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CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY serving as the meeting of the parties to the nagoya protocol on access to genetic resources and the fair and equitable sharing of benefits arising from their utilization

Fourth meeting

Kunming, China, 11-15 October 2021

and 25 April to 8 May 2022

Report of the Conference of the Parties to the Convention on Biological Diversity serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization on its fourth meeting (Part I)

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# I. Decision adopted by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access and Benefit-sharing

## NP-4/1. Budget for the integrated programme of work of the Secretariat

*The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access and Benefit-sharing*,

*Recalling* its decision [3/16](https://www.cbd.int/doc/decisions/np-mop-03/np-mop-03-dec-16-en.pdf), in which it approved the budget for the biennium 2019-2020,

*Recalling* its decision NP-EM-1/1, in which it approved the extension of the budget for the biennium 2019-2020 and, on an exceptional basis, a core interim budget for 2021,

*Considering* the decision to hold the fourth meeting of the Conference of the Parties in two parts, Part I from 11 to 15 October 2021 and Part II from 25 April to 8 May 2022, due to the constraints caused by the COVID-19 pandemic situation, and that the consideration and adoption of a regular or full budget has been planned to take place during Part II of the meeting,

*Noting*, therefore, the need to make arrangements to allow the continued functioning of the organs of the Protocol, including its Secretariat and the meetings of its Parties and its subsidiary bodies,

*Taking note* of the proposal of the Bureau of the Conference of the Parties that, on an exceptional basis, the Parties consider and approve an interim budget for 2022 through agreed modalities,

*Recalling* the importance of early and easily understood information on financial and administrative matters, to enable Parties to benefit from and support an effective and efficient Secretariat,

*Also recalling* the need for inclusive decision-making at the meetings of the Conference of the Parties and of the Parties to its Protocols and, in particular, the provisions of paragraphs 35 to 44 of decision 14/37 on the Special Voluntary Trust Fund (BZ) for Facilitating the Participation of Parties in the Convention Processes,

*Recognizing* the exceptional nature of the circumstances arising from the pandemic and expressing solidarity with all Parties as they face the human and economic impacts of the ongoing pandemic,

*Having considered* the note by the Executive Secretary,[[1]](#footnote-2)

1. *Approves,* on an exceptional and interim basis, a core programme budget for the Nagoya Protocol on Access and Benefit-sharing of 2,028,350 United States dollars for the year 2022, representing 11 per cent of the integrated interim budget of 18,439,546 United States dollars for the year 2022, for the purposes listed in tables 1a and 1b below;
2. *Adopts* the scale of assessments for the apportionment of expenses for 2022, in accordance with the current scale of assessments for the apportionment of the expenses of the United Nations, as contained in table 2 of the present decision, noting that the scale of assessments for the triennium 2022-2024 is expected to be approved by the General Assembly of the United Nations in December 2021 and that the new scale of assessments will be applied, when released, to calculate the assessed contributions for 2022;
3. *Requests* the Executive Secretary to prepare proposals for the budget for the biennium 2023-2024 pending a decision by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol regarding the dates of its fifth meeting;
4. *Notes* *with concern* that a number of Parties have not paid their contributions to the core budgets (BY, BG and BB trust funds) for 2020 and prior years, including Parties that have never paid their contributions, and also notes that, in accordance with the International Public Sector Accounting Standards adopted by the United Nations,[[2]](#footnote-3) an amount estimated at $146,000 for the Nagoya Protocol, outstanding at the end of 2020, must be deducted from the fund balance and therefore cannot be used for the benefit of all the Parties to the Protocol;

5. *Decides* to apply, mutatis mutandis, paragraphs 2 to 4 and 6 to 12, 14 to 17 and 19 of the decision adopted by the Conference of the Parties at its fifteenth meeting (Part I).

**Table 1a**

**Integrated interim budget for the trust funds of the Convention on Biological Diversity and its Protocols for 2022**

*(Thousands of United States dollars)*

| *Object of expenditure* | *2022*  |
| --- | --- |
| A. Staff costs | 12,220.00  |
| B. Travel on official business | 275.00  |
| C. Consultants/subcontractors | 50.00  |
| D. Public awareness material/communications | 50.00  |
| E. Temporary assistance/overtime | 100.00  |
| F. Training | 5.00  |
| G. Translation of CHM website/website projects | 65.00  |
| H. Meetings\* | 1,198.00  |
| I. Rent and associated costs | 1,481.22  |
| J. General operating expenses | 726.60  |
| **Sub-total (I)** | **16,170.82**  |
| **II. Programme support costs (13%)** | 2,102.21  |
| **Sub-total (I + II)** | **18,273.03**  |
| **III. Working Capital Reserve** | 166.51  |
| **Grand Total (II + III)** | **18,439.54**  |
| Nagoya Protocol share of the interim budget (11%) | 2,028.35  |
| Less: Contribution from host country | (184.12) |
| Less: Use of reserves from previous years | (115.50) |
| **Net total (amount to be shared by Parties)** | **1,728.73**  |

**\*** Meetings to be funded from the interim budget for 2022 in complement to the carry-over as per table 1 above:

1/ Fifteenth meeting of the Conference of the Parties to the Convention, tenth meeting of the Parties to the Cartagena Protocol, fourth meeting of the Parties to the Nagoya Protocol, held concurrently for 14 days.

