



## Convention on Biological Diversity

Distr.  
GENERAL

UNEP/CBD/BS/COP-MOP/5/14/Rev.1\*  
10 July 2010

ORIGINAL: ENGLISH

CONFERENCE OF THE PARTIES TO THE CONVENTION  
ON BIOLOGICAL DIVERSITY SERVING AS THE  
MEETING OF THE PARTIES TO THE CARTAGENA  
PROTOCOL ON BIOSAFETY

Fifth meeting

Nagoya, Japan, 11-15 October 2010

Item 5 of the provisional agenda\*\*

### MONITORING AND REPORTING UNDER THE PROTOCOL (ARTICLE 33)

*Revised note by the Executive Secretary*

#### I. INTRODUCTION

1. Article 33 of the Cartagena Protocol on Biosafety requires Parties to monitor implementation of their obligations under the Protocol and to report to the Conference of the Parties serving as the meeting of the Parties to the Protocol (COP-MOP) on measures taken to implement the Protocol.
2. In paragraph 5 of decision BS-I/9, Parties to the Protocol were requested to submit reports every four years, but in the initial four-year period to submit an interim report two years after entry into force of the Protocol, i.e. by 11 September 2005.
3. In their decision BS-III/14, the Parties to the Protocol, in considering the analysis of the interim reports, adopted a new reporting format for the first regular national report on implementation of the Protocol and outlined a schedule and the process for the preparation and synthesis of the reports for consideration at the fourth meeting of the Parties to the Protocol.
4. At that meeting, the Parties to the Protocol, in their decision BS-IV/14: (i) expressed concern “with the low number of first national reports that had been submitted”; (ii) urged the Global Environment Facility (GEF) “to make financial resources available with a view to enable eligible Parties to prepare their national report”; and (iii) requested the Executive Secretary “to repeat the analysis of the first national reports submitted after the deadline within three months after the meeting of the Parties and make the analysis available through the Biosafety Clearing-House”. Accordingly, the revised analysis was conducted and is available in the Biosafety Clearing-House, as document UNEP/CBD/BS/CC/5/2.<sup>1</sup>
5. In the same decision, the Parties to the Protocol further requested “the Executive Secretary to propose improvements to the reporting format based on experiences gained through the analysis of the first national reports, the recommendations of the Compliance Committee and suggestions made by

\* The present document supersedes and replaces document UNEP/CBD/BS/COP-MOP/5/14 dated 10 June 2010, which is hereby withdrawn.

\*\* UNEP/CBD/BS/COP-MOP/4/1.

<sup>1</sup> The analysis of the interim and first national reports as well as the revised analysis requested by decision BS-IV/14 are available at [http://bch.cbd.int/protocol/cpb\\_natreports.shtml](http://bch.cbd.int/protocol/cpb_natreports.shtml).

/...

Parties” for consideration at the fifth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol.

6. In accordance with this request, the Secretariat has prepared, on the basis of previous reporting experiences, recommendations of the Compliance Committee and suggestions made by Parties, a new format for the second national report on the implementation of the Cartagena Protocol on Biosafety for consideration at the fifth meeting of the Parties to the Protocol.

7. In paragraph 1 of decision BS-IV/15, the Parties to the Protocol requested the Executive Secretary to: (i) develop a sound methodological approach to contribute to an effective second assessment and review of the Protocol, its annexes, procedures and mechanisms, on the basis of the information contained in the first national reports, answers to the “effectiveness questionnaire”, the report of the Compliance Committee, information on the Biosafety Clearing-House and any other relevant documents; and (ii) draft criteria or indicators that could apply in the evaluation of the effectiveness of the Protocol and provide an indication of the utility.

8. By paragraph 2 of decision BS-IV/15, Parties to the Protocol were further invited to make submissions on a strategic plan for the Protocol and requested the Executive Secretary to present a draft strategic plan for consideration at its fifth meeting on this basis.

9. The proposed format has been designed not only with the aim of facilitating the second national report on implementation but to help establish a baseline (global picture) of the status of implementation of the Protocol at the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol. The establishment of this baseline will facilitate measurement of progress in the implementation of the envisaged strategic plan and the future assessment and review process of the Protocol.

10. Further, the format has been designed taking into account the global indicators of the draft strategic plan which will be before the fifth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol.

## **II. ELEMENTS FOR A DRAFT DECISION**

11. The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety may wish to consider adopting a decision:

(a) Adopting the reporting format annexed hereto and requesting Parties to use it for the second national report on the implementation of the Cartagena Protocol on Biosafety;

(b) Requesting the Executive Secretary, under the guidance of the Bureau, to identify and include specific questions in the reporting format with reference to Article 27 (Liability and Redress) after the completion of the ongoing process, and to make the final format available through the Biosafety Clearing-House;

(c) Recommending that Parties prepare their reports through a consultative process involving all relevant stakeholders, as appropriate;

(d) Encouraging Parties to respond to questions in the reporting format that may not necessarily represent obligations under the Protocol but that could facilitate the establishment of baselines for subsequent assessment and reviews of the Protocol as well as the Strategic Plan;

(e) Recognizing the limitations that some Parties may face in fulfilling their national reporting obligation under the Protocol, urging the Global Environment Facility to make financial resources available with a view to enabling such eligible Parties to prepare their national reports; and

(f) Requesting Parties to submit to the Secretariat their second national report on the implementation of the Cartagena Protocol on Biosafety:

(i) Twelve months prior to the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol, which will consider the report;

- (ii) In an official language of the United Nations; and
- (iii) In both hard copy and electronic format.

