

Reference Record Type:<sup>1</sup> #ABSCH-CB

**ABS Capacity-Building Initiative (Activity/Project/Programme)**

*Mandatory information fields are marked with an asterisk. Failure to provide information for these fields may result in the record not being made available to the ABS Clearing-House.*

*(To enter any text or to check the box, please double click the shaded area)*

**INTRODUCTION**

Article 22 of the Nagoya Protocol provides that Parties shall cooperate in the capacity-building, capacity development and strengthening of human resources and institutional capacities to effectively implement the Protocol in developing country Parties, including existing global, regional, subregional and national institutions and organizations. In this context, Parties should also facilitate the involvement of indigenous and local communities and relevant stakeholders, including non-governmental organizations and the private sector.

Article 22, paragraph 6, provides that information on capacity-building and development initiatives at national, regional, international levels should be provided to the ABS Clearing-House with a view of promoting synergy and coordination on capacity-building and development for ABS.

Having all information on capacity-building projects and initiatives available in one database can provide opportunities for coordination among actors involved in capacity-building in the same geographical area or covering similar thematic issues and to learn from each other experiences. It can also help to identify and address gaps and overlaps in the coverage of capacity-building initiatives.

General information	
1. *Is this a new record or a modification to an existing record: <sup>2</sup>	<input checked="" type="checkbox"/> New record OR <input type="checkbox"/> Update of an existing record <clearing-house record number>
2. *Title:	Building capacity for regionally harmonized national processes for implementing CBD provisions on access to genetic resources and sharing benefits
3. Project number or identifier: <sup>3</sup>	GEF Project ID 3853
4. *Geographic Scope:	<input type="checkbox"/> Global

<sup>1</sup> Reference records contain information relevant to the Protocol (but not reported as part of a Party's obligations under the Protocol). This information can be made available to the Virtual Library of the ABS Clearing-House by any registered user (including representatives of Governments, indigenous and local communities, academia, nongovernmental organizations, research institutions, business representatives, etc.). It has to be validated by the Secretariat prior to being made available in the ABS Clearing-House. The information submitted through this common format will be made available at <http://absch.cbd.int/xxx>.

<sup>2</sup> To view the record number, go to <http://absch.cbd.int/> and select the applicable record under your country profile. The number ID is listed as "Record identifier" (example: ABSCH-XX-XX-XXXXXX).

<sup>3</sup> Please provide any project number, identifier or reference number, if applicable.

	<p>↳ &lt;Geographical or political/economic group(s)&gt; and/or &lt;Country name&gt;</p> <p><input checked="" type="checkbox"/> Regional or sub-regional</p> <p>↳ ASEAN and/or Timor-Leste</p> <p><input type="checkbox"/> National/Federal</p> <p>↳ &lt;Country name&gt;</p> <p><input type="checkbox"/> Sub-national</p> <p>↳ &lt;Country name&gt; and Name of the sub-national jurisdiction: &lt;Text entry&gt;</p> <p><input type="checkbox"/> Community</p> <p>↳ &lt;Country name&gt; and Name of the community: &lt;Text entry&gt;</p>
5. *Status:	<p><input type="checkbox"/> Proposed (not yet approved)<sup>4</sup></p> <p><input type="checkbox"/> Approved<sup>5</sup></p> <p><input type="checkbox"/> Ongoing</p> <p><input checked="" type="checkbox"/> Completed</p>
6. *Timeframe:	<p>Starting date: 2011-08-01</p> <p>Ending date: 2014-06-30</p> <p>OR</p> <p>Duration: &lt;Text entry&gt;</p>
7. *Agency(ies) or organisation(s) implementing and/or executing the initiative: <sup>6</sup>	<p><input checked="" type="checkbox"/> Implementing agency(ies) or organization (s):<sup>7</sup> United Nations Environment Programme - Global Environment Facility</p> <p><i>Please enter the clearing-house record number containing information about the entity (ies) responsible for overseeing and administering the development and implementation of the initiative. If it is not registered, complete and attach an “organisations” common format.<sup>8</sup></i></p>

<sup>4</sup> “Proposed” refers to initiatives for which concepts have been developed but not yet submitted to donors or are submitted but not yet accepted/approved by donors.

