



**CBD** 



# Convention on Biological Diversity

Distr. GENERAL

CBD/ABS/CC/2/INF/2 9 April 2018

**ENGLISH ONLY** 

COMPLIANCE COMMITTEE UNDER THE NAGOYA PROTOCOL Second meeting Montreal, Canada, 24-26 April 2018 Item 2 of the provisional agenda\*

### STATISTICAL OVERVIEW OF THE ANSWERS PROVIDED IN THE INTERIM NATIONAL REPORT FOR THE NAGOYA PROTOCOL

*Note by the Executive Secretary* 

#### I. INTRODUCTION

- 1. The deadline for submission of the interim national report was 1 November 2017. As of 22 February 2018, 75 reports had been received: 69 from Parties to the Protocol and 6 from non-Parties.
- 2. All interim national reports received are available in the ABS Clearing-House at the following link: https://absch.cbd.int/reports with the exception of one report that was submitted offline. A list of countries that submitted an interim national report is provided in the annex to the present document.
- 3. The statistical information presented in this document complements the analysis of information contained in the interim national reports and published in the Access and Benefit-sharing Clearing-House made available as document CBD/ABS/CC/2/INF/1. It provides disaggregated information by CBD regional groups on the yes/no responses provided by Parties and non-Parties to the questions contained in the interim national report. Questions from the interim national report for which answers were provided through narrative text are not covered by this document. This document includes information from all reports received online and offline in the official format by 22 February 2018.
- 4. The questions of the report which are mandatory are marked with an asterisk.

#### II. INFORMATION PROVIDED BY PARTIES AND NON-PARTIES

#### A. INSTITUTIONAL STRUCTURES

5. The analysis of the responses provided in this section of the report, also includes information submitted through the ABS Clearing-House. In addition, for those countries that did not submit an interim national report, information provided through the national biodiversity strategies and action plans (NBSAPs) and the fifth national reports on the implementation of the Convention has also been considered. Therefore, the statistical information presented takes into account the total number of Parties and non-Parties and not only those countries that submitted an interim national report.

<sup>\*</sup> CBD/ABS/CC/2/1.

6. In the tables below covering questions 4, 6, 7, 8 and 9 the information is categorized under columns A to C as follows:

Column A: Information provided in the interim national report ("yes" response), NBSAPs, fifth national report and/or to the ABS Clearing-House

Column B: Information available but not yet provided to the ABS Clearing-House

Column C: "No" response in the report

### Question 3. \*Has your country made the information available to the ABS Clearing-House as provided in Article 14.2?

7. The following table provides an overview of the yes/no responses provided by Parties and non-Parties to question 3 of the interim national report.

Public	ation of	informatio	on in the	ABS Cle	earing-Ho	ouse (ove	erview of re	sponses p	rovided	in the i	nterim n	ational rep	ort)
	Par	ties	Non-P	arties	Tot	als			Pe	rcentag	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	19	8	1	0	20	8							
AP	6	7	0	0	6	7		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	7	0	2	0	9	0	Yes	75%	71%	46%	100%	60%	100%
GRULAC	5	3	1	1	6	4	No	25%	29%	54%	0%	40%	0%
WEOG	14	0	1	0	15	0							
Total	51	18	5	1	56	19							

8. The tables below provide an overview of the number of Parties and non-Parties that had information published in the ABS Clearing-House<sup>1</sup> by 22 February 2018, as well as the number of Parties and non-Parties that, according to information provided in the interim national report, NBSAP or the fifth national report, have information available that has not yet been published in the ABS Clearing-House.<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> This takes into account countries that have published legislative, administrative, and policy measures (ABS measures), competent national authorities, checkpoints or internationally recognized certificates of compliance were included in this analysis. The publication of national databases, national focal points, the interim national report, reference records or regional measures displayed in the country profile were not considered for the purposes of this overview.

<sup>&</sup>lt;sup>2</sup> This includes countries that indicated having ABS measures, competent national authorities, checkpoints or permits or their equivalents available for publishing in the ABS Clearing-House.

	Prog	ress by Parties	and Non-Partie	es in publishing	informati	ion in the	ABS Clea	ring-Hou	ıse			
Regions	Total Parties and Non- Parties	Information published	Information yet to be published			Per	centages					
AFR	54											
AP	57	7	17		Total	AFR	AP	CEE	GRULAC	WEOG		
CEE	23	10	4	Information published	31%	39%	12%	43%	36%	39%		
GRULAC	33	12	10	Information yet to be published	31%	44%	30%	17%	30%	19%		
WEOG	31	12	6									
Total	198	62	61									

		Progress by	/ Parties in pub	lishing informa	tion in the	e ABS Cle	aring-Hou	ıse				
Regions	Total Parties	Information published	Information yet to be published			Per	centages					
AFR	42	20	23									
AP	27	6	Information									
CEE	8	8	3	Information published 51% 48% 22% 100% 62% 80%								
GRULAC	13	8	6	Information available but not yet published	44%	55%	44%	38%	46%	13%		
WEOG	15	12	2									
Total	105	54	46									

#### Question 4. \*Has your country taken legislative, administrative and policy measures on ABS?

	Progre	ess in	establ	ishing	legisl	lative, admin	istrative and	l policy meas	ures on ABS b	y Parties					
Regions	Total Parties	Α	В	С				Percer	ntages						
AFR	42	27	19	7											
AP	27	14	11	2		Total AFR AP CEE GRULAC WEOG									
CEE	8	8	0	0	Α	72%	64%	52%	100%	92%	100%				
GRULAC	13	12	6	0	В	35%	45%	41%	0%	46%	7%				
WEOG	15	15	1	0	С	C 9% 17% 7% 0% 0% 0%									
Total	105	76	37	9											

	Pro	gress i	n esta	blishi	ing leg		ninistrative a Parties	nd policy me	asures on AB	S by					
Regions	Total Non- Parties	Α	В	С				Percer	ntages						
AFR	12	2	1	0											
AP	30	6	5	0		Total AFR AP CEE GRULAC WEOG									
CEE	15	7	1	0	Α	32%	17%	20%	47%	35%	50%				
GRULAC	20	7	5	0	В	17%	8%	17%	7%	25%	25%				
WEOG	<b>WEOG</b> 16 8 4 1 C 1% 0% 0% 0% 0% 6%														
Total	94	30	16	1											

#### Question 5. \*Has your country designated a national focal point as provided in Article 13?

			Progi	ess in desig	nating a nat	ional focal po	oint by Partie	s		
Regions	Total Parties	Yes	No				Percentage	S		
AFR	42	41	1							
AP	27	26	1		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	8	8	0	Yes	98%	98%	96%	100%	100%	100%
GRULAC	13	13	0	No	2%	2%	4%	0%	0%	0%
WEOG	15	15	0							
Total	105	103	2							

			Progres	s in designa	ting a natio	nal focal poin	t by non-Par	ties		
Regions	Total Non- Parties	Yes	No				Percentage	S		
AFR	12	10	2							
AP	30	23	7		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	15	12	3	Yes	72%	83%	77%	80%	65%	56%
GRULAC	20	13	7	No	28%	17%	23%	20%	35%	44%
WEOG	16	9	7							
Total	93	67	26							

Question 6. \*Has your country designated one or more competent national authorities as provided in Article 13?

