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SUBSIDIARY BODY ON IMPLEMENTATION

Third meeting (part I)

Online, 16 May–13 June 2021

REPORT OF THE SUBSIDIARY BODY ON IMPLEMENTATION ON ITS THIRD MEETING: ACCOUNT OF PROCEEDINGS OF THE FIRST PART OF THE MEETING (PART I)

Rapporteur: Mr. Eric Amaning Okoree (Ghana)

I. ACCOUNT OF PROCEEDINGS

INTRODUCTION

A. Background

1. The first part of the third meeting of the Subsidiary Body on Implementation was held online from 16 May to 13 June 2021, back-to-back with the first part of the twenty-fourth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice.

B. Attendance

2. The meeting was attended by representatives of the following Parties and other Governments: [*to be completed*].

3. Observers from the following United Nations bodies, specialized agencies, convention secretariats and other bodies also attended: [*to be completed*].

4. The following organizations were also represented by observers: [*to be completed*].

ITEM 1. OPENING OF THE MEETING

5. The meeting was opened at 11:00 Universal Time Coordinated (UTC) (7 a.m. Montreal time) on Sunday, 16 May 2021, by Ms. Charlotta Sörqvist (Sweden), the Chair of the Subsidiary Body. Ms. Sörqvist welcomed the participants, expressed the hope that they and those close to them were healthy and safe and offered condolences to those that had lost loved ones, calling for a moment of silence to remember all those lost during the coronavirus disease pandemic.

6. During the fourth plenary session of part I of the meeting, on 29 May 2021, the Subsidiary Body paused in memory of Ms. Marle Aguilar, national focal point for access and benefit-sharing and national coordinator of the global access and benefit-sharing project in Honduras, who had recently succumbed to coronavirus.

7. Opening statements were made by Mr. Hamdallah Zedan (Egypt) and Ms. Elizabeth Maruma Mrema, Executive Secretary of the Convention on Biological Diversity.

8. Speaking on behalf of the President of the Conference of the Parties, Ms. Yasmine Fouad, Mr. Zedan welcomed the participants and expressed his sympathy and solidarity with those that had lost loved ones or suffered hardship from the effects of the ongoing global pandemic. He welcomed the participants to the

* Updated to include the proceedings of 11 and 12 June 2021. The new text is shaded in grey for ease of reference.

meeting, which would maintain momentum towards the fifteenth meeting of the Conference of the Parties and advance the development of an ambitious, robust and transformative post-2020 global biodiversity framework. Participants would consider many issues essential to the work of the Convention and its protocols. The previous special virtual sessions and informal session of the Subsidiary Body on Implementation had shown that the Chair of the Subsidiary Body and the Bureau were ready to carry that work forward. The world had entered a new and uncertain era during the past year, filled with unprecedented and seemingly insurmountable challenges but if opportunities to further biodiversity conservation and protection the coming years were maximized it might be remembered as the time when the world advanced to an entirely new state of being and the global community came together on a path to achieve the 2050 Vision of living in harmony with nature. He thanked all the Parties for their flexibility and cooperation in finding a way to allow the Convention to move forward through the mechanism of virtual meetings.

9. The Executive Secretary also welcomed participants to the informal session and thanked the Chair and the members of the Bureau for their leadership in preparing for the virtual meeting and the Government of Canada for its generous support for the virtual meetings, including by providing a team of dedicated volunteers. The meeting was important for the global community, as evidenced by the registration of 1,830 representatives from 128 countries and 1,140 participants from 190 observer organizations. Participants had prepared for the current meeting through participation in special virtual sessions and had already provided valuable input during the informal session of the Subsidiary Body on Implementation held earlier in the year, which would be taken into account in developing the conference room papers for the current session. Importantly, the current meeting would generate long-awaited advice for the Co-Chairs of the Working Group on the Post-2020 Global Biodiversity Framework, and participants were urged to consider the importance of the juncture for people and the planet and to work together to move towards a safer, more sustainable and more equitable path, for the sake of future generations. The Executive Secretary addressed special thanks to the Secretariat staff for their diligence in a difficult year and requested empathy and flexibility from the participants in the demands made on the Secretariat.

ITEM 2. ADOPTION OF THE AGENDA AND ORGANIZATION OF WORK

10. In accordance with the *modus operandi* of the Subsidiary Body on Implementation,¹ the Bureau of the Conference of the Parties served as the Bureau for the Subsidiary Body. It was agreed that a member of the Bureau, Mr. Eric Amaning Okoree (Ghana), would serve as Rapporteur for the meeting.

11. The Rapporteur, speaking on behalf of all the participants, congratulated the Chair of the Subsidiary Body and members of the Bureau for their hard work in preparing for the formal session and wished them a successful meeting. He also thanked the Executive Secretary and her team for those preparations, and the Government of Canada for funding the meeting.

12. He explained that the report on the first part of the meeting would be approved at the end of the first part of the meeting, on 13 June 2021. The report would be procedural in nature and would note the status of the documents being prepared and any conference room papers approved; approval of the final outcomes would be deferred until the resumed session of the Subsidiary Body, to be held in person. The report might also note any procedural requests made to the Secretariat for intersessional work within the scope of existing mandates related to the preparations for the third meeting of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework the fifteenth meeting of the Conference of the Parties, the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety, and the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access and Benefit-sharing.

13. At the first plenary session of part I of the meeting, on 16 May 2021, the Subsidiary Body adopted the following agenda on the basis of the provisional agenda (CBD/SBI/3/1):

1. Opening of the meeting.

¹ Decision [XIII/25](#), annex.

