



Convention on Biological Diversity

Distr.
GENERAL

CBD/NP/MOP/3/1/Add.1/Rev.1
21 September 2018

ORIGINAL: ENGLISH

CONFERENCE OF THE PARTIES TO THE CONVENTION ON
BIOLOGICAL DIVERSITY SERVING AS THE MEETING OF
THE PARTIES TO THE NAGOYA PROTOCOL ON ACCESS
TO GENETIC RESOURCES AND THE FAIR AND
EQUITABLE SHARING OF BENEFITS ARISING FROM
THEIR UTILIZATION

Third meeting

Sharm El-Sheikh, Egypt, 17-29 November 2018

REVISED ANNOTATED PROVISIONAL AGENDA

INTRODUCTION

1. Following the offer of the Government of Egypt, which was welcomed by the Conference of the Parties to the Convention on Biological Diversity in decision [XIII/33](#), the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization will be held in Sharm El-Sheikh, Egypt, from 17 to 29 November 2018, concurrently with the fourteenth meeting of the Conference of the Parties to the Convention as well as the ninth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety (hereinafter, “meeting of the Parties to the Cartagena Protocol”). It will be preceded by a high-level ministerial segment to be held on 14 and 15 November 2018 (for more information, see the annotations to the provisional agenda for the fourteenth meeting of the Conference of the Parties to the Convention CBD/COP/14/1/Add.1/Rev.1).
2. Consultations among delegations and preparatory meetings of regional groups may be held on 16 November 2018.
3. The Executive Secretary has prepared a compilation of draft decisions proposed by subsidiary bodies at intersessional meetings or developed by the Executive Secretary (CBD/NP/MOP/3/1/Add.2).

ITEM 1. OPENING OF THE MEETING

4. The opening of the meeting will take place at 10 am on Saturday, 17 November 2018 jointly with the opening of the fourteenth meeting of the Conference of the Parties and the ninth meeting of the Conference of the Parties serving as the meeting of the Parties of the Cartagena Protocol (see CBD/COP/14/1/Add.2). The meeting of the Parties will be opened by the President of the thirteenth meeting of the Conference of the Parties or his representative.
5. At the opening session, representatives of the Government of Egypt and other entities will make welcoming statements. The Executive Secretary will also address participants and highlight the main issues before the meeting. Representatives of regional groups, indigenous peoples and local communities and a limited number of other groups may also make statements.

ITEM 2. ORGANIZATIONAL MATTERS

2.1 Election of the President and substitute officers

Election of the President

6. It is expected that, at the opening session, the President of the thirteenth meeting of the Conference of the Parties or his representative will call for the election of a representative of the host country as President of the fourteenth meeting. The term of office of the President will begin immediately following his or her election at the fourteenth meeting of the Conference of the Parties and will end with the election of a successor at the fifteenth meeting. The President of the fourteenth meeting of the Conference of the Parties will also serve as the President of the third meeting of the Parties to the Nagoya Protocol.

Election of substitute officers

7. The Bureau of the Conference of the Parties to the Convention also serves as the Bureau of the meeting of the Parties to the Nagoya Protocol. In accordance with Article 26, paragraph 3, of the Nagoya Protocol, any member of the Bureau of the Conference of the Parties representing a Party to the Convention that is not a Party to the Nagoya Protocol at the time of the meeting is to be substituted by a member to be elected by and from among the Parties to the Nagoya Protocol.

8. At its thirteenth meeting, the Conference of the Parties elected 10 members of the Bureau for a term of office commencing upon the closure of the thirteenth meeting and ending at the closure of the fourteenth meeting. Subsequently, the second meeting of the Parties to the Nagoya Protocol elected substitute Bureau members for those regions where the Bureau member was representing a Party to the Convention that was not a Party to the Nagoya Protocol. Thus, the Bureau for the third meeting of the Parties to the Nagoya Protocol, in addition to the President, comprises the following:

A replacement to be nominated by Mauritania
 Mr. Samuel Ndayiragije (Burundi) Ms. Elvana Ramaj (Albania)
 Ms. Elena Makeyeva (Belarus)
 Ms. Marina Hernandez (Dominican Republic)
 Mr. Luciano Martin Donadio Linares (Argentina) Mr. Hayo Haanstra (Netherlands)
 Mr. Gaute Voigt-Hanssen (Norway)
 Ms. Gwendalyn K. Sisior (Palau)
 Mr. Monyrak Meng (Cambodia)

9. At its fourteenth meeting, the Conference of the Parties to the Convention will elect Bureau members whose terms of office will commence upon the closure of the meeting (rule 21 of the rules of procedure). In the event that one or more Bureau members are elected from Parties to the Convention that are not Parties to the Nagoya Protocol, substitute members will be elected by the meeting of the Parties to the Nagoya Protocol.