<sup>5</sup> “Approved” refers to initiatives whose project concepts have been accepted/approved by donors in principle and are being developed/elaborated into full proposals/project documents.

<sup>6</sup> In some instances an agency could play both the implementing and executing roles. In those cases, please select both boxes.

<sup>7</sup> Implementing agency refers to the organisation or legal entity responsible for developing the initiative and overseeing its implementation, monitoring and evaluation, including making funding available, ensuring that fiduciary standards and quality assurance are applied, and preparation of the project completion reports. The implementing agency is ultimately the entity responsible for the completion of the initiative and is accountable to the donor.

<sup>8</sup> All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

	<p>AND/OR</p> <p><input checked="" type="checkbox"/> Executing agency(ies) or organization (s):<sup>9</sup> ASEAN Centre for Biodiversity; United Nations University; and the ASEAN Secretariat</p> <p><i>Please enter the clearing-house record number containing information about the entity(ies) directly carrying out activities of the initiative, managing its resources and reporting on the progress. If it is not registered, complete and attach an “organisations” common format.<sup>10</sup></i></p> <p>AND/OR</p> <p><input type="checkbox"/> Collaborating partner(s):<sup>11</sup> Brunei Museums Department and Ministry of Development-Brunei Darussalama; Biodiversity Conservation Agency-Viet Nam; Biodiversity Management Bureau-Philippines; Biotechnology and Ecology Institute-Lao PDR; Environmental Conservation Department-Myanmar; General Department of Administration for Nature Conservation and Protection-Cambodia; Ministry of Environment-Indonesia; Ministry of Natural Resources and Environment-Malaysia; National Parks Board-Singapore; Office of Natural Resources and Environmental Policy and Planning-Thailand; Secretariat of State for Environment-Timor Leste</p> <p><i>Please enter the clearing-house record number containing information about the entity(ies) contributing, or providing direct support, to the implementation of the initiative. If it is not registered, complete and attach an “organisations” common format.<sup>12</sup></i></p>
8. Contact person(s):	<p>Mr. Roberto V. Oliva and Mr. Anthony Foronda</p> <p><i>Please enter the clearing-house record number containing this information or, if not registered, attach a “Contact details” common format.<sup>13</sup></i></p>
9. *Type of capacity-building initiative:	<p><input checked="" type="checkbox"/> Project/programme<sup>14</sup></p> <p><input type="checkbox"/> Component as part of a related project/programme/initiative<sup>15</sup></p> <p style="padding-left: 40px;">↳ <i>Please provide further information on the project/programme/initiative: &lt;Text entry&gt;</i></p> <p><input type="checkbox"/> Stand-alone activity<sup>16</sup></p>
10. *Project document or document describing the initiative:	<p>&lt;URL and website name&gt;</p> <p>and/or &lt;Attachment&gt;</p>

<sup>9</sup> Executing agency refers to the organisation/institution directly managing the initiative, including the day-to-day planning, coordination and execution of its activities, monitoring and reporting on the progress made, procuring and managing resources (staff, funds, equipment, etc.), and carrying out other project management functions.

<sup>10</sup> All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

<sup>11</sup> Collaborating partners refer to entities or organizations making significant financial or other contribution to the initiative and/or actively supporting or collaborating in the implementation of the initiative.

<sup>12</sup> All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

<sup>13</sup> All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>

<sup>14</sup> Select this option if the entire project or programme is for building capacity in ABS.

<sup>15</sup> Select this option if the ABS-related activity/component is part of a broader non-ABS project (e.g. a protected area project with an ABS component or activity) and provide the title and further details about the broader project/initiative.

<sup>16</sup> Select this option if the activity is not part of a project or programme.

