

**DRAFT FINDINGS OF THE AD HOC TECHNICAL EXPERT GROUP ON BIODIVERSITY  
AND CLIMATE CHANGE<sup>1</sup>**

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<sup>1</sup> This document is un-edited. Editing will be done once the peer review comments have been considered and addressed by the AHTEG

## PREFACE

~~1.~~ Escalating biodiversity loss and climate change are putting international action to achieve the United Nations Millennium Development Goals (MDGs) at risk. In particular, poor people often depend heavily and directly on biodiversity to support their livelihoods. ~~For example, in rural Zimbabwe, the poorest 20% of the community receive 40% of their total income from environmental products, whereas biodiversity only provides 29% of direct income for the richest 10%<sup>1</sup>.~~

**Comment [w1]:** It is not clear if environmental and biodiversity products mean the same product. It is also difficult to understand the distinction between direct and total income. In order to avoid confusion CEPF recommends clarifying of the above mentioned terms.

~~2.1.~~ Biodiversity is also critical for the maintenance and enhancement of food security<sup>2</sup>. ~~Conserving and maintaining healthy soil, clean water, a variety of genetic resources and ecological processes are essential ingredients to a sustainable and productive agricultural system and the subsequent eradication of hunger.~~

~~3.2.~~ Climate change is posing new challenges for development. Climate change is projected to reduce poor people's livelihood assets including those that are reliant upon biodiversity such as access to water, homes and infrastructure. Climate change is also expected to have a negative impact on traditional coping mechanisms thereby increasing the vulnerability of the world's poor to perturbations such as drought, flood and disease. Finally, the impacts of climate change on natural resources and labour productivity are likely to reduce economic growth, exacerbating poverty through reduced income opportunities.

~~4.3.~~ Climate change is also projected to alter regional food security ~~in certain areas / on the global scale~~. Changes in rainfall patterns and extreme weather events are likely to diminish crop yields in certain areas. Sea level rise, causing loss of coastal land and saline water intrusion, can also reduce agricultural productivity<sup>3</sup>. Coral bleaching and ~~increased-decreased~~ calcification of corals as a result of ocean acidification ~~coral~~ is likely to ~~reduce-affect~~ fisheriesfisheriesfish stock recruitment in certain areas, further threatening food security<sup>4</sup>.

**Comment [BfN2]:** There are large regional differences in projected impacts.

~~5.4.~~ Biodiversity ~~is being called on to~~ contributes to development in an environment in which anthropogenic climate change is threatening the continued provision of ecosystem services by putting pressure on species and ecosystems to adapt or adjust to rapidly changing climate conditions. Hence the global community has issued an urgent call for additional research and action towards reducing the impacts of climate change on biodiversity and increasing synergy with climate change mitigation and adaptation activities.

**Comment [BfN3]:** If this paragraph remains (see general comments on the preface), please add this. Reason: there are also research needs on how to make sure that improved conservation will benefit other stakeholders.

~~6.5.~~ In order to support additional work on the interlinkages between climate change and biodiversity, the second Ad Hoc Technical Expert Group (AHTEG) on Biodiversity and Climate Change was convened in response to paragraph 12 (b) of decision IX/16 B of the Conference of the Parties to the Convention on Biological Diversity (CBD). The first meeting of the second AHTEG took place in London, from 17 to 21 November 2008 and the second meeting took place in Helsinki from 18 to 22 April, 2009.

~~7.6.~~ The AHTEG was established to provide biodiversity related information to the United Nations Framework Convention on Climate Change (UNFCCC) through the provision of scientific and technical advice and assessment on the integration of the conservation and sustainable use of biodiversity into climate change mitigation and adaptation activities, through *inter alia*:

- (a) Identifying relevant tools, methodologies and best practice examples for assessing the impacts on and vulnerabilities of biodiversity as a result of climate change;
- (b) Highlighting case-studies and identifying methodologies for analysing the value of biodiversity in supporting adaptation in communities and sectors vulnerable to climate change;
- (c) Identifying case-studies and general principles to guide local and regional activities aimed at reducing risks to biodiversity values associated with climate change;
- (d) Identifying potential biodiversity-related impacts and benefits of adaptation activities, especially in the regions identified as being particularly vulnerable under the Nairobi work programme (developing countries, especially least developed countries and small island developing States);

/...

