



## Convention on Biological Diversity

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### Subsidiary Body on Implementation

#### Fourth meeting

Nairobi, 21–29 May 2024

Item 9 of the provisional agenda\*

#### Assessment and review of the effectiveness of the Nagoya Protocol (Article 31)

### Development of the methodology for the second assessment and review of the effectiveness of the Nagoya Protocol\*\*

Note by the Secretariat

## I. Introduction

1. Pursuant to paragraph 3 of decision [NP-3/1](#) B of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization, the Subsidiary Body on Implementation, at its fourth meeting, is to consider the proposed methodology for conducting the second assessment and review of the effectiveness of the Protocol and to make recommendations for consideration by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its fifth meeting. The present note has been prepared to facilitate the consideration of this matter by the Subsidiary Body at its fourth meeting.

## II. Background

2. Under Article 31 of the Nagoya Protocol, the Conference of the Parties serving as the meeting of the Parties to the Protocol is required to undertake, four years after the entry into force of the Protocol and thereafter at intervals determined by the Conference of the Parties serving as the meeting of the Parties, an evaluation of the effectiveness of the Protocol.

3. This issue was first considered by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its second meeting. In its decision [NP-2/4](#), it agreed, among other things, to the elements to be included in the first assessment and review, as listed in the annex to the decision, and to the sources of information to be used for assessing each of those elements. The main sources of information were the interim national reports on the implementation of the Nagoya Protocol and the Access and Benefit-sharing Clearing-House. In addition, Access and Benefit-sharing national focal points, Convention national focal points, indigenous peoples and local communities and relevant stakeholders were invited to submit information on a number of issues through an online survey.

4. As requested in decision NP-2/4, the Executive Secretary also prepared a framework of indicators as a basis for measuring progress in achieving the objective of the Protocol across subsequent

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\* CBD/SBI/4/1

\*\* The present document is being issued without formal editing.

assessments, and made information available on experiences from the assessment and review process under the Cartagena Protocol on Biosafety.

5. At its third meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, on the basis of the recommendation from the Subsidiary Body on Implementation and information provided by the Executive Secretary, adopted decision NP-3/1, which included:

(a) The outcomes of the first assessment and review (part A of the decision), including key findings for each element (annex I to the decision);

(b) Provisions for the second assessment and review and intervals for subsequent processes (part B of the decision);

(c) A framework of indicators and reference points to measure progress (annex II to the decision).

6. The framework of indicators included indicators for the different elements addressed by the first assessment and review. For ease of reference, the framework followed the structure and order of the format of the interim national report and included a column to indicate the element(s) each indicator was related to. The framework was adopted as a flexible tool that can be adapted as further progress is made with implementation.

7. The indicators in the framework were largely based on questions from the format for the interim national report. The framework also included the source of information for measuring each indicator. Reference points were included for most of the indicators, expressed mostly in numbers and percentages calculated on the basis of the total number of Parties (105) at the time of analysis. These reference points determine a baseline against which progress can be measured in the future for each of the indicators. However, there were instances where no conclusive information could be drawn from the responses to the interim national report, and therefore, a new text was suggested for those indicators, which was incorporated in the format for the first national report on the implementation of the Protocol.

8. Section III of the present note contains information on past experiences from the assessments and reviews under the Nagoya and Cartagena Protocols. Section IV contains a timeline synchronized with milestones of the Kunming-Montreal Global Biodiversity Framework. The input of the Compliance Committee under the Nagoya Protocol is summarized in section V. Section VI contains the proposed methodology for the second assessment and review of the effectiveness of the Nagoya Protocol. Lastly, section VII contains suggested issues for consideration by the Subsidiary Body on Implementation, including a draft recommendation.

### **III. Past experiences with assessment and review under the Nagoya and Cartagena Protocols, and lessons learned**

9. To assist in the consideration of the assessment and review by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its third meeting, the Secretariat prepared document [CBD/NP/MOP/3/3](#), which included experiences and lessons learned from the assessment and review under the Cartagena Protocol and identified parallels with lessons drawn from the first assessment and review carried out under the Nagoya Protocol.

