



Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE CONVENTION
ON BIOLOGICAL DIVERSITY SERVING AS THE
MEETING OF THE PARTIES TO THE CARTAGENA
PROTOCOL ON BIOSAFETY

Ninth meeting

Sharm El-Sheikh, Egypt, 17-29 November 2018

Item 10 of the provisional agenda*

**MONITORING AND REPORTING (ARTICLE 33): REVISED DRAFT FORMAT FOR THE
FOURTH NATIONAL REPORT UNDER THE CARTAGENA PROTOCOL ON BIOSAFETY**

Note by the Executive Secretary

I. BACKGROUND

1. Article 33 of the Cartagena Protocol on Biosafety requires Parties to monitor the implementation of their obligations under the Protocol and to report, at intervals to be determined by the Conference of the Parties serving as the meeting of the Parties to the Protocol, on measures taken to implement the Protocol.
2. At its eighth meeting, in decision [CP-VIII/14](#), the Conference of the Parties serving as the meeting of the Parties to the Protocol requested the Executive Secretary to develop a revised format for the fourth national report under the Protocol with a view to ensuring that complete and accurate information was captured while striving to ensure the applicability of the baseline information, as established in decision [BS-VI/15](#),¹ for review by the Subsidiary Body on Implementation and for consideration at the ninth meeting of the Parties to the Protocol, in particular by:
 - (a) Improving the formulation of questions for their clarity, providing further explanation where necessary;
 - (b) Eliminating the redundancy observed in the questions used for the third national report;
 - (c) Adding questions that address mainstreaming biosafety into national biodiversity strategies and action plans, other policies and legislation.
3. Furthermore, in decision [CP-VIII/16](#), the Conference of the Parties serving as the meeting of the Parties to the Protocol also requested the Executive Secretary to align the language of the relevant questions in the draft format with the adopted operational definitions of the terms “unintentional transboundary movement” and “illegal transboundary movement”.
4. At its second meeting, the Subsidiary Body on Implementation considered a draft revised format for the fourth national report that had been prepared by the Secretariat.² In its recommendation 2/13, the Subsidiary Body on Implementation invited Parties to the Cartagena Protocol to provide specific

* CBD/CP/MOP/9/1.

¹ In its decision BS-VI/15, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol decided that the data and information contained in the analysis undertaken in the context of the second assessment and review of the effectiveness of the Protocol would form the baseline for measuring progress in implementing the Protocol, in particular the subsequent evaluation of the effectiveness of the Protocol and the mid-term evaluation of the implementation of the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011-2020.

² See [CBD/SBI/2/13, annex](#).

comments on the draft format, and requested the Executive Secretary to update the draft format, taking into account the comments received, and to submit it for consideration by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety, at its ninth meeting, with further clarification on the changes made. In the same recommendation, the Subsidiary Body on Implementation presented a draft decision for consideration by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety at its ninth meeting, as reproduced in section II below.

5. Accordingly, a notification was issued inviting Parties to provide specific comments on the draft revised format for the fourth national report.³ A total of 11 submissions were received, from Austria, Bulgaria, Cameroon, Czechia, France, India, Iran (Islamic Republic of), Japan, Mexico, United Kingdom of Great Britain and Northern Ireland, and Zimbabwe.

6. To give effect to the requests in decision BS-VI/15 as well as the comments received following the second meeting of the Subsidiary Body on Implementation, the Secretariat has prepared an updated draft revised format for the fourth national report, as annexed below. It includes the following main changes:

(a) The language of the questions was improved where possible and necessary, and was aligned with the wording of the Protocol;

(b) Questions that were redundant or no longer relevant were removed, in particular duplicate questions;

(c) References to the indicators in the Strategic Plan for the Protocol, and to question numbers in the second national reporting format, were moved to a reference table that will accompany the format for the fourth national report (similar to the table provided in information document CBD/CP/MOP/9/INF/5);

(d) The possible responses to some questions were revised to improve the clarity of the answers, in particular where answers that contained interval scales that offered more than one possible option;

(e) The option to select “No changes since previous report” and to apply the same answer as that provided in the previous report was removed from the offline version of the draft revised format. However, the possibility to visualize and select answers provided in the previous report will be available in the online format (it is intended that the online format for the fourth national reports will be “pre-filled” with the answers submitted by the Party concerned in its last report, as a reference);

7. Furthermore, questions related to liability and redress have been included in the proposed draft revised reporting format in the light of the entry into force of the Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress. The additional questions in the draft revised reporting format would enable Parties to provide information for the review of the effectiveness of the Supplementary Protocol further to its Article 13.