2/ Resumed twenty-fourth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, third meeting of the Subsidiary Body on Implementation, and third meeting of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework for 17 days.

3/ It is expected that, at Part II of its fifteenth meeting, the Conference of the Parties will consider the need for budget allocations for Bureau and expert meetings to ensure the full and effective participation of developing countries and countries with economies in transition. Parties, through the Bureau, will continue to monitor the extraordinary circumstances related to the COVID-19 pandemic, and nothing in this decision should be understood as setting a precedent for or prejudging the format of future Bureaux meetings or expert meetings.

**Table 1b**

**Resource requirements by division from the integrated core budgets for the year 2022***(Thousands of United States dollars)*

|  |  |
| --- | --- |
|  |  |
|  | 2022  |
| **I. Programmes:** |  |
|  Office of the Executive Secretary | 2,788.50  |
|  ABS and BS Protocols | 2,336.50  |
|  Science, Society and Sustainable Futures Division | 3,617.50  |
|  Implementation Support Division | 4,300.75  |
| **II. Administration, Finance and Conference Services** | 3,127.57  |
| **Subtotal** | **16,170.82**  |
| Programme support costs | 2,102.21  |
| **III. Working capital reserve** | 166.51  |
| **Total** | **18,439.54**  |
| Nagoya Protocol share of the interim budget (11%) | 2,028.35  |
| Less: Contribution from host country | (184.12) |
| Less: Use of reserves from previous years | (115.50) |
| **Net total (amount to be shared by Parties)** | **1,728.73**  |

