





# Convention on Biological Diversity

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SUBREGIONAL CAPACITY-BUILDING WORKSHOP ON THE NAGOYA PROTOCOL ON ACCESS AND BENEFIT-SHARING FOR THE CARIBBEAN Georgetown, Guyana, 19-22 May 2014 Item 2 of the provisional agenda\*

### **ORGANIZATIONAL MATTERS**

Annotations to the provisional agenda

### INTRODUCTION

- 1. In paragraph 1 of decision XI/1 D, and paragraph 1 of decision XI/1 E, the Conference of the Parties to the Convention on Biological Diversity requested the Executive Secretary, in collaboration with relevant organizations and subject to the availability of financial resources, to continue carrying out awareness-raising and capacity-building and development activities to support the ratification, early entry into force and implementation of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization.
- 2. Thanks to the generous financial support of the Government of Japan, the Secretariat is organizing, in collaboration with the Caribbean Community (CARICOM) Secretariat and the Government of Guyana, the sub-regional capacity-building workshop on the Nagoya Protocol for the Caribbean to be held from 19 to 22 May 2014 at the CARICOM Secretariat Headquarters in Georgetown, Guyana. The workshop will include a one-day training session on the pilot phase of the Access and Benefit-sharing Clearing-House with financial support from the European Union.
- 3. The workshop aims to strengthen the capacity of the participating countries to ratify or accede to the Protocol and to prepare for its implementation with the view to contributing to the achievement of Aichi Target 16, i.e. the Nagoya Protocol is in force and operational, consistent with national legislation, by 2015. The workshop will build on the outcomes of previous ABS workshops organized in the region, including the Second Caribbean Workshop on Access and Benefit-sharing (25-29 November 2013, Kingston, Jamaica) and the Regional Training Workshop on Drafting Legislation for Implementation on the Nagoya Protocol on Access and benefit Sharing (26-28 June 2013, Roseau, Dominica), which were organised by the ABS Capacity Development Initiative and the CARICOM Secretariat, in collaboration with CBD Secretariat.
- 4. The specific objectives of the workshop are:
- (a) To facilitate exchange of information on the progress made with the national processes towards ratification of/accession to the Protocol, including experience and lessons learned, and to review

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<sup>\*</sup> UNEP/CBD/ABS/WS/2014/4/1.

and further develop, as appropriate, the roadmaps/work plans developed at the November 2013 workshop in Jamaica:

- (b) To further promote understanding of the rationale for, and ways and means of, integrating access and benefit-sharing measures into the revised national biodiversity strategies and action plans (NBSAPs) and the broader national development policies, plans and programmes;
- (c) To facilitate exchange of information on relevant existing domestic legislative, administrative or policy measures and review progress with the draft roadmaps/action plans towards the development or amendment of such measures and the establishment of institutional arrangements and administrative systems to support the implementation of the Protocol;
- (d) To promote understanding of the main features, modalities of operation and functioning of the pilot phase of the Access and Benefit-Sharing (ABS) Clearing-House, including how to find, retrieve, register and manage information in the central portal of the ABS Clearing-House;
- (e) To increase awareness of potential opportunities and the requirements and procedures for accessing funding from the Global Environment Facility (GEF) and other sources to support the implementation of the Protocol; and
- (f) To foster regional and subregional cooperation on the implementation of the Protocol through exchange of information on existing and potential opportunities for collaboration.
- 5. The workshop participants will comprise government officials, representatives of indigenous and local communities, and other relevant stakeholders involved in, or likely to be involved in, national processes for the ratification and implementation of the Protocol. A notification was sent by the Executive Secretary on 21 March 2014 inviting Parties, indigenous and local communities and relevant stakeholders to nominate participants for the workshop. The nominated participants will to undertake preparatory activities, as set out in annex III below, prior to the workshop.
- 6. The workshop will include a series of presentations and interactive group discussions/exercises aimed to enable participants to share their knowledge, views and experiences on various topics.
- 7. The documents for the workshop will be available on the Secretariat's website at: http://www.cbd.int/doc/?meeting=ABSWS-2014-04.
- 8. The workshop will be conducted in English only.

### ITEM 1. OPENING OF THE MEETING

9. The meeting will be opened by a representative of the Government of Guyana at 9 a.m. on 19 May 2014. A representative from the CBD Secretariat and the Caribbean Community (CARICOM) Secretariat will also deliver opening remarks.

