

Annex

**Format for the Second National Reports
on the Implementation of the Cartagena Protocol on Biosafety**

GUIDELINES FOR USE OF THE REPORTING FORMAT

The following format for preparation of the second national report on implementation of the Cartagena Protocol on Biosafety called for under Article 33 of the Protocol is a series of questions based on those requirements of the Protocol as well as questions that relate to indicators of the Strategic Plan.

Responses to these questions will help Parties to review the extent to which they are successfully implementing the provisions of the Protocol and will assist the Conference of the Parties serving as the meeting of the Parties to the Protocol to assess the overall status of implementation of the Protocol.

Questions highlighted in grey may not strictly be based on provisions of the Cartagena Protocol on Biosafety or the decisions of the Parties to the Protocol. They are included in this reporting format only to help draw a baseline for the assessment and review of the Protocol in the context of Article 35 and to help measure progress in the implementation of the Strategic Plan of the Protocol.

The deadline for submission of the second national report is no less than 12 months prior to the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol. It is intended to cover activities undertaken between the presentation of the first national report (or the entry into force of the Protocol for reporting Parties that ratified or acceded to the Protocol after 11 September 2007) and the date of reporting for the second national report.

For subsequent national reports, the format is expected to evolve, as questions that are no longer relevant may be deleted, questions that are relevant to ongoing progress in implementation will be retained, and additional questions will be formulated pursuant to future decisions of the Conference of the Parties serving as the meeting of the Parties to the Protocol.

The wording of questions follows the wording of the relevant articles of the Protocol as closely as possible. The use of terms in the questions follows the meanings accorded to them under Article 3 of the Protocol.

The format tries to minimize the reporting burden on Parties, while eliciting the important information regarding implementation of the provisions of the Protocol. Most of the questions asked require only a tick in one or more boxes and for each article, a text field allows the provision of further details on its implementation. Although there is no set limit on the length of text, in order to assist with the review and synthesis of the information in the reports, respondents are asked to ensure that answers are as relevant and as succinct as possible.

The Executive Secretary welcomes any comments on the adequacy of the questions, and difficulties in completing the questions, and any further recommendations on how these reporting guidelines could be improved. Space is provided for such comments at the end of the report.

It is recommended that Parties involve all relevant stakeholders in the preparation of the report, in order to ensure a participatory and transparent approach to its development and the accuracy of the information requested.

The form is also available on the BCH for completion electronically at the following address: <http://bch.cbd.int/managementcentre/edit/CPBnationalreport2.shtml>

IMPORTANT: To facilitate the analysis of the information contained in this report, it is recommended that Parties submit the report through the Biosafety Clearing-House or as an attachment to an e-mail in MS Word format, together with a scanned copy of the first signed page, to the Secretariat at: secretariat@cbd.int

**Second National Report
on the Implementation of the Cartagena Protocol on Biosafety**

Origin of report

1. **Country:** [**Type your text here**]

Contact officer for report

2. Name of contact officer: [**Type your text here**]

3. Title of contact officer: [**Type your text here**]

4. Organization [**Type your text here**]

5. Mailing address: [**Type your text here**]

6. Telephone: [**Type your text here**]

7. Fax: [**Type your text here**]

8. E-mail: [**Type your text here**]

9. Organizations/stakeholders who were consulted or participated in the preparation of this report: [**Type your text here**]

Submission

10. Date of submission: [**Type your text here**]

11. Time period covered by this report: [**Type your text here**]

Signature of the reporting officer¹ _____

¹ This document is made available as a protected form in MS Word format for further processing of the information contained therein by the CBD Secretariat. Only text entries and checkboxes are changeable. Once the document is filled in, please save it and print this first page for signature. The form is also available on the BCH for completion electronically at: <http://bch.cbd.int/managementcentre/edit/CPBnationalreport2.shtml>

IMPORTANT: To facilitate the analysis of the information contained in this reports, please send the report to the Secretariat via e-mail at secretariat@cbd.int as attachment in MS Word format, together with a scanned copy of the first signed page; please *do not* send this report via fax or postal mail or in electronic formats other than MS Word.

12. Is your country a Party to the Cartagena Protocol on Biosafety (CPB)?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
13. If you answered <i>No</i> to question 12, is there any national process in place towards becoming a Party?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
14. Here you may provide further details:		
[Type your text here]		

Article 2 – General provisions

15. Has your country introduced the necessary legal, administrative and other measures for the implementation of the Protocol?	<input type="checkbox"/>	A domestic regulatory framework is fully in place
	<input type="checkbox"/>	A domestic regulatory framework is partially in place
	<input type="checkbox"/>	Only temporary measures have been introduced
	<input type="checkbox"/>	Only a draft framework exists
	<input type="checkbox"/>	No measures have yet been taken
16. Which specific instruments are in place for the implementation of your national biosafety framework?	<input type="checkbox"/>	One or more national biosafety laws
	<input type="checkbox"/>	One or more national biosafety regulations
	<input type="checkbox"/>	One or more sets of biosafety guidelines
	<input type="checkbox"/>	Other laws, regulations or guidelines that indirectly apply to biosafety
	<input type="checkbox"/>	No instruments are in place