	Prog	ress ir	desig	nating	one or more co	ompetent i	national	authoriti	es by Part	ies			
Regions	Total Parties	А	В	С			Pe	ercenta	ges				
AFR	42	19	6	10									
AP	27	10	6	5		Total	AFR	AP	CEE	GRULAC	WEOG		
CEE	8	8	0	0	А	54%	45%	37%	100%	62%	80%		
GRULAC	13	8	1	1	В	14%	14%	22%	0%	8%	13%		
WEOG	15	12	2	2	С	17%	24%	19%	0%	8%	13%		
Total	105	57	15	18									

	Progress	in de	esigna	ting o	ne or more co	mpetent	national	authoritie	s by non-P	arties	
Regions	Total Non- Parties	А	В	С				Percenta	ges		
AFR	12	0	0	1							
AP	30	1	0	0		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	15	2	0	0	Α	8%	0%	3%	13%	20%	0%
GRULAC	20	4	0	2	В	0%	0%	0%	0%	0%	0%
WEOG	16	0	0	1	С	4%	8%	0%	0%	10%	6%
Total	93	7	0	4							

Questions 7\*, 8, and 16: Has your country made available to the ABS Clearing-House permits or their equivalents issued at the time of access as evidence of the decision to grant prior informed consent (PIC) and of the establishment of mutually agreed terms (MAT)?/ Has your country made available to the ABS Clearing-House permits or their equivalent for the constitution of the internationally recognized certificate of compliance (IRCC)?/ Number of permits or their equivalents made available through the ABS-Clearing-House since the entry into force of the Protocol for your country.

Progr	ess in publi	shing i	ntern	ationa	lly recognize	ed certificate	es of compli	ance in the	ABS Clearing	-House by F	Parties
Regions	Total	Α	В	С			F	Percentages	3		
	Parties										
AFR	42	2	7	25							
AP	27	1	1	12		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	8	2	0	5	А	11%	5%	4%	25%	38%	13%
GRULAC	13	5	3	4	В	10%	17%	4%	0%	23%	0%
WEOG	15	2	0	12	С	55%	60%	44%	63%	31%	80%
Total	105	12	11	58							

9. All non-Parties that submitted an interim national report (6) answered that questions 7 and 8 were not applicable.

Question 9. \*Has your country designated one or more checkpoints as provided in Article 17?

			Pr	ogress	in designat	ing one or m	ore checkpo	oints by Part	ies			
Regions	Total Parties	А	В	С			I	Percentage	S			
AFR	42	5	3	22								
AP	27	5	4	8	8 Total AFR AP CEE GRULAC WEOG							
CEE	8	4	2	3	А	28%	12%	19%	50%	23%	80%	
GRULAC	13	3	1	5	В	10%	7%	15%	25%	8%	7%	
WEOG	15	12	1	2	2 C 38% 52% 30% 38% 38% 13%							
Total	105	29	11	40								

			Pro	gress	in designating	g one or moi	e checkpoi	nts by non-F	Parties						
Regions	Total Non- Parties	Α	В	С			F	Percentages							
AFR	12	0	0	1	Table AFR AR CEE CRUITAG MEGG										
AP	30	0	0	0	O Total AFR AP CEE GRULAC WEOG										
CEE	15	1	0	1	Α	1%	0%	0%	7%	0%	1%				
GRULAC	20	0	0	2	В	0%	0%	0%	0%	0%	0%				
WEOG	16	0	0	1	1 C 5% 8% 0% 7% 1% 5%										
Total	93	1	0	5											

#### B. ABS MEASURES: ACCESS TO GENETIC RESOURCES (ARTICLE 6)

Question 11. \*Is access to genetic resources subject to PIC as provided in Article 6.1?

				Ac	cess to ge	netic reso	ources subject	to PIC					
	Par	ties	Non-P	arties	Tot	als	Percentages						
Regions	Yes	No	Yes	No	Yes	No							
AFR	18	9	1	0	19	9							
AP	7	6	0	0	7	6		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	2	5	0	2	2	7	Yes	52%	68%	54%	22%	70%	27%
GRULAC	6	2	1	1	7	3	No	48%	32%	46%	78%	30%	73%
WEOG	4	10	0	1	4	11							
Total	37	32	2	4	39	36							

Question 12. \*Does your country have fair and non-arbitrary rules and procedures on accessing genetic resources as provided in Article 6.3 (b)?

		F	air and n	on-arbitra	ry rules	and proc	edures o	n accessin	g genetic	resources			
	Par	ties	Non-l	Parties	То	tals			P	ercentage	es .		
Regions	Yes	No	Yes	No	Yes	No							
AFR	13	5	1	0	14	5							
AP	7	0	0	0	7	0		Total	AFR	AP	CEE	GRUL AC	WEOG
CEE	2	0	0	0	2	0	Yes	85%	74%	100%	100%	86%	100%
GRULAC	5	1	1	0	6	1	No	15%	26%	0%	0%	14%	0%
WEOG	4	0	0	0	4	0							
Total	31	6	2	0	33	6							

Question 13. \*Does your country provide information on how to apply for PIC as provided in Article 6.3(c)?

				Provi	sion of in	formation	n on how	to apply f	or PIC						
	Part	ties	Non-P	arties	Tot	als	Percentages								
Regions	Yes	No	Yes	No	Yes	No									
AFR	11	7	1	0	12	7									
АР	6	1	0	0	6	2		Total	AFR	AP	CEE	GRUL AC	WEOG		
CEE	1	1	0	0	1	1	Yes	74%	63%	86%	50%	86%	100%		
GRULAC	5	1	1	0	6	1	No	26%	37%	14%	50%	14%	0%		
WEOG	4	0	0	0	4	0									
Total	27	10	2	0	29	11									

Question 14. \*Does your country provide for a clear and transparent written decision by a competent national authority as provided in Article 6.3 (d)?

		Provisio	n of a clo	ear and tr	ansparen	t written	decision	by a con	npetent	national	authority	y		
	Par	ties	Non-	Parties	То	tals	Percentages							
Regions	Yes	No	Yes	No	Yes	No								
AFR	14	4	1	0	15	4								
AP	7	0	0	0	7	0		Total	AFR	AP	CEE	GRULAC	WEOG	
CEE	1	1	0	0	1	1	Yes	87%	79%	100%	50%	100%	100%	
GRULAC	6	0	1	0	7	0	No	13%	21%	0%	50%	0%	0%	
WEOG	4	0	0	0	4	0								
Total	32	5	2	0	34	5								

Question 15. \*Does your country provide for the issuance at the time of access of a permit or its equivalent as provided in Article 6.3 (e)?