2. Adoption of the agenda and organization of work.
3. Review of progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020.
4. Assessment and review of the effectiveness of the Cartagena Protocol on Biosafety.
5. Post-2020 global biodiversity framework.
6. Resource mobilization and the financial mechanism.
7. Capacity-building, technical and scientific cooperation, technology transfer, knowledge management, and communication.
8. Cooperation with other conventions, international organizations and initiatives.
9. Mechanisms for reporting, assessment and review of implementation.
10. Review of the effectiveness of the processes under the Convention and its protocols.
11. Mainstreaming of biodiversity within and across sectors and other strategic actions to enhance implementation.
12. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol.
13. Global multilateral benefit-sharing mechanism (Article 10 of the Nagoya Protocol).
14. Administrative and budgetary matters.
15. Other matters.
16. Adoption of the report.
17. Closure of the meeting.

14. The Subsidiary Body agreed to the organization of work as set out in the annotated provisional agenda (CBD/SBI/3/1/Add.1/Rev.2) and the scenario note for the meeting (CBD/SBI/3/1/Add.2).

15. The Chair then explained how contact groups would operate during the meeting. Contact groups would meet for sessions of up to three hours and multiple sessions could be scheduled each day provided that different contact groups did not meet in parallel. The sessions would be open to representatives of all Parties, other Governments and observers. The usual practice would apply to participation of observers: at the discretion of the co-chairs, they might be given the floor after Parties had spoken, and any substantive proposals they made would need to be supported by at least one Party for them to be taken up by the contact group. The participants were encouraged to use the chat function of the web-based Interactio conference system to indicate such support rather than by requesting the floor.

16. Taking into account the extraordinary circumstances prevailing as a result of the ongoing pandemic situation and recognizing the complexities involved in holding the meeting in a virtual setting, it was indicated in the scenario note (CBD/SBI/3/1/Add.2) that finalization of the recommendations of the Subsidiary Body would be deferred to a later date at a physical meeting organized back-to-back with a physical meeting of the Working Group on the Post-2020 Global Biodiversity Framework or the fifteenth meeting of the Conference of the Parties, unless otherwise decided by the Bureau. Owing to the suspension of the meeting, the last three items of the agenda, namely items 15 (other matters), 16 (adoption of the report), and 17 (closure of the meeting), were deferred to a resumed session of the meeting that would be held at a later date.

ITEM 3. REVIEW OF PROGRESS IN THE IMPLEMENTATION OF THE CONVENTION AND THE STRATEGIC PLAN FOR BIODIVERSITY 2011–2020

17. The Subsidiary Body on Implementation took up agenda item 3 at the first plenary session of part I of the meeting, on 16 May 2021. In considering the item, the Subsidiary Body had before it a note by the Executive Secretary on the review of progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011–2020 (CBD/SBI/3/2), including a suggested recommendation. It also had before it four addenda to that document, setting out an update on progress in revising/updating and implementing national biodiversity strategies and action plans, including national targets (CBD/SBI/3/2/Add.1), an analysis of the contribution of targets established by Parties and progress towards the Aichi Biodiversity Targets (CBD/SBI/3/2/Add.2), a review of implementation of the 2015–2020 Gender Plan of Action (CBD/SBI/3/2/Add.3) and a note on progress towards Aichi Biodiversity Target 18 on traditional knowledge and customary sustainable use of biodiversity (CBD/SBI/3/2/Add.4).

18. Introducing the item, the Chair recalled that the topic had been considered during the informal session, on 8 March 2021, at which time representatives of 23 Parties and regional groups and 6 observers had made statements and 1 additional submission had been received in writing.

19. Statements were made by representatives of Argentina, Brazil, Cambodia, Ecuador, Malaysia, Norway, Peru, South Africa and Uganda.

20. In addition to the statements by Parties presented orally, written statements were submitted by the Democratic Republic of the Congo (on behalf of the African Group), Portugal (on behalf of the European Union and its member States) and Switzerland and made available on the meeting web page.

21. Statements were also made by representatives of the CBD Alliance, the CBD Women's Caucus, the Global Youth Biodiversity Network (GYBN) and the International Indigenous Forum on Biodiversity (IIFB) (also on behalf of the Indigenous Women's Network for Biodiversity).

22. In addition to the statements by observers presented orally, a written statement was submitted by the New Wind Association and made available on the meeting web page.

23. Following the exchange of views, the Chair said that she would prepare a revised recommendation for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

24. At the fifth plenary session of part I of the meeting, on 29 May 2021, the Subsidiary Body considered a draft recommendation submitted by the Chair.

25. Statements were made by representatives of Argentina, Brazil, Canada, Norway, Portugal (on behalf the European Union and its member States) and South Africa.

26. The Chair noted that the representative of Portugal had made a comment on behalf of the European Union and its member States using the chat function.

27. The Subsidiary Body resumed its consideration of the draft recommendation at the sixth plenary session of part I of the meeting, on 30 May 2021.

28. Statements were made by representatives of Argentina, Brazil, Canada, China, Colombia, Costa Rica, Mexico, Morocco, New Zealand, Norway, Peru, Portugal (on behalf the European Union and its member States), South Africa, Switzerland and the United Kingdom.

29. The Subsidiary Body resumed its consideration of the draft recommendation at the seventh plenary session of part I of the meeting, on 11 June 2021.

30. Following an exchange of views, the Subsidiary Body approved the draft recommendation, as orally amended, as draft recommendation CBD/SBI/3/L.xxx, for formal adoption at a later stage.

