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AD HOC TECHNICAL EXPERT GROUP ON SYNTHETIC BIOLOGY

Montreal, Canada, 5-8 December 2017

Item 3 of the provisional agenda*

BACKGROUND DOCUMENTS PROVIDED TO FACILITATE DELIBERATIONS BY THE AD HOC TECHNICAL EXPERT GROUP ON SYNTHETIC BIOLOGY

1. In response to paragraph 10 of decision XIII/17, the Executive Secretary issued a notification on 16 March 2017 inviting Parties, other Governments, relevant organizations and indigenous peoples and local communities to submit information and supporting documentation on the topics referred to in the decision.
2. A total of 29 submissions were received by the Secretariat. Among the submissions, 15 were from Parties, one from a non-Party, and 13 from organizations.¹
3. Several submissions cited or included electronic copies of documents which have already been published elsewhere. A list of these documents is available as in annex I.
4. Furthermore, the Open-ended Online Forum on Synthetic Biology was convened through the Biosafety-Clearing House² between July and September 2017.
5. Under the second topic of discussion focusing on “Further analysis of evidence of benefits and adverse effects of organisms, components and products of synthetic biology vis-à-vis the three objectives of the Convention” a number of references supporting the claims of either the benefits or adverse effects of such organisms were included. These references are listed in annex II.
6. Finally, under the fourth topic of discussion focusing on “Gathering information on risk management measures, safe use and best practices for safe handling of organisms, components and products of synthetic biology” a number of references were cited relating to guidelines and guidance on risk management measures that may be applicable to organisms, components and products of synthetic biology. These references are listed in annex III.

* CBD/SYNBIO/AHTEG/2017/1/1.

¹ The notification and submissions are available online at <http://bch.cbd.int/synbio/submissions/2017-2018.shtml>.

² The discussions under the Open-ended Online Forum on Synthetic Biology are available at <http://bch.cbd.int/synbio/open-ended/discussion/>

Annex I

**LIST OF DOCUMENTS CITED OR INCLUDED AS ELECTRONIC COPIES IN THE
SUBMISSIONS**

TITLE	SUBMITTED BY
ACB (2017). Biosafety Considerations of Novel Plant Breeding Techniques. African Centre for Biodiversity, Johannesburg	TWN
ACB (2017). Biosafety Risks of Genome Editing Techniques in Plant Breeding. African Centre for Biodiversity, Johannesburg	TWN
Agapito-Tenfen, S.Z. (2016) Biosafety aspects of genome-editing techniques. Biosafety Briefing, Third World Network, Penang and African Centre for Biodiversity, Johannesburg.	TWN
Agapito-Tenfen, S.Z. and Wikmark, O.-G. 2015 Current status of emerging technologies for plant breeding: Biosafety and knowledge gaps of site directed nucleases and oligonucleotide-directed mutagenesis GenØk Biosafety Report 02/15, 43p.	GenØk - Centre for Biosafety
Akbari et al., Safeguarding gene drive experiments in the laboratory. Science https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4692367/pdf/nihms-745460.pdf	Imperial College London
Aldemita RR, Reaño IM, Solis RO, Hautea RA (2015) Trends in global approvals of biotech crops (1992–2014), GM Crops Food 6:150-166. doi: 10.1080/21645698.2015.1056972.	Global Industry Coalition
Alston, J. M., N. Kalaitzandonakes and J. Kruse. 2014. The size and distribution from the adoption of biotech soybean varieties. Chapter 45 in S. J. Smyth, P. W. B. Phillips and D. Castle (eds.) Handbook on Agriculture, Biotechnology and Development, 728-751. Cheltenham, UK: Edward Elgar Publishing Ltd.	Center for the Study of Science and Innovation Policy
Areal, F. J., L. Riesgo and E. Rodriguez-Cerezo. 2013. Economic and agronomic impact of commercialized GM crops: A meta-analysis. Journal of Agricultural Science 151: 1: 7-33.	Center for the Study of Science and Innovation Policy
Atkinson, I.A.E., 1985. The spread of commensal species of Rattus to oceanic islands and their effects on island avifaunas. In: Moors, P.J. (Ed.), Conservation of Island Birds. International Council for Bird Preservation, Cambridge, pp. 35–81.	Island Conservation
Australian Academy of Science. Synthetic gene drives in Australia: implications of emerging technologies. AAS https://www.science.org.au/files/userfiles/support/documents/gene-drives-discussion-paper-may2017.pdf	Imperial College London
Ayobami AS, Valesca A, Vidal BF, Vasco A (2013) Biotechnology and agriculture, Journal of Biosafety and Health Education 1:2. doi: 10.4172/jbhe.1000103.	Global Industry Coalition
Bagley, M. (2015) Digital DNA: The Nagoya Protocol, Intellectual Property Treaties, and Synthetic Biology. http://www.synbioproject.org/site/assets/files/1395/digital_dna_final.pdf?	North Carolina State University