		Р	rovision 1	for the is	suance of	f a permi	t or its equ	ivalent at	the time	e of acces	s		
	Par	ties	Non-P	arties	Tot	tals			Р	ercentag	es		
Regions	Yes	No	Yes	No	Yes	No							
AFR	14	4	1	0	15	4							
AP	7	0	0	0	7	0		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	1	1	0	0	1	1	Yes	87%	79%	100%	50%	100%	100%
GRULAC	6	0	1	0	7	0	No	13%	21%	0%	50%	0%	0%
WEOG	4	0	0	0	4	0							
Total	32	5	2	0	34	5							

Question 17. \*Does your country have rules and procedures for requiring and establishing MAT as provided in Article 6.3 (g)?

			R	tules and	procedur	es for red	quiring a	nd establ	ishing M	AT					
	Par	ties	Non-	Parties	То	tals	Percentages								
Regions	Yes	No	Yes	No	Yes	No									
AFR	12	6	1	0	13	6									
AP	7	0	0	0	7	0		Total	AFR	AP	CEE	GRULAC	WEOG		
CEE	1	1	0	0	1	1	Yes	74%	68%	100%	50%	57%	100%		
GRULAC	4	2	0	1	4	3	No	26%	32%	0%	50%	43%	0%		
WEOG	4	0	0	0	4	0									
Total	28	9	1	1	29	10									

Question 18. Monetary and non-monetary benefits received since entry into force of the Protocol from the utilization of genetic resources and the utilization of traditional knowledge associated with genetic resources

Moneta	ry and non-	-monetary be	enefits receiv	ed from the	e utilization o	of genetic
Regions		Parties	resources		Non-Parties	5
	Yes	Monetary	Non- monetary	Yes	Monetary	Non- monetary
AFR	20	10	18	0	0	0
AP	7	3	6	0	0	0
CEE	0	0	0	0	0	0
GRULAC	5	3	5	1	0	1
WEOG	2	0	2	0	0	0
Total	34	16	31	1	0	1

Monetary		nonetary ben owledge asso				traditional
Regions		Parties			Non-Parties	5
	Yes	Monetary	Non- monetary	Yes	Monetary	Non- monetary
AFR	9	6	7	0	0	0
AP	4	0	4	0	0	0
CEE	1	0	1	0	0	0
GRULAC	2	0	2	1	0	1
WEOG	1	0	0	0	0	0
Total	17	6	14	1	0	1

#### C. ABS MEASURES: FAIR AND EQUITABLE BENEFIT-SHARING (ARTICLE 5)

Question 20.\*Has your country taken legislative, administrative or policy measures to implement Article 5.1 that provides that benefits arising from the utilization of genetic resources as well as subsequent applications and commercialization are shared with the Party providing such resources that is the country of origin of such resources or a Party that has acquired the genetic resources in accordance with the Convention as provided in Article 5.3?

				Measu	res to im	plement	Article 5.1 of t	he Proto	col					
	Par	ties	Non-	Parties	To	tals	Percentages							
Regions	Yes	No	Yes	No	Yes	No								
AFR	15	12	1	0	16	12								
AP	7	6	0	0	7	6		Total	AFR	AP	CEE	GRULAC	WEOG	
CEE	6	1	2	0	8	1	Yes	67%	57%	54%	89%	40%	100%	
GRULAC	4	4	0	2	4	6	No	33%	43%	46%	11%	60%	0%	
WEOG	14	0	1	0	15	0								
Total	46	23	4	2	50	25								

Question 21.\*Has your country adopted legislative, administrative or policy measures with the aim of ensuring that the benefits from the utilization of genetic resources held by indigenous and local communities, in accordance with domestic legislation regarding the established rights of these indigenous and local communities over these genetic resources, are shared with the indigenous and local communities concerned as provided in Article 5.2?

				Measu	res to im	plement	Article 5.2 of t	the Proto	col					
	Par	ties	Non-	Parties	То	tals	Percentages							
Regions	Yes	No	Yes	No	Yes	No								
AFR	12	15	1	0	13	15								
AP	8	5	0	0	8	5		Total	AFR	AP	CEE	GRULAC	WEOG	
CEE	5	2	2	0	7	2	Yes	63%	46%	62%	78%	40%	100%	
GRULAC	3	5	1	1	4	6	No	37%	54%	38%	22%	60%	0%	
WEOG	14	0	1	0	15	0								
Total	42	27	5	1	47	28								

Question 22.\*Has your country taken legislative, administrative or policy measures in order that benefits arising from the utilization of traditional knowledge associated with genetic resources are shared with indigenous and local communities holding such knowledge as provided in Article 5.5?

				Mea	asures to	impleme	ent Article 5.	of the P	rotocol				
	Par	ties	Non-F	arties	Tot	als			Per	centage	S		
Regions	Yes	No	Yes	No	Yes	No							
AFR	12	15	1	0	13	15							
AP	7	6	0	0	7	6		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	5	2	2	0	7	2	Yes	61%	46%	54%	78%	50%	93%
GRULA C	4	4	1	1	5	5	No	39%	54%	46%	22%	50%	7%
WEOG	13	1	1	0	14	1							
Total	41	28	5	1	46	29							

## D. ABS MEASURES: COMPLIANCE WITH DOMESTIC LEGISLATION OR REGULATORY REQUIREMENTS ON ABS (ARTICLE 15 AND 16) AND THE UTILIZATION OF GENETIC RESOURCES (ARTICLE 17)

Question 24.1. \*Has your country taken appropriate, effective and proportionate legislative, administrative or policy measures to provide that genetic resources utilized within your jurisdiction have been accessed in accordance with PIC and that MAT have been established as required by the domestic ABS legislation or regulatory requirements of the other Party as provided in Article 15.1?

				Measu	res to imp	olement Art	ticle 15.	1 of the P	rotocol					
	Pa	rties	Non-P	arties	То	tals	Percentages							
Regions	Yes	No	Yes	No	Yes	No								
AFR	8	19	1	0	9	19								
AP	5	8	0	0	5	8		Total	AFR	AP	CEE	GRULAC	WEOG	
CEE	6	1	2	0	8	1	Yes	53%	32%	38%	89%	40%	93%	
GRULA C	3	5	1	1	4	6	No	47%	68%	62%	11%	60%	7%	
WEOG	14	0	0	1	14	1								
Total	36	33	4	1	40	35								

Question 24.2. Please indicate whether your country has taken measures to address situations of non-compliance with those measures as provided in Article 15.2?