*Annex*

**Draft Second National Report  
on the Implementation of the Cartagena Protocol on Biosafety**

## GUIDELINES FOR USE OF THE REPORTING FORMAT

The following format for preparation of the second national report on implementation of the Cartagena Protocol on Biosafety called for under Article 33 of the Protocol is a series of questions based on those elements of the Protocol that establish obligations for Contracting Parties.

Responses to these questions will help Parties to review the extent to which they are successfully implementing the provisions of the Protocol and will assist the Conference of the Parties serving as the meeting of the Parties to the Protocol to assess the overall status of implementation of the Protocol.

Additional questions, highlighted in light grey may not strictly be based on provisions of the Cartagena Protocol on Biosafety or decisions of the Parties to the Protocol. They are included in this reporting format only to help draw a baseline for the assessment and review of the Protocol in the context of Article 35 and to help measure progress in the implementation of the Strategic Plan of the Protocol.

The deadline for submission of the second national report is no less than 12 months prior to the sixth meeting of the Conference of the Parties serving as the meeting of the Parties to the Protocol. It is intended to cover activities undertaken between the presentation of the first national report (or the entry into force of the Protocol for reporting Parties that ratified or acceded to the Protocol after 11 September 2007) and the date of reporting for the second national report.

For subsequent national reports, the format is expected to evolve, as questions that are no longer relevant may be deleted, questions that are relevant to ongoing progress in implementation will be retained, and additional questions will be formulated pursuant to future decisions of the Conference of the Parties serving as the meeting of the Parties to the Protocol.

The wording of questions follows the wording of the relevant articles of the Protocol as closely as possible. The use of terms in the questions follows the meanings accorded to them under Article 3 of the Protocol.

The format tries to minimize the reporting burden on Parties, while eliciting the important information regarding implementation of the provisions of the Protocol. Most of the questions asked require only a tick in one or more boxes and for each article a text field allows to provide further details on its implementation. Although there is no set limit on length of text, in order to assist with the review and synthesis of the information in the reports, respondents are asked to ensure that answers are as relevant and as succinct as possible.

The Executive Secretary welcomes any comments on the adequacy of the questions, and difficulties in completing the questions, and any further recommendations on how these reporting guidelines could be improved. Space is provided for such comments at the end of the report.

It is recommended that Parties involve all relevant stakeholders in the preparation of the report, in order to ensure a participatory and transparent approach to its development and the accuracy of the information requested.

The reporting format is made available as a protected form in MS Word format for further processing of the information contained therein by the CBD Secretariat in Montreal. Only fields highlighted in dark grey color (text entries and checkboxes) are changeable. The form is also available on the BCH for completion electronically at the following address:  
<http://bch.cbd.int/managementcentre/edit/CPBnationalreport2.shtml>

***IMPORTANT:*** *To facilitate the analysis of the information contained in this reports, it is recommended that Parties submit via e-mail the electronic document in MS Word format, together with a scanned copy of the first signed page, as attachments to the Secretariat at: [secretariat@cbd.int](mailto:secretariat@cbd.int)*

*Note: Questions highlighted in light grey may not strictly be based on provisions of the Cartagena Protocol on Biosafety or decisions of the Parties to the Protocol. They are included in this reporting format only to help draw a baseline for assessment and review of the Protocol in the context of Article 35 and to help measure implementation of the Strategic Plan.*

**-DRAFT-**

**Second National Report  
on the Implementation of the Cartagena Protocol on Biosafety**

**Origin of report**

1. **Country:** [ Type your text here ]

*Contact officer for report*

2. Name of contact officer: [ Type your text here ]

3. Title of contact officer: [ Type your text here ]

4. Mailing address: [ Type your text here ]

5. Telephone: [ Type your text here ]

6. Fax: [ Type your text here ]

7. E-mail: [ Type your text here ]

*Submission*

8. Date of submission: [ Type your text here ]

9. Time period covered by this report: [ Type your text here ]

**Signature of the reporting officer<sup>2</sup>** \_\_\_\_\_

<sup>2</sup> This document is made available as a protected form in MS Word format for further processing of the information contained therein by the CBD Secretariat in Montreal. Only fields highlighted in dark grey color (text entries and checkboxes) are changeable. Once the document is filled in, please save it and print this first page only for signature. The form is also available on the BCH for completion electronically at: <http://bch.cbd.int/managementcentre/edit/CPBnationalreport2.shtml>

**IMPORTANT: To facilitate the analysis of the information contained in this reports, please send the report to the Secretariat via e-mail at [secretariat@cbd.int](mailto:secretariat@cbd.int) as attachment in MS Word format, together with a scanned copy of the first signed page; please *do not* send this report via fax or postal mail or in electronic formats other than MS Word.**