31. During the session, the representative of the Democratic Republic of the Congo, speaking on behalf of the African Group, requested that the draft recommendation be placed in square brackets, and presented the region's rationale for its position. The African Group considered the adoption of a transformative post-2020 global biodiversity framework that Parties could support and implement successfully extremely important and remained committed to maintaining the momentum of the development process, making progress and arriving at a successful outcome jointly with all Parties. Africa had been severely disadvantaged by the virtual negotiations, however. Owing to connectivity issues, the process of the recent virtual sessions and those that still lay ahead, including for discussions in the Open-ended Working Group, was inadequate. The African Group considered that true, solid and informed consensus would only be reached once it was feasible to engage in meaningful face-to-face negotiations or ways had been found to accommodate the connectivity limits of certain Parties. Until then, in order to protect its interests and on the understanding that nothing was agreed until everything was agreed, the African Group suggested that, in line with well established United Nations practice, all draft recommendations of the Subsidiary Body on Implementation with a substantial bearing on the post-2020 global biodiversity framework be bracketed.

ITEM 4. ASSESSMENT AND REVIEW OF THE EFFECTIVENESS OF THE CARTAGENA PROTOCOL ON BIOSAFETY

32. The Subsidiary Body on Implementation considered agenda item 4 at the first plenary session of part I of the meeting, on 16 May 2021. In considering the item, the Subsidiary Body had before it a note by the Executive Secretary on an assessment and review of the effectiveness of the Cartagena Protocol on Biosafety (CBD/SBI/3/3), which included a suggested recommendation, as well as the conclusions of the Compliance Committee and the Liaison Group, in annexes I and II, respectively. It also had before it an addendum to that document containing an analysis of the information for the assessment and review of the effectiveness of the Cartagena Protocol on Biosafety and the final evaluation of the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011–2020 (CBD/SBI/3/3/Add.1).

33. Introducing the item, the Chair recalled that the topic had been considered during the informal session, on 9 March 2021, at which time representatives of 12 Parties and regional groups and 3 observers had made statements. No additional written submissions had been received.

34. Statements were made by representatives of Brazil, Colombia, Guatemala, Malaysia, Mexico, Morocco, the Republic of Korea, South Africa and Uganda.

35. In addition to the statements by Parties presented orally, written statements were submitted by the Dominican Republic, Ghana and Portugal (on behalf of the European Union and its member States) and made available on the meeting web page.

36. Statements were also made by representatives of the CBD Women's Caucus and IIFB, the Institut de Recherche en Sciences de la Santé (also on behalf of the Outreach Network for Gene Drive Research) and the Third World Network (TWN).

37. Following the exchange of views, the Chair said that she would prepare a revised recommendation for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

38. The Subsidiary Body considered the draft recommendation prepared by the Chair at the seventh plenary session of part I of the meeting, on 11 June 2021.

39. The Chair recalled that, in the pre-session document, there had been a proposal to request the Executive Secretary to prepare an update to the analysis supporting the fourth assessment and review of the Protocol, as necessary, and to make that update available before the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety. She said that, as no final recommendations would be adopted during part I of the current meeting, the request to the Executive Secretary had been removed from the draft recommendation and would instead appear in a compilation of requests to the Executive Secretary that would be included in the report on part I of the meeting.

40. Statements were made by representatives of Brazil, Colombia, Japan, Malawi, Mexico, Morocco, Norway, Portugal (on behalf of the European Union and its member States), South Africa and the United Kingdom.

41. The Subsidiary Body resumed consideration of the draft recommendation prepared by the Chair at the eighth plenary session of part I of the meeting, on 12 June 2021. Following an exchange of views, the Subsidiary Body approved the draft recommendation, as orally amended, as draft recommendation CBD/SBI/3/L.x, for formal adoption at a later stage.

ITEM 5. POST-2020 GLOBAL BIODIVERSITY FRAMEWORK

42. The Subsidiary Body on Implementation took up agenda item 5 at the first plenary session of part I of the meeting, on 16 May 2021. In considering the item, the Subsidiary Body had before it a note by the Executive Secretary providing an overview of the post-2020 global biodiversity framework process (CBD/SBI/3/4), including elements of a draft recommendation, as well as two addenda to that document: one on communication for the post-2020 global biodiversity framework (CBD/SBI/3/4/Add.1) and the other setting out a draft outline of a post-2020 gender plan of action (CBD/SBI/3/4/Add.1/Rev.1).

43. The Co-Chairs of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework made an introductory presentation on their expectations for the outcome of the current meeting. Many items on the agenda for the meeting were critical for the development of the post-2020 global biodiversity framework. As noted in the scenario note (CBD/SBI/3/1/Add.2), the associated issues related to the post-2020 framework should be discussed under the relevant agenda items rather than under agenda item 5. To ensure that all elements important for the refining of the updated zero draft of the framework were covered, the Co-Chairs had drawn up and circulated a list of questions a few months earlier (CBD/SBI/3/4, annex); the questions were not intended to be answered individually but rather to serve as a general checklist for interventions under relevant agenda items.

44. The Co-Chairs noted that some agenda items, such as resource mobilization and mainstreaming, were directly related to the goals and targets of the post-2020 global biodiversity framework, while others, such as planning, reporting and review, were closely related to the framework and were referenced in the current updated zero draft. The rationale was to create a framework for all, through the use of generic language that was applicable beyond the Convention, and to allow the framework to evolve over its 10-year life through decisions of the Conference of the Parties. Bearing those considerations in mind during the deliberations of the Open-ended Working Group would help ensure coherence and completeness. Finally, implementation was a key aspect, and the lessons learned from implementing the Strategic Plan for Biodiversity 2011–2020 should be taken into account.

45. The Subsidiary Body resumed its consideration of agenda item 5 at the fourth plenary session of part I of the meeting, on 28 May 2021.

46. Ms. Anne Teller, a co-lead of the Second Consultation Workshop of Biodiversity-related Conventions on the Post-2020 Global Biodiversity Framework (Bern II), reported on the outcome of the workshop (CBD/SBI/3/INF/29), also of relevance under agenda items 7, 8, 9 and 11 of the current meeting.