8. Further information on the changes to each individual question is available in information document CBD/CP/MOP/9/INF/5 and may be helpful for cross-referencing the questions in the draft revised format for the fourth national report in relation to the format for the third national report.

II. SUGGESTED ELEMENTS FOR A DRAFT DECISION

9. The Conference of the Parties serving as the meeting of the Parties to the Protocol may wish to consider the updated draft format for the fourth national report, as contained in the annex to the present note, and to adopt a decision along the lines of the draft decision contained in recommendation 2/13 of the Subsidiary Body on Implementation:

The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety,

Recalling decision CP-VIII/14, in which the Executive Secretary was requested to develop a revised format for the fourth national reports with a view to ensuring that complete and accurate

³ Notification 2018-069, issued on 9 August 2018 (Ref. No.: SCBD/SPS/AS/MPM/MW/87584).

information is captured while striving to ensure the applicability of the baseline information, established in decision BS-VI/15,

Welcoming the review by the Subsidiary Body on Implementation, at its second meeting, of the draft revised format for the fourth national report, as proposed by the Executive Secretary,⁴

1. *Adopts* the reporting format annexed hereto, and *requests* Parties to use it for the fourth national report on the implementation of the Cartagena Protocol on Biosafety;

2. *Invites* Parties to prepare their reports through a consultative process involving all relevant national stakeholders, including indigenous peoples and local communities, as appropriate;

3. *Encourages* Parties to respond to all questions in the reporting format, and stresses the importance of the timely submission of fourth national reports in order to facilitate the fourth assessment and review of the effectiveness of the Cartagena Protocol and the final evaluation of the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011-2020;⁵

4. *Requests* Parties and *invites* other Governments to submit to the Secretariat their fourth national report on the implementation of the Cartagena Protocol on Biosafety:

(a) In an official language of the United Nations;

(b) Twelve months prior to the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol, when the report will be considered;

(c) Preferably online through the Biosafety Clearing-House, or offline using the appropriate form that will be made available by the Secretariat for this purpose, duly signed by the national focal point for the Cartagena Protocol;

5. *Requests* the Executive Secretary to continue making available, in the online reporting tool, the option to view and select the answers provided in the previous national report submitted by the Party concerned;

6. *Recommends* to the Conference of the Parties, in adopting guidance to the financial mechanism, that it invite the Global Environment Facility to make available, in a timely manner, financial resources to eligible Parties to facilitate the preparation and submission of their fourth national reports under the Protocol.

⁴ See Subsidiary Body recommendation [2/13](#).

⁵ Decision [BS-V/16](#), annex I.

Annex

**UPDATED DRAFT FORMAT FOR THE FOURTH NATIONAL REPORT UNDER THE
CARTAGENA PROTOCOL ON BIOSAFETY**

Origin of the report

1. **Country:** [**Type your text here**]

Contact person submitting the report

2. **Name:** [**Type your text here**]

3. **Title:** [**Type your text here**]

4. **Organization:** [**Type your text here**]

5. **Mailing address:** [**Type your text here**]

6. **Telephone:** [**Type your text here**]

7. **Fax:** [**Type your text here**]

8. **E-mail:** [**Type your text here**]

9. **Organizations/stakeholders who were consulted or participated in the preparation of this report:** [**Type your text here**]

Submission

10. **Date of submission:** [**day / month / year**]

11. **Time period covered by this report:** **From [month / year] to [month / year]**

Signature of the reporting officer⁶

⁶ This document is a protected form in MS Word format to enable further processing of the information contained therein by the CBD Secretariat. Only text entries and checkboxes may be changed. Once you finish filling in the form, please save it and print this first page for signature. This form is also available in the BCH for electronic submission at: [LINK TO BE ADDED]

IMPORTANT: To facilitate the analysis of the information contained in this report, it is recommended that Parties submit the report online through the Biosafety Clearing-House or as an attachment to an e-mail in MS Word format, together with a scanned copy of the signed first page, to the Secretariat at: secretariat@cbd.int.

Please *do not* send this report via fax or postal mail or in electronic formats other than MS Word.