2.2 Adoption of the agenda

10. Pursuant to rules 8 and 9 of the rules of procedure and in consultation with the Bureau, the Executive Secretary has issued a provisional agenda (CBD/NP/MOP/3/1) for consideration by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.

11. The meeting of the Parties to the Nagoya Protocol will be invited to adopt its agenda on the basis of the provisional agenda prepared by the Executive Secretary.

2.3. Organization of work

12. In decision [NP-1/12](#), paragraph 1 (and as also reflected in decisions [XII/27](#), para. 3, and [BS-VII/9](#), para. 1), the Parties to the Nagoya Protocol decided to hold their future ordinary meetings concurrently with the meetings of the Conference of the Parties to the Convention and the meetings of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol within a two-week period.

13. Accordingly, the meeting of the Parties will be invited to consider and adopt the proposal for the organization of its work as contained in document CBD/COP/14/1/Add.2. The proposed organization of work provides for plenary and two working groups. The document proposes a division of responsibilities between the

plenary and the two working groups, and also includes the proposed schedule for the fourteenth meeting of the Conference of the Parties to the Convention and the ninth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol.

14. The organization of work has been prepared taking into account the experience gained with the convening of the concurrent meetings of the Conference of the Parties to the Convention and the meetings of the Parties to the Cartagena and Nagoya Protocols in December 2016.

15. Interpretation will be available for the plenary and morning and afternoon sessions of the working groups.

16. The list of working documents and a preliminary list of information documents issued for the meeting are contained in annex I to the present document.

ITEM 3. REPORT ON THE CREDENTIALS OF REPRESENTATIVES TO THE THIRD MEETING OF THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE NAGOYA PROTOCOL

17. In accordance with rule 18 of the rules of procedure of the Conference of the Parties to the Convention, which also applies, mutatis mutandis, to the meetings of the Parties to the Cartagena Protocol, Parties shall submit the credentials of their representatives and the names of alternate representatives and advisers to the Executive Secretary not later than twenty-four hours after the opening of the meeting. According to rule 19 of the rules of procedure, the credentials shall be examined by the Bureau of the meeting, which will submit a report thereon to the plenary for an appropriate decision.

18. In order to assist Parties in fulfilling the requirements of rule 18, the Executive Secretary has issued a notification including sample formats of appropriate credentials (see notification [2018-045](#)). Following previous practice, representatives of Parties to the Convention that are also Parties to the Cartagena Protocol and the Nagoya Protocol may submit a single set of credentials for the fourteenth meeting of the Conference of the Parties to the Convention, the ninth meeting of the Parties to the Cartagena Protocol and the third meeting of the Parties to the Nagoya Protocol.

19. The meeting of the Parties to the Nagoya Protocol will be invited to consider and adopt the report on credentials submitted to it by the Bureau.

ITEM 4. REPORTS OF SUBSIDIARY BODIES

20. Article 27, paragraph 1, of the Nagoya Protocol provides that “[a]ny subsidiary body established by or under the Convention may serve this Protocol, including upon a decision of the Conference of the Parties serving as the meeting of the Parties to this Protocol. Any such decision shall specify the tasks to be undertaken.”

21. Under this item, the President will inform the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol of the meetings of subsidiary bodies held during the intersessional period and their reports on the understanding that the substantive issues arising therein will be taken up under the appropriate agenda item. These include the following reports:

(a) Twenty-second meeting of the Subsidiary Body on Scientific, Technical and Technological Advice (CBD/SBSTTA/22/12);

(b) Second meeting of the Subsidiary Body on Implementation (CBD/SBI/2/22).

ITEM 5. REPORT OF THE COMPLIANCE COMMITTEE (ARTICLE 30)

22. The Compliance Committee under the Protocol is required to report to the meeting of the Parties to the Nagoya Protocol. The Compliance Committee held its second meeting in Montreal, Canada, from 24 to 26 April 2018. The third meeting of the Parties to the Nagoya Protocol will be invited to consider the report and recommendations of the Committee (CBD/NP/MOP/3/2) and to take appropriate action.

23. The meeting of the Parties will also be invited to elect members and indigenous peoples and local community observers to the Compliance Committee for a four-year term in order to replace the ten members, i.e.

two from each of the five regions, and the two observers from indigenous peoples and local communities whose terms will expire at the end of 2018.

ITEM 6. ADMINISTRATION OF THE PROTOCOL AND BUDGET FOR THE TRUST FUNDS

24. The Conference of the Parties to the Convention at its thirteenth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its eighth meeting and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its second meeting adopted an integrated budget for the biennium 2017-2018 and requested that the Executive Secretary prepare proposals for an integrated budget for the biennium 2019-2020.

