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COMPLIANCE COMMITTEE UNDER THE NAGOYA PROTOCOL

Second meeting

Montreal, Canada, 24-26 April 2018

Item 2 of the provisional agenda[[1]](#footnote-1)\*

Statistical overview of the answers provided in the interim national report for the nagoya protocol

*Note by the Executive Secretary*

# INTRODUCTION

1. The deadline for submission of the interim national report was 1 November 2017. As of 22 February 2018, 75 reports had been received: 69 from Parties to the Protocol and 6 from non-Parties.
2. All interim national reports received are available in the ABS Clearing-House at the following link: https://absch.cbd.int/reports with the exception of one report that was submitted offline. A list of countries that submitted an interim national report is provided in the annex to the present document.
3. The statistical information presented in this document complements the analysis of information contained in the interim national reports and published in the Access and Benefit-sharing Clearing-House made available as document CBD/ABS/CC/2/INF/1. It provides disaggregated information by CBD regional groups on the yes/no responses provided by Parties and non-Parties to the questions contained in the interim national report. Questions from the interim national report for which answers were provided through narrative text are not covered by this document. This document includes information from all reports received online and offline in the official format by 22 February 2018.
4. The questions of the report which are mandatory are marked with an asterisk.

# INFORMATION PROVIDED BY PARTIES AND NON-PARTIES

## INSTITUTIONAL STRUCTURES

1. The analysis of the responses provided in this section of the report, also includes information submitted through the ABS Clearing-House. In addition, for those countries that did not submit an interim national report, information provided through the national biodiversity strategies and action plans (NBSAPs) and the fifth national reports on the implementation of the Convention has also been considered. Therefore, the statistical information presented takes into account the total number of Parties and non-Parties and not only those countries that submitted an interim national report.
2. In the tables below covering questions 4, 6, 7, 8 and 9 the information is categorized under columns A to C as follows:

Column A: Information provided in the interim national report (“yes” response), NBSAPs, fifth national report and/or to the ABS Clearing-House

Column B: Information available but not yet provided to the ABS Clearing-House

Column C: “No” response in the report

**Question 3. \*Has your country made the information available to the ABS Clearing-House as provided in Article 14.2?**

1. The following table provides an overview of the yes/no responses provided by Parties and non-Parties to question 3 of the interim national report.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Publication of information in the ABS Clearing-House (overview of responses provided in the interim national report)** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 19 | 8 | 1 | 0 | 20 | 8 |  |  |  |  |  |  |  |
| **AP** | 6 | 7 | 0 | 0 | 6 | 7 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 7 | 0 | 2 | 0 | 9 | 0 | Yes | 75% | 71% | 46% | 100% | 60% | 100% |
| **GRULAC** | 5 | 3 | 1 | 1 | 6 | 4 | No | 25% | 29% | 54% | 0% | 40% | 0% |
| **WEOG** | 14 | 0 | 1 | 0 | 15 | 0 |  |  |  |  |  |  |  |
| **Total** | 51 | 18 | 5 | 1 | 56 | 19 |  |  |  |  |  |  |  |

1. The tables below provide an overview of the number of Parties and non-Parties that had information published in the ABS Clearing-House[[2]](#footnote-2) by 22 February 2018, as well as the number of Parties and non-Parties that, according to information provided in the interim national report, NBSAP or the fifth national report, have information available that has not yet been published in the ABS Clearing-House.[[3]](#footnote-3)

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress by Parties and Non-Parties in publishing information in the ABS Clearing-House** | | | | | | | | | | |
| **Regions** | Total Parties and Non-Parties | Information published | Information yet to be published | Percentages | | | | | | |
| **AFR** | 54 | 21 | 24 |  |  |  |  |  |  |  |
| **AP** | 57 | 7 | 17 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 23 | 10 | 4 | Information published | 31% | 39% | 12% | 43% | 36% | 39% |
| **GRULAC** | 33 | 12 | 10 | Information yet to be published | 31% | 44% | 30% | 17% | 30% | 19% |
| **WEOG** | 31 | 12 | 6 |  |  |  |  |  |  |  |
| **Total** | 198 | 62 | 61 |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress by Parties in publishing information in the ABS Clearing-House** | | | | | | | | | | |
| **Regions** | Total Parties | Information published | Information yet to be published | Percentages | | | | | | |
| **AFR** | 42 | 20 | 23 |  |  |  |  |  |  |  |
| **AP** | 27 | 6 | 12 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 8 | 8 | 3 | Information published | 51% | 48% | 22% | 100% | 62% | 80% |
| **GRULAC** | 13 | 8 | 6 | Information available but not yet published | 44% | 55% | 44% | 38% | 46% | 13% |
| **WEOG** | 15 | 12 | 2 |  |  |  |  |  |  |  |
| **Total** | 105 | 54 | 46 |  |  |  |  |  |  |  |

**Question 4. \*Has your country taken legislative, administrative and policy measures on ABS?**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in establishing legislative, administrative and policy measures on ABS by Parties** | | | | | | | | | | | |
| **Regions** | Total Parties | A | B | C |  | Percentages | | | | | |
| **AFR** | 42 | 27 | 19 | 7 |  |  |  |  |  |  |  |
| **AP** | 27 | 14 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 8 | 8 | 0 | 0 | A | 72% | 64% | 52% | 100% | 92% | 100% |
| **GRULAC** | 13 | 12 | 6 | 0 | B | 35% | 45% | 41% | 0% | 46% | 7% |
| **WEOG** | 15 | 15 | 1 | 0 | C | 9% | 17% | 7% | 0% | 0% | 0% |
| **Total** | 105 | 76 | 37 | 9 |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in establishing legislative, administrative and policy measures on ABS by  non-Parties** | | | | | | | | | | | |
| **Regions** | Total Non-Parties | A | B | C |  | Percentages | | | | | |
| **AFR** | 12 | 2 | 1 | 0 |  |  |  |  |  |  |  |
| **AP** | 30 | 6 | 5 | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 15 | 7 | 1 | 0 | A | 32% | 17% | 20% | 47% | 35% | 50% |
| **GRULAC** | 20 | 7 | 5 | 0 | B | 17% | 8% | 17% | 7% | 25% | 25% |
| **WEOG** | 16 | 8 | 4 | 1 | C | 1% | 0% | 0% | 0% | 0% | 6% |
| **Total** | 94 | 30 | 16 | 1 |  |  |  |  |  |  |  |

**Question 5. \*Has your country designated a national focal point as provided in Article 13?**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in designating a national focal point by Parties** | | | | | | | | | | |
| **Regions** | Total Parties | Yes | No | Percentages | | | | | | |
| **AFR** | 42 | 41 | 1 |  |  |  |  |  |  |  |
| **AP** | 27 | 26 | 1 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 8 | 8 | 0 | Yes | 98% | 98% | 96% | 100% | 100% | 100% |
| **GRULAC** | 13 | 13 | 0 | No | 2% | 2% | 4% | 0% | 0% | 0% |
| **WEOG** | 15 | 15 | 0 |  |  |  |  |  |  |  |
| **Total** | 105 | 103 | 2 |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in designating a national focal point by non-Parties** | | | | | | | | | | |
| **Regions** | Total Non-Parties | Yes | No | Percentages | | | | | | |
| **AFR** | 12 | 10 | 2 |  |  |  |  |  |  |  |
| **AP** | 30 | 23 | 7 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 15 | 12 | 3 | Yes | 72% | 83% | 77% | 80% | 65% | 56% |
| **GRULAC** | 20 | 13 | 7 | No | 28% | 17% | 23% | 20% | 35% | 44% |
| **WEOG** | 16 | 9 | 7 |  |  |  |  |  |  |  |
| **Total** | 93 | 67 | 26 |  |  |  |  |  |  |  |

**Question 6. \*Has your country designated one or more competent national authorities as provided in Article 13?**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in designating one or more competent national authorities by Parties** | | | | | | | | | | | |
| **Regions** | Total Parties | A | B | C | Percentages | | | | | | |
| **AFR** | 42 | 19 | 6 | 10 |  |  |  |  |  |  |  |
| **AP** | 27 | 10 | 6 | 5 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 8 | 8 | 0 | 0 | A | 54% | 45% | 37% | 100% | 62% | 80% |
| **GRULAC** | 13 | 8 | 1 | 1 | B | 14% | 14% | 22% | 0% | 8% | 13% |
| **WEOG** | 15 | 12 | 2 | 2 | C | 17% | 24% | 19% | 0% | 8% | 13% |
| **Total** | 105 | 57 | 15 | 18 |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in designating one or more competent national authorities by non-Parties** | | | | | | | | | | | |
| **Regions** | Total Non- Parties | A | B | C | Percentages | | | | | | |
| **AFR** | 12 | 0 | 0 | 1 |  |  |  |  |  |  |  |
| **AP** | 30 | 1 | 0 | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 15 | 2 | 0 | 0 | A | 8% | 0% | 3% | 13% | 20% | 0% |
| **GRULAC** | 20 | 4 | 0 | 2 | B | 0% | 0% | 0% | 0% | 0% | 0% |
| **WEOG** | 16 | 0 | 0 | 1 | C | 4% | 8% | 0% | 0% | 10% | 6% |
| **Total** | 93 | 7 | 0 | 4 |  |  |  |  |  |  |  |

