



GEF-6 Funding for the Cartagena Protocol



GEF - Introduction

- Funds projects that result in global environmental benefits
- Covers incremental costs
- Requires co-financing (new policy 1 July 2014)
 - Co-financing is required for all GEF FSP, MSPs & programmatic approaches; optional for enabling activities
 - Co-financing ratios generally increased under GEF-6; higher for higher-income countries (HICs)
- Country ownership ; projects must be based on national priorities



GEF - Introduction

- Most GEF's resources disbursed under the System for Transparent Allocation of Resources (STAR)
- Allocations calculated based on:
 - Country potential to achieve global environmental benefits- the GEF benefits index;
 - Country performance- performance index;
 - the Social Economic Development Index based on each country's GDP
- Some Focal Area Set-Aside resources for:
 - Preparation of the national reports
 - NBSAP development/revision
 - Regional and global projects, including the "integrated approach pilots"



GEF Project Modalities

- FSP > \$2 million
- MSP < \$2 million
- Enabling activity (national reporting, NBSAP review)
- PPG
- Other modalities:
 - Small Grants Programme
 - Support Programme for National Capacity Self-Assessments
 - Country Support Program for focal points and Council members
 - GEF National Consultative Dialogue Initiative



GEF Project Cycle

1. Project idea

- Project proponent and GEF Agency develop project concept
- GEF-OFP endorsement
- PIF submission
 - GEF Agencies can submit PIFs to the GEF Secretariat on a rolling basis
 - If a PPG is required for the preparation of an MSP or FSP, a PPG request should be submitted together with the PIF and seek CEO approval of both the PIF and PPG

2. PIF Review

- Technical review by GEF Secretariat (within 10 days😊😊)
- Approval by GEF Council (FSP)



GEF Project Cycle

3. Project Preparation

- Preparation of full project document [submission no later than 12 months for an MSP, or 18 months for an FSP, after PIF Approval]

4. Project document review

- Technical review by GEF Secretariat (within 10 days 😊)
- CEO Endorsement (MSP)
- Approval by GEF Council (FSP)
- Final project documents posted on the GEF website



GEF-6 (2014-2018)

- GEF-6 came into effect on 31 October 2014
- \$4.433 billion pledged (4% increase over GEF-5)
 - \$1296 million allocated to biodiversity (\$1260-Climate change)
- STAR covers three focal areas: biodiversity, climate change and land degradation
- GEF-6 programming has introduced innovative strategies - “integrated approach pilots”:
 - Taking Deforestation out of Commodity Supply Chains;
 - Fostering Sustainability and Resilience for Food Security in Africa



GEF 6 Focal Area Allocations

Focal area/theme	GEF-5 (\$ million)	GEF-6 (\$ million)
Biodiversity	1,210	1296
Climate change	1,360	1260
Chemicals and waste	425	554
International waters	440	456
Land degradation	405	431
Non-grant instruments pilot	80	115
Corporate programs	210	197
Corporate Budget: Secretariat, STAP and Trustee	120	106
Independent Evaluation Office		19
TOTAL GEF Replenishment	4,250	4,433



GEF 5 Biodiversity Programming

Biodiversity Focal Area Objective	Notional allocation (US\$)	Amount utilized	% utilized
BD-1: Sustainability of protected area systems	700,000,000	489,068,947	70%
BD-2: Mainstreaming biodiversity	250,000,000	409,102,504	164%
BD-3: Biosafety	40,000,000	16,468,648	41%
BD-4: Access and benefit-sharing	40,000,000	47,806,233	120%
BD-5: Enabling activities (NBSAPs)	40,000,000	30,263,908	76%
TOTAL	1,070,000,000	992,710,240	93%

GEF-4, GEF-5 and GEF-6 Biodiversity Programming

	GEF-4 (2006-2010)		GEF-5 (2010-2014)		GEF-6 (2014-2018)	
Biodiversity Strategy Objective	GEF (\$ m)	% of total	GEF (\$ million)	% of total amount	GEF (\$ million)	% of total
Protected area systems	425	47%	700	65%	250	19%
Mainstreaming biodiversity	270	30%	250	23%	416	32%
Biosafety	75	8%	40	4%	30	2%
ABS			40	4%	50	4%
Enabling activities	36	4%	40	4%		
Emerging issues	90	10%				
Threatened species					80	6%
Invasive alien species					50	4%
Sustainable use					175	14%
Focal Area Set-Aside					245	18%
TOTAL	906	100%	1,070	100%	1,296	100%