25. Accordingly, and further to decision [NP 2/13](#), the Parties to the Protocol will have before them the following:

(a) A note by the Executive Secretary on the administration of the Convention and the Protocols, including the respective trust funds (CBD/COP/14/3);

(b) A note by the Executive Secretary on the proposed budget for the programme of work of the Convention and the Protocols for the biennium 2019-2020 (CBD/COP/14/4) including each of the trust funds.

26. The meeting of the Parties is expected to adopt a budget for the trust funds for the Protocol on the basis of the proposals of the Executive Secretary. Elements of a draft decision are included in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

27. It is expected that the Conference of the Parties to the Convention at its fourteenth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its ninth meeting and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its third meeting will establish a contact group on the budgets for the programmes of work of the Convention and its Protocols for the biennium 2019-2020 to consider the agenda item.

ITEM 7. ASSESSMENT AND REVIEW OF THE EFFECTIVENESS OF THE PROTOCOL (ARTICLE 31)

28. In accordance with Article 31 of the Nagoya Protocol, the Conference of the Parties serving as the meeting of the Parties to the Protocol shall undertake, four years after the entry into force of the Protocol, an evaluation of the effectiveness of the Protocol. As the Protocol entered into force on 12 October 2014, the first assessment and review of the Protocol is to be undertaken by the Parties at their third meeting.

29. Article 31 also requires the meeting of the Parties to determine intervals for subsequent assessment and review processes.

30. In decision [NP-2/4](#), paragraph 1, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to conduct the first assessment and review of the Protocol on the basis of the elements and sources of information contained in the annex to that decision, including information from the interim national reports and the Access and Benefit-sharing Clearing-House. It also requested the Compliance Committee to provide inputs to the first assessment and review in order to assist in addressing challenges to the implementation of the Protocol.

31. In the same decision, the Parties to the Protocol requested the Executive Secretary to prepare: (a) an analysis and synthesis of relevant information as the basis for the first assessment and review; and (b) a framework of indicators as a basis for measuring, in the second assessment and review and thereafter, progress in achieving the objective of the Protocol while taking into account the preparation of and elements included in the first assessment (paras. 3 and 4).

32. It also requested the Executive Secretary to make available to the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its third meeting information on experiences from the assessment and review process under the Cartagena Protocol on Biosafety (para. 3).

33. Against this background, the Subsidiary Body on Implementation at its second meeting considered the analysis and synthesis of information as well as the draft framework of indicators prepared by the Secretariat,

taking into account the inputs from the Compliance Committee and adopted a recommendation for consideration by the Parties to the Protocol at their third meeting. The documents issued for the Subsidiary Body on Implementation are available for the information of the meeting of the Parties (CBD/SBI/2/3, CBD/SBI/2/INF/3, INF/4, INF/7 and INF/8).

34. The Conference of the Parties serving as the meeting of the Parties to the Protocol is expected to consider this agenda item on the basis of:

(a) The draft decision in recommendation SBI-2/2 contained in the report of the Subsidiary Body on Implementation on its second meeting (CBD/SBI/2/22);

(b) A note by the Executive Secretary providing: (i) information on experiences from the assessment and review process under the Cartagena Protocol on Biosafety; (ii) a proposal for the meeting of the Parties to consider the intervals for conducting the assessment and review process taking into account the outcomes from the second meeting of Subsidiary Body on Implementation on alignment of national reporting under the Convention and its Protocols and other relevant recommendations (CBD/NP/MOP/3/3).

35. The draft decision from recommendation SBI-2/2, and additional elements from document CBD/NP/MOP/3/3 are reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2)

ITEM 8. FINANCIAL MECHANISM AND RESOURCES (ARTICLE 25)

Financial mechanism

36. At its thirteenth meeting, the Conference of the Parties, in decision [XIII/21](#), adopted the four-year framework of programme priorities (2018-2022) for the seventh replenishment of the Global Environment Facility Trust Fund, and consolidated guidance to the financial mechanism, as well as the terms of reference for the fifth review of the effectiveness of the financial mechanism. It also took note of the report on the full assessment of funds needed for the seventh replenishment and requested the Global Environment Facility to include in its reports to the Conference of the Parties information regarding the individual elements of the guidance and framework and how it had responded to the needs assessment. The replenishment process was concluded in April 2018.

37. The Subsidiary Body on Implementation at its second meeting considered the outcome of the replenishment and relevant documents issued by the Executive Secretary as well as a preliminary report from the Global Environment Facility.

38. The third meeting of the Parties is expected to take note of associated matters of relevance to the Protocol, contained in document CBD/NP/MOP/3/5.