---

**Parties to the Cartagena Protocol**


---

- |  |  |
|--|--|
| 10. Is your country a Party to the Cartagena Protocol on Biosafety (CPB)?                                      | <input type="checkbox"/> Yes<br><input type="checkbox"/> No  |
| 11. If you answered <i>No</i> to question 10, is there any national process in place towards becoming a Party? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No<br><input type="checkbox"/> Not applicable |
- 

**Article 2 – General provisions**


---

- |  |  |
|--|--|
| 12. Has your country introduced the necessary legal, administrative and other measures for the implementation of the Protocol?             | <input type="checkbox"/> A domestic regulatory framework is fully in place<br><input type="checkbox"/> A domestic regulatory framework is partially in place<br><input type="checkbox"/> Only temporary measures have been introduced<br><input type="checkbox"/> Only a draft framework exists<br><input type="checkbox"/> No measures have yet been taken              |
| 13. Which specific instruments are in place for the implementation of your national biosafety framework?                                   | <input type="checkbox"/> One or more national biosafety laws<br><input type="checkbox"/> One or more national biosafety regulations<br><input type="checkbox"/> One or more sets of biosafety guidelines<br><input type="checkbox"/> Other laws, regulations or guidelines not specifically addressing biosafety<br><input type="checkbox"/> No instruments are in place |
| 14. Has your country established a mechanism for the budgetary allocations of funds for the operation of its national biosafety framework? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No  |
| 15. Does your country have permanent staff to administer functions directly related to the national biosafety framework?                   | <input type="checkbox"/> Yes<br><input type="checkbox"/> No  |
-

16.	If you answered <i>Yes</i> to question 15, how many permanent staff members are in place whose functions are directly related to the national biosafety framework?	<input type="checkbox"/>	One
		<input type="checkbox"/>	Less than 5
		<input type="checkbox"/>	Less than 10
		<input type="checkbox"/>	More than 10
		<input type="checkbox"/>	Not applicable
17.	Has your country's biosafety framework / laws / regulations / guidelines been submitted to the Biosafety Clearing-House (BCH)?	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	Partially
		<input type="checkbox"/>	No
18.	Here you may provide further details on the implementation of Article 2 in your country: [ <input type="text"/> Type your text here <input type="text"/> ]		
<b>Article 5 – Pharmaceuticals</b>			
19.	Does your country regulate the transboundary movement, handling and use of living modified organisms (LMOs) which are pharmaceuticals?	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	No
20.	If you answered <i>Yes</i> to question 19, has this information been submitted to the BCH?	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	Partially
		<input type="checkbox"/>	No
		<input type="checkbox"/>	Not applicable
21.	Here you may provide further details on the implementation of Article 5 in your country: [ <input type="text"/> Type your text here <input type="text"/> ]		
<b>Article 6 – Transit and Contained use</b>			
22.	Does your country regulate the transit of LMOs?	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	No
23.	Does your country regulate the contained use of LMOs?	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	No
24.	If you answered <i>Yes</i> to questions 22 or 23, has this information been submitted to the BCH?	<input type="checkbox"/>	Yes
		<input type="checkbox"/>	Partially
		<input type="checkbox"/>	No
		<input type="checkbox"/>	Not applicable



25. Here you may provide further details on the implementation of Article 6 in your country: [ Type your text here ]

**Articles 7 to 10: Advance Informed Agreement (AIA) and intentional introduction of LMOs into the environment**

- |   |                          |                |
|---|--------------------------|----------------|
| 26. Has your country adopted law(s) / regulations / administrative measures for the operation of, and consistent with, the AIA procedure of the Protocol?   | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |
| 27. Has your country established a mechanism for taking decisions regarding first intentional transboundary movements of LMOs for intentional introduction into the environment?  | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |
| 28. If you answered <i>Yes</i> to question 27, does the mechanism also apply to cases of intentional introduction of LMOs into the environment that were not subject to transboundary movement?   | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |
|   | <input type="checkbox"/> | Not applicable |
| 29. Has your country established a mechanism for monitoring potential effects of LMOs that are released into the environment?   | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |
| 30. Does your country have the capacity to detect and identify LMOs?  | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |
| 31. Has your country established legal requirements for exporters under its jurisdiction to notify in writing the competent national authority of the Party of import prior to the intentional transboundary movement of an LMO that falls within the scope of the AIA procedure? | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |
| 32. Has your country established legal requirements for the accuracy of information contained in the notification?  | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |
| 33. Has your country ever received an application / notification regarding intentional transboundary movements of LMOs for intentional introduction into the environment?   | <input type="checkbox"/> | Yes            |
|   | <input type="checkbox"/> | No             |

34. Has your country ever taken a decision on an application / notification regarding intentional transboundary movements of LMOs for intentional introduction into the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Yes No Not applicable
35. If you answered <i>Yes</i> to question 34, how many LMOs has your country approved to date for import for intentional introduction into the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	None Less than 5 Less than 10 More than 10 Not applicable
36. If you answered <i>Yes</i> to question 34, how many LMOs, not imported, has your country approved to date for intentional introduction into the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	None Less than 5 Less than 10 More than 10 Not applicable
37. In the current reporting period, how many applications/notifications has your country received regarding intentional transboundary movements of LMOs for intentional introduction into the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	None Less than 5 Less than 10 More than 10
38. In the current reporting period, how many decisions has your country taken regarding intentional transboundary movements of LMOs for intentional introduction into the environment?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	None Less than 5 Less than 10 More than 10
<i>If you replied <u>None</u> to question 38 please go to question 46</i>		
39. With reference to the decisions taken on intentional transboundary movements of LMOs for intentional introduction into the environment, has your country received a notification from the Party(ies) of export or from the exporter(s) prior to the transboundary movement?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Yes, always In some cases only No
40. Did the notifications contain complete information (at a minimum the information specified in Annex I of the Cartagena Protocol on Biosafety)?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Yes, always In some cases only No Not applicable

