





Convention on Biological Diversity

Distr. GENERAL

UNEP/CBD/ABS/CB-IAC/2016/1/3 20 May 2016

ENGLISH ONLY

INFORMAL ADVISORY COMMITTEE ON CAPACITY-BUILDING FOR THE IMPLEMENTATION OF THE NAGOYA PROTOCOL

Second meeting Montreal, Canada, 15-17 June 2016 Item 4 of the provisional agenda*

OVERVIEW OF CAPACITY-BUILDING AND DEVELOPMENT RESOURCES SUPPORTING THE IMPLEMENTATION OF THE NAGOYA PROTOCOL ON ACCESS AND BENEFIT-SHARING

Note by the Executive Secretary

I. INTRODUCTION

- 1. At its first meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization (COP-MOP) adopted a strategic framework for capacity-building and development to support the implementation of the Nagoya Protocol (decision NP-1/8). COP-MOP also established an informal advisory committee to provide advice to the Executive Secretary on matters of relevance to the assessment of the effectiveness of the strategic framework.
- 2. The terms of reference of the Informal Advisory Committee, as stipulated in decision 1/8, annex II, include providing advice regarding "the need for the development of new tools, guidelines and training materials, including e-learning modules, to facilitate capacity-building and development initiatives of Parties, other governments, indigenous and local communities and other stakeholders."
- 3. At its first meeting, the Informal Advisory Committee reviewed a compilation of capacity-building tools and resources supporting the implementation of the Nagoya Protocol on Access and Benefit-Sharing (<u>UNEP/CBD/ABS/CB-IAC/2015/1/INF/1</u>), which was prepared by the Secretariat based on the submissions received from members of the informal advisory committee as well as information collected by the Secretariat from various sources. The Committee also reviewed the draft common format developed by the Secretariat to assist Parties and relevant organizations in making available information about their capacity-building resources through the ABS Clearing-House and provided comments for improvements. Consequently, the Secretariat finalized the common format and made it available online through the ABS Clearing-House.
- 4. The present document contains a preliminary analysis of existing ABS capacity-building resources to assist the second meeting of the Informal Advisory Committee in its deliberations under agenda item 4. Section II of the document outlines the methodology used in the analysis while Section III provides an overview of the existing resources in terms of their primary purpose and thematic coverage (i.e. the key areas and associated measures of the strategic framework covered). Section IV presents general findings from the preliminary analysis and provides some suggestions which may provide a basis for discussions and guide the Committee in its

^{*} UNEP/CBD/ABS/CB-IAC/2016/1/1.

deliberations regarding the need for the development of new resources, especially for key areas of the strategic framework that are currently not adequately covered.

II. METHODOLOGY

- 5. In preparing the compilation for the first meeting of the Committee, referred to in paragraph 3 above, ABS capacity-building tools and resources were considered to be those whose primary intent was to facilitate structured learning, impart new knowledge and skills through progressive instructive steps and/or provide guidance on how to perform specific tasks related to access and benefit-sharing. Other tools and resources that were not expressly developed for these purposes were considered to be general literature or reference material and not necessarily capacity-building resources.
- 6. At its first meeting, the Committee made a number of observations and suggestions concerning capacity-building tools and resources, which are summarized on pages 9 to 10 of the report of the meeting (<u>UNEP/CBD/ABS/CB-IAC/2015/1/3</u>). Among other things, the Committee recognized that generally capacity-building tools and resources fall under two categories: products and services. It was also recognized that some resources can be used for more than one purpose; for example some guidelines developed as national or regional ABS measures could also be used as capacity-building resources. Furthermore, it was generally agreed that, since awareness-raising represents the first stages of capacity-building, awareness-raising materials should be considered capacity-building resources.
- 7. Against this background, this analysis covers capacity-building resources developed with the primary purpose of assessing capacity-building needs, designing capacity-building initiatives, raising awareness, providing technical guidance, training or facilitating learning, and/or monitoring and evaluation of capacity-building initiatives and products to support the implementation of the Nagoya Protocol. The analysis is based on the list of capacity-building resources contained in the annex to the present document. The list is drawn from the compilation made available at the first meeting of the Committee (<u>UNEP/CBD/ABS/CB-IAC/2015/1/INF/1</u>) as well as additional resources compiled by the Secretariat since that meeting.
- 8. In the light of the views expressed at the first meeting of the Committee, some of the resources in the aforementioned compilation have been re-classified in line with above primary purposes. Furthermore, related resources earlier presented as individual separate items have been grouped together as one resource¹ to avoid unnecessary duplication and proliferation of resources. For example, some awareness raising materials (e.g. the 2-page factsheets and briefs produced by the Secretariat as part of a series for raising awareness about the Nagoya Protocol) have been grouped and registered in the ABS Clearing-House as one resource.
- 9. It is important to note that this analysis is quantitative in nature, focusing mainly on the number of known capacity-building resources.

III. OVERVIEW OF EXISTING CAPACITY BUILDING RESOURCES

10. This section provides a preliminary analysis of existing ABS capacity-building resources in terms of their primary purpose and their coverage of the key areas of the strategic framework for capacity-building and development to support the implementation of the Nagoya Protocol.

A. Primary purpose of the capacity-building resources

11. According to the information gathered by the Secretariat so far, nearly half of the ABS capacity-building resources (42% of the resources) are devoted to providing technical guidance² and 37% of the resources are focussed on raising awareness about ABS (see figure 1 below). Approximately 17% of the resources are designed as training or self-paced learning materials. Approximately 3% of resources are intended to assist Parties and

¹ As a result of such grouping, the number of awareness-raising materials analysed in the present document is less than the number of resources listed in the compilation (<u>UNEP/CBD/ABS/CB-IAC/2015/1/INF/1</u>) that was made available at the first meeting of the Committee.