**Questions 7\*, 8, and 16: Has your country made available to the ABS Clearing-House permits or their equivalents issued at the time of access as evidence of the decision to grant prior informed consent (PIC) and of the establishment of mutually agreed terms (MAT)?/ Has your country made available to the ABS Clearing-House permits or their equivalent for the constitution of the internationally recognized certificate of compliance (IRCC)?/ Number of permits or their equivalents made available through the ABS-Clearing-House since the entry into force of the Protocol for your country.**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in publishing internationally recognized certificates of compliance in the ABS Clearing-House by Parties** | | | | | | | | | | | |
| **Regions** | Total Parties | A | B | C | Percentages | | | | | | |
| **AFR** | 42 | 2 | 7 | 25 |  |  |  |  |  |  |  |
| **AP** | 27 | 1 | 1 | 12 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 8 | 2 | 0 | 5 | A | 11% | 5% | 4% | 25% | 38% | 13% |
| **GRULAC** | 13 | 5 | 3 | 4 | B | 10% | 17% | 4% | 0% | 23% | 0% |
| **WEOG** | 15 | 2 | 0 | 12 | C | 55% | 60% | 44% | 63% | 31% | 80% |
| **Total** | 105 | 12 | 11 | 58 |  |  |  |  |  |  |  |

1. All non-Parties that submitted an interim national report (6) answered that questions 7 and 8 were not applicable.

**Question 9. \*Has your country designated one or more checkpoints as provided in Article 17?**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in designating one or more checkpoints by Parties** | | | | | | | | | | | |
| **Regions** | Total Parties | A | B | C | Percentages | | | | | | |
| **AFR** | 42 | 5 | 3 | 22 |  |  |  |  |  |  |  |
| **AP** | 27 | 5 | 4 | 8 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 8 | 4 | 2 | 3 | A | 28% | 12% | 19% | 50% | 23% | 80% |
| **GRULAC** | 13 | 3 | 1 | 5 | B | 10% | 7% | 15% | 25% | 8% | 7% |
| **WEOG** | 15 | 12 | 1 | 2 | C | 38% | 52% | 30% | 38% | 38% | 13% |
| **Total** | 105 | 29 | 11 | 40 |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Progress in designating one or more checkpoints by non-Parties** | | | | | | | | | | | |
| **Regions** | Total Non-Parties | A | B | C | Percentages | | | | | | |
| **AFR** | 12 | 0 | 0 | 1 |  |  |  |  |  |  |  |
| **AP** | 30 | 0 | 0 | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 15 | 1 | 0 | 1 | A | 1% | 0% | 0% | 7% | 0% | 1% |
| **GRULAC** | 20 | 0 | 0 | 2 | B | 0% | 0% | 0% | 0% | 0% | 0% |
| **WEOG** | 16 | 0 | 0 | 1 | C | 5% | 8% | 0% | 7% | 1% | 5% |
| **Total** | 93 | 1 | 0 | 5 |  |  |  |  |  |  |  |

## ABS MEASURES: ACCESS TO GENETIC RESOURCES (ARTICLE 6)

**Question 11. \*Is access to genetic resources subject to PIC as provided in Article 6.1?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Access to genetic resources subject to PIC** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 18 | 9 | 1 | 0 | 19 | 9 |  |  |  |  |  |  |  |
| **AP** | 7 | 6 | 0 | 0 | 7 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 2 | 5 | 0 | 2 | 2 | 7 | Yes | 52% | 68% | 54% | 22% | 70% | 27% |
| **GRULAC** | 6 | 2 | 1 | 1 | 7 | 3 | No | 48% | 32% | 46% | 78% | 30% | 73% |
| **WEOG** | 4 | 10 | 0 | 1 | 4 | 11 |  |  |  |  |  |  |  |
| **Total** | 37 | 32 | 2 | 4 | 39 | 36 |  |  |  |  |  |  |  |

**Question 12. \*Does your country have fair and non-arbitrary rules and procedures on accessing genetic resources as provided in Article 6.3 (b)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Fair and non-arbitrary rules and procedures on accessing genetic resources** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | | |
| **AFR** | 13 | 5 | 1 | 0 | 14 | 5 |  |  |  |  |  |  |  | |
| **AP** | 7 | 0 | 0 | 0 | 7 | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG | |
| **CEE** | 2 | 0 | 0 | 0 | 2 | 0 | Yes | 85% | 74% | 100% | 100% | 86% | 100% | |
| **GRULAC** | 5 | 1 | 1 | 0 | 6 | 1 | No | 15% | 26% | 0% | 0% | 14% | 0% | |
| **WEOG** | 4 | 0 | 0 | 0 | 4 | 0 |  |  |  |  |  |  |  | |
| **Total** | 31 | 6 | 2 | 0 | 33 | 6 |  |  |  |  |  |  |  | |

**Question 13. \*Does your country provide information on how to apply for PIC as provided in Article 6.3(c)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Provision of information on how to apply for PIC** | | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | | Yes | No |  | | | | | | |
| **AFR** | 11 | 7 | | 1 | 0 | | 12 | 7 |  |  |  |  |  |  |  |
| **AP** | 6 | 1 | | 0 | 0 | | 6 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 1 | 1 | | 0 | 0 | | 1 | 1 | Yes | 74% | 63% | 86% | 50% | 86% | 100% |
| **GRULAC** | 5 | 1 | | 1 | 0 | | 6 | 1 | No | 26% | 37% | 14% | 50% | 14% | 0% |
| **WEOG** | 4 | 0 | | 0 | 0 | | 4 | 0 |  |  |  |  |  |  |  |
| **Total** | 27 | 10 | | 2 | 0 | | 29 | 11 |  |  |  |  |  |  |  |

**Question 14. \*Does your country provide for a clear and transparent written decision by a competent national authority as provided in Article 6.3 (d)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Provision of a clear and transparent written decision by a competent national authority** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 14 | 4 | 1 | 0 | 15 | 4 |  |  |  |  |  |  |  |
| **AP** | 7 | 0 | 0 | 0 | 7 | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 1 | 1 | 0 | 0 | 1 | 1 | Yes | 87% | 79% | 100% | 50% | 100% | 100% |
| **GRULAC** | 6 | 0 | 1 | 0 | 7 | 0 | No | 13% | 21% | 0% | 50% | 0% | 0% |
| **WEOG** | 4 | 0 | 0 | 0 | 4 | 0 |  |  |  |  |  |  |  |
| **Total** | 32 | 5 | 2 | 0 | 34 | 5 |  |  |  |  |  |  |  |

**Question 15. \*Does your country provide for the issuance at the time of access of a permit or its equivalent as provided in Article 6.3 (e)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Provision for the issuance of a permit or its equivalent at the time of access** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | | No |  | | | | | | |
| **AFR** | 14 | 4 | 1 | 0 | 15 | | 4 |  |  |  |  |  |  |  |
| **AP** | 7 | 0 | 0 | 0 | 7 | | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 1 | 1 | 0 | 0 | 1 | | 1 | Yes | 87% | 79% | 100% | 50% | 100% | 100% |
| **GRULAC** | 6 | 0 | 1 | 0 | 7 | | 0 | No | 13% | 21% | 0% | 50% | 0% | 0% |
| **WEOG** | 4 | 0 | 0 | 0 | 4 | | 0 |  |  |  |  |  |  |  |
| **Total** | 32 | 5 | 2 | 0 | 34 | | 5 |  |  |  |  |  |  |  |

**Question 17. \*Does your country have rules and procedures for requiring and establishing MAT as provided in Article 6.3 (g)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Rules and procedures for requiring and establishing MAT** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 12 | 6 | 1 | 0 | 13 | 6 |  |  |  |  |  |  |  |
| **AP** | 7 | 0 | 0 | 0 | 7 | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 1 | 1 | 0 | 0 | 1 | 1 | Yes | 74% | 68% | 100% | 50% | 57% | 100% |
| **GRULAC** | 4 | 2 | 0 | 1 | 4 | 3 | No | 26% | 32% | 0% | 50% | 43% | 0% |
| **WEOG** | 4 | 0 | 0 | 0 | 4 | 0 |  |  |  |  |  |  |  |
| **Total** | 28 | 9 | 1 | 1 | 29 | 10 |  |  |  |  |  |  |  |