41. Has your country acknowledged receipt of the notifications to the notifier within ninety days of receipt?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No <input type="checkbox"/> Not applicable
42. Has your country informed the notifier(s) and the BCH of its decision(s)?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> In some cases only the notifier <input type="checkbox"/> In some cases only the BCH <input type="checkbox"/> No <input type="checkbox"/> Not applicable
43. Has your country informed the notifier(s) and the BCH of its decision(s) in due time (within 270 days or the period specified in your communication to the notifier)?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> No <input type="checkbox"/> Not applicable
44. What percentage of your country's decisions fall into the following categories?	<input type="checkbox"/> [ %] Approving the import without conditions <input type="checkbox"/> [ %] Approving the import with conditions <input type="checkbox"/> [ %] Prohibiting the import <input type="checkbox"/> [ %] Requesting additional information <input type="checkbox"/> [ %] Extending the period for the communication of the decision <input type="checkbox"/> Not applicable
45. In cases where your country approved an import with conditions or prohibited an import, did it provide reasons on which its decisions were based to the notifier and the BCH?	<input type="checkbox"/> Yes, always <input type="checkbox"/> In some cases only <input type="checkbox"/> In some cases only to the notifier <input type="checkbox"/> In some cases only to the BCH <input type="checkbox"/> No <input type="checkbox"/> Not applicable

46. Here you may provide further details on the implementation of Articles 7-10 in your country: [ Type your text here ]

**Article 11 – Procedure for living modified organisms  
intended for direct use as food or feed, or for processing (LMOs-FFP)**

47. Has your country adopted specific law(s) or regulation(s) for decision-making regarding domestic use, including placing on the market, of LMOs-FFP?
- Yes  
 No

48. Has your country established legal requirements for the accuracy of information to be provided by the applicant?
- Yes  
 No

49. Has your country established a mechanism to ensure that decisions regarding LMOs-FFP that may be subject to transboundary movement will be communicated to the Parties through the BCH?
- Yes  
 No

50. Has your country established a mechanism for taking decisions on the import of LMOs-FFP?
- Yes  
 No

51. Has your country declared through the BCH that in the absence of a regulatory framework its decisions prior to the first import of an LMO-FFP will be taken according to Article 11.6 of the Cartagena Protocol on Biosafety?
- Yes  
 No

52. Has your country indicated its needs for financial and technical assistance and capacity-building in respect of LMOs-FFP?
- Yes  
 No

53. Has your country ever taken a decision on LMOs-FFP (either on import or domestic use)?
- Yes  
 No

*If you replied No to question 53 please go to question 59*

54. How many LMOs-FFP has your country approved to date?
- None  
 Less than 5  
 Less than 10  
 More than 10  
 Not applicable

---

	<input type="checkbox"/>	None
55. In the current reporting period, how many decisions has your country taken regarding the import of LMOs-FFP?	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	Less than 10
	<input type="checkbox"/>	More than 10

---

	<input type="checkbox"/>	None
56. In the current reporting period, how many decisions has your country taken regarding domestic use, including placing on the market, of LMOs-FFP?	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	Less than 10
	<input type="checkbox"/>	More than 10

---

*If you replied None to the both questions 55 and 56 please go to question 59*

---

57. Has your country informed the Parties through the BCH of its decision(s) regarding import and/or domestic use, including placing on the market, of LMOs-FFP?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No

---

58. Has your country informed the Parties through the BCH of its decision(s) regarding domestic use, including placing on the market, of LMOs-FFP within 15 days?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No

---

59. Here you may provide further details on the implementation of Article 11 in your country: [	Type your text here	]
---	---------------------	---

---

**Article 12 – Review of decision**

60. Has your country established a mechanism for the review and change of a decision regarding an intentional transboundary movement of LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No

---

61. Has your country ever received a request for a review of a decision?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No

---

62. Has your country ever reviewed/changed a decision regarding an intentional transboundary movement of LMOs?	<input type="checkbox"/>	Yes, decision reviewed
	<input type="checkbox"/>	Yes, decision reviewed and changed
	<input type="checkbox"/>	No

---

63. In the current reporting period, how many decisions were reviewed and/or changed regarding an intentional transboundary movement of an LMO?	<input type="checkbox"/>	None
	<input type="checkbox"/>	Less than 5
	<input type="checkbox"/>	More than 5

