



Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE
CONVENTION ON BIOLOGICAL DIVERSITY
SERVING AS THE MEETING OF THE PARTIES TO
THE CARTAGENA PROTOCOL ON BIOSAFETY

Ninth meeting

Sharm El-Sheikh, Egypt, 17-29 November 2018

Item 10 of the provisional agenda

**REFERENCE TABLE FOR THE UPDATED DRAFT FORMAT FOR THE FOURTH NATIONAL
REPORT UNDER THE CARTAGENA PROTOCOL ON BIOSAFETY**

Note by the Executive Secretary

INTRODUCTION

1. At its eighth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety requested the Executive Secretary to develop a revised format for the fourth national report with a view to ensuring that complete and accurate information was captured while striving to ensure the applicability of the baseline information, as established in decision [BS-VI/15](#), for review by the Subsidiary Body on Implementation and for consideration at the ninth meeting of the Parties to the Protocol, in particular by:

(a) Improving the formulation of questions for their clarity, providing further explanation where necessary (decision [CP-VIII/14](#));

(b) Eliminating the redundancy observed in the questions used for the third national report (decision CP-VIII/14);

(c) Adding questions that address mainstreaming biosafety into national biodiversity strategies and action plans, other policies and legislation (decision CP-VIII/14);

(d) Aligning the language of the relevant questions in the draft format with the adopted operational definitions of the terms “unintentional transboundary movement” and “illegal transboundary movement” (decision [CP-VIII/16](#)).

2. The Secretariat has prepared a draft revised format for the fourth national report, as presented in the annex of document CBD/SBI/2/13, for consideration by the Subsidiary Body on Implementation at its second meeting.

3. In its recommendation 2/13, the Subsidiary Body on Implementation invited Parties to the Cartagena Protocol to provide specific comments on the draft format and requested the Executive Secretary to update the draft format, taking into account the comments received, with further clarification on the changes made.

4. The updated draft format for the fourth national report, taking into account the comments received, is annexed to document CBD/CP/MOP/9/5 for consideration by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety at its ninth meeting.

5. The annex to the present document provides information to further assist in cross-referencing the questions in the updated draft format for the fourth national report in relation to the format for the third national report.

Annex

REFERENCE TABLE FOR THE DRAFT REVISED FORMAT FOR THE FOURTH NATIONAL REPORT UNDER THE CARTAGENA PROTOCOL ON BIOSAFETY

Question number		Relevant indicators in the strategic plan ¹	Notes ² (changes to the questions in the 4NR in relation to the 3NR)
Fourth national report (4NR)	Third national report (3NR)		
Origin of the report			
1 - 13	1 - 13		No changes
Article 2 – General provisions			
14	14	1.1.1, 2.1.1, 2.1.2 and 3.1.2	Revised
N/A	15	1.1.1	Deleted
15	16		Revised
16	N/A		Added
17	17		Revised
18	18		Revised
19	19		Revised
N/A	20		Deleted
20	21		No changes
Article 5 – Pharmaceuticals			
21	22		Revised
N/A	23		Deleted
22	24		No changes
Article 6 – Transit and Contained use			
23	25	1.8.1	No changes
24	26	1.1.2 and 1.8.2	No changes
25	N/A		Added
N/A	27		Deleted
26	28		No changes
Articles 7 to 10: Advance Informed Agreement (AIA) and intentional introduction of living modified organisms into the environment			
N/A	29	1.1.2, 3.1.4	Deleted
N/A	30		Deleted
N/A	31		Deleted
27	32		No changes
28	33		Revised
29	34	1.1.4	Revised
30	41		Revised
31	42		Revised

¹ The text of the Strategic Plan for Implementation of the Cartagena Protocol on Biosafety 2011-2020 is available at http://bch.cbd.int/protocol/issues/cpb_stplan_txt.shtml.

² Only changes to the questions are marked in this column. Changes that were purely editorial in nature may not have been included in this column.

Question number		Relevant indicators in the strategic plan ¹	Notes ² (changes to the questions in the 4NR in relation to the 3NR)
Fourth national report (4NR)	Third national report (3NR)		
32	43		Revised
33	35	1.1.5	Revised
34	36		Revised
35	37		Revised
N/A	38		Deleted
N/A	39		Deleted
N/A	40		Deleted
36	44		Revised
37	45	3.1.5	Revised
38	46		No changes
Article 11: Procedure for living modified organisms intended for direct use as food or feed, or for processing (LMOs-FFP)			
39	47	1.1.2	Revised
40	48		Revised
41	55		Revised
N/A	49	3.1.5	Deleted
42	50	1.1.2	Revised
N/A	51	1.2.1	Deleted
N/A	52		Deleted
N/A	53		Deleted
43	54		Revised
N/A	56	3.1.5	Deleted
N/A	57		Deleted
44	58		Revised
Article 12 – Review of decision			
45	59		No changes
N/A	60		Deleted
46	61		Revised
47	62		Revised
48	N/A		Added
49	N/A		Added
50	N/A		Added
N/A	63	3.1.5	Deleted
N/A	64		Deleted
51	65	3.1.5	Revised
52	66		No changes
Article 13 – Simplified procedure			
53	67		Revised
54	68		Revised
55	70		Revised
56	69	3.1.5	Revised
57	71		No changes