² Guidance resources provide practical advice and support to users, including explanations/illustrations, instructions and/or advice for performing certain types of tasks and/or approaches. Examples include how-to guidelines, toolkits, manuals and handbooks.

stakeholders in assessing capacity-building needs, with roughly 2% intended to monitor and evaluate capacity-building initiatives and products. However, as recognized by the Committee at its last meeting, some of the resources could be serving more than one purpose.

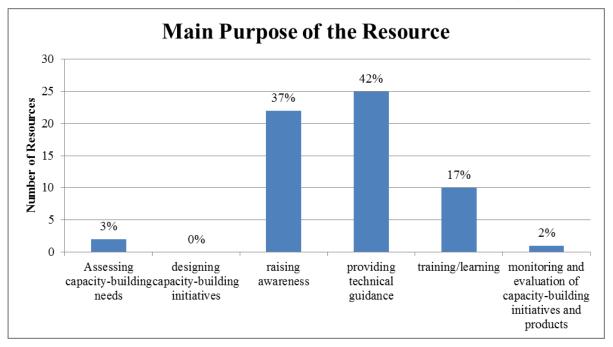
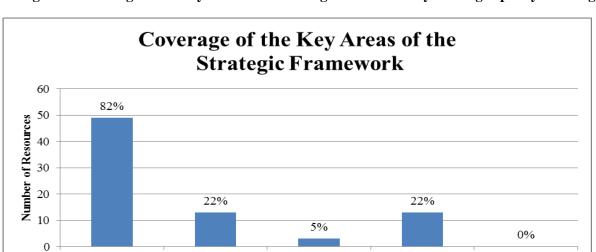


Figure 1: Existing resources according to their primary purpose

B. Thematic coverage of existing capacity-building resources

- 12. With regard to thematic coverage, the majority of existing capacity-building resources (49 resources or 82%) cover Key Area 1; 13 resources (or 22%) cover Key Area 2, 13 resources (or 22 %) support Key Area 4, 3 resources (or 5%) cover Key Area 3, and 0 resources (0%) cover Key Area 5 (see figure 2 below).
- 13. The results suggest that, in terms of numbers, Key Areas 1, 2 and 4 are relatively well covered by existing capacity-building resources, while Key Areas 3 and 5 are undercovered.



Key Area 1

Key Area 2

Figure 2: Coverage of the key areas of the strategic framework by existing capacity-building resources

14. Although the above analysis is preliminary and not based on a comprehensive list of existing access and benefit-sharing capacity-building resources, and may thus not lead to a conclusive assessment of the current

Key Area 4

Key Area 5

Key Area 3

status, it nonetheless provides a general picture of the different types of existing access and benefit-sharing capacity-building resources and their coverage of the key areas of the strategic framework.

IV. GENERAL OBSERVATIONS AND CONSIDERATIONS FOR THE WAY FORWARD

- 15. In accordance with its terms of reference, the Committee is expected to provide advice regarding the need for the development of new resources to facilitate capacity-building and development initiatives of Parties, other Governments, indigenous and local communities and other stakeholders.
- 16. The present document has been prepared to provide a basis for discussion and to assist the Committee in reviewing the current status regarding the types of existing capacity-building resources and their coverage of the key areas of the strategic framework. Though only preliminary at this stage, the analysis suggests that:
- (a) The majority of existing capacity-building resources are focused on providing technical guidance and raising awareness about ABS and Nagoya Protocol issues;
 - (b) Relatively fewer resources are available for training or self-paced learning;
- (c) Significantly few resources are available for assisting Parties and stakeholders in assessing their capacity-building needs, or for monitoring and evaluation of capacity-building initiatives and products;
- (d) No resources are currently available to guide Parties and stakeholders in designing capacity-building initiatives.
- 17. With regard to thematic coverage, the analysis suggests that:
- (a) Key Area 1 (Capacity to implement, and to comply with the obligations of, the Protocol) is currently covered by the largest number of existing resources;
- (b) Key Area 2 (Capacity to develop, implement and enforce domestic legislative, administrative or policy measures on ABS) and Key Area 4 (Capacity of indigenous and local communities and relevant stakeholders, including the business sector and the research community to participate in key processes and implement the Protocol) are covered by relatively fewer capacity-building resources;
 - (c) Key Area 3 (Capacity to negotiate mutually agreed terms is covered by very few resources;
- (d) No resources are currently known to be addressing Key Area 5 (Capacity of countries to develop endogenous research capabilities to add value to their own genetic resources).
- 18. Against this background, members of the Committee will be invited to share information on additional existing capacity-building resources that are not currently listed in the annex, as well as information regarding capacity-building resources that they may be planning to develop in order to support the implementation of the Nagoya Protocol. Now that the common format for capacity-building resources is available online through the ABS Clearing-House, members of the Committee as well as Parties and relevant organizations can register such information directly in the ABS Clearing-House.
- 19. Further to the above overview and the exchange of information, the second meeting of the Informal Advisory Committee may wish to consider questions regarding:
 - (a) What kind of additional ABS capacity-building resources need to be developed;
 - (b) How to engage more partners to develop more capacity-building resources;
- (c) How to facilitate coordination, synergy, coherence and complementarity in the development and use of capacity-building resources.

