



**CONVENTION ON
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OPEN-ENDED EXPERT WORKSHOP ON
CAPACITY-BUILDING FOR ACCESS
TO GENETIC RESOURCES AND
BENEFIT-SHARING

Montreal, 2-4 December 2002

**COMPILATION OF SUBMISSIONS ON NEEDS AND PRIORITIES OF
PARTIES AND INFORMATION ON EXISTING INITIATIVES ON
CAPACITY-BUILDING FOR ACCESS AND BENEFIT-SHARING**

Addendum

ADDITIONAL SUBMISSIONS BY PARTIES

Note by the Executive Secretary

1. The present addendum to the compilation on needs and priorities of Parties and information on existing initiatives on capacity-building for access to genetic resources and benefits-sharing contains two submissions from Parties that were received after the circulation of the original version of the compilation and the first addendum thereto (UNEP/CBD/ABS/EW-CB/1/INF/2/Add.1), namely:

(a) A letter dated 21 November 2002 from Mexico addressed to the Executive Secretary accompanied by the completed questionnaire on capacity-building for access and benefit-sharing (see pages 2-8);

(b) A letter dated 9 November 2002 from Saudi Arabia addressed to the Executive Secretary and the information on capacity-building for access and benefit-sharing (see pages 9-12).

2. The two submissions are reproduced herewith in the language and form in which they were received by the Secretariat of the Convention.

* The contributions in the present compilation are reproduced in the language and form that they were received by the Secretariat.



SECRETARIA DE
MEDIO AMBIENTE Y
RECURSOS
NATURALES

UNIDAD COORDINADORA DE
ASUNTOS INTERNACIONALES
Dirección General de Cooperación Internacional

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ACTION <u>VA, FV, GR</u>
FILE _____ México, D.F. a 21 de noviembre de 2002
INFO <u>JM, DT, VN</u>

UCAI/5279/02

SR. HAMDALLAH ZEDAN
SECRETARIO EJECUTIVO
CONVENIO SOBRE DIVERSIDAD BIOLÓGICA
PRESENTE

Me permito hacer referencia a su atenta comunicación SBSTTA8/JM/VA31816 concierne a la Octava Reunión del Órgano Subsidiario de Asistencia Científica, Técnica y Tecnológica (SBSTTA-8), que se llevará a cabo del 10 al 14 de marzo de 2003; y sobre la Reunión Intersesional de Composición Abierta sobre el Programa de Trabajo Multianual de la Conferencia de las Partes hasta 2010 (MYPOW), a celebrarse del 17 al 19 de marzo de 2003.

Al respecto, tengo el agrado de confirmar la asistencia del Sr. Hesiquio Benítez como representante oficial a la Reunión Intersesional del Programa de Trabajo Multianual. Asimismo, hago de su apreciable conocimiento que en fecha próxima le notificaremos de los nombres de los representantes de esta Secretaría en ambas reuniones.

En cuanto a su comunicación SCBD/SELVN/GD/31968 de fecha 19 de noviembre de 2002 en relación al documento UNEP/CBD/ABS/EW-CB/INF/2 relacionado con la decisión VI/24 B de la Sexta Conferencia de las Partes del Convenio de Diversidad Biológica, que contiene la compilación de los comentarios transmitidos por las Partes, representantes de las comunidades locales e indígenas, organizaciones intergubernamentales, organizaciones no gubernamentales y sector privado; en relación a Acceso y Reparto de Beneficios, anexo a la presente me permito reenviarle las aportaciones de México al documento de trabajo para el Taller de Expertos de Composición Abierta de Creación de Capacidades sobre Acceso y Reparto de Beneficios, que se llevará a cabo en Montreal del 2 al 4 de diciembre próximo; a fin de que se incluyan en la documentación oficial para esta reunión (Anexo 1).