10. The conclusions and lessons learned contained in that document relating to the reporting format, the online report analyser and indicators to measure progress were incorporated in the format for the first national report adopted in decision [NP-4/3](#), on the Access and Benefit-sharing Clearing-House<sup>1</sup> and in the framework of indicators in annex II to decision [NP-3/1](#).

11. Document CBD/NP/MOP/3/3 also included reflections on the need for the timely submission of national reports, as well as the timely, complete and accurate submission of information on the Access and Benefit-sharing Clearing-House, given the very short time frame for collecting and analysing

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<sup>1</sup> See the national report analyser at <https://absch.cbd.int/en/reports>.

information for the assessment and review. Delays in the receipt of national reports can have a significant impact on the results of the analysis.

12. In that regard, it is worth noting the recent experience related to delays in gaining access to funding for supporting the preparation of the fourth national reports under the Cartagena Protocol on Biosafety. The Compliance Committee under the Cartagena Protocol considered the matter at its seventeenth meeting and noted that the approach of collecting as many letters of commitment as possible before submitting projects for approval by the Global Environment Facility had resulted in significant delays in access to funding, in particular for those Parties that had submitted their letters of commitment in a timely manner. The Committee also considered the availability of funding from other sources for the implementation of the Protocol, including for national reporting, and the importance of prioritizing biosafety in national budget allocations.<sup>2</sup>

13. The Compliance Committee made recommendations in that regard to the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, and those were incorporated into decision [CP-10/9](#), on monitoring and reporting. In the decision, the Conference of the Parties serving as the meeting of the Parties to the Protocol expressed concern about the low number of fourth national reports submitted (para. 2) and the delays in submitting project proposals to the Global Environment Facility to support eligible Parties in the preparation of their fourth national reports, noting that such funding was not available before the deadline for the submission of fourth national reports, which was one of the factors that might have affected the submission rate (para. 3).

14. The usefulness of having a smaller expert group or body review the analysis prepared by the Secretariat in more detail and provide input to a larger decision-making body, such as the Subsidiary Body on Implementation or the Conference of the Parties serving as the meeting of the Parties to the Protocol, was also highlighted in document CBD/NP/MOP/3/3. For reference, under the Cartagena Protocol, an ad hoc expert group was originally established with that objective, while, for the latest assessment and review, the Compliance Committee and the Liaison Group on the Cartagena Protocol played that role.

#### **IV. Relevant timeline and milestones of the Kunming-Montreal Global Biodiversity Framework and national reporting**

15. In its decision [NP-4/3](#), the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol welcomed decision [15/6](#) of the Conference of the Parties to the Convention and agreed to maintain the synchronized national reporting cycle foreseen in decisions [14/27](#) and [NP-3/4](#) (para. 1). In decision [15/6](#) of the Conference of the Parties to the Convention, Parties were requested to submit their seventh national report by 28 February 2026 and their eighth national report by 30 June 2029. Consequently, the deadline for the submission of the first national report under the Nagoya Protocol is set for 28 February 2026 and that for the second national report for 30 June 2029.

16. In its decision [15/6](#), the Conference of the Parties to the Convention also decided to conduct, at its seventeenth and nineteenth meetings, a global review of collective progress in the implementation of the Framework, including means of implementation, based on national reports and, as appropriate, other sources of information (para. 16).

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<sup>2</sup> [CBD/CP/CC/17/6](#), para. 16.

Table 1  
**Relevant timeline and milestones and for reporting and reviewing progress under the Convention and the Nagoya Protocol**