39. In accordance with decision NP-1/6, paragraph 2, the report of the Council of the Global Environment Facility to the Conference of the Parties at its fourteenth meeting regarding the implementation of the guidance received will be made available, including the chapter on access and benefit-sharing (CBD/COP/14/7).

Resource mobilization

40. By decision [NP-1/7](#), Parties, in the context of their obligations under Article 29 of the Nagoya Protocol, and relevant organizations were invited to submit information to the Executive Secretary on their experiences related to the mobilization of resources in support of the implementation of the Protocol, as well as on the status of funds mobilized, and the Executive Secretary was requested to prepare a synthesis of this information and to provide an overview of the status and trends in funding for the consideration of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its next meeting.

41. Given that relevant information was submitted through the interim national reports on the implementation of the Nagoya Protocol, which were due in 2017, consideration of this matter was postponed from the second to the third meeting of the Parties to the Protocol.

42. The Conference of the Parties serving as the meeting of the Parties to the Protocol is expected to consider this matter on the basis of the above-mentioned note by the Executive Secretary (CBD/NP/MOP/3/5) and provide any conclusions or guidance as appropriate.

ITEM 9. MEASURES TO ASSIST IN CAPACITY-BUILDING AND CAPACITY DEVELOPMENT (ARTICLE 22)

43. In decision [NP-1/8](#), the Parties to the Nagoya Protocol adopted a strategic framework for capacity-building and development to support the effective implementation of the Protocol (annex I to the decision). The Parties to the Protocol also decided to establish an informal advisory committee to provide advice to the Executive Secretary, until the third meeting, on matters related to the assessment of the effectiveness of the strategic framework (para. 2).

44. In decision [NP-2/8](#), the Parties decided that the Informal Advisory Committee would hold at least one meeting in the 2017-2018 intersessional period to complete its mandate and report on the outcomes of its work to the meeting of the Parties at its third meeting (para. 4). Parties also requested the Executive Secretary, in consultation with the Informal Advisory Committee, to prepare elements for the evaluation of the strategic framework for consideration by the Parties at their third meeting (para. 10). The Informal Advisory Committee held one meeting during the intersessional period, from 20 to 22 March 2018.

45. In the same decision, the Parties requested the Executive Secretary, subject to the availability of resources, to further carry out and facilitate capacity-building activities to support the ratification and implementation of the Nagoya Protocol, as contained in the annex to the decision and as reflected in the Short-term Action Plan (2017-2020) to Enhance and Support Capacity-Building for the Implementation of the Convention and its Protocols as annexed to decision [XIII/23](#) of the Conference of the Parties.

46. The Subsidiary Body on Implementation at its second meeting considered a proposal for preparing a long-term strategic framework for capacity-building beyond 2020 ensuring its alignment with the follow-up to the Strategic Plan for Biodiversity 2011-2020 and the work of the Protocols, and the terms of reference for a study to provide the knowledge base.

47. Against this background, the third meeting of the Parties will be invited to consider this agenda item on the basis of:

(a) The draft decision regarding a long-term strategic framework for capacity-building beyond 2020 in section II of recommendation SBI-2/8 as contained in the report of the Subsidiary Body on Implementation on its second meeting (CBD/SBI/2/22).

(b) A note by the Executive Secretary summarizing progress in the implementation of the strategic framework for capacity-building and development to support the effective implementation of the Protocol as well as progress with activities carried out by the Executive Secretary pursuant to paragraph 9 of decision [NP-2/8](#) (CBD/NP/MOP/3/4). The note will also include draft elements for the evaluation of the strategic framework,

48. The third meeting of the Parties will be invited to take note of progress regarding the implementation of the strategic framework, to consider the outcomes of the assessment and review process of relevance to capacity-building contained in recommendations SBI-2/2, and to agree on the elements for the evaluation of the strategic framework to be carried out by the Parties at their fourth meeting, in 2020.

49. The draft decision from recommendation SBI-2/8, and additional elements from document CBD/NP/MOP/3/4 are reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

50. The report of the Informal Advisory Committee on Capacity-building (CBD/ABS/CB-IAC/2018/1/4) as well as an overview of capacity-building and development initiatives providing direct support to countries for the implementation of the Nagoya Protocol (CBD/NP/MOP/3/INF/1) and an overview of access and benefit-sharing capacity-building tools and resources (CBD/NP/MOP/3/INF/2) will be made available as an information document.

ITEM 10. THE ACCESS AND BENEFIT-SHARING CLEARING-HOUSE AND INFORMATION SHARING (ARTICLE 14)

51. At its first meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted decision [NP-1/2](#) on the Access and Benefit-sharing Clearing-House, which included modalities for the operation of the Clearing-House.