**Question 18. Monetary and non-monetary benefits received since entry into force of the Protocol from the utilization of genetic resources and the utilization of traditional knowledge associated with genetic resources**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Monetary and non-monetary benefits received from the utilization of genetic resources** | | | | | | |
| **Regions** | Parties | | | Non-Parties | | |
|  | Yes | Monetary | Non-monetary | Yes | Monetary | Non-monetary |
| **AFR** | 20 | 10 | 18 | 0 | 0 | 0 |
| **AP** | 7 | 3 | 6 | 0 | 0 | 0 |
| **CEE** | 0 | 0 | 0 | 0 | 0 | 0 |
| **GRULAC** | 5 | 3 | 5 | 1 | 0 | 1 |
| **WEOG** | 2 | 0 | 2 | 0 | 0 | 0 |
| **Total** | 34 | 16 | 31 | 1 | 0 | 1 |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Monetary and non-monetary benefits received from the utilization of traditional knowledge associated with genetic resources** | | | | | | |
| **Regions** | Parties | | | Non-Parties | | |
|  | Yes | Monetary | Non-monetary | Yes | Monetary | Non-monetary |
| **AFR** | 9 | 6 | 7 | 0 | 0 | 0 |
| **AP** | 4 | 0 | 4 | 0 | 0 | 0 |
| **CEE** | 1 | 0 | 1 | 0 | 0 | 0 |
| **GRULAC** | 2 | 0 | 2 | 1 | 0 | 1 |
| **WEOG** | 1 | 0 | 0 | 0 | 0 | 0 |
| **Total** | 17 | 6 | 14 | 1 | 0 | 1 |

## ABS MEASURES: FAIR AND EQUITABLE BENEFIT-SHARING (ARTICLE 5)

**Question 20. \*Has your country taken legislative, administrative or policy measures to implement Article 5.1 that provides that benefits arising from the utilization of genetic resources as well as subsequent applications and commercialization are shared with the Party providing such resources that is the country of origin of such resources or a Party that has acquired the genetic resources in accordance with the Convention as provided in Article 5.3?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to implement Article 5.1 of the Protocol** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 15 | 12 | 1 | 0 | 16 | 12 |  |  |  |  |  |  |  |
| **AP** | 7 | 6 | 0 | 0 | 7 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 6 | 1 | 2 | 0 | 8 | 1 | Yes | 67% | 57% | 54% | 89% | 40% | 100% |
| **GRULAC** | 4 | 4 | 0 | 2 | 4 | 6 | No | 33% | 43% | 46% | 11% | 60% | 0% |
| **WEOG** | 14 | 0 | 1 | 0 | 15 | 0 |  |  |  |  |  |  |  |
| **Total** | 46 | 23 | 4 | 2 | 50 | 25 |  |  |  |  |  |  |  |

**Question 21. \*Has your country adopted legislative, administrative or policy measures with the aim of ensuring that the benefits from the utilization of genetic resources held by indigenous and local communities, in accordance with domestic legislation regarding the established rights of these indigenous and local communities over these genetic resources, are shared with the indigenous and local communities concerned as provided in Article 5.2?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to implement Article 5.2 of the Protocol** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 12 | 15 | 1 | 0 | 13 | 15 |  |  |  |  |  |  |  |
| **AP** | 8 | 5 | 0 | 0 | 8 | 5 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | 2 | 0 | 7 | 2 | Yes | 63% | 46% | 62% | 78% | 40% | 100% |
| **GRULAC** | 3 | 5 | 1 | 1 | 4 | 6 | No | 37% | 54% | 38% | 22% | 60% | 0% |
| **WEOG** | 14 | 0 | 1 | 0 | 15 | 0 |  |  |  |  |  |  |  |
| **Total** | 42 | 27 | 5 | 1 | 47 | 28 |  |  |  |  |  |  |  |

**Question 22. \*Has your country taken legislative, administrative or policy measures in order that benefits arising from the utilization of traditional knowledge associated with genetic resources are shared with indigenous and local communities holding such knowledge as provided in Article 5.5?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to implement Article 5.5 of the Protocol** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 12 | 15 | 1 | 0 | 13 | 15 |  |  |  |  |  |  |  |
| **AP** | 7 | 6 | 0 | 0 | 7 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | 2 | 0 | 7 | 2 | Yes | 61% | 46% | 54% | 78% | 50% | 93% |
| **GRULAC** | 4 | 4 | 1 | 1 | 5 | 5 | No | 39% | 54% | 46% | 22% | 50% | 7% |
| **WEOG** | 13 | 1 | 1 | 0 | 14 | 1 |  |  |  |  |  |  |  |
| **Total** | 41 | 28 | 5 | 1 | 46 | 29 |  |  |  |  |  |  |  |

## ABS MEASURES: COMPLIANCE WITH DOMESTIC LEGISLATION OR REGULATORY REQUIREMENTS ON ABS (ARTICLE 15 AND 16) AND THE UTILIZATION OF GENETIC RESOURCES (ARTICLE 17)

**Question 24.1. \*Has your country taken appropriate, effective and proportionate legislative, administrative or policy measures to provide that genetic resources utilized within your jurisdiction have been accessed in accordance with PIC and that MAT have been established as required by the domestic ABS legislation or regulatory requirements of the other Party as provided in Article 15.1?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to implement Article 15.1 of the Protocol** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 8 | 19 | 1 | 0 | 9 | 19 |  |  |  |  |  |  |  |
| **AP** | 5 | 8 | 0 | 0 | 5 | 8 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 6 | 1 | 2 | 0 | 8 | 1 | Yes | 53% | 32% | 38% | 89% | 40% | 93% |
| **GRULAC** | 3 | 5 | 1 | 1 | 4 | 6 | No | 47% | 68% | 62% | 11% | 60% | 7% |
| **WEOG** | 14 | 0 | 0 | 1 | 14 | 1 |  |  |  |  |  |  |  |
| **Total** | 36 | 33 | 4 | 1 | 40 | 35 |  |  |  |  |  |  |  |

**Question 24.2. Please indicate whether your country has taken measures to address situations of non-compliance with those measures as provided in Article 15.2?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to address situations of non-compliance as provided in Article 15.2** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 5 | 3 | 1 | 0 | 6 | 3 |  |  |  |  |  |  |  |
| **AP** | 4 | 1 | 0 | 0 | 4 | 1 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 2 | 2 | 0 | 6 | 2 | Yes | 83% | 67% | 80% | 75% | 75% | 100% |
| **GRULAC** | 2 | 1 | 1 | 0 | 3 | 1 | No | 18% | 33% | 20% | 25% | 25% | 0% |
| **WEOG** | 14 | 0 | 0 | 0 | 14 | 0 |  |  |  |  |  |  |  |
| **Total** | 29 | 7 | 4 | 0 | 33 | 7 |  |  |  |  |  |  |  |

**Question 24.3. Have there been specific cases in which your country cooperated with other Parties in cases of alleged violation of ABS measures as provided in Article 15.3?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Cooperation with other Parties in cases of alleged violation of ABS measures** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 2 | 6 | 0 | 1 | 2 | 7 |  |  |  |  |  |  |  |
| **AP** | 0 | 5 | 0 | 0 | 0 | 5 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 1 | 5 | 0 | 2 | 1 | 7 | Yes | 10% | 22% | 0% | 13% | 25% | 0% |
| **GRULAC** | 0 | 3 | 1 | 0 | 1 | 3 | No | 90% | 78% | 100% | 88% | 75% | 100% |
| **WEOG** | 0 | 14 | 0 | 0 | 0 | 14 |  |  |  |  |  |  |  |
| **Total** | 3 | 33 | 1 | 3 | 4 | 36 |  |  |  |  |  |  |  |

**Question 25.1. \*Has your country taken appropriate, effective and proportionate legislative, administrative or policy measures to provide that traditional knowledge associated with genetic resources utilized within your jurisdiction has been accessed in accordance with PIC or approval and involvement of indigenous and local communities and that MAT have been established as required by the domestic ABS legislation or regulatory requirements of the other Party where such indigenous and local communities are located as provided in Article 16.1?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to implement Article 16.1** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | | No |  | | | | | | |
| **AFR** | 6 | 21 | 1 | 0 | 7 | | 21 |  |  |  |  |  |  |  |
| **AP** | 6 | 6 | 0 | 0 | 6 | | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | 2 | 0 | 7 | | 2 | Yes | 50% | 25% | 50% | 78% | 30% | 93% |
| **GRULAC** | 2 | 6 | 1 | 1 | 3 | | 7 | No | 50% | 75% | 50% | 22% | 70% | 7% |
| **WEOG** | 14 | 0 | 0 | 1 | 14 | | 1 |  |  |  |  |  |  |  |
| **Total** | 33 | 35 | 4 | 2 | 37 | | 37 |  |  |  |  |  |  |  |