<i>Timeline</i>	<i>Milestones</i>	<i>For the Convention</i>	<i>For the Nagoya Protocol</i>
2022	Adoption of the format for reporting	Preliminary draft format (to be revised and adopted at the sixteenth meeting of the Conference of the Parties) adopted with decision 15/6	Decision 15/6 welcomed and synchronized national reporting cycle maintained in decision NP-4/3
2024	Adoption of methodologies for global assessments	Global stocktaking of progress in the implementation of the Kunming-Montreal Global Biodiversity Framework (sixteenth meeting of the Conference of the Parties)	Second assessment and review of the Protocol (fifth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol)
2026	Submission of national reports	Seventh national report under the Convention (deadline of 28 February 2026)	First national report under the Protocol (deadline of 28 February 2026)
2026	National reports are used as sources of information in stocktaking processes	National reports feed into the global review of collective progress in the implementation of the Framework (seventeenth meeting of the Conference of the Parties)	National reports feed into the second assessment and review of the effectiveness of the Protocol (sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol)
2026	Adoption of the format for reporting	Seventeenth meeting of the Conference of the Parties	Sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol
2028	Adoption of methodologies for global assessments	Global stocktaking of progress in the implementation of the Framework (eighteenth meeting of the Conference of the Parties)	Third assessment and review of the Protocol (seventh meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol)
2029	Submission of national reports	Eighth national report under the Convention (deadline of 30 June 2029)	Second national report under the Protocol (deadline of 30 June 2029)
2030	National reports are used as sources of information in stocktaking processes	National reports feed into the global review of collective progress in the implementation of the Framework (nineteenth meeting of the Conference of the Parties)	Third assessment and review of the effectiveness of the Protocol (eighth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol)

17. Given the limited time available between the submission deadline for the first national reports and their analysis to inform the second assessment and review, it is unlikely that reports submitted after the deadline could be considered in the analysis. As the analysis needs to be based on a sufficiently representative number of Parties to produce significant results, the timely availability of funding and submission of the first national report is critical.

## **V. Input of the Compliance Committee on the proposed methodology for the second assessment and review of the effectiveness of the Nagoya Protocol**

18. The Compliance Committee under the Nagoya Protocol provided input on the first assessment and review of the Protocol. In the expectation that the Committee could also be involved in the second assessment and review, the Committee was invited, during its fourth meeting, held in March 2024, to provide input on the draft proposed methodology for the second assessment and review<sup>3</sup>, to assist with its development as appropriate.<sup>4</sup>

19. The Compliance Committee noted that the compliance procedures and mechanisms provided, in section G, that the Conference of the Parties serving as the meeting of the Parties to the Protocol was to undertake the review of the effectiveness of the procedures and mechanisms under the assessment and review provided for in Article 31 of the Protocol. It acknowledged that while the experience with the compliance procedures and mechanisms had been limited, deferring the review of the effectiveness of the compliance procedures and mechanisms until the third assessment and review would mean that the matter would only be considered in 2029, fifteen years after the procedures and mechanisms had been adopted. In the light of the above, the Committee suggested that there could be a preliminary review of the compliance procedures and mechanism as part of the second assessment and review with a view to identifying areas for further consideration.

20. The Compliance Committee noted that the process for the second assessment and review would include a targeted survey to collect information on challenges related to the implementation of the Nagoya Protocol as requested in decision NP-3/1 A. It discussed the need for further information to develop a more detailed portrait of implementation and challenges thereto. Accordingly, the Committee recommended, as an additional source of information, that the second assessment should also include a scoping study on the possible reasons and underlying root causes for the challenges to effective implementation and compliance and how best they could be enhanced.

21. The Compliance Committee welcomed the suggestion to expand the mandate of the Informal Advisory Committee on Capacity-Building to providing advice on issues related to the implementation of the Protocol.<sup>5</sup>

22. Regarding the timeline for the second assessment and review, the Compliance Committee expressed concern that the Secretariat would only have one month following the deadline for the submission of national reports to undertake the extensive analysis required. It reiterated the importance of Parties submitting their report on time and preferably before the deadline.

## **VI. Proposed methodology for the second assessment and review of the effectiveness of the Nagoya Protocol**

23. In decision NP-3/1 B, the Executive Secretary was requested to propose a methodology for conducting the second assessment and review of the effectiveness of the Nagoya Protocol, taking into account the outcomes and lessons learned from the first assessment and review process, experiences from the assessment and review under the Cartagena Protocol and the post-2020 global biodiversity framework (para. 2).

24. The present section contains proposed elements and sources of information for the second assessment and review (sect. A), a proposed mechanism for providing advice to the Executive Secretary on issues related to implementation (sect. B) and an overview of the proposed process and timeline (sect. C).

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<sup>3</sup> See document [CBD/NP/CC/4/4](#).