GEF 6 STAR Biodiversity Programming

Focal area objective	Focal area programs	Notional allocation (million)
BD 1: Improve sustainability of protected area systems	Program 1: Improving Financial Sustainability and Effective Management of the National Ecological Infrastructure	125
	Program 2: Nature's Last Stand: Expanding the Reach of the Global Protected Area Estate	125
BD 2: Reduce threats to globally significant biodiversity	Program 3: Preventing the Extinction of Known Threatened Species	80
	Program 4: Prevention, Control and Management of Invasive Alien Species	50
	Program 5: Implementing the Cartagena Protocol on Biosafety (CPB)	30

GEF 6 STAR Biodiversity Programming

Focal area objective	Focal area programs	Notional allocation (million)
BD 3: Sustainably use biodiversity	Program 6: Ridge to Reef+: Maintaining Integrity and Function of Coral Reef Ecosystems	100
	Program 7: Securing Agriculture's Future: Sustainable Use of Plant and Animal GRs	75
	Program 8: Implementing the Nagoya Protocol	50
BD 4: Mainstream biodiversity into production landscapes and seascapes/sectors	Program 9: Managing the Human-Biodiversity Interface	338
	Program 10: Integration of Biodiversity into Development & Finance Planning	78
Focal Area Set-Aside		245
Total Biodiversity		1,296

GEF-5 Utilization by African Countries



Country	Initial Allocation	Utilization	Remaining Amount	% of Utilization
Angola	6.99	6.98	0.02	99%
Benin	1.50	0.99	0.51	66%
Botswana	2.11	2.10	0.01	99%
Burkina Faso	1.50	1.66	-0.16	111%
Burundi	1.50	1.43	0.07	95%
Central African Republic	1.68	0.54	1.14	32%
Chad	1.91	1.90	0.01	99%
Comoros	2.08	4.77	-2.69	229%
D. R. of the Congo	13.8	14.6	-0.8	105%
Cote d'Ivoire	3.25	3.23	0.02	99%
Ethiopia	8.13	7.73	0.40	95%
Gabon	3.40	5.06	-1.66	148%
Gambia	1.50	1.48	0.02	98%
Ghana	2.62	2.62	0.00	100%



GEF-5 Utilization by African Countries



Country	Initial Allocation	Utilization	Remaining Amount	% of Utilization
Guinea	2.43	1.79	0.64	74%
Guinea-Bissau	1.50	2.60	-1.10	173%
Kenya	8.95	8.93	0.02	99%
Lesotho	1.50	0.77	0.73	51%
Liberia	2.42	2.66	-0.24	109%
Madagascar	26.0	22.9	3.10	88%
Malawi	4.39	3.77	0.62	85%
Namibia	6.28	6.23	0.05	99%
Niger	1.50	1.55	-0.05	103%
Nigeria	5.64	3.87	1.77	68%
Senegal	1.80	1.80	0.00	100%
Sierra Leone	1.50	1.58	-0.08	105%
Somalia	N/A	N/A	N/A	N/A
South Africa	21.6	22.6	-1.00	104%



GEF-5 Utilization by African Countries



Country	Initial Allocation	Utilization	Remaining Amount	% of Utilization
Swaziland	1.50	6.09	-4.59	406%
Tanzania	13.9	12.8	1.10	92%
Togo	1.50	1.20	0.30	80%
Uganda	3.83	3.83	0.00	100%
Zambia	4.26	4.26	0.00	100%



African countries that utilized 100% of their GEF-5 allocations:

- Burkina Faso
- Comoros
- Democratic Republic of the Congo
- Gabon
- Ghana
- Guinea-Bissau
- Liberia
- Niger
- Senegal
- Sierra Leone
- South Africa
- Swaziland
- Uganda
- Zambia



GEF-6 STAR COUNTRY ALLOCATIONS (\$ million)