(e) Identifying ways and means for the integration of the ecosystem approach in impact and vulnerability assessment and climate change adaptation strategies;

(f) Identifying measures that enable ecosystem restoration from the adverse impacts of climate change which can be effectively considered in impact, vulnerability and climate change adaptation strategies;

(g) Analysing the social, cultural and economic benefits of using ecosystem services for climate change adaptation and of maintaining ecosystem services by minimizing adverse impacts of climate change on biodiversity.

(h) Proposing ways and means to improve the integration of biodiversity considerations and traditional and local knowledge related to biodiversity within impact and vulnerability assessments and climate change adaptation, with particular reference to communities and sectors vulnerable to climate change.

(i) Identifying opportunities to deliver multiple benefits for carbon sequestration, and biodiversity conservation and sustainable use in a range of ecosystems including peatlands, tundra and grasslands;

(j) Identifying opportunities for, and possible negative impacts on, biodiversity and its conservation and sustainable use, as well as livelihoods of indigenous and local communities, that may arise from reducing emissions from deforestation and forest degradation;

(k) Identifying options to ensure that possible actions for reducing emissions from deforestation and forest degradation do not run counter to the objectives of the CBD but rather support the conservation and sustainable use of biodiversity;

(l) Identifying ways that components of biodiversity can reduce risk and damage associated with climate change impacts;

(m) Identifying means to incentivise the implementation of adaptation actions that promote the conservation and sustainable use of biodiversity.

**Comment [w4]:** The report seems to reiterate what already has been discussed, raised and/or documented in REDD-related discussions by Parties under the UNFCCC: The REDD debate has recognized the need and importance of promoting co-benefits and biodiversity-conservation, the involvement of indigenous peoples and local communities and the role of sustainable forest management for reducing deforestation and forest degradation.

/...

## INTRODUCTION

~~8-7.~~ The fourth assessment report (AR4) of the Intergovernmental Panel on Climate Change (IPCC)<sup>5</sup> revealed a total temperature increase from 1850-1899 to 2001-2005 of 0.76°C with the warming trend escalating over the past 50 years. ~~Furthermore, the~~ average temperature of the oceans has increased to a depth of at least 3000m since 1961.

~~9-8.~~ Anthropogenic changes in climate and atmospheric ~~Carbon Dioxide (CO<sub>2</sub>)~~ ~~greenhouse gasses~~ are already having observable impacts on ecosystems and species. Some species and ecosystems are demonstrating apparent capacity for natural adaptation, but others are showing negative impacts including reductions in species populations and disruptions to the provision of ecosystem service. Impacts are widespread even with the modest level of change observed thus far in comparison to some future projections. Observed signs of natural adaptation and negative impacts include changes in the:

- Geographic distributions of species;
- Timing of life cycles (phenology);
- Interactions between species;
- Rates of photosynthesis and respiration-decay (and thus carbon sequestration and storage) in response to altered temperate, climatic wetness, CO<sub>2</sub> “fertilisation” and increased nitrogen deposition; and
- Changes in the taxonomic composition of ecological communities ~~and vegetation structure of ecosystems.~~

~~9.~~ In fact, the AR4 estimates that 20-30% of species assessed would be at ~~increasing~~ ~~increased~~ risk of extinction if climate change leads to global average temperature rises greater ~~than~~ 1.5 - 2.5°C<sup>5</sup>. Aside from well known arctic and high altitude case studies, there are many examples globally of individual species likely to be negatively impacted by climate change, especially through reduced geographic range sizes<sup>6</sup>, including endemic species such as Mediterranean-climate South African Proteas, of which 30 to 40 percent are forecast ultimately to suffer extinction under plausible climate scenarios for this century. As another example, a projected sea-level rise of 88cm over the 21st century could lead to the loss of 13% of mangrove area in 16 pacific island countries or territories, with losses as high as 50% on some islands<sup>6</sup>.