47. A regional statement was made by the representative of the European Union (also on behalf of its member States).

48. Statements were made by representatives of Argentina, Australia, Brazil, Canada, Chile, Colombia, Indonesia, Malaysia, Malawi, Mexico, Peru, Portugal (on behalf of the European Union and its member States), South Africa, Switzerland and the United Kingdom.

49. The Chair noted that the representatives of the following Parties also added comments in the chat function: Australia, Chile, Colombia, Morocco, Peru, Portugal (also on behalf of the European Union), Togo and Uganda.

50. A statement was also made by the representative of IIFB.

51. The Subsidiary Body resumed its consideration of agenda item 5 at the fifth plenary session of part I of the meeting, on 29 May 2021.

52. A statement was made by the representative of Costa Rica.

53. In addition to the statements by Parties presented orally, written statements were submitted by Norway and Viet Nam and made available on the meeting web page.

54. Statements were also made by representatives of the CBD Women's Caucus, GYBN, the International Union for Conservation of Nature and Natural Resources (IUCN), the New Wind Association and the United Nations Entity for Gender Equality and the Empowerment of Women (UN-Women).

55. In addition to the statements by observers presented orally, written statements were also submitted by the Earth Law Center (also on behalf of Earth Advocacy Youth, Rights of Mother Earth and Rights of Nature Sweden), the International Union of Biological Sciences and World Animal Protection and were made available on the meeting web page.

56. The Chair noted that representatives of the following Parties had made comments using the chat function: Canada, Colombia, European Union (also on behalf of its member States), Morocco, Peru, South Africa, Switzerland and Uganda.

1. Issues under the Convention

57. Following the exchange of views, the Chair noted that many Parties had called for more time for input on the gender the post-2020 gender plan of action, with some proposing the establishment of a contact group and others calling for intersessional work for that purpose. Given the limited time available at the current meeting, she said that she would prepare a draft recommendation on the matter.

58. *[to be completed]*

2 Post-2020 implementation plan and capacity-building action plan for the Cartagena Protocol

59. Following the exchange of views at its plenary session on 29 May 2021, the Chair established a contact group co-chaired by Mr. Rigobert Ntep (Cameroon) and Ms. Rita Andorkó (Hungary) to work to review and agree on the structure and content of the implementation plan and capacity-building action plan for the Cartagena Protocol, working on the basis of document CBD/SBI/3/18.

60. *[to be completed]*

ITEM 6. RESOURCE MOBILIZATION AND THE FINANCIAL MECHANISM

61. The Subsidiary Body on Implementation took up agenda item 6 at the second plenary session of part I of the meeting, on 17 May 2021.

62. With respect to resource mobilization, the Subsidiary Body had before it a note by the Executive Secretary on the matter (CBD/SBI/3/5), including elements of a draft recommendation. It also had before it three addenda on the work of the expert panel on resource mobilization, setting out a summary of the panel's first report (CBD/SBI/3/5/Add.1), the preliminary second report (CBD/SBI/3/5/Add.2)² and the third report (CBD/SBI/3/5/Add.3). In addition, relevant reports received from organizations were posted on the website of the meeting, under "other" documents.

63. With respect to the financial mechanism, the Subsidiary Body had before it a note by the Executive Secretary on the matter (CBD/SBI/3/6), including suggested elements of a draft recommendation. It also had before it addenda setting out the preliminary report of the Global Environment Facility (CBD/SBI/3/6/Add.1), with the annexed list of projects and programmes approved during the reporting period issued separately (CBD/SBI/3/INF/7); the executive summary of the interim report on the full assessment of funding necessary

² The second report was characterized as preliminary because relevant analyses, such as the needs assessment for the eighth replenishment of the Global Environment Facility Trust Fund, were still under way at the time of submission of the report. The final report would be provided to the Conference of the Parties at its fifteenth meeting.

and available for the implementation of the Convention for the eighth replenishment period of the Global Environment Facility (July 2022 to June 2026) (CBD/SBI/3/6/Add.2), with the full report set out in document CBD/SBI/3/INF/24; and elements of advice from biodiversity-related conventions pursuant to decision XIII/21, paragraph 9 (CBD/SBI/3/6/Add.3), with the submissions received set out in document CBD/SBI/3/INF/23. A link to a planning note for the eighth replenishment of the Global Environment Facility Trust Fund (GEF/R.8/Rev 01) was also provided on the meeting website, under “other” documents.

64. Introducing the item, the Chair recalled that the topic had been considered during the informal session, on 9 March 2021, at which time representatives of 20 Parties and regional groups and 8 observers had made statements and 1 additional submission had been received in writing.

65. Regional statements were made by the representatives of Kuwait (on behalf of Asia and the Pacific) and Portugal (on behalf of the European Union and its member States).

66. Statements were also made by representatives of Argentina, Bangladesh, Brazil, Canada, China, Colombia, Costa Rica, the Democratic Republic of the Congo, Ecuador, Ethiopia, Georgia, Indonesia, Japan, Malaysia, Mexico, Morocco, New Zealand, Norway, Peru, South Africa, the Sudan, Switzerland, Uganda and the United Kingdom.

67. A statement was made by the representative of the United Nations Development Programme.

68. Further statements were made by representatives of Avaaz, the Business for Nature Coalition, the CBD Alliance, the CBD Women’s Caucus, GYBN, IUCN, the secretariat of the Convention on the Conservation of Migratory Species of Wild Animals, the University of Cambridge Conservation Leadership Alumni Network and the World Wide Fund for Nature (also on behalf of Avaaz, BirdLife International, Conservation International, The Nature Conservancy and the Wildlife Conservation Society).