		M	leasures t	o addres	s situation	ns of non	-compliance as	provide	d in Ar	ticle 15	.2		
	Part	ies	Non-P	arties	Tot	als			Per	centag	es		
Regions	Yes	No	Yes	No	Yes	No							
AFR	5	3	1	0	6	3							
AP	4	1	0	0	4	1		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	4	2	2	0	6	2	Yes	83%	67%	80%	75%	75%	100%
GRULAC	2	1	1	0	3	1	No	18%	33%	20%	25%	25%	0%
WEOG	14	0	0	0	14	0							
Total	29	7	4	0	33	7							

Question 24.3. Have there been specific cases in which your country cooperated with other Parties in cases of alleged violation of ABS measures as provided in Article 15.3?

		C	ooperatio	n with o	ther Part	ies in cas	es of alleged	violation	of ABS	measure	s		
	Par	ties	Non-P	Parties	То	tals			Pei	rcentage	es		
Regions	Yes	No	Yes	No	Yes	No							
AFR	2	6	0	1	2	7							
AP	0	5	0	0	0	5		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	1	5	0	2	1	7	Yes	10%	22%	0%	13%	25%	0%
GRULAC	0	3	1	0	1	3	No	90%	78%	100%	88%	75%	100%
WEOG	0	14	0	0	0	14							
Total	3	33	1	3	4	36							

Question 25.1. \*Has your country taken appropriate, effective and proportionate legislative, administrative or policy measures to provide that traditional knowledge associated with genetic resources utilized within your jurisdiction has been accessed in accordance with PIC or approval and involvement of indigenous and local communities and that MAT have been established as required by the domestic ABS legislation or regulatory requirements of the other Party where such indigenous and local communities are located as provided in Article 16.1?

					Measur	es to imp	lement Articl	e 16.1					
	Par	ties	Non-P	Parties	То	tals			Pei	centage	es		
Regions	Yes	No	Yes	No	Yes	No							
AFR	6	21	1	0	7	21							
AP	6	6	0	0	6	6							WEOG
CEE	5	2	2	0	7	2	Yes	50%	25%	50%	78%	30%	93%
GRULAC	2	6	1	1	3	7	No	50%	75%	50%	22%	70%	7%
WEOG	14	0	0	1	14	1							
Total	33	35	4	2	37	37							

Question 25.2. Please indicate whether your country has taken measures to address situations of non-compliance with those measures as provided in Article 16.2?

				Measu	res to ad	ldress sit	uations of no	n-compli	ance				
	Par	ties	Non-F	arties	То	tals			Pei	rcentage	es		
Regions	Yes	No	Yes	No	Yes	No							
AFR	3	3	1	0	4	3							
AP	5	1	0	0	5	1		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	4	1	2	0	6	1	Yes	86%	57%	83%	86%	100%	100%
GRULAC	2	0	1	0	3	0	No	14%	43%	17%	14%	0%	0%
WEOG	14	0	0	0	14	0							
Total	28	5	4	0	32	5							

Question 25.3. Has your country cooperated in specific cases of alleged violation of ABS measures as provided in Article 16.3?

			C	ooperati	on in cas	ses of all	eged violatior	of ABS	measur	es			
	Par	ties	Non-F	Parties	To	tals			Per	centage	!S		
Regions	Yes	No	Yes	No	Yes	No							
AFR	0	6	0	1	0	7							
AP	1	5	0	0	1	5						GRULAC	WEOG
CEE	0	5	0	2	0	7	Yes	5%	0%	17%	0%	33%	0%
GRULAC	0	2	1	0	1	2	No	95%	100%	83%	100%	67%	100%
WEOG	0	14	0	0	0	14							
Total	1	32	1	3	2	35							

Question 26.1. \*Does your country require users of genetic resources to provide information related to PIC, to the source of the genetic resource, to the establishment of MAT and/or utilization of genetic resources at a designated checkpoint, as appropriate, as provided in Article 17.1 (a)(i) and (ii))?

		]	Require	nent to	users to	provide i	nformation at	a design	nated ch	eckpoin	t		
	Par	ties	Non-F	Parties	To	tals			Per	centage	S		
Regions	Yes	No	Yes	No	Yes	No							
AFR	12	15	1	0	13	15							
AP	6	5	0	0	6	5	5 Total AFR AP CEE GRULAC						WEOG
CEE	6	1	2	0	8	1	Yes	62%	46%	55%	89%	50%	87%
GRULAC	4	4	1	1	5	5	No	38%	54%	45%	11%	50%	13%
WEOG	13	1	0	1	13	2							
Total	41	26	4	2	45	28							

Question 26.2. Has your country taken measures to address situations of non-compliance?

				Meas	ures to a	ddress si	ituations of no	on-compl	iance				
	Par	ties	Non-F	Parties	Tot	tals			Per	centage	S		
Regions	Yes	No	Yes	No	Yes	No							
AFR	6	6	1	0	7	6							
AP	3	3	0	0	3	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	5	1	2	0	7	1	Yes	76%	54%	50%	88%	80%	100%
GRULAC	3	1	1	0	4	1	No	24%	46%	50%	13%	20%	0%
WEOG	13	0	0	0	13	0							
Total	30	11	4	0	34	11							

Question 27. \*Has your country provided the information referred to in Article 17.1 (a)(i) to relevant national authorities, to the Party providing PIC and to the ABS Clearing-House as provided in Article 17.1 (a)(iii)

Pro	vision of	finforma	tion refe	rred to i	n Article		i) to relevant r ring-House	national a	uthoritie	s, the p	rovider,	and the ABS	S
	Par	ties	Non-F	arties	Tot	tals			Per	centage	S		
Regions	Yes	No	Yes	No	Yes	No							
AFR	4	23	0	1	4	24							
AP	2	10	0	0	2	10		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	7	0	2	0	9	Yes	12%	14%	18%	0%	10%	13%
GRULAC	1	7	0	2	1	9	No	88%	86%	82%	100%	90%	87%
WEOG	2	12	0	1	2	13						-	
Total	9	59	0	6	9	65							

Question 28. \*Has your country taken measures to encourage users and providers to include provisions in MAT to share information on the implementation of such terms as provided in Article 17.1(b)?

Measure	s to enc	ourage u	sers and	provider	s to inclu	•	sions in MAT t terms	o share i	nformati	on on th	ne imple	mentation (	of such
	Par	ties	Non-F	arties	To	tals			Per	centage	S		
Regions	Yes	No	Yes	No	Yes	No							
AFR	10	17	1	0	11	17							
AP	7	6	0	0	7	6		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	7	1	1	1	8	Yes	37%	39%	54%	11%	40%	33%
GRULAC	4	4	0	2	4	6	No	63%	61%	46%	89%	60%	67%
WEOG	5	9	0	1	5	10							
Total	26	43	2	4	28	47							

Question 29. \*Is your country encouraging the use of cost-effective communications tools and systems as provided in Article 17.1(c)?

