



Convention on Biological Diversity

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INFORMAL ADVISORY COMMITTEE ON CAPACITY-BUILDING FOR THE IMPLEMENTATION OF THE NAGOYA PROTOCOL

First meeting
Montreal, 15-17 September 2015

DRAFT COMMON FORMAT FOR ACCESS AND BENEFIT SHARING CAPACITY-BUILDING AND DEVELOPMENT INITIATIVES (ACTIVITIES, PROJECTS AND PROGRAMMES)

Note by the Executive Secretary

1. Article 22 of the Nagoya Protocol requires Parties to cooperate in capacity-building, capacity development and strengthening of human resources and institutional capacities to effectively implement the Protocol in developing country Parties and Parties with economies in transition. It also calls for information on capacity-building and development initiatives supporting the implementation of the Protocol to be provided to the Access and Benefit-sharing (ABS) Clearing-House with a view to promoting synergy and coordination on capacity-building and development for access and benefit-sharing (paragraph 6).
2. In its decision NP-1/8, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol (COP-MOP) invited Parties, other Governments, indigenous and local communities, relevant organizations, and the private sector, as appropriate, to provide to the ABS Clearing-House information regarding their capacity-building and development initiatives, including emerging best practices and lessons learned, and opportunities relevant to the implementation of the strategic framework (para. 5). In paragraph 10(b) of the same decision, COP-MOP also requested the Executive Secretary to ensure that information on capacity-building and development needs, opportunities and activities can be submitted to and accessed from all platforms under the Convention so that access and benefit-sharing capacity development activities are integrated into the global capacity development activities under the Convention.
3. In this regard, the Secretariat has developed a draft standardized form (common format) to enable Parties and relevant organizations to submit the information on ABS capacity-building and development initiatives to the Access and Benefit-sharing Clearing-House in a structured and harmonized manner. The common format will be used to collect and register basic descriptive information (metadata) in the Access and Benefit-sharing Clearing-House.
4. The draft common format includes predefined text (controlled vocabularies) to facilitate registration of information in a consistent manner and also allow for customized search and retrieval of the information in all six United Nations languages. As much as possible, the controlled vocabularies have been aligned with the controlled vocabularies of the clearing-house mechanism and the Biosafety Clearing-House.
5. The informal advisory committee on capacity-building is invited to provide comments and advice on the draft format during its discussions under agenda item 3.

Annex

DRAFT COMMON FORMAT FOR ACCESS AND BENEFIT-SHARING CAPACITY-BUILDING AND DEVELOPMENT INITIATIVES (ACTIVITIES, PROJECTS AND PROGRAMMES)

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| <p><i>Reference Record Type:</i>¹ #ABSCH-CB</p> <p>ABS Capacity-Building Initiative (Activity/Project/Programme)</p> <p><i>Mandatory information fields are marked with an asterisk. Failure to provide information for these fields may result in the record not being made available to the ABS Clearing-House.</i></p> <p><i>(To enter any text or to check the box, please double click the shaded area)</i></p> |
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| General information | |
|----------------------------|---|
| 1. *Title: | <Text entry> |
| 2. *Geographic Scope | <input type="checkbox"/> Global ↳ <Geographical or political/economic group(s)> and/or <Country name> <input type="checkbox"/> Regional or subregional ↳ <Geographical or political/economic group(s)>and/or <Country name> <input type="checkbox"/> National/Federal ↳ <Country name> <input type="checkbox"/> Subnational ↳<Country name> and Name of the subnational jurisdiction: <Text entry> <input type="checkbox"/> Community ↳ <Country name> and Name of the community: <Text entry> |
| 3. *Status: ² | <input type="checkbox"/> Completed <input type="checkbox"/> Ongoing |

¹ Reference records contain information relevant to the Protocol (but not reported as part of a Party’s obligations under the Protocol). This information can be made available to the Virtual Library of the ABS Clearing-House by any registered user (including representatives of Governments, indigenous and local communities, academia, nongovernmental organizations, research institutions, business representatives, etc.). It has to be validated by the Secretariat prior to being made available in the ABS Clearing-House. The information submitted through this common format will be made available at <http://absch.cbd.int/xxx>.