Annex

Table 1: List of existing access and benefit-sharing capacity-building resources

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³				
RESC	RESOURCES FOR ASSESSING CAPACITY BUILDING NEEDS /DESIGNING CAPACITY BUILDING INITATIVIES											
1.	ABS Capacity Scorecard	UNDP	2010	En	брр	http://www.undp.org /content/dam/undp/d ocuments/projects/B TN/GEF%20ABS% 20Tracking%20Tool _2014.docx	The scorecard is used by UNDP to assess national ABS institutional capacity, including through conducting baseline surveys involving relevant national agencies and other stakeholder groups/institutions with competency on ABS and tracking of the progress made over time.	NA ⁴				
2.	Country Diagnostic on the Status Quo of ABS/NP Implementation ⁵	ABS Capacity Developme nt Initiative					The ABS Initiative has developed questionnaires to conduct a diagnostic of the status quo of ABS/NP implementation which is currently being tested in the Initiative's 11 partner countries. The findings of the country diagnostics will be presented in a standardized reporting format and serve as basis for developing a national ABS road map.	NA				
TRAINING/LEARNING												

³ This refers to the key areas of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol.

⁴ NA implies that selection of a Key Area is not applicable because the resource does not contribute to building capacity for any particular theme *per se* but facilitates the capacity-building process in general.

⁵ This capacity building resource also falls under the main purpose category entitled "Designing Capacity Building Initiatives".

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
3.	BGCI Learning Modules on Access and Benefit-Sharing -Introduction to the Convention on Biological Diversity - The Development of an International Regime on Access to Genetic Resources and Benefit- Sharing - Key Articles of the Nagoya Protocol on Access to Genetic Resources and Benefit-Sharing - Practical Implementation for Botanic Gardens - Developing a Checklist/Toolkit for your Garden - Developing Contracts and Agreements	Botanic Gardens Conservati on Internation al (BGCI) and Kew Gardens	2015	En	6 modul es	https://www.bgci.or g/resources/abs_lear ning/	This suite of learning modules on ABS and the Nagoya Protocol has been created for those working in botanic gardens. They include modules, quick quizzes and PowerPoint presentations (for those interested in utilizing this resource for training purposes).	4
4.	E-Learning Modules Series on International Frameworks that Support Indigenous Peoples, Local Communities, and Their Territories and Areas Access and Benefit-sharing - Traditional knowledge and customary sustainable use of biodiversity	Natural Justice	2015	En, Es	2 modul es	http://naturaljustice. org/resources-and- research/e-learning- modules/	These e-learning modules have been developed by Natural Justice and its partners specifically to support the legal aspects of biocultural community protocols. The modules can be used as the basis for community discussions, workshops, and action planning about key legal frameworks that affect indigenous peoples and local communities and their territories and areas. They can also be used in conjunction with in-person legal advice and support from pro bono lawyers and human rights advocates in your country or region.	4

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
5.	Training modules on: Defining National ABS Strategies and Policies Indicative Steps of ABS Legal Reform Building ABS Measures: Institutional Arrangements Building ABS Measures: Access to Genetic Resources Building ABS Measures: Benefit Sharing Building ABS Measures: Indigenous peoples and local communities related provisions Building ABS Measures: Compliance Building ABS Measures: Complementary Measures	SCBD/ IDLO	Forthco ming 2016	En, Es, Fr	8 modul es	Forthcoming	The CBD Secretariat and IDLO are developing learning modules on national ABS strategies and policies, legal reform and building ABS measures for implementing the Protocol.	1, 2
6.	Learning modules on - The International Treaty on Plant Genetic Resources for Food and Agriculture - The Standard Material Transfer Agreement	ITPGRFA/ Bioversity Internation al	2010	En, Es, Fr	2 modul es	http://treatylearning module.bioversityint ernational.org/	The aim of this learning module is to develop knowledge and skills to implement the International Treaty on Plant Genetic Resources for Food and Agriculture and to use The Treaty's standard material transfer agreement (SMTA). The module is targeted to genebank managers and technical advisers to policymakers and can also be used for education.	1
7.	CBD IPLC Training materials - Strategic Plan for Biodiversity 2011-2020 - Customary Sustainable Use of Biological Diversity - Access and Benefit Sharing for Traditional Knowledge	Secretariat of the CBD/Fores t peoples Programme	2016	En, Es & Fr to follow	3 modul es	https://www.cbd.int/ tk/cb/trainingprogra mme.shtml	The training materials provide tools and guidelines to train trainers from indigenous peoples and local communities (IPLCs) to train others on the following topics: (a) the Strategic Plan for Biodiversity 2011-2020 and its Aichi Targets; (b) Customary Sustainable Use of Biological Diversity and (c) IPLCs and the Nagoya Protocol, including the potential roles of Community Protocols for traditional knowledge under the Convention and the Nagoya Protocol.	4