### ITEM 2. WORKSHOP OBJECTIVES AND EXPECTED OUTCOMES

10. Under this item, the Secretariat will introduce the objectives and expected outcomes of the workshop, and present the organization of work as contained in the annex to the present document. Participants will thereafter be invited to introduce themselves and indicate their expectations from the workshop.

# ITEM 3. INTRODUCTION TO THE NAGOYA PROTOCOL ON ACCESS AND BENEFIT-SHARING AND UPDATE ON THE PREPARATIONS TOWARDS ITS ENTRY INTO FORCE

11. Under this item, the participants will watch a film entitled "Peoples, plants and profits", which was developed by the ABS Capacity Development Initiative. The film is intended to refresh the

participants' mind about the basic access and benefit-sharing principles and concepts using examples from real-life case studies. After the film, the participants will take part in a group exercise to discuss a hypothetical case on access and benefit-sharing intended to further improve their understanding of the scope and requirements of the Nagoya Protocol. Each group will share the consensus reached regarding the case in the plenary session.

- 12. Under this item, the Secretariat will also provide a brief update on the recent developments and progress towards the entry into force of the Protocol and the preparations for the first meeting of the Parties to the Protocol. This will include the main outcomes of the third meeting of the Intergovernmental Committee for the Nagoya Protocol and other intersessional activities.
- 13. In addition, the ABS Capacity Development Initiative and the CARICOM Secretariat will present the main outcomes of the Second Caribbean Workshop on Access and Benefit-sharing held from 25-29 November 2013 in Kingston, Jamaica and provide an update on the steps taken so far in response to the recommendations from the workshop to assist countries to prepare for the entry into force of the Protocol.

## ITEM 4. EXCHANGE OF EXPERIENCES, LESSONS LEARNED AND VIEWS ON THE WAY FORWARD TOWARDS RATIFICATION OF THE NAGOYA PROTOCOL

- 14. Under this item, a representative from the Government of Guyana, the first country in the Caribbean to accede to the Nagoya Protocol, will be invited to share the experiences and lessons learned in the national process towards the accession, including the steps/activities that were undertaken; the constraints and challenges encountered and how they were addressed; and the key considerations that were taken into account during the process. This will be followed by a brief question-and-answer session.
- 15. The participants from countries that have not yet ratified/acceded to the Protocol will then be invited to make short presentations on the progress made in implementing their country roadmaps/plans of action towards ratification developed at the November 2013 workshop in Jamaica. The country presentations will outline, *inter alia*, the steps taken so far and the experience and lessons learned, including the challenges encountered and how they have been addressed, if at all. After the presentations, the participants will be invited to review and further develop, as appropriate, their country roadmaps/work plans; identify the technical support needed and explore potential sources of such support and opportunities for subregional cooperation to facilitate the ratification of/accession process.

# ITEM 5. LAYING THE FOUNDATION FOR IMPLEMENTATION OF THE NAGOYA PROTOCOL

16. Under this item, the Secretariat will make a presentation on Aichi Target 16 and key fields of action relating to its achievement and the implementation of the Nagoya Protocol in general. After a brief question-and-answer session, participants will then consider the following items in detail:

# 5.1 Mainstreaming access and benefit-sharing into national biodiversity strategies and action plans and national development policies and plans

17. Under this sub-item, the Secretariat will make a presentation on key elements and points that Parties may wish to consider in developing and integrating their access and benefit-sharing policies and strategies into national biodiversity strategies and action plans, based on examples from existing national ABS policies and national biodiversity strategies and action plans. A representative from the Government

<sup>&</sup>lt;sup>1</sup> Participants are urged complete and submit to the Secretariat the questionnaire in annex III prior to the workshop for compilation and dissemination to all participants in order to save time and facilitate the discussions during the workshop. The questionnaire, *inter alia*, requests respondents to identify the entity responsible for coordinating the process towards ratification/accession; entities that must be involved and/or consulted; the steps taken or planned; the difficulties/obstacles encountered and how they have been overcome; general lessons learned and the technical support needed to advance the ratification/accession process).

of Guyana will also make a presentation on Guyana's National Policy on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization<sup>2</sup> and the experience and lessons learned in its development and implementation. This will be followed by a short question and answer session on the two presentations.