**Table 2**

**Contributions to the Trust Fund for the Nagoya Protocol on Access and Benefit-sharing for 2022**

|  | *Party* | *Scale of assessments* | *Scale with 22% ceiling, no LDC paying more than 0.01%*[[3]](#footnote-4) | *Contributions as of 1 Jan 2022* |
| --- | --- | --- | --- | --- |
| 1 | Afghanistan | 0.007 | 0.010 | 173 |
| 2 | Albania  | 0.008 | 0.013 | 222 |
| 3 | Angola | 0.010 | 0.010 | 173 |
| 4 | Antigua and Barbuda | 0.002 | 0.003 | 56 |
| 5 | Argentina | 0.915 | 1.470 | 25,409 |
| 6 | Austria | 0.677 | 1.088 | 18,800 |
| 7 | Belarus | 0.049 | 0.079 | 1,361 |
| 8 | Belgium | 0.821 | 1.319 | 22,799 |
| 9 | Benin | 0.003 | 0.005 | 83 |
| 10 | Bhutan | 0.001 | 0.002 | 28 |
| 11 | Bolivia (Plurinational State of) | 0.016 | 0.026 | 444 |
| 12 | Botswana | 0.014 | 0.022 | 389 |
| 13 | Brazil | 2.948 | 4.736 | 81,865 |
| 14 | Bulgaria | 0.046 | 0.074 | 1,277 |
| 15 | Burkina Faso | 0.003 | 0.005 | 83 |
| 16 | Burundi | 0.001 | 0.002 | 28 |
| 17 | Cambodia | 0.006 | 0.010 | 167 |
| 18 | Cameroon | 0.013 | 0.021 | 361 |
| 19 | Central African Republic | 0.001 | 0.002 | 28 |
| 20 | Chad | 0.004 | 0.006 | 111 |
| 21 | China | 12.005 | 19.284 | 333,375 |
| 22 | Comoros | 0.001 | 0.002 | 28 |
| 23 | Congo | 0.006 | 0.010 | 167 |
| 24 | Côte d’Ivoire | 0.013 | 0.021 | 361 |
| 25 | Croatia | 0.077 | 0.124 | 2,138 |
| 26 | Cuba | 0.080 | 0.129 | 2,222 |
| 27 | Czechia | 0.311 | 0.500 | 8,636 |
| 28 | Democratic Republic of Korea | 0.006 | 0.010 | 167 |
| 29 | Democratic Republic of the Congo | 0.010 | 0.010 | 173 |
| 30 | Denmark | 0.554 | 0.890 | 15,384 |
| 31 | Djibouti | 0.001 | 0.002 | 28 |
| 32 | Dominican Republic | 0.053 | 0.085 | 1,472 |
| 33 | Ecuador | 0.080 | 0.129 | 2,222 |
| 34 | Egypt | 0.186 | 0.299 | 5,165 |
| 35 | Eritrea | 0.001 | 0.002 | 28 |
| 36 | Estonia | 0.039 | 0.063 | 1,083 |
| 37 | Eswatini | 0.002 | 0.003 | 56 |
| 38 | Ethiopia | 0.010 | 0.010 | 173 |
| 39 | European Union |  | 2.500 | 43,218 |
| 40 | Fiji | 0.003 | 0.005 | 83 |
| 41 | Finland | 0.421 | 0.676 | 11,691 |
| 42 | France | 4.427 | 7.111 | 122,936 |
| 43 | Gabon | 0.015 | 0.024 | 417 |
| 44 | Gambia | 0.001 | 0.002 | 28 |
| 45 | Germany | 6.090 | 9.783 | 169,117 |
| 46 | Ghana | 0.015 | 0.024 | 417 |
| 47 | Greece | 0.366 | 0.588 | 10,164 |
| 48 | Guatemala | 0.036 | 0.058 | 1,000 |
| 49 | Guinea | 0.003 | 0.005 | 83 |
| 50 | Guinea-Bissau | 0.001 | 0.002 | 28 |
| 51 | Guyana | 0.002 | 0.003 | 56 |
| 52 | Honduras | 0.009 | 0.014 | 250 |
| 53 | Hungary | 0.206 | 0.331 | 5,721 |
| 54 | India | 0.834 | 1.340 | 23,160 |
| 55 | Indonesia | 0.543 | 0.872 | 15,079 |
| 56 | Japan | 8.564 | 13.757 | 237,820 |
| 57 | Jordan | 0.021 | 0.034 | 583 |
| 58 | Kazakhstan | 0.178 | 0.286 | 4,943 |
| 59 | Kenya | 0.024 | 0.039 | 666 |
| 60 | Kiribati | 0.001 | 0.002 | 28 |
| 61 | Kuwait | 0.252 | 0.405 | 6,998 |
| 62 | Kyrgyzstan | 0.002 | 0.003 | 56 |
| 63 | Lao People’s Democratic Republic | 0.005 | 0.008 | 139 |
| 64 | Lebanon | 0.047 | 0.075 | 1,305 |
| 65 | Lesotho | 0.001 | 0.002 | 28 |
| 66 | Liberia | 0.001 | 0.002 | 28 |
| 67 | Luxembourg | 0.067 | 0.108 | 1,861 |
| 68 | Madagascar | 0.004 | 0.006 | 111 |
| 69 | Malawi | 0.002 | 0.003 | 56 |
| 70 | Malaysia | 0.341 | 0.548 | 9,469 |
| 71 | Maldives | 0.004 | 0.006 | 111 |
| 72 | Mali | 0.004 | 0.006 | 111 |
| 73 | Malta | 0.017 | 0.027 | 472 |
| 74 | Marshall Islands | 0.001 | 0.002 | 28 |
| 75 | Mauritania | 0.002 | 0.003 | 56 |
| 76 | Mauritius | 0.011 | 0.018 | 305 |
| 77 | Mexico | 1.292 | 2.075 | 35,878 |
| 78 | Micronesia (Federated States of) | 0.001 | 0.002 | 28 |
| 79 | Mongolia | 0.005 | 0.008 | 139 |
| 80 | Montenegro | 0.004 | 0.006 | 111 |
| 81 | Mozambique | 0.004 | 0.006 | 111 |
| 82 | Myanmar | 0.010 | 0.010 | 173 |
| 83 | Namibia | 0.009 | 0.014 | 250 |
| 84 | Nepal | 0.007 | 0.010 | 173 |
| 85 | Netherlands | 1.356 | 2.178 | 37,656 |
| 86 | Nicaragua | 0.005 | 0.008 | 139 |
| 87 | Niger | 0.002 | 0.003 | 56 |
| 88 | Norway | 0.754 | 1.211 | 20,938 |
| 89 | Oman | 0.115 | 0.185 | 3,194 |
| 90 | Pakistan | 0.115 | 0.185 | 3,194 |
| 91 | Palau | 0.001 | 0.002 | 28 |
| 92 | Panama | 0.045 | 0.072 | 1,250 |
| 93 | Peru | 0.152 | 0.244 | 4,221 |
| 94 | Philippines | 0.205 | 0.329 | 5,693 |
| 95 | Portugal | 0.350 | 0.562 | 9,719 |
| 96 | Qatar | 0.282 | 0.453 | 7,831 |
| 97 | Republic of Korea | 2.267 | 3.642 | 62,954 |
| 98 | Republic of Moldova | 0.003 | 0.005 | 83 |
| 99 | Romania | 0.198 | 0.318 | 5,498 |
| 100 | Rwanda | 0.003 | 0.005 | 83 |
| 101 | Saint Kitts and Nevis | 0.001 | 0.002 | 28 |
| 102 | Samoa | 0.001 | 0.002 | 28 |
| 103 | Sao Tome and Principe | 0.001 | 0.002 | 28 |
| 104 | Saudi Arabia | 1.172 | 1.883 | 32,546 |
| 105 | Senegal | 0.007 | 0.010 | 173 |
| 106 | Serbia | 0.028 | 0.045 | 778 |
| 107 | Seychelles | 0.002 | 0.003 | 56 |
| 108 | Sierra Leone | 0.001 | 0.002 | 28 |
| 109 | Slovakia | 0.153 | 0.246 | 4,249 |
| 110 | Solomon Islands | 0.001 | 0.002 | 28 |
| 111 | South Africa | 0.272 | 0.437 | 7,553 |
| 112 | Spain | 2.146 | 3.447 | 59,594 |
| 113 | Sudan | 0.010 | 0.010 | 173 |
| 114 | Sweden | 0.906 | 1.455 | 25,159 |
| 115 | Switzerland | 1.151 | 1.849 | 31,963 |
| 116 | Syrian Arab Republic | 0.011 | 0.018 | 305 |
| 117 | Tajikistan | 0.004 | 0.006 | 111 |
| 118 | Tunisia | 0.025 | 0.040 | 694 |
| 119 | Togo | 0.002 | 0.003 | 56 |
| 120 | Tonga | 0.001 | 0.002 | 28 |
| 121 | Turkmenistan | 0.033 | 0.053 | 916 |
| 122 | Tuvalu | 0.001 | 0.002 | 28 |
| 123 | Uganda | 0.008 | 0.010 | 173 |
| 124 | United Arab Emirates | 0.616 | 0.990 | 17,106 |
| 125 | United Kingdom of Great Britain and Northern Ireland | 4.567 | 7.336 | 126,824 |
| 126 | United Republic of Tanzania | 0.010 | 0.010 | 173 |
| 127 | Uruguay | 0.087 | 0.140 | 2,416 |
| 128 | Vanuatu | 0.001 | 0.002 | 28 |
| 129 | Venezuela | 0.728 | 1.169 | 20,216 |
| 130 | Viet Nam | 0.077 | 0.124 | 2,138 |
| 131 | Zambia | 0.009 | 0.014 | 250 |
| 132 | Zimbabwe | 0.005 | 0.008 | 139 |
|  | **Total** | **60.723** | **100.000** | **1,728,734** |