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
8.	The CBD for Botanists: An introduction to the Convention on Biological Diversity for People working with botanical collections	Kew Royal Botanic Gardens/D arwin Initiative		En, Es, Fr		http://plantnetwork. org/wordpress/wp- content/uploads/857 3/CBD_for_Botanist s_V2.pdf	This presentation pack provides basic information on the CBD for botanical institutions. It focuses on the issue of "access to genetic resources and benefit-sharing", and its practical implications. The pack includes slides and suggested speaker's notes, and a resources section offering more detailed information, useful links and suggestions for further reading. It also includes the text of the CBD and the Bonn Guidelines. It is designed to be a flexible training tool, adaptable to different audiences, but can also be read for practical guidance on implementation. The pack is a product of a recent Darwin Initiative project.	4
9.	The ABS Clearing-House E- Learning Module	SCBD	Forthco ming 2016	Ar, En, Es, Fr, Ru, Zh	1 modul e	forthcoming	This e-learning module helps participants become familiar with (a) the role of the ABS Clearing-House in translating ABS and the Nagoya Protocol into practice, (b) the different types of information that can be registered through the ABS Clearing-House, (c) best practices for submission/adding of information; and (d) how to find information in the ABS Clearing-House.	1,4
10.	Step by Step Guide Series for the ABS Clearing-House	SCBD		En	13 guides	forthcoming	This step by step guide series is being developed to assist Parties, Non-Parties, partners, and other stakeholders to submit relevant information to the ABS Clearing-House.	1,4
11.	E-Learning Modules Developed with the UN Staff College - What is ABS - Ratification of the Nagoya Protocol	SCBD and UN Staff College		En	2 modul es	forthcoming	The "What is ABS" module introduces the concept and practice of accessing genetic resources and the sharing of benefits arising from their utilization. It describes the key ABS terminology and concepts, the core principles of ABS and practical examples of key procedures, good practices and lessons learned in the implementation of ABS. The module on ratification aims to provide practical guidance, information and experiences regarding becoming a Party to the Nagoya Protocol.	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³		
12.	PowerPoint: Key Elements of ABS Frameworks: EU, France, Germany, Denmark	ABS Capacity Developme nt Initiative	2015	En	14 slides	http://www.abs-initiative.info/filead min/media/Events/2 015/23-27_February_2015Addis_AbabaEt hiopia/2.3-Meyer_EUFramewo rk.pdf	This PowerPoint presentation highlights the key elements of ABS Frameworks drawing on examples from Europe.	1		
TECHNICAL GUIDANCE										
13.	Biocultural Community Protocols: A Toolkit for Community Facilitators	Natural Justice	2012	En, ES	120pp	http://naturaljustice. org/wp- content/uploads/201 5/09/Biocultural- Community- Protocols- Toolkit.pdf	This toolkit is intended to support communities to secure their rights and responsibilities and strengthen customary ways of life and stewardship of their territories and resources.	4		
14.	Guide for ABS Contract Contents	Fridtjof Nansen Institute	Forthco ming			Forthcoming	A short guide to the basics of drafting ABS contracts is in the final stage of development by the FNI. The guide will shed some light on the most important elements to be included and resolved in an ABS contract in order to ensure a functional legal relationship between the user and the provider.	3		
15.	Guide: How (Not) to Negotiate Access and Benefit Agreements	ABS Initiative	2014	En	20pp	http://www.abs- initiative.info/filead min//media/Knowle dge Center/Pulicati ons/ABS_AGreeme nt/How not to neg otiate Access and Benefit Agreements _20140711.pdf	Using real cases and different scenarios, this manual assists those negotiating access and benefit-sharing (ABS) agreements. The manual seeks to be holistic, offering a hands-on approach to negotiating ABS agreements.	3		