10. Such increases in ~~risks~~ ~~extinction~~ ~~risk~~ are also likely to impact and be impacted by ecosystem processes. ~~The climate change driven extreme sea temperatures anomalies that caused global-wide impacts on coral reefs (the mass coral bleaching events of 1998 and 2002) are a clear example of the effects of climate change on the second most diverse ecosystem on the planet - ecosystems which provide food for 100's of millions of people.~~ There is ~~also~~ ample evidence that warming will alter the patterns of plant, animal and human diseases. Numerous modelling studies project increases in economically important plant pathogens with warming, and experimental studies show similar patterns. There is also evidence that climate change may play a role in changing the distribution of ~~animal (?)~~ diseases. For example, climate change has been listed as a contributing factor to increased instances of disease outbreaks among corals, sea turtles, sea urchins, molluscs and marine mammals<sup>7</sup>. ~~Climate change has also been linked to changes in the geographic distribution of vector-borne infectious diseases like malaria and Lyme disease.~~

11. ~~In addition to affecting individual species and ecosystem health, the values and services provided to people by ecosystems, so called, ecosystem services, will also be impacted. These include provisioning services such as food, fresh water and raw materials, which may improve in the short term for certain goods and services in boreal regions and decline elsewhere; regulating services such as flood control and coastal protection which are expected to be particularly impacted by the degradation of coral reefs and wetlands; and cultural services including facilitation of traditional livelihoods/lifestyles.~~

12. It is also important to note that climate change impacts on ecosystems can exert significant positive feedbacks to the climate system. It is generally agreed that one of the main feedbacks to the

**Comment [BfN5]:** Please clarify this sentence.

**Comment [BfN6]:** Please amend – reason: the concept of ecosystem health is not well defined.

**Comment [w7]:** Nature based tourism is also a highly valued ecosystem service and should be referred to in this paragraph

climate system will be through the increase in soil respiration under increased temperature, particularly in the arctic, with the potential to add 200ppm CO<sub>2</sub> to the atmosphere by 2100<sup>8</sup>. One area of research that has expanded since the 4AR is that of the projected Amazon drying and dieback. It has been suggested that climate change will cause increased seasonal water stress in the Eastern Amazon which could increase susceptibility to fire especially in areas near human settlements and agricultural lands. This increase in forest fire may contribute to increased greenhouse gas emissions<sup>9</sup>.

13. At the same time that climate change is impacting biodiversity, biodiversity and associated ecosystem services have a recognized role in reducing climate change and its impacts.

14. Carbon is sequestered and stored by ecosystems, and the processes which constitute and sustain this ecosystem service are the result of inseparably linked to biodiversity. An estimated 2,400 Gt carbon is stored in terrestrial ecosystems, compared to approximately 750Gt in the atmosphere. Furthermore, Primary forests in all biomes – boreal, temperate and tropical – have also been shown to be, even at a very old age, continuing to function as carbon sinks<sup>10</sup>. Furthermore, most of the carbon stored in such forests can be found in older trees and the soil. Marine ecosystems also sequester large amounts of carbon through phytoplankton at the ocean surface, accounting for approximately 50% of the global ecosystem uptake of CO<sub>2</sub>, with a proportion of dead organic matter being deposited in the ocean floor sediment. Protecting the current stock of carbon in forests and other natural ecosystems such as wetlands is a necessary complement to reducing fossil fuel emissions if total global anthropogenic emissions are to be reduced to a level that will avoid dangerous climate change<sup>11</sup>.

15. Currently, however, only 312Gt carbon or 15.2 per cent of the global carbon stock is under some degree of protection within more than 100,000 protected areas. The conversion and degradation of natural ecosystems is therefore a significant contributing factor to climate change. For example, the conversion of peat swamp forests to oil palm plantations causes a net annual / overall (?) release of approximately 650 Mg carbon-dioxide equivalents per hectare<sup>12</sup>, while in tropical forests land use activities including logging have been shown to deplete carbon stocks and increase susceptibility to fire damage<sup>13, 14</sup>; in fact, some commercially managed temperate forests in the USA<sup>15</sup> have been found to be around 40% or more below natural carbon carrying capacity<sup>2 16</sup>. Currently, however, only 312Gt carbon or 15.2 per cent of the global carbon stock is under some degree of protection within more than 100,000 protected areas.

16. Given that, in the absence of mitigation policies, the AR4 projects that temperatures are likely to rise by 1.1°C to 6.4°C by the end of the 21<sup>st</sup> century relative to the 1980-1999 baseline, the role of ecosystems in storing and sequestering carbon is critically important. As such, the conservation and sustainable use of biodiversity has the potential to contribute significantly to the maintenance of carbon stocks while the rehabilitation (through natural or human-assisted means) of degraded ecosystems can increase sequestration. Both the protection of existing carbon stocks and the restoration of depleted carbon stocks will therefore help limit the required adaptations to the impacts of climate change.