18. After the question and answer session, participants will be invited to examine how and the extent to which access and benefit-sharing measures have been or would be integrated in the ongoing update and revision of their country's NBSAPs, including examples of national targets and indicators relating to Aichi Target 16. Participants will also discuss the linkages between access and benefit-sharing and the broader political, social, economic development goals and priorities (including poverty alleviation, food security,) and discuss possible strategies to integrate access and benefit-sharing components into the national development policies, plans and programmes.

# 5.2 Roadmaps towards the development or amendment of domestic legislative, administrative or policy measures to meet the obligations set out in the Nagoya Protocol

- 19. Under this item the CARICOM Secretariat will make a presentation on the outputs from the Regional Training Workshop on Drafting Legislation for Implementation of the Nagoya Protocol on Access and Benefit Sharing, which was held 26-28 June 2013 in Roseau, Dominica. The presentation will highlight some of the keys points of discussion raised at the workshop in relation to the development or amendment of legislation and policies to support implementation of the Nagoya Protocol in CARICOM Member States.
- 20. The CBD Secretariat will also make a presentation highlighting examples of key common elements of existing national access and benefit-sharing laws and regulations as well as key points that Parties in the Caribbean may wish to consider in the development or revision of their domestic legislation or regulatory requirements on access and benefit-sharing to meet the obligations under the Protocol.
- 21. Furthermore, under this item, a representative from the IUCN Regional Office for Meso America and the Caribbean will make a presentation on the key elements of the proposed "UNEP-GEF project on Supporting Ratification and Implementation of the Nagoya Protocol in the countries of the Caribbean Region". The proposal envisages, *inter alia*, assisting countries to carryout stocktaking and analysis of gaps in the existing laws and regulations related to access and benefit-sharing and to develop new or amend existing legislation to meet their obligations under the Protocol.
- 22. The presentations will be followed by a general plenary discussion on the concrete next steps, including the review/improvement of the country roadmaps/work plans towards the development of new or amendment of existing legislation to meet the Protocol obligations and achieve the second part of Aichi Target 16, i.e. the Protocol is operational at the national level consistent with the national legislation, by 2015. The participants will also identify the capacity/technical support needed and explore potential sources of such support and opportunities for sub-regional cooperation in this regard.

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<sup>&</sup>lt;sup>2</sup> A copy of the policy can be accessed at: <a href="http://www.cbd.int/doc/measures/abs/msr-abs-gy3-en.pdf">http://www.cbd.int/doc/measures/abs/msr-abs-gy3-en.pdf</a>.

# 5.3 Establishment or strengthening of institutional arrangements and administrative systems for implementation of the Protocol

- 23. Under this item, the Secretariat will make a presentation on the key elements and points that Parties in the Caribbean may wish to consider in the establishment or strengthening of their national institutional arrangements and administrative systems for implementation of the Protocol.<sup>3</sup>
- 24. After the presentation, participants will be divided into break-out groups and invited to exchange views on the key points to consider in setting-up or reviewing/updating institutional arrangements and administrative systems for implementation of the Protocol, including factors to consider in the:
  - (a) Identification/mapping of relevant institutions and agencies;
- (b) Designation national focal points, competent national authorities, checkpoints and other regulatory bodies;
- (c) Establishment of administrative procedures and mechanisms for handling applications for access to genetic resources (obtaining prior informed consent) and granting of access to genetic resources/issuing permits or other forms of evidence of the decision to grant prior informed consent and of establishment of mutually agreed terms);
- (d) Establishment of mechanisms for promoting compliance, including the designation/ establishment of checkpoints; mechanisms for obtaining prior informed consent or approval and involvement of indigenous and local communities; mechanisms for coordination across different agencies and institutions; and
- (e) Establishment of mechanisms for encouraging and facilitating the participation of indigenous and local communities and other stakeholders in the access and benefit-sharing processes.

# 5.4 Promoting synergy and mutual supportiveness in the implementation of the Nagoya Protocol and other relevant international agreements and instruments

25. Under this item, a representative from the Secretariat of the International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA) will make a presentation on the Treaty and share the experiences and lessons learned in its implementation at the national level. After the presentation, participants will be invited to discuss possible strategies and mechanisms for promoting synergy and mutual supportiveness in the implementation of the Nagoya Protocol and the Treaty and other relevant international agreements and instruments at the national level, drawing from relevant national experiences and lessons learned.