12. If your country is not a Party to the Cartagena Protocol on Biosafety (CPB), is there any national process in place towards becoming a Party?	<input type="checkbox"/> Yes <input type="checkbox"/> No
13. Here you may provide further details: [Type your text here]	
Article 2 – General provisions <i>Article 2 requires each Party to take the necessary and appropriate legal, administrative and other measures to implement its obligations under the Protocol</i>	
14. Has your country introduced the necessary national measures for the implementation of the Protocol?	<input type="checkbox"/> National measures are fully in place <input type="checkbox"/> National measures are partially in place <input type="checkbox"/> Only temporary measures have been introduced <input type="checkbox"/> Only draft measures exist <input type="checkbox"/> No measures have yet been taken
15. Which specific instruments are in place for the implementation of national biosafety measures?	<input type="checkbox"/> One or more national biosafety laws <input type="checkbox"/> One or more national biosafety regulations <input type="checkbox"/> One or more sets of biosafety guidelines <input type="checkbox"/> Other laws, regulations or guidelines that indirectly apply to biosafety <input type="checkbox"/> No instruments are in place
16. Has your country undertaken initiatives to mainstream biosafety into national biodiversity strategies and action plans, other policies, or legislation?	<input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No <input type="checkbox"/> Other: [Please specify]
17. Has your country established a mechanism for budget allocations for the operation of its national biosafety measures?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No
18. Does your country have permanent staff to administer functions directly related to biosafety?	<input type="checkbox"/> Yes <input type="checkbox"/> No
19. If you answered <i>Yes</i> to question 18, how many permanent staff members are in place whose functions are directly related to biosafety ?	<input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 or more <i>Is this number adequate:</i> <input type="checkbox"/> Yes <input type="checkbox"/> No

20. Here you may provide further details on the implementation of Article 2 in your country: [Type your text here]	
Article 5 – Pharmaceuticals	
21. Does your country regulate the transboundary movement, handling or use of living modified organisms (LMOs) which are pharmaceuticals to humans?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No
22. Here you may provide further details on the implementation of Article 5 in your country: [Type your text here]	
Article 6 – Transit and contained use	
23. Does your country regulate the transit of LMOs?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No
24. Does your country regulate the contained use of LMOs?	<input type="checkbox"/> Yes <input type="checkbox"/> No
25. Has your country taken a decision concerning the import of LMOs for contained use?	<input type="checkbox"/> Yes <input type="checkbox"/> No
26. Here you may provide further details on the implementation of Article 6 in your country: [Type your text here]	

Articles 7 to 10: Advance informed agreement (AIA) and intentional introduction of LMOs into the environment	
27. Has your country established legal requirements for exporters under its jurisdiction to notify in writing the competent national authority of the Party of import prior to the intentional transboundary movement of an LMO that falls within the scope of the AIA procedure?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No
28. When acting as the Party of export, has your country established legal requirements for the accuracy of information contained in the notification provided by the exporter?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No <input type="checkbox"/> Not applicable (Party currently not exporting LMOs)

<p>29. In the current reporting period, has your country received a notification regarding intentional transboundary movements of LMOs for intentional introduction into the environment?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>30. If you answered <i>Yes</i> to question 29, did the notification(s) contain complete information (at a minimum the information specified in Annex I to the Cartagena Protocol on Biosafety)?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>
<p>31. If you answered <i>Yes</i> to question 29, has your country acknowledged receipt of the notification(s) to the notifier within ninety days of receipt?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>
<p>32. If you answered <i>Yes</i> to question 29, has your country informed of its decision(s):</p> <p>a. The notifier?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p> <hr/> <p>b. The Biosafety Clearing-House (BCH)?</p> <p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>
<p>33. In the current reporting period, has your country taken a decision in response to the notification(s) regarding intentional transboundary movements of LMOs for intentional introduction into the environment?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>34. If you answered <i>Yes</i> to question 33, how many LMOs has your country approved for import for intentional introduction into the environment?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 or more</p>
<p>35. If you answered <i>under question 34 that LMOs were approved</i>, have all these LMOs actually been imported into your country?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>