# II. Account of proceedings

# INTRODUCTION

1. Following the invitation of the Government of China and as decided by the Conference of the Parties in its [decision XIII/33](https://www.cbd.int/doc/decisions/cop-13/cop-13-dec-33-en.pdf), the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization was scheduled to be held in Kunming, Yunnan Province, China, from 15 to 28 October 2020, concurrently with the fifteenth meeting of the Conference of the Parties to the Convention on Biological Diversity and the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety. Owing to the ongoing coronavirus disease (COVID-19) pandemic, however, the meetings were postponed, initially until late May 2021 and then again as the pandemic persisted.
2. After extensive consultations and considering the challenges that the pandemic still posed for in-person meetings, the Bureau of the Conference of the Parties to the Convention on Biological Diversity, which also served as the Bureau of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, had decided, at its meeting held on 14 July 2021, to hold the fourth meeting of the Parties to the Nagoya Protocol in two parts: the first part (part I) to be held online from 11 to 15 October 2021 and the second part (part II) to be held in person in Kunming, China, from 25 April to 8 May 2022. Part I of the meeting was held online.

## Attendance

1. All States were invited to participate in the meeting. The following Parties to the Nagoya Protocol attended:

Albania

Angola

Antigua and Barbuda

Argentina

Austria

Belarus

Belgium

Benin

Bhutan

Botswana

Brazil

Bulgaria

Burkina Faso

Burundi

Cambodia

Cameroon

Central African Republic

Chad

China

Comoros

Congo

Croatia

Cuba

Czechia

Democratic People’s Republic of Korea

Democratic Republic of the Congo

Denmark

Dominican Republic

Ecuador

Egypt

Eritrea

Estonia

Ethiopia

European Union

Fiji

Finland

France

Gabon

Germany

Ghana

Greece

Guatemala

Guyana

Honduras

Hungary

India

Indonesia

Japan

Jordan

Kenya

Kuwait

Lebanon

Liberia

Luxembourg

Madagascar

Malawi

Malaysia

Maldives

Malta

Mauritius

Mexico

Micronesia (Federated States of)

Mozambique

Myanmar

Namibia

Nepal

Netherlands

Nicaragua

Niger

Norway

Oman

Pakistan

Peru

Philippines

Portugal

Republic of Korea

Republic of Moldova

Romania

Rwanda

Saint Kitts and Nevis

Saudi Arabia

Senegal

Serbia

Seychelles

South Africa

Spain

Sudan

Sweden

Switzerland

Tajikistan

Togo

Tonga

Tunisia

Turkmenistan

Uganda

United Arab Emirates

United Kingdom of Great Britain and Northern Ireland

United Republic of Tanzania

Uruguay

Viet Nam

Zambia

Zimbabwe

1. The following States not party to the Nagoya Protocol were also represented: Algeria, Andorra, Armenia, Australia, Azerbaijan, Bahamas, Bahrain, Bangladesh, Belize, Bosnia and Herzegovina, Brunei Darussalam, Cabo Verde, Canada, Chile, Colombia, Costa Rica, Cyprus, Equatorial Guinea, Georgia, Grenada, Haiti, Holy See, Iceland, Iran (Islamic Republic of), Ireland, Israel, Italy, Jamaica, Latvia, Liechtenstein, Lithuania, Monaco, Morocco, New Zealand, Nigeria, North Macedonia, Paraguay, Poland, Russian Federation, Singapore, Slovenia, South Sudan, Sri Lanka, State of Palestine, Suriname, Thailand, Trinidad and Tobago, Turkey, Ukraine, United States of America.
2. Observers from the following United Nations bodies, specialized agencies, convention secretariats and other bodies also attended: Convention on the Conservation of Migratory Species of Wild Animals

Food and Agriculture Organization of the United Nations

Intergovernmental Platform on Biodiversity and Ecosystem Services

International Fund for Agricultural Development

International Maritime Organization

Office of the United Nations High Commissioner for Human Rights

Secretariat of the Carpathian Convention

UNESCO World Heritage Centre

United Nations Conference on Trade and Development

United Nations Convention to Combat Desertification

United Nations Development Programme

United Nations Environment Programme

United Nations University

World Health Organization

1. The following organizations were also represented as observers:

Access and Benefit Sharing Alliance

Action for Ecology and People Emancipation (AEER)