17. Even with mitigation strategies in place, significant climate change is inevitable due to lagged responses in the Earth climate system, leading to the need for comprehensive and effective adaptation strategies. The recognition of the value of ecosystem services by the Millennium Ecosystem Assessment provided an opportunity to assess the potential economic impacts of the loss of such services in the face of increasing pressures.

18. Overall, for a 2°C increase in global mean temperatures, for example, annual economic damages could reach US\$ 8 trillion by 2100 (expressed in U.S. dollars at 2002 prices)<sup>17</sup>. As one example, a study by the World Bank revealed that coral reef degradation attributable to climate change in Fiji is expected to cost cause damages and / or (?) loss of income between US\$ 5 million

<sup>2</sup> Carbon carrying capacity (CCC) is defined as the mass of carbon able to be stored in a forest ecosystem under prevailing environmental conditions and natural disturbance regimes, but excluding anthropogenic disturbance.

**Comment [w8]:** As presented in studies by Luyssaert et al. (2008) the primary forests continue to be a net C sink for centuries if they are undisturbed. This is used in the report for promoting non-intervention management as the best climate change mitigation for forests. This might be true along with the assumption that natural disturbances do not occur in unmanaged forest ecosystem. However, climate change is considered to be one of the main factors behind severe insect outbreaks and forest fires. One example is the insect outbreak in Canada, there warm winters are assumed to be the main factor behind the mountain pine beetle outbreak. In British Columbia the mountain pine beetle has killed 620 million m<sup>3</sup> of extensive managed or unmanaged lodge pole pine (*Pinus contorta*) forests (Pyhtila, 2009). Insect outbreaks and forest fires are the main reason for Canadian forests function as a C source for the last decenniums. (EOS, 2008). This can be a pattern for many forest eco-systems worldwide. In addition, some studies show that the biomass carbon pool gets more or less saturated in natural forests when the gross primary production and the annual respiration approach each other. And natural forests can in these cases turn into C sources (Grelle, 2006) Therefore, forest management activities should be considered for mitigating climate change, preserving biodiversity as well as for spreading the risks for natural disasters by applying different forest management strategies. Non-intervention management in a large scale, which supports large C-stocks in biomass, can be considered as a strategy connected with high risks for CO<sub>2</sub> emissions. In addition to this terrestr ... [1]

**Comment [w9]:** It is unclear whether the figures for carbon in protected areas, and the number of protected areas, refer only to terrestrial protected areas or include marine protected areas. Also the figure of "more than 100,000 protected areas" is not particularly meaningful and would b ... [2]

**Comment [BfN10]:** Please clarify.

**Comment [w11]:** Paragraph 16 also intimates that all forestry leads to carbon emissions. While unsustainable forestry practices can lead to degradation and eventually conversion (and therefore emissions), sustainable forestry practices will, through time, and across an entire estate, lead to higher levels of carbo ... [3]

**Comment [w12]:** should be formulated much stronger; refer to IPCC AR 4 and the risk that ecosystems sinks will turn into ecosystem sources

**Comment [w13]:** Clarify if this includes or applies only to loss of income

**Comment [w14]:** was the quoted study by Ackerman and Stanton restricted to damages caused by loss in ecosystem services, or does this refer to all kinds of economic losses (e.g. including storm damages to infrastructures etc.)

**Comment [BfN15]:** Please clarify.

and US\$ 14 million a year by 2050<sup>18</sup>. There is therefore, an urgent need to include, within any adaptation plan, specific activities for consideration of and the conservation and sustainable use of biodiversity and associated ecosystem service which includes the reduction of other pressures such as pollution, overexploitation, habitat loss and fragmentation and invasive species.

18-19. Adaptation focused on the conservation and sustainable use of biodiversity faces many challenges including the need to ~~balance~~ take into account the natural adaptations of species and ecosystems ~~with-in~~ planned adaptation. For example, as species' migrate ~~species migrate~~ distributions shift in response to climate change, ~~ranges and extent may shift beyond the borders of the proportion of populations that is included in existing protected areas may decrease~~ ranges and extent may shift beyond the borders of existing protected areas. As such, conservation strategies in the future will need to focus not only on conserving existing habitats but also restoring degraded habitats, redesigning the original bounds of natural protected areas under different climate change scenarios, better managing existing pressures such as invasive species, and maintaining and enhancing connectivity in order to allow for natural adaptation. It is important for future and current conservation strategies to consider those habitats most at risk due to climate change and the potential loss of such habitats.

