or local fund</li> <li><input type="checkbox"/> Salaries</li> <li><input type="checkbox"/> Joint ventures</li> <li><input type="checkbox"/> Other (please specify): &lt;Text entry&gt;</li> </ul> <p><input type="checkbox"/> No / Not applicable</p> <p><i>If Yes is selected above,</i></p> <p>*45.1 Please provide the amount of monetary benefits received during the reporting period (in United States dollars) from granting access to genetic resources and/or traditional knowledge associated with genetic resources.</p> <p>&lt;Numerical value in USD&gt;</p> <p>Additional information.<sup>42</sup></p> <p>&lt;Text entry&gt;</p>
<p>45. *Has your country received non-monetary benefits from granting access to genetic resources for their utilization and/or access to traditional knowledge associated with genetic resources during the reporting period?<sup>43</sup></p>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Sharing of information, research results</li> <li><input type="checkbox"/> Scientific collaboration and/or joint publications</li> <li><input type="checkbox"/> Access to and transfer of technology</li> <li><input type="checkbox"/> Capacity-building, capacity development and/or trainings</li> <li><input type="checkbox"/> Sustainable development benefits (such as improved food security, livelihood security, etc.)</li> <li><input type="checkbox"/> Other (please specify): &lt;Text entry&gt;</li> </ul> <p><input type="checkbox"/> No / Not applicable</p>

<sup>41</sup> This question may require revision in view of indicators to be adopted in the context of the post-2020 global biodiversity framework.

<sup>42</sup> This could include information on who were the main recipients of monetary benefits in the country, and any available disaggregation of those recipients by gender or affiliation, or information on the impact of benefits received.

<sup>43</sup> This question may require revision in view of indicators to be adopted in the context of the post-2020 global biodiversity framework.

	<p>Additional information.<sup>44</sup>  <i>&lt;Text entry&gt;</i></p>
46. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<p><i>&lt;Text entry&gt;</i>  <i>and/or &lt;URL and website name&gt;</i>  <i>and/or &lt;Attachment&gt;</i></p>

<b>Part X – Transboundary Cooperation (Article 11)</b>	
<b>Article 11.1</b>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No <input type="checkbox"/> Not applicable <sup>45</sup>  Please explain your response. <sup>46</sup> <i>&lt;Text entry&gt;</i>
<b>Article 11.2</b>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No <input type="checkbox"/> Not applicable <sup>47</sup>  Please explain your response. <sup>48</sup> <i>&lt;Text entry&gt;</i>
49. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<i>&lt;Text entry&gt;</i> <i>and/or &lt;URL and website name&gt;</i> <i>and/or &lt;Attachment&gt;</i>

<sup>44</sup> This could include information on who were the main recipients of non-monetary benefits in the country, any available disaggregation of those recipients by gender or affiliation, or information on the impact of benefits received.

<sup>45</sup> This response option may be appropriate for countries who have determined not to require prior informed consent for access to their genetic resources or who do not have genetic resources which are also found in situ in other countries.

<sup>46</sup> This could include information on cases of cooperation and on the involvement of indigenous peoples and local communities in such cases where applicable.

<sup>47</sup> This response option may be appropriate for countries who indicated there are no indigenous peoples and local communities in their country (Q34).

<sup>48</sup> This could include information on cases of cooperation and on the involvement of indigenous peoples and local communities in such cases.

**Part XI - Model contractual clauses, codes of conduct, guidelines and best practices and/or standards**  
 (Articles 19 and 20)

<b>Article 19</b> <p>50. *Has your country encouraged other actors to develop, update and use model contractual clauses for mutually agreed terms?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <sup>49</sup> <Text entry>
<b>Article 20</b> <p>51. *Has your country encouraged other actors to develop, update and use of codes of conduct, guidelines and best practices or standards?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response. <sup>50</sup> <Text entry>
<p>52. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<Text entry> and/or <URL and website name> and/or <Attachment>

**Part XII - Awareness-raising and capacity** (Articles 21 and 22)

<b>Article 21</b> <p>53. *Has your country taken measures to raise awareness of the importance of genetic resources and traditional knowledge associated with genetic resources and related access and benefit-sharing issues?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No  Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period. <Text entry>  ↴ If Yes or Yes, to some extent is selected, 54.1 Has your country adopted an awareness-raising strategy for the Nagoya Protocol on ABS? <sup>51</sup> <input type="checkbox"/> Yes <input type="checkbox"/> No
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<sup>49</sup> This could include information on your country's efforts in this regard, or references to specific model contractual clauses developed by other actors in your country.

<sup>50</sup> This could include information on your country's efforts in this regard, or references to specific codes of conduct, guidelines and best practices or standards developed by other actors in your country.

<sup>51</sup> This is encouraged in the awareness-raising strategy for the Nagoya Protocol ([decision NP-1/9](#)).

	<p>Additional information.</p> <p>&lt;Text entry&gt;</p>
<b>Article 22</b>  54. *Has your country taken measures to build and develop its capacity and strengthen its human resources and institutional capacities to effectively implement the Protocol?	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period.<sup>52</sup></p> <p>&lt;Text entry&gt;</p> <p><i>If Yes or Yes, to some extent is selected above,</i></p> <p>55.1 Please provide data, if available, on capacity-building activities carried out during the reporting period.</p> <ul style="list-style-type: none"> <li>- Number of people trained: &lt;Numerical value&gt;</li> <li>- Number of workshops/trainings: &lt;Numerical value&gt;</li> <li>- Number of active capacity-building and development initiatives: &lt;Numerical value&gt;</li> </ul> <p>Additional information.</p> <p>&lt;Text entry&gt;</p>
<b>Article 22</b>  55. *Has your country taken measures to build and develop the capacity and strengthen human resources and institutional capacities of other Parties <sup>53</sup> to effectively implement the Protocol?	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period.<sup>54</sup></p> <p>&lt;Text entry&gt;</p>
56. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<p>&lt;Text entry&gt;</p> <p>and/or &lt;URL and website name&gt;</p> <p>and/or &lt;Attachment&gt;</p>

<sup>52</sup> Countries may wish to refer to the strategic framework for capacity-building and development supporting the implementation of the Nagoya Protocol (adopted in [decision NP-1/8](#)) and its Appendix II, which contains an indicative list of activities.