<b>Detailed information</b>	
11. *Short description of the initiative, including its goals and objectives ( <i>max 150 words</i> ):	The project aims to develop national ABS frameworks; Increase understanding of ABS issues; and Improve public understanding of the contribution ABS can make to biodiversity conservation and sustainable livelihoods
12. Target group(s)/beneficiary(ies):	<input checked="" type="checkbox"/> Civil society organizations <input type="checkbox"/> General public <input checked="" type="checkbox"/> Government <input checked="" type="checkbox"/> National/federal <input type="checkbox"/> Sub-national <input checked="" type="checkbox"/> Indigenous peoples and local communities <input type="checkbox"/> Intergovernmental organizations <input type="checkbox"/> Media <input checked="" type="checkbox"/> Private sector <input checked="" type="checkbox"/> Researchers/academia <input type="checkbox"/> <i>Ex-situ</i> collections
13. *Category(ies) of capacity building activities:	<input checked="" type="checkbox"/> Experiential learning <sup>17</sup> (e.g. on-job training, field/study trip, staff exchange, etc.) <input type="checkbox"/> Online forums and networks <input type="checkbox"/> Online learning (e-learning modules, webinars, etc.) <input type="checkbox"/> Provision of capacity-building tools and resources <input type="checkbox"/> Institutional capacity-building <input type="checkbox"/> Matchmaking and fundraising support <input type="checkbox"/> Provision of legal/technical support <input type="checkbox"/> Research/analysis <input type="checkbox"/> Trainings <sup>18</sup> <input checked="" type="checkbox"/> Workshops <sup>19</sup>
<b>Information on funding</b>	
14. Type(s) of funding source(s):	<input type="checkbox"/> Bilateral <input checked="" type="checkbox"/> Global Environment Facility <input type="checkbox"/> Government budget

<sup>17</sup> Experiential learning involves action learning through hands-on experience, observation, interaction and reflection. This can be achieved through on-the-job training (e.g. apprenticeships/internships, job-shadowing, mentoring, etc.), study tours, and staff exchange or twinning programmes.

<sup>18</sup> This may include formal classroom-based, instructor-led training courses.

<sup>19</sup> This may include general peer-to-peer exchange workshops, multi-stakeholder workshops or workshops for exchange of experiences, good practices and lessons learned.

	<input type="checkbox"/> Multilateral <input type="checkbox"/> Non-government organization/Foundation <input type="checkbox"/> Private sector <input type="checkbox"/> Self-funding <sup>20</sup> (please specify: <Text entry>)
15. Core funding-source:	<clearing-house record number> Global Environment Facility <i>Please enter the clearing-house record number containing this information or, if not registered, attach an “organisations” common format.</i> <sup>21</sup>
16. Co-financing source(s):	<clearing-house record number> ASEAN Centre for Biodiversity; ASEAN Secretariat; UNU-IAS; ASEAN Member States and Timor-Leste; GIZ-Biodiversity and Climate Change Project; APN Global Change Research <i>Please enter the clearing-house record number containing this information or, if not registered, attach an “organisations” common format.</i> <sup>22</sup>
17. Total budget (amount, US dollars): <sup>23</sup>	1,926,653.45
<b>Capacity-building outcomes<sup>24</sup></b>	
18. Results:	<p>Main achievements: <i>Please provide a short summary of the main achievements of the initiative, including those related to advancing gender equality in achieving the objectives of the Convention and its Protocols, if applicable. (max 200 words):</i> The project achieved its goal of assisting participating countries to implement ABS by providing the opportunity for them to complete a national policy and regulatory regime, make further progress in developing draft national ABS laws and regulations, or to develop, or build the foundation for developing, a draft national ABS framework. Six country presentations indicated areas in which capacity still needs to be built and five of these named developing and implementing domestic measures to regulate ABS. The project achieved its objective of increasing understanding and capacity ~ country respondents perceived that stakeholders’ participation in the project enhanced their motivation to participate in implementing ABS more than it was able to build their capacity to do so.</p> <p>AND</p> <p>Main outputs: <i>Please attach/upload a copy of, or provide web link to, the relevant products (e.g. tools and materials) developed by the initiative and/or reports.</i></p> <p><i>Please enter the clearing-house record number containing relevant information</i> &lt;clearing-house record number&gt;  and/or <a href="http://abs.aseanbiodiversity.org">http://abs.aseanbiodiversity.org</a>  and/or &lt;Attachment&gt;</p>

<sup>20</sup> This may include funds obtained through crowd funding, membership fees, etc.

<sup>21</sup> All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

<sup>22</sup> All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

<sup>23</sup> This may include in kind contributions expressed in monetary terms.

