

THE **ABS**
CAPACITY
DEVELOPMENT
INITIATIVE



L'INITIATIVE DE
RENFORCEMENT
DES CAPACITES
POUR L'**APA**

3rd meeting of the Informal Advisory Committee on Capacity-building for the Implementation of the Nagoya Protocol

22-24 March 2018, Montreal, Canada

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The ABS Initiative is funded by



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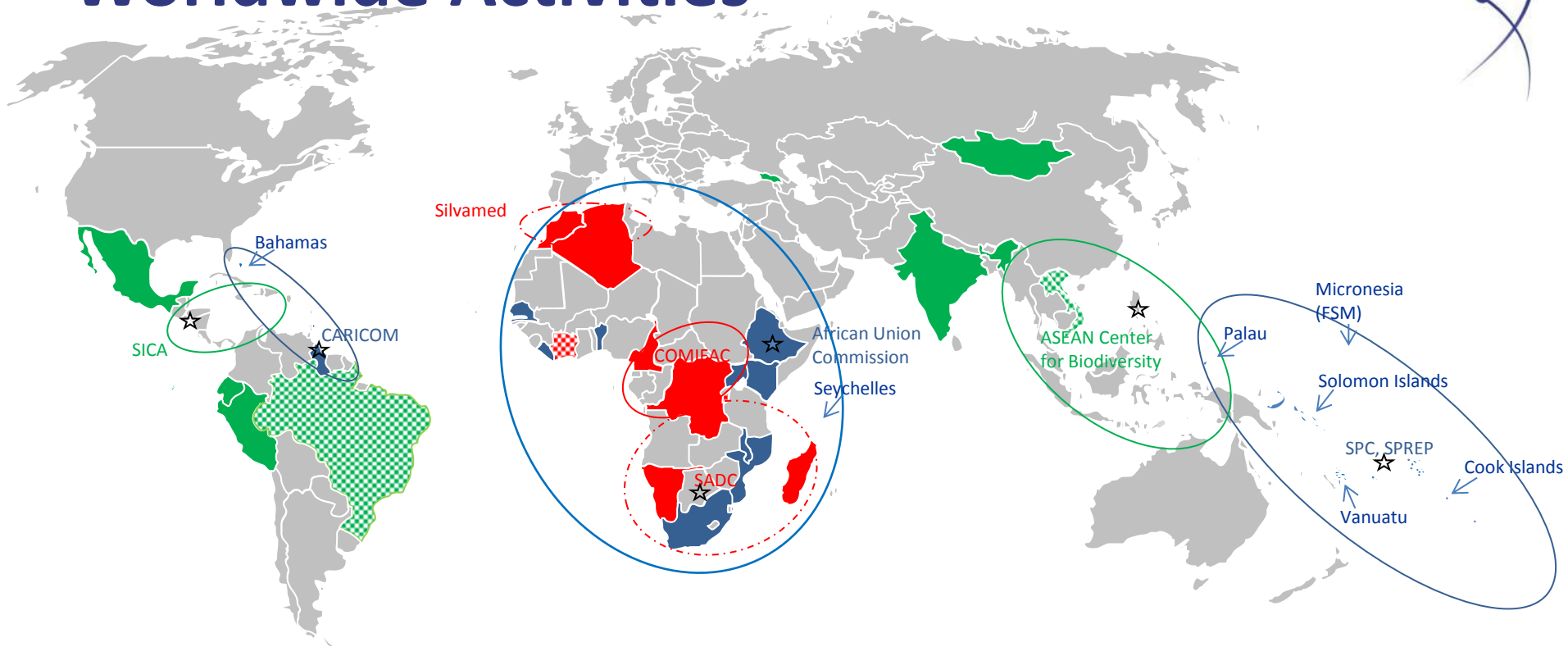
Federal Department of Economic Affairs,
Education and Research EAER
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and implemented by



ABS Capacity Development Initiative

Worldwide Activities

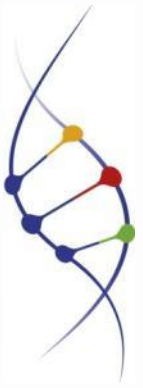


ABS Initiative working directly in ACP countries

ABS Initiative working in the context of German bilateral development cooperation in ACP countries

ABS Initiative advising German bilateral development cooperation on ABS outside of ACP

Key Areas of the Strategic Framework



Key Area 1: Capacity to implement and to comply with the Protocol

Key Area 2: Capacity to develop, implement and enforce ABS measures

Key Area 3: Capacity to negotiate MAT

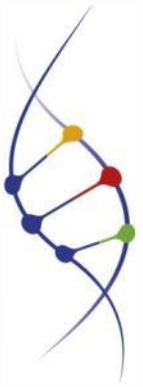
Key Area 4: Capacity of ILCs and relevant stakeholders to implement the Protocol