Source: http://www.thegef.org/gef/STAR/GEF6_country_allocations



Country	Climate Change	Biodiversity	Land Degradation	Total	Fully Flexible
Angola	4.04	6.60	3.04	13.69	no
Benin	3.00	2.00	5.08	10.08	no
Botswana	2.21	2.02	4.68	8.91	no
Burkina Faso	3.15	2.00	6.19	11.33	no
Burundi	3.00	2.00	1.28	6.28	yes
Central African Republic	3.00	2.28	2.27	7.55	no
Chad	3.00	2.38	3.21	8.59	no
Comoros	3.00	2.62	1.00	6.62	yes
Côte d'Ivoire	2.00	4.19	3.54	9.73	no
Democratic Republic of the Congo	9.58	16.38	1.00	26.96	no
Ethiopia	7.41	10.56	5.27	23.23	no
Gabon	2.00	3.81	0.97	6.78	yes
Gambia	3.00	2.00	5.18	10.18	no
Ghana	2.41	3.19	4.32	9.92	no



GEF-6 Utilization by African Countries



Country	Initial Allocation	Utilization	Remaining Amount	% of Utilization
Angola	6.60	0.00	6.60	0%
Benin	2.00	0.00	2.00	0%
Botswana	2.01	2.02	-0.01	100%
Burkina Faso	2.00	0.00	2.00	0%
Burundi	2.00	1.00	1.00	50%
Central African Republic	2.27	0.00	2.27	0%
Chad	2.37	2.00	0.37	84%
Comoros	2.62	0.00	2.62	0%
D. R. of the Congo	16.38	0.00	16.38	0%
Cote d'Ivoire	4.19	0.33	3.86	7%
Ethiopia	10.56	10.05	0.51	95%
Gabon	3.81	5.62	-1.81	147%
Gambia	2.00	0.00	2.00	0%
Ghana	3.18	3.18	0.00	100%



GEF-6 Utilization by African Countries



Country	Initial Allocation	Utilization	Remaining Amount	% of Utilization
Guinea	3.10	0.00	3.10	0%
Guinea-Bissau	2.00	0.00	2.00	0%
Kenya	10.28	1.00	9.28	9%
Lesotho	2.00	0.00	2.00	0%
Liberia	3.43	0.00	3.43	0%
Madagascar	24.53	0.00	24.53	0%
Malawi	5.32	1.00	4.32	18%
Namibia	6.59	0.00	6.59	0%
Niger	2.00	0.50	1.50	25%
Nigeria	6.79	2.80	3.99	41%
Senegal	2.09	1.03	1.06	49%
Sierra Leone	2.11	0.00	2.11	0%
Somalia	N/A	N/A	N/A	N/A
South Africa	22.78	8.00	14.78	35%



GEF-6 Utilization by African Countries



Country	Initial Allocation	Utilization	Remaining Amount	% of Utilization
Swaziland	1.50	0.54	0.96	36%
Tanzania	15.90	6.20	9.70	38%
Togo	2.00	0.00	2.00	0%
Uganda	4.01	0.60	3.41	15%
Zambia	4.71	4.09	0.62	86%



African countries that utilized 100% of their GEF-6 allocations:

- Botswana
- Gabon
- Ghana



GEF-6 STAR COUNTRY ALLOCATIONS (\$ million)

Source: http://www.thegef.org/gef/STAR/GEF6_country_allocations

	Country	Climate Change	Biodiversity	Land Degradation	Total	Fully Flexible	
	Ghana	2.41	3.19	4.32	9.92	no	
	Guinea	3.00	3.10	1.85	7.95	no	
	Guinea-Bissau	3.00	2.00	1.00	6.00	yes	
	Kenya	4.04	10.28	4.63	18.95	no	
	Lesotho	3.00	2.00	1.00	6.00	yes	
	Liberia	3.00	3.43	1.00	7.43	no	
	Madagascar	3.03	24.54	2.57	30.14	no	
	Malawi	3.00	5.32	1.44	9.76	no	
	Namibia	2.00	6.59	5.65	14.24	no	
	Niger	3.00	2.00	4.60	9.60	no	
	Nigeria	13.02	6.80	3.53	23.35	no	
	Senegal	3.00	2.09	5.42	10.51	no	
	Sierra Leone	3.00	2.11	1.00	6.11	yes	
	Somalia	N/A	N/A	N/A	N/A	N/A	
	South Africa	17.98	22.79	5.18	45.95	no	

GEF-6 STAR COUNTRY ALLOCATIONS (\$ million)

Source: http://www.thegef.org/gef/STAR/GEF6_country_allocations



Country

Climate
Change

Biodiversity

Land
Degradation

Total

Fully
Flexible



Swaziland

2.00

1.50

2.91

6.41

yes



Togo

3.00

2.00

2.21

7.21

no

Uganda

3.77

4.01

2.22

10.00

no



United Republic
of Tanzania

7.13

15.90

6.06

29.09

no



Zambia

3.64

4.72

3.15

11.50

no

GEF 6 notional allocation for the Cartagena Protocol



How Much?