19-20. Biodiversity and associated ecosystem services have a crucial role to play and should be The supporting role of biodiversity and associated ecosystem services should be integrated within broader adaptation planning and practices through the ~~adoption~~ use of ecosystem-based adaptation, which may be further described as the use of sustainable ecosystem management activities to support societal adaptation. Such approaches can deliver multiple benefits for biodiversity and society including improved flood control, enhanced carbon sequestration and storage, support for ~~local sustainable~~ livelihoods, etc.

20-21. Finally, as climate change mitigation and adaptation activities ~~accelerate~~ increase, it is important to ensure that such activities do not have negative impacts on biodiversity. For example, the impact of adaptation strategies on biodiversity has been shown to be negative in many circumstances, particularly in the case of 'hard defences' constructed to prevent coastal and inland flooding. This can result in mal-adaptation in the long term if it removes natural flood regulation properties of coastal and freshwater ecosystems, for example. Positive impacts for biodiversity can also be realized from adaptation activities, such as the restoration of degraded lands, and such activities should be encouraged and extended.

21-22. With regards to mitigation, activities involving land use change can have positive, negative or neutral impacts on biodiversity. The conversion of tropical forest and wetlands to palm oil plantations, for example, results in biodiversity loss and reduction in overall carbon storage capacities provided by these ecosystems. On the other hand, reducing emissions from deforestation and forest degradation, and careful reforestation, if well designed, has ~~the~~ potential to significantly contribute to global efforts towards the conservation and sustainable use of biodiversity.

**Comment [BfN16]:**

Please clarify – was the quoted study by Ackerman and Stanton restricted to damages caused by loss in ecosystem services, or does this refer to all kinds of economic losses (e.g. including storm damages to infrastructure etc.).

**Comment [w17]:** could be formulated stronger along the lines of the "Aarhus Statements for Climate Change" : "Biodiversity and Ecosystems need to be an integrated part of our adaptation and mitigation effort"

**Comment [w18]:** This paragraph would benefit from language that includes CO2-e ppm thresholds and recommended targets. For coral reefs the current accepted threshold is <450ppm.



## KEY MESSAGES

### A. *Climate change and biodiversity interactions*

- Maintaining natural ecosystems (including their genetic and species diversity) is essential to meet the ultimate objective of the UNFCCC<sup>3</sup> because of their role in the global carbon cycle and because of the wide range of ecosystem services they provide that are essential for human well-being.
- Climate change is one of multiple interacting stresses on ecosystems, other stresses include habitat fragmentation through land-use change, over-exploitation, invasive alien species, and pollution.
- While ecosystems are generally more carbon dense and biologically more diverse in their natural state, the degradation of many ecosystems is significantly reducing their carbon storage and sequestration potential, leading to increases in emissions of greenhouse gases and loss of biodiversity at the genetic, species and landscape level;
  - Hypothetically, if all tropical forests were completely deforested over the next 100 years, it would add as much as 400GtC to the atmosphere and increase the atmospheric concentration of carbon dioxide by about 100ppm, contributing to an increase in global mean surface temperatures of about 0.6 °C;
  - Recent studies estimate that unmitigated climate change could lead to a thawing of Arctic permafrost releasing at least 100GtC into the atmosphere by 2100, thus amplifying global mean surface temperature changes.

**Comment [w19]:** Include some more information on the importance of ecosystem services (MEA) in the key messages (ecosystem services is still not common knowledge outside the nature management sector)

**Comment [w20]:** Include more information on the role of biodiversity in providing ecosystem services, reducing human vulnerability and adaptation

**Comment [w21]:** Include positive examples of how biodiversity conservation can contribute to sequestration

**Comment [w22]:** mention also the possibility of a massive release of methane (CH<sub>4</sub>) as a result of the thawing Arctic permafrost

**Comment [w23]:** This section is illustrative of a general usage of language which conveys a greater degree of certainty than may be warranted