# ITEM 6. TRAINING ON THE PILOT PHASE OF THE ACCESS AND BENEFIT-SHARING CLEARING-HOUSE

26. Under this agenda item, participants will be introduced to the pilot phase of the ABS Clearing-House, including its role, structure, functionality and operation modalities. Article 14 of the Nagoya Protocol establishes the ABS Clearing-House as part of the clearing-house mechanism of the Convention on Biological Diversity to serve as a means for sharing information related to access and benefit-sharing and in particular provide access to information made available by Parties relevant to the implementation of the Protocol.

<sup>&</sup>lt;sup>3</sup> Based on examples from existing national institutional arrangements and administrative systems for access and benefit-sharing, the presentation will highlight: (i) the roles and responsibilities of various entities (national focal points, competent national authorities, checkpoints and other regulatory bodies); (ii) examples of access and benefit-sharing administrative procedures (including existing permit systems); and (iii) examples of administrative tools being used (including access application forms, standard operating procedures, record management systems and databases, and other tools)

- 27. The Secretariat will give a presentation providing a conceptual overview and an introduction to the core functions and main features of the ABS Clearing-House. The presentation will describe how to find and register information in the central portal of the ABS Clearing-House; the proposed modalities of operation, including the workflow and publishing procedures and the roles and responsibilities of national authorities (national focal points, publishing authority and national authorized users) with respect to the ABS Clearing-House; and the different types of records in the ABS Clearing-House, including "national records" (i.e. records created and managed only by national authorities, including mandatory information required under the Protocol) and "reference records" (i.e. non-mandatory information relevant to the implementation of the Protocol).
- 28. After the general presentation, participants will take part in hands-on training on how to use the search function to find and retrieve information and how to register and manage (modify or delete) records in management centre of the ABS Clearing-House central portal. The Secretariat will introduce the following common formats for registering information which have been developed so far: National focal points and/or publishing authorities (ABSCH-NFP); competent national authorities (ABSCH-CNA); legislative, administrative and policy measures on access and benefit sharing (ABSCH-MSR); permits or their equivalent constituting an internationally recognized certificate of compliance (ABSCH-IRCC); checkpoints (ABSCH-CP); checkpoint communiqué (ABSCH-CPC); national ABS website/database (ABSCH-NDB) and a Virtual Library Record (ABSCH-VLR). Following the introduction of each common format, participants will be invited to undertake practical exercises to register their national information (if available) as test records. In this regard, participants are urged to bring their own computers/laptops and bring along available national information relating to the various common formats. The records registered during the workshop will be only for training and testing purposes. They will not be published on the official website of the ABS Clearing-House.
- 29. At the end of the session, participants will be invited to provide feedback, through a questionnaire and also through an open discussion, to facilitate the further development and adaptation of the ABS Clearing-House to national circumstances and users' needs and to improve future training on the ABS Clearing-House.

#### ITEM 7. THE WAY FORWARD

### 7.1. Mobilization of resources for implementation of the Protocol

30. Under this item, the Secretariat will make a presentation on potential opportunities and strategies for mobilizing resources to support the implementation of the Protocol. The presentation will, among other things, discuss strategies for accessing funding from the Global Environment Facility Trust Fund and the Nagoya Protocol Implementation Fund and highlight potential opportunities to mobilise funding from other sources. After the presentation, participants will be invited to share experiences and discuss ways and means of improving access to GEF funds during the GEF-6 funding cycle, taking into account the previous experiences and lessons learned. The participants will also be invited to explore potential opportunities for mobilizing resources (including financial, human and technical resources) from various sources and discuss how resource needs for the implementation of the Nagoya Protocol have been or could be incorporated into the national resource mobilization plans currently being developed as part of the NBSAP revision process, taking into account decisions IX/1 and XI/4 of the Conference of Parties regarding the global the Strategy for Resource Mobilization in support of the achievement of the three objectives of the Convention on Biological Diversity.

