



Convention on Biological Diversity

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ORIGINAL: ENGLISH

CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

First meeting

Nassau, 28 November-9 December 1994

DECISION ADOPTED BY THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY AT ITS FIRST MEETING

I/6. Financing and budget of the Convention

Part I

The Conference of the Parties

1. *Adopts* the Financial Rules for the Administration of the Trust Fund for the Convention on Biological Diversity, which are attached as annex I to this decision, to apply in conjunction with the general procedures governing the operations of the Fund of the United Nations Environment Programme and the Financial Regulations and Rules of the United Nations;
2. *Designates* the United Nations Environment Programme as the Trustee of the Trust Fund for the Convention on Biological Diversity;
3. *Decides* that the Trust Fund shall be established for an initial period of two years, beginning on 1 January 1995 and ending on 31 December 1996;
4. *Adopts* the budget for 1995, which is attached as annex II to this decision;
5. *Urges* all the Parties to pay promptly their contributions to the Trust Fund, based on the scale set forth in the appendix to the budget;
6. *Requests* the Parties and States not Parties to the Convention, as well as governmental, intergovernmental and non-governmental organizations and other sources, to contribute to the Trust Fund;
7. *Requests* the Executive Director of the United Nations Environment Programme to ensure, by advances, that the 1995 budget of the Convention is financed until such time as sufficient contributions have been paid in 1995 to allow for the functioning of the Secretariat;
8. *Takes note* of the indicative budget for 1996, also attached as annex II to this decision, and directs the Secretariat to prepare an indicative budget that would provide for implementing the remainder of the medium-term programme of work;
9. *Directs* the Secretariat to consider carefully all offers of support from other organizations and to cooperate with them with a view to making the most effective use of the competencies and resources available.

Part II

The Conference of the Parties

1. *Adopts* for 1995 the scale for contributions contained in the Appendix to the budget (annex II), based on the United Nations scale of assessments for the apportionment of the expenses of the United Nations adjusted to provide that no one contribution shall exceed 25 per cent of the total and that no

contribution from a least developed country Party shall exceed 0.01 per cent of the total. The contribution referred to in paragraph 3 (a) of the Financial Rules shall be due 1 January 1995;

2. *Being aware* that a comprehensive review of all aspects of scale methodology is to be presented to the General Assembly of the United Nations at its fiftieth session, requests the Secretariat to make it available, as well as information related to scale methodology in other international organizations and information provided by Governments to assist the second meeting of the Conference of the Parties in its consideration of this matter;

3. *Decides* to transfer to the next meeting of the Conference of the Parties, for further consideration, paragraph 4 of the Financial Rules contained in annex I of the present decision;

4. *Also decides* to transmit paragraph 16 of the Financial Rules to the second meeting of the Conference of the Parties for further consideration;

5. *Decides* that at its second meeting it will agree upon and adopt a Financial Rule governing the determination of the scale, taking account of paragraphs 2 and 3 above, and a Financial Rule governing decision-making under the Financial Rules, taking into account paragraph 4 above.

Annex I

FINANCIAL RULES FOR THE ADMINISTRATION OF THE TRUST FUND FOR THE CONVENTION ON BIOLOGICAL DIVERSITY

1. The Conference of the Parties to the Convention shall designate an organization (hereinafter referred to as the Trustee) which shall establish and manage the Trust Fund for the Convention on Biological Diversity (hereinafter referred to as the Trust Fund) in accordance with these rules.

2. The Trust Fund shall be used for funding the administration of the Convention including the functions of the Secretariat.

3. The Trust Fund shall be financed from:

(a) Contributions made by Parties to the Convention based on the scale set forth in the Appendix to the budget;

(b) Additional contributions made by such Parties;

(c) Contributions from States not Parties to the Convention, as well as governmental, intergovernmental and non-governmental organizations, and other sources.

4. It is for the Conference of the Parties to determine the scale referred to in paragraph 3 (a) above. The scale is to be based on the United Nations scale of assessments for the apportionment of the expenses of the United Nations [adjusted to provide that no one contribution shall exceed 25 per cent of the total, [and] no contributions shall be required when the United Nations scale provides for a contribution of less than 0.1 per cent], [and no developing country Party shall be required to pay more than any developed country Party]. The contributions referred to in paragraph 3 (a) shall be due on 1 January of each calendar year.