En seguimiento de su comunicado SCBD/SELVN hago de su conocimiento que la Mtra. Mariana Bellot Rojas, Coordinadora de Asuntos Internacionales de la Comisión Nacional para el Conocimiento y Uso de la Biodiversidad, ha sido designada para participar en la reunión de antes mencionada, por lo que solicito atentamente al Área Ejecutiva (inspección y transportación) para asegurar su participación en la citada reunión.



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- 2 -

Finalmente, atendiendo su Notificación SCBD/SELVN/GD/32330 mediante la cual solicita a las Partes comentarios sobre el Programa de Trabajo Multianual (MYPow) del Convenio sobre Diversidad Biológica, me permito anexar a la presente el documento que contiene los comentarios y requerimientos de México sobre este tema (*Anexo 2*).

Agradezco de antemano su amable atención y aprovecho la oportunidad para enviarle un cordial saludo.

SUFRAGIO EFECTIVO. NO REELECCION

OLGA OJEDA CÁRDENAS
LA TITULAR

c.c.p. Lourdes Aranda Bezaury, Directora General para Temas Globales, SRE. - Presente
Hugo Guzmán Sandoval, Director General de Cooperación Internacional. - Presente
Israel Núñez Birrueta. - Director General Adjunto de Asuntos Regionales y Biodiversidad. - Presente.
Roberto López Izquierdo, Secretario Técnico del C. Secretario de SEMARNAT. - En referencia al fax del 18 de noviembre de 2002 del Secretariado del CBD, y en respuesta a su volante de trabajo No. OSS0208253-01
Hesiquio Benítez. - Director de Servicios Externos de la CONABIO. - Presente.

HGS/INB/MO/mjc

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Annex 1

CAPACITY-BUILDING FOR ACCESS AND BENEFIT-SHARING**QUESTIONNAIRE TO ASSIST IN DETERMINING NEEDS
AND PRIORITIES OF PARTIES**

The purpose of this questionnaire is to identify the needs and priorities of Parties and stakeholders in the implementation of access and benefit-sharing arrangements.

I. On the basis of the following list of key areas for capacity-building in relation to access to genetic resources and benefit-sharing, please indicate:

A. The three top priority areas requiring capacity-building/strengthening in your country to assist with the implementation of ABS arrangements

- Development of national access and benefit-sharing policy, administrative and legislative measures
- X** - **Elaboration and implementation of contractual agreements on ABS**
- Development of information systems for information management and exchange
- Assessment, inventory and monitoring of biological resources and traditional knowledge, including taxonomic capacity
- X** - **Valuation of genetic resources and market information, including production and marketing strategies**
- Development of national research and development facilities in scientific and technical areas
- Funding and resource management
- X** - **Monitoring and assessment of capacity building initiatives**

B. The three areas in which your country has the most expertise and experience to share with others to assist in the implementation of access and benefit-sharing arrangements

- Development of national access and benefit-sharing policy, administrative and legislative measures
- Elaboration and implementation of contractual agreements on ABS
- X** - **Development of information systems for information management and exchange**
- X** - **Assessment, inventory and monitoring of biological resources and traditional knowledge, including taxonomic capacity**
- Valuation of genetic resources and market information, including production and marketing strategies
- X** - **Development of national research and development facilities in scientific and technical areas**
- Funding and resource management
- Monitoring and assessment of capacity building initiatives

II. For each of the following areas, please number (as appropriate) the suggested capacity building activities, by order of priority:

- A. Development of national ABS policy, administrative and legislative measures
 - (3) Public education and awareness
 - (2) Institutional strengthening:
 - o Establishment of Competent National Authorities and National Focal Points
 - o Establishment of necessary information facilitation mechanisms
 - (1) Human resource development of Governments and stakeholders for participation in decision making and implementation:
 - o Education and training
- B. Elaboration and implementation of contractual agreements on ABS
 - (3) Public education and awareness of relevant stakeholders
 - (1) Human resource development of Government and stakeholders, through
 - o e.g.: contract negotiation skills
 - (2) Information exchange mechanisms to learn from others experiences
 - (4) Establishment of necessary scientific and information management facilities
- C. Development of information systems for information management and exchange
 - (2) Development of human resources
 - (1) Establishment of information systems and management facilities
- D. Assessment, inventory and monitoring of biological resources and traditional knowledge, including taxonomic capacity
 - (2) Development of human resources through education and training
 - (1) Institutional strengthening
- E. Valuation of genetic resources and market information, including production and marketing strategies
 - (1) Carrying out of research, inventories and national case studies on endemic genetic resources
 - (2) Human resource development with respect to production and marketing strategies
 - (3) Establishment of relevant national institutions
- F. Development of national research and development facilities in scientific and technical areas
 - (3) Human resource development
 - (1) Technology transfer
 - (2) Institutional strengthening
- G. Funding and resource management
 - (2) Human resource development
 - (1) Institutional strengthening
- H. Monitoring and assessment of capacity building initiatives
 - (1) Development of instruments, tools and indicators
 - (2) Human resource development

(3) Institutional strengthening

III. For each of the key areas listed above, please indicate whether capacity-building initiatives have already been carried out or are being considered.

- A. Development of national ABS policy, administrative and legislative measures
Being considered
- B. Elaboration and implementation of contractual agreements on ABS
Being considered
- C. Development of information systems for information management and exchange
Carried out
- D. Assessment, inventory and monitoring of biological resources and traditional knowledge, including taxonomic capacity
For biological resources: carried out
For traditional knowledge: being considered
- E. Valuation of genetic resources and market information, including production and marketing strategies
Being considered
- F. Development of national research and development facilities in scientific and technical areas
Being considered
- G. Funding and resource management
Being considered
- H. Monitoring and assessment of capacity building initiatives
Neither considered nor carried out.

IV. On the basis of needs and priorities established by Parties, the workshop will assist in identifying the appropriate means of implementation and promote coordination among the various actors involved in capacity-building initiatives. What are your views on how best the following entities could facilitate capacitybuilding to assist Parties with the implementation of ABS arrangements:

- The Secretariat
- The GPP
- Other bilateral and multilateral donors
- Intergovernmental organizations
- Regional networks
- Non-governmental organizations
- Private Sector/Industry
- Scientific/Academic Institutions

Entities	How to facilitate capacity building initiatives?
The Secretariat	<ul style="list-style-type: none"> • Coordination of CB efforts • Platform for information exchange
The GEF	<ul style="list-style-type: none"> • Funding both for national CB initiatives as well as support for individual access negotiations (possibly a line of funding for CB of local communities and local authorities)
Other bilateral and multilateral donors	<ul style="list-style-type: none"> • Funding for CB at local level
Intergovernmental organizations	<ul style="list-style-type: none"> • They play a more diverse role, the best they can do is to help disseminate their efforts (e.g. WIPO process)
Regional networks	<ul style="list-style-type: none"> • Development of information systems • These networks could co-finance CB efforts • Facilitate coordination • Multiply and magnify CB efforts among their members.
Non-governmental organizations	<ul style="list-style-type: none"> • They are key to seriously and unbiasedly compile and disseminate experiences and case studies. • Grassroots NGOs (not international level) could assist in local CB efforts as trainers.
Private Sector/Industry	<ul style="list-style-type: none"> • Invest in awareness (including their own awareness of other stakeholders' needs and aspirations). • Transparent and more accessible dissemination of their activities • Disclosure of their contractual arrangements in, for instance, WIPOs database.
Scientific/Academic Institutions	<ul style="list-style-type: none"> • Improve their communication skills to wider sectors of society. • Invest in their own awareness of stakeholders needs and aspirations with regards to ABS.

Capacity building (CB)

V. What other suggestions do you wish to make on capacity-building on access and benefit-sharing

As a general comment, the questionnaire seems biased towards the needs of the "providers" of genetic resources and is limited in format with regards to capacity building issues regarding "users". Of course, it is expected that "providers" will generally be in a more disadvantageous position in terms of financing capacity building, but in terms of actions, "users" do need to improve their capacity in a number of fields, including:

- Ethics and policy development regarding intellectual property rights policy
- Handling of Traditional Knowledge
- Ranges of benefits to be shared

- Needs and aspirations of provider countries/communities
- Policy and other options to signal commitment to comply with ABS regulations beyond provider country.