Key Area 5: Capacities to develop endogenous research capacities

The Programme Document 2015-2020 of the ABS Initiative (prolonged until 03/2022) is based on the Strategic Framework

External evaluation after COP 15 / MOP 4 in 2020

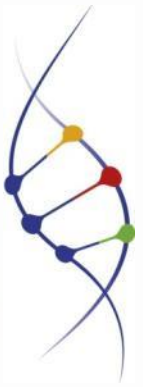
Coverage of Key Areas 1 to 4



Through publications

- AU ABS guidelines: strategic and technical
- CEPA guide
- Publications on ABS contract training and ABS contract clauses
- Study of the contribution of ABS to achieving the SDGs
- Methodology for gap analysis of ABS implementation at national level
- Tool kit for developing biocultural community protocols
- (Methodology for) country studies on patent landscapes and utilisation of GR and aTK
- Study on ABS and animal genetic resources for food and agriculture
- Fact sheet on marine bioprospecting and derived products

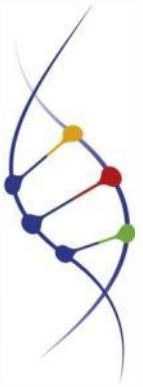
Coverage of Key Areas 1 to 4



Through multi-stakeholder and thematic workshops on (sub)regional basis

- (Sub-)Regional ABS workshops in Africa, the Pacific and the Caribbean region
- ABS contract trainings in all African sub-regions as well as the Caribbean and the Pacific
- Tandem workshops on harmonized implementation of the NP and the ITPGRFA (Africa) in cooperation with Bioversity International and the two Secretariats
- Basic ABS course for academia (Africa)
- Workshops on IPRs and aTK for IPLCs (Africa)
- IPLC exchange workshops (Africa-India)

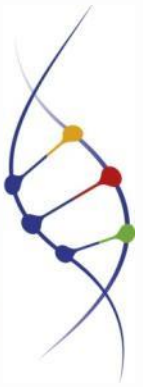
Coverage of Key Areas 1 to 4



In partner and cooperation countries and with international institutions through targeted activities

- Support in drafting legal ABS measures (Benin, Madagascar, Namibia, South Africa, Vanuatu)
- Implementing IT-based ABS permitting and monitoring systems (Bahamas, Kenya, India)
- Capacity development for ABS contract negotiations (Benin, Ethiopia, Kenya, Malawi, Vanuatu)
- Support in drafting BCPs (Benin, Madagascar, Namibia, South Africa)
- Compliance with ABS legislation in research institutions (ILRI, CGIAR Guidelines, University of the South Pacific, National University of Fiji)

Coverage of Key Area 5



Capacities to develop endogenous research capacities

➤ The ABS Compliant Biotrade in South(ern) Africa Project

- ✓ Timeframe: 3.5 years commencing 02/2018 with a 6 months inception phase
- ✓ Earmarked co-funding of CHF 3 mio (appr. EUR 2.5 mio)
- ✓ 70:30 focus on South Africa with support to regional stakeholders of transboundary value chains
- ✓ Management by the GIZ implemented ABS Capacity Development Initiative
- ✓ Governance: Project Steering Committee plus Investment Committee

Coverage of Key Area 5

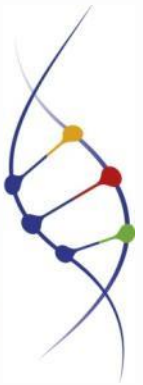


Capacities to develop endogenous research capacities

➤ The ABS Compliant Biotrade in South(ern) Africa Project

Main components	Outcomes	Intervention approach
1: Technical assistance to south(ern) African and regional ABS compliant biotrade value chains	Outcome 1: SMEs access new global markets for biotrade products based on national and transboundary value chains with strong participation of IPLCs.	Project providing technical assistance to SMEs and IPLCs
2: Financial assistance through the innovation facility	Outcome 2: SMEs use financial assistance designed specifically for biotrade innovation and growth whilst leveraging own resources and/or government incentive schemes.	Project innovation facility providing financial assistance through grants to SME to implement selected proposals
3: Policy dialogue and enabling environment	Outcome 3: Improved ABS implementation framework supports the biotrade sector development in South Africa and the (sub-) region.	Policy advise and technical support to Government and BSOs