ActionAid International

Adelphi Research gemeinnützige GmbH

African Centre for Biodiversity

African Indigenous Women Organization (Nairobi)

African Institute for Development Policy

African Union Development Agency-NEPAD

African Wildlife Foundation

Aichi Prefecture

Amazon Cooperation Treaty Organization

Andes Chinchasuyo

Anglican Consultative Council

Arab Center for the Studies of Arid Zones and Dry Lands

Arabian Leopard Fund

ASEAN Centre for Biodiversity

Asia Indigenous Peoples Pact Foundation

Asian Forest Cooperation Organization

Asociación Ambiente y Sociedad

Association des 3 Hérissons

Aube Nouvelle pour la Femme et le Développement

Australian Conservation Foundation

Avaaz

BankTrack

Barnes Hill Community Development Organization

Beijing Chaoyang District Yongxu Global Environmental Institute

Beijing Entrepreneur Environmental Protection Foundation

Beijing Fuqun Social Service Center

Beijing Haidian Shanshui Conservation Center

Beijing Institute of Finance and Sustainability

Bioversity International

BirdLife International

Born Free Foundation

Brazilian Foundation for Sustainable Development

British Ecological Society

Carbon Disclosure Project (CDP)

Caribbean Community Secretariat

Center for Development Research (ZEF)

China Association of Wild Plant Conservation

China Biodiversity Conservation and Green Development Foundation

China Dialogue Trust

China Green Carbon Foundation

Chinese Academy of Sciences

ClientEarth

Comité français de l’UICN

Commonland

Confederação Nacional da Indústria

Congregation of the Sisters of St. Joseph of Peace

Conselho Empresarial Brasileiro para o Desenvolvimento Sustentável

Conservation International

Cooperativa Autogestionaria de Servicios Profesionales para la Solidaridad Social, R.L.

Coral Triangle Initiative on Coral Reefs, Fisheries and Food Security

Cornell University

CropLife International

Derecho, Ambiente y Recursos Naturales

Deutsches Zentrum für Luft - und Raumfahrt e.V. - German Aerospace Center

DHI Water & Environment

Duke Kunshan University

Duke University

Ecologistas en Acción

EcoNexus

ECOROPA

Elephant Protection Initiative Foundation

ETC Group

European Bureau for Conservation and Development

European Climate Foundation

Forest Peoples Programme

Forest Stewardship Council

Forest Watch Indonesia

Foundation for Aboriginal and Islander Research Action

Fridtjof Nansen Institute

Friends of the Earth Europe

Fundación Ambiente y Recursos Naturales

Fundación Gaia Amazonas

Future Earth

GenØk - Centre for Biosafety

Georgetown University

German Committee Future Earth

Ghent University

Global Biodiversity Information Facility

Global Crop Diversity Trust

Global Forest Coalition

Global Forum on Human Settlements

Global Industry Coalition

Global Initiative Against Transnational Organized Crime

Global Youth Biodiversity Network

Global Youth Online Union

Govardhan Ecovillage

Greater Virunga Transboundary Collaboration

Green Anhui

Greenpeace International

Griffith University

Group on Earth Observations Biodiversity Observation Network

Guangzhou Yuexiu Climate Environmental Protection Exchange Center

Hanns Seidel Foundation/Stiftung-Korea Office

Heinrich Böll Foundation

Helmholtz Centre for Environmental Research - UFZ

ICCA Consortium

ICLEI - Local Governments for Sustainability

Imperial College London

Indigenous Information Network

Institut du développement durable et des relations internationales

Institute for Biodiversity Network

Institute for Environment and Sustainable Development

Inter-American Institute for Cooperation on Agriculture

International Association for Falconry and Conservation of Birds of Prey

International Center for Integrated Mountain Development

International Collective in Support of Fishworkers

International Coral Reef Initiative

International Council of Environmental Law

International Environment Forum

International Federation of Pharmaceutical Manufacturers and Associations

International Fertilizer Association

International Fund for Animal Welfare

International Grain Trade Coalition

International Indian Treaty Council

International Indigenous Forum on Biodiversity

International Institute for Environment and Development

International Land Conservation Network

International Network for Bamboo and Rattan

International Network of Basin Organizations

International Partnership for the Satoyama Initiative

International Planning Committee for Food Sovereignty

International Seed Federation

International Service for the Acquisition of Agri-biotech Applications

International Studies Association

International Tropical Timber Organization

International Union for Conservation of Nature (IUCN)

International Union for the Protection of New Varieties of Plants

International Union of Railways

International University Network on Cultural and Biological Diversity

International Whaling Commission

IPIECA

Japan Citizens’ Network for Sustainable Food and Agriculture (FA-Net Japan)