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
16.	Methodology for Developing Policies and Laws for Access to Genetic Resources and Benefit Sharing	Internation al Plant Genetic Resources Institute (IPGRI)	2006	En	35 pages	http://www.bioversit yinternational.org/e- library/publications/ detail/methodology- for-developing- policies-and-laws- for-access-to- genetic-resources- and-benefit-sharing/	This methodology is intended to be a guide to thinking through the process of policy development in the context of access to genetic resources so as to promote the effectiveness of the resulting regimes in the situations in which they are required to function. It can be used in the generation of new policies or laws; alternatively, it could also be applied in the context of evaluating existing policies and legislation to identify where gaps, administrative burdens and transaction costs in general may be negatively affecting implementation.	1, 2
17.	South Africa's Bioprospecting, Access and Benefit-sharing Regulatory Framework. Guidelines for Providers, Users and Regulators	Department of Environme ntal Affairs, Republic of South Africa	2012	En	81pp; 3.59 MB	http://eeu.org.za/DE A%20BABS%20Gu idelines%20Web.pdf	These guidelines are for providers, users and regulators of the use of biological and genetic resources and the associated traditional knowledge, and they outline a practical approach for compliance with the legislation. The guidelines endeavor to assist different stakeholders to understand the legal requirements and their rights in terms of the law.	1, 2
18.	Strategic Communication for ABS: A Conceptual Guide and Toolkit for Practitioners ("CEPA Guide")	ABS Capacity Developme nt Initiative	2012	En, Es, Fr, Portuguese	88pp; 3.49 MB	http://www.abs- initiative.info/knowl edge- center/publications/	The guide "Strategic communication for ABS", available in English, French, Spanish, and Portuguese, provides a vast toolbox for communication, education and public awareness (CEPA) around ABS. It offers advice about the role, relevance and use of communication for implementing ABS systems at the national level and gives an overview of communication considerations, approaches and methods for the different phases of ABS implementation.	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
	The ABS Management Tool: Best Practice Standard and Handbook for Implementing Genetic Resource Access and Benefit- Sharing Activities	Stratos Inc./ Swiss State Secretariat for Economic Affairs (SECO)	2012	En	105 pp	http://www.sib.admi n.ch/fileadmin/chm- dateien/ABS- Protokoll/ABS_MT/ Updated_ABS_Man agement Tool May 2012.pdf	Following the adoption of the Nagoya Protocol, the ABS Management Tool (ABS-MT) was updated to accommodate new language of the Nagoya Protocol and to assist the users and providers of genetic resources to apply current best practices. The updated ABS-MT includes a new section providing guidance to the Parties to the Convention on Biological Diversity to prepare for the introduction and further implementation of the Nagoya Protocol.	1,3
19.	Translated versions of the ABS Management Tool	Biodiversit y Conservati on Agency, Viet Nam; Ministry of Environme ntal Conservati on and Forestry, Myanmar	2014	Burmese, Vietnamese		CD ROM	The ABS Management Tool has been translated into Burmese and Vietnamese under the UNEP-GEF supported project "Building Capacity for Regionally Harmonized National Processes for Implementing CBD Provision on Access to Genetic Resources and sharing of Benefits" executed by the ASEAN Centre for Biodiversity.	
20.	Understanding the Nagoya Protocol on Access and Benefit- sharing. Background Material and Instructions for Role Play	IUCN		En	15 pp		Presented in the role play is a hypothetical scenario with fictitious countries, parties and species. The hypothetical scenario is designed to raise awareness about access and benefit-sharing (ABS) issues as they relate to the Convention on Biological Diversity (CBD) and its Nagoya Protocol on ABS.	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
21.	Access and Benefit-Sharing	Office of Natural Resources and Environme ntal Policy and Planning, Ministry of Natural Resources and Environme nt, Thailand	2014	En, Thai	28 pp; 12 MB	CD ROM	General information on ABS and the Nagoya Protocol developed by Thailand under the UNEP-GEF Regional Project on ABS (ASEAN and Timor-Leste).	1
22.	Community Protocols for Environmental Sustainability	UNEP, in collaborati on with EDO NSW (Australia)	2013	En	97pp 1.81 MB	www.unep.org/delc/ Portals/119/publicati ons/Community_Pro tocols_Guide_Polic ymakers.pdf	This guide has been written to help policymakers and other stakeholders understand what community protocols are, why they are important, and how they can support their development and recognition within formal environmental legal and policy frameworks.	2,4
23.	Nagoya Protocol on Access and Benefit Sharing. Summary Guidance to Parties' Obligations and Commitment under the Protocol and means for their incorporation into domestic legislative, administrative and/or policy frameworks	CARICOM		En	9рр		Summary Guidance to Parties' Obligations and Commitment under the Protocol and means for their incorporation into domestic legislative, administrative and/or policy frameworks.	1,2
24.	The Convention on Biological Diversity and the Nagoya Protocol: Intellectual Property Implications - A Handbook on the Interface between Global Access and Benefit Sharing Rules and Intellectual Property	UNCTAD	2014	En	214pp 1.79 MB	http://unctad.org/en/ PublicationsLibrary/ diaepcb2014d3_en.p df	This publication has been developed as a handbook aimed at better understanding the intellectual property implications of the 1992 Convention on Biological Diversity and the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits arising from their Utilization. When used as a textbook, it can be adapted to courses of various formats, including lectures, distance learning and blended learning.	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
25.	The Nagoya Protocol on ABS and its Relevance for Timor-Leste	Secretariat of State for the Environme nt – Timor- Leste	2014	En, Tetum	25 pp; 7.73 MB	CD ROM	Information on the Nagoya Protocol and its relevance for Timor-Leste developed under the UNEP-GEF Regional Project on ABS (ASEAN and Timor-Leste).	1
26.	ABS Bulletin: Milestones in Regional Cooperation and Learning on ABS	ACB		En	12 pp	CD ROM	ABS Bulletin developed under the UNEP-GEF Regional Project on ABS (ASEAN and Timor-Leste).	1
27.	Acceso a Recursos Genéticos en América Latina y el Caribe: - Herramientas de Apoyo para la Implementación - Implementación del Protocolo de Nagoya a Nivel Nacional - Investigación, Comercialización y Cosmovisión Indígena - Seis Estudios de Caso en América Latina y el Caribe: - Acceso a Recursos Genéticos y Distribución de Beneficios	IUCN	2014	Es		http://www.portalces .org/biblioteca/distri bucion-equitativa- de-costos- beneficios?keys=Ac ceso+a+Recursos+G en%C3%A9ticos+e n+Am%C3%A9rica +Latina+y+el+Carib e	A series of practical tools have been developed by the Regional Project-ABS-LAC to improve capacities in the field of ABS through the sharing of experiences and lessons learned. These publications have been assembled from the knowledge of a range of experts (national and regional authorities, indigenous and local communities, researchers, academia, private sector, etc.).	1, 2
28.	Mutually Supportive Implementation of the Plant Treaty and the Nagoya Protocol - A Primer for National Focal Points and Other Stakeholders. Discussion Draft.	Bioversity Internation al	2015	En, Fr	71pp	http://www.bioversit yinternational.org/e- library/publications/ detail/mutually- supportive- implementation-of- the-plant-treaty-and- the-nagoya- protocol/	This report of the tandem workshop for national focal points of the Nagoya Protocol and the International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA) addresses a number of scenarios at the interface between the two instruments and provides guidance for policy and administrative actors in their mutually supportive implementation at the national level. The workshop was organized in June 2014 by the ABS Initiative and Bioversity International.	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
29.	Bonn Guidelines on Access to Genetic Resources and Fair and Equitable sharing of the Benefits Arising out of Their Utilization Translated versions of the Bonn Guidelines	SCBD	2002	Ar, En, Es, Fr, Ru, Zh, De	26 pp	https://www.cbd.int/ abs/bonn/	These voluntary guidelines are meant to assist Parties, Governments and other stakeholders when establishing legislative, administrative or policy measures on access and benefit-sharing and/or when negotiating contractual arrangements for access and benefit-sharing.	1, 2
30.	Guidelines on Access to Biological Resources and Associated Knowledge and Benefits Sharing Regulations	Ministry of Environme nt, Forests and Climate Change, National Biodiversit y Authority, India	2014	En, Hindi	9 pp	https://absch.cbd.int/api/v2013/document s/E9B01EDF-9973- 167E-AEDD- 5C988379E29F/atta chments/Guidelines %20on%20Access% 20and%20Benefit% 20Sharing_%20India%202014.pdf	Legislative, administrative or policy measure	1, 2
31.	Draft guidelines for the development of mechanisms, legislation or other appropriate initiatives to ensure the [free,] prior informed consent [or approval and involvement] of indigenous peoples and local communities for accessing their knowledge, innovations and practices, the fair and equitable sharing of benefits arising from the use and application of such knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity, and for reporting and preventing unlawful appropriation of traditional knowledge	SCBD	Forth-co ming 2016	Ar, En, Es, Fr, Ru, Zh,		https://www.cbd.int/doc/?meeting=COP-13	Draft voluntary guidelines to be adopted by COP-13 to assist Parties in the development of national mechanisms, legislation or other appropriate initiatives in order to assist users and providers of traditional knowledge.	1, 2, 4