69. In addition to the statements by observers presented orally, written statements were submitted by the International Union of Biological Sciences (on behalf of the virtual sessions of the joint fifth Science-Policy Forum for Biodiversity and eighth International Conference on Sustainability Science) and the secretariat of the International Treaty on Plant Genetic Resources for Food and Agriculture and were made available on the meeting web page.

70. Following the exchange of views, the Chair established a contact group co-chaired by Ms. Ines Verleye (Belgium) and Ms. Teona Karchava (Georgia) to address the contentious issues in the draft recommendations on the financial mechanism and on resource mobilization and to prepare a revised recommendation on each aspect. As the recommendations of the Subsidiary Body would likely not be adopted until after the third meeting of the Open-ended Working Group, a way forward to allow for the Subsidiary Body to provide timely advice on elements relevant to the development of the post-2020 global biodiversity framework would also be suggested.

71. At the sixth plenary session of part I of the meeting, on 30 May 2021, the co-chairs of the contact group reported on the work of the group. The outcome of the group’s deliberations on the financial mechanism was reflected in a draft recommendation prepared by the Chair for consideration in plenary. The elements of the draft recommendation set out in document CBD/SBI/3/6 relating to intersessional work had not been addressed as they would not be acted on and would be addressed through the report on the meeting. A separate document synthesizing the views expressed by Parties on the resource mobilization component of the post-2020 global biodiversity framework would be prepared for consideration in the contact group and subsequent transmission by the Chair of the Subsidiary Body to the Co-chairs of the Working Group on the Post-2020 Global Biodiversity Framework.

72. *[to be completed]*

ITEM 7. CAPACITY-BUILDING, TECHNICAL AND SCIENTIFIC COOPERATION, TECHNOLOGY TRANSFER, KNOWLEDGE MANAGEMENT AND COMMUNICATION

73. The Subsidiary Body on Implementation took up agenda item 7 at the second plenary session of part I of the meeting, on 17 May 2021. In considering the item, the Subsidiary Body had before it notes by the Executive Secretary on: (a) capacity-building, technical and scientific cooperation and technology transfer (CBD/SBI/3/7),³ including elements of a draft recommendation on those topics, with addenda setting out the draft long-term strategic framework for capacity development to support the implementation of the post-2020 global biodiversity framework (CBD/SBI/3/7/Add.1) and the updated draft proposals to strengthen technical and scientific cooperation programmes (CBD/SBI/3/7/Add.2); (b) on knowledge management and the clearing-house mechanism (CBD/SBI/3/8), including elements of a draft decision for the Conference of the Parties, with an addendum setting out the draft knowledge management component of the post-2020 global biodiversity framework (CBD/SBI/3/8/Add.1); (c) on communication (CBD/SBI/3/9), including suggested elements of a draft recommendation; and (e) on the evaluation report of the strategic framework for capacity-building and development to support implementation of the Nagoya Protocol on Access and Benefit-sharing (CBD/SBI/3/16), including elements of a draft decision for the Conference of the Parties.

74. It also had before it information documents setting out: (a) an evaluation of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol (CBD/SBI/3/INF/1); (b) a report on the study to inform the preparation of a long-term strategic framework for capacity-building beyond 2020 (CBD/SBI/3/INF/9); (c) a preliminary final report on the implementation of the short-term action plan (2017–2020) to enhance and support capacity-building for the implementation of the Convention and its protocols (CBD/SBI/3/INF/14); (d) proposals for an inclusive process to review and renew technical and scientific cooperation programmes (CBD/SBI/3/INF/15); (e) options for institutional mechanisms to facilitate technical and scientific cooperation under the Convention on Biological Diversity (CBD/SBI/3/INF/16); (f) a preliminary list of relevant institutional arrangements and networks for facilitating technical and scientific cooperation at the global, regional and subregional levels (CBD/SBI/3/INF/17); and (g) a progress report on technical and scientific cooperation and the Bio-Bridge Initiative (CBD/SBI/3/INF/18).

75. Introducing the item, the Chair recalled that the topic had been considered during the informal session, on 10 and 11 March 2021, at which time representatives of 19 Parties and regional groups and 9 observers had made statements and 2 additional submissions had been received in writing.

76. Regional statements were made by representatives of Antigua and Barbuda (on behalf of Caribbean small island developing States), the Democratic Republic of the Congo (on behalf of the African Group), Palau (on behalf of the Pacific island countries, noting the neutral position of Fiji) and Portugal (on behalf of the European Union and its member States).

77. The Subsidiary Body continued its consideration of the agenda item at the third plenary session of part I of the meeting, on 18 May 2021.

78. Statements were made by representatives of Argentina, Brazil, Canada, Colombia, Ecuador, Ethiopia, Indonesia, Japan, Malaysia, Maldives, Mexico, Morocco, Norway, Peru, the Philippines, the Republic of Korea, Samoa, Switzerland, South Africa and Uganda.

79. In addition to the statements by Parties presented orally, a written statement was submitted by the United Kingdom and made available on the meeting web page.

³ Footnote 38 on page 8 of the document is superseded by a new funding agreement for the second phase of the Bio-Bridge Initiative (2021–2025) signed by the Government of the Republic of Korea.

80. Statements were also made by representatives of the Barnes Hill Community Development Organization, the CBD Alliance, the CBD Women's Caucus, DHI Water and Environment, the Global Biodiversity Information Facility (GBIF), GYBN, IIFB, IUCN and the International Union of Biological Sciences (on behalf of the virtual sessions of the joint fifth Science-Policy Forum for Biodiversity and eighth International Conference on Sustainability Science).

1. *Capacity-building, technical and scientific cooperation and technology transfer*

81. Following the exchange of views, the Chair established a contact group co-chaired by Mr. Hayo Haanstra (the Netherlands), Mr. Alfred Oteng-Yeboah (Ghana) and Ms. Laura Bermudez (Colombia) to address the contentious issues in the elements of the draft recommendations contained in the note by the Executive Secretary (CBD/SBI/3/7).