52. The Parties also decided to establish an informal advisory committee to assist the Executive Secretary with the implementation of the Access and Benefit-sharing Clearing-House and to provide technical guidance with respect to the resolution of technical and practical issues arising from its ongoing development (decision NP-1/2, para. 2). The Informal Advisory Committee held one meeting in Montreal, Canada from 14 to 16 November 2017 as well as informal online discussions. Further to decision [NP-2/2](#), paragraph 7, the outcomes of the work of the Committee will be made available as an information document (CBD/ABS/CH-IAC/2017/1/4). In decision [NP-2/2](#), paragraph 8, the Conference of the Parties serving as the meeting of the Parties requested the Executive Secretary:

(a) To further refine the modalities of operation of the Access and Benefit-sharing Clearing-House, taking into account progress made, the advice given by the Informal Advisory Committee, and feedback received, in particular that of Parties;

(b) To develop, as part of the exercise for refining the modalities, joint modalities of operation for the clearing-house mechanism of the Convention, the Biosafety Clearing-House and the Access and Benefit-sharing Clearing-House, taking into consideration the web strategy, for consideration by the Conference of the Parties at its fourteenth meeting, the Parties to the Cartagena Protocol on Biosafety at their ninth meeting and the Parties to the Nagoya Protocol at their third meeting with a view to enhancing coherence in the implementation and operation of the common elements of the central clearing-house mechanism, while preserving the specific functionalities of the mechanisms under the Protocols and the Convention.

53. Against this background, the meeting will have before it a note by the Executive Secretary (CBD/NP/MOP/3/8) containing progress made in the implementation of the ABS Clearing-House as well as a proposal on the way forward regarding modalities of operation, including joint modalities of operation between the clearing-house mechanism of the Convention, the Biosafety Clearing-House and the Access and Benefit-sharing Clearing-House. The note also describes the review of the implementation and operation of the ABS Clearing-House carried out as part of the assessment and review of the effectiveness of the Protocol and proposes priorities for the future implementation and administration of the ABS Clearing-House for the consideration of the meeting of the Parties.

54. The meeting of the Parties will be invited to consider the draft decision in CBD/NP/MOP/3/8 as well as relevant recommendations from the Compliance Committee, reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

ITEM 11. MONITORING AND REPORTING (ARTICLE 29)

55. Article 29 on monitoring and reporting requires each Party to the Nagoya Protocol to monitor the implementation of its obligations and to report to the Conference of the Parties serving as the meeting of the Parties to the Protocol on measures it has taken to implement the Protocol.

56. In decision [NP-1/3](#), the Conference of the Parties serving as the meeting of the Parties to the Protocol agreed on the format and guidelines for submission of an interim national report on the implementation of the Nagoya Protocol (para. 1), and requested Parties to the Protocol to submit the report 12 months prior to the third meeting of the Parties. Submissions of relevant information by non-Parties were welcome (para. 4). Accordingly, Parties and non-Parties were invited to submit their interim national report to the Secretariat, through the Access and Benefit-sharing Clearing-House, by 1 November 2017.

57. In the same decision, the Parties decided to consider the intervals for reporting at their third meeting and to keep the format of the national report under review, based on feedback received from Parties and the experience gained (para. 7).

58. Furthermore, in decision [XIII/27](#), the Conference of the Parties to the Convention requested the Executive Secretary, in consultation with the Bureau, to develop, subject to subsequent endorsement by the Conference of the Parties serving as the meetings of the Parties to the Cartagena and Nagoya Protocols, proposals for the alignment of national reporting under the Convention and its Protocols (para. 8).

59. At its second meeting, the Subsidiary Body on Implementation reviewed proposals for the alignment of national reporting under the Convention and its Protocols.

60. Against this background, the meeting will have before it a note by the Executive Secretary (CBD/NP/MOP/3/7) containing information on interim national reports submitted by Parties and non-Parties as well as information on the reporting format and intervals for reporting, taking into account recommendation SBI-2/11 of the second meeting of the Subsidiary Body on Implementation.

61. The draft decision from recommendation SBI-2/11, relevant recommendations from the Compliance Committee and additional elements from document CBD/NP/MOP/3/7 are reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

**ITEM 12. MEASURES TO RAISE AWARENESS OF THE IMPORTANCE OF
GENETIC RESOURCES AND ASSOCIATED TRADITIONAL
KNOWLEDGE (ARTICLE 21)**

62. The Parties to the Nagoya Protocol at their first meeting adopted an awareness-raising strategy to assist Parties in implementing Article 21 of the Nagoya Protocol (decision [NP-1/9](#)).