Japan Civil Network for the United Nations Decade on Biodiversity

Japan Committee for IUCN

Japan Environmental Lawyers for Future

Japan Federation of Bar Associations

Laotu Rural Ecological Culture Service Group in Yantian District, Shenzhen

Latinoamérica Sustentable

Les Eco Maires

London School of Economics and Political Science

Macquarie University

Max Planck Institute for Social Anthropology

Ministry of Foreign Affairs

Missionary Society of St. Columban

Mount Holyoke College

Nagoya University

Nankai University

National Geographic Society

National Institute for Environmental Studies

Nature Québec

North Carolina State University

New Wind Association

Nordic Development Fund

Norwegian University of Life Sciences

On the EDGE Conservation

Panthera

Parabukas

Pesticide Eco-Alternatives Center

Pondicherry India-China Friendship Association

Protect Our Water and Environmental Resources

Public Research and Regulation Initiative

Rainforest Foundation Norway

Rainforest Trust

Ramsar Convention on Wetlands

Ramsar Network Japan

Red de Cooperación Amazónica

Red de Mujeres Indígenas sobre Biodiversidad para América Latina y el Caribe

Red Indígena de Turismo de México (RITA)

Regions4 Sustainable Development

Réseau des gestionnaires d’aires marines protégées de Méditerranée

Resources Legacy Fund

Round-Table on Sustainable Palm Oil Secretariat (RSPO)

Royal Botanic Gardens, Kew

Royal Society for the Protection of Birds

Rueda de Medicina y Asociados, A.C.

Sasakawa Peace Foundation

Scion Research

Seascape Consultants Ltd.

Secretariat of the Pacific Regional Environment Programme

Seikatsu Club Consumers’ Co-operative Union

Sierra Club Canada Foundation

Society for the Preservation of Natural History Collections

Society for Wetland Biodiversity Conservation - Nepal

Society for Wildlife and Nature

South Asia Co-operative Environment Programme

South China Botanic Garden

Southeast Asia Regional Initiatives for Community Empowerment

Southern African Development Community Secretariat

Stockholm Resilience Centre

Sustainable Environment Food and Agriculture Initiative

Team SPOON

Tebtebba Foundation

Terra de Direitos

The Nature Conservancy

The Pew Charitable Trusts

Third World Network

Tinker Institute on International Law and Organizations

TRAFFIC International

Tree Aid

UCCLAN - University of Cambridge, Conservation Leadership Alumni Network

Union for the Mediterranean

University of Cambridge

University of Guelph

University of Sydney

University of Vienna

Vitae-planeta Ltd.

Wellcome Sanger Institute

West African Economic and Monetary Union

Wetlands International - Japan

Wildlands Conservation Trust

Wildlife Conservation Society

Wildlife Justice Commission

Winrock International

Women Engage for a Common Future

World Agroforestry Centre

World Animal Protection

World Business Council for Sustainable Development

World Economic Forum

World Federation for Animals

World Organisation for Animal Health /Organisation mondiale de la santé animale

World Overview of Conservation Approaches and Technologies

World Tourism Cities Federation

WWF International

Yangtze River Delta Research Institute of Environmental Science and Technology Zhejiang

Yunnan University of Finance and Economics

Zoo and Aquarium Association Australasia

Zoological Society of London

## Organizational matters

# Item 1. Opening of the meeting

1. Following the opening of the fifteenth meeting of the Conference of the Parties by Ms. Yasmine Fouad, Minister of Environment of Egypt and President of the Conference of the Parties at its fourteenth meeting, and the election of Mr. Runqiu Huang, Minister of Ecology and Environment of China, as President of the Conference of the Parties at its fifteenth meeting, the first part of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol was opened at 3.30 p.m.[[4]](#footnote-5) on 11 October 2021 by Mr. Huang, as President of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its fourth meeting.
2. Opening statements were made by Mr. Zheng Han, Vice-Premier of the Council of State of China; Ms. Inger Andersen, Executive Director of the United Nations Environment Programme, on behalf of Ms. Amina Mohammed, Deputy Secretary-General of the United Nations (via video); Mr. Chengfa Ruan, Communist Party Secretary of Yunnan Province; and Ms. Elizabeth Maruma Mrema, Executive Secretary of the Convention on Biological Diversity. Their statements are summarized in the report of the Conference of the Parties on part I of its meeting (CBD/COP/15/4).
3. General statements were made by representatives of Argentina (on behalf of the Latin American and Caribbean Group), the Democratic Republic of the Congo (on behalf of the African Group), Georgia (on behalf of the countries of Central and Eastern Europe), Kuwait (on behalf of the Asia-Pacific region), New Zealand (on behalf of Australia, Canada, Iceland, Israel, Monaco, Norway, the Republic of Korea, Switzerland, the United Kingdom of Great Britain and Northern Ireland and the United States of America) and Slovenia (on behalf of the European Union and its member States).
4. A statement was also made by the representative of the Amazon Cooperation Treaty Organization.
5. Further statements were made by representatives of the CBD Alliance, the CBD Women’s Caucus, the Global Youth Biodiversity Network (GYBN), International Indigenous Forum for Biodiversity (IIFB), the Hani People of Yunnan, the International Council for Local Environmental Initiatives (ICLEI) (also on behalf of the Advisory Committee on Subnational Governments and Biodiversity (coordinated by Regions4 and the Government of Quebec) the Group of Leading Subnational Governments towards the Aichi Biodiversity Targets (coordinated by the Aichi Prefecture), the European Committee of the Regions and the Edinburgh Process partners) and the True Nature Conservation Association (also on behalf of Youth of China).[[5]](#footnote-6)

# Item 2. Organizational matters

*Adoption of the agenda*

1. At the first plenary session of part I of the meeting, on 11 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the following agenda based on the provisional agenda prepared by the Executive Secretary in consultation with the Bureau (CBD/NP/MOP/4/1/Rev.1):

1. Opening of the meeting.

2. Organizational matters.

3. Report on the credentials of representatives to the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.

4. Reports of subsidiary bodies.

5. Report of the Compliance Committee (Article 30).

6. Administration of the Protocol and budget for the trust funds.

7. Financial mechanism and resources (Article 25).

8. Measures to assist in capacity-building and capacity development (Article 22) and measures to raise awareness of the importance of genetic resources and associated traditional knowledge (Article 21).