---

---

If you replied *None* to the question 63 please go to question 67

---

- |   |   |
|---|---|
| 64. Has your country informed the notifier and the BCH of the review and/or changes in the decision?                    | <input type="checkbox"/> Yes, always<br><input type="checkbox"/> In some cases only<br><input type="checkbox"/> In some cases only the notifier<br><input type="checkbox"/> In some cases only the BCH<br><input type="checkbox"/> No |
| 65. Has your country informed the notifier and the BCH of the review and/or changes in the decision within thirty days? | <input type="checkbox"/> Yes, always<br><input type="checkbox"/> In some cases only<br><input type="checkbox"/> No  |
| 66. Has your country provided reasons to the notifier and the BCH for the review and/or changes in the decision?        | <input type="checkbox"/> Yes, always<br><input type="checkbox"/> In some cases only<br><input type="checkbox"/> In some cases only the notifier<br><input type="checkbox"/> In some cases only the BCH<br><input type="checkbox"/> No |
| 67. Here you may provide further details on the implementation of Article 12 in your country: [                         | Type your text here   |
- 

### Article 13 – Simplified procedure

- |   |   |
|---|---|
| 68. Has your country established a system for the application of the simplified procedure regarding an intentional transboundary movement of LMOs?        | <input type="checkbox"/> Yes<br><input type="checkbox"/> No   |
| 69. Has your country ever applied the simplified procedure?   | <input type="checkbox"/> Yes<br><input type="checkbox"/> No   |
| 70. If you answered <i>Yes</i> to question 69, has your country informed the Parties through the BCH of the cases where the simplified procedure applies? | <input type="checkbox"/> Yes, always<br><input type="checkbox"/> In some cases only<br><input type="checkbox"/> No<br><input type="checkbox"/> Not applicable |
| 71. In the current reporting period, how many LMOs has your country applied the simplified procedure to?  | <input type="checkbox"/> None<br><input type="checkbox"/> Less than 5<br><input type="checkbox"/> More than 5   |
-

72. Here you may provide further details on the implementation of Article 13 in your country: [ Type your text here ]

#### Article 14 – Bilateral, regional and multilateral agreements and arrangements

73. Has your country entered into any bilateral, regional or multilateral agreements or arrangements?  Yes  
 No

74. If you answered *Yes* to question 73, has your country informed the Parties through the BCH of the agreements or arrangements?  Yes, always  
 In some cases only  
 No  
 Not applicable

75. If you answered *Yes* to question 73, please a brief description of the scope and objective of the agreements or arrangements entered into: [Type your text here]

76. Here you may provide further details on the implementation of Article 14 in your country: [ Type your text here ]

#### Articles 15 – Risk assessment

77. Has your country established a mechanism for conducting risk assessments prior to taking decisions regarding LMOs?  Yes  
 No

78. If you answered *Yes* to question 77, does this mechanism include procedures for identifying experts to conduct the risk assessments?  Yes  
 No

79. Has your country established guidelines for how to conduct risk assessments prior to taking decisions regarding LMOs?  Yes  
 No

80. Has your country acquired the necessary domestic capacity to conduct risk assessment?  Yes  
 No

81. Has your country established a mechanism for training national experts to conduct risk assessments?  Yes  
 No

82. Has your country ever conducted a risk assessment of an LMO for intentional introduction into the environment?  Yes  
 No

83. Has your country ever conducted a risk assessment of an LMO intended for direct use as food or feed, or for processing?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
84. If your country has taken decision(s) on LMOs for intentional introduction into the environment or on domestic use of LMOs-FFP, were risk assessments conducted for all decisions taken?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
85. Has your country submitted summary reports of the risk assessments to the BCH?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
86. In the current reporting period, if your country has taken decisions regarding LMOs, how many risk assessments were conducted in the context of these decisions?	<input type="checkbox"/>	None
	<input type="checkbox"/>	5 or less
	<input type="checkbox"/>	10 or less
	<input type="checkbox"/>	More than 10
87. Has your country ever required the exporter to conduct the risk assessment(s)?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
88. Has your country ever required the notifier to bear the cost of the risk assessment(s) of LMOs?	<input type="checkbox"/>	Yes, always
	<input type="checkbox"/>	In some cases only
	<input type="checkbox"/>	No
	<input type="checkbox"/>	Not applicable
89. Here you may provide further details on the implementation of Article 15 in your country: [	Type your text here	
	]	
<b>Article 16 – Risk management</b>		
90. Has your country established and maintained appropriate mechanisms, measures and strategies to regulate, manage and control risks identified in risk assessments?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
91. Has your country established and maintained appropriate measures to prevent unintentional transboundary movements of LMOs?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No







105. Has your country taken measures to require that documentation accompanying LMOs-FFP clearly identifies that, in cases where the identity of the LMOs is known through means such as identity preservation systems, they contain living modified organisms and are not intended for intentional introduction into the environment, as well as a contact point for further information?

- Yes  
 Yes, to some extent  
 No

106. Has your country taken measures to require that documentation accompanying LMOs that are destined for contained use clearly identifies them as *living modified organisms* and specifies any requirements for the safe handling, storage, transport and use, the contact point for further information, including the name and address of the individual and institution to whom the LMO are consigned?

- Yes  
 Yes, to some extent  
 No

107. Has your country taken measures to require that documentation accompanying LMOs that are intended for intentional introduction into the environment of the Party of import, clearly identifies them as *living modified organisms*; specifies the identity and relevant traits and/or characteristics, any requirements for the safe handling, storage, transport and use, the contact point for further information and, as appropriate, the name and address of the importer and exporter; and contains a declaration that the movement is in conformity with the requirements of this Protocol applicable to the exporter?