			U	se of co	st effect	ive comr	municatio	n tools a	nd syster	ns			
	Par	ties	Non-P	arties	Tot	als			F	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	13	14	1	0	14	14							
AP	10	3	0	0	10	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	4	3	2	0	6	3	Yes	61%	50%	77%	67%	30%	87%
GRULAC	2	6	1	1	3	7	No	39%	50%	23%	33%	70%	13%
WEOG	13	1	0	1	13	2							
Total	42	27	4	2	46	29							

### E. ABS MEASURES: COMPLIANCE WITH MUTUALLY AGREED TERMS (ARTICLE 18)

Question 31. \*Is your country encouraging the inclusion of provisions in MAT to cover dispute resolution as provided in Article 18.1(a)(b)(c)?

					Pro	visions	in MA	Γ to co	ver disp	oute res	olution					
		Parties		No	n-Parti	es		Total					Percent	ages		
Regions	Yes	No	N/A	Yes	No	N/A	Yes	No	N/A							
AFR													WEOG			
AP	8	0	5	0	0	0	8	0	5	Yes	49%	57%	62%	22%	50%	40%
CEE	2	1	4	0	0	2	2	1	6	No	16%	29%	0%	11%	10%	13%
GRULAC	5	1	2	0	0	2	5	1	4	N/A	35%	14%	38%	67%	40%	47%
WEOG	6	2	6	0	0	1	6	2	7							
Total	36	12	21	1	0	5	37	12	26							

Question 32. \*Does your country ensure that opportunity to seek recourse is available under your legal systems in cases of disputes arising from MAT as provided in Article 18.2?

	(	Opportun	ity to se	ek recou	ırse unde	er legal s	ystems i	n cases o	f dispute	s arising 1	from MA	Т	
	Par	ties	Non-P	arties	Tot	als			F	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	20	7	1	0	21	7							
AP	11	2	0	0	11	2		Total	AFR	AP	CEE	GRULAC	WEO
CEE	5	2	2	0	7	2	Yes	73%	75%	85%	78%	50%	73%
GRULAC	4	4	1	1	5	5	No	27%	25%	15%	22%	50%	27%
WEOG	11	3	0	1	11	4							
Total	51	18	4	2	55	20							

Question 33.1 \*Has your country taken measures regarding access to justice as provided in Article 18.3?

						Access	to justic	e					
	Par	ties	Non-P	arties	Tot	als			F	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	17	10	1	0	18	10							
AP	10	3	0	0	10	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	5	2	2	0	7	2	Yes	67%	64%	77%	78%	20%	87%
GRULAC	2	6	0	2	2	8	No	33%	36%	23%	22%	80%	13%
WEOG	13	1	0	1	13	2							
Total	47	22	3	3	50	25							

Question 33.2 \*Has your country taken measures regarding utilization of mechanisms regarding mutual recognition and enforcement of foreign judgments and arbitral awards as provided in Article 18.3?

		Mutu	al recog	nition ar	nd enforc	cement o	of foreign	judgmer	nts and a	rbitrary a	wards		
	Par	ties	Non-P	arties	Tot	tals				Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	13	14	0	1	13	10							
AP	7	6	0	0	7	6		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	5	2	2	0	7	2	Yes	59%	57%	54%	78%	40%	67%
GRULAC	3	5	1	1	4	6	No	41%	43%	46%	22%	60%	33%
WEOG	10	4	0	1	10	5							
Total	38	31	3	3	41	29							

#### F. SPECIAL CONSIDERATIONS (ARTICLE 8)

Question 35.1 \*In the development and implementation of ABS legislation or regulatory requirements has your country created conditions to promote and encourage research which contributes to the conservation and sustainable use of biodiversity including through simplified measures on access for non-commercial research purposes, taking into account the need to address a change of intent for such research as provided in Article 8(a)?

Conditions to promote and encourage research which contributes to the conservation and sustainable use of biodiversity including through simplified measures on access for non-commercial research purposes, taking into account the need to address a change of intent for such research Parties Non-Parties Totals Percentages Regions Yes No Yes No Yes No 0 19 9 **AFR** 18 9 1 ΑP 2 0 0 2 Total AFR ΑP CEE **GRULAC** WEOG 11 11 3 0 2 4 5 68% 85% 70% CEE 4 Yes 67% 44% 60% **GRULAC** 6 2 1 1 7 3 No 33% 32% 15% 56% 30% 40% **WEOG** 9 5 0 1 9 6 **Total** 48 21 2 4 50 25

Question 35.2 \*In the development and implementation of ABS legislation or regulatory requirements has your country paid due regard to cases of present or imminent emergencies that threaten or damage human, animal or plant health as provided in Article 8(b)?

Due	regard to	o cases o	f present	or imm	inent em	nergenci	es that th	reaten o	r damage	e human,	animal o	r plant heal	th
	Par	ties	Non-P	arties	Tot	als				Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	9	18	1	0	10	18							
AP	11	2	0	0	11	2		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	4	3	1	1	5	4	Yes	56%	36%	85%	56%	20%	93%
GRULAC	1	7	1	1	2	8	No	44%	64%	15%	44%	80%	7%
WEOG	14	0	0	1	14	1							
Total	39	30	3	3	42	33							

Question 35.3 \*In the development and implementation of ABS legislation or regulatory requirements has your country taken into consideration the need for expeditious access to genetic resources and expeditious fair and equitable sharing of benefits arising out of the use of such genetic resources, including access to affordable treatments by those in need, especially in developing countries as provided in Article 8(b)?

Consideration of the need for expeditious access to genetic resources and expeditious fair and equitable sharing of benefits arising out of the use of such genetic resources, including access to affordable treatments by those in need, especially in developing countries

						evelopii	ng counti	ies					
	Par	ties	Non-P	arties	Tot	als			[	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	9	18	0	1	9	19							
AP	6	7	0	0	6	7		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	1	6	0	2	1	8	Yes	36%	32%	46%	11%	30%	53%
GRULAC	2	6	1	1	3	7	No	64%	68%	54%	89%	70%	47%
WEOG	8	6	0	1	8	7							
Total	26	43	1	5	27	48							

Question 35.4 \*In the development and implementation of ABS legislation or regulatory requirements has your country considered the importance of genetic resources for food and agriculture and their special role for food security as provided in Article 8 (c)?

	Conside	ration of	the imp	ortance	of genet		ces for for security	ood and a	gricultur	e and the	eir specia	l role for	
	Par	ties	Non-P	arties	Tot	als			I	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	16	11	1	0	17	11							
AP	9	4	0	0	9	4		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	5	2	1	1	6	3	Yes	68%	61%	69%	67%	50%	93%
GRULAC	4	4	1	1	5	5	No	32%	39%	31%	33%	50%	7%
WEOG	14	0	0	1	14	1							
Total	48	21	3	3	51	24							

### G. PROVISIONS RELATED TO INDIGENOUS PEOPLES AND LOCAL COMMUNITIES: ARTICLES 6, 7 AND 12

Question 37. \*Does your country have indigenous and local communities?