# 7.2. Next steps towards the ratification of/accession to the Nagoya Protocol and the development or amendment of domestic legislative, administrative or policy measures

31. Under this item, each country will be invited to present its revised draft roadmap/work plan for the national processes towards ratification/accession and for developing new or amending existing

legislation to meet the obligations under the Protocol with the aim to meet Aichi Target 16, i.e. to become Parties the Nagoya Protocol and have the Protocol operational at the national level in line with the national legislation, by 2015.

### 7.3. Regional and subregional cooperation on the implementation of the Protocol

- 32. Under this item, relevant organizations will be invited to share information on their ongoing activities and plans to support countries in the subregion to meet their obligations under the Protocol. They will also be invited to identify areas or activities in the roadmaps presented by the participants that they could potentially assist countries to implement.
- 33. The participants and the partner organizations will then discuss possible strategies and mechanisms to enhance regional and subregional cooperation on the implementation of the Nagoya Protocol in the region, including potential regional and subregional opportunities for networking and collaboration. The participants will also explore the possibility of developing a regional capacity-building strategy and a technical support network for the implementation of the Nagoya Protocol.

#### ITEM 8. CLOSURE OF THE WORKSHOP

- 34. Under this item, participants will be invited to share what they have learned from the workshop and how they would apply and/or transfer to others the new knowledge and skills acquired. They will also be invited to complete a workshop evaluation form.
- 35. After the above exercise, representatives of the CBD Secretariat and the CARICOM Secretariat will give closing remarks and officially close the workshop.

Annex I

## PROPOSED ORGANIZATION OF WORK

DATE AND TIME	SESSION/ITEM
Monday, 19 May 2014	
9 a.m. – 9.45 a.m.	Item 1. Opening of the workshop
	Welcome remarks by Dr. Indarjit Ramdass, Executive Director, Environmental Protection Agency and National Focal Point of Guyana
	Opening Statement by Mr. Erie Tamale, CBD Secretariat
	Opening Statement by the representative of the Caribbean Community     Secretariat
	Official opening of the workshop by Hon. Robert M. Persaud, Minister of Natural Resources and the Environment
	Group photo
9.45 a.m. – 10.00 a.m.	Icebreaker
	Item 2. Workshop objectives and expected outcome
10.00 a.m. – 10.15 a.m.	Presentation of the workshop objectives, the expected outcomes and the organization of work
	Participants' expectations from the workshop
10.15 a.m. – 10.35 a.m.	COFFEE/TEA BREAK
10.35 a.m. – 11.00 a.m.	Item 3. Recent developments relating to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization, including preparations for the first meeting of Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.
	Film entitled: "Peoples, plants and profits", developed by the ABS Capacity Development Initiative
11.00 a.m. – 12.00 p.m.	Group exercise
	Discussion of a hypothetical case on access and benefit-sharing within the context of the Nagoya Protocol
	Presentation of reports from the group discussions
12.00 pm – 12.30 pm	Presentation:
	Update on recent developments regarding preparations for the entry into force of the Protocol and the first meeting of the Parties to the Protocol
	Questions and Answers
12.30 pm – 1.00 pm	Presentation:     Main outcomes of the Second Caribbean Workshop on Access and Benefit-sharing held 25-29 November 2013 in Kingston, Jamaica

DATE AND TIME	SESSION/ITEM
	Questions and Answers
1.00 p.m. – 2 p.m.	LUNCH BREAK
2.00 p.m. – 2.20 p.m.	Item 4: Exchange of experiences, lessons learned and views on the way forward towards the ratification of the Nagoya Protocol
	Presentation:
	Experience and lessons learned from Guyana's national process towards the accession to the Nagoya Protocol
	Question and Answers
2.20 p.m. – 3.00 p.m.	Discussion Groups:
	Exchange of information on the progress made in implementing the country roadmaps towards ratification of the Protocol, including the experience, good practices and lessons learned, and the challenges encountered
3.00 p.m. – 3.30 p.m.	Reports from the discussion groups and a plenary discussion on common follow-up strategies/actions, capacity needs and potential sources of technical support and opportunities for regional cooperation to facilitate ratification
3.30 p.m. – 4.00 p.m.	COFFEE/TEA BREAK
4.00 p.m. – 5.00 p.m.	Individual exercise (for countries that have not yet ratified):
	Development or revision, as appropriate, of the country roadmap/work plan towards the ratification of/accession to the Protocol
Tuesday, 20 May 2014	
8.45 a.m. – 9.00 a.m.	Recap of the first day's work
	Item 5: Laying the foundation for national implementation of the Nagoya Protocol
9.00 a.m. – 9.30 a.m.	Presentation:
	Aichi Target 16 and key fields of action towards its achievement and the implementation of the Nagoya Protocol in general
	Question and answers
9.30 a.m. – 10.00 a.m.	Item 5.1: Mainstreaming access and benefit-sharing into national biodiversity strategies and action plans and national development policies and plans
	Presentation:
	Key elements and points to consider in developing and integrating access and benefit-sharing policies and strategies into NBSAPs and national development policies and plans
	Question and answers
10.00 a.m. – 10.30 a.m.	Presentation:

DATE AND TIME	SESSION/ITEM
	Key elements of Guyana's National Policy on ABS and the experience and lessons learned in its development and implementation  Question and answers
10.30 a.m. – 11.00 a.m.	COFFEE/TEA BREAK
11.00 a.m. – 11.30 p.m.	Plenary discussion:     Exchange of views on ways and means of improving the integration of ABS into the revised NBSAPs and the national development policies and plans
11.30 a.m. – 12.00 p.m.	Item 5.2: Roadmaps towards the development or amendment of domestic legislative, administrative or policy measures to meet the obligations set out in the Nagoya Protocol  • Presentation
	Overview of the outputs of the Regional Training Workshop on Drafting Legislation for the Implementation of the Nagoya Protocol on Access and Benefit Sharing, held 26-28 June 2013 in Roseau, Commonwealth of Dominica
	Questions and Answers
12.00 p.m. – 12.30 p.m.	Presentation     Common elements of existing national access and benefit-sharing laws and regulations and key points to consider in the development of such legislation in order to meet the obligations under the Protocol  Questions and Answers
12.30 p.m. – 1.00 p.m.	Presentation     Overview of the UNEP-GEF project on supporting the ratification and implementation of the Nagoya Protocol in the Caribbean countries     Questions and Answers
1.00 p.m. – 2.00 p.m.	LUNCH BREAK
2.00 p.m. – 3.00 p.m.	<ul> <li>Item 5.2: (Continued)</li> <li>Group discussions         Review/improvement of the country roadmaps for the development of new or amendment of existing legislation to meet the Protocol obligations; the capacity/technical support needed; potential sources of support; and opportunities for subregional cooperation     </li> <li>Presentation of the group discussion outcomes</li> </ul>
3.00 p.m. – 3.15 p.m.	TEA/COFFEE BREAK

DATE AND TIME	SESSION/ITEM
	Item 5.3: Establishing or strengthening institutional arrangements and administrative systems for implementation of the Protocol
3.15 p.m. – 3.45 p.m.	• Presentation
	Key elements of existing institutional arrangements and administrative systems for access and benefit-sharing (including the responsibilities of national focal points, competent national authorities, checkpoints and other regulatory bodies) and the administrative procedures and tools
	Questions and Answers
3.45 p.m. – 4.15 p.m.	Plenary discussions
	Key points to consider in setting-up or reviewing/updating institutional arrangements and administrative systems for the implementation of the Protocol
	Item 5.4: Promoting synergy and mutual supportiveness in the implementation of the Nagoya Protocol and other relevant international agreements and instruments
4.15 p.m. – 4.45 p.m.	Presentation
	Key provisions of the International Treaty on Plant Genetic Resources for Food and Agriculture, and the experiences and lessons learned in its implementation at the national level
	Question and Answers
4.45 p.m. – 5.30 p.m.	Plenary discussion
	Strategies and mechanisms for promoting synergy and mutual supportiveness in the implementation of the Nagoya Protocol and the International Treaty and other relevant international agreements and instruments at the national level
Wednesday, 21 May 2014	1
8.45 a.m. – 9.00 a.m.	Recap of the previous day's work
9.00 a.m. – 10.00 a.m.	Item 6: Pilot Phase of the Access and Benefit-Sharing Clearing-House
	Presentation:
	Introduction to the pilot phase of the Access and Benefit-Sharing Clearing-House: Key features, core functions and modalities of operation
	Question and answers
10.00 a.m. – 10.30 a.m.	<ul> <li>Practical demonstration:</li> <li>Finding and registering information in the Central Portal of the ABS Clearing-House</li> </ul>
	Question and answers
10.30 a.m. – 11.00 a.m.	TEA/COFFEE BREAK
11.00 a.m. – 11.40 a.m.	Hands-on training:

DATE AND TIME	SESSION/ITEM
	Registering national focal points and/or publishing authorities (ABSCH-NFP)  Question and answers
11.40 a.m. – 12.20 p.m.	Registering Competent national authorities (ABSCH-CNA)  Question and answers
12.20 p.m. – 1.00 p.m.	Registering Legislative, administrative and policy measures on access and benefit-sharing (ABSCH-MSR)  Question and answers
1.00 p.m. – 2.00 p.m.	LUNCH BREAK
2.00 p.m. – 2.40 p.m.	Registering Permits or their equivalent constituting an internationally recognized certificate of compliance (ABSCH-IRCC)  Question and answers
2.40 p.m. – 3.20 p.m.	Registering Checkpoints (ABSCH-CP)  Question and answers
3.20 p.m. – 3.40 p.m.	COFFEE/TEA BREAK
3.20 p.m. – 4.20 p.m.	Registering Checkpoint Communiqué (ABSCH-CPC)  Question and answers
4.20 p.m. – 4.40 p.m.	Registering a National ABS website or database (ABSCH-NDB)  Question and answers
4.40 p.m. – 5.20 p.m.	Registering a Virtual Library Record (ABSCH-VLR)  Question and answers
5.20 p.m. – 5.30 p.m.	Feedback on the pilot phase of the ABS Clearing-House
Thursday, 22 May 2014	
8.45 a.m. – 9.00 a.m.	Recap of the previous day's work
9.00 a.m. – 9.30 a.m.	Item 7: The way forward  Item 7.1 Mobilization of resources for implementation of the Protocol  • Presentation  Opportunities and strategies for mobilizing resources to support the implementation of the Protocol  Questions and answers
9.30 a.m. – 10.00 a.m.	Plenary discussion     Exchange of views on ways and means to improve access to GEF funds during the GEF-6 cycle to support implementation of the Protocol and strategies for mobilizing resources from other sources

DATE AND TIME	SESSION/ITEM
10.00 a.m. – 10.30 a.m.	TEA/COFFEE BREAK
10.30 a.m. – 11.45 a.m.	Item 7.2 Next steps towards the ratification of/accession to the Nagoya Protocol and the development or amendment of domestic legislative, administrative or policy measures
	Presentation of the revised country roadmaps/plans of action towards ratification of the Protocol and the development or amendment of domestic ABS legal frameworks
11.45 a.m. – 12.30 p.m.	Plenary discussion     Possible integration of the country roadmaps activities into the UNEP-GEF project on supporting the ratification and implementation of the Nagoya Protocol in the Caribbean countries and the ABS Capacity Development Initiative in the region
12.30 p.m. – 1.00 p.m.	Plenary discussion
	Potential sources of technical support and opportunities for regional collaboration to support national processes towards ratification and development or amendment of domestic ABS legal frameworks
1.00 p.m. – 2.00 p.m.	LUNCH BREAK
2.00 p.m. – 3.30 p.m.	Item 7.3 Regional and subregional cooperation on the implementation of the Nagoya Protocol
	Presentations
	Experiences and lessons learned from regional and international initiatives supporting the ratification and implementation of the Nagoya Protocol
	<ul> <li>ABS Capacity Development Initiative</li> <li>United Nations Environment Programme (UNEP)</li> <li>International Union for the Conservation of Nature (IUCN)</li> <li>The Caribbean Community Secretariat</li> </ul>
	Plenary discussion
	Strategies and mechanisms to strengthen regional and subregional cooperation on the implementation of the Nagoya Protocol in the Caribbean, including the possibility of developing a regional capacity-building strategy and a technical support network for the implementation of the Nagoya Protocol
3.00 p.m. – 3.15 p.m.	COFFEE/TEA BREAK
3.15 p.m. – 4.15 p.m.	General conclusions and recommendations on the next steps
4.15 p.m. – 4.45 p.m.	Item 8. Closure of the workshop
	Participants' self-assessment and evaluation of workshop (completion of the evaluation form)
4.45 p.m. – 5.00 p.m.	Closing remarks by a representative of the CBD Secretariat and a representative of the host government