- Yes  
 Yes, to some extent  
 No

108. Does your country have the capacity to enforce the requirements of identification and documentation of LMOs?

- Yes  
 Yes, to some extent  
 No

109. Has your country established procedures for the sampling and detection of LMOs?

- Yes  
 Yes, to some extent  
 No

110. Here you may provide further details on the implementation of Article 18 in your country: [  ]

---

### Article 19 – Competent National Authorities and National Focal Points

---

- |  |                          |                       |
|--|--------------------------|-----------------------|
| 111. Has your country designated one <i>national focal point for the Cartagena Protocol</i> to be responsible for liaison with the Secretariat?  | <input type="checkbox"/> | Yes                   |
|  | <input type="checkbox"/> | No                    |
| <hr/>  |                          |                       |
| 112. Has your country designated one <i>national focal point for the Biosafety Clearing-House</i> to liaise with the Secretariat regarding issues of relevance to the development and implementation of the BCH?   | <input type="checkbox"/> | Yes                   |
|  | <input type="checkbox"/> | No                    |
| <hr/>  |                          |                       |
| 113. Has your country designated one or more <i>competent national authorities</i> , which are responsible for performing the administrative functions required by the Cartagena Protocol on Biosafety and are authorized to act on your country's behalf with respect to those functions? | <input type="checkbox"/> | Yes, one              |
|  | <input type="checkbox"/> | Yes, more than one    |
|  | <input type="checkbox"/> | No                    |
| <hr/>  |                          |                       |
| 114. In case your country designated more than one <i>competent national authority</i> , has your country conveyed to the Secretariat the respective responsibilities of those authorities?  | <input type="checkbox"/> | Yes                   |
|  | <input type="checkbox"/> | No                    |
|  | <input type="checkbox"/> | Not applicable        |
| <hr/>  |                          |                       |
| 115. Has your country made available the required information referred in questions 111-114 to the BCH?  | <input type="checkbox"/> | Yes, all information  |
|  | <input type="checkbox"/> | Yes, some information |
|  | <input type="checkbox"/> | No                    |
| <hr/>  |                          |                       |
| 116. In case your country has designated more than one <i>competent national authority</i> , has your country established a mechanism for the coordination of their actions prior to taking decisions regarding LMOs?  | <input type="checkbox"/> | Yes                   |
|  | <input type="checkbox"/> | No                    |
|  | <input type="checkbox"/> | Not applicable        |
| <hr/>  |                          |                       |
| 117. Has your country established a mechanism for strengthening the capacity of <i>competent national authority/ies</i> to perform the administrative functions required by the Cartagena Protocol on Biosafety?   | <input type="checkbox"/> | Yes                   |
|  | <input type="checkbox"/> | No                    |
| <hr/>  |                          |                       |
| 118. Here you may provide further details on the implementation of Article 19 in your country: [   | Type your text here      |                       |
|  | ]                        |                       |
-

---

## Article 20 – Information Sharing and the Biosafety Clearing-House (BCH)

---

119. Please provide an overview of the status of the information provided by your country to the BCH by specifying for each category of information whether it is available and whether it has been submitted to the BCH.

a. Existing national legislation, regulations and guidelines for implementing the Protocol, as well as information required by Parties for the advance informed agreement procedure (Article 20, paragraph 3 (a))	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
b. National laws, regulations and guidelines applicable to the import of LMOs intended for direct use as food or feed, or for processing (Article 11, paragraph 5)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
c. Bilateral, multilateral and regional agreements and arrangements (Articles 14, paragraph 2 and 20, paragraph 3 (b))	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
d. Contact details for competent national authorities (Article 19, paragraphs 2 and 3), national focal points (Article 19, paragraphs 1 and 3), and emergency contacts (Article 17, paragraph 3 (e))	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available

---

e. Reports submitted by the Parties on the operation of the Protocol (Article 20, paragraph 3 (e))	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
f. Decisions by a Party on regulating the transit of specific living modified organisms (LMOs) (Article 6, paragraph 1)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
g. Occurrence of unintentional transboundary movements that are likely to have significant adverse effects on biological diversity (Article 17, paragraph 1)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
h. Illegal transboundary movements of LMOs (Article 25, paragraph 3)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
i. Final decisions regarding the importation or release of LMOs (i.e. approval or prohibition, any conditions, requests for further information, extensions granted, reasons for decision) (Articles 10, paragraph 3 and 20, paragraph 3(d))	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available

j. Information on the application of domestic regulations to specific imports of LMOs (Article 14, paragraph 4)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
k. Final decisions regarding the domestic use of LMOs that may be subject to transboundary movement for direct use as food or feed, or for processing (Article 11, paragraph 1)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
l. Final decisions regarding the import of LMOs intended for direct use as food or feed, or for processing that are taken under domestic regulatory frameworks (Article 11, paragraph 4) or in accordance with annex III (Article 11, paragraph 6) (requirement of Article 20, paragraph 3(d))	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
m. Declarations regarding the framework to be used for LMOs intended for direct use as food or feed, or for processing (Article 11, paragraph 6)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available
n. Review and change of decisions regarding intentional transboundary movements of LMOs (Article 12, paragraph 1)	<input type="checkbox"/> Information available and in the BCH <input type="checkbox"/> Information available but not in the BCH <input type="checkbox"/> Information available but only partially available in the BCH <input type="checkbox"/> Information not available