المملكة العربية السعودية

الهيئة الوطنية لحماية الحياة الفطرية وإنمائها
NATIONAL COMMISSION FOR WILDLIFE CONSERVATION AND DEVELOPMENT (NCWCD)

Office of the SECRETARY GENERAL

مكتب الأمين العام

Date: 9-11-2002

Ref No: 3591

Enclosure:

Dr. Hamdallah Zedan
Executive Secretary
Secretariat of the Convention on Biological Diversity
393 Saint-Jacques Street,
Suite 300 Montreal, Quebec
Canada H2Y 1N9

Sub: Notification SCBD/SEL/VN dated 27 June regarding decision VI/24

Dear Dr. Zedan,

With respect to your request for information regarding capacity building for access and benefit sharing, I am pleased to send you the attached note.

With the best regards,

Prof. Dr. Abdulaziz H. Abuzinada
Secretary General

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NO. 22 2002
ACTION <u>VN</u>
FILE <u> </u>
INFO <u>DS</u>

Capacity Building for Access and Benefit Sharing (ABS)

Response to the SCBD questionnaire

- I. A. **Three top priority areas for capacity building / strengthening in Saudi Arabia:**
 1. Elaboration and implementation of contractual agreements on ABS.
 2. Development of national access and benefit-sharing policy, administrative and legislative measures.
 3. Valuation of genetic resources and market information, including production and marketing strategies.
 - B. Priority area in which Saudi Arabia can share experience.
 1. Assessment, inventory and monitoring of biological resources and traditional knowledge, including taxonomic capacity.
- II. **Priority activities in capacity building for ABS.**
- A. Development of national ABS policy, administrative and legislative measures.
 1. Human resource development of Government and stakeholders for participation in decision-making and implementation.
 2. Public education and awareness.
 3. Institutional strengthening.
 - o Establishment of necessary information facilitation mechanisms.
 - B. Elaboration and implementation of contractual agreements on ABS
 1. Human resource development of Government and stakeholders, through education and training.
 2. Establishment of necessary scientific and information management facilities.
 3. Public education and awareness of relevant stakeholders.
 4. Information exchange mechanisms to learn from others experiences.
 - C. Development of information systems for information management and exchange
 1. Development of human resources
 2. Institutional strengthening

- D. Assessment, inventory and monitoring of biological resources and traditional knowledge, including taxonomic capacity
 - 1. Development of human resources through education and training
 - 2. Institutional strengthening
- E. Valuation of genetic resources and market information, including production and marketing strategies
 - 1. Carrying out of research, inventories and national case studies on endemic genetic resources.
 - 2. Human resource development with respect to production and marketing strategies.
 - 3. Establishment of relevant national institutional mechanisms.
- F. Development of national research and development facilities in scientific and technical areas
 - 1. Human resource development.
 - 2. Institutional strengthening.
 - 3. Technology transfer.
- G. Funding and resource management
 - 1. Human resource development.
 - 2. Institutional strengthening.
- H. Monitoring and assessment of capacity building initiatives
 - 1. Human resource development.
 - 2. Development of instruments, tools and indicators.
 - 3. Institutional strengthening.

III Current status

In terms of capacity building for ABS, Saudi Arabia is still at the initial stage, like most developing countries are. However, we do have a well-established institutional system for biodiversity conservation and documentation.

IV. Role of various players

The Secretariat, GEF, relevant UN agencies, regional networks, NGOs and research institutions have key role to play in capacity building for ABS.

NGOs have a special role as watch dogs in contracts involving unequal partners. Regional organisations and UN agencies should be able to provide training.

V. Additional suggestion

We see ABS as a key area that has to be developed as a priority. An international regime to regulate ABS has to be in place before it is too long.