**Question 25.2. Please indicate whether your country has taken measures to address situations of non-compliance with those measures as provided in Article 16.2?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to address situations of non-compliance** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 3 | 3 | 1 | 0 | 4 | 3 |  |  |  |  |  |  |  |
| **AP** | 5 | 1 | 0 | 0 | 5 | 1 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 1 | 2 | 0 | 6 | 1 | Yes | 86% | 57% | 83% | 86% | 100% | 100% |
| **GRULAC** | 2 | 0 | 1 | 0 | 3 | 0 | No | 14% | 43% | 17% | 14% | 0% | 0% |
| **WEOG** | 14 | 0 | 0 | 0 | 14 | 0 |  |  |  |  |  |  |  |
| **Total** | 28 | 5 | 4 | 0 | 32 | 5 |  |  |  |  |  |  |  |

**Question 25.3. Has your country cooperated in specific cases of alleged violation of ABS measures as provided in Article 16.3?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Cooperation in cases of alleged violation of ABS measures** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 0 | 6 | 0 | 1 | 0 | 7 |  |  |  |  |  |  |  |
| **AP** | 1 | 5 | 0 | 0 | 1 | 5 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 5 | 0 | 2 | 0 | 7 | Yes | 5% | 0% | 17% | 0% | 33% | 0% |
| **GRULAC** | 0 | 2 | 1 | 0 | 1 | 2 | No | 95% | 100% | 83% | 100% | 67% | 100% |
| **WEOG** | 0 | 14 | 0 | 0 | 0 | 14 |  |  |  |  |  |  |  |
| **Total** | 1 | 32 | 1 | 3 | 2 | 35 |  |  |  |  |  |  |  |

**Question 26.1. \*Does your country require users of genetic resources to provide information related to PIC, to the source of the genetic resource, to the establishment of MAT and/or utilization of genetic resources at a designated checkpoint, as appropriate, as provided in Article 17.1 (a)(i) and (ii))?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Requirement to users to provide information at a designated checkpoint** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 12 | 15 | 1 | 0 | 13 | 15 |  |  |  |  |  |  |  |
| **AP** | 6 | 5 | 0 | 0 | 6 | 5 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 6 | 1 | 2 | 0 | 8 | 1 | Yes | 62% | 46% | 55% | 89% | 50% | 87% |
| **GRULAC** | 4 | 4 | 1 | 1 | 5 | 5 | No | 38% | 54% | 45% | 11% | 50% | 13% |
| **WEOG** | 13 | 1 | 0 | 1 | 13 | 2 |  |  |  |  |  |  |  |
| **Total** | 41 | 26 | 4 | 2 | 45 | 28 |  |  |  |  |  |  |  |

**Question 26.2. Has your country taken measures to address situations of non-compliance?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to address situations of non-compliance** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 6 | 6 | 1 | 0 | 7 | 6 |  |  |  |  |  |  |  |
| **AP** | 3 | 3 | 0 | 0 | 3 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 1 | 2 | 0 | 7 | 1 | Yes | 76% | 54% | 50% | 88% | 80% | 100% |
| **GRULAC** | 3 | 1 | 1 | 0 | 4 | 1 | No | 24% | 46% | 50% | 13% | 20% | 0% |
| **WEOG** | 13 | 0 | 0 | 0 | 13 | 0 |  |  |  |  |  |  |  |
| **Total** | 30 | 11 | 4 | 0 | 34 | 11 |  |  |  |  |  |  |  |

**Question 27. \*Has your country provided the information referred to in Article 17.1 (a)(i) to relevant national authorities, to the Party providing PIC and to the ABS Clearing-House as provided in Article 17.1 (a)(iii)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Provision of information referred to in Article 17.1 (a)(i) to relevant national authorities, the provider, and the ABS Clearing‑House** | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | No | Yes | No |  | | | | | | |
| **AFR** | 4 | 23 | 0 | 1 | 4 | 24 |  |  |  |  |  |  |  |
| **AP** | 2 | 10 | 0 | 0 | 2 | 10 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 7 | 0 | 2 | 0 | 9 | Yes | 12% | 14% | 18% | 0% | 10% | 13% |
| **GRULAC** | 1 | 7 | 0 | 2 | 1 | 9 | No | 88% | 86% | 82% | 100% | 90% | 87% |
| **WEOG** | 2 | 12 | 0 | 1 | 2 | 13 |  |  |  |  |  |  |  |
| **Total** | 9 | 59 | 0 | 6 | 9 | 65 |  |  |  |  |  |  |  |

**Question 28. \*Has your country taken measures to encourage users and providers to include provisions in MAT to share information on the implementation of such terms as provided in Article 17.1(b)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to encourage users and providers to include provisions in MAT to share information on the implementation of such terms** | | | | | | | | | | | | | | |
|  | Parties | | | Non-Parties | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | Yes | | No | Yes | No |  | | | | | | |
| **AFR** | 10 | 17 | 1 | | 0 | 11 | 17 |  |  |  |  |  |  |  |
| **AP** | 7 | 6 | 0 | | 0 | 7 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 7 | 1 | | 1 | 1 | 8 | Yes | 37% | 39% | 54% | 11% | 40% | 33% |
| **GRULAC** | 4 | 4 | 0 | | 2 | 4 | 6 | No | 63% | 61% | 46% | 89% | 60% | 67% |
| **WEOG** | 5 | 9 | 0 | | 1 | 5 | 10 |  |  |  |  |  |  |  |
| **Total** | 26 | 43 | 2 | | 4 | 28 | 47 |  |  |  |  |  |  |  |

**Question 29. \*Is your country encouraging the use of cost-effective communications tools and systems as provided in Article 17.1(c)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Use of cost effective communication tools and systems** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 13 | 14 | | 1 | 0 | 14 | 14 |  |  |  |  |  |  |  |
| **AP** | 10 | 3 | | 0 | 0 | 10 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 3 | | 2 | 0 | 6 | 3 | Yes | 61% | 50% | 77% | 67% | 30% | 87% |
| **GRULAC** | 2 | 6 | | 1 | 1 | 3 | 7 | No | 39% | 50% | 23% | 33% | 70% | 13% |
| **WEOG** | 13 | 1 | | 0 | 1 | 13 | 2 |  |  |  |  |  |  |  |
| **Total** | 42 | 27 | | 4 | 2 | 46 | 29 |  |  |  |  |  |  |  |

## ABS MEASURES: COMPLIANCE WITH MUTUALLY AGREED TERMS (ARTICLE 18)

**Question 31. \*Is your country encouraging the inclusion of provisions in MAT to cover dispute resolution as provided in Article 18.1(a)(b)(c)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Provisions in MAT to cover dispute resolution** | | | | | | | | | | | | | | | | |
|  | Parties | | | Non-Parties | | | Total | | | Percentages | | | | | | |
| **Regions** | Yes | No | N/A | Yes | No | N/A | Yes | No | N/A |  |  |  |  |  |  |  |
| **AFR** | 15 | 8 | 4 | 1 | 0 | 0 | 16 | 8 | 4 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **AP** | 8 | 0 | 5 | 0 | 0 | 0 | 8 | 0 | 5 | Yes | 49% | 57% | 62% | 22% | 50% | 40% |
| **CEE** | 2 | 1 | 4 | 0 | 0 | 2 | 2 | 1 | 6 | No | 16% | 29% | 0% | 11% | 10% | 13% |
| **GRULAC** | 5 | 1 | 2 | 0 | 0 | 2 | 5 | 1 | 4 | N/A | 35% | 14% | 38% | 67% | 40% | 47% |
| **WEOG** | 6 | 2 | 6 | 0 | 0 | 1 | 6 | 2 | 7 |  |  |  |  |  |  |  |
| **Total** | 36 | 12 | 21 | 1 | 0 | 5 | 37 | 12 | 26 |  |  |  |  |  |  |  |