Beaghton et al. Requirements for Driving Antipathogen Effector Genes into Populations of Disease Vectors by Homing. Genetics http://www.genetics.org/content/205/4/1587	Imperial College London
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Bergeson, L. et. al. (2015) The DNA Of The U.S. Regulatory System: Are We Getting It Right For Synthetic Biology? http://www.synbioproject.org/site/assets/files/1388/synbio_reg_report_final.pdf	North Carolina State University
Biden, S. 2016. Comparing the Adoption of Genetically Modified Canola in Canada and Australia: The Environmental and Economic Opportunity Costs of Delay. University of Saskatchewan Masters' of Science Thesis.	Center for the Study of Science and Innovation Policy
Biofuelwatch. 2016. Beware False Promises: Algal Oils and Other Products of Synthetic Biology Aren't About to Save the Orangutan.... But Carry Serious New Risks. http://www.biofuelwatch.org.uk/2016/synbio-and-palmoil-briefing/	Biofuelwatch
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Brookes, G. and P. Barfoot. 2017b. Environmental impacts of genetically modified (GM) crop use 1996–2015: Impacts on pesticide use and carbon emissions. GM Crops and Food 8: 2: 117-147.	Center for the Study of Science and Innovation Policy
Brunner, K. 2017. Biofuelwatch Responds to First Open Pond Testing of GMO Algae. http://www.biofuelwatch.org.uk/2017/biofuelwatch-responds-to-first-open-pond-testing-of-gmo-algae/	Biofuelwatch
Campbell, K. J., Beek, J., Eason, C. T., Glen, A. S., Godwin, J., Gould, F., Holmes, N. D., Howald, G. R., Madden, F. M., Ponder, J. B., Threadgill, D. W., Wegmann, A. & Baxter, G. S. (2015) The next generation of rodent eradications: Innovative technologies and tools to improve species specificity and increase their feasibility on islands. Biological Conservation 185: 47-58.	Island Conservation
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CAS-TWAS CoEBio (2016) Biotechnology: A Growing Field in the Developing World . Clarivate Analytics: Available at: http://english.im.cas.cn/ns/es/201611/W020161115403490152491.pdf	Global Industry Coalition
CERA (2014) Low-level presence in seed : a science based approach to expedited environmental risk assessment http://www.ceragmc.org/files/cera/uploads/era_llp_in_seed_workshop_proceedings_2014.pdf .	Global Industry Coalition
Chang, A. C. Y. and S. N. Cohen. 1974. Genome construction between bacterial species in vitro: Replication and expression of staphylococcus plasmid genes in Escherichia coli . Proceedings of the National Academy of Sciences of the United States of America 71: 4: 1030-1034.	Center for the Study of Science and Innovation Policy
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Drinkwater, K., et. al. (2014) Creating A Research Agenda For The Ecological Implications Of Synthetic Biology . https://www.wilsoncenter.org/sites/default/files/SYNBIO_create%20an%20agenda_v4.pdf	North Carolina State University
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House of Lords, Science and Technology Select Committee. Genetically modified insects . House of Lords http://www.parliament.uk/business/committees/committees-a-z/lords-select/science-and-technology-committee/news-parliament-2015/gm-insects-report-published/	Imperial College London
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Annex II

**LIST OF REFERENCES CITED DURING THE ONLINE DISCUSSION ON ANALYSING
EVIDENCE OF BENEFITS AND ADVERSE EFFECTS OF ORGANISMS, COMPONENTS AND
PRODUCTS OF SYNTHETIC BIOLOGY VIS-À-VIS THE THREE OBJECTIVES
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Annex III

**LIST OF REFERENCES CITED DURING THE ONLINE DISCUSSION ON GATHERING
INFORMATION ON RISK MANAGEMENT MEASURES, SAFE USE AND BEST PRACTICES
FOR SAFE HANDLING OF ORGANISMS, COMPONENTS AND PRODUCTS
OF SYNTHETIC BIOLOGY**

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