<sup>24</sup> This information can be provided once that there are some results (outputs, outcomes or impacts) or best practices and lessons learned from the ongoing or completed initiative.

<p>19. Best practices and lessons learned:</p>	<p>Best practices and lessons learned: <i>Please provide a short summary of the best practices and lessons learned (max 500 words:)</i> &lt;Text entry&gt;</p> <p><b>Lesson 1.</b> After securing country endorsement to prepare a proposal, it would be advisable for project designers to carry out in-depth consultations with potential participating countries and other partners to understand the capacities and needs specific to each country, and the administrative context in which a project will have to function. The GEF Project Identification Form (PIF) template does not explicitly require this, but the issue could be addressed in the section on project justification. The ASEAN ABS Project is an example of how omitting this kind of context analysis at the design stage can impact a project’s substantive work and create an uneven playing field for participating countries.</p> <p><input checked="" type="checkbox"/> <b>Lesson 2.</b> Recognizing that there may be a lapse of a year or more between project development and implementation, it would be advisable for the designers of future projects to specifically build in an inception phase that requires a critical review of project design at start-up, with substantive input from all project partners. During such a substantive inception phase, future projects would do well to: update the actual situation in participating countries and in the region against the context at the time the project document was written; assess the time and funding proposed for implementation against actual national capacity to deliver; revise project components and deliverables accordingly; and develop work plans on this basis. Carrying out this kind of capacity assessment at the very beginning of a project should provide valuable insight on how to revise the components, outcomes, deliverables and activities to focus on a particular capacity gap or gaps for all countries, groups of countries, or even individual countries.</p> <p><input checked="" type="checkbox"/> <b>Lesson 3.</b> If capacity assessments were not done as part of the project development process, these should be carried out at the beginning of the project, rather than after the project is already well into its implementation. If capacity assessments were done as part of the project development process and the results included in the project document, these should be reviewed and updated during the inception phase (see the lesson learned just above). This would provide a basis for revising the components, outcomes, deliverables and activities to focus on a particular capacity gap or gaps for all countries, groups of countries, or even individual countries.</p>
<p><b>Information on the thematic coverage of the initiatives</b></p>	
<p>20. *Related Aichi Biodiversity Target(s):</p>	<p>16</p>
<p>21. *Key areas and strategic measures for capacity-building and</p>	<p><input checked="" type="checkbox"/> Key area 1: Capacity to implement and to comply with the obligation of the Protocol</p> <p><input checked="" type="checkbox"/> 1.1 Enabling ratification of, or accession to, the Protocol</p>

<p>development:<sup>25</sup></p>	<p>(Organization of workshops for government officials on the provisions of the Protocol)</p> <p><input checked="" type="checkbox"/> 1.2 Raising awareness of the importance of GR and TK, and related ABS issues (Organization of workshops for government officials and other stakeholders for ABS implementation on how to communicate ABS issues)</p> <p><input checked="" type="checkbox"/> 1.3 Mapping of relevant actors, including other relevant international instruments on ABS, and existing expertise for the implementation of the Protocol (Organization of training in stakeholder identification and analysis skills for government officials in charge of ABS)</p> <p><input type="checkbox"/> 1.4 Mobilizing new and innovative financial resources to implement the Protocol</p> <p><input type="checkbox"/> 1.5 Establishing mechanisms for domestic interagency coordination</p> <p><input type="checkbox"/> 1.6 Employing the best available communication tools and Internet-based systems for ABS activities including providing information to the ABS Clearing-House</p> <p><input type="checkbox"/> 1.7 Developing mechanisms to monitor the utilization of genetic resources, including the designation of checkpoints</p> <p><input type="checkbox"/> 1.8 Reporting on measures taken to implement the Protocol</p>
	<p><input checked="" type="checkbox"/> Key area 2: Capacity to develop, implement and enforce domestic legislative, administrative or policy measures on access and benefit-sharing</p> <p><input checked="" type="checkbox"/> 2.1 Developing a domestic policy framework on ABS (Provision of technical assistance for analysis of existing ABS-related policies for the identification of gaps; Provision of technical assistance for developing policy frameworks on ABS)</p> <p><input checked="" type="checkbox"/> 2.2 Taking stock of existing domestic measures to identify gaps in light of the obligations of the Protocol (Provision of technical assistance for taking stock of existing measures relevant to the implementation of the Protocol)</p> <p><input checked="" type="checkbox"/> 2.3 Setting-up new or amending existing ABS legislative, administrative or policy measures with a view to implementing the Protocol (Development of guidelines on drafting or revising ABS legislative, administrative or policy measures)</p> <p><input type="checkbox"/> 2.4 Developing regional model legislation</p> <p><input type="checkbox"/> 2.5 Establishing institutional arrangements and administrative systems for ABS</p> <p><input type="checkbox"/> 2.6 Developing procedures for granting or refusing to grant prior informed consent (PIC)</p> <p><input type="checkbox"/> 2.7 Promoting compliance with domestic legislation or regulatory requirements on ABS</p> <p><input type="checkbox"/> Key area 3: Capacity to negotiate mutually agreed terms</p>