<p>o. LMOs granted exemption status by each Party (Article 13, paragraph 1)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>p. Cases where intentional transboundary movement may take place at the same time as the movement is notified to the Party of import (Article 13, paragraph 1)</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>q. Summaries of risk assessments or environmental reviews of LMOs generated by regulatory processes and relevant information regarding products thereof (Article 20, paragraph 3 (c))</p>	<p><input type="checkbox"/> Information available and in the BCH</p> <p><input type="checkbox"/> Information available but not in the BCH</p> <p><input type="checkbox"/> Information available but only partially available in the BCH</p> <p><input type="checkbox"/> Information not available</p>
<p>120. Has your country established a mechanism for strengthening the capacity of the BCH National Focal Point to perform its administrative functions?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>121. Has your country established a mechanism for the coordination among the BCH National Focal Point, the Cartagena Protocol focal point, and the competent national authority(ies) for making information available to the BCH?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>122. Does your country use the information available in the BCH in its decision making processes on LMOs?</p>	<p><input type="checkbox"/> Yes, always</p> <p><input type="checkbox"/> Yes, in some cases</p> <p><input type="checkbox"/> No</p>
<p>123. Has your country experienced difficulties accessing or using the BCH?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>



124. If you answered *Yes* to question 123, has your country reported these problems to the BCH or the Secretariat?

Yes  
 No  
 Not applicable

125. Is the information submitted by your country to the BCH complete and up-to date?

Yes  
 No

126. Here you may provide further details on the implementation of Article 20 in your country: [ Type your text here ]

### Article 21 – Confidential information

127. Has your country established procedures to protect confidential information received under the Protocol?

Yes  
 No

128. Does your country allow the notifier to identify information that is to be treated as confidential?

Yes, always  
 In some cases only  
 No

129. Here you may provide further details on the implementation of Article 21 in your country: [ Type your text here ]

### Article 22 – Capacity-building

130. Has your country received external support or benefited from collaborative activities with other Parties in the development and/or strengthening of human resources and institutional capacities in biosafety?

Yes  
 No  
 If *Yes*, please specify  
 [Type your text here]

131. Has your country provided support to other Parties in the development and/or strengthening of human resources and institutional capacities in biosafety?

Yes  
 No  
 If *Yes*, please specify  
 [Type your text here]

132. Has your country ever received funding from the Global Environment Facility (GEF) for building capacity in biosafety?	<input type="checkbox"/> Pilot Project <input type="checkbox"/> Development of National Biosafety Frameworks <input type="checkbox"/> Implementation of National Biosafety Frameworks <input type="checkbox"/> Building Capacity for Effective Participation in the BCH (Phase I) <input type="checkbox"/> Building Capacity for Effective Participation in the BCH (Phase II) <input type="checkbox"/> None of the above
133. Has your country ever received funding from other externally funded initiatives (apart from GEF) for building capacity in biosafety?	<input type="checkbox"/> Yes, please specify [Type your text here] <input type="checkbox"/> No
134. During the current reporting period has your country undertaken activities for the development and/or strengthening of human resources and institutional capacities in biosafety?	<input type="checkbox"/> Yes <input type="checkbox"/> No

135. If you answered *Yes* to question 134, in which of the following areas were these activities undertaken?
- Institutional capacity
  - Human resources capacity development and training
  - Risk assessment and other scientific and technical expertise
  - Risk management
  - Public awareness, participation and education in biosafety
  - Information exchange and data management including participation in the Biosafety Clearing-House
  - Scientific, technical and institutional collaboration at subregional, regional and international levels
  - Technology transfer
  - Identification of LMOs, including their detection
  - Socio-economic considerations
  - Implementation of the documentation requirements under Article 18.2 of the Protocol
  - Handling of confidential information
  - Measures to address unintentional and/or illegal transboundary movements of LMOs
  - Scientific biosafety research relating to LMOs
  - Taking into account risks to human health
  - Other: <Text entry>
  - Not applicable

136. Has your country carried out a capacity-building needs assessment?	<input type="checkbox"/> Yes
	<input type="checkbox"/> No
137. Does your country still have capacity-building needs?	<input type="checkbox"/> Yes
	<input type="checkbox"/> Yes, a few
	<input type="checkbox"/> No

---

138. If you answered *Yes* to question 137, indicate which of the following areas still need capacity-building.

- Institutional capacity
- Human resources capacity development and training
- Risk assessment and other scientific and technical expertise
- Risk management
- Public awareness, participation and education in biosafety
- Information exchange and data management including participation in the Biosafety Clearing-House
- Scientific, technical and institutional collaboration at subregional, regional and international levels
- Technology transfer
- Identification of LMOs, including their detection
- Socio-economic considerations
- Implementation of the documentation requirements under Article 18.2 of the Protocol
- Handling of confidential information
- Measures to address unintentional and/or illegal transboundary movements of LMOs
- Scientific biosafety research relating to LMOs
- Taking into account risks to human health
- Other: <Text entry>
- Not applicable