					Indigen	ous and	local cor	nmunitie	S				
	Par	ties	Non-P	arties	Tot	als			I	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	25	2	1	0	26	2							
AP	10	3	0	0	10	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	7	0	2	0	9	Yes	65%	93%	77%	0%	90%	27%
GRULAC	8	0	1	1	9	1	No	35%	7%	23%	100%	10%	73%
WEOG	4	10	0	1	4	11							
Total	47	22	2	4	49	26							

Question 38.1 \*Do indigenous and local communities have the established right to grant access to genetic resources according to your domestic law? (Article 6.2)

Indigenou	s and lo	cal comm	nunities v	with the	establisl	ned right	to grant	access to	genetic	resource	s accordi	ng to dome	stic law
	Par	ties	Non-P	arties	Tot	als			I	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	14	11	1	0	15	11							
AP	7	3	0	0	7	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	0	0	0	0	0	Yes	49%	58%	70%	0%	22%	0%
GRULAC	2	6	0	1	2	7	No	51%	42%	30%	0%	78%	100%
WEOG	0	4	0	0	0	4							
Total	23	24	1	1	24	25							

Question 38.2: Please provide further information and reference to the domestic law establishing rights of indigenous and local communities to grant access to genetic resources<sup>3</sup>

Question 38.3 \*Does your country have measures in place with the aim of ensuring that the prior informed consent or approval and involvement of indigenous and local communities is obtained as provided in Article 6.2?

Measure	es with t	he aim of	ensuring	g that th	•		consent	• • •	/al and ii	nvolve me	ent of ind	igenous and	d local
	Part	ties	Non-P	arties	Tot				ĺ	Percenta	ges		
Regions	Yes	No	Yes	No	Yes	No							
AFR	10	4	1	0	11	4							
AP	5	2	0	0	5	2		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	0	0	0	0	0	Yes	75%	73%	71%	0%	100%	0%
GRULAC	2	0	0	0	2	0	No	25%	27%	29%	0%	0%	0%
WEOG	0	0	0	0	0	0							
Total	17	6	1	0	18	6							

Question 38.4 \*Has your country set out criteria and/or process for obtaining prior informed consent or approval and involvement of indigenous and local communities for access to genetic resources as provided in Article 6.3(f)?

Crite	ria and/o	or proces	s for obt					or approv genetic r			nt of indige	enous and lo	ocal
	Par	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	9	5	1	0	10	5							
АР	3	4	0	0	3	4		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	0	0	0	0	0	Yes	75%	73%	71%	#DIV/0!	100%	#DIV/0!
GRULAC	1	1	0	0	1	1	No	25%	27%	29%	#DIV/0!	0%	#DIV/0!
WEOG	0	0	0	0	0	0							
Total	13	10	1	0	14	10							

<sup>&</sup>lt;sup>3</sup> See CBD/ABS/CC/2/INF/1 for a summary of responses.

Question 39. \*In accordance with domestic law has your country taken measures with the aim of ensuring that traditional knowledge associated with genetic resources that is held by indigenous and local communities within your country is accessed with the PIC or approval and involvement of these indigenous and local communities and that MAT have been established as provided in Article 7?

Measures to ensure that traditional knowledge associated with genetic resources that is held by indigenous and local communities within your country is accessed with the PIC or approval and involvement of these indigenous and local communities and that MAT have been established

	Par	ties	Non-P	arties	Tot	tals				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	9	16	1	0	10	16							
AP	4	6	0	0	4	6		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	0	0	0	0	0	Yes	47%	38%	40%	0%	67%	75%
GRULAC	5	3	1	0	6	3	No	53%	62%	60%	0%	33%	25%
WEOG	3	1	0	0	3	1							
Total	21	26	2	0	23	26							

Question 40. \*In implementing the Protocol and in accordance with your domestic law, is your country taking into consideration indigenous and local communities' customary laws, community protocols and procedures with respect to traditional knowledge associated with genetic resources as provided in Article 12.1?

Consideration of indigenous and local communities' customary laws, community protocols and procedures with respect to traditional knowledge associated with genetic resources Non-Parties Parties Totals Percentages Regions Yes Yes No Yes No No **AFR** 12 13 0 1 12 14 WEOG 5 6 0 0 5 6 Total **AFR** ΑP **GRULAC** AΡ CEE CEE 0 0 0 Yes 50% 46% 45% 0% 44% 100% **GRULAC** 3 5 0 4 5 50% 54% 55% 0% 1 No 56% 0% **WEOG** 4 0 0 0 0 4 24 24 25 25 Total 1 1

Question 41. \*Has your country established mechanisms to inform potential users of traditional knowledge associated with genetic resources about their obligations as provided in Article 12.2?

Mechani	isms to i	nform po	tential u	sers of	traditio	nal kno	wledge a	ssociated	with ge	netic reso	ources abo	ut their obli	gations
	Pa rt	ies	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	10	15	1	0	11	15							
AP	3	7	0	0	3	7		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	0	0	0	0	0	Yes	43%	42%	30%	0%	44%	75%
GRULAC	3	5	1	0	4	5	No	57%	58%	70%	0%	56%	25%
WEOG	3	1	0	0	3	1							
Total	19	28	2	0	21	28							

Question 42. Has your country endeavoured not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous and local communities as provided in Article 12.4?

Endeavo	urs not to rest	rict the customa	-		etic resources a l local communi		traditional kno	owledge within	and among
		Parties			Non-Parties			Totals	
Regions	Community Protocols	Minimum requirement for MAT	Model contractual provisions	Community Protocols	Minimum requirement for MAT	Model contractual provisions	Community Protocols	Minimum requirement for MAT	Model contractual provisions
AFR	16	13	12	0	1	1	16	14	13
AP	6	6	4	0	0	0	6	6	4
CEE	0	0	0	0	0	0	0	0	0
GRULAC	5	6	4	1	0	0	6	6	4
WEOG	1	2	1	0	0	0	1	2	1
Total	28	27	21	1	1	1	29	28	22

Question 43. Has your country endeavored not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous and local communities as provided in Article 12.4?

Endeav	ours not	to restri	ct the cu		-			enetic re and local			iated trad	itional know	ledge
	Par	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	18	7	1	0	19	7							
AP	8	3	0	0	8	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	0	0	0	0	0	0	Yes	72%	73%	73%	0%	67%	75%
GRULAC	6	2	0	1	6	3	No	28%	27%	27%	0%	33%	25%
WEOG	3	1	0	0	3	1							
Total	35	13	1	1	36	14							

#### H. CONTRIBUTION TO CONSERVATION AND SUSTAINABLE USE (ARTICLE 9)

Question 45. Is your country encouraging users and providers to direct benefits arising from the utilization of genetic resources towards the conservation of biological diversity and sustainable use of its components as provided in Article 9?