<sup>4</sup> Document CBD/CP/CC/4/5, paras. 42–48.

<sup>5</sup> See section VI B below for more information.

## **A. Proposed elements and sources of information**

25. In paragraphs 16 and 17 of its decision [NP-3/1 A](#), the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to assess all elements relevant to the implementation of the Protocol, including the effectiveness of Article 18 and progress on Articles 10 and 23.

26. Section G of the cooperative procedures and institutional mechanisms to promote compliance with the Protocol<sup>6</sup> provides that the Conference of the Parties serving as the meeting of the Parties to the Protocol is to undertake the review of the effectiveness of those procedures and mechanisms under the assessment and review provided for in Article 31 of the Protocol, and to take appropriate action.

27. In paragraph 18 (a) of decision [NP-3/1 A](#), the Executive Secretary was requested to carry out a targeted survey of ABS national focal points, competent national authorities and users and providers of genetic resources and/or associated traditional knowledge on challenges related to the implementation of the Nagoya Protocol to provide an additional source of information in future processes for the assessment and review of the effectiveness of the Protocol. In paragraph 20 (c), the Executive Secretary was also requested to seek feedback from all types of users of the Access and Benefit-sharing Clearing-House on its implementation and operation.

28. Proposed elements and sources of information for the second assessment and review are provided in the table in the annex to the proposed recommendation. This table has been updated from that used in the first assessment to include the aforementioned requests from decisions adopted at the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol and other relevant decisions. It also takes into account the input of the Compliance Committee as contained in section V above, regarding a preliminary review of the compliance procedures and mechanisms. The targeted survey and the feedback requested in paragraphs 18 (a) and 20 (c), respectively, of decision [NP-3/1 A](#) have been incorporated as sources of information.

29. The second assessment should also include an assessment of progress against the reference points contained in the framework of indicators, as found in annex II to decision [NP-3/1](#).

30. In addition, the second assessment and review may be supported by a scoping study, as recommended by the Compliance Committee.

## **B. Proposed mechanism for providing advice to the Executive Secretary on issues related to implementation**

31. At its first meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol established an informal advisory committee on capacity-building for the implementation of the Protocol to provide advice to the Executive Secretary on matters related to the assessment of the effectiveness of the strategic framework for capacity-building and development (decision NP-1/8). The Committee had a time-bound mandate, which was extended by the Conference of the Parties serving as the meeting of the Parties to the Protocol at both its third and fourth meetings. In decision NP-4/7, the terms of reference of the Committee were also updated and its membership expanded to include representatives of the business sector, the research community and youth, in addition to the 15 experts from Parties, three representatives of indigenous peoples and local communities, and representatives of relevant organizations.

32. The mandate of the Informal Advisory Committee will expire at the fifth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, and a decision needs to be taken on the way forward. At its most recent meeting, in June 2023, the Committee suggested that its mandate be expanded to providing advice on issues more generally related to the implementation of the Protocol, while keeping the current expanded membership.<sup>7</sup> Should the mandate of the Committee

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<sup>6</sup> Decision [NP-1/4, annex](#).

<sup>7</sup> CBD/NP/CB-IAC/2023/1/3, para. 69.

be extended and expanded as suggested, its mandate could include, as necessary, advice on issues related to capacity-building and development, awareness-raising and assessment and review under the Protocol, with flexibility to adapt its tasks as needed (see document CBD/SBI/4/8).

### C. Overview of the proposed process and timeline

33. Table 2 shows an overview of the activities and timeline of the proposed process for conducting the second assessment and review of the effectiveness of the Nagoya Protocol. It illustrates the importance of timely submission of national reports.