63. At their third meeting, the Parties to the Protocol will be invited to consider the report of the Executive Secretary on progress made in the implementation of the awareness-raising strategy (CBD/NP/MOP/3/6) and decide on any additional guidance to be provided.

64. The meeting of the Parties will be invited to consider the draft decision in CBD/NP/MOP/3/6, reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

**ITEM 13. ENHANCING INTEGRATION UNDER THE CONVENTION AND
ITS PROTOCOLS WITH RESPECT TO PROVISIONS RELATED TO
ACCESS AND BENEFIT-SHARING**

65. In decision [XII/13](#), the Conference of the Parties requested the Executive Secretary to prepare a note on possible ways and means to promote integrated approaches to issues at the interface between the access and benefit-sharing-related provisions of the Convention and the provisions of the Nagoya Protocol, taking into account the latest national reports under the Convention, available information in the Access and Benefit-sharing Clearing-House, and the interim national reports under the Nagoya Protocol, as well as other information submitted to the Executive Secretary, for consideration by the Conference of the Parties at its fourteenth meeting as well as the Conference of Parties serving as the meeting of the Parties to the Nagoya Protocol at its third meeting.

66. The Subsidiary Body on Implementation considered this matter at its second meeting and made a recommendation (SBI-2/14) to the Conference of the Parties at its fourteenth meeting which is contained in the report of the Subsidiary Body on Implementation on its second meeting (CBD/SBI/2/22).

67. The meeting of the Parties to the Nagoya Protocol may wish to take note of a decision by the Conference of the Parties on this matter.

**ITEM 14. COOPERATION WITH OTHER INTERNATIONAL
ORGANIZATIONS, CONVENTIONS AND INITIATIVES**

68. In carrying out activities related to the Nagoya Protocol, the Secretariat of the Convention cooperates with a number of other international organizations, conventions and initiatives. The Executive Secretary will issue a note summarizing relevant cooperative activities (CBD/NP/MOP/3/9).

69. In addition, in response to decision NP-2/5, paragraphs 1 and 2, the study carried out by the Secretariat of the World Health Organization (WHO) entitled “Implementation of the Nagoya Protocol and Pathogen Sharing: Public Health Implications” will be made available as CBD/NP/MOP/3/INF/3 and the document provided by the Executive Secretary to the WHO Secretariat on information provided by Parties in their national reports on national implementation of the Nagoya Protocol, including its Article 8(b), will be made available for the information of the meeting of the Parties as CBD/NP/MOP/3/INF/4.

70. The meeting of the Parties will be invited to take note of the information.

ITEM 15. REVIEW OF EFFECTIVENESS OF STRUCTURES AND PROCESSES

71. At their first meeting, in decision NP-1/12, the Parties to the Nagoya Protocol decided to hold future ordinary meetings within a two-week period concurrently with the meetings of the Parties to the Convention and the Cartagena Protocol. At their second meeting, the Parties to the Protocol decided to review their experience in holding concurrent meetings at their third and fourth meetings, on the basis of criteria agreed to in decision [NP-2/12](#).

72. The Subsidiary Body on Implementation at its second meeting considered a review of the effectiveness of structures and processes under the Convention and the Protocols, including reviewing information on experience with the concurrent organization of the meetings of the Conference of the Parties and the meetings of the Parties to the Protocols.

73. The Subsidiary Body on Implementation also considered a proposed procedure for avoiding and managing conflicts of interest in the work of expert groups and requested the Secretariat to invite Parties, other Governments and relevant international organizations to submit their views on the proposed procedure annexed to its recommendation SBI-2/15 and to revise, as necessary, and submit the proposed procedure for consideration by the Conference of the Parties at its fourteenth meeting, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol at its ninth meeting, and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its third meeting.

74. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol is expected to consider the review of effectiveness of structures and processes, including experience with holding meetings concurrently, on the basis of the draft decision in recommendation SBI-2/15 and reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2)

75. The meeting of the Parties is also expected to consider a procedure for avoiding or managing conflicts of interest in the work of expert groups on the basis of the draft decision in recommendation SBI-2/15, reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2), including the annex containing the proposed procedure as revised on the basis of views submitted. A summary of the views submitted on the proposed procedure following the second meeting of the Subsidiary Body on Implementation is provided in document CBD/COP/14/INF/3.

ITEM 16. PREPARATION FOR THE FOLLOW-UP TO THE STRATEGIC PLAN FOR BIODIVERSITY 2011-2020

76. In 2010, the Conference of the Parties adopted the Strategic Plan for Biodiversity 2011-2020, with its Aichi Biodiversity Targets (decision X/2), and the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety adopted the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011-2020 and its multi-year programme of work (decision BS-V/16).