### B. *Impacts of climate change on biodiversity*

- Changes in the climate and in atmospheric carbon dioxide levels have already had observed impacts on natural ecosystems and species. Some species and ecosystems are demonstrating some capacity for natural adaptation, but others are already showing negative impacts under current levels of climate change, which is modest compared to most future projected changes.
- Climate change is projected to increase species extinction rates, with approximately 10 per cent of the species assessed so far at an increasingly high risk of extinction for every 1 °C rise in global mean surface temperature within the range of future scenarios typically modelled in impacts assessments (usually <5 °C global temperature rise).
- Projections of the future impacts of climate change on biodiversity have identified wetlands, mangroves, coral reefs, Arctic and alpine ecosystems and cloud forests as being particularly vulnerable. With regards to species, montane species and endemic species on small islands have been identified as being particularly vulnerable. In the absence of strong mitigation action, there is the possibility that some cloud forests and coral reefs would cease to function in their current forms within a few decades.
- Further climate change will have predominantly adverse impacts on many ecosystems and their services essential for human well-being, including the potential sequestration and storage of

**Comment [BfN24]:** This statement does not appear in the same form in the main body of the report. Please streamline with text at the top of page 18 and add references.

<sup>3</sup> The ultimate objective of this Convention and any related legal instruments that the Conference of the Parties may adopt is to achieve, in accordance with the relevant provisions of the Convention, stabilization of greenhouse gas concentrations in the atmosphere at a level that would prevent dangerous anthropogenic interference with the climate system. Such a level should be achieved within a time-frame sufficient to allow ecosystems to adapt naturally to climate change, to ensure that food production is not threatened and to enable economic development to proceed in a sustainable manner.

carbon, with significant adverse societal, cultural and economic consequences, including the loss of natural capital.

**Comment [w25]:** Include something on invasive alien species

- Enhancing natural adaptation of biodiversity through conservation and management strategies to maintain and ~~enhance-restore~~ biodiversity can be expected to reduce some of the negative impacts from climate change and contribute to climate change mitigation by preserving carbon sequestration and other key functions; however there are levels of climate change for which natural adaptation will become increasingly difficult, ~~especially where surrogate conditions may be absent or disconnected.~~

### **C. Adaptation to Reduce the Impacts of Climate Change on Biodiversity and to Increase the Effectiveness of Adaptation**

**Comment [w26]:** -The title of section C does not fit all of the text, as parts of it refer to adaptation activities which are undertaken for other reasons than biodiversity conservation. It is suggested to solve this problem by integrating these parts of the text into what is now section D on Ecosystem Based Adaptation.

- To increase the adaptive capacity of species and ecosystems to the stress of accelerated climate change, especially in light of tipping points and thresholds, it is ~~further recommended that:~~
  - Non-climatic stresses, such as pollution, habitat loss and fragmentation and invasive species, are reduced or eliminated.
  - The resilience of ecosystems is ~~improved or~~ maintained or improved by wider adoption of conservation and sustainable use practices.
  - Biodiversity values are recognized, maintained, and restored across land uses and tenures ~~as suggested below.~~
  - Protected area networks are strengthened and enhanced because of their central role in maintaining biodiversity and enabling migration of species.
  - Effective monitoring and evaluation systems are established to facilitate adaptive management.
  - When necessary to prevent a species (add: "or specific genetic traits") becoming extinct, ~~In some cases, relocation, captive breeding, and ex-situ storage of germplasm may be considered implemented when necessary to prevent a species becoming extinct, but while taking into account that such measures are often very expensive, less effective than in situ actions, are not applicable to all species, usually feasible only on small scales, and very rarely maintain ecosystem functions and services. In the case of relocation, potential effects on other species need to be considered.~~
- Risks to biodiversity from climate change and mal-adaptation can be assessed using available vulnerability and impact assessment guidelines with priority given to ecosystems and species of particular ecological, social, or economic importance.
- Given ongoing development efforts, including through the Millennium Development Goals, identifying and reducing potential negative impacts of climate change on biodiversity is especially important in developing countries that are particularly vulnerable to the effects of climate change. As recognized by the UNFCCC this includes, especially, small island developing states and least developed countries, given their high levels of endemism, high exposure to risk, and limited capacity to adapt.