82. At the sixth plenary session of part I of the meeting, on 30 May 2021, the co-chairs of the contact group reported on the work of the group, noting that they needed more time to complete their work.

83. At the eighth plenary session of part I of the meeting, on 12 June 2021, the co-chairs of the contact group reported on the work of the group, noting that the group had not had sufficient time to discuss all the proposed elements of the draft recommendation, as mandated, but also that the outcome of the deliberations were reflected in a draft recommendation submitted by the Chair for consideration in plenary. The representative of Argentina subsequently noted that, during the contact group sessions, some delegations had had connectivity issues that had prevented them from participating fully.

84. The Subsidiary Body agreed to defer consideration of the draft decision on capacity-building and development, technical and scientific cooperation and technology transfer submitted by the Chair (CBD/SBI/3/CRP.13) until part II of the meeting, to be held in person at a later date.

2. *Knowledge management and the clearing-house mechanism*

85. Following the exchange of views at the plenary session on 18 May 2021, the Chair said that she would prepare, on the basis of the elements of a draft decision proposed in document CBD/SBI/3/8, a revised text on knowledge management and the clearing-house mechanism for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

86. *[to be completed]*

3. *Communication*

87. Following the exchange of views at the plenary session on 18 May 2021, the Chair said that she would prepare, on the basis of the suggested elements of a draft recommendation in document CBD/SBI/3/9, a revised text on communication for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

88. At the eighth plenary session of part I of the meeting, on 12 June 2021, the Subsidiary Body considered a draft recommendation on communication submitted by the Chair. Following an exchange of views, the Subsidiary Body approved the draft recommendation, as orally amended, as draft recommendation CBD/SBI/3/L.x, for formal adoption at a later stage.

89. During consideration of the draft recommendation, the representative of Uganda, speaking on behalf of the African Group, stressed the importance of the post-2020 global biodiversity framework for the region, reiterated the position expressed by the Democratic Republic of the Congo during the seventh plenary session and requested that brackets be placed at the beginning and end of the draft recommendation to provide for an opportunity to further consider the issues involved.

4. *Capacity-building and development to support the effective implementation of the Nagoya Protocol*

90. Following the exchange of views at the plenary session on 18 May 2021, the Chair said that she would prepare, on the basis of the elements of a draft decision proposed in document CBD/SBI/3/16, a revised text on capacity-building and development to support the effective implementation of the Nagoya Protocol for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

91. *[to be completed]*

ITEM 8. COOPERATION WITH OTHER CONVENTIONS, INTERNATIONAL ORGANIZATIONS AND INITIATIVES

92. The Subsidiary Body on Implementation considered agenda item 8 at the seventh plenary session of part I of the meeting, on 11 June 2021. In considering the item, the Subsidiary Body had before it a note by the Executive Secretary on the matter (CBD/SBI/3/10), including elements of a draft recommendation. It also had before it a number of information documents, including: (a) a report of activities concerning cooperation with other conventions, international organizations and initiatives (CBD/SBI/3/INF/31); (b) a note on progress in implementing actions to enhance synergies among biodiversity-related conventions at the international level (CBD/SBI/3/INF/32); (c) a review of the fifth Joint Work Plan 2011–2020 between the Convention on Biological Diversity and the Convention on Wetlands of International Importance Especially as Waterfowl Habitat (CBD/SBI/3/INF/33); (d) a report on progress and achievements under the Collaborative Initiative for Tropical Forest Biodiversity (CBD/SBI/3/INF/34); (e) a note on the Collaborative Partnership on Forests work plan 2021–2024 (CBD/SBI/3/INF/35); (f) a review of definitions, data and methods for country-level assessment and reporting of primary forest, prepared as an FAO discussion paper (CBD/SBI/3/INF/36); and (g) the report of the Second Consultation Workshop of Biodiversity-related Conventions on the Post-2020 Global Biodiversity Framework (Bern II) (CBD/SBI/3/INF/29). Finally, it had before it a note by the Executive Secretary on options for possible elements of work on the links between nature and culture in the post-2020 global biodiversity framework (CBD/WG8J/11/5).

93. A regional statement was made by the representative of the European Union on behalf of the European Union and its member States.

94. Statements were also made by representatives of Argentina, Australia, Brazil, Canada, Chile, Colombia, the Democratic Republic of the Congo, Ecuador, Japan, Mexico, Norway, Switzerland, Uganda and the United Kingdom.

95. In addition to the statements by Parties presented orally, a written statement was submitted by China and made available on the meeting web page.

96. Statements were also made by representatives of the secretariat of the International Treaty on Plant Genetic Resources for Food and Agriculture and the United Nations Environment Programme.

97. Further statements were made by representatives of the CBD Women's Caucus, IIFB and the New Wind Association.

98. In addition to the statements made orally by observers, written statements were submitted by GYBN and IUCN and were made available on the meeting web page.

99. *[to be completed]*

ITEM 9. MECHANISMS FOR REPORTING, ASSESSMENT AND REVIEW OF IMPLEMENTATION

100. The Subsidiary Body on Implementation considered agenda item 9 at the third plenary session of part I of the meeting, on 18 May 2021. In considering the item, the Subsidiary Body had before it a note by the Executive Secretary on options to enhance planning, reporting, and review mechanisms with a view to

strengthening the implementation of the Convention (CBD/SBI/3/11), including elements of a draft recommendation, and addenda setting out: national reporting under the Convention and its protocols (CBD/SBI/3/11/Add.1); proposed actions to enhance synergies in reporting to biodiversity-related conventions and the Rio conventions (CBD/SBI/3/11/Add.2); and further information and a draft template for the submission of national commitments/contributions to the post-2020 global biodiversity framework (CBD/SBI/3/11/Add.3/Rev.1).