² For the purposes of this format, the status of initiatives is categorized into: completed, ongoing, planned/approved or proposed. “Completed” refers to initiatives that are finished and closed. “Ongoing” refers to initiatives that are under implementation. “Planned/Approved” refers to initiatives whose project concepts or Project Identification Forms (PIFs) have been accepted by donors in principle and are under development/elaboration into full project documents. “Proposed” refers to initiatives for which concepts/PIFs have been developed but not yet submitted to donors or are submitted but not yet accepted/approved by donors.

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| | <input type="checkbox"/> Planned (concept approved) <input type="checkbox"/> Proposed (not yet approved) |
| 4. *Timeframe: | Starting date: <YYYY-MM-DD> Ending date: <YYYY-MM-DD> OR Duration: <Text entry> |
| 5. *Agency(ies) or organization(s) implementing and/or executing the initiative: | <input type="checkbox"/> Implementing agency(ies): <clearing-house record number> <i>Please enter the clearing-house record number containing information about the entity(ies) responsible for overseeing and administering the development and implementation of the initiative. If it is not registered, complete and attach an “organizations” common format.³</i> AND/OR <input type="checkbox"/> Executing agency(ies): <clearing-house record number> <i>Please enter the clearing-house record number containing information about the entity(ies) directly carrying out activities of the initiative, managing its resources and reporting on the progress. If it is not registered, complete and attach an “organizations” common format.⁴</i> AND/OR <input type="checkbox"/> Collaborating partner(s): <clearing-house record number> <i>Please enter the clearing-house record number containing information about the entity(ies) contributing, or providing direct support, to the implementation of the initiative. If it is not registered, complete and attach an “organizations” common format.⁵</i> |
| 6. Contact person(s): | <clearing-house record number> <i>Please enter the clearing-house record number containing this information or, if not registered, attach a “Contact details” common format.⁶</i> |
| 7. *Type of capacity-building initiative | <input type="checkbox"/> Project/programme ⁷ <input type="checkbox"/> Activity as part of a related project/programme/initiative ⁸ ↳ <i>Please provide further information on the project/programme/initiative: <Text entry></i> <input type="checkbox"/> Stand-alone activity ⁹ |

³ All ABS Clearing-House common formats are available at <http://absch.cbd.int/xxx>.

⁴ All ABS Clearing-House common formats are available at <http://absch.cbd.int/xxx>.

⁵ All ABS Clearing-House common formats are available at <http://absch.cbd.int/xxx>.

⁶ All ABS Clearing-House common formats are available at <http://absch.cbd.int/xxx>.

⁷ Select this option if the entire project or programme is for building capacity in ABS.

⁸ Select this option if the ABS-related activity(ies) are part of a non-ABS project (e.g. a protected area project with an ABS component or activity) and provide the title and further details about the broader project/initiative.

⁹ Select this option if the activity is not part of a project or programme

| Detailed information | |
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| 8. * Short description of the initiative, including its goals and objectives (max. 150 words): | <Text entry> |
| 9. Target group(s)/beneficiary(ies): | <input type="checkbox"/> Civil society <input type="checkbox"/> General public <input type="checkbox"/> Governments <input type="checkbox"/> Indigenous peoples and local communities <input type="checkbox"/> Media <input type="checkbox"/> Private sector <input type="checkbox"/> Researchers/academia |
| 10. *Means of delivery of the initiative (type of capacity-building support activities): | <input type="checkbox"/> Experiential learning ¹⁰ (e.g. on-job training, field/study trip, staff exchange, etc.) <input type="checkbox"/> Legal/technical support <input type="checkbox"/> Online networks and forums <input type="checkbox"/> Provision of capacity-building tools and resources <input type="checkbox"/> Research/analysis <input type="checkbox"/> Training ¹¹ or facilitating self-directed learning <input type="checkbox"/> Workshops ¹² |
| Information on funding | |
| 11. *Core funding-source: | <clearing-house record number> <i>Please enter the clearing-house record number containing this information or, if not registered, attach an “organizations” common format.¹³</i> |
| 12. Co-financing source(s): | <clearing-house record number> <i>Please enter the clearing-house record number containing this information or, if not registered, attach an “organizations” common format.¹⁴</i> |