17. Has your country established a mechanism for the budgetary allocations of funds for the operation of its national biosafety framework?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
18. Does your country have permanent staff to administer functions directly related to the national biosafety framework?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
19. If you answered <i>Yes</i> to question 18, how many permanent staff members are in place whose functions are directly related to the national biosafety framework?	<input type="checkbox"/>	One
	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	Less than 10
	<input type="checkbox"/>	More than 10
	<input type="checkbox"/>	Not applicable
20. Has your country's biosafety framework / laws / regulations / guidelines been submitted to the Biosafety Clearing-House (BCH)?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	Partially
	<input type="checkbox"/>	No
21. Here you may provide further details on the implementation of Article 2 in your country: [Type your text here	
]	
Article 5 – Pharmaceuticals		
22. Does your country regulate the transboundary movement, handling and use of living modified organisms (LMOs) which are pharmaceuticals?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	Yes, to some extent
	<input type="checkbox"/>	No
23. If you answered <i>Yes</i> to question 22, has this information been submitted to the BCH?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	Partially
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
24. Here you may provide further details on the implementation of Article 5 in your country: [Type your text here	
]	

Article 6 – Transit and Contained use

- | | | |
|---|--------------------------|----------------|
| 25. Does your country regulate the transit of LMOs? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
| 26. Does your country regulate the contained use of LMOs? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
| 27. If you answered <i>Yes</i> to questions 25 or 26, has this information been submitted to the BCH? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | Partially |
| | <input type="checkbox"/> | No |
| 27. If you answered <i>Yes</i> to questions 25 or 26, has this information been submitted to the BCH? | <input type="checkbox"/> | Not applicable |
| | | |
| | | |
| 28. Here you may provide further details on the implementation of Article 6 in your country: [| Type your text here | |
| |] | |

Articles 7 to 10: Advance Informed Agreement (AIA) and intentional introduction of LMOs into the environment

- | | | |
|---|--------------------------|----------------|
| 29. Has your country adopted law(s) / regulations / administrative measures for the operation of the AIA procedure of the Protocol? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
| 30. Has your country adopted a domestic regulatory framework consistent with the Protocol regarding the transboundary movement of LMOs for intentional introduction into the environment? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
| 31. Has your country established a mechanism for taking decisions regarding first intentional transboundary movements of LMOs for intentional introduction into the environment? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
| 32. If you answered <i>Yes</i> to question 31, does the mechanism also apply to cases of intentional introduction of LMOs into the environment that were not subject to transboundary movement? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
| | <input type="checkbox"/> | Not applicable |
| 33. Has your country established a mechanism for monitoring potential effects of LMOs that are released into the environment? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |

34. Does your country have the capacity to detect and identify LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	Yes, to some extent
	<input type="checkbox"/>	No
35. Has your country established legal requirements for exporters under its jurisdiction to notify in writing the competent national authority of the Party of import prior to the intentional transboundary movement of an LMO that falls within the scope of the AIA procedure?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
36. Has your country established legal requirements for the accuracy of information contained in the notification?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
37. Has your country ever received an application / notification regarding intentional transboundary movements of LMOs for intentional introduction into the environment?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
38. Has your country ever taken a decision on an application / notification regarding intentional transboundary movements of LMOs for intentional introduction into the environment?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
39. If you answered <i>Yes</i> to question 38, how many LMOs has your country approved to date for import for intentional introduction into the environment?	<input type="checkbox"/>	None
	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	Less than 10
	<input type="checkbox"/>	More than 10
	<input type="checkbox"/>	Not applicable

	<input type="checkbox"/>	None
40. If you answered <i>Yes</i> to question 38, how many LMOs, not imported, has your country approved to date for intentional introduction into the environment?	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	Less than 10
	<input type="checkbox"/>	More than 10
	<input type="checkbox"/>	Not applicable
	<input type="checkbox"/>	None
41. In the current reporting period, how many applications/notifications has your country received regarding intentional transboundary movements of LMOs for intentional introduction into the environment?	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	Less than 10
	<input type="checkbox"/>	More than 10
	<input type="checkbox"/>	None
42. In the current reporting period, how many decisions has your country taken regarding intentional transboundary movements of LMOs for intentional introduction into the environment?	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	Less than 10
	<input type="checkbox"/>	More than 10
	<input type="checkbox"/>	None

If you replied None to question 42 please go to question 50

43. With reference to the decisions taken on intentional transboundary movements of LMOs for intentional introduction into the environment, has your country received a notification from the Party(ies) of export or from the exporter(s) prior to the transboundary movement?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No

44. Did the notifications contain complete information (at a minimum the information specified in Annex I of the Cartagena Protocol on Biosafety)?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable

