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Global Capacity-building Workshop on Monitoring the Utilization of Genetic Resources under the Nagoya Protocol

Bonn, 30 September - 2 October 2019

Annotations to the provisional agenda

# Introduction

1. Reaching close to five years after the entry into force of the Nagoya Protocol much progress has been made by Parties in advancing national implementation, as demonstrated by the first assessment and review of the Nagoya Protocol carried out by the third meeting of the Parties to the Protocol, in November 2018, in Egypt. However, further efforts are still needed, in particular with respect to the implementation of Articles 6, 14, 15, 17 of the Protocol, which work together to setup a system to monitor utilization of genetic resources through the Access and Benefit-sharing (ABS) Clearing-House.

2. At the third meeting of the Parties to the Protocol, Parties requested the Executive Secretary to continue providing technical assistance for the submission of information on the ABS Clearing-House, including by increasing understanding of the functioning of the system for monitoring the utilization of genetic resources (NP-3/1, para. 21 (c)). Building the national-level capacities to use the ABS Clearing‑House has also been identified as a priority in the short-term action plan (2017-2020) on capacity‑building to support the implementation of the Nagoya Protocol (decision NP-2/8, annex and decision XIII/23).

3. As Parties embark on the actual implementation of the Protocol, the Global Capacity-Building Workshop on Monitoring the Utilization of the Genetic Resources under the Protocol provides an opportunity to raise awareness, build capacity and share practical experiences regarding monitoring the utilization of genetic resources. By the end of the workshop, it is expected that participants will have improved their understanding of the implementation of the Protocol and its obligations related to monitoring the utilization of genetic resources, exchanged knowledge and experience, strengthened their professional networks, and have had the opportunity to develop a personalized road map to operationalize and enhance their national systems related to monitoring the utilization of genetic resources.

4. The present workshop has been made possible by the generous financial support of the Government of Japan, through the [Japan Biodiversity Fund](https://www.cbd.int/jbf/), the European Union, and the Government of Germany, with the collaboration of the ABS Capacity Development Initiative and the United Nations Development Programme, through the UNDP-GEF Global ABS Project.

5. The format of the workshop will be organized in both plenary and breakout group sessions and will include thematic presentations followed by question-and-answer sessions, interactive group exercises and discussions.

6. A complete list of documents for the workshop including an information note for participants, containing details on logistical arrangements, including information on travel, visa requirements, accommodation and other matters for the meeting is available at: <https://www.cbd.int/meetings/NP-CB-WS-2019-01>.

7. The workshop will be conducted in English. No translation will be provided.

8. Registration of participants will commence at 8.30 a.m. on Monday, 30 September 2019. The annex below provides more information on the tentative workshop programme.

# ITEM 1. Opening of the workshop

9. A representative of the Government of Germany will open the workshop at 9 a.m. on Monday, 30 September 2019. A representative of the Secretariat will also make opening remarks on behalf of the Executive Secretary.

# ITEM 2. Workshop background, approach and expected outputs

10. Representatives of the CBD Secretariat will give an overview of the background, objectives, approaches and expected outputs/outcomes of the workshop.

# ITEM 3. Overview of the system for monitoring THE utilization OF GENETIC RESOURCES under the Nagoya Protocol

11. Under this item, the Secretariat will provide an overview of the system outlined in the Nagoya Protocol to monitor the utilization of genetic resources through the ABS Clearing-House. The presentation will focus on key concepts and obligations related to monitoring the utilization of genetic resources under the Protocol, such as, ABS permits, internationally recognized certificates of compliance, checkpoints, and checkpoint communiques and the ABS Clearing-House. A question-and-answer session will follow in order to allow participants to further clarify their understanding of the Protocol’s system for monitoring the utilization of genetic resources.

12. The next session under this item will provide an opportunity for participants to go through, step-by-step detailed scenarios on how the monitoring system works in practice. Following examination of the scenarios, participants will form groups and explore a number of variations on the scenarios which will introduce a number of different “challenges” into the initial case. Groups will have time to discuss and share experiences and answer questions related to each variation. Groups will subsequently be invited to present a summary of their group’s discussion and their proposed solution to each of the “challenges”. Following each group’s presentation, other groups will be invited to comment and explain what they may have done differently to resolve each of the cases.

# ITEM 4. Country experiences on monitoring the utilization of genetic resources

13. Under this item, participants from capacity-development partners and a number of countries will be invited to present on key topics and experiences in implementing the Protocol’s provisions related to monitoring the utilization of genetic resources, such as, ABS procedures and issuing permits, publishing internationally recognized certificates of compliance, electronic permitting systems, designation of effective checkpoints, issuing checkpoint communiques, and compliance. Presenters will have a chance to share their unique experience, as well as, their challenges and achievements. Following the presentations there will be time for questions and discussions.