<p>41. In the current reporting period, how many decisions has your country taken <u>regarding domestic use</u>, including placing on the market, of LMOs that may be subject to transboundary movement for direct use as food or feed, or for processing?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 or more</p>
<p>42. Does your country have law(s), regulation(s) or administrative measures for decision-making regarding the import of LMOs for direct use as food or feed, or for processing?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>43. In the current reporting period, how many decisions has your country taken <u>regarding the import of LMOs</u> for direct use as food or feed, or for processing?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 or more</p>
<p>44. Here you may provide further details on the implementation of Article 11 in your country, including measures in case of lack of scientific certainty on potential adverse effects of LMOs that may be subject to transboundary movement for direct use as food or feed, or for processing:</p> <p>[Type your text here]</p>	
<p style="text-align: center;">Article 12 – Review of decision</p>	
<p>45. Has your country established a mechanism for the review and change of a decision regarding an intentional transboundary movement of LMOs?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>46. In the current reporting period, has your country reviewed and/or changed a decision regarding an intentional transboundary movement of an LMO?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>47. If you answered <i>Yes</i> to question 46, how many decisions were reviewed and/or changed?</p>	<p><input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 or more</p>
<p>48. If you answered <i>Yes</i> to question 46, were any of the reviews triggered by a request from the Party of export or the notifier?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>49. If you answered <i>Yes</i> to question 48, did your country provide a response within ninety days setting out the reasons for the decision?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>

<p>50. If you answered <i>Yes</i> to question 46, were any of the reviews initiated by your country as the Party of import?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>51. If you answered <i>Yes</i> to question 50, did your country, within thirty days, set out the reasons for the decision and inform:</p> <p>a. The notifier?</p> <p>b. The BCH?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p> <hr/> <p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>
<p>52. Here you may provide further details on the implementation of Article 12 in your country: [Type your text here]</p>	
<p>Article 13 – Simplified procedure</p>	
<p>53. Has your country established a mechanism for the application of the simplified procedure regarding an intentional transboundary movement of LMOs?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>54. In the current reporting period, has your country applied the simplified procedure?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>55. If you answered <i>Yes</i> to question 54, for how many LMOs has your country applied the simplified procedure?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 5 <input type="checkbox"/> 5 or more</p>
<p>56. If you answered <i>Yes</i> to question 54, has your country informed the Parties through the BCH of the cases where the simplified procedure was applied?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>
<p>57. Here you may provide further details on the implementation of Article 13 in your country: [Type your text here]</p>	

Capacity-building in risk assessment or risk management

65. How many people in your country have been trained in risk assessment, risk management and monitoring of LMOs?

a. Risk assessment:

- None
- 1 to 9
- 10 to 49
- 50 to 99
- 100 or more

Is this number adequate: *Yes* *No*

b. Risk management:

- None
- 1 to 9
- 10 to 49
- 50 to 99
- 100 or more

Is this number adequate: *Yes* *No*

c. Monitoring:

- None
- 1 to 9
- 10 to 49
- 50 to 99
- 100 or more

Is this number adequate: *Yes* *No*

66. Is your country using training material and/or technical guidance for training in risk assessment and risk management of LMOs?

- Yes
- No

67. If you answered *Yes* to question 66, is your country using the “Manual on Risk Assessment of LMOs” (developed by the CBD Secretariat) for training in risk assessment?

- Yes
- No

68. If you answered *Yes* to question 66, is your country using the “Guidance on Risk Assessment of LMOs” (developed by the Online Forum and the AHTEG on Risk Assessment and Risk Management) for training in risk assessment?