End	couraging	•	•				•	om the u		•		s towards t	he
	Par	ties	Non-F	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	22	5	1	0	23	5							
AP	10	3	0	0	10	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	5	2	2	0	7	2	Yes	79%	82%	77%	78%	60%	87%
GRULAC	5	3	1	1	6	4	No	21%	18%	23%	22%	40%	13%
WEOG	13	1	0	1	13	2							
Total	55	14	4	2	59	16							

#### I. TRANSBOUNDARY COOPERATION (ARTICLE 11)

Question 48. Is your country endeavouring to cooperate, with the involvement of indigenous and local communities concerned, with a view to implementing the Protocol in instances where the same genetic resources are found in situ within the territory of more than one Party as provided in Article 11.1?

		•	•			he sam	Ū	resource				with a view e territory o	
	Par	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	14	13	0	1	14	14							
АР	8	5	0	0	8	5		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	2	5	0	2	2	7	Yes	41%	50%	62%	22%	20%	33%
GRULAC	1	7	1	1	2	8	No	59%	50%	38%	78%	80%	67%
WEOG	5	9	0	1	5	10							
Total	30	39	1	5	31	44							

Question 49. Is your country endeavouring to cooperate with a view to implementing the Protocol in instances where the same traditional knowledge associated with genetic resources is shared by one or more indigenous and local communities in several Parties as provided in Article 11.2?

Endeavo		•			•		•				where th				vledge asso ies	ciated
		Parties		No	n-Parti	es		Total					Percent	ages		
Regions	Yes	No	N/A	Yes	No	N/A	Yes	No	N/A							
AFR	18	7	2	0	1	0	18	8	2		Total	AFR	AP	CEE	GRULAC	WEOG
AP	6	5	2	0	0	0	6	5	2	Yes	36%	64%	46%	0%	10%	13%
CEE	0	1	6	0	0	2	0	1	8	No	29%	29%	38%	11%	60%	13%
GRULAC	1	6	1	0	0	2	1	6	3	N/A	35%	7%	15%	89%	30%	73%
WEOG	2	2	10	0	0	1	2	2	11							
Total	27	21	21	0	1	5	27	22	26							

### J. MODEL CONTRACTUAL CLAUSES, CODES OF CONDUCT, GUIDELINES AND BEST PRACTICES AND/OR STANDARDS (ARTICLE 19 AND 20)

Question 51. Is your country encouraging the development, update and use of model contractual clauses for MAT as provided in Article 19?

		Encoura	ging the	develo	pment,	update	and use	of model	contrac	tual clau	ses for M	AT	
	Par	ties	Non-F	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	16	11	1	0	17	11							
AP	11	2	0	0	11	2		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	4	3	2	0	6	3	Yes	68%	61%	85%	67%	40%	87%
GRULAC	4	4	0	2	4	6	No	32%	39%	15%	33%	60%	13%
WEOG	13	1	0	1	13	2							
Total	48	21	3	3	51	24							

Question 52. Is your country encouraging the development, update and use of codes of conduct, guidelines and best practices or standards as provided in Article 20?

Encourag	ing the c	levelopm	ent, up	date an	d use of		of conduc Article 2	, 0	nes and l	best prac	tices or sta	ındards as p	rovided
	Part	ties	Non-F	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	16	11	0	1	16	12							
AP	11	2	0	0	11	2		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	4	3	2	0	6	3	Yes	67%	57%	85%	67%	40%	87%
GRULAC	4	4	0	2	4	6	No	33%	43%	15%	33%	60%	13%
WEOG	13	1	0	1	13	2							
Total	48	21	2	4	50	25							

#### K. AWARENESS-RAISING AND CAPACITY (ARTICLE 21 AND 22)

Question 54.1 Has your country taken measures to raise awareness of the importance of genetic resources and traditional knowledge associated with genetic resources and related access and benefit-sharing issues as provided in Article 21?

Measur	es to rai	se aware				•		rces and defit-			edge assoc	iated with g	genetic
	Par	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	25	2	1	0	26	2							
AP	11	2	0	0	11	2		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	6	1	2	0	8	1	Yes	88%	93%	85%	89%	70%	93%
GRULAC	6	2	1	1	7	3	No	12%	7%	15%	11%	30%	7%
WEOG	13	1	1	0	14	1							
Total	61	8	5	1	66	9							

### 54.2 Has your country taken measures to implement the awareness-raising strategy for the Nagoya Protocol on ABS? Adopted as decision NP-1/9

		Measure	s to imp	lement	the awa	areness	raising s	trategy fo	or the Na	goya Prot	tocol on Al	BS	
	Pa r	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	21	4	1	0	22	4							
AP	10	1	0	0	10	1		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	5	1	1	1	6	2	Yes	80%	85%	91%	75%	71%	71%
GRULAC	4	2	1	0	5	2	No	20%	15%	9%	25%	29%	29%
WEOG	10	3	0	1	10	4							
Total	50	11	3	2	53	13							

Question 55.1 Has your country taken measures to build and develop capacity and strengthening of human resources and institutional capacities to effectively implement the Protocol as provided in Article 22?

						implem	ent the I	Protocol					
	Part	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	21	6	1	0	22	6							
AP	11	2	0	0	11	2		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	4	3	1	1	5	4	Yes	75%	79%	85%	56%	60%	80%
GRULAC	5	3	1	1	6	4	No	25%	21%	15%	44%	40%	20%
WEOG	12	2	0	1	12	3							
Total	53	16	3	3	56	19							

Question 55.2 Has your country taken measures to implement the strategic framework for capacity-building and development to support effective implementation of the Nagoya Protocol on ABS?

М	easures	to impler	nent the					ity-buildin oya Proto			ent to supp	ort effectiv	е
	Par	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	15	6	1	0	16	6							
AP	11	0	0	0	11	0		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	3	1	1	0	4	1	Yes	80%	73%	100%	80%	50%	92%
GRULAC	2	3	1	0	3	3	No	20%	27%	0%	20%	50%	8%
WEOG	11	1	0	0	11	1							
Total	42	11	3	0	45	11							

Question 56. Has your country received external support for building and developing capacity for the implementation of the Nagoya Protocol?

Recei	pt of ext	ernal su	pport fo	r buildi	ng and	develop	oing capa	icity for t	ne imple	ementati	on of the	Nagoya Pro	tocol
	Par	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	26	1	1	0	27	1							
AP	9	3	0	0	9	3		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	2	5	0	2	2	7	Yes	64%	96%	75%	22%	90%	0%
GRULAC	8	0	1	1	9	1	No	36%	4%	25%	78%	10%	100%
WEOG	0	14	0	1	0	15							
Total	45	23	2	4	47	27							

### Question 57. Has your country provided external support for building and developing capacity for the implementation of the Nagoya Protocol?