101. It also had before it, as information documents, notes by the Executive Secretary on: (a) the results of the survey to evaluate the use by Parties of the online reporting tool for the sixth national report (CBD/SBI/3/INF/3); (b) a voluntary peer review of the revision and implementation of the national biodiversity strategic action plan 2016–2022 of Sri Lanka (CBD/SBI/3/INF/4); (c) the data reporting tool for multinational environmental agreements (DaRT) (CBD/SBI/3/INF/8); (d) the updated analysis of experience under the Convention and other processes and considerations for the enhancement of a multidimensional review mechanism (CBD/SBI/3/INF/11); (e) the report of the Second Consultation Workshop of Biodiversity-related Conventions on the Post-2020 Global Biodiversity Framework (Bern II) (CBD/SBI/3/INF/29); and (f) how to enhance planning, reporting and review mechanisms to strengthen implementation of the post-2020 global biodiversity framework and the Convention on Biological Diversity (CBD/SBI/3/INF/37).

102. In introducing the item, the Chair recalled that the item had been considered during the informal session, on 11 March 2021, at which time representatives of 19 Parties and regional groups and 6 observers had made statements and 2 additional submissions had been received in writing.

103. A regional statement was made by the representative of Portugal on behalf of the European Union and its member States.

104. Statements were also made by representatives of Argentina, Brazil, Cambodia, China, Colombia, Malaysia, Morocco, Norway, Peru, South Africa, Switzerland, Togo, Uganda and the United Kingdom.

105. In addition to the statements by Parties presented orally, written statements were submitted by Canada, Cuba, Georgia and Mexico and made available on the meeting web page.

106. The representative of GYBN also made a statement.

107. In addition to the statements presented orally, written statements were also submitted by the CBD Alliance, the CBD Women's Caucus, Client Earth, IIFB, the New Wind Association, TWN, the United Nations Environment Programme and the World Business Council for Sustainable Development (WBCSD) and were made available on the meeting web page.

108. Following the exchange of views, the Chair established a contact group co-chaired by Mr. Andrew Stott (United Kingdom) and Ms. Gillian Guthrie (Jamaica) to further consider the elements of the draft recommendation on the mechanism for the reporting, assessment and review of implementation.

109. At the sixth plenary session of part I of the meeting, on 30 May 2021, the co-chairs of the contact group reported on the work of the group. The outcome of the group's deliberations was reflected in a draft recommendation prepared by the Chair for consideration in plenary which contained four paragraphs on the use of indicators in reporting and review mechanisms of the Convention that reflected the current text of a draft recommendation on the matter that had been adopted by the Subsidiary Body on Scientific, Technical and Technological Advice (CBD/SBSTTA/24/L.3).

110. *[to be completed]*

ITEM 10. REVIEW OF THE EFFECTIVENESS OF THE PROCESSES UNDER THE CONVENTION AND ITS PROTOCOLS

111. The Subsidiary Body took up agenda item 10 at the fifth plenary session of part I of the meeting, on 29 May 2021. In considering the item, the Subsidiary Body had before it a note by the Executive Secretary

on the review of the effectiveness of processes under the Convention and its Protocols (CBD/SBI/3/12), including a draft recommendation.

112. Introducing the item, the Chair recalled that the item had been considered during the informal session held on 14 March 2021, at which time representatives of 6 Parties and regional groups and 3 observers had made statements, and 1 additional submission had been received in writing.

113. A regional statement was made by the representative of Egypt (on behalf of the African Group).

114. Statements were also made by representatives of Argentina, Brazil, Peru, Malaysia and the United Kingdom.

115. In addition to the statements presented orally, a written statement was also submitted by the European Union (on behalf of the European Union and its member States) and made available on the meeting web page.

116. A further statement was made by the representative of TWN.

117. A written statement was also submitted by IIFB.

118. The Chair noted that representatives of the following parties had made comments using the chat function: Australia, Chile, Colombia, the European Union (also on behalf of its member States), Japan and Mexico.

119. Following the exchange of views, the Chair said that she would prepare a revised draft recommendation for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

120. *[to be completed]*

ITEM 11. MAINSTREAMING OF BIODIVERSITY WITHIN AND ACROSS SECTORS AND OTHER STRATEGIC ACTIONS TO ENHANCE IMPLEMENTATION

121. The Subsidiary Body took up agenda item 11 at the fourth plenary session of part I of the meeting, on 28 May 2021. In considering the item, the Subsidiary Body had before it notes by the Executive Secretary on mainstreaming of biodiversity within and across sectors and other strategic actions to enhance implementation: long-term approach to mainstreaming (CBD/SBI/3/13), including a draft recommendation; and an action plan for the long-term approach to mainstreaming biodiversity (CBD/SBI/3/13/Add.1). It also had before it the following information documents: (a) a compilation of relevant sources of information for the action plan for the long-term approach to mainstreaming biodiversity (CBD/SBI/3/INF/21); (b) an FAO report on progress on mainstreaming biodiversity across agricultural sectors (CBD/SBI/3/INF/6); and (c) a report on the subnational government experience in mainstreaming biodiversity (CBD/SBI/3/INF/10).

122. The Subsidiary Body also had before it a submission by the United Kingdom on the engagement of subnational governments, cities and other local authorities and a note by the Executive Secretary that compiled the key elements of the submission, including a draft recommendation prepared by the Scottish Government and its partners in the “Edinburgh Process”. It also had before it information documents on the Edinburgh Process for Subnational and Local Governments on the Development of the Post-2020 Global Biodiversity Framework: Edinburgh Declaration (CBD/SBI/3/INF/25) and the Edinburgh Process for Subnational and Local Governments on the Development of the Post-2020 Global Biodiversity Framework: Plan of Action Consultation Responses (CBD/SBI/3/INF/26).