- Yes
- No

<p>69. Does your country have specific needs for further guidance on specific topics of risk assessment of LMOs?</p>	<p><input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No</p>
<p>70. Does your country have the capacity to detect, identify, assess the risk of and/or monitor LMOs or specific traits that may have adverse effects on the conservation and sustainable use of biological diversity, taking into account risks to human health?</p> <p>a. Detect: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <hr/> <p>b. Identify: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <hr/> <p>c. Assess the risk: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <hr/> <p>d. Monitor: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p><i>Conducting risk assessment or risk management</i></p>	
<p>71. Has your country adopted or used any guidance documents for the purpose of conducting risk assessment or risk management, or for evaluating risk assessment reports submitted by notifiers?</p> <p>a. Risk assessment: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <hr/> <p>b. Risk management: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>72. If you answered <i>Yes</i> to question 71, is your country using the “Guidance on Risk Assessment of LMOs” (developed by the Online Forum and the AHTEG on Risk Assessment and Risk Management) for conducting risk assessment or risk management, or for evaluating risk assessment reports submitted by notifiers?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>73. Has your country adopted common approaches or methodologies to risk assessment in coordination with other countries?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>74. Has your country cooperated with other Parties with a view to identifying LMOs or specific traits that may have adverse effects on the conservation and sustainable use of biological diversity?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>75. In the current reporting period, has your country conducted any kind of risk assessment of LMOs, including for contained use, field trials, commercial purposes, direct use as food, feed, or for processing?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>76. If you answered <i>Yes</i> to question 75, how many risk assessments were conducted?</p>	<p><input type="checkbox"/> 1 to 9 <input type="checkbox"/> 10 to 49 <input type="checkbox"/> 50 to 99 <input type="checkbox"/> More than 100</p>
<p>77. If you answered <i>Yes</i> to question 75, please indicate the scope of the risk assessments (select all that apply):</p>	<p><input type="checkbox"/> LMOs for contained use (in accordance with Article 3) <input type="checkbox"/> LMOs for intentional introduction into the environment for experimental testing or field trials <input type="checkbox"/> LMOs for intentional introduction into the environment for commercial purposes <input type="checkbox"/> LMOs for direct use as food <input type="checkbox"/> LMOs for direct use as feed <input type="checkbox"/> LMOs for processing <input type="checkbox"/> Other: [Please specify]</p>
<p>78. If you answered <i>Yes</i> to question 75, were risk assessments conducted for all decisions taken on LMOs for intentional introduction into the environment or on domestic use of LMOs that may be subject to transboundary movement for direct use as food or feed, or for processing?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>
<p>79. Has your country established appropriate mechanisms, measures and strategies to regulate and manage risks identified in the risk assessment of LMOs?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<p>94. If you answered <i>Yes</i> to question(s) 91, 92 and/or 93, what type of documentation accompanying LMOs does your country require?</p>	<p><input type="checkbox"/> Documentation specific for LMOs</p> <p><input type="checkbox"/> As part of other documentation (not specific for LMOs)</p> <p><input type="checkbox"/> Other: [Please specify]</p>
<p>95. Has your country taken measures to require that documentation accompanying <i>LMOs that are destined for contained use</i> clearly identifies them as <i>LMOs</i> and specifies any requirements for the safe handling, storage, transport and use, the contact point for further information, including the name and address of the individual and institution to whom the LMO are consigned?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent: [Please specify]</p> <p><input type="checkbox"/> No</p>
<p>96. If you answered <i>Yes</i> to question 95, what type of documentation does your country require for the identification of LMOs that are destined for contained use?</p>	<p><input type="checkbox"/> Documentation specific for LMOs</p> <p><input type="checkbox"/> As part of other documentation (not specific for LMOs)</p> <p><input type="checkbox"/> Other: [Please specify]</p>
<p>97. Has your country taken measures to require that documentation accompanying <i>LMOs that are intended for intentional introduction into the environment of the Party of import</i>, clearly identifies them as <i>living modified organisms</i>; specifies the identity and relevant traits and/or characteristics, any requirements for the safe handling, storage, transport and use, the contact point for further information and, as appropriate, the name and address of the importer and exporter; and contains a declaration that the movement is in conformity with the requirements of this Protocol applicable to the exporter?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent: [Please specify]</p> <p><input type="checkbox"/> No</p>
<p>98. If you answered <i>Yes</i> to question 97, what type of documentation does your country require for the identification of LMOs that are intended for intentional introduction into the environment?</p>	<p><input type="checkbox"/> Documentation specific for LMOs</p> <p><input type="checkbox"/> As part of other documentation (not specific for LMOs)</p> <p><input type="checkbox"/> Other: [Please specify]</p>
<p>99. Does your country have available any guidance for the purpose of ensuring the safe handling, transport, and packaging of living modified organisms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>

<p>100. Does your country have the capacity to enforce the requirements of identification and documentation of LMOs?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>101. How many customs officers in your country have received training in the identification of LMOs?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 9 <input type="checkbox"/> 10 to 49 <input type="checkbox"/> 50 to 99 <input type="checkbox"/> 100 or more</p> <p><i>Is this number adequate:</i> <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>102. Has your country established procedures for the sampling and detection of LMOs?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>103. How many laboratory personnel in your country have received training in detection of LMOs?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 9 <input type="checkbox"/> 10 to 49 <input type="checkbox"/> 50 to 99 <input type="checkbox"/> 100 or more</p> <p><i>Is this number adequate:</i> <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>104. Does your country have reliable access to laboratory facilities for the detection of LMOs?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>105. How many laboratories in your country are certified for LMO detection?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 to 49 <input type="checkbox"/> 50 or more</p>
<p>106. If you answered <i>under question 105</i> that <i>certified laboratories exist in your country</i>, how many of them are currently operating in the detection of LMOs?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 to 49 <input type="checkbox"/> 50 or more</p>
<p>107. Here you may provide further details on the implementation of Article 18 in your country:</p> <p>[Type your text here]</p>	