Provisi	on of ext	ternal su	pport fo	r build	ing and	develo	ping capa	acity for	the impl	ementati	ion of the	Nagoya Pro	otocol?
	Par	ties	Non-P	arties	Tot	als				Percent	ages		
Regions	Yes	No	Yes	No	Yes	No							
AFR	5	22	0	1	5	23							
AP	8	5	0	0	8	5		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	2	5	0	1	2	6	Yes	35%	18%	62%	25%	20%	60%
GRULAC	2	6	0	2	2	8	No	65%	82%	38%	75%	80%	40%
WEOG	9	5	0	1	9	6							
Total	26	43	0	5	26	48							

### L. TECHNOLOGY TRANSFER, COLLABORATION AND COOPERATION (ARTICLE 23)

Question 59. Is your country collaborating and cooperating in technical and scientific research and development programmes as a means to achieve the objective of the Protocol as provided in Article 23?

Collaborat	tion and	cooperat	ion in te	echnical			research are of the l		lopment	program	mes as a r	neans to ach	nieve the	
	Par	ties	Non-P	arties	Tot	als	Percentages							
Regions	Yes	No	Yes	No	Yes	No								
AFR	17	10	1	0	18	10								
AP	12	1	0	0	12	1		Total	AFR	AP	CEE	GRULAC	WEOG	
CEE	4	3	0	2	4	5	Yes	64%	64%	92%	44%	50%	60%	
GRULAC	4	4	1	1	5	5	No	36%	36%	8%	56%	50%	40%	
WEOG	9	5	0	1	9	6								
Total	46	23	2	4	48	27								

#### M. OPTIONAL ADDITIONAL INFORMATION

Question 61. Has your country established the mechanism of budgetary allocations to the implementation of the Nagoya Protocol?

Responde	nts that	have est	ablished	the me	chanis	m of bu	dgetary a	llocation	ns to the i	mpleme	ntation of	the Nagoya	Protocol		
	Par	Parties Non-Parties Totals						Percentages							
Regions	Yes	No	Yes	No	Yes	No									
AFR	11	16	0	1	11	17									
AP	6	7	0	0	6	7		Total	AFR	AP	CEE	GRULAC	WEOG		
CEE	2	4	1	0	3	4	Yes	37%	39%	46%	43%	30%	25%		
GRULAC	2	6	1	1	3	7	No	63%	61%	54%	57%	70%	75%		
WEOG	3	8	0	1	3	9									
Total	24	41	2	3	26	44									

Question 62.1 Has your country made financial resources available to other Parties or received financial resources from other Parties or financial institutions for the purposes of implementation of the Protocol as provided in Article 25?

Respondents tha	t have made f	inancial resou			ther Parties or re of the Protocol	eceived 1	inancia	l resour	ces for the pu	rposes of
Regions	Yes, financial resources have been made available	Yes, financial resources have been received	No		Percentages					
AFR	4	23	3							
АР	1	6	6		Total	AFR	AP	CEE	GRULAC	WEOG
CEE	1	1	5	Made available	19%	13%	8%	14%	17%	43%
GRULAC	2	8	2	Received	51%	77%	46%	14%	67%	7%
WEOG	6	1	7	No	31%	10%	46%	71%	17%	50%
Total	14	39	23							

	Sources of funds received													
Regions	Total recei ved	Parties	Fin. inst.	GEF	NP	Other	Percentages							
AFR	23	7	22	20	0	12		Tot al	AFR	AP	CEE	GRULAC	WEOG	
AP	6	1	5	4	1	3	From other Parties	31 %	30%	17%	0%	50%	0%	
CEE	1	0	1	1	0	0	From financial institutions	87 %	96%	83%	100 %	63%	100%	
GRULAC	8	4	5	5	1	1	From the Global Environment al Facility	91 %	91%	80%	100 %	100%	100%	
WEOG	1	0	1	1	0	0	From the Nagoya Protocol Implementat ion Fund	6%	0%	20%	0%	20%	0%	
Total	39	12	34	31	2	16	From other sources	47 %	55%	60%	0%	20%	0%	

Question 63.1 Does your country have specific staff to administer functions directly related to the implementation of the Nagoya Protocol?

	Specific	staff to	adminis	ter fun	ctions d	lirectly	related t	to the im	plement	ation of t	the Nagoy	a Protocol		
	Parties		Non-Parties		Totals		Percentages							
Regions	Yes	No	Yes	No	Yes	No								
AFR	24	2	1	0	25	2								
AP	9	3	0	0	9	3		Total	AFR	AP	CEE	GRULAC	WEOG	
CEE	5	2	1	0	6	2	Yes	86%	93%	75%	75%	70%	100%	
GRULAC	6	2	1	1	7	3	No	14%	7%	25%	25%	30%	0%	
WEOG	12	0	1	0	13	0								
Total	56	9	4	1	60	10								

#### Annex

#### Section A: List of countries that submitted the interim national report by 1 November 2017

#### Parties:

1	Belarus	21.	M	ali

- 2. Belgium 22. Mauritania
- 3. Bhutan 23. Mexico
- 4. Botswana 24. Mongolia
- 5. Burundi 25. Netherlands
- 6. Cameroon 26. Norway
- 7. Congo 27. Panama
- 8. Cuba 28. Peru
- 9. Czech Republic 29. Republic of Moldova
- 10. Côte d'Ivoire 30. Rwanda
- 11. Denmark 31. Sao Tome and Principe
- 12. Dominican Republic 32. Slovakia
- 13. Ethiopia 33. South Africa
- 14. European Union 34. Spain
- 15. Germany 35. Sudan
- 16. Guinea-Bissau 36. Sweden
- 17. Honduras18. India37. Switzerland38. Uganda
- 19. Kenya 39. United Kingdom
- 20. Madagascar

#### **Non Party:** 40. Venezuela

### Section B: List of countries that submitted the interim national report between 2 November and 22 February

#### **Parties:**

- 41. Albania 56. Kuwait
- 42. Antigua and Barbuda 57. Kyrgyzstan
- 43. Benin 58. Lao
- 44. Bulgaria 59. Malawi
- 45. Burkina Faso 60. Malta
- 46. China 61. Niger
- 47. Comoros 62. Pakistan

48. Democratic Republic of Congo 63. Portugal 49. Finland 64. Qatar 65. Senegal 50. France 66. Seychelles 51. Guinea 52. Hungary 67. Swaziland 68. Togo 53. Indonesia 54. Japan 69. Uruguay 55. Kazakhstan 70. Vietnam **Non-Parties**: 71. Austria 74. Morocco 72. Barbados 75. Poland 73. Estonia

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