**Question 32. \*Does your country ensure that opportunity to seek recourse is available under your legal systems in cases of disputes arising from MAT as provided in Article 18.2?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Opportunity to seek recourse under legal systems in cases of disputes arising from MAT** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 20 | 7 | | 1 | 0 | 21 | 7 |  |  |  |  |  |  |  |
| **AP** | 11 | 2 | | 0 | 0 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | | 2 | 0 | 7 | 2 | Yes | 73% | 75% | 85% | 78% | 50% | 73% |
| **GRULAC** | 4 | 4 | | 1 | 1 | 5 | 5 | No | 27% | 25% | 15% | 22% | 50% | 27% |
| **WEOG** | 11 | 3 | | 0 | 1 | 11 | 4 |  |  |  |  |  |  |  |
| **Total** | 51 | 18 | | 4 | 2 | 55 | 20 |  |  |  |  |  |  |  |

**Question 33.1 \*Has your country taken measures regarding access to justice as provided in Article 18.3?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Access to justice** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 17 | 10 | | 1 | 0 | 18 | 10 |  |  |  |  |  |  |  |
| **AP** | 10 | 3 | | 0 | 0 | 10 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | | 2 | 0 | 7 | 2 | Yes | 67% | 64% | 77% | 78% | 20% | 87% |
| **GRULAC** | 2 | 6 | | 0 | 2 | 2 | 8 | No | 33% | 36% | 23% | 22% | 80% | 13% |
| **WEOG** | 13 | 1 | | 0 | 1 | 13 | 2 |  |  |  |  |  |  |  |
| **Total** | 47 | 22 | | 3 | 3 | 50 | 25 |  |  |  |  |  |  |  |

**Question 33.2 \*Has your country taken measures regarding utilization of mechanisms regarding mutual recognition and enforcement of foreign judgments and arbitral awards as provided in Article 18.3?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Mutual recognition and enforcement of foreign judgments and arbitrary awards** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 13 | 14 | | 0 | 1 | 13 | 10 |  |  |  |  |  |  |  |
| **AP** | 7 | 6 | | 0 | 0 | 7 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | | 2 | 0 | 7 | 2 | Yes | 59% | 57% | 54% | 78% | 40% | 67% |
| **GRULAC** | 3 | 5 | | 1 | 1 | 4 | 6 | No | 41% | 43% | 46% | 22% | 60% | 33% |
| **WEOG** | 10 | 4 | | 0 | 1 | 10 | 5 |  |  |  |  |  |  |  |
| **Total** | 38 | 31 | | 3 | 3 | 41 | 29 |  |  |  |  |  |  |  |

## SPECIAL CONSIDERATIONS (ARTICLE 8)

**Question 35.1 \*In the development and implementation of ABS legislation or regulatory requirements has your country created conditions to promote and encourage research which contributes to the conservation and sustainable use of biodiversity including through simplified measures on access for non-commercial research purposes, taking into account the need to address a change of intent for such research as provided in Article 8(a)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Conditions to promote and encourage research which contributes to the conservation and sustainable use of biodiversity including through simplified measures on access for non-commercial research purposes, taking into account the need to address a change of intent for such research** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 18 | 9 | | 1 | 0 | 19 | 9 |  |  |  |  |  |  |  |
| **AP** | 11 | 2 | | 0 | 0 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 3 | | 0 | 2 | 4 | 5 | Yes | 67% | 68% | 85% | 44% | 70% | 60% |
| **GRULAC** | 6 | 2 | | 1 | 1 | 7 | 3 | No | 33% | 32% | 15% | 56% | 30% | 40% |
| **WEOG** | 9 | 5 | | 0 | 1 | 9 | 6 |  |  |  |  |  |  |  |
| **Total** | 48 | 21 | | 2 | 4 | 50 | 25 |  |  |  |  |  |  |  |

**Question 35.2 \*In the development and implementation of ABS legislation or regulatory requirements has your country paid due regard to cases of present or imminent emergencies that threaten or damage human, animal or plant health as provided in Article 8(b)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Due regard to cases of present or imminent emergencies that threaten or damage human, animal or plant health** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 9 | 18 | | 1 | 0 | 10 | 18 |  |  |  |  |  |  |  |
| **AP** | 11 | 2 | | 0 | 0 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 3 | | 1 | 1 | 5 | 4 | Yes | 56% | 36% | 85% | 56% | 20% | 93% |
| **GRULAC** | 1 | 7 | | 1 | 1 | 2 | 8 | No | 44% | 64% | 15% | 44% | 80% | 7% |
| **WEOG** | 14 | 0 | | 0 | 1 | 14 | 1 |  |  |  |  |  |  |  |
| **Total** | 39 | 30 | | 3 | 3 | 42 | 33 |  |  |  |  |  |  |  |

**Question 35.3 \*In the development and implementation of ABS legislation or regulatory requirements has your country taken into consideration the need for expeditious access to genetic resources and expeditious fair and equitable sharing of benefits arising out of the use of such genetic resources, including access to affordable treatments by those in need, especially in developing countries as provided in Article 8(b)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Consideration of the need for expeditious access to genetic resources and expeditious fair and equitable sharing of benefits arising out of the use of such genetic resources, including access to affordable treatments by those in need, especially in developing countries** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 9 | 18 | | 0 | 1 | 9 | 19 |  |  |  |  |  |  |  |
| **AP** | 6 | 7 | | 0 | 0 | 6 | 7 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 1 | 6 | | 0 | 2 | 1 | 8 | Yes | 36% | 32% | 46% | 11% | 30% | 53% |
| **GRULAC** | 2 | 6 | | 1 | 1 | 3 | 7 | No | 64% | 68% | 54% | 89% | 70% | 47% |
| **WEOG** | 8 | 6 | | 0 | 1 | 8 | 7 |  |  |  |  |  |  |  |
| **Total** | 26 | 43 | | 1 | 5 | 27 | 48 |  |  |  |  |  |  |  |

**Question 35.4 \*In the development and implementation of ABS legislation or regulatory requirements has your country considered the importance of genetic resources for food and agriculture and their special role for food security as provided in Article 8 (c)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Consideration of the importance of genetic resources for food and agriculture and their special role for  food security** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 16 | 11 | | 1 | 0 | 17 | 11 |  |  |  |  |  |  |  |
| **AP** | 9 | 4 | | 0 | 0 | 9 | 4 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | | 1 | 1 | 6 | 3 | Yes | 68% | 61% | 69% | 67% | 50% | 93% |
| **GRULAC** | 4 | 4 | | 1 | 1 | 5 | 5 | No | 32% | 39% | 31% | 33% | 50% | 7% |
| **WEOG** | 14 | 0 | | 0 | 1 | 14 | 1 |  |  |  |  |  |  |  |
| **Total** | 48 | 21 | | 3 | 3 | 51 | 24 |  |  |  |  |  |  |  |

## PROVISIONS RELATED TO INDIGENOUS PEOPLES AND LOCAL COMMUNITIES: ARTICLES 6, 7 AND 12

**Question 37. \*Does your country have indigenous and local communities?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Indigenous and local communities** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 25 | 2 | | 1 | 0 | 26 | 2 |  |  |  |  |  |  |  |
| **AP** | 10 | 3 | | 0 | 0 | 10 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 7 | | 0 | 2 | 0 | 9 | Yes | 65% | 93% | 77% | 0% | 90% | 27% |
| **GRULAC** | 8 | 0 | | 1 | 1 | 9 | 1 | No | 35% | 7% | 23% | 100% | 10% | 73% |
| **WEOG** | 4 | 10 | | 0 | 1 | 4 | 11 |  |  |  |  |  |  |  |
| **Total** | 47 | 22 | | 2 | 4 | 49 | 26 |  |  |  |  |  |  |  |

**Question 38.1 \*Do indigenous and local communities have the established right to grant access to genetic resources according to your domestic law? (Article 6.2)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Indigenous and local communities with the established right to grant access to genetic resources according to domestic law** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 14 | 11 | | 1 | 0 | 15 | 11 |  |  |  |  |  |  |  |
| **AP** | 7 | 3 | | 0 | 0 | 7 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 0 | | 0 | 0 | 0 | 0 | Yes | 49% | 58% | 70% | 0% | 22% | 0% |
| **GRULAC** | 2 | 6 | | 0 | 1 | 2 | 7 | No | 51% | 42% | 30% | 0% | 78% | 100% |
| **WEOG** | 0 | 4 | | 0 | 0 | 0 | 4 |  |  |  |  |  |  |  |
| **Total** | 23 | 24 | | 1 | 1 | 24 | 25 |  |  |  |  |  |  |  |

**Question 38.2: Please provide further information and reference to the domestic law establishing rights of indigenous and local communities to grant access to genetic resources[[4]](#footnote-4)**