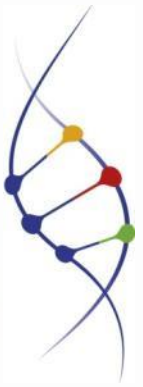
Coverage of Key Area 5



Capacities to develop endogenous research capacities

- **The ABS Compliant Biotrade in South(ern) Africa Project**
Component 1 provides **technical assistance** to South African and regional ABS compliant value chains including supporting new market access by addressing selected non-tariff barriers that bottleneck the growth of the sector, supporting the strategic and constructive role of IPLCs in these value chains, and assisting SMEs to improve their investment readiness through improved financial and business planning.
 - ✓ **Sub-component 1.1: Market access and development**
 - ✓ **Sub-component 1.2: Supporting the role of IPLCs in biotrade value chains**
 - ✓ **Sub-component 1.3: Business planning support to SMEs**

Coverage of Key Area 5



Capacities to develop endogenous research capacities

- **The ABS Compliant Biotrade in South(ern) Africa Project**
Component 2 provides **financial assistance** to SMEs for **innovation and growth** through the establishment of a dedicated biotrade grant funding facility that works in coherence with, but effectively independently of, other relevant incentive schemes, and ensures financial, technical and human resources engagement by the SMEs, and will be governed with the support of an Investment Committee.
 - ✓ **Sub-component 2.1: Facility design and set up**
 - ✓ **Sub-component 2.2: Operation of facility**



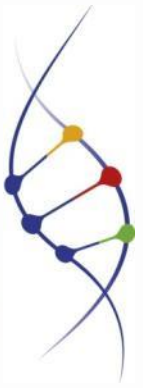
Coverage of Key Area 5



Capacities to develop endogenous research capacities

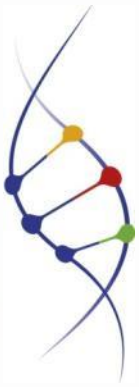
- **The ABS Compliant Biotrade in South(ern) Africa Project**
Component 3 supports the **development of an enabling regulatory environment through policy advice and technical support** to the DEA for the revision of NEMBA and BABS, supports sector organisations to develop and implement industry best practices related to ABS, and knowledge management and sharing of best practices and lessons learned at a regional and global level including through cooperation with the UNCTAD BioTrade Platform.
 - ✓ **Sub-component 3.1: Policy improvement through NEMBA and BABS amendments**
 - ✓ **Sub-component 3.2: Industry/sector organisation best practices and alignment**
 - ✓ **Sub-component 3.3: Knowledge management and sharing**

Main Challenges



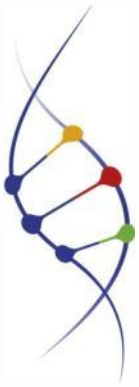
- Limited financial resources, diminishing interest of donors for specialised ABS projects outside of GEF
- Limited number of highly qualified ABS experts, need to cover multidimensional aspects of ABS going beyond advise on legal drafting
- “Remote control” of activities, need to finance dedicated staff at national level, also to compensate shortage of (experienced) national ABS staff
- Multiple capacity development activities in one country/sub-region require high degree of coordination
- Transformation of technical issues and needs into political messages, attracting the attention of high-level decision makers

Lessons for Future Initiatives



- Start with a comprehensive gap analysis of the situation in a country to develop tailor-made programmes and activities
- Conduct scientific publication and patent landscape analysis to develop understanding of high-interest GR and high-impact users to develop targeted capacity development activities
- Conduct analysis of high-volume and/or high-value GR/aTK based value chains to check their ABS-status and make them compliant with national ABS requirements
- Conduct ABS contract trainings – ideally based on real contracts – for effective and enforceable contracts
- Involve all providers of GR and aTK in capacity development activities, specifically representatives of private owners (e.g. farmers)

Capturing, Packaging and Sharing Lessons



ABS Initiative is working on sharing lessons from 2015-18

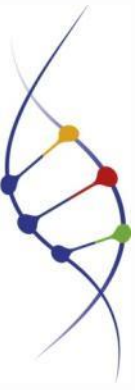
- Annotated and commented templates for key elements and clauses in ABS contracts
- Compilation of documents and experiences as “upscaling tool” for the nationally adapted development of the IT-based permitting and monitoring system
- Specific communication materials for IPLCs
- Need for producing documents and videos in other languages than English
 - Standard for Africa: English and French
 - Selected documents in Spanish and Portuguese
 - Indian ABS Project: Local languages as Marathi and Tamil
- - Pacific: ABS videos in Bislama

Additional Needs Not Captured Yet



- In principle, the ABS Initiative could cover all needs, no restrictions based on the programme
- In practise, we see the major need in demonstrating that ABS can lead to substantial benefit-sharing that flows into conservation and sustainable use of biodiversity and community-based measures creating jobs and improving incomes
- A new focus is developing ABS-compliant value chains with effective benefit-sharing contracts under existing (and to be improved) national ABS frameworks

Thank you very much for your attention!



Contact

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