# ITEM 5. Moving forward: developing road maps FOr EnHANCing National systems to monitor the utilization of genetic resources

14. Under this item, building on the previous presentations and discussions, participants will be asked to take stock of what they have learned in relation to their national circumstances and identify their strengths, challenges and opportunities at the national level. Participants will also be invited to develop a “country roadmap” aimed at enhancing progress on the implementation of the Nagoya Protocol provisions related to monitoring the utilization of genetic resources.

15. In order to facilitate the development of the country road maps, groups will be formed around specific issues. Participants will be encouraged to circulate from group to group in order to gather information on issues or challenges that they may be interested in. The goal of this exercise is for each participant to share their experience helping as many others as they can while also finding the help they need to complete their own roadmaps and gather information on issues or challenges they face. Countries will then be invited to briefly present their roadmaps at the end of the session.

# ITEM 8. Conclusion and summary

16. Under this item, participants will discuss the key conclusions and observations that have emerged during the workshop.

17. Participants will then discuss opportunities for future collaboration building on the workshop discussions and output.

18. Participants will also provide their views on the effectiveness of the workshop itself, to be considered in future capacity development activities.

# ITEM 9. closure of the workshop

19. The workshop is expected to close at 4 p.m. on Wednesday, 2 October 2019.

*Annex 1*

# Global capacity-building workshop on monitoring the utilization of genetic resources and the Nagoya Protocol

*Tentative* workshop programme

**Monday, 30 September 2019 – Monitoring utilization under the Protocol**

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| Time | Agenda item |
| 9.30 – 9 a.m. | Registration of participants |
| 9 – 9.30 a.m. | Session 1: Workshop opening   * Welcoming remarks * Introduction to the workshop and methodology |
| 9.30 – 9.45 a.m. | *Coffee break* |
| 9.45 a.m. – 12.15 p.m. | Session 2: Introduction to the system for monitoring utilization under the Protocol   * Overview and key concepts related to the monitoring system under the Protocol, such as: permits, internationally recognized certificates of compliance, checkpoints, checkpoint communiques, and the ABS Clearing-House |
| 12.15 – 1.30 p.m. | *Lunch break* |
| 1.30 – 3.30 p.m. | Session 3: Practical example of an ABS case   * Step-by-step examination of a scenario on how the monitoring system works from start to finish to provide a practical illustration of the overview presented in session 2 |
| 3.30 – 3.50 p.m. | *Coffee break* |
| 3.50 – 5.30 p.m. | Session 4: Activity   * Breakout group discussion on different scenarios to examine how the system for monitoring could work in a variety of circumstances |

**Tuesday, 1 October 2019 – Practical experiences**

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| Time | Agenda item |
| 9 – 9.30 a.m. | Recap of the previous day and introduction to today’s activities |
| 9.30 – 11 a.m. | Session 5: Country experiences: Permits and internationally recognized certificates of compliance |
| 11 – 11.20 a.m. | *Coffee break* |
| 11.20 a.m.– 1 p.m. | Session 6: Country experiences: monitoring the utilization of genetic resources, checkpoints and the checkpoint communique |
| 1 – 2 p.m. | *Lunch break* |
| 2– 3.30 p.m. | Session 7: Country experiences: other systems to monitor the utilization of genetic resources |
| 3.30 – 3.50 p.m. | *Coffee break* |
| 3.50 – 5.30 p.m. | Question and answers and plenary discussions |

**Wednesday, 2 October 2019 – Moving forward**

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| Time | Agenda item |
| 9 – 9.30 a.m. | Recap of the previous day and introduction to today’s activities |
| 9.30 – 9.50 a.m. | *Coffee break* |
| 9.50 – 10.20 a.m. | Session 8: Country analysis and roadmap   * Participants will be asked to do a country analysis identifying their challenges and achievements in order to guide the development of a plan or roadmap to enhance their country’s system on monitoring the utilization of genetic resources |
| 10.20 a.m. – 12.30 p.m. | Session 9: Breakout work sessions (participants pick the issues where they need help)   * ABS Clearing-House * Designation of effective Checkpoints * ABS Procedures and issuing Permits * Checkpoints and communiqués * Compliance (Art 15,16) * Permitting system |
| 12.30 – 1.30 p.m. | *Lunch break* |
| 1.30 – 3.30 p.m. | Session 11: Country road map presentations |
| 3.30 – 3.45 p.m. | Session 12: Wrap up, evaluation and closure of the meeting |
| 3.45 – 4 p.m. | Session 13: Closing |

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