Question number		Relevant indicators in the strategic plan ¹	Notes ² (changes to the questions in the 4NR in relation to the 3NR)
Fourth national report (4NR)	Third national report (3NR)		
Article 14 – Bilateral, regional and multilateral agreements and arrangements			
N/A	72		Deleted
58	73	1.2.8	Revised
N/A	74	3.1.5	Deleted
59	75		Revised
60	76		No changes
Articles 15 and 16 – Risk Assessment and Risk Management			
61	N/A		Added
62	N/A		Added
63	77		Revised
64	78		Revised
65	79	2.2.3	Revised
66	80	2.2.5	No changes
67	81	2.2.5	Revised
68	82	2.2.5	Revised
69	83	2.2.6	Revised
70	84	1.4.2 and 1.6.3	Revised
71	85	1.3.1	No changes
72	86	2.2.5	Revised
73	87	1.3.2	Revised
74	88	1.4.1	No changes
75	89	1.3.3	Revised
76	N/A		Added
77	90		No changes
N/A	91	3.1.5	Deleted
78	92		Revised
N/A	93		Deleted
79	N/A		Added
80	N/A		Added
81	94		No changes
82	95		Revised
83	96	2.2.4	Revised
84	97		No changes
Articles 17 – Unintentional transboundary movements and emergency measures			
85	98		Revised
86	N/A		Added
N/A	99		Deleted
87	102	3.1.5	Revised
88	100	1.8.3	Revised
89	101		Revised
N/A	103	3.1.5	Deleted
N/A	104		Deleted
90	105		No changes

Question number		Relevant indicators in the strategic plan ¹	Notes ² (changes to the questions in the 4NR in relation to the 3NR)
Fourth national report (4NR)	Third national report (3NR)		
Article 18 – Handling, transport, packaging and identification			
91	106		No changes
92	107	1.6.1	Revised
93	108	1.6.1	Revised
94	109		Revised
95	110	1.6.2	No changes
96	111		No changes
97	112	1.6.2	No changes
98	113		No changes
99	114	1.6.4	No changes
100	115		No changes
101	116	2.3.1	No changes
102	117		No changes
103	118	2.3.1	No changes
104	119	2.3.2	No changes
105	120	2.3.3	No changes
106	121	2.3.4	Revised
107	122		No changes
Article 19 – Competent National Authorities and National Focal Points			
108	123		No changes
109	124		No changes
110	N/A		Added
111	125		No changes
Article 20 – Information Sharing and the Biosafety Clearing-House (BCH)			
112	126	3.1.5	Revised
113	N/A		Added
114	127		No changes
115	128		No changes
116	129		No changes
117	130	4.1.8	No changes
N/A	131		Deleted
118	132	4.3.1	Revised
119	133	4.3.2	Revised
N/A	134	4.3.2	Deleted
120	135		No changes
Article 21 – Confidential information			
121	136		No changes
122	137		No changes
123	138		No changes
Article 22 – Capacity-building			
124	139	1.2.6 and 3.1.8	No changes
125	140		No changes
126	141		No changes

Question number		Relevant indicators in the strategic plan ¹	Notes ² (changes to the questions in the 4NR in relation to the 3NR)
Fourth national report (4NR)	Third national report (3NR)		
127	142		No changes
128	143		No changes
129	144		Revised
130	145		No changes
N/A	146		Deleted
131	147		No changes
132	148		No changes
133	149	1.2.1	No changes
N/A	150	1.2.1	Deleted
134	151	1.2.7	No changes
135	152		Revised
136	153	1.2.2	No changes
137	154	1.2.4	No changes
N/A	155	1.2.3	Deleted
N/A	156		Deleted
138	157		No changes
Article 23 – Public awareness and participation			
139	158		Revised
140	174		Revised
141	164		Revised
142	159	5.3.2	Revised
143	160	5.3.1	No changes
N/A	161	5.3.1	Deleted
144	162	2.5.3 and 5.3.3	Revised
N/A	163	5.2.1	Deleted
145	171	2.7.1	No changes
146	172	2.7.2 and 5.3.4	Revised
147	165	2.5.1	No changes
N/A	166	2.5.1	Deleted
148	167	2.5.2	No changes
149	168	2.5.2	No changes
N/A	169	2.5.2	Deleted
150	175		Revised
151	170		Revised
N/A	173		Deleted
152	176		No changes
Article 24 – Non-Parties			
153	177		No changes
154	178		Revised
155	179		Revised
156	180		No changes
N/A	181		Deleted
N/A	182		Deleted
157	183		No changes

Question number		Relevant indicators in the strategic plan ¹	Notes ² (changes to the questions in the 4NR in relation to the 3NR)
Fourth national report (4NR)	Third national report (3NR)		
Article 25 – Illegal transboundary movements			
158	184		No changes
N/A	185		Deleted
159	186		Revised
N/A	187	3.1.5	Deleted
160	188		Revised
N/A	189		Deleted
N/A	190		Deleted
161	191		No changes
Article 26 – Socio-economic considerations			
162	192	1.7.2	No changes
163	193		Revised
164	194	1.7.1	No changes
N/A	195	1.7.3	Deleted
165	196		No changes
166	197		No changes
Article 28 – Financial Mechanism and Resources			
167	203	1.2.5	Revised
Article 33 – Monitoring and reporting			
168	204	3.1.6	Revised
N/A	205		Deleted
N/A	206		Deleted
Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress			
169	198		No changes
170	199		No changes
N/A	200	2.4.1	Deleted
171	N/A		Added
172	N/A		Added
173	201	1.5.2 and 2.4.2	Revised
174	N/A		Added
175	N/A		Added
176	N/A		Added
177	N/A		Added
178	N/A		Added
179	N/A		Added
180	N/A		Added
181	N/A		Added
182	N/A		Added
183	N/A		Added
184	N/A		Added
185	202		No changes
Other information			

Question number		Relevant indicators in the strategic plan¹	Notes² (changes to the questions in the 4NR in relation to the 3NR)
Fourth national report (4NR)	Third national report (3NR)		
186	207		No changes
Comments on reporting format			
187	208		No changes