**Question 38.3 \*Does your country have measures in place with the aim of ensuring that the prior informed consent or approval and involvement of indigenous and local communities is obtained as provided in Article 6.2?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures with the aim of ensuring that the prior informed consent or approval and involvement of indigenous and local communities is obtained** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 10 | 4 | | 1 | 0 | 11 | 4 |  |  |  |  |  |  |  |
| **AP** | 5 | 2 | | 0 | 0 | 5 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 0 | | 0 | 0 | 0 | 0 | Yes | 75% | 73% | 71% | 0% | 100% | 0% |
| **GRULAC** | 2 | 0 | | 0 | 0 | 2 | 0 | No | 25% | 27% | 29% | 0% | 0% | 0% |
| **WEOG** | 0 | 0 | | 0 | 0 | 0 | 0 |  |  |  |  |  |  |  |
| **Total** | 17 | 6 | | 1 | 0 | 18 | 6 |  |  |  |  |  |  |  |

**Question 38.4 \*Has your country set out criteria and/or process for obtaining prior informed consent or approval and involvement of indigenous and local communities for access to genetic resources as provided in Article 6.3(f)?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Criteria and/or process for obtaining prior informed consent or approval and involvement of indigenous and local communities for access to genetic resources** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 9 | 5 | | 1 | 0 | 10 | 5 |  |  |  |  |  |  |  |
| **AP** | 3 | 4 | | 0 | 0 | 3 | 4 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 0 | | 0 | 0 | 0 | 0 | Yes | 75% | 73% | 71% | #DIV/0! | 100% | #DIV/0! |
| **GRULAC** | 1 | 1 | | 0 | 0 | 1 | 1 | No | 25% | 27% | 29% | #DIV/0! | 0% | #DIV/0! |
| **WEOG** | 0 | 0 | | 0 | 0 | 0 | 0 |  |  |  |  |  |  |  |
| **Total** | 13 | 10 | | 1 | 0 | 14 | 10 |  |  |  |  |  |  |  |

**Question 39. \*In accordance with domestic law has your country taken measures with the aim of ensuring that traditional knowledge associated with genetic resources that is held by indigenous and local communities within your country is accessed with the PIC or approval and involvement of these indigenous and local communities and that MAT have been established as provided in Article 7?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to ensure that traditional knowledge associated with genetic resources that is held by indigenous and local communities within your country is accessed with the PIC or approval and involvement of these indigenous and local communities and that MAT have been established** | | | | | | | | | | | | | | |
|  | **Parties** | | **Non-Parties** | | | **Totals** | | **Percentages** | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 9 | 16 | | 1 | 0 | 10 | 16 |  |  |  |  |  |  |  |
| **AP** | 4 | 6 | | 0 | 0 | 4 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 0 | | 0 | 0 | 0 | 0 | Yes | 47% | 38% | 40% | 0% | 67% | 75% |
| **GRULAC** | 5 | 3 | | 1 | 0 | 6 | 3 | No | 53% | 62% | 60% | 0% | 33% | 25% |
| **WEOG** | 3 | 1 | | 0 | 0 | 3 | 1 |  |  |  |  |  |  |  |
| **Total** | 21 | 26 | | 2 | 0 | 23 | 26 |  |  |  |  |  |  |  |

**Question 40. \*In implementing the Protocol and in accordance with your domestic law, is your country taking into consideration indigenous and local communities’ customary laws, community protocols and procedures with respect to traditional knowledge associated with genetic resources as provided in Article 12.1?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Consideration of indigenous and local communities’ customary laws, community protocols and procedures with respect to traditional knowledge associated with genetic resources** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 12 | 13 | | 0 | 1 | 12 | 14 |  |  |  |  |  |  |  |
| **AP** | 5 | 6 | | 0 | 0 | 5 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 0 | | 0 | 0 | 0 | 0 | Yes | 50% | 46% | 45% | 0% | 44% | 100% |
| **GRULAC** | 3 | 5 | | 1 | 0 | 4 | 5 | No | 50% | 54% | 55% | 0% | 56% | 0% |
| **WEOG** | 4 | 0 | | 0 | 0 | 4 | 0 |  |  |  |  |  |  |  |
| **Total** | 24 | 24 | | 1 | 1 | 25 | 25 |  |  |  |  |  |  |  |

**Question 41. \*Has your country established mechanisms to inform potential users of traditional knowledge associated with genetic resources about their obligations as provided in Article 12.2?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Mechanisms to inform potential users of traditional knowledge associated with genetic resources about their obligations** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 10 | 15 | | 1 | 0 | 11 | 15 |  |  |  |  |  |  |  |
| **AP** | 3 | 7 | | 0 | 0 | 3 | 7 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 0 | | 0 | 0 | 0 | 0 | Yes | 43% | 42% | 30% | 0% | 44% | 75% |
| **GRULAC** | 3 | 5 | | 1 | 0 | 4 | 5 | No | 57% | 58% | 70% | 0% | 56% | 25% |
| **WEOG** | 3 | 1 | | 0 | 0 | 3 | 1 |  |  |  |  |  |  |  |
| **Total** | 19 | 28 | | 2 | 0 | 21 | 28 |  |  |  |  |  |  |  |

**Question 42. Has your country endeavoured not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous and local communities as provided in Article 12.4?**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Endeavours not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous and local communities** | | | | | | | | | |
|  | Parties | | | Non-Parties | | | Totals | | |
| **Regions** | Community Protocols | Minimum requirement for MAT | Model contractual provisions | Community Protocols | Minimum requirement for MAT | Model contractual provisions | Community Protocols | Minimum requirement for MAT | Model contractual provisions |
| **AFR** | 16 | 13 | 12 | 0 | 1 | 1 | 16 | 14 | 13 |
| **AP** | 6 | 6 | 4 | 0 | 0 | 0 | 6 | 6 | 4 |
| **CEE** | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| **GRULAC** | 5 | 6 | 4 | 1 | 0 | 0 | 6 | 6 | 4 |
| **WEOG** | 1 | 2 | 1 | 0 | 0 | 0 | 1 | 2 | 1 |
| **Total** | 28 | 27 | 21 | 1 | 1 | 1 | 29 | 28 | 22 |

**Question 43. Has your country endeavored not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous and local communities as provided in Article 12.4?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Endeavours not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous and local communities** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 18 | 7 | | 1 | 0 | 19 | 7 |  |  |  |  |  |  |  |
| **AP** | 8 | 3 | | 0 | 0 | 8 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 0 | 0 | | 0 | 0 | 0 | 0 | Yes | 72% | 73% | 73% | 0% | 67% | 75% |
| **GRULAC** | 6 | 2 | | 0 | 1 | 6 | 3 | No | 28% | 27% | 27% | 0% | 33% | 25% |
| **WEOG** | 3 | 1 | | 0 | 0 | 3 | 1 |  |  |  |  |  |  |  |
| **Total** | 35 | 13 | | 1 | 1 | 36 | 14 |  |  |  |  |  |  |  |

## CONTRIBUTION TO CONSERVATION AND SUSTAINABLE USE (ARTICLE 9)

**Question 45. Is your country encouraging users and providers to direct benefits arising from the utilization of genetic resources towards the conservation of biological diversity and sustainable use of its components as provided in Article 9?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Encouraging users and providers to direct benefits arising from the utilization of genetic resources towards the conservation of biological diversity and sustainable use of its components** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 22 | 5 | | 1 | 0 | 23 | 5 |  |  |  |  |  |  |  |
| **AP** | 10 | 3 | | 0 | 0 | 10 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | | 2 | 0 | 7 | 2 | Yes | 79% | 82% | 77% | 78% | 60% | 87% |
| **GRULAC** | 5 | 3 | | 1 | 1 | 6 | 4 | No | 21% | 18% | 23% | 22% | 40% | 13% |
| **WEOG** | 13 | 1 | | 0 | 1 | 13 | 2 |  |  |  |  |  |  |  |
| **Total** | 55 | 14 | | 4 | 2 | 59 | 16 |  |  |  |  |  |  |  |

## TRANSBOUNDARY COOPERATION (ARTICLE 11)

**Question 48. Is your country endeavouring to cooperate, with the involvement of indigenous and local communities concerned, with a view to implementing the Protocol in instances where the same genetic resources are found in situ within the territory of more than one Party as provided in Article 11.1?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Endeavours to cooperate, with the involvement of indigenous and local communities concerned, with a view to implementing the Protocol in instances where the same genetic resources are found in situ within the territory of more than one Party** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 14 | 13 | | 0 | 1 | 14 | 14 |  |  |  |  |  |  |  |
| **AP** | 8 | 5 | | 0 | 0 | 8 | 5 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 2 | 5 | | 0 | 2 | 2 | 7 | Yes | 41% | 50% | 62% | 22% | 20% | 33% |
| **GRULAC** | 1 | 7 | | 1 | 1 | 2 | 8 | No | 59% | 50% | 38% | 78% | 80% | 67% |
| **WEOG** | 5 | 9 | | 0 | 1 | 5 | 10 |  |  |  |  |  |  |  |
| **Total** | 30 | 39 | | 1 | 5 | 31 | 44 |  |  |  |  |  |  |  |