### Annex II

### DOCUMENTS AND RESOURCE MATERIALS FOR THE WORKSHOP

### A. Working documents

Symbol Title

UNEP/CBD/ABS/WS/2014/4/1 Provisional agenda

UNEP/CBD/ABS/WS/2014/4/1/Add.1 Annotations to the provisional agenda

### B. Information documents

UNEP/CBD/COP/12/6 Report of the third meeting of Open-ended Ad Hoc

Intergovernmental Committee for the Nagoya Protocol (ICNP-3) held in Pyeongchang, Republic of Korea, 24 - 28 February 2014

UNEP/CBD/ICNP/3/6 Report on Progress in the Implementation of the Pilot Phase of the

Access and Benefit-Sharing Clearing-House

UNEP/CBD/ICNP/2/INF/1 Outcomes of the Second Capacity-Building Workshop on Access

and Benefit-sharing held in Montreal on 29-30 October 2011

UNEP/CBD/ICNP/2/INF/9 Outcomes of the third Capacity-Building Workshop on Access

and Benefit-sharing, held in New Delhi on 30 June and 1 July

2012

#### Annex III

### PREPARATORY AND FOLLOW-UP ACTIVITIES TO WORKSHOP

- 1. In preparation for the workshop, participants are requested to undertake the following activities:
- (a) Prepare a short report (2-3 pages) about the process and current status of ratification/accession of the Nagoya Protocol of your country and the lessons learned based on the questions in the appendix below. Participants will be invited to share their experiences during the workshop;
- (b) Review your country's national biodiversity strategy and action plan and summarize the elements making reference to access and benefit-sharing, if any, or identify areas where access and benefit-sharing issues could be integrated;
- (c) Identify key stakeholders (individuals and institutions/entities) in your country who are involved, or should be involved, in the ratification process;
- (d) Identify the entity or individuals in your government who are/would be responsible for drafting the legal framework for implementing the Protocol.
- 2. During the workshop, participants will be invited to prepare:
  - a. A draft roadmap or action plan towards ratification/accession to the Nagoya Protocol;
  - b. A roadmap towards the development or amendment of domestic legislative, administrative or policy measures and establishment of institutional mechanisms to support the implementation of the Protocol.
- 3. After the workshop, participants will be urged to carry out consultations with relevant stakeholders and finalize their roadmaps/action plans.

### **Appendix**

### Questionnaire

- 1. What is the legal process for ratification/accession to international treaties in your country?
- 2. What steps has your country taken, or is planning to take, towards ratification/accession to the Nagoya Protocol?
- 3. What entity is responsible for initiating and spearheading the national process towards ratification of/accession to the Protocol (including development of the necessary policy briefs/cabinet memos or their equivalent and providing briefings to the Cabinet or parliament)?
- 4. What entity is responsible for taking the final decision (Cabinet or Parliament)?
- 5. Who are the other key stakeholders that have been, or need to be, involved/consulted in the ratification process (e.g. Parliamentarians, officials in different ministries, local governments, indigenous and local communities, etc.)?
- 6. What steps have you taken to identify and involve various actors in the ratification process (e.g. have you set up inter-ministerial committees, organized stakeholders consultations, etc.)?
- 7. What are some of the challenges or difficulties, concerns and obstacles that your country has encountered in the process towards the ratification of the Nagoya Protocol?
  - a. At a practical level (e.g. lack of capacity or poor coordination)
  - b. At a legal/technical level (e.g. conflict with existing domestic rules or lack of clarity of responsibilities)
  - c. At a political level (e.g. low priority given to the Nagoya Protocol)
- 8. How have, or could, those difficulties, concerns and obstacles be addressed?
- 9. What lessons have you learned from the national process for ratification/accession to the Nagoya

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Protocol that might be useful to other countries?

- 10. What technical support would you need from the Secretariat and other partners to facilitate the ratification of the Nagoya Protocol by your country?
- 11. Are there legislative, administrative or policy measures already in place in your country that need to be revised/updated in order to meet the obligations set out in the Nagoya Protocol?
- 12. What type of new/additional legislative, administrative or policy measures need to be developed in your country in order to meet the obligations set out under the Nagoya Protocol?

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