77. In decision [XIII/1](#), paragraph 34, the Conference of the Parties recognized the need for a comprehensive and participatory process to develop proposals for the follow-up to the Strategic Plan for Biodiversity 2011-2020, emphasizing the need to focus current efforts related to the implementation of the Strategic Plan and enhance efforts to achieve the Aichi Biodiversity Targets. In that regard, it requested the Executive Secretary to prepare a proposal for a comprehensive and participatory preparatory process and timetable for the follow-up to the Strategic Plan, taking into consideration that this work must cover the Convention on Biological Diversity and also consider its Protocols, as appropriate.

78. The Subsidiary Body on Implementation considered this matter at its second meeting.

79. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol is expected to consider this agenda item on the basis of a draft decision in recommendation SBI-2/19 as well as relevant recommendations from the Compliance Committee, reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2-).

ITEM 17. DIGITAL SEQUENCE INFORMATION ON GENETIC RESOURCES

80. The issue of digital sequence information on genetic resources emerged as a cross-cutting issue under the Convention and the Nagoya Protocol at the thirteenth meeting of the Conference of the Parties (decision [XIII/16](#)) and the second meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol (decision [NP-2/14](#)). The Parties decided to consider, at their next respective meetings, any potential implications of the use of digital sequence information on genetic resources for the three objectives of the Convention, and for the objective of the Nagoya Protocol, respectively. The decisions also set out a process to address this issue, including the establishment of an ad hoc technical expert group which would consider a compilation and synthesis of views and information submitted to the Executive Secretary and a fact-finding and scoping study commissioned to clarify relevant terminology and concepts. The results of the Ad Hoc Technical Expert Group were considered by the Subsidiary Body on Scientific, Technical and Technological Advice at its twenty-second meeting, to be held in July 2018.

81. The fact-finding and scoping study (CBD/DSI/AHTEG/2018/1/3) and the synthesis of views and information submitted to the Secretariat (CBD/DSI/AHTEG/2018/1/2) prepared for the Ad Hoc Technical Expert Group are available for the information of the meeting of the Parties.

82. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol is expected to consider this matter in conjunction with the corresponding item on the agenda of the Conference of the Parties and on the basis of recommendation SBSTTA-22/1, reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

ITEM 18. SPECIALIZED INTERNATIONAL ACCESS AND BENEFIT-SHARING INSTRUMENTS IN THE CONTEXT OF ARTICLE 4, PARAGRAPH 4, OF THE NAGOYA PROTOCOL

83. In decision [NP-2/5](#), paragraph 3, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol requested the Executive Secretary, in the context of Article 4, paragraph 4, of the Protocol, and subject to the availability of resources, to conduct a study into criteria that could be used to identify what constitutes a specialized international access and benefit-sharing instrument, and what could be a possible process for recognizing such an instrument.

84. The commissioned study has been made available for the meeting of the Parties (document CBD/SBI/2/INF/17).

85. The Subsidiary Body on Implementation considered this matter at its second meeting.

86. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol is expected to consider this agenda item on the basis of the draft decision in recommendation SBI-2/5, reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

ITEM 19. GLOBAL MULTILATERAL BENEFIT-SHARING MECHANISM (ARTICLE 10)

87. In decision [NP-2/10](#), the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol recalled that Parties agreed to consider the need for and modalities of a global multilateral benefit-sharing mechanism to address the fair and equitable sharing of benefits derived from the utilization of genetic resources and traditional knowledge associated with genetic resources that occur in transboundary situations or for which it is not possible to grant or obtain prior informed consent.

88. In paragraph 7 of the same decision, the Subsidiary Body on Implementation was requested to explore the need for a global multilateral benefit-sharing mechanism and to make recommendations for consideration by

the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its third meeting, on the basis of information made available in accordance with paragraphs 3 to 6 of the decision.

89. The Subsidiary Body on Implementation considered this matter at its second meeting.

90. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol is expected to consider this agenda item on the basis of the draft decision in recommendation SBI-2/4, reproduced in the compilation of draft decisions (CBD/NP/MOP/3/1/Add.2).

ITEM 20. OTHER MATTERS

91. The Parties to the Nagoya Protocol may wish to consider other matters raised and accepted for discussion in accordance with the rules of procedure.

ITEM 21. ADOPTION OF THE REPORT

92. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol will be invited to consider and adopt the report on the work of its third meeting on the basis of the draft report prepared by the Rapporteur. In accordance with established practice, the Parties will be invited to authorize the Rapporteur to complete the final report after the meeting with the guidance of the President and the assistance of the Secretariat.

ITEM 22. CLOSURE OF THE MEETING

93. It is expected that the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol will be closed on Thursday, 29 November 2018, at approximately 6 p.m.