45. Has your country acknowledged receipt of the notifications to the notifier within ninety days of receipt?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No <input type="checkbox"/> Not applicable
46. Has your country informed the notifier(s) and the BCH of its decision(s)?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> In some cases only the notifier <input type="checkbox"/> In some cases only the BCH <input type="checkbox"/> No <input type="checkbox"/> Not applicable
47. Has your country informed the notifier(s) and the BCH of its decision(s) in due time (within 270 days or the period specified in your communication to the notifier)?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No <input type="checkbox"/> Not applicable
48. What percentage of your country's decisions fall into the following categories?	<input type="text"/> [%] Approving the import without conditions <input type="text"/> [%] Approving the import with conditions <input type="text"/> [%] Prohibiting the import <input type="text"/> [%] Requesting additional information <input type="text"/> [%] Extending the period for the communication of the decision <input type="checkbox"/> Not applicable

49. In cases where your country approved an import with conditions or prohibited an import, did it provide reasons on which its decisions were based to the notifier and the BCH?
- Yes, always
- In some cases only
- In some cases only to the notifier
- In some cases only to the BCH
- No
- Not applicable

50. Here you may provide further details on the implementation of Articles 7-10 in your country, including measures in case of lack of scientific certainty on potential adverse effects of LMOs for intentional introduction to the environment:

[Type your text here]

**Article 11 – Procedure for living modified organisms
intended for direct use as food or feed, or for processing (LMOs-FFP)**

51. Has your country adopted specific law(s) or regulation(s) for decision-making regarding domestic use, including placing on the market, of LMOs-FFP?
- Yes
- No

52. Has your country established legal requirements for the accuracy of information to be provided by the applicant?
- Yes
- No

53. Has your country established a mechanism to ensure that decisions regarding LMOs-FFP that may be subject to transboundary movement will be communicated to the Parties through the BCH?
- Yes
- No

54. Has your country established a mechanism for taking decisions on the import of LMOs-FFP?
- Yes
- No

55. Has your country declared through the BCH that in the absence of a regulatory framework its decisions prior to the first import of an LMO-FFP will be taken according to Article 11.6 of the Cartagena Protocol on Biosafety?
- Yes
- No

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56. Has your country indicated its needs for financial and technical assistance and capacity-building in respect of LMOs-FFP?
- Yes
- No
-

57. Has your country ever taken a decision on LMOs-FFP (either on import or domestic use)?
- Yes
- No
-

If you replied No to question 57 please go to question 63

58. How many LMOs-FFP has your country approved to date?
- None
- Less than 5
- Less than 10
- More than 10
- Not applicable
-

59. In the current reporting period, how many decisions has your country taken regarding the import of LMOs-FFP?
- None
- Less than 5
- Less than 10
- More than 10
-

60. In the current reporting period, how many decisions has your country taken regarding domestic use, including placing on the market, of LMOs-FFP?
- None
- Less than 5
- Less than 10
- More than 10
-

If you replied None to both questions 59 and 60 please go to question 63

61. Has your country informed the Parties through the BCH of its decision(s) regarding import, of LMOs-FFP?
- Yes, always
- In some cases only
- No
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62. Has your country informed the Parties through the BCH of its decision(s) regarding domestic use, including placing on the market, of LMOs-FFP within 15 days?
- Yes, always
 In some cases only
 Yes, but with delays (i.e. longer than 15 days)
 No
-

63. Here you may provide further details on the implementation of Article 11 in your country, including measures in case of lack of scientific certainty on potential adverse effects of LMOs-FFP:

[Type your text here]

Article 12 – Review of decision

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64. Has your country established a mechanism for the review and change of a decision regarding an intentional transboundary movement of LMOs?
- Yes
 No
-
65. Has your country ever received a request for a review of a decision?
- Yes
 No
-
66. Has your country ever reviewed/changed a decision regarding an intentional transboundary movement of LMOs?
- Yes, decision reviewed
 Yes, decision reviewed and changed
 No
-
67. In the current reporting period, how many decisions were reviewed and/or changed regarding an intentional transboundary movement of an LMO?
- None
 Less than 5
 More than 5
-

If you replied None to the question 67 please go to question 71

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| 68. Has your country informed the notifier and the BCH of the review and/or changes in the decision? | <input type="checkbox"/> Yes, always
<input type="checkbox"/> In some cases only
<input type="checkbox"/> In some cases only the notifier
<input type="checkbox"/> In some cases only the BCH
<input type="checkbox"/> No |
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| 69. Has your country informed the notifier and the BCH of the review and changes in the decision within thirty days? | <input type="checkbox"/> Yes, always
<input type="checkbox"/> In some cases only
<input type="checkbox"/> Yes, but with delays (i.e. longer than 30 days)
<input type="checkbox"/> No |
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| 70. Has your country provided reasons to the notifier and the BCH for the review and/or changes in the decision? | <input type="checkbox"/> Yes, always
<input type="checkbox"/> In some cases only
<input type="checkbox"/> In some cases only the notifier
<input type="checkbox"/> In some cases only the BCH
<input type="checkbox"/> No |
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71. Here you may provide further details on the implementation of Article 12 in your country: [Type your text here]