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
32.	African Union guidelines for the coordinated implementation of the Nagoya Protocol on ABS	African Union	2015	En, Fr			The AU Strategic Framework for the Coordinated Implementation of the Nagoya Protocol on ABS has been adopted by the African Ministerial Conference on the Environment (AMCEN 15) in March 2015 and endorsed by the AU Executive Council Meeting in June 2015. The Strategic Framework and the Technical Guidelines provide political guidance in developing a national and (sub-) regional ABS strategy as well as step-by-step guidance for developing and implementing a regulatory ABS framework at national level.	1,2
33.	An Explanatory Guide to the Nagoya Protocol on Access and Benefit-sharing	IUCN	2012	En, Es, Fr, Zh	372 pp	https://www.iucn.or g/about/work/progra mmes/global_policy /gpu_resources/?uPu bsID=4763	The main goal of the explanatory guide is to facilitate the understanding of the legal obligations of the Parties under the Nagoya Protocol. The publication serves as an adaptable tool for future ABS capacity-building and awareness raising initiatives, as well as an important reference for countries in their efforts to implement the Nagoya Protocol and operationalize ABS in practice.	1, 2
34.	ABS Elements to Facilitate Domestic Implementation of Access and Benefit-Sharing for Different Subsectors of Genetic Resources for Food and Agriculture	CGRFA	2015		42 pp	http://www.fao.org/3 /a-i5033e.pdf	The ABS Elements aim to assist Governments considering developing, adapting or implementing ABS measures to take into account the importance of GRFA, their special role for food security and the distinctive features of the different subsectors of GRFA, while complying, as applicable, with international ABS instruments.	1, 2
35.	A Guide to Access to Genetic Resources and Community Knowledge and Benefit Sharing in Ethiopia	Institute of Biodiversit y Conservati on	2012	En	28 pp		This guide seeks to provide a simple and concise summary of ABS procedures in Ethiopia. It is hoped that the guide will be used by local and foreign stakeholders as a manual and reference.	1, 2

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³			
36.	Community Protocols Toolbox	Natural Justice	2016	En		http://naturaljustice. org/community- protocols-toolbox/	This toolbox breaks the community protocol process down into specific elements and provides context and issues to consider for each of those elements. It provides participatory tools, information on protocols in the context of extractive industries, and information about where relevant national and international laws can be found to support the legal empowerment elements of the protocol process. Though geared for facilitators, it is useful to anyone who is interested in understanding the major elements of community protocols and what to consider before developing one.	4			
AWA	AWARENESS-RAISING MATERIAL										
Video	os, Documentaries, Posters, Photo S	tories	1			T		1 .			
37.	Video: ABS Simply Explained	ABS Capacity Developme nt Initiative		En, Es, Fr, De	5 min	http://www.abs- initiative.info/knowl edge- center/multimedia/#j fmulticontent_c1357 -3	Short video clip to explain ABS and the Nagoya Protocol in 5 minutes.	1			
38.	Video/ Documentary: People, Plants and Profit	ABS Capacity Developme nt Initiative	2012	En, Es, Fr	25 min	http://www.abs- initiative.info/knowl edge- center/multimedia/#j fmulticontent c1357	This 25-minute film illustrates the basic principles of ABS in the context of the Nagoya Protocol, with the help of three real life cases: Hoodia (South Africa), Argan (Morocco) and Teff (Ethiopia).	1			
39.	ABS Videos	Governmen t of the Lao People's Democratic Republic and Viet Nam; ⁶ ACB	2014	En, Laotian, Thai, Vietnamese		CD ROM	Videos on ABS developed under the UNEP-GEF Regional Project on ABS (ASEAN and Timor-Leste).	1			

⁶ Biotechnology and Ecology Institute of the Lao People's Democratic Republic and the Biodiversity Conservation Agency of Viet Nam.

