



## Convention on Biological Diversity

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### COMPLIANCE COMMITTEE UNDER THE CARTAGENA PROTOCOL ON BIOSAFETY

Fifteenth meeting  
Montreal, Canada, 8-10 May 2018

#### ANNOTATED PROVISIONAL AGENDA

##### INTRODUCTION

1. The Compliance Committee under the Cartagena Protocol on Biosafety held its fourteenth meeting in Montreal, Canada, from 16 to 18 May 2017.
2. At its fourteenth meeting, the Committee assessed the outcomes of the eighth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol on Biosafety regarding items relevant to compliance, reviewed compliance on the basis of third national reports, and considered an update of third national reports submitted following the thirteenth meeting of the Committee.
3. Following consultations with members of the Committee, it was decided that the fifteenth meeting of the Committee would be held in Montreal, Canada, from 8 to 10 May 2018.
4. The provisional agenda ([CBD/CP/CC/15/1](#)) for the present meeting was developed on the basis of the work plan for the fifteenth meeting, as agreed by the Committee at its fourteenth meeting.

##### ITEM 1. OPENING OF THE MEETING

5. The Chair of the Committee, Mrs. Clare Hamilton, will open the meeting at 9.30 a.m. on Tuesday, 8 May 2018.

##### ITEM 2. ORGANIZATIONAL MATTERS

###### 2.1. Adoption of the agenda

6. The Committee may wish to adopt its agenda on the basis of the provisional agenda proposed by the Secretariat (CBD/CP/CC/15/1).

###### 2.2. Organization of work

7. The Committee may wish to consider the proposed organization of work as contained in annex I below. The language of the meeting will be English.
8. A list of documents for the meeting is contained in annex II below. The documents will be made available on the Secretariat's website (<https://www.cbd.int/meetings/CPCC-15>).

9. An information note is being issued with details of logistical arrangements for the meeting, including information on travel, visa requirements, accommodation and other similar matters.

### **ITEM 3. REVIEW OF CONSISTENCY BETWEEN INFORMATION IN NATIONAL REPORTS AND THE BIOSAFETY CLEARING-HOUSE**

10. Following the organization of work agreed upon by the Committee at its eighth meeting and as specified in the work plan for the fifteenth meeting, the Committee is expected to review consistency between information in the third national reports and in the Biosafety Clearing-House.

11. The document prepared for this agenda item (CBD/CP/CC/15/2) provides an overview of the consistency of information provided by Parties on key areas in their third national reports and related information available in the Biosafety Clearing-House.

### **ITEM 4. ASSESSMENT OF INFORMATION SUBMITTED TO THE BIOSAFETY CLEARING-HOUSE UNDER CONTAINED USE**

12. In decision [CP-VIII/17](#) on transit and contained use of living modified organisms, the Committee was requested to assess if information that has been submitted to the Biosafety Clearing-House under contained use is in accordance with Article 6 of the Cartagena Protocol and to make a recommendation in this regard to the Conference of the Parties to the Protocol at its ninth meeting. The Committee agreed to consider this issue at its fifteenth meeting and to undertake, in preparation, informal discussions through the collaborative portal of the Compliance Committee. The discussions took place from 22 January to 9 February 2018.

13. The Committee will have before it an overview of information on decisions on contained use submitted by Parties to the Biosafety Clearing-House as well as any additional information obtained concerning these decisions (CBD/CP/CC/15/3). Information document CBD/CP/CC/15/INF/1 will provide an advance draft of the overview of submissions by Parties and other Governments with regard to practical guidance on specific measures for contained use of living modified organisms that effectively limit their contact with and impact on the external environment.<sup>1</sup> The submissions were made in response to notification [CBD 2017-87](#) following the request by the Conference of the Parties serving as the meeting of the Parties to the Protocol, in its decision [CP-VIII/17](#).

### **ITEM 5. REVIEW OF GENERAL ISSUES OF COMPLIANCE**

14. Following the fifth meeting of the Committee, the review of general issues of compliance is included as a standing item on the Committee's agenda.

15. At its fourteenth meeting, in reviewing compliance on the basis of the third national reports, with a focus on the priority issues identified by the Conference of the Parties serving as the meeting of the Parties to the Protocol, the Committee requested that the Secretariat contact: (a) Parties that had reported to have only draft, temporary or partial national biosafety frameworks in place, or not to have introduced any legal administrative and other measures for the implementation of the Protocol; and (b) those Parties that had reported not to have in place a monitoring system. The Committee requested the Secretariat to collect information on the challenges these Parties were facing in this regard and to report back to the Committee at its fifteenth meeting.

16. In the context of reviewing general issues of compliance, the Committee at its fourteenth meeting agreed that the Chair of the Committee should send a letter to the national focal points of those

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<sup>1</sup> A final overview will be made available for the consideration by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its ninth meeting.

Parties that had not submitted a third national report reminding these Parties of their obligation to do so as soon as possible, briefly explaining the benefits of reporting, offering assistance in this regard and reminding eligible Parties of the extended possibility to access funds from the [Global Environment Facility](#) for the completion of their third national report.

17. The document prepared for this agenda item (CBD/CP/CC/15/4) contains an overview of information provided by Parties concerning challenges in introducing measures to implement the Protocol and in monitoring the implementation of their obligations under the Protocol. The document also contains an update on the reporting rate of the third national reports, including information received in response to the letters sent by the Chair of the Committee.

#### **ITEM 6. REVIEW OF INDIVIDUAL CASES OF NON-COMPLIANCE UNDER CONSIDERATION BY THE COMMITTEE**

18. At its fourteenth meeting, in the context of reviewing decision CP-VIII/1 on compliance, the Committee decided to include a standing item on the agenda of its future meetings to facilitate consideration of ongoing individual cases of non-compliance. There is currently one ongoing individual case of non-compliance before the Committee concerning the Marshall Islands and the obligation to submit national reports.