Article 13 – Simplified procedure

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| 72. Has your country established a system for the application of the simplified procedure regarding an intentional transboundary movement of LMOs? | <input type="checkbox"/> Yes
<input type="checkbox"/> No |
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| 73. Has your country ever applied the simplified procedure? | <input type="checkbox"/> Yes
<input type="checkbox"/> No |
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| 74. | If you answered <i>Yes</i> to question 73, has your country informed the Parties through the BCH of the cases where the simplified procedure applies? | <input type="checkbox"/> | Yes, always |
| | | <input type="checkbox"/> | In some cases only |
| | | <input type="checkbox"/> | No |
| | | <input type="checkbox"/> | Not applicable |
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- | | | | |
|-----|--|--------------------------|-------------|
| 75. | In the current reporting period, how many LMOs has your country applied the simplified procedure to? | <input type="checkbox"/> | None |
| | | <input type="checkbox"/> | Less than 5 |
| | | <input type="checkbox"/> | More than 5 |
-
76. Here you may provide further details on the implementation of Article 13 in your country: [Type your text here]
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Article 14 – Bilateral, regional and multilateral agreements and arrangements

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- | | | | |
|-----|---|--------------------------|-----|
| 77. | Has your country entered into any bilateral, regional or multilateral agreements or arrangements? | <input type="checkbox"/> | Yes |
| | | <input type="checkbox"/> | No |
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- | | | | |
|-----|---|--------------------------|--------------------|
| 78. | If you answered <i>Yes</i> to question 77, has your country informed the Parties through the BCH of the agreements or arrangements? | <input type="checkbox"/> | Yes, always |
| | | <input type="checkbox"/> | In some cases only |
| | | <input type="checkbox"/> | No |
| | | <input type="checkbox"/> | Not applicable |
-
79. If you answered *Yes* to question 77, please provide a brief description of the scope and objective of the agreements or arrangements entered into: [Type your text here]
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80. Here you may provide further details on the implementation of Article 14 in your country: [Type your text here]
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Articles 15 – Risk assessment

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|-----|--|--------------------------|-----|
| 81. | Has your country established a mechanism for conducting risk assessments prior to taking decisions regarding LMOs? | <input type="checkbox"/> | Yes |
| | | <input type="checkbox"/> | No |
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- | | | | |
|-----|--|--------------------------|-----|
| 82. | If you answered <i>Yes</i> to question 81, does this mechanism include procedures for identifying experts to conduct the risk assessments? | <input type="checkbox"/> | Yes |
| | | <input type="checkbox"/> | No |
-

83. Has your country established guidelines for how to conduct risk assessments prior to taking decisions regarding LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
84. Has your country acquired the necessary domestic capacity to conduct risk assessment?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
85. Has your country established a mechanism for training national experts to conduct risk assessments?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
86. Has your country ever conducted a risk assessment of an LMO for intentional introduction into the environment?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
87. Has your country ever conducted a risk assessment of an LMO intended for direct use as food or feed, or for processing?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
88. If your country has taken decision(s) on LMOs for intentional introduction into the environment or on domestic use of LMOs-FFP, were risk assessments conducted for all decisions taken?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
89. Has your country submitted summary reports of the risk assessments to the BCH?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
90. In the current reporting period, if your country has taken decisions regarding LMOs, how many risk assessments were conducted in the context of these decisions?	<input type="checkbox"/>	None
	<input type="checkbox"/>	5 or less
	<input type="checkbox"/>	10 or less
	<input type="checkbox"/>	More than 10

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- | | | |
|--|--------------------------|--------------------|
| | <input type="checkbox"/> | Yes, always |
| 91. Has your country ever required the exporter to conduct the risk assessment(s)? | <input type="checkbox"/> | In some cases only |
| | <input type="checkbox"/> | No |
| | <input type="checkbox"/> | Not applicable |
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- | | | |
|---|--------------------------|--------------------|
| | <input type="checkbox"/> | Yes, always |
| 92. Has your country ever required the notifier to bear the cost of the risk assessment(s) of LMOs? | <input type="checkbox"/> | In some cases only |
| | <input type="checkbox"/> | No |
| | <input type="checkbox"/> | Not applicable |
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93. Here you may provide further details on the implementation of Article 15 in your country: [Type your text here]
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Article 16 – Risk management

- | | | |
|---|--------------------------|---------------------|
| 94. Has your country established and maintained appropriate and operational mechanisms, measures and strategies to regulate, manage and control risks identified in risk assessments for: | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | Yes, to some extent |
| (i) LMOs for intentional introduction into the environment? | <input type="checkbox"/> | No |
| (ii) LMOs intended for direct use as food or feed, or for processing? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | Yes, to some extent |
| | <input type="checkbox"/> | No |
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- | | | |
|--|--------------------------|---------------------|
| 95. Has your country established and maintained appropriate measures to prevent unintentional transboundary movements of LMOs? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | Yes, to some extent |
| | <input type="checkbox"/> | No |
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- | | | |
|---|--------------------------|-----|
| 96. Has your country taken measures to ensure that any LMO, whether imported or locally developed, undergoes an appropriate period of observation that is commensurate with its life-cycle or generation time before it is put to its intended use? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
-

97. Has your country cooperated with other Parties with a view to identifying LMOs or specific traits that may have adverse effects on the conservation and sustainable use of biological diversity?
- Yes
- No