<p>c. Bilateral, multilateral and regional agreements and arrangements (Article 14, paragraph 2, and Article 20, paragraph 3 (b))</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>d. Contact details for competent national authorities (Article 19, paragraphs 2 and 3), national focal points (Article 19, paragraphs 1 and 3), and emergency contacts (Article 17, paragraph 3 (e))</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>e. Decisions by a Party regarding transit of LMOs (Article 6, paragraph 1)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>f. Decisions by a Party regarding import of LMOs for contained use (Article 6, paragraph 2)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>g. Notifications regarding the release under your country's jurisdiction that leads, or may lead, to an unintentional transboundary movement of a LMO that is likely to have significant adverse effects on biological diversity (Article 17, paragraph 1)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>

<p>h. Information concerning cases of illegal transboundary movements of LMOs (Article 25, paragraph 3)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>i. Decisions regarding the importation of LMOs for intentional introduction into the environment (Article 10, paragraph 3)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>j. Information on the application of domestic regulations to specific imports of LMOs (Article 14, paragraph 4)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>k. Decisions regarding the domestic use of LMOs that may be subject to transboundary movement for direct use as food or feed, or for processing (Article 11, paragraph 1)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>l. Decisions regarding the import of LMOs intended for direct use as food or feed, or for processing that are taken under domestic regulatory frameworks (Article 11, paragraph 4) or in accordance with Annex III to the Protocol (Article 11, paragraph 6)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>

<p>114. Has your country established a mechanism for strengthening the capacity of the BCH national focal point to perform its administrative functions?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>115. Has your country established a mechanism for the coordination among the BCH national focal point, the Cartagena Protocol national focal point, and the competent national authority(ies) for making information available to the BCH?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>116. Does your country use the information available in the BCH in its decision-making processes on LMOs?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> Yes, in some cases <input type="checkbox"/> No <input type="checkbox"/> Not applicable (no decisions were taken)</p>
<p>117. Has your country experienced difficulties accessing or using the BCH?</p>	<p><input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No</p>
<p>118. In the current reporting period, how many biosafety-related events (e.g. seminars, workshops, press conferences, educational events) has your country organized?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 to 24 <input type="checkbox"/> 25 or more</p>
<p>119. In the current reporting period, how many biosafety-related publications has your country published?</p>	<p><input type="checkbox"/> None <input type="checkbox"/> 1 to 9 <input type="checkbox"/> 10 to 49 <input type="checkbox"/> 50 to 99 <input type="checkbox"/> 100 or more</p>
<p>120. Here you may provide further details on the implementation of Article 20 in your country: [Type your text here]</p>	
<p>Article 21 – Confidential information</p>	
<p>121. Has your country established procedures to protect confidential information received under the Protocol?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>

<p>122. Does your country allow the notifier to identify information that is to be treated as confidential?</p>	<p><input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No</p>
<p>123. Here you may provide further details on the implementation of Article 21 in your country: [Type your text here]</p>	
<p>Article 22 – Capacity-building</p>	
<p>124. Does your country have predictable and reliable funding for building capacity for the effective implementation of the Protocol?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>125. Has your country received external support or benefited from collaborative activities with other Parties in the development and/or strengthening of human resources and institutional capacities in biosafety?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>126. If you answered <i>Yes</i> to question 125, how were these resources made available?</p>	<p><input type="checkbox"/> Bilateral channels <input type="checkbox"/> Regional channels <input type="checkbox"/> Multilateral channels</p>
<p>127. Has your country provided support to other Parties in the development and/or strengthening of human resources and institutional capacities in biosafety?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No</p>
<p>128. If you answered <i>Yes</i> to question 127, how were these resources made available?</p>	<p><input type="checkbox"/> Bilateral channels <input type="checkbox"/> Regional channels <input type="checkbox"/> Multilateral channels</p>
<p>129. In the reporting period, has your country initiated a process to access funds from the Global Environment Facility (GEF) for building capacity in biosafety?</p>	<p><input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No</p>
<p>130. If you answered <i>Yes</i> to question 129, how would you characterize the process?</p>	<p><input type="checkbox"/> Very easy <input type="checkbox"/> Easy <input type="checkbox"/> Average <input type="checkbox"/> Difficult <input type="checkbox"/> Very difficult</p>