Table 2

#### Overview of activities and estimated timeline for the second assessment and review

<i>Activities</i>	<i>Estimated timeline</i>
Targeted survey for selected elements	First quarter 2025
Submission of the first national reports under the Nagoya Protocol	By 28 February 2026
Completion of the analysis of all sources of information identified for each of the elements	By 28 March 2026
Consideration of the analysis by the Compliance Committee under the Nagoya Protocol, as appropriate	April or May 2026
Consideration of the analysis by an informal advisory committee on the implementation of the Nagoya Protocol	April or May 2026
Consideration by the Subsidiary Body on Implementation of the analysis, including inputs from the Compliance Committee and an informal advisory committee on implementation	To be determined
Consideration at the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol of the recommendations and findings of the Subsidiary Body on Implementation	Last quarter of 2026

## VII. Suggested issues for consideration by the Subsidiary Body on Implementation

34. The Subsidiary Body on Implementation may wish to consider the proposed methodology for the second assessment and review of the effectiveness of the Nagoya Protocol and it may wish to recommend that the Conference of the Parties serving as the meeting of the Parties to the Protocol, at its fifth meeting, adopt a decision along the following lines:

*The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,*

*Recalling* Article 31 of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization to the Convention on Biological Diversity,<sup>1</sup>

*Recalling also* decision NP-2/4 of 17 December 2016, in which the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to conduct the first assessment and review of the Protocol on the basis of the elements in the annex to that decision, and the importance of continuity in approaches to ensure comparability of results,

*Recalling further* decision NP-3/1 of 25 November 2018, in which the Conference of the Parties serving as the meeting of the Parties to the Protocol identified additional elements to consider for the second assessment and review of the Protocol and requested the Executive Secretary to carry out a targeted survey on challenges related to the implementation of the Nagoya Protocol and to seek feedback from all types of users of the Access and Benefit-sharing Clearing-House on its implementation and operation,

*Recalling* that the deadline for the submission by Parties of their first national reports on the implementation of the Protocol is 28 February 2026,

1. *Decides* to conduct the second assessment and review of the Protocol on the basis of the elements in the annex to the present decision;

2. *Urges* Parties, and encourages other Governments, relevant organizations and indigenous peoples and local communities to publish information on the Access and Benefit-sharing Clearing-House so that this information is available to inform the second assessment and review of the effectiveness of the Nagoya Protocol;

3. *Urges* Parties to complete the reporting process early and submit their first national reports on the implementation of the Protocol well before the deadline, with a view to ensuring an accurate and representative analysis for the second assessment and review of the effectiveness of the Protocol;<sup>2</sup>

4. *Urges* eligible Parties to submit their letters of commitment to the implementing agency in a timely manner to ensure that projects to support the preparation of first national reports can be submitted to the Global Environment Facility for approval well before the deadline for the submission of the reports;<sup>3</sup>

5. *Urges* the Global Environment Facility and the implementing agencies to ensure that support is provided to those Parties that submit their letters of commitment without undue delay;

6. *Invites* Parties, other Governments, indigenous peoples and local communities, and relevant stakeholders and organizations to respond to the targeted surveys to be carried out by the

<sup>1</sup> United Nations, Treaty Series, vol. 3008, No. 30619.

<sup>2</sup> Similar text was recommended by the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol for consideration at its fifth meeting (CBD/NP/CC/4/4). As the recommendation would be considered under the agenda item on assessment and review during the fifth meeting of the Parties to the Protocol, it has been included for the information of the Subsidiary Body on Implementation.

<sup>3</sup> This text was recommended by the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol for consideration at its fifth meeting (CBD/NP/CC/4/4). As the recommendation would be considered under the agenda item on assessment and review during the fifth meeting of the Parties to the Protocol, it has been included for the information of the Subsidiary Body on Implementation.



Executive Secretary pursuant to paragraphs 18 (a) and 20 (c) of decision NP-3/1 A, and to submit their views to inform a preliminary review of the compliance procedures and mechanisms contained in the annex to decision NP-1/4 of 17 October 2014;

7. *Requests* the Executive Secretary to:

(a) Analyze and synthesize information on the implementation of the Protocol using the sources of information listed in the annex to this decision and present updated measurements of the indicators in the framework of indicators and make this information available to the informal advisory committee on the implementation of the Nagoya Protocol, the Compliance Committee under the Nagoya Protocol and the Subsidiary Body on Implementation;

(b) Commission a scoping study, subject to the availability of resources, on the possible reasons and underlying root causes for the challenges to effective implementation and compliance and how best they could be enhanced as a complementary input to the second assessment and review;

8. *Requests* the informal advisory committee on the implementation of the Nagoya Protocol<sup>4</sup> and the Compliance Committee under the Nagoya Protocol, working in a complementary and non-duplicative manner, to contribute to the second assessment and review of the Protocol and to submit their conclusions for consideration by the Subsidiary Body on Implementation at its sixth meeting.