Less than GEF-5: 30 million



For What? Priorities for GEF-6

(Program 5: Supporting the CPB implementation)

Based on COP guidance to the GEF, in particular:

- Elements in the Strategic Plan for CPB
- Framework and action plan for capacity building
- N-KLSP ratification & implementation

Activities identified in country stocktaking:

- Main priority - supporting NBF implementation
- Thematic projects addressing specific CPB provisions

Support Regional or subregional projects



GEF Funds

How to access ?

Country Driven Process

Prioritization Workshop

Approach a preferred GEF Agency

PIF/PPG



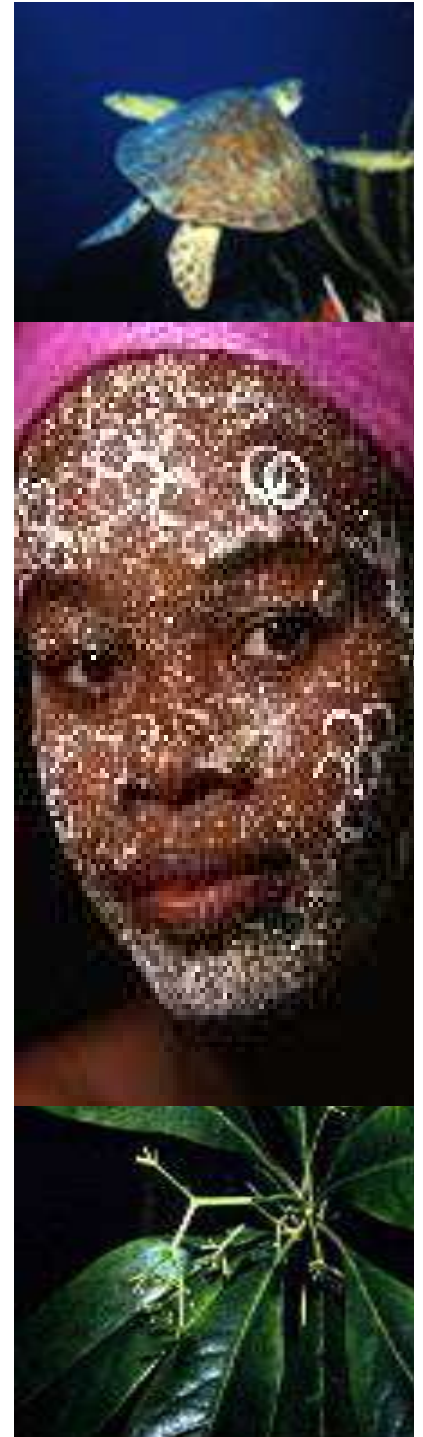
GEF within the country

Country support program

- National Portfolio Formulation Exercise (NPFE)
- National GEF dialogues
- Expanded Constituency Workshops

Country focal points

- GEF political focal point – Governance issues and policies; communication with constituents
- GEF operational focal point (OFP)
 - In-country coordination of GEF programming
 - Endorse all GEF concepts (PIFs)
 - Affirm consistency with national plans/priorities



Take home messages

- **Make full use of your GEF-6 country STRAR allocation - submit biosafety projects under Program 5**
- **Prioritize biosafety projects when programming your national STAR allocation**
- **Incorporate biosafety activities into multi-focal-area projects, including the “integrated approach pilots”**
- **Integrate and prioritize biosafety into NBSAPs, national resource mobilization plans & national development plans and programmes**



Take Home Messages



- Familiarize yourself with GEF operational policies/procedures/governance structure
- Get in touch with your focal points - CBD & GEF-OFP and GEF agencies
- Get involved in prioritization workshops and push for prioritization of ABS/NP.
- Appraise yourself on COP guidance
- Visit GEF website–www.thegef.org to understand GEF procedures
- Explore opportunities under bilateral and multi-lateral ODA
- Make sincere attempts to access GEF funds; don't get frustrated by the tedious processes



Thanks
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