**Question 49. Is your country endeavouring to cooperate with a view to implementing the Protocol in instances where the same traditional knowledge associated with genetic resources is shared by one or more indigenous and local communities in several Parties as provided in Article 11.2?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Endeavours to cooperate with a view to implementing the Protocol in instances where the same traditional knowledge associated with genetic resources is shared by one or more indigenous and local communities in several Parties** | | | | | | | | | | | | | | | | |
|  | Parties | | | Non-Parties | | | Total | | | Percentages | | | | | | |
| **Regions** | Yes | No | N/A | Yes | No | N/A | Yes | No | N/A |  |  |  |  |  |  |  |
| **AFR** | 18 | 7 | 2 | 0 | 1 | 0 | 18 | 8 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **AP** | 6 | 5 | 2 | 0 | 0 | 0 | 6 | 5 | 2 | Yes | 36% | 64% | 46% | 0% | 10% | 13% |
| **CEE** | 0 | 1 | 6 | 0 | 0 | 2 | 0 | 1 | 8 | No | 29% | 29% | 38% | 11% | 60% | 13% |
| **GRULAC** | 1 | 6 | 1 | 0 | 0 | 2 | 1 | 6 | 3 | N/A | 35% | 7% | 15% | 89% | 30% | 73% |
| **WEOG** | 2 | 2 | 10 | 0 | 0 | 1 | 2 | 2 | 11 |  |  |  |  |  |  |  |
| **Total** | 27 | 21 | 21 | 0 | 1 | 5 | 27 | 22 | 26 |  |  |  |  |  |  |  |

## MODEL CONTRACTUAL CLAUSES, CODES OF CONDUCT, GUIDELINES AND BEST PRACTICES AND/OR STANDARDS (ARTICLE 19 AND 20)

**Question 51. Is your country encouraging the development, update and use of model contractual clauses for MAT as provided in Article 19?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Encouraging the development, update and use of model contractual clauses for MAT** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 16 | 11 | | 1 | 0 | 17 | 11 |  |  |  |  |  |  |  |
| **AP** | 11 | 2 | | 0 | 0 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 3 | | 2 | 0 | 6 | 3 | Yes | 68% | 61% | 85% | 67% | 40% | 87% |
| **GRULAC** | 4 | 4 | | 0 | 2 | 4 | 6 | No | 32% | 39% | 15% | 33% | 60% | 13% |
| **WEOG** | 13 | 1 | | 0 | 1 | 13 | 2 |  |  |  |  |  |  |  |
| **Total** | 48 | 21 | | 3 | 3 | 51 | 24 |  |  |  |  |  |  |  |

**Question 52. Is your country encouraging the development, update and use of codes of conduct, guidelines and best practices or standards as provided in Article 20?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Encouraging the development, update and use of codes of conduct, guidelines and best practices or standards as provided in Article 20** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 16 | 11 | | 0 | 1 | 16 | 12 |  |  |  |  |  |  |  |
| **AP** | 11 | 2 | | 0 | 0 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 3 | | 2 | 0 | 6 | 3 | Yes | 67% | 57% | 85% | 67% | 40% | 87% |
| **GRULAC** | 4 | 4 | | 0 | 2 | 4 | 6 | No | 33% | 43% | 15% | 33% | 60% | 13% |
| **WEOG** | 13 | 1 | | 0 | 1 | 13 | 2 |  |  |  |  |  |  |  |
| **Total** | 48 | 21 | | 2 | 4 | 50 | 25 |  |  |  |  |  |  |  |

## AWARENESS-RAISING AND CAPACITY (ARTICLE 21 AND 22)

**Question 54.1 Has your country taken measures to raise awareness of the importance of genetic resources and traditional knowledge associated with genetic resources and related access and benefit-sharing issues as provided in Article 21?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to raise awareness of the importance of genetic resources and traditional knowledge associated with genetic resources and related access and benefit-sharing issues** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 25 | 2 | | 1 | 0 | 26 | 2 |  |  |  |  |  |  |  |
| **AP** | 11 | 2 | | 0 | 0 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 6 | 1 | | 2 | 0 | 8 | 1 | Yes | 88% | 93% | 85% | 89% | 70% | 93% |
| **GRULAC** | 6 | 2 | | 1 | 1 | 7 | 3 | No | 12% | 7% | 15% | 11% | 30% | 7% |
| **WEOG** | 13 | 1 | | 1 | 0 | 14 | 1 |  |  |  |  |  |  |  |
| **Total** | 61 | 8 | | 5 | 1 | 66 | 9 |  |  |  |  |  |  |  |

**54.2 Has your country taken measures to implement the awareness-raising strategy for the Nagoya Protocol on ABS? Adopted as decision NP-1/9**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to implement the awareness-raising strategy for the Nagoya Protocol on ABS** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 21 | 4 | | 1 | 0 | 22 | 4 |  |  |  |  |  |  |  |
| **AP** | 10 | 1 | | 0 | 0 | 10 | 1 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 1 | | 1 | 1 | 6 | 2 | Yes | 80% | 85% | 91% | 75% | 71% | 71% |
| **GRULAC** | 4 | 2 | | 1 | 0 | 5 | 2 | No | 20% | 15% | 9% | 25% | 29% | 29% |
| **WEOG** | 10 | 3 | | 0 | 1 | 10 | 4 |  |  |  |  |  |  |  |
| **Total** | 50 | 11 | | 3 | 2 | 53 | 13 |  |  |  |  |  |  |  |

**Question 55.1 Has your country taken measures to build and develop capacity and strengthening of human resources and institutional capacities to effectively implement the Protocol as provided in Article 22?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to build and develop capacity and strengthening of human resources and institutional capacities to effectively implement the Protocol** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 21 | 6 | | 1 | 0 | 22 | 6 |  |  |  |  |  |  |  |
| **AP** | 11 | 2 | | 0 | 0 | 11 | 2 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 3 | | 1 | 1 | 5 | 4 | Yes | 75% | 79% | 85% | 56% | 60% | 80% |
| **GRULAC** | 5 | 3 | | 1 | 1 | 6 | 4 | No | 25% | 21% | 15% | 44% | 40% | 20% |
| **WEOG** | 12 | 2 | | 0 | 1 | 12 | 3 |  |  |  |  |  |  |  |
| **Total** | 53 | 16 | | 3 | 3 | 56 | 19 |  |  |  |  |  |  |  |

**Question 55.2 Has your country taken measures to implement the strategic framework for capacity-building and development to support effective implementation of the Nagoya Protocol on ABS?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measures to implement the strategic framework for capacity-building and development to support effective implementation of the Nagoya Protocol on ABS** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 15 | 6 | | 1 | 0 | 16 | 6 |  |  |  |  |  |  |  |
| **AP** | 11 | 0 | | 0 | 0 | 11 | 0 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 3 | 1 | | 1 | 0 | 4 | 1 | Yes | 80% | 73% | 100% | 80% | 50% | 92% |
| **GRULAC** | 2 | 3 | | 1 | 0 | 3 | 3 | No | 20% | 27% | 0% | 20% | 50% | 8% |
| **WEOG** | 11 | 1 | | 0 | 0 | 11 | 1 |  |  |  |  |  |  |  |
| **Total** | 42 | 11 | | 3 | 0 | 45 | 11 |  |  |  |  |  |  |  |

**Question 56. Has your country received external support for building and developing capacity for the implementation of the Nagoya Protocol?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Receipt of external support for building and developing capacity for the implementation of the Nagoya Protocol** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 26 | 1 | | 1 | 0 | 27 | 1 |  |  |  |  |  |  |  |
| **AP** | 9 | 3 | | 0 | 0 | 9 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 2 | 5 | | 0 | 2 | 2 | 7 | Yes | 64% | 96% | 75% | 22% | 90% | 0% |
| **GRULAC** | 8 | 0 | | 1 | 1 | 9 | 1 | No | 36% | 4% | 25% | 78% | 10% | 100% |
| **WEOG** | 0 | 14 | | 0 | 1 | 0 | 15 |  |  |  |  |  |  |  |
| **Total** | 45 | 23 | | 2 | 4 | 47 | 27 |  |  |  |  |  |  |  |