19. In this context, at its fourteenth meeting, the Committee noted that informal follow-up with the Marshall Islands could be beneficial. Under this item, the Secretariat will provide an update on this informal follow-up. An overview of the previous efforts of the Committee to encourage and assist Parties that had not complied with their reporting obligation will be made available as information document CBD/CP/CC/15/INF/2.

#### **ITEM 7 FINAL EVALUATION OF THE STRATEGIC PLAN FOR THE CARTAGENA PROTOCOL ON BIOSAFETY AND FOLLOW-UP**

20. In its decision CP-VIII/15, the Parties to the Protocol welcomed the input of the Compliance Committee to the third assessment and review of the effectiveness of the Protocol and mid-term evaluation of the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011-2020 and requested the Committee to continue providing inputs to the final evaluation of the Strategic Plan. The Subsidiary Body on Implementation at its second meeting is expected to review a suggested process for the fourth assessment and review and make recommendations for the consideration of the Conference of the Parties serving as the meeting of the Parties to the Protocol at its ninth meeting. In this context, the Compliance Committee may wish to consider the role it could play in providing inputs to the final evaluation of the Strategic Plan.

21. It may also be recalled that, as requested in [decision XIII/1](#) of the Conference of the Parties to the Convention, the Executive Secretary is preparing a proposal for a comprehensive and participatory preparatory process and timetable for the follow-up to the [Strategic Plan for Biodiversity 2011-2020](#), taking into consideration that this work is to cover the Convention and also consider its Protocols, as appropriate.

22. The Committee may wish to consider how its previous contributions to the assessment and review of the Protocol and mid-term evaluation of the Strategic Plan for Biosafety as well as its efforts to support Parties to comply with their obligations under the Protocol may be relevant to the development of the post-2020 biodiversity framework and what role it could play in this regard.

**ITEM 8. REPORT AND RECOMMENDATIONS OF THE COMMITTEE TO THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE CARTAGENA PROTOCOL AT ITS NINTH MEETING**

23. The practice of the Committee is to submit a consolidated report on its two meetings held since the last meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol, including recommendations, for consideration by the Conference of the Parties serving as the meeting of the Parties to the Protocol at its forthcoming meeting.

24. Under this agenda item, the Committee is invited to prepare a consolidated report of its fourteenth and fifteenth meetings, including recommendations, for submission to the Conference of the Parties serving as the meeting of the Parties to the Protocol at its ninth meeting for its consideration and appropriate action.

**ITEM 9. OTHER MATTERS**

25. Under this item, members of the Compliance Committee may raise and discuss any other matters relevant to the functions of the Committee.

**ITEM 10. ADOPTION OF THE REPORT**

26. The Committee will consider and adopt the report on its fifteenth meeting.

**ITEM 11. CLOSURE OF THE MEETING**

27. The fifteenth meeting of the Compliance Committee is expected to close at 5 p.m. on Thursday, 10 May 2018.

*Annex I***PROPOSED ORGANIZATION OF WORK FOR THE FIFTEENTH MEETING OF THE COMPLIANCE COMMITTEE UNDER THE CARTAGENA PROTOCOL ON BIOSAFETY**

Date and time	Agenda item
<b>8 May 2018</b>	
9.30 - 10 a.m.	<ol style="list-style-type: none"> <li>1. Opening of the meeting</li> <li>2. Organizational matters:               <ol style="list-style-type: none"> <li>2.1. Adoption of the agenda</li> <li>2.2. Organization of work</li> </ol> </li> </ol>
10 a.m. - 12.30 p.m.	3. Review of consistency between information in national reports and the Biosafety Clearing-House
2 - 5 p.m.	<ol style="list-style-type: none"> <li>3. <i>(continued)</i></li> <li>4. Assessment of information submitted to the Biosafety Clearing-House under contained use</li> </ol>
<b>9 May 2018</b>	
9.30 a.m. - 12.30 p.m.	5. Review of general issues of compliance
2 to 5 p.m.	<ol style="list-style-type: none"> <li>5. <i>(continued)</i></li> <li>6. Review of individual cases of non-compliance under consideration by the Committee</li> <li>7. Final evaluation of the Strategic Plan for the Cartagena Protocol on Biosafety and follow-up</li> </ol>
<b>10 May 2018</b>	
9.30 a.m. - 12.30 p.m.	<ol style="list-style-type: none"> <li>8. Report and recommendations of the Committee to the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its ninth meeting</li> <li>9. Other matters</li> </ol>
2 - 5 p.m.	<ol style="list-style-type: none"> <li>10. Adoption of the report</li> <li>11. Closure of the meeting</li> </ol>

*Annex II*

**LIST OF DOCUMENTS FOR THE TWELFTH MEETING OF THE COMPLIANCE  
COMMITTEE UNDER THE BIOSAFETY PROTOCOL**

<i>Symbol</i>	<i>Title</i>
CBD/CP/CC/15/1	Provisional agenda
CBD/CP/CC/15/1/Add.1	Annotated provisional agenda
CBD/CP/CC/15/2	Review of consistency between information in national reports and the Biosafety Clearing-House
CBD/CP/CC/15/3	Assessment of information submitted to the Biosafety Clearing-House under contained use
CBD/CP/CC/15/4	Review of general issues of compliance
CBD/CP/CC/15/INF/1	Advance draft overview of submissions on practical guidance on specific measures for contained use of living modified organisms that effectively limit their contact with and impact on the external environment
CBD/CP/CC/15/INF/2	Consideration by the Compliance Committee of the obligation to submit national reports in the context of decision BS-V/1

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