Annex I

PROVISIONAL LIST OF DOCUMENTS*

A. Working documents

<i>Symbol</i>	<i>Title</i>	<i>Agenda item(s)</i>
CBD/NP/MOP/3/1	Provisional agenda	2
CBD/NP/MOP/3/1/Add.1/Rev.1	Revised annotated provisional agenda	2
CBD/NP/MOP/3/1/Add.2	Compilation of draft decisions	
CBD/COP/14/1/Add.1/Rev.1	Revised annotated provisional agenda	
CBD/COP/14/1/Add.2	Proposed organization of work	2
CBD/NP/MOP/3/2	Report of the Compliance Committee	5
CBD/SBSTTA/22/12	Report of the Subsidiary Body on Scientific, Technical and Technological Advice on the work of its twenty-second meeting	17
CBD/SBI/2/22	Report of the Subsidiary Body on Implementation on the work of its second meeting	4
CBD/NP/MOP/3/3	Assessment and review of the effectiveness of the Protocol	7
CBD/NP/MOP/3/4	Report on the implementation of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol	9
CBD/NP/MOP/3/5	Financial mechanism and resources (Article 25)	8
CBD/COP/14/7	Report of the Global Environment Facility to the fourteenth meeting of the Conference of the Parties to the Convention on Biological Diversity	8
CBD/NP/MOP/3/6	Report on progress on the implementation of the awareness-strategy for the Nagoya Protocol	12
CBD/NP/MOP/3/7	Monitoring and reporting	11
CBD/NP/MOP/3/8	Report on progress on the implementation and operation of the Access and Benefit-sharing Clearing-House	10
CBD/NP/MOP/3/9	Cooperation with other international organizations, conventions and initiatives	14
CBD/COP/14/3	Report of the Executive Secretary on the administration of the Convention on Biological Diversity, the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access and Benefit-sharing for the biennium 2017-2018, including the budget for the trust funds	6
CBD/COP/14/4	Proposed budget for the programme of work of the Convention on Biological Diversity, the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access and Benefit-Sharing for the biennium 2019-2020	6

* The following provisional list of documents was revised on 9 May 2018.

B. Information documents (preliminary list)

<i>Symbol</i>	<i>Title</i>	<i>Agenda item(s)</i>
CBD/SBI/2/3	Assessment and review of the effectiveness of the Nagoya Protocol	7
CBD/SBI/2/INF/3	Analysis of information contained in the interim national reports and information published in the access and benefit-sharing clearing house	7
CBD/SBI/2/INF/4	Statistical overview of the answers provided in the interim national report for the Nagoya Protocol	7
CBD/SBI/2/INF/7	Review of implementation and operation of the Access and Benefit-sharing Clearing-House	7
CBD/SBI/2/INF/8	Stock-taking of model contractual clauses, codes of conduct, guidelines, best practices and standards as well as indigenous peoples and local communities' customary laws, community protocols and procedures	7
CBD/ABS/CB-IAC/2018/1/4	Report of the Informal Advisory Committee on Capacity-building for the Implementation of the Nagoya Protocol	9
CBD/NP/MOP/3/INF/1	Overview of capacity-building and development initiatives providing direct support to countries for the implementation of the Nagoya Protocol	9
CBD/NP/MOP/3/INF/2	Overview of access and benefit-sharing capacity-building tools and resources	9
CBD/ABS/CH-IAC/2017/1/4	Report of the Informal Advisory Committee to the Access and Benefit-sharing Clearing-House	10
CBD/NP/MOP/3/INF/3	Implementation of the Nagoya Protocol and Pathogen Sharing: Public Health Implications – Study by the Secretariat of the World Health Organization	14
CBD/NP/MOP/3/INF/4	Information provided by the Parties to the Nagoya Protocol on the national implementation of Article 8(b) and other relevant Provisions by the Protocol	14
CBD/NP/MOP/3/INF/5	Implementation of the Nagoya Protocol in the context of Human and Animal Health, and Food Safety: Access to Pathogens and Fair and Equitable Sharing of Benefit – Questions and Answers	14
CBD/COP/14/INF/3	Procedure for avoiding or managing conflicts of interest in expert groups: views submitted by Parties and observers	15
CBD/DSI/AHTEG/2018/1/3	Fact-finding and scoping study on digital sequence information on genetic resources in the context of the Convention on Biological Diversity and the Nagoya Protocol	17
CBD/DSI/AHTEG/2018/1/2	Synthesis of views and information on the potential implications of the use of digital sequence information on genetic resources for the three objectives of the Convention and the objective of the Nagoya Protocol	17
CBD/SBI/2/INF/17	Study into criteria to identify a specialized international access and benefit-sharing instrument, and a possible process for its recognition	18