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
40.	Video: Biocultural Community Protocols	Natural Justice		En, Fr, Es		http://naturaljustice. org/	This explanatory video was produced by Moving Images and Natural Justice with the support of the ABS Initiative. The video highlights the potential and possible role of BCPs for community members and facilitators interested in developing community protocols.	1, 4
41.	Video on Genetic Resources and Associated Traditional Knowledge	Suriname Directorate of the Environme nt	2015	NI	60 min	https://www.youtube .com/watch?v=4QE O3IBPIwg&feature =youtu.be&t=50m1 0s	Awareness-raising segment in Dutch on genetic resources and associated traditional knowledge. This segment is available on YouTube as part of a longer environmental programme	1
42.	ABS Poster (Information poster with explanation of ABS, opportunities, challenges, PIC, MAT, TK and call for action)*	ACB	2014	En		CD ROM	Poster with explanations on ABS, opportunities, challenges and call for actions developed under the UNEP-GEF Regional Project on ABS (ASEAN and Timor-Leste).	1
43.	Posters: Sectoral ABS cases - Opportunities provided by the Nagoya Protocol (Pharmaceutical Sector - The Bahamas, Cosmetics Sector - Cook Islands, Agricultural Sector - Ethiopia)	ABS Capacity Developme nt Initiative		En	3 posters	http://www.abs- initiative.info/filead min/media/Knowled ge_Center/Multimed ia/Poster/ABS- Infoposter_Ansicht. pdf	Posters illustrating ABS cases in the pharmaceutical, cosmetics and agricultural sectors.	1
44.	Photo Stories: Maldhari Pastoralists, India and Traditional Healers of Bushbuckridge, South Africa	Natural justice				https://www.youtub e.com/watch?v=YM nonrrw1ms; https://www.youtube .com/watch?v=67xY tcMfBns; https://www.youtube .com/watch?v=YxL Un8155dY	The two examples of community protocols in ABS told through photo stories have been developed by Natural Justice with the support of the ABS Initiative.	1, 4
Facts	heets, Policy Briefs, Information Ki	ts	1	T	T		TTI C . 1 .	1
45.	Factsheets on the Nagoya Protocol on ABS - Access and benefit-sharing - The Nagoya Protocol - How to become a Party to the Nagoya Protocol	SCBD	2011	Ar, En, Es, Fr, Ru, Zh,	2 pp	https://www.cbd.int/ abs/awareness- raising/default.shtml	The factsheets are meant to provide readers with a broad understanding of the key issues related to the Nagoya Protocol. They are relevant for Governments and stakeholders wishing to raise awareness of the Nagoya Protocol, and are meant to encourage its early ratification and effective implementation.	1

UNEP/CBD/ABS/CB-IAC/2016/1/3

Page 18

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
46.	Factsheets on ABS	Viet Nam	2013	Vietnamese	15 pp; 1.12 MB	CD ROM	Factsheet on ABS developed by Viet Nam under the UNEP-GEF Regional Project on ABS (ASEAN and Timor-Leste)	1
47.	Fact Sheet: The Nagoya Protocol on Access and Benefit-sharing	CARICOM	2014	En	3 pp	http://www.abs- initiative.info/filead min//media/ABS_Si mply_explained/Na goya_Protocol/CAR_ICOM_Secretariat_ Nagoya_Protocol_F act_Sheet.pdf	Fact sheet on the Nagoya Protocol and ABS by CARICOM	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
48.	Information Briefs:	IUCN		En, Es	6 Inform ation Briefs	http://www.portalces .org/autor/thomas- greiber-and-sonia- pena-moreno	Information briefs on different Articles of the Nagoya Protocol.	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
49.	Policy Brief Series:	De Jesus, C.; Foronda, A.; Schmidt, S; Sukprasert, S.; Tolentino, A; ACB; Ministry of Environme ntal Conservati on and Forestry - Myanmar	2013/ 2014	English; Burmese	9 Briefs	http://abs.aseanbiodi versity.org/index.ph p?option=com_cont ent&view=article&i d=43&Itemid=249& jsmallfib=1&dir=JS ROOT/ABS+Fact+S heets+and+Policy+ Briefs/Policy+Briefs	Policy briefs on different topics regarding ABS and the Nagoya Protocol developed under the UNEP-GEF Regional Project on ABS (ASEAN and Timor-Leste).	

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
50.	Policy Briefs and Factsheets on the Nagoya Protocol: Bioscience at a crossroads - Implementing the Nagoya Protocol - The Agricultural Sector - Botanicals - The Cosmetics Sector - The Food and Beverage Sector - Industrial Biotechnology - The Pharmaceutical Industry	Sarah Laird and Rachel Wynberg, SCBD	2013	Briefs: En Factsheets: Ar, En, Es, Fr, Ru, Zh	7 Briefs: 20 pp each 7 Factsh eets: 2pp each	https://www.cbd.int/ abs/policy- brief/default.shtml/	The Secretariat developed a series of communication materials as part of a partnership with the United Nations University-Institute of Advanced Studies under the GEF-MSP on Capacity-building for the early entry into force of the Nagoya Protocol. The materials are meant to provide an overview of the types of ABS-related activities carried out in different sectors (i.e. pharmaceuticals, agriculture, industrial biotech, cosmetics, botanicals and food and beverage) as well as an analysis of the relevance and impact of the Nagoya Protocol for these sectors in the light of recent trends and practices. In total, seven factsheets and policy briefs were developed.	1,4
51.	Biocultural Community Protocols	Natural Justice		En	8 pp	http://naturaljustice. org/wp- content/uploads/201 3/08/Brief-intro- BCP.pdf	This briefing note provides an introduction to the experiences and lessons learned of indigenous peoples and local communities with developing and using biocultural community protocols as part of ongoing initiatives to secure their territories and areas.	1, 4
52.	Implementing Access & Benefit-Sharing (ABS) & Ensuring Academic Non-Commercial Research for the Conservation & Sustainable Use of Biodiversity	Diversitas, ICSU, IUBS, SCNAT		En, Sp, Fr, Portuguese	2pp	http://www.diversita s- international.org/res ources/outreach/abs- docs/Exec- summary_ENG.pdf	The ABS project in Latin America and the Caribbean of DIVERSITAS, ICSU-ROLAC, SCNAT and IUBS, in collaboration with the GEF ABS LAC Project/IUCN-Sur and the University of Bonn, provided a pilot experience in initiating a dialogue between academic researchers and policy-makers. This document compiles its key messages.	1