## Annex

### Elements and sources of information for the second assessment and review of the Nagoya Protocol

<i>Elements</i>	<i>Sources of information</i> <sup>5</sup>
(a) Extent of implementation of the provisions of the Nagoya Protocol and related obligations of Parties, including assessment of progress by Parties in establishing institutional structures and access and benefit-sharing measures to implement the Protocol	<ul style="list-style-type: none"> <li>– First national reports</li> <li>– Access and Benefit-sharing Clearing-House</li> <li>– National reports submitted under the Convention</li> <li>– National biodiversity strategies and action plans</li> <li>– Targeted survey</li> </ul>
(b) Assessment of effectiveness	<ul style="list-style-type: none"> <li>– First national reports (questions 10, 12, 19, 30–32 and 43–45 of the format)</li> <li>– Access and Benefit-sharing Clearing-House</li> <li>– Targeted survey</li> </ul>
(c) Assessment of support available for implementation	<ul style="list-style-type: none"> <li>– First national reports, (questions 54, 55, and 59–64)</li> <li>– Access and Benefit-sharing Clearing-House</li> <li>– Information on capacity-building projects and resources</li> <li>– Targeted survey</li> </ul>
(d) Assessment of effectiveness of Article 18 (extent of implementation)	<ul style="list-style-type: none"> <li>– First national reports (questions 25–28)</li> <li>– Targeted survey</li> </ul>
(e) Assessment of implementation of Article 16 in the light of developments in other relevant international organizations, including, inter alia, the World Intellectual Property Organization	<ul style="list-style-type: none"> <li>– First national reports (question 20)</li> <li>– Reports of, inter alia, the Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore of the World Intellectual Property Organization</li> </ul>

<sup>4</sup> See document CBD/SBI/4/8, in which it is proposed to expand the mandate of the Informal Advisory Committee on Capacity-building for the Implementation of the Nagoya Protocol to include providing advice on issues more generally related to the implementation of the Protocol.

<sup>5</sup> The format for the first national report is available at: <https://absch.cbd.int/en/kb/tags/abs/First-National-Report-on-the-Implementation-of-the-Nagoya-Protocol/66199bba4defc2994ae886d7>.

<i>Elements</i>	<i>Sources of information<sup>5</sup></i>
(f) Stocktaking of the use of model contractual clauses, codes of conduct, guidelines, best practices and standards, as well as indigenous peoples and local communities' customary laws, community protocols and procedures	<ul style="list-style-type: none"> <li>- First national reports (questions 39 and 50–51)</li> <li>- Access and Benefit-sharing Clearing-House</li> <li>- Targeted survey</li> </ul>
(g) Review of implementation and operation of the Access and Benefit-sharing Clearing-House, including number of access and benefit-sharing measures made available; number of countries that have published information on their competent national authorities; number of internationally recognized certificates of compliance that have been constituted and number of checkpoint communiqués published	<ul style="list-style-type: none"> <li>- First national reports (questions 4, 5, 7, 12, 13 and 21)</li> <li>- Access and Benefit-sharing Clearing-House</li> <li>- Reports of meetings relevant to the Access and Benefit-sharing Clearing-House</li> <li>- Targeted survey</li> <li>- Google analytics</li> </ul>
(h) Progress on Article 10, on a global multilateral benefit-sharing mechanism	Relevant reports to the Conference of the Parties serving as the meeting of the Parties to the Protocol
(i) Progress on Article 23, on technology transfer, collaboration and cooperation.	<ul style="list-style-type: none"> <li>- First national reports (question 57)</li> <li>- Targeted survey</li> </ul>
(j) Preliminary review of the compliance procedures and mechanisms (decision NP-1/4, annex)	<ul style="list-style-type: none"> <li>- Submission views</li> <li>- Report of the Compliance Committee</li> </ul>

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