**Comment [BfN27]:** where? Please specify or delete "as below".

**Comment [BfN28]:** In later sections, the term "translocation" is also used. Please align use of terms.

### **D. ~~Impacts of Adaptation on~~ Interactions between Biodiversity and other societal Adaptation Goals**

- Societal adaptation to the adverse impacts of climate change can have both positive and negative consequences for biodiversity and ecosystem services, with feedbacks on the achievement of adaptation goals.
- The impacts of adaptation strategies on biodiversity will vary across sectors and will depend on the way in which such strategies are implemented. For example, the development of plantation

**Comment [w29]:** Add examples



forests including those with non-native species will result in novel ecosystems and may have impacts on the endemic species of the area. Additionally, the construction of hard infrastructure approaches in coastal areas (e.g. sea walls, dykes, etc.), which often adversely impacts natural ecosystem processes by altering tidal current flows, disrupting or disconnecting ecologically related coastal marine communities, and disrupting sediment or nutrition flows.

- In most cases there is the potential to reduce negative impacts and increase positive impacts to minimize trade-offs and the risk of maladaptation. Steps to achieve this include strategic environmental assessments (SEA), environmental impact assessments (EIA), and technology impact assessments, which facilitate the consideration of all adaptation options **and should, therefore, be requisite within adaptation management**. Furthermore, an examination of case studies of maladaptation can provide important lessons learned.
- Generally, planning and implementation of effective adaptation activities relies, **amongst others** upon:
  - considering traditional knowledge, including the full involvement of local and indigenous communities;
  - defining measurable outcomes that are monitored and evaluated; and
  - building on a scientifically credible knowledge base concerning climate change impacts and evidence-based effective responses.

#### **E. Ecosystem Based Adaptation**

- Adaptation activities that make use of biodiversity and associated ecosystem services, when integrated into an overall adaptation strategy, may contribute to cost effective climate change adaptation **and mitigation and while also generating** additional environmental and societal benefits.
- Ecosystem-based adaptation may be further described as the use of sustainable ecosystem management activities to support societal adaptation. Ecosystem-based adaptation:
  - Identifies and implements a range of strategies for the management, conservation and **restoration of ecosystems** to provide services that enable people to **better** adapt to the impacts of climate change.
  - Can be applied at regional, national and local levels, at both project and programmatic levels, and benefits can be realized over short and long time scales.
  - May be more cost effective and more accessible to rural or poor communities than measures based on hard infrastructure and engineering.
- **Means of implementing ecosystem-based adaptation** include activities such as sustainable water management where river basins provide water storage, flood regulation and coastal defences and the establishment and effective management of protected area systems that ensure both the representation and persistence of biodiversity to **increasing resilience to climate change**. **The Ecosystem Approach of the CBD as defined in the refined Malawi Principles and similar integrated approaches to ecosystem management provide useful guiding principles for designing and implementing ecosystem-based adaptation.**
- There are many examples of ecosystem-based adaptation approaches that deliver significant value for societal adaptation and **an ability to provide additional benefits**, including the use of coastal ecosystems to reduce risk of flooding from storm surges, and the maintenance of diverse agricultural landscapes to support productivity under changing climate conditions. Ecosystem-based adaptation, if designed, implemented and monitored appropriately, **can inter alia**:
  - Generate multiple social, economic and cultural co-benefits for local communities.
  - Contribute to the conservation and sustainable use of biodiversity.

**Comment [w30]:** Forest plantations could also lead to positive affects for biodiversity at global/regional scale, especially if they are located to degraded land. Plantations can also increase the carbon stock at landscape level and at the same time produce a renewable materials and energy. This production can be a relief to the pressure on primary forests in these areas and on other areas. CEPF recommend that forest plantations should be handled with a more holistic view and that their possible positive affects at biodiversity, adaptation and mitigation to climate change also should be mentioned.