5. All contributions shall be paid in United States dollars or its equivalent in a convertible currency and into a bank account to be specified by the Trustee. In conversion of currencies into United States dollars, the United Nations operational rate of exchange shall be used.

6. Accounting records shall be kept in such currency or currencies as the Trustee deems necessary.

7. (a) Budget proposals expressed in United States dollars covering the expenditure and income from contributions referred to in paragraph 3 (a) above shall be prepared by the head of the Secretariat (hereinafter referred to as the Executive Secretary) for periods of two calendar years at the minimum. At least 90 days before the date fixed for the opening of each ordinary meeting of the Conference of the Parties, these budget proposals shall be dispatched by the Executive Secretary to all Parties to the Convention.

(b) The budget shall, in accordance with Rule 16, be approved by the Conference of the Parties and, if necessary, be revised at an ordinary or extraordinary meeting of the Parties.

8. Contributions referred to in paragraphs 3 (b) and (c) shall be used in accordance with any terms and conditions agreed between the Executive Secretary and the respective contributor. At each ordinary meeting of the Conference of the Parties, the Executive Secretary shall present a report on contributions received and expected as well as their sources, amounts, purposes and conditions.

9. The Executive Secretary may commit resources against the Trust Fund only if such commitments are covered by contributions already received. In the event that the Trustee anticipates that there might be a shortfall in resources over the financial period as a whole, it shall notify the Executive Secretary, who shall adjust the budget so that expenditures are at all times fully covered by contributions received.

10. The Trustee, on the advice of the Executive Secretary, may make transfers from one budget line to another within the budget in accordance with the Financial Regulations and Rules of the United Nations.

11. Contributions referred to in paragraph 3 (a) above from States and regional economic integration organizations that become Parties to the Convention after the beginning of a financial period shall be made pro rata temporis for the balance of that financial period. Consequent adjustments shall be made at the end of each financial period for other Parties.

12. Contributions not immediately required for the purposes of the Trust Fund shall be invested and any interest so earned shall be credited to the Trust Fund.

13. It is for the Conference of the Parties and the Trustee to agree on an administrative support charge to be paid to the Trustee.

14. At the end of each calendar year, the Trustee shall transfer any balance to the following calendar year and submit to the Conference of the Parties, through the Executive Secretary, the certified and audited accounts for that year as soon as practicable. The Trust Fund shall be subjected to the internal and external auditing procedure of the United Nations as laid down in its Financial Regulations and Rules of the United Nations.

15. In the event that the Conference of the Parties decides to terminate the Trust Fund, a notification to that effect shall be presented to the Trustee at least six months before the date of termination selected by the Conference of the Parties. The Conference of the Parties shall decide, in consultation with the Trustee, on the distribution of any unspent balance after all liquidation expenses have been met.

[16A. The Parties shall reach agreement by consensus on:

- (a) The scale and any subsequent revision to it;
- (b) The budget.]

[16B. The Parties shall make every effort to reach agreement on the budget by consensus. If all efforts to reach consensus on the budget have been exhausted and no agreement has been reached, the budget shall, as a last resort, be adopted by a [two-thirds] [four-fifths] majority vote of the Parties present and voting representing a [two-thirds] [four-fifths] majority vote of the developing country Parties present and voting and a [two-thirds] [four-fifths] majority vote of the other Parties present and voting.]

17. Any amendments to these rules shall be adopted by the Conference of the Parties by consensus.

Annex II

**PROPOSED BUDGET FOR 1995 AND INDICATIVE BUDGET FOR 1996
(THOUSANDS OF US DOLLARS)**

DESCRIPTION OF FUNCTIONS	INPUTS	COSTS (US dollars)	
		1995	1996
1. EXECUTIVE DIRECTION AND MANAGEMENT			
	Executive Secretary D-2	182	192
	Fund Management/Administration Officer (P-4 (*1))	0	0
	Special Assistant to the Executive Secretary P-2	91	96
	Administrative Assistant G-6/G-7	96	100
	Senior Secretary G-5/G-6	88	92
subtotal 1		457	480
2. INTERGOVERNMENTAL PROCESSES AND COOPERATIVE ARRANGEMENTS			
	Principal Officer D-1	172	181
	Secretary G-4/G-5	80	84
	Consultants	30	21
	Informal expert consultations	30	32
	Servicing of COP meeting (6 languages, 10 working days, 2 working groups)	750	800
	Travel of COP Bureau (10 people, four-day meeting, once a year)	40	42
	Travel of staff to COP (1995- Geneva)	0	100
subtotal 2		1102	1260
2.1 FINANCIAL MECHANISM AND ECONOMIC ANALYSIS			
	Programme Officer-Lawyer P-4	137	144
	Consultants	50	0
subtotal 2.1		187	144