123. In introducing the item, the Chair recalled that the item had been considered during the informal session, on 11 March 2021, at which time representatives of 22 Parties and regional groups and 6 observers had made statements, and 8 additional submissions had been received in writing.

124. The representative of the United Kingdom introduced the submission concerning the engagement of subnational governments, cities and other local authorities, and the outcomes of the “Edinburgh Process”.

125. Regional statements were made by the representatives of Morocco (on behalf of the African Group) and Portugal (on behalf of the European Union and its member States).

126. Statements were also made by representatives of Argentina, Brazil, Canada, China, Colombia, Ecuador, Indonesia, Japan, Malaysia, Mexico, Peru, the Philippines, South Africa, Switzerland, Uganda and the United Kingdom.

127. Statements were also made by the representatives of the CBD Alliance, the CBD Women’s Caucus, FAO, GFC, GYBN, the International Council on Mining and Metals (ICMM), Local Governments for Sustainability (ICLEI) and WBCSD.

128. In addition to the statements presented orally, written statements were also submitted by Conservation International, the International Petroleum Industry Environmental Conservation Association (IPIECA), the International Union of Biological Sciences, the United Nations Economic Commission for Latin America and the Caribbean, the United Nations Conference on Trade and Development and WWF and were made available on the meeting web page.

129. The Chair noted that the representatives of the following Parties had made comments using the chat function: Argentina, Colombia, Mexico, Peru, Portugal (on behalf of the European Union and its member States, South Africa and Uganda.

1. *Mainstreaming of biodiversity within and across sectors*

130. Following the exchange of views, the Chair said that she would prepare a revised draft recommendation for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting. She said that given the divergent views expressed that she would consult with the Parties when preparing that text.

131. At the sixth plenary session of part I of the meeting, on 30 May 2021, the Chair informed the Subsidiary Body that she would work with a small group of Parties to resolve the outstanding issues related to mainstreaming.

132. *[to be completed]*.

2. *Engagement of subnational governments, cities and other local authorities*

133. Following the exchange of views, the Chair said that she would prepare a revised draft recommendation for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

134. *[to be completed]*

ITEM 12. SPECIALIZED INTERNATIONAL ACCESS AND BENEFIT-SHARING INSTRUMENTS IN THE CONTEXT OF ARTICLE 4, PARAGRAPH 4, OF THE NAGOYA PROTOCOL

135. The Subsidiary Body considered agenda item 12 at the fourth plenary session of part I of the meeting, on 29 May 2021. In considering the item, the Subsidiary Body had before it a note by the Executive Secretary on the specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol (CBD/SBI/3/14), including a draft recommendation.

136. In introducing the item, the Chair recalled that the item had been considered during the informal session, on 12 and 14 March 2021, at which time representatives of 11 Parties and regional groups and 3 observers had made statements. No additional submissions had been received in writing.

137. Regional statements were made by the representatives of Malawi (on behalf of the African Group) and Portugal (on behalf of the European Union and its member States).

138. Statements were also made by representatives of Argentina, Indonesia, Malaysia, Mexico, Peru, South Africa, Switzerland and the United Kingdom.

139. Statements were also made by the representatives of the CBD Women's Caucus, the secretariat of the International Treaty on Plant Genetic Resources for Food and Agriculture and TWN.

140. The Chair noted that representatives of the following Parties had made comments using the chat function: Argentina, Malawi, Mexico and Peru.

141. Following the exchange of views, the Chair said that she would prepare a revised draft recommendation for the consideration of the Subsidiary Body, taking into account the views expressed orally by the Parties or supported by them and the comments received in writing, during the informal session in March and at the current meeting.

142. *[to be completed]*

ITEM 13 GLOBAL MULTILATERAL BENEFIT-SHARING MECHANISM (ARTICLE 10 OF THE NAGOYA PROTOCOL)

143. The Subsidiary Body took up agenda item 13 at the fifth plenary session of part I of the meeting, on 29 May 2021. It had before it a note by the Executive Secretary on the matter (CBD/SBI/3/15), including suggested elements of a recommendation, as well as an addendum with a peer-reviewed study to identify specific cases of genetic resources and traditional knowledge associated with genetic resources that occur in transboundary situations or for which it is not possible to grant or obtain prior informed consent (CBD/SBI/3/15/Add.1).

144. In her introduction to the item, the Chair recalled that it had been considered during the informal session, on 14 March 2021, at which time interventions had been heard from 9 Parties and regional groups and 2 observers, with 1 additional written submission.

145. Regional statements were made by representatives of Malawi (on behalf of the African Group) and the European Union (also on behalf of its member States).

146. Statements were also made by representatives of Brazil, Indonesia, Malaysia, Mexico, Switzerland and the United Kingdom.

147. Further statements were made by representatives of the CBD Women's Caucus and the secretariat of the International Treaty on Plant Genetic Resources for Food and Agriculture.

148. Following the exchange of views, the Chair established a contact group co-chaired by Mr. S. Kerketta (India) and Mr. Thomas Greiber (Germany) to pursue the discussion.

149. *[to be completed]*

ITEM 14. ADMINISTRATIVE AND BUDGETARY MATTERS

150. *[to be completed]*

SUSPENSION OF THE MEETING

151. As indicated in the Chair's note (CBD/SBI/3/1/Add.2) and described in paragraph xx above, the Subsidiary Body agreed, at the ninth plenary session of part I of the meeting, on 13 June 2021, to suspend its third meeting and resume it at a later date.

152. Following an introduction by the Rapporteur, the present report (CBD/SBI/3/Part1/L.1) was approved by the Subsidiary Body with the understanding that the full report would be considered and adopted at a resumed session.