---

139. Has your country developed a capacity-building strategy or Action Plan?  Yes  No

140. Has your country submitted the details of national biosafety experts to the Roster of Experts in the BCH?  Yes  No

---

141. Here you may provide further details on the implementation of Article 22 in your country: [  ]

---

---

### Article 23 – Public awareness and participation

---

- |  |                          |                          |
|--|--------------------------|--------------------------|
| 142. Has your country established a strategy for promoting and facilitating public awareness, education and participation concerning the safe transfer, handling and use of LMOs?                        | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | Yes, to some extent      |
|  | <input type="checkbox"/> | No                       |
| <hr/>  |                          |                          |
| 143. Has your country established a biosafety website?   | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | No                       |
| <hr/>  |                          |                          |
| 144. Has your country established a mechanism to ensure public access to information on living modified organisms that may be imported?  | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | Yes, to a limited extent |
|  | <input type="checkbox"/> | No                       |
| <hr/>  |                          |                          |
| 145. Has your country established a mechanism to consult the public in the decision-making process regarding LMOs?   | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | Yes, to a limited extent |
|  | <input type="checkbox"/> | No                       |
| <hr/>  |                          |                          |
| 146. Has your country established a mechanism to make available to the public the results of decisions taken on LMOs?  | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | Yes, to a limited extent |
|  | <input type="checkbox"/> | No                       |
| <hr/>  |                          |                          |
| 147. Has your country taken any initiative to inform its public about the means of public access to the Biosafety Clearing-House?  | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | No                       |
| <hr/>  |                          |                          |
| 148. In the current reporting period, has your country promoted and facilitated public awareness, education and participation concerning the safe transfer, handling and use of LMOs?                    | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | Yes, to a limited extent |
|  | <input type="checkbox"/> | No                       |
| <hr/>  |                          |                          |
| 149. If you answered <i>Yes</i> to question 148, has your country cooperated with other States and international bodies?   | <input type="checkbox"/> | Yes                      |
|  | <input type="checkbox"/> | No                       |
|  | <input type="checkbox"/> | Not applicable           |
| <hr/>  |                          |                          |
| 150. In the current reporting period, how many times has your country consulted the public in the decision-making process regarding LMOs and made the results of such decisions available to the public? | <input type="checkbox"/> | Never                    |
|  | <input type="checkbox"/> | Less than 5              |
|  | <input type="checkbox"/> | More than 5              |
| <hr/>  |                          |                          |
| 151. Here you may provide further details on the implementation of Article 23 in your country: [   | Type your text here      | ]                        |
-

---

**Article 24 – Non-Parties**


---

- |   |   |
|---|---|
| 152. Has your country entered into any bilateral, regional, or multilateral agreement with non-Parties regarding transboundary movements of LMOs?   | <input type="checkbox"/> Yes<br><input type="checkbox"/> No   |
| 153. Has your country ever imported LMOs from a non-Party?  | <input type="checkbox"/> Yes<br><input type="checkbox"/> No   |
| 154. Has your country ever exported LMOs to a non-Party?  | <input type="checkbox"/> Yes<br><input type="checkbox"/> No   |
| 155. If you answered <i>Yes</i> to questions 153 or 154, were the transboundary movements of LMOs consistent with the objective of the Cartagena Protocol on Biosafety?                         | <input type="checkbox"/> Yes, always<br><input type="checkbox"/> In some cases only<br><input type="checkbox"/> No<br><input type="checkbox"/> Not applicable |
| 156. If you answered <i>Yes</i> to questions 153 or 154, was information about these transboundary movements submitted to the BCH?  | <input type="checkbox"/> Yes, always<br><input type="checkbox"/> In some cases only<br><input type="checkbox"/> No<br><input type="checkbox"/> Not applicable |
| 157. If your country is not a Party to the Cartagena Protocol, has it contributed information to the BCH on LMOs released in, or moved into, or out of, areas within its national jurisdiction? | <input type="checkbox"/> Yes, always<br><input type="checkbox"/> In some cases only<br><input type="checkbox"/> No<br><input type="checkbox"/> Not applicable |
| 158. Here you may provide further details on the implementation of Article 24 in your country: [  | Type your text here ]   |

---

**Article 25 – Illegal transboundary movements**


---

- |   |   |
|---|---|
| 159. Has your country adopted domestic measures aimed at preventing and/or penalizing transboundary movements of LMOs carried out in contravention of its domestic measures to implement this Protocol? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No |
| 160. Has your country established a strategy for detecting illegal transboundary movements of LMOs?   | <input type="checkbox"/> Yes<br><input type="checkbox"/> No |
-







- 
176. If your country did not submit previous reports, indicate the main challenges that hindered the submission
- Lack of financial resources to gather the necessary information
  - Lack of relevant information at the national level
  - Difficulty in compiling the information from various sectors
  - No obligation to submit (e.g. country was not a Party at the time)
  - Other, please specify [Type your text here]
- 

**Other information**

---

177. Please use this field to provide any other information on issues related to national implementation of the Protocol, including any obstacles or impediments encountered.

[ Type your text here ]

---

***Comments on reporting format***

---

**178. Please use this field to provide any other information on difficulties that you have encountered in filling in this report.**

[ Type your text here ]

---

-----