<sup>25</sup> The key areas have been identified by the Strategic Framework for capacity-building and development to support the effective implementation of the Nagoya Protocol (decision NP-1/8).

	<p><input type="checkbox"/> 3.1 Promoting equity and fairness in negotiation of MAT, including through training in relevant legal, scientific and technical skills</p> <p><input type="checkbox"/> 3.2 Developing model sectoral and cross-sectoral contractual clauses</p> <p><input type="checkbox"/> 3.3 Developing and implementing pilot ABS agreements</p> <p><input type="checkbox"/> 3.4 Promoting better understanding of business models in relation to the utilization of genetic resources</p> <p><input checked="" type="checkbox"/> Key area 4: Capacity needs and priorities of indigenous and local communities and relevant stakeholders, including the business sector and the research community</p> <p><input type="checkbox"/> 4.1 Participating in legal, policy and decision-making processes</p> <p><input type="checkbox"/> 4.2 Developing minimum requirements, as appropriate for MAT to secure the fair and equitable sharing of benefits arising from the utilization of TK</p> <p><input type="checkbox"/> 4.3 Developing community protocols in relation to access to TK and the fair and equitable sharing of benefits arising from the utilization of that knowledge</p> <p><input type="checkbox"/> 4.4 Developing model contractual clauses for benefit-sharing arising from the utilization of TK</p> <p><input checked="" type="checkbox"/> 4.5 Enhancing the capacity of ILCs, in particular women within those communities, in relation to access to genetic resources and/or TK (Translation of relevant materials into local languages; Orientation and training programmes to enable ILCs to realize the potential of TK through its documentation, protection and use)</p> <p><input type="checkbox"/> 4.6 Negotiating favourable MAT</p> <p><input type="checkbox"/> 4.7 Increasing understanding of the obligations of Parties under the Protocol with regard to TK and ILCs</p>
	<p><input type="checkbox"/> Key area 5: Capacity of countries to develop their endogenous research capabilities to add value to their own genetic resources</p> <p><input type="checkbox"/> 5.1 Developing and using methods for valuation of genetic resources and associated traditional knowledge</p> <p><input type="checkbox"/> 5.2 Facilitating transfer of technology and developing infrastructure for research and building technical capacity to make such technology transfer sustainable</p> <p><input type="checkbox"/> 5.3 Undertaking research and taxonomic studies related to conservation of biological diversity and sustainable use of its components and bioprospecting</p>
<b>Additional information</b>	
22. Any other relevant information: <sup>26</sup>	<Text entry>

<sup>26</sup> Please use this field to provide any other relevant information that may not have been addressed elsewhere in the record.



	<i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>
23. Notes: <sup>27</sup>	<Text entry>

***For offline submissions only:***

This form should be should be completed and sent ***by e-mail*** to [secretariat@cbd.int](mailto:secretariat@cbd.int)

*or alternatively by*

- Fax at +1 514 288-6588; or
- Postal mail to:

**Secretariat of the Convention on Biological Diversity  
413 rue Saint-Jacques, suite 800  
Montreal, Québec, H2Y 1N9  
Canada**

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<sup>27</sup> The field “Notes” is for personal reference and can be seen only when the record is being edited.