2.2 LEGAL ADVICE AND SUPPORT			
	Programme Officer-Lawyer P-4	137	144
	Secretary G-4/G-5	80	84
	Consultants	60	63
subtotal 2.2		277	291
3. SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL MATTERS			
	Principal Officer D-1	172	181
	Programme Officer P-4	137	144
	Programme Officer P-4 (*2)	0	0
	Programme Officer P-4 (*3)	0	0
	Secretary G-4/G-5	80	84
	Secretary G-4/G-5	80	84
	Travel of staff to SBSTTA (1995-Paris)	30	78
	Servicing of SBSTTA meeting (6 languages, 5 working days, 1 working group) (*4)	350	368
	Travel of SBSTTA Bureau	30	32
	Travel of SBSTTA Panels	0	68
	Consultants	65	70
subtotal 3		944	1109
4. INFORMATION MANAGEMENT AND COMMUNICATION			
4.1 INFORMATION ACCESS, STORAGE AND RETRIEVAL			
	Programme Officer-Information P-2	91	96
	Data base operator/Library assistant G-4/G-5	80	84
	Clerk G-2/G-3	60	63
	Library acquisitions	15	10
subtotal 4.1		246	253
4.2 COMMUNICATION			
	Programme Officer-Communication P-2 (*1)	0	0
	Communication plan and promotion materials	100	105
subtotal 4.2		100	105

4.3 CLEARING-HOUSE MECHANISM			
	Programme Officer-Clearing-House P-4	0	144
	Secretary G-3/G-4	0	74
	Consultants	100	121
subtotal 4.3		100	239
5. COMMON COSTS			
5.1 TRAVEL OF STAFF			
	Travel general	180	190
subtotal 5.1		180	190
5.2 EQUIPMENT			
	Equipment, general	130	150
subtotal 5.2		130	150
5.3 PREMISES			
	Rent (*5)	0	0
	Security services	0	0
	Building maintenance	0	0
	Utilities (gas, electricity, etc)	30	32
	Insurance	5	5
subtotal 5.3		35	37
5.4 MISCELLANEOUS			
	Temporary assistance and overtime	80	84
	Communications (phone, fax, e-mail, etc)	170	180
	Recruitment costs/travel on interviews	40	80
	Relocation of staff and removal expenses	80	80
	Other	5	5
	Hospitality	20	20
subtotal 5.4		395	449

subtotal 1 to 5	4153	4707
6. CONTINGENCIES (2% subtotal 1 to 5)	83	84
subtotal 1 to 6	4236	4801
7. ADMINISTRATIVE SUPPORT CHARGE (13%)	551	624
SECRETARIAT ADMINISTRATIVE BUDGET TOTAL (1 to 7)	4787	5425

(*1) Expected to be provided by UNEP at an estimated cost of US\$ 228,000 in 1995 and US\$ 240,000 in 1996.

(*2) Expected to be provided by FAO at an estimated cost of US\$ 137,000 in 1995 and US\$ 144,000 in 1996.

(*3) Expected to be provided by UNESCO at an estimated cost of US\$ 137,000 in 1995 and US\$ 144,000 in 1996.

(*4) The number of languages and working groups will be reviewed at COP2.

(*5) Paragraph (a) of the Agreement between the Government of Switzerland and the United Nations Environment Programme concerning the Interim Secretariat on Biological Diversity, states that the Government of Switzerland "will provide accommodation for the Interim Secretariat at least twelve months after the first meeting of the contracting parties" (UNEP/CBD/IC/2/20). It is also expected that the host Government will make a similar offer for 1996.