98. Has your country cooperated with other Parties with a view to taking measures regarding the treatment of LMOs or specific traits that may have adverse effects on the conservation and sustainable use of biological diversity?
- Yes
- No

99. Here you may provide further details on the implementation of Article 16 in your country, including any details regarding risk management strategies, also in case of lack of scientific certainty on potential adverse effects of LMOs:

[Type your text here]

Article 17 – Unintentional transboundary movements and emergency measures

100. Has your country made available to the BCH the relevant details setting out its point of contact for the purposes of receiving notifications under Article 17?
- Yes
- No

101. Has your country established a mechanism for addressing emergency measures in case of unintentional transboundary movements of LMOs that are likely to have significant adverse effect on biological diversity?
- Yes
- No

102. Has your country implemented emergency measures in response to information about releases that led, or may have led, to unintentional transboundary movements of LMOs?
- Yes
- No

103. In the current reporting period, how many times has your country received information concerning occurrences that led, or may have led, to unintentional transboundary movement(s) of one or more LMOs to or from territories under its jurisdiction?
- Never
- Less than 5
- Less than 10
- More than 10

If you replied Never to question 103 please go to question 107

104. Has your country notified affected or potentially affected States, the BCH and, where appropriate, relevant international organizations, of the above release?
- Yes, for every occurrence
 - Yes, for some occurrences
 - No

105. If you answered *Yes* to question 104, who did your country notify?
- The affected or potentially affected State
 - The BCH
 - Relevant international organizations
 - Not applicable

106. Has your country immediately consulted the affected or potentially affected States to enable them to determine appropriate responses and initiate necessary action, including emergency measures?
- Yes, always
 - Yes, in some cases
 - No, consultation was made but not immediately
 - No, consultation was never made

107. Here you may provide further details on the implementation of Article 17 in your country: [Type your text here]

Article 18 – Handling, transport, packaging and identification

108. Has your country taken measures to require that LMOs that are subject to transboundary movement are handled, packaged and transported under conditions of safety, taking into account relevant international rules and standards?
- Yes
 - Yes, to some extent
 - No

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109. Has your country taken measures to require that documentation accompanying LMOs-FFP clearly identifies that, in cases where the identity of the LMOs is *not known* through means such as identity preservation systems, they *may contain* living modified organisms and are not intended for intentional introduction into the environment, as well as a contact point for further information?
- Yes
- Yes, to some extent
- No
-
110. Has your country taken measures to require that documentation accompanying LMOs-FFP clearly identifies that, in cases where the identity of the LMOs *is known* through means such as identity preservation systems, they *contain* living modified organisms and are not intended for intentional introduction into the environment, as well as a contact point for further information?
- Yes
- Yes, to some extent
- No
-
111. Has your country taken measures to require that documentation accompanying LMOs that are destined for *contained use* clearly identifies them as *living modified organisms* and specifies any requirements for the safe handling, storage, transport and use, the contact point for further information, including the name and address of the individual and institution to whom the LMO are consigned?
- Yes
- Yes, to some extent
- No
-
112. Has your country taken measures to require that documentation accompanying LMOs that are *intended for intentional introduction into the environment* of the Party of import, clearly identifies them as *living modified organisms*; specifies the identity and relevant traits and/or characteristics, any requirements for the safe handling, storage, transport and use, the contact point for further information and, as appropriate, the name and address of the importer and exporter; and contains a declaration that the movement is in conformity with the requirements of this Protocol applicable to the exporter?
- Yes
- Yes, to some extent
- No
-

113. Does your country have the capacity to enforce the requirements of identification and documentation of LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	Yes, to some extent
	<input type="checkbox"/>	No
114. Has your country established procedures for the sampling and detection of LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	Yes, to some extent
	<input type="checkbox"/>	No
115. Here you may provide further details on the implementation of Article 18 in your country: [Type your text here	
Article 19 – Competent National Authorities and National Focal Points		
116. Has your country designated one <i>national focal point for the Cartagena Protocol</i> to be responsible for liaison with the Secretariat?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
117. Has your country designated one <i>national focal point for the Biosafety Clearing-House</i> to liaise with the Secretariat regarding issues of relevance to the development and implementation of the BCH?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
118. Has your country designated one or more <i>competent national authorities</i> , which are responsible for performing the administrative functions required by the Cartagena Protocol on Biosafety and are authorized to act on your country's behalf with respect to those functions?	<input type="checkbox"/>	Yes, one
	<input type="checkbox"/>	Yes, more than one
	<input type="checkbox"/>	No
119. In case your country designated more than one <i>competent national authority</i> , has your country conveyed to the Secretariat the respective responsibilities of those authorities?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
120. Has your country made available the required information referred in questions 116-119 to the BCH?	<input type="checkbox"/>	Yes, all information
	<input type="checkbox"/>	Yes, some information
	<input type="checkbox"/>	No

- | | | |
|---|--------------------------|---------------------|
| 121. In case your country has designated more than one <i>competent national authority</i> , has your country established a mechanism for the coordination of their actions prior to taking decisions regarding LMOs? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
| | <input type="checkbox"/> | Not applicable |
| 122. Has your country established adequate institutional capacity to enable the <i>competent national authority(ies)</i> to perform the administrative functions required by the Cartagena Protocol on Biosafety? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | Yes, to some extent |
| | <input type="checkbox"/> | No |
| 123. Here you may provide further details on the implementation of Article 19 in your country: [<input type="text" value="Type your text here"/>] | | |

Article 20 – Information Sharing and the Biosafety Clearing-House (BCH)

124. Please provide an overview of the status of the information provided by your country to the BCH by specifying for each category of information whether it is available and whether it has been submitted to the BCH.