9. The Access and Benefit-sharing Clearing-House and information-sharing (Article 14).

10. Monitoring and reporting (Article 29).

11. Cooperation with other international organizations, conventions and initiatives.

12. Review of the effectiveness of structures and processes.

13. Post-2020 global biodiversity framework.

14. Digital sequence information on genetic resources.

15. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol.

16. Global multilateral benefit-sharing mechanism (Article 10).

17. Other matters.

18. Adoption of the report.

19. Closure of the meeting.

**Organization of work**

1. At the first plenary session of part I of the meeting, on 11 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to address items 1 to 6 and 17 to 19 during part I of the meeting, according to the schedule set out in annex I to the proposed organization of work (CBD/NP/MOP/4/1/Add.2). It was understood that all those items would be addressed in plenary and that some would need to be further considered during part II of the meeting, at which time agenda items 7 to 16 would also be addressed.

**Parallel events**

1. Two related events, the Ecological Civilization Forum and the Non-Governmental Organizations’ Action Forum, were held in connection with part I of the fifteenth meeting of the Conference of the Parties. More information on the forums can be found in the annex to the report of the Conference of the Parties on part I of its fifteenth meeting (CBD/COP/15/Part-I/4).

**Election of officers**

*Election of the President*

1. Pursuant to article 26, paragraph 3, of the Nagoya Protocol, the Bureau of the Conference of the Parties also serves as the Bureau of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol. Accordingly, Mr. Runqiu Huang, Minister of Ecology and Environment of China, who had been elected President of the fifteenth meeting of the Conference of the Parties, also served as the President of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.

*Election of officers other than the President*

1. Article 26, paragraph 3, of the Nagoya Protocol stipulated that any member of the Bureau of the Conference of the Parties who was representing a Party to the Convention that was not a Party to the Protocol must be substituted by a member elected by and from among the Parties to the Protocol. At its fourteenth meeting, the Conference of the Parties had elected 10 members of the Bureau to serve until the closure of the fifteenth meeting. Two representatives had subsequently been replaced by the Party concerned. At its third meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol had elected substitute Bureau members for those regions where the member of the Bureau of the Conference of the Parties represented a Party to the Convention that was not a Party to the Nagoya Protocol. Accordingly, the Bureau for the fourth meeting of the Conference of the Parties comprised:

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| Mr. Eric Okoree (Ghana) |
| Mr. Melesse Maryo (Ethiopia) |
| Mr. Vinod Mathur (India) (replacing Ms. Sujata Arora) |
| Ms. Leina El-Awadhi (Kuwait) |
| Mr. Dilovarsho Dustov (Tajikistan) |
| Ms. Elvana Ramaj (Albania) |
| Mr. Joaquin Salzberg (Argentina) |
| Ms. Helena Jeffery Brown (Antigua and Barbuda) |
| Ms. Gabriele Obermayr (Austria) |
| Ms. Marie Haraldstad (Norway) |

1. As some of the work mandated by the Conference of the Parties at its fourteenth meeting was to continue until the closure of part II of the meeting, Mr. Hamdallah Zedan (Egypt) was invited to remain a member of the Bureau, ex officio, as the representative of the Presidency of the fourteenth meeting of the Conference of the Parties.
2. It was agreed that the officers who would take office at the closure of the fourth meeting and serve until the end of the fifth meetings would be elected at part II of the meeting, at which time the Conference of the Parties serving as the meeting of the Parties would elect replacements, as necessary, for those members of the Bureau that represented a Party to the Convention but not a Party to the Nagoya Protocol.
3. At the opening plenary session of part I of the meeting, on 11 October 2021, it was agreed, on the proposal of the Bureau, that Ms. Elvana Ramaj (Albania) would serve as Rapporteur for the meeting.

*Election of officers of subsidiary bodies*

1. At the opening session of part 1 of the meeting, on 11 October 2021, the Conference of the Parties decided to postpone the elections of the Chair of the Subsidiary Body on Scientific, Technical and Technological Advice and of the Chair of the Subsidiary Body on Implementation until the end of the second part of the meeting. The Conference of the Parties also decided to maintain the Co-Chairs of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework in office until the second part of the meeting.