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
	ABS Information Kit: - Access and Benefit-sharing; - Uses of Genetic Resources; - Traditional Knowledge; - the Bonn Guidelines; - National Implementation; - The Nagoya Protocol Translated versions of the ABS Information Kit	SCBD	2011	Ar, En, Es, Fr, Ru, Zh,	37 pp; 2.20 MB	http://www.cbd.int/a bs/awareness- raising/default.shtml	A generic information kit was developed by SCBD to present the basics of access and benefit-sharing in simple language. The information kit is available in the six official languages of the United Nations (Arabic, Chinese, English, Spanish, French and Russian) and in Portuguese.	1
53.		Governmen ts of Myanmar, Lao PDR, Thailand, Viet Nam and Timor- Leste ⁷ *	2014	Burmese, Khmer, Lao, Thai, Vietnamese, Tetum		CD ROM	The translated versions of the ABS Information Kit have been developed under the UNEP-GEF supported project "Building Capacity for Regionally Harmonized National Processes for Implementing CBD Provision on Access to Genetic Resources and sharing of Benefits" executed by the ASEAN Centre for Biodiversity.	1
		Governmen t of Brazil	2011	Portuguese		http://www.cbd.int/a bs/awareness- raising/default.shtml	This version of the ABS Information Kit has been translated thanks to the Government of Brazil.	1
54.	UEBT Access and Benefit Sharing Factsheets: - ABS Rules in the EU 2016 - ABS fact sheet Republic of Korea - ABS fact sheet Peru - ABS fact sheet Turkey	UEBT	2016	En	Fact sheets 2pp – 6 pp	http://ethicalbiotrade .org/resources/	These factsheets have been developed by the UEBT and covers key elements of ABS in the European Union, Republic of Korea, Peru, and Turkey.	1

⁷ Ministry of Environmental Conservation and Forestry of Myanmar; Ministry of Environment of Cambodia; Biotechnology and Ecology Institute of the Lao People's Democratic Republic, Office of Natural Resources and Environmental Policy and Planning of Thailand; Biodiversity Conservation Agency of Viet Nam and Secretariat of State for the Environment of Timor-Leste.

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
55.	UEBT Access and Benefit Sharing Publications - Biodiversity and innovation - ABS Basic Info Sheet - Frequently Asked Questions on the Nagoya Protocol on ABS - ABS Rules in Brazil, The EU, India and South Africa - ABS evolving laws and regulations around the world - ABS: understanding implications for industry - Navigating access and benefit sharing procedures in Brazil - ABS y su ámbito de aplicación en el Perú - ABS partnership UEBT, Phytotrade Africa & ABS Initiative - Technical Brief on Nagoya Protocol *Point Presentations	UEBT	2009- 2015	En Sp, Fr, Portuguese			The UEBT has produced a variety of resources on access and benefit sharing. These resources are predominantly awareness raising material.	1
56.	PowerPoint Presentation of ABS Information Kit on: - Access and Benefit-sharing; - Uses of Genetic Resources; - Traditional Knowledge; - the Bonn Guidelines; - National Implementation - The Nagoya Protocol	SCBD	2011	En, Fr, Es	53 KB	http://www.cbd.int/a bs/information-kit- en/powerpoint.shtml	PowerPoint slides on the ABS Information Kit presenting the basics of access and benefit-sharing in simple language are available in English, French and Spanish.	1
57.	Awareness Raising Power Point Presentation (as a Tool to be Customized): National Level Implementation of Access to GR and Benefit-sharing Arising out of their Utilization (ABS)	Relations internation ales et Francopho nie Québec		En	35 slides 771 KB	https://www.mrif.go uv.qc.ca/content/doc uments/en/ABS_sen sitiz_EN_2008- 07.pdf	This presentation is an information and sensitization tool regarding the potential of valuing genetic resources using ABS. It provides a summary of the international dimension of ABS, in the context of implementation of it at national and regional levels.	1

UNEP/CBD/ABS/CB-IAC/2016/1/3

Page 24

No.	Title of the tool/resource	Author/ Publisher	Year	Language	Size	Web link/source	Description	Key Areas ³
58.	PowerPoint Presentation: Introduction to the Nagoya Protocol on Access and Benefit- sharing	IUCN	2013	En	30 slides		General introduction to the Nagoya Protocol and ABS.	1
RES	OURCES FOR MONITORING ANI	D EVALUATI	ON OF CA	PACITY BUIL	DING IN	TIATIVES AND PRO	DUCTS	
59.	GEF-6 Tracking Tool for Biodiversity Programmes (GEF 6: Objective 3, Programme 8: Implementing the Nagoya Protocol on ABS)	GEF	2014	En		https://www.thegef. org/gef/node/11306	The tracking tool is used to measure progress in achieving the impacts and outcomes established under the biodiversity focal area. The tool for Programme 8: Implementing the Nagoya Protocol on ABS, specifically tracks progress towards developing the capacity of countries to ratify the Nagoya Protocol, to implement and administer the measures for its implementation, to develop endogenous research capabilities to add value to their own genetic resources and to address the capacity needs and priorities of indigenous and local communities and other relevant stakeholders. Section 2 tracks the development and implementation ABS agreements in the context of pilot bioprospecting efforts.	NA