- | | | |
|---|--------------------------|---|
| | <input type="checkbox"/> | Information available and in the BCH |
| a. Existing national legislation, regulations and guidelines for implementing the Protocol, as well as information required by Parties for the advance informed agreement procedure (Article 20, paragraph 3 (a)) | <input type="checkbox"/> | Information available but not in the BCH |
| | <input type="checkbox"/> | Information available but only partially available in the BCH |
| | <input type="checkbox"/> | Information not available |
| | <input type="checkbox"/> | Information available and in the BCH |
| b. National laws, regulations and guidelines applicable to the import of LMOs intended for direct use as food or feed, or for processing (Article 11, paragraph 5) | <input type="checkbox"/> | Information available but not in the BCH |
| | <input type="checkbox"/> | Information available but only partially available in the BCH |
| | <input type="checkbox"/> | Information not available |
-

	<input type="checkbox"/>	Information available and in the BCH
c. Bilateral, multilateral and regional agreements and arrangements (Articles 14, paragraph 2 and 20, paragraph 3 (b))	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
	<input type="checkbox"/>	Information not available
	<hr/>	
d. Contact details for competent national authorities (Article 19, paragraphs 2 and 3), national focal points (Article 19, paragraphs 1 and 3), and emergency contacts (Article 17, paragraph 3 (e))	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
	<input type="checkbox"/>	Information not available
<hr/>		
e. Reports submitted by the Parties on the operation of the Protocol (Article 20, paragraph 3 (e))	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
<hr/>		
f. Decisions by a Party on regulating the transit of specific living modified organisms (LMOs) (Article 6, paragraph 1)	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
<hr/>		
	<input type="checkbox"/>	Information not available

g. Occurrence of unintentional transboundary movements that are likely to have significant adverse effects on biological diversity (Article 17, paragraph 1)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
h. Illegal transboundary movements of LMOs (Article 25, paragraph 3)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
i. Final decisions regarding the importation or release of LMOs (i.e. approval or prohibition, any conditions, requests for further information, extensions granted, reasons for decision) (Articles 10, paragraph 3 and 20, paragraph 3(d))	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
j. Information on the application of domestic regulations to specific imports of LMOs (Article 14, paragraph 4)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available

	<input type="checkbox"/>	Information available and in the BCH
k. Final decisions regarding the domestic use of LMOs that may be subject to transboundary movement for direct use as food or feed, or for processing (Article 11, paragraph 1)	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
	<input type="checkbox"/>	Information not available
	<input type="checkbox"/>	Information not available
<hr/>		
l. Final decisions regarding the import of LMOs intended for direct use as food or feed, or for processing that are taken under domestic regulatory frameworks (Article 11, paragraph 4) or in accordance with Annex III (Article 11, paragraph 6) (requirement of Article 20, paragraph 3(d))	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
	<input type="checkbox"/>	Information not available
<hr/>		
m. Declarations regarding the framework to be used for LMOs intended for direct use as food or feed, or for processing (Article 11, paragraph 6)	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
<hr/>		
n. Review and change of decisions regarding intentional transboundary movements of LMOs (Article 12, paragraph 1)	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
<hr/>		
	<input type="checkbox"/>	Information not available

o. LMOs granted exemption status by each Party (Article 13, paragraph 1)	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
	<input type="checkbox"/>	Information not available
p. Cases where intentional transboundary movement may take place at the same time as the movement is notified to the Party of import (Article 13, paragraph 1)	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
	<input type="checkbox"/>	Information not available
q. Summaries of risk assessments or environmental reviews of LMOs generated by regulatory processes and relevant information regarding products thereof (Article 20, paragraph 3 (c))	<input type="checkbox"/>	Information available and in the BCH
	<input type="checkbox"/>	Information available but not in the BCH
	<input type="checkbox"/>	Information available but only partially available in the BCH
	<input type="checkbox"/>	Information not available
125. Has your country established a mechanism for strengthening the capacity of the BCH National Focal Point to perform its administrative functions?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
126. Has your country established a mechanism for the coordination among the BCH National Focal Point, the Cartagena Protocol focal point, and the competent national authority(ies) for making information available to the BCH?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No

127. Does your country use the information available in the BCH in its decision making processes on LMOs?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	Yes, in some cases
	<input type="checkbox"/>	No
128. Has your country experienced difficulties accessing or using the BCH?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
129. If you answered <i>Yes</i> to question 128, has your country reported these problems to the BCH or the Secretariat?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
130. Is the information submitted by your country to the BCH complete and up-to date?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
131. Here you may provide further details on the implementation of Article 20 in your country: [Type your text here	