<p>131. In the current reporting period, has your country undertaken activities for the development and/or strengthening of human resources and institutional capacities in biosafety?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent: [Please specify]</p> <p><input type="checkbox"/> No</p>
<p>132. If you answered <i>Yes</i> to question 131, in which of the following areas were these activities undertaken (select all that apply)?</p>	<p><input type="checkbox"/> Institutional capacity and human resources</p> <p><input type="checkbox"/> Integration of biosafety in cross-sectoral and sectoral legislation, policies and institutions (mainstreaming biosafety)</p> <p><input type="checkbox"/> Risk assessment and other scientific and technical expertise</p> <p><input type="checkbox"/> Risk management</p> <p><input type="checkbox"/> Public awareness, participation and education in biosafety</p> <p><input type="checkbox"/> Information exchange and data management, including participation in the Biosafety Clearing-House</p> <p><input type="checkbox"/> Scientific, technical and institutional collaboration at subregional, regional and international levels</p> <p><input type="checkbox"/> Technology transfer</p> <p><input type="checkbox"/> Identification of LMOs, including their detection</p> <p><input type="checkbox"/> Socioeconomic considerations</p> <p><input type="checkbox"/> Implementation of the documentation requirements under Article 18.2 of the Protocol</p> <p><input type="checkbox"/> Handling of confidential information</p> <p><input type="checkbox"/> Measures to address unintentional and/or illegal transboundary movements of LMOs</p> <p><input type="checkbox"/> Scientific biosafety research relating to LMOs</p> <p><input type="checkbox"/> Taking into account risks to human health</p> <p><input type="checkbox"/> Liability and redress</p> <p><input type="checkbox"/> Other: [Please specify]</p>
<p>133. In the current reporting period, has your country carried out a capacity-building needs assessment?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>134. Does your country still have capacity-building needs?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>

Article 23 – Public awareness and participation	
139. Is biosafety public awareness, education and/or participation addressed in legislation or policy in your country?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No
140. In the current reporting period, has your country cooperated with other States and international bodies in relation to public awareness, education and participation?	<input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No
141. Has your country established a mechanism to ensure public access to information on LMOs?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No
142. Does your country have in place a national communication strategy on biosafety?	<input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No
143. Does your country have any awareness and outreach programmes on biosafety?	<input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No
144. Does your country currently have a national biosafety website?	<input type="checkbox"/> Yes <input type="checkbox"/> No
145. How many academic institutions in your country are offering biosafety education and training courses and programmes?	<input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 or more <i>Is this number adequate:</i> <input type="checkbox"/> Yes <input type="checkbox"/> No
146. How many educational materials and/or online modules on biosafety are available and accessible to the public in your country?	<input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 to 24 <input type="checkbox"/> 25 to 99 <input type="checkbox"/> 100 or more <i>Is this number adequate:</i> <input type="checkbox"/> Yes <input type="checkbox"/> No
147. Has your country established a mechanism to consult the public in the decision-making process regarding LMOs?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No

157. Here you may provide further details on the implementation of Article 24 in your country: [Type your text here]	
Article 25 – Illegal transboundary movements⁸	
158. Has your country adopted domestic measures aimed at preventing and/or penalizing transboundary movements of LMOs carried out in contravention of its domestic measures to implement the Cartagena Protocol?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent: [Please specify] <input type="checkbox"/> No
159. In the current reporting period, how many cases of illegal transboundary movements of LMOs has your country become aware of?	<input type="checkbox"/> None <input type="checkbox"/> 1 to 4 <input type="checkbox"/> 5 to 9 <input type="checkbox"/> 10 or more
160. If you indicated <i>under question 159</i> that <i>your country became aware of cases of illegal transboundary movements</i> , has the origin of the LMO(s) been established?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, some cases <input type="checkbox"/> No
161. Here you may provide further details on the implementation of Article 25 in your country: [Type your text here]	
Article 26 – Socio-economic considerations	
162. Does your country have any specific approaches or requirements that facilitate how socioeconomic considerations should be taken into account in LMO decision-making?	<input type="checkbox"/> Yes <input type="checkbox"/> No
163. In the current reporting period, have socioeconomic considerations arising from the impact of LMOs been taken into account in decision-making?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No <input type="checkbox"/> Not applicable (no decisions were taken)

⁸ In accordance with the operational definition adopted in decision CP VIII/16, “‘Illegal transboundary movement’ is a transboundary movement of living modified organisms carried out in contravention of the domestic measures to implement the Protocol that have been adopted by the Party concerned”.