¹⁰ Experiential learning involves action learning through hands-on experience, observation, interaction and reflection. This can be achieved through on-the-job training (e.g. apprenticeships/internships, job-shadowing, mentoring, etc.), study tours, and staff exchange or twinning programmes.

¹¹ This may include classroom-based or online training courses.

¹² This may include peer-to-peer exchange workshops, multi-stakeholder workshops or workshops for exchange of experiences, good practices and lessons learned.

¹³ All ABS Clearing-House common formats are available at <http://absch.cbd.int/xxx>.

¹⁴ All ABS Clearing-House common formats are available at <http://absch.cbd.int/xxx>.

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| 13. Total budget (amount, currency): | <Text entry> |
| Capacity-building outcomes | |
| 14. Results : | <p>Main achievements : <i>Please provide a short summary of the main achievements of the initiative (max. 150 words):</i> <Text entry></p> <p>AND</p> <p>Best practices and lessons learned: <i>Please provide a short summary of the best practices and lessons learned and (max. 500 words:)</i> <Text entry></p> <p>AND</p> <p>Main outputs: <i>Please attach/upload a copy of, or provide web link to, the relevant products (e.g. tools and materials) developed by the initiative and/or reports.</i></p> <p><i>Please enter the clearing-house record number containing relevant information</i> <clearing-house record number></p> <p><i>and/or</i> <URL and website name></p> <p><i>and/or</i> <Attachment></p> |
| Information on the thematic coverage of the initiatives | |
| 15. *Key areas for capacity-building and development ¹⁵ : | <p><input type="checkbox"/> Key area 1: Capacity to implement and to comply with the obligation of the Protocol</p> <p><input type="checkbox"/> Key area 2: Capacity to develop, implement and enforce domestic legislative, administrative or policy measures on access and benefit-sharing</p> <p><input type="checkbox"/> Key area 3: Capacity to negotiate mutually agreed terms</p> <p><input type="checkbox"/> Key area 4: Capacity needs and priorities of indigenous and local communities and relevant stakeholders, including the business sector and the research community</p> <p><input type="checkbox"/> Key area 5: Capacity of countries to develop their endogenous research capabilities to add value to their own genetic resources</p> |
| Keywords for facilitating online searches describing the thematic coverage of the initiative | |
| 16. Thematic area(s): | <p><input type="checkbox"/> Access to genetic resources (Article 15 of the CBD)</p> <p><input type="checkbox"/> Nagoya Protocol</p> <p><input type="checkbox"/> Scope</p> <p><input type="checkbox"/> Competent national authority/ies</p> <p><input type="checkbox"/> Access</p> <p><input type="checkbox"/> Access to genetic resources, including prior informed consent</p> <p><input type="checkbox"/> For commercial purposes</p> |

¹⁵ The key areas have been identified by the Strategic Framework for capacity-building and development to support the effective implementation of the Nagoya Protocol (decision NP-1/8).