Article 21 – Confidential information

132. Has your country established procedures to protect confidential information received under the Protocol?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
133. Does your country allow the notifier to identify information that is to be treated as confidential?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
134. Here you may provide further details on the implementation of Article 21 in your country: [Type your text here	

Article 22 – Capacity-building

135. Has your country received external support or benefited from collaborative activities with other Parties in the development and/or strengthening of human resources and institutional capacities in biosafety?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No

-
- | | | |
|---|--------------------------|-----------------------|
| 136. If you answered <i>Yes</i> to question 135, how were these resources made available? | <input type="checkbox"/> | Bilateral channels |
| | <input type="checkbox"/> | Regional channels |
| | <input type="checkbox"/> | Multilateral channels |
| | <input type="checkbox"/> | Not applicable |
-

- | | | |
|---|--------------------------|-----|
| 137. Has your country provided support to other Parties in the development and/or strengthening of human resources and institutional capacities in biosafety? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
-

- | | | |
|---|--------------------------|-----------------------|
| 138. If you answered <i>Yes</i> to question 137, how were these resources made available? | <input type="checkbox"/> | Bilateral channels |
| | <input type="checkbox"/> | Regional channels |
| | <input type="checkbox"/> | Multilateral channels |
| | <input type="checkbox"/> | Not applicable |
-

- | | | |
|--|--------------------------|-----|
| 139. Is your country eligible to receive funding from the Global Environment Facility (GEF)? | <input type="checkbox"/> | Yes |
| | <input type="checkbox"/> | No |
-

If you replied No to question 139 please go to question 143

140. Has your country ever initiated a process to access GEF funds for building capacity in biosafety?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No

141. If you answered <i>Yes</i> to question 140, how would you characterize the process?	<input type="checkbox"/>	Very easy
	<input type="checkbox"/>	Easy
	<input type="checkbox"/>	Average
	<input type="checkbox"/>	Difficult
	<input type="checkbox"/>	Very difficult

Please add further details about your experience in accessing GEF funds under question 150.

142. Has your country ever received funding from the Global Environment Facility (GEF) for building capacity in biosafety?	<input type="checkbox"/>	Pilot Biosafety Enabling Activity
	<input type="checkbox"/>	Development of National Biosafety Frameworks
	<input type="checkbox"/>	Implementation of National Biosafety Frameworks
	<input type="checkbox"/>	Building Capacity for Effective Participation in the BCH (Phase I)
	<input type="checkbox"/>	Building Capacity for Effective Participation in the BCH (Phase II)
	<input type="checkbox"/>	None of the above

143. During the current reporting period, has your country undertaken activities for the development and/or strengthening of human resources and institutional capacities in biosafety?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No

144. If you answered *Yes* to question 143, in which of the following areas were these activities undertaken?

- Institutional capacity
 - Human resources capacity development and training
 - Risk assessment and other scientific and technical expertise
 - Risk management
 - Public awareness, participation and education in biosafety
 - Information exchange and data management including participation in the Biosafety Clearing-House
 - Scientific, technical and institutional collaboration at subregional, regional and international levels
 - Technology transfer
 - Identification of LMOs, including their detection
 - Socio-economic considerations
 - Implementation of the documentation requirements under Article 18.2 of the Protocol
 - Handling of confidential information
 - Measures to address unintentional and/or illegal transboundary movements of LMOs
 - Scientific biosafety research relating to LMOs
 - Taking into account risks to human health
 - Other: <Text entry>
 - Not applicable
-

-
145. During the current reporting period, has your country carried out a capacity-building needs assessment?
- Yes
- No
-
146. Does your country still have capacity-building needs?
- Yes
- Yes, a few
- No
-

147. If you answered *Yes* to question 146, indicate which of the following areas still need capacity-building.

- Institutional capacity
 - Human resources capacity development and training
 - Risk assessment and other scientific and technical expertise
 - Risk management
 - Public awareness, participation and education in biosafety
 - Information exchange and data management including participation in the Biosafety Clearing-House
 - Scientific, technical and institutional collaboration at subregional, regional and international levels
 - Technology transfer
 - Identification of LMOs, including their detection
 - Socio-economic considerations
 - Implementation of the documentation requirements under Article 18.2 of the Protocol
 - Handling of confidential information
 - Measures to address unintentional and/or illegal transboundary movements of LMOs
 - Scientific biosafety research relating to LMOs
 - Taking into account risks to human health
 - Other: <Text entry>
 - Not applicable
-

148. Has your country developed a capacity-building strategy or action plan? Yes

No

149. Has your country submitted the details of national biosafety experts to the Roster of Experts in the BCH? Yes

No

150. Here you may provide further details on the implementation of Article 22 in your country, including further details about your experience in accessing GEF funds:

[Type your text here]

Article 23 – Public awareness and participation

151. Has your country established a strategy or put in place legislation for promoting and facilitating public awareness, education and participation concerning the safe transfer, handling and use of LMOs? Yes