<p>171. Has your country introduced the necessary measures for the implementation of the Supplementary Protocol?</p>	<p><input type="checkbox"/> National measures are fully in place</p> <p><input type="checkbox"/> National measures are partially in place</p> <p><input type="checkbox"/> Only temporary measures have been introduced</p> <p><input type="checkbox"/> Only draft measures exist</p> <p><input type="checkbox"/> No measures have yet been taken</p>
<p>172. Which instruments are in place for the implementation of the Supplementary Protocol?</p>	<p><input type="checkbox"/> One or more national laws: [Please specify]</p> <p><input type="checkbox"/> One or more national regulations: [Please specify]</p> <p><input type="checkbox"/> One or more sets of guidelines: [Please specify]</p> <p><input type="checkbox"/> No instruments are in place</p>
<p>173. Does your country have administrative or legal instruments that require response measures to be taken:</p> <p>a. In case of damage resulting from LMOs?</p> <p>b. In case there is sufficient likelihood that damage will result if response measures are not taken?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <hr/> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>174. If you answered <i>Yes</i> to question 173a, do these instruments impose requirements on an operator (select all that apply)?</p>	<p><input type="checkbox"/> Yes, the operator must inform the competent authority of the damage</p> <p><input type="checkbox"/> Yes, the operator must evaluate the damage</p> <p><input type="checkbox"/> Yes, the operator must take response measures</p> <p><input type="checkbox"/> Yes, other requirements: [Please specify]</p> <p><input type="checkbox"/> No</p>
<p>175. If you answered <i>Yes</i> to question 173a, do these instruments require the operator to take response measures to avoid damage?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>176. If you answered <i>Yes</i> to question 173a or 173b, do these instruments provide for a definition of “operator”?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>

<p>177. If you answered <i>Yes</i> to question 176, which of the following could be an ‘operator’ (select all that apply)?</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Permit holder <input type="checkbox"/> Person who placed the LMO on the market <input type="checkbox"/> Developer <input type="checkbox"/> Producer <input type="checkbox"/> Notifier <input type="checkbox"/> Exporter <input type="checkbox"/> Importer <input type="checkbox"/> Carrier <input type="checkbox"/> Supplier <input type="checkbox"/> Other: [Please specify]
<p>178. Has a competent authority been identified for carrying out the functions set out in the Supplementary Protocol?</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No
<p>179. If you answered <i>Yes</i> to question 178, what measures may the competent authority take (select all that apply)?</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Identify the operator that caused the damage <input type="checkbox"/> Evaluate the damage <input type="checkbox"/> Determine response measures to be taken by operator <input type="checkbox"/> Implement response measures <input type="checkbox"/> Recover costs and expenses of the evaluation of the damage and the implementation of any response measures from the operator <input type="checkbox"/> Other: [Please specify]
<p>180. Does your country have measures in place to provide for financial security for damage resulting from LMOs?</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No
<p>181. If you answered <i>Yes</i> to question 180, what type of financial security measures are in place (select all that apply)?</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Requirement to provide evidence for secure source of funding <input type="checkbox"/> Mandatory insurance <input type="checkbox"/> Government schemes, including funds <input type="checkbox"/> Other: [Please specify]

182. Does your country have rules and procedures on civil liability that address damage resulting from LMOs, or has such damage been recognized in court rulings (select all that apply)?	<input type="checkbox"/> Yes, in a civil liability instrument <input type="checkbox"/> Yes, in court rulings <input type="checkbox"/> Yes, in other instruments: [Please specify] <input type="checkbox"/> No
183. Have there been any occurrences of damage resulting from LMOs in your country?	<input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No
184. If you answered <i>Yes</i> to question 183, have response measures been taken?	<input type="checkbox"/> Yes: [Please specify] <input type="checkbox"/> No
185. Here you may provide further details on any activities undertaken in your country towards the implementation of the Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress: [Type your text here]	
Other information	
186. Please use this field to provide any other information on issues related to national implementation of the Cartagena Protocol and the Supplementary Protocol, including any obstacles or impediments encountered. [Type your text here]	
Comments on reporting format	
187. Please use this field to provide any other information on difficulties that you have encountered in filling in this report. [Type your text here]	