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| | <ul style="list-style-type: none"> <input type="checkbox"/> For non-commercial purposes <input type="checkbox"/> Access to traditional knowledge associated with genetic resources, including prior informed consent or approval or involvement <ul style="list-style-type: none"> <input type="checkbox"/> For commercial purposes <input type="checkbox"/> For non-commercial purposes <input type="checkbox"/> Community protocols <input type="checkbox"/> Customary laws and procedures <input type="checkbox"/> Benefit-sharing <ul style="list-style-type: none"> <input type="checkbox"/> Mutually agreed terms <input type="checkbox"/> Monetary benefits <input type="checkbox"/> Non-monetary benefits <input type="checkbox"/> Compliance <ul style="list-style-type: none"> <input type="checkbox"/> Compliance with domestic legislation or regulatory requirements <ul style="list-style-type: none"> <input type="checkbox"/> On access to genetic resources and benefit-sharing <input type="checkbox"/> On access to traditional knowledge associated with genetic resources and benefit-sharing <input type="checkbox"/> Non-compliance with domestic legislation or regulatory requirements <input type="checkbox"/> Monitoring the utilization of genetic resources <ul style="list-style-type: none"> <input type="checkbox"/> Checkpoints <input type="checkbox"/> Permits or their equivalent constituting an internationally recognized certificate of compliance <input type="checkbox"/> Compliance with mutually agreed terms <ul style="list-style-type: none"> <input type="checkbox"/> Global Multilateral Benefit-sharing Mechanism <input type="checkbox"/> Access and Benefit-sharing Clearing-House <input type="checkbox"/> Model contractual clauses <input type="checkbox"/> Codes of conduct, guidelines, and best practices and or/standards <input type="checkbox"/> Awareness-raising <input type="checkbox"/> Transboundary cooperation <input type="checkbox"/> Capacity-building <input type="checkbox"/> Technology transfer, collaboration and cooperation <input type="checkbox"/> Financial mechanism and resource mobilization <input type="checkbox"/> Compliance with the Protocol <input type="checkbox"/> National reports <input type="checkbox"/> ABS legislative, administrative or policy measures <input type="checkbox"/> ABS agreements <input type="checkbox"/> Types of organisms <ul style="list-style-type: none"> <input type="checkbox"/> Plants <input type="checkbox"/> Animals <input type="checkbox"/> Microorganism <input type="checkbox"/> Fungi |
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| | <input type="checkbox"/> Wild species <input type="checkbox"/> Domestic species <input type="checkbox"/> Area of access of the genetic resource <input type="checkbox"/> Agricultural areas <input type="checkbox"/> Forest <input type="checkbox"/> Inland waters <input type="checkbox"/> Dry and sub-humid areas <input type="checkbox"/> Marine and coastal areas <input type="checkbox"/> Mountains <input type="checkbox"/> Protected areas <input type="checkbox"/> Islands <input type="checkbox"/> Ex-situ collections <input type="checkbox"/> Soil and/or water samples <input type="checkbox"/> Users of genetic resources <input type="checkbox"/> Commercial purpose <input type="checkbox"/> Agricultural sector <input type="checkbox"/> Botanical and horticultural sector <input type="checkbox"/> Food and beverage <input type="checkbox"/> Industrial biotechnology, <input type="checkbox"/> Personal care and cosmetics <input type="checkbox"/> Pharmaceutical sector <input type="checkbox"/> Non-commercial purposes <input type="checkbox"/> Academic research <input type="checkbox"/> Identification and taxonomy <input type="checkbox"/> Intellectual property rights <input type="checkbox"/> Relevant international agreements, instruments and organizations <input type="checkbox"/> Relationship with other international instruments <input type="checkbox"/> Antarctic Treaty System <input type="checkbox"/> Food and Agriculture Organization <input type="checkbox"/> Commission of Genetic Resources for Food and Agriculture <input type="checkbox"/> International Treaty on Plant Genetic Resources for Food and Agriculture <input type="checkbox"/> World Health Organization <input type="checkbox"/> World Intellectual Property Organization <input type="checkbox"/> World Trade Organization <input type="checkbox"/> United Nations Convention on the Law of the Sea |
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Additional information

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| 17. Any other relevant information: | <Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment> |
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18. Notes:

<Text entry>

For offline submissions only:

This form should be should be completed and sent ***by e-mail*** to secretariat@cbd.int

or alternatively by

- Fax at +1 514 288-6588; or
- Postal mail to:

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