Yes, to some extent

No

152. Has your country established a biosafety website? Yes

No

153. Has your country established a mechanism to ensure public access to information on living modified organisms that may be imported? Yes

Yes, to a limited extent

No

154. Has your country established a mechanism to consult the public in the decision-making process regarding LMOs? Yes

Yes, to a limited extent

No

155. Has your country established a mechanism to make available to the public the results of decisions taken on LMOs? Yes

Yes, to a limited extent

No

156. Has your country taken any initiative to inform its public about the means of public access to the Biosafety Clearing-House? Yes

No

157. In the current reporting period, has your country promoted and facilitated public awareness, education and participation concerning the safe transfer, handling and use of LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	Yes, to a limited extent
	<input type="checkbox"/>	No
158. If you answered <i>Yes</i> to question 157, has your country cooperated with other States and international bodies?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
159. In the current reporting period, how many times has your country consulted the public in the decision-making process regarding LMOs and made the results of such decisions available to the public?	<input type="checkbox"/>	Never
	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	More than 5
160. Here you may provide further details on the implementation of Article 23 in your country: [Type your text here	
]	
Article 24 – Non-Parties		
161. Has your country entered into any bilateral, regional, or multilateral agreement with non-Parties regarding transboundary movements of LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
162. Has your country ever imported LMOs from a non-Party?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
163. Has your country ever exported LMOs to a non-Party?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
164. If you answered <i>Yes</i> to questions 162 or 163, were the transboundary movements of LMOs consistent with the objective of the Cartagena Protocol on Biosafety?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable

165. If you answered *Yes* to questions 162 or 163, was information about these transboundary movements submitted to the BCH?
- Yes, always
 - In some cases only
 - No
 - Not applicable

166. If your country is not a Party to the Cartagena Protocol, has it contributed information to the BCH on LMOs released in, or moved into, or out of, areas within its national jurisdiction?
- Yes, always
 - In some cases only
 - No
 - Not applicable

167. Here you may provide further details on the implementation of Article 24 in your country: [Type your text here]

Article 25 – Illegal transboundary movements

168. Has your country adopted domestic measures aimed at preventing and/or penalizing transboundary movements of LMOs carried out in contravention of its domestic measures to implement this Protocol?
- Yes
 - No

169. Has your country established a strategy for detecting illegal transboundary movements of LMOs?
- Yes
 - No

170. In the current reporting period, how many times has your country received information concerning cases of illegal transboundary movements of an LMO to or from territories under its jurisdiction?
- Never
 - Less than 5
 - Less than 10
 - More than 10

If you replied Never to question 170 please go to question 175

171. Has your country informed the BCH and the other Party(ies) involved?	<input type="checkbox"/> Yes <input type="checkbox"/> Only in some cases <input type="checkbox"/> Only the other Party(ies) involved <input type="checkbox"/> Only the BCH <input type="checkbox"/> No <input type="checkbox"/> Not applicable
172. Has your country established the origin of the LMO(s)?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, some cases <input type="checkbox"/> No
173. Has your country established the nature of the LMO(s)?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, some cases <input type="checkbox"/> No
174. Has your country established the circumstances of the illegal transboundary movement(s)?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, some cases <input type="checkbox"/> No
175. Here you may provide further details on the implementation of Article 25 in your country: [Type your text here]	

Article 26 – Socio-economic considerations

176. If your country has taken a decision on import, has it ever taken into account socio-economic considerations arising from the impact of the LMO on the conservation and sustainable use of biological diversity?	<input type="checkbox"/> Yes <input type="checkbox"/> Only in some cases <input type="checkbox"/> No <input type="checkbox"/> Not applicable
177. Has your country cooperated with other Parties on research and information exchange on any socio-economic impacts of LMOs?	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to a limited extent <input type="checkbox"/> No

178. Here you may provide further details on the implementation of Article 26 in your country: [Type your text here]

Article 27 – Liability and Redress

179. Has your country signed the Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress? Yes
 No

180. Has your country initiated steps towards ratification, acceptance or approval of the Nagoya-Kuala Lumpur Supplementary Protocol? Yes
 No

181. Here you may provide further details on any activities undertaken in your country towards the implementation of the Nagoya-Kuala Lumpur Supplementary Protocol on Liability and Redress:

[Type your text here]

Article 33 – Monitoring and reporting

182. Has your country submitted the previous national reports (Interim and First National Reports)? Yes
 Yes, Interim report only
 Yes, First report only
 No
 Not applicable

183. If your country did not submit previous reports, indicate the main challenges that hindered the submission

- Lack of financial resources to gather the necessary information
- Lack of relevant information at the national level
- Difficulty in compiling the information from various sectors
- No obligation to submit (e.g. country was not a Party at the time)
- Other, please specify [Type your text here]
- Not applicable
-

Other information

184. Please use this field to provide any other information on issues related to national implementation of the Protocol, including any obstacles or impediments encountered.

[Type your text here]

Comments on reporting format

185. Please use this field to provide any other information on difficulties that you have encountered in filling in this report.

[Type your text here]