<sup>53</sup> Developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition.

<sup>54</sup> Countries may wish to refer to the strategic framework for capacity-building and development supporting the implementation of the Nagoya Protocol (adopted in [decision NP-1/8](#)) and its Appendix II, which contains an indicative list of activities.

<b>Part XIII - Technology transfer, collaboration and cooperation (Article 23)</b>	
<b>Article 23</b>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.  &lt;Text entry&gt;</p>
57. *Has your country collaborated and cooperated in technical and scientific research and development programmes related to ABS during the reporting period?	
58. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.	<Text entry> and/or <URL and website name> and/or <Attachment>
<b>Part XIV - Financial resources (Article 25) and resource mobilization</b>	
<b>Article 25</b>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Additional information.  &lt;Text entry&gt;</p>
59. *Has your country made financial or other resources available to other Parties for the purpose of implementing the Protocol during the reporting period?	
<b>Article 25</b>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> From other Parties (please specify): &lt;Text entry&gt;</li> <li><input type="checkbox"/> From the Global Environmental Facility (GEF)</li> <li><input type="checkbox"/> From financial institutions other than the GEF (please specify): &lt;Text entry&gt;</li> <li><input type="checkbox"/> From other sources (please specify): &lt;Text entry&gt;</li> </ul> <p><input type="checkbox"/> No</p> <p>Additional information.  &lt;Text entry&gt;</p> <p>└ If Yes is selected above,</p> <p>60.1 Please provide the amount of resources received (in United States dollars) during the reporting period.  &lt;Numerical value&gt;</p>
60. *Has your country received financial or other resources from other Parties or financial institutions for the purpose of implementing the Protocol during the reporting period?	

<p>61. Has your country established a mechanism for budgetary allocations of funds for the operation of your national ABS framework?</p>	<p><input type="checkbox"/> Yes  <input type="checkbox"/> Yes, to some extent  <input type="checkbox"/> No</p> <p>Please explain your response.  &lt;Text entry&gt;</p>
<p>62. Does your country have full-time staff working in functions directly related to the implementation of the Nagoya Protocol? If so, how many?</p>	<p><input type="checkbox"/> Yes (please specify):  <input type="checkbox"/> One  <input type="checkbox"/> Less than three  <input type="checkbox"/> Between 3 and 5  <input type="checkbox"/> Between 5 and 10  <input type="checkbox"/> 10 or more  <input type="checkbox"/> No</p> <p>Please provide further information on your response.<sup>55</sup>  &lt;Text entry&gt;</p>
<p>63. Please provide information on experiences related to the mobilization of resources in support of the implementation of the Protocol.<sup>56</sup></p>	<p>&lt;Text entry&gt;</p>
<p>64. Please provide information on the status of funds mobilized in support of the implementation of the Protocol.<sup>57</sup></p>	<p>&lt;Text entry&gt;</p>
<p>65. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p>&lt;Text entry&gt;  and/or &lt;URL and website name&gt;  and/or &lt;Attachment&gt;</p>

#### Part XV – Optional additional information

<p>66. Please provide any other relevant information that may not have been addressed elsewhere in the report.</p>	<p>&lt;Text entry&gt;  and/or &lt;URL and website name&gt;</p>
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<sup>55</sup> This could include information on whether funding for staff positions is secured long-term or on a project basis.

<sup>56</sup> This question may require revision in the context of the resource mobilization component of the post-2020 global biodiversity framework.

<sup>57</sup> This question may require revision in the context of the resource mobilization component of the post-2020 global biodiversity framework and related financial reporting.

	<i>and/or &lt;Attachment&gt;</i>
67. Please provide any comments or suggestions that you may have regarding the format of this report or the reporting guidelines.	<Text entry>
68. Notes: <sup>58</sup>	<Text entry>

#### **Part XVI (offline submission only) – ABS Clearing-House Record Validation**

*Parties are invited to submit this report online through the ABS Clearing-House.*

*Only if technically not feasible, offline reports may be submitted in MS Word format, together with a scanned copy of this page signed by the ABS Clearing-House publishing authority, by e-mail to [secretariat@cbd.int](mailto:secretariat@cbd.int). For the report to be considered complete, all mandatory questions must be answered. If your country has not yet designated a publishing authority, please attach the appropriate designation form<sup>59</sup>.*

*Country:	<Country name>
*Name of the ABS-CH publishing authority:	<Text entry>
*Date:	<YYYY-MM-DD>
<i>I hereby confirm that the above information is correct and agree to its inclusion in the ABS Clearing-House.</i>	
*Signature of the ABS-CH publishing authority:	_____

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<sup>58</sup> The field “Notes” is for personal reference and can be seen only when the record is being edited.

<sup>59</sup> The designation form for the publishing authority and national authorized users for the ABS Clearing-House is available for download at: <https://www.cbd.int/abs/common-formats/en/ABSCH-PA-NAU-en.doc>. The ABS national focal point may also complete this process online through the User Management function available on the Dashboard at: <https://absch.cbd.int/register> (log in required).