**Question 57. Has your country provided external support for building and developing capacity for the implementation of the Nagoya Protocol?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Provision of external support for building and developing capacity for the implementation of the Nagoya Protocol?** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 5 | 22 | | 0 | 1 | 5 | 23 |  |  |  |  |  |  |  |
| **AP** | 8 | 5 | | 0 | 0 | 8 | 5 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 2 | 5 | | 0 | 1 | 2 | 6 | Yes | 35% | 18% | 62% | 25% | 20% | 60% |
| **GRULAC** | 2 | 6 | | 0 | 2 | 2 | 8 | No | 65% | 82% | 38% | 75% | 80% | 40% |
| **WEOG** | 9 | 5 | | 0 | 1 | 9 | 6 |  |  |  |  |  |  |  |
| **Total** | 26 | 43 | | 0 | 5 | 26 | 48 |  |  |  |  |  |  |  |

## TECHNOLOGY TRANSFER, COLLABORATION AND COOPERATION (ARTICLE 23)

**Question 59. Is your country collaborating and cooperating in technical and scientific research and development programmes as a means to achieve the objective of the Protocol as provided in Article 23?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Collaboration and cooperation in technical and scientific research and development programmes as a means to achieve the objective of the Protocol** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 17 | 10 | | 1 | 0 | 18 | 10 |  |  |  |  |  |  |  |
| **AP** | 12 | 1 | | 0 | 0 | 12 | 1 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 4 | 3 | | 0 | 2 | 4 | 5 | Yes | 64% | 64% | 92% | 44% | 50% | 60% |
| **GRULAC** | 4 | 4 | | 1 | 1 | 5 | 5 | No | 36% | 36% | 8% | 56% | 50% | 40% |
| **WEOG** | 9 | 5 | | 0 | 1 | 9 | 6 |  |  |  |  |  |  |  |
| **Total** | 46 | 23 | | 2 | 4 | 48 | 27 |  |  |  |  |  |  |  |

## OPTIONAL ADDITIONAL INFORMATION

**Question 61. Has your country established the mechanism of budgetary allocations to the implementation of the Nagoya Protocol?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Respondents that have established the mechanism of budgetary allocations to the implementation of the Nagoya Protocol** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 11 | 16 | | 0 | 1 | 11 | 17 |  |  |  |  |  |  |  |
| **AP** | 6 | 7 | | 0 | 0 | 6 | 7 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 2 | 4 | | 1 | 0 | 3 | 4 | Yes | 37% | 39% | 46% | 43% | 30% | 25% |
| **GRULAC** | 2 | 6 | | 1 | 1 | 3 | 7 | No | 63% | 61% | 54% | 57% | 70% | 75% |
| **WEOG** | 3 | 8 | | 0 | 1 | 3 | 9 |  |  |  |  |  |  |  |
| **Total** | 24 | 41 | | 2 | 3 | 26 | 44 |  |  |  |  |  |  |  |

**Question 62.1 Has your country made financial resources available to other Parties or received financial resources from other Parties or financial institutions for the purposes of implementation of the Protocol as provided in Article 25?**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Respondents that have made financial resources available to other Parties or received financial resources for the purposes of implementation of the Protocol** | | | | | | | | | | |
| **Regions** | Yes, financial resources have been made available | Yes, financial resources have been received | No |  | Percentages |  |  |  |  |  |
| **AFR** | 4 | 23 | 3 |  |  |  |  |  |  |  |
| **AP** | 1 | 6 | 6 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 1 | 1 | 5 | Made available | 19% | 13% | 8% | 14% | 17% | 43% |
| **GRULAC** | 2 | 8 | 2 | Received | 51% | 77% | 46% | 14% | 67% | 7% |
| **WEOG** | 6 | 1 | 7 | No | 31% | 10% | 46% | 71% | 17% | 50% |
| **Total** | 14 | 39 | 23 |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Sources of funds received** | | | | | | | | | | | | | | |
| **Regions** | Total received | Parties | | Fin. inst. | GEF | NP | Other | Percentages | | | | | | |
| **AFR** | 23 | 7 | 22 | | 20 | 0 | 12 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **AP** | 6 | 1 | 5 | | 4 | 1 | 3 | From other Parties | 31% | 30% | 17% | 0% | 50% | 0% |
| **CEE** | 1 | 0 | 1 | | 1 | 0 | 0 | From financial institutions | 87% | 96% | 83% | 100% | 63% | 100% |
| **GRULAC** | 8 | 4 | 5 | | 5 | 1 | 1 | From the Global Environmental Facility | 91% | 91% | 80% | 100% | 100% | 100% |
| **WEOG** | 1 | 0 | 1 | | 1 | 0 | 0 | From the Nagoya Protocol Implementation Fund | 6% | 0% | 20% | 0% | 20% | 0% |
| **Total** | 39 | 12 | 34 | | 31 | 2 | 16 | From other sources | 47% | 55% | 60% | 0% | 20% | 0% |

**Question 63.1 Does your country have specific staff to administer functions directly related to the implementation of the Nagoya Protocol?**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Specific staff to administer functions directly related to the implementation of the Nagoya Protocol** | | | | | | | | | | | | | | |
|  | Parties | | Non-Parties | | | Totals | | Percentages | | | | | | |
| **Regions** | Yes | No | | Yes | No | Yes | No |  |  |  |  |  |  |  |
| **AFR** | 24 | 2 | | 1 | 0 | 25 | 2 |  |  |  |  |  |  |  |
| **AP** | 9 | 3 | | 0 | 0 | 9 | 3 |  | Total | AFR | AP | CEE | GRULAC | WEOG |
| **CEE** | 5 | 2 | | 1 | 0 | 6 | 2 | Yes | 86% | 93% | 75% | 75% | 70% | 100% |
| **GRULAC** | 6 | 2 | | 1 | 1 | 7 | 3 | No | 14% | 7% | 25% | 25% | 30% | 0% |
| **WEOG** | 12 | 0 | | 1 | 0 | 13 | 0 |  |  |  |  |  |  |  |
| **Total** | 56 | 9 | | 4 | 1 | 60 | 10 |  |  |  |  |  |  |  |

# *Annex*

**Section A: List of countries that submitted the interim national report by 1 November 2017**

**Parties**:

|  |  |
| --- | --- |
| 1. Belarus | 21. Mali |
| 2. Belgium | 22. Mauritania |
| 3. Bhutan | 23. Mexico |
| 4. Botswana | 24. Mongolia |
| 5. Burundi | 25. Netherlands |
| 6. Cameroon | 26. Norway |
| 7. Congo | 27. Panama |
| 8. Cuba | 28. Peru |
| 9. Czech Republic | 29. Republic of Moldova |
| 10. Côte d’Ivoire | 30. Rwanda |
| 11. Denmark | 31. Sao Tome and Principe |
| 12. Dominican Republic | 32. Slovakia |
| 13. Ethiopia | 33. South Africa |
| 14. European Union | 34. Spain |
| 15. Germany | 35. Sudan |
| 16. Guinea-Bissau | 36. Sweden |
| 17. Honduras | 37. Switzerland |
| 18. India | 38. Uganda |
| 19. Kenya | 39. United Kingdom |
| 20. Madagascar |  |

**Non Party:** 40. Venezuela

**Section B: List of countries that submitted the interim national report between 2 November and 22 February**

|  |  |
| --- | --- |
| **Parties:** |  |
| 41. Albania | 56. Kuwait |
| 42. Antigua and Barbuda | 57. Kyrgyzstan |
| 43. Benin | 58. Lao |
| 44. Bulgaria | 59. Malawi |
| 45. Burkina Faso | 60. Malta |
| 46. China | 61. Niger |
| 47. Comoros | 62. Pakistan |
| 48. Democratic Republic of Congo | 63. Portugal |
| 49. Finland | 64. Qatar |
| 50. France | 65. Senegal |
| 51. Guinea | 66. Seychelles |
| 52. Hungary | 67. Swaziland |
| 53. Indonesia | 68. Togo |
| 54. Japan | 69. Uruguay |
| 55. Kazakhstan | 70. Vietnam |
| **Non-Parties** : |  |
| 71. Austria | 74. Morocco |
| 72. Barbados | 75. Poland |
| 73. Estonia |  |

**\_\_\_\_\_\_\_\_\_\_**

1. \* CBD/ABS/CC/2/1. [↑](#footnote-ref-1)
2. This takes into account countries that have published legislative, administrative, and policy measures (ABS measures), competent national authorities, checkpoints or internationally recognized certificates of compliance were included in this analysis. The publication of national databases, national focal points, the interim national report, reference records or regional measures displayed in the country profile were not considered for the purposes of this overview. [↑](#footnote-ref-2)
3. This includes countries that indicated having ABS measures, competent national authorities, checkpoints or permits or their equivalents available for publishing in the ABS Clearing-House. [↑](#footnote-ref-3)
4. See CBD/ABS/CC/2/INF/1 for a summary of responses. [↑](#footnote-ref-4)