**APPENDIX TO ANNEX II
SCALE FOR 1995 CONTRIBUTIONS TO THE TRUST FUND FOR THE CONVENTION ON
BIOLOGICAL DIVERSITY**

{Private}	United Nations scale of assessments 1995*	Scale for the Trust Fund with 25percent ceiling and no least developed country Party paying more than 0.01percent	Contributions as per 6 December1994
Parties	(per cent)	(per cent)	US\$
Albania	0.01	0.02	752
Antigua & Barbuda	0.01	0.02	752
Argentina	0.48	0.75	36 118
Armenia	0.08	0.13	6 020
Australia	1.46	2.29	109 860
Austria	0.85	1.34	63 959
Bahamas	0.02	0.03	1 505
Bangladesh	0.01	0.01	479
Barbados	0.01	0.02	752
Belarus	0.37	0.58	27 841
Belize	0.01	0.02	752
Benin	0.01	0.01	479

Bolivia	0.01	0.02	752
Brazil	1.62	2.55	121 899
Burkina Faso	0.01	0.01	479
Cameroon	0.01	0.02	752
Canada	3.07	4.83	231 006
Chad	0.01	0.01	479
Chile	0.08	0.13	6 020
China	0.72	1.13	54 177
Colombia	0.11	0.17	8 277
Comoros	0.01	0.01	479
Cook Islands	0.01	0.02	752
Costa Rica	0.01	0.02	752
Côte d'Ivoire	0.01	0.02	752
Cuba	0.07	0.11	5 267
Czech Republic	0.32	0.50	24 079
Democratic People's Republic of Korea	0.04	0.06	3 010
Denmark	0.70	1.10	52 672
Djibouti	0.01	0.01	479
Dominica	0.01	0.02	752
Ecuador	0.02	0.03	1 505
Egypt	0.07	0.11	5 267
El Salvador	0.01	0.02	752
Equatorial Guinea	0.01	0.01	479
Estonia	0.05	0.08	3 762
Ethiopia	0.01	0.01	479
European Community		2.50	119 675
Fiji	0.01	0.02	752
Finland	0.61	0.96	45 900
France	6.32	9.93	475 557
Gambia	0.01	0.01	479
Georgia	0.16	0.25	12 039
Germany	8.94	14.05	672 703
Ghana	0.01	0.02	752
Greece	0.37	0.58	27 841
Grenada	0.01	0.02	752
Guinea	0.01	0.01	479
Guyana	0.01	0.02	752
Hungary	0.15	0.24	11 287
Iceland	0.03	0.05	2 257
India	0.31	0.49	23 326

Indonesia	0.14	0.22	10 534
Italy	4.79	7.53	360 430
Japan	13.95	21.93	1 049 687
Jordan	0.01	0.02	752
Kazakhstan	0.26	0.41	19 564
Kenya	0.01	0.02	752
Kiribati	0.01	0.01	479
Luxembourg	0.07	0.11	5 267
Malawi	0.01	0.01	479
Malaysia	0.14	0.22	10 534
Maldives	0.01	0.01	479
Marshall Islands	0.01	0.02	752
Mauritius	0.01	0.02	752
Mexico	0.78	1.23	58 692
Micronesia (Federated States of)	0.01	0.02	752
Monaco	0.01	0.02	752
Mongolia	0.01	0.02	752
Myanmar	0.01	0.01	479
Nauru	0.01	0.02	752
Nepal	0.01	0.01	479
Netherlands	1.58	2.48	118 889
New Zealand	0.24	0.38	18 059
Nigeria	0.16	0.25	12 039
Norway	0.55	0.86	41 386
Pakistan	0.06	0.09	4 515
Papua New Guinea	0.01	0.02	752
Paraguay	0.01	0.02	752
Peru	0.06	0.09	4 515
Philippines	0.06	0.09	4 515
Portugal	0.24	0.38	18 059
Republic of Korea	0.80	1.26	60 197
Romania	0.15	0.24	11 287
Saint Kitts and Nevis	0.01	0.02	752
Saint Lucia	0.01	0.02	752
Samoa	0.01	0.01	479
San Marino	0.01	0.02	752
Senegal	0.01	0.02	752
Seychelles	0.01	0.02	752
Slovakia	0.10	0.16	7 525
Spain	2.24	3.52	168 552
Sri Lanka	0.01	0.02	752

Swaziland	0.01	0.02	752
Sweden	1.22	1.92	91 801
Switzerland	1.21	1.90	91 048
Tunisia	0.03	0.05	2 257
Uganda	0.01	0.01	479
United Kingdom of Great Britain and Northern Ireland	5.27	8.28	396 548
Uruguay	0.04	0.06	3 010
Vanuatu	0.01	0.01	479
Venezuela	0.40	0.63	30 099
Viet Nam	0.01	0.02	752
Zaire	0.01	0.01	479
Zambia	0.01	0.01	479
Zimbabwe	0.01	0.02	752
	62.10	100.00	4 787 000

* United Nations Report of the Committee on Contributions, Supplement No. 11 (A/49/11).