# Item 3. Report on the credentials of representatives to the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

1. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up agenda item 3 at the opening plenary session of part I of the meeting, on 11 October 2021. In accordance with rule 19 of the rules of procedure, the Bureau was to examine and report on the credentials of delegations. Accordingly, the President informed the body that the Bureau had designated Mr. Eric Okoree (Ghana), a Vice-President of the Bureau, to examine and report on credentials.
2. At the second plenary session of part I of the meeting, on 15 October 2021, Mr. Okoree informed the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol that 104 Parties were registered as attending the meeting. The Bureau had examined the credentials of the representatives of those Parties and had found that the credentials of 67 delegations were in full compliance with rule 18 of the rules of procedure, while the credentials of 37 delegations did not fully comply with rule 18 or had not yet been presented. As the Bureau understood the extraordinary situation facing some delegations, it was allowing delegates who had not yet submitted their credentials 30 days following the closure of meeting, or until 15 November 2021, to do so, and had requested the Executive Secretary to follow up on the matter.

# Item 4. Reports of subsidiary bodies

1. Agenda item 4 was considered at the second session of part I of the meeting, on 15 October 2021. In considering the item, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol had before it the reports of the Subsidiary Body on Scientific, Technical and Technological Advice on its twenty-third meeting (CBD/SBSTTA/23/9) and part I of its twenty-fourth meeting CBD/SBSTTA/24/11); the Subsidiary Body on Implementation on part I of its third meeting (CBD/SBI/3/20); and the Open-ended Working Group on Post-2020 Global Biodiversity Framework on its first, second and part I of its third meetings (CBD/WG2020/1/5; CBD/WG2020/2/4; and CBD/WG2020/3/4).
2. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol then heard oral reports from, the Subsidiary Body on Scientific, Technical and Technological Advice, the Subsidiary Body on Implementation and the Co-Chairs of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework on the work done since the previous meeting of the Conference of the Parties, including an explanation of the various means used to circumvent the limitations imposed by the COVID-19 pandemic.
3. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took note of the reports on intersessional meetings of subsidiary bodies and agreed to consider the recommendations contained in the reports under the relevant agenda items at part II of the meeting.

# Item 5. Report of the Compliance Committee

1. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up agenda item 5 at the second session of part I of the meeting, on 15 October 2021.
2. Ms. Kauna Schroder, Chair of the Compliance Committee, introduced the report of the Committee (CBD/NP/MOP/4/2), which reflected the work done by the Committee at its third meeting. The Committee had considered a number of substantive matters, including the submission of interim national reports and a number of general issues of compliance. The substance of the recommendations of the Committee would be considered during part II of the meeting, when a more detailed and updated report would be provided.
3. The Chair of the Compliance Committee also said that the term of five of the Committee’s members had been due to expire on 31 December 2020, but, as the fourth meeting of the Parties had been delayed, it had not been possible to elect new members before those terms expired. In consultation with the Bureau, the terms of those members had therefore been extended until 31 December 2021 and the election of new members was now foreseen to take place during part II of the meeting, in Kunming, in 2022.
4. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took note of the report by the Chair of the Compliance Committee and decided to defer consideration of the recommendations of the Compliance Committee and the election of new members until part II of the meeting. It decided to extend for a further year the terms of the five Committee members; accordingly, their terms would now end on 31 December 2022.

# Item 6. Administration of the Protocol and budget for the trust funds

1. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up agenda item 6 at the opening plenary session of part I of the meeting, on 11 October 2021. In considering the item, it had before it an interim report of the Executive Secretary on the administration of the Convention and its Protocols, including the budget for the respective trust funds (CBD/NP/MOP/4/3).
2. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to establish a budget contact group with a mandate to review the matter and prepare a draft budget for the programme of work for 2022, for the consideration of the Parties. The contact group was chaired by Mr. Spencer Thomas (Grenada), was open to all Parties and met informally at the invitation of its chair, with the meetings advertised in advance in the daily calendar of meetings.
3. At the second plenary session of part I of the meeting, on 15 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up draft decision CBD/NP/MOP/4/L.2, on the interim budget for the integrated programme of work of the Secretariat, presented by the chair of the budget contact group.
4. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted draft decision CBD/NP/MOP/4/L.2, as orally amended by the Secretariat, as decision NP-4/1.

# Item 17. Other matters

1. No other matters were raised.

# Item 18. Adoption of the report

1. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the present report at the second plenary session of part I of the meeting, on 15 October 2021, on the basis of the draft report presented by the Rapporteur (CBD/NP/MOP/4/Part1/L.1), on the understanding that it would be completed to reflect the full proceedings of the current meeting and that the full report would be considered and adopted at part II of the meeting.

# Item 19. Closure of the meeting

1. At the second plenary session of part I of the meeting, on 15 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to suspend its fourth meeting and resume it from 25 April to 8 May 2022, in Kunming, China.
2. Following the customary exchange of courtesies, the first part of the meeting was formally closed at 5.10 p.m., on 15 October 2021.

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1. CBD/NP/MOP/4/3. [↑](#footnote-ref-2)
2. See General Assembly resolution 60/283, sect. IV. [↑](#footnote-ref-3)
3. See paragraph 2. [↑](#footnote-ref-4)
4. All times shown in the present report are China Standard time (UTC+8). [↑](#footnote-ref-5)
5. Statements made by representatives, where provided to the Secretariat, can be found at <https://www.cbd.int/conferences/2021-2022/cop-15/documents>, as received from the Party or observer concerned. [↑](#footnote-ref-6)