**Comment [w31]:** •Include that there exist on-ground examples of cost-beneficial ecosystem based adaptations – preferably with a short reference to some examples

**Comment [w32]:** Include issues of adaptation finance, traditional knowledge and sustainable natural resource management

**Comment [w33]:** This might benefit from a suggestion of what a definition of ecosystem-based adaptation might include. E.g. IUCN's definition at [http://cmsdata.iucn.org/downloads/iucn\\_position\\_paper\\_eba\\_june\\_09\\_1.pdf](http://cmsdata.iucn.org/downloads/iucn_position_paper_eba_june_09_1.pdf)

**Comment [w34]:** restoration of ecosystems needs probably some further explanation. E.g., this would only be appropriate as adaptation measure, if the degradation of those ecosystems was not induced by climate change. However, if the degradation is primarily driven by climate change it does not seem feasible to restore those ecosystems

**Comment [w35]:** inform explicitly about the guiding principles for designing and implementing ecosystem-based adaptation

**Comment [w36]:** Include more examples

**Comment [Bfn37]:** -The reference to the CBD's Ecosystem Approach should be clarified (e.g. by referring to the refined Malawi Principles or the relevant COP decisions), in order to avoid confusion with the term ecosystem-based adaptation and also because there are other concepts called "ecosystem approach" in other fora.

- Contribute to climate change mitigation, by conserving carbon stocks, reducing emissions caused by ecosystem degradation and loss, or enhancing carbon stocks.
- Ecosystem-based adaptation may require managing ecosystems to provide particular services over at the expense of others. It is therefore important that decisions to implement ecosystem-based adaptation are subject to risk assessment, scenario planning and adaptive management approaches that recognise and incorporate these potential trade-offs.
- Ecosystem-based ~~All~~ adaptation activities should aim to maintain or enhance and take advantage of the natural adaptive capacity of species and ecosystems so as to increase the effectiveness of adaptation and reduce risks to biodiversity from climate change.
- To optimise their effectiveness as well as to generate biodiversity co-benefits, adaptation activities should aim:
  - Maintain intact and interconnected ecosystems to increase resilience and allow for biodiversity and people to adjust to changing environmental conditions.
  - Restore or rehabilitate fragmented or degraded ecosystems, and re-establish critical processes such as water flow or pollination to maintain ecosystem functions:
    - taking into account the adverse effects of climate change including impacts on disturbance regimes and extreme events, and
    - emphasizing restoration of functionality and habitat value rather than species composition since some ~~pre-existing~~ species previously present may no longer be suited to changed environmental conditions.
  - Preserve and enhance protective ecosystem service values that help buffer human communities from floods, storms, erosion and other climate change hazards.
  - Ensure that the use of renewable natural resources will be sustainable under changed climatic conditions.
  - Collect, conserve ~~preserve~~ and disseminate traditional and local knowledge, innovations and practices related to biodiversity conservation and sustainable use under climate change and variability with prior and informed consent from traditional knowledge holders.
- To increase the adaptive capacity of species and ecosystems to the stress of accelerated climate change, especially in light of tipping points and thresholds, it is further recommended that:
  - Non-climatic stresses, such as pollution, habitat loss and fragmentation and invasive species, are reduced or eliminated.
  - The resilience of ecosystems is improved or maintained by wider adoption of conservation and sustainable use practices.
  - Biodiversity values are recognized, maintained, and restored across land uses and tenures as suggested below.
  - Protected area networks are strengthened and enhanced because of their central role in maintaining biodiversity and enabling migration of species.
  - In some cases, relocation, captive breeding, and ex-situ storage of germplasm may be implemented when necessary to prevent a species becoming extinct, but such measures are often very expensive, less effective than in situ actions, are not applicable to all species, usually feasible only on small scales, and very rarely maintain ecosystem functions and services (e.g. in the case of disappearance of arctic ice, or glaciers). In the case of relocation, potential effects on other species need to be considered.
- Risks to biodiversity from climate change and mal-adaptation can be assessed using available vulnerability and impact assessment guidelines with priority given to ecosystems and species of particular ecological, social, or economic importance.

**Comment [w38]:** it is important to develop robust methodologies which make this kind of assessment possible/practical

**Comment [w39]:** reflect the need for 'hard' adaptation activities to consider (a) the possibility of alternatives based on increased provision of ecosystem service; and (b) the risk of negative impacts on biodiversity (given general undervaluation of biodiversity/ecosystem services in conventional cost-benefit analysis).

**Comment [w40]:** when such species are collected for conservation purposes, they are also collected with climatic and biological site information, which is very likely to be relevant for future use of collections and future use TK

**Comment [w41]:** the fact that in the first bullet it is suggested to reduce or eliminate invasive species and in the fourth bullet point to strengthen protected area networks in order to enable migration of species needs further explanation because it may be a contradiction.

**Comment [w42]:** mention the possibility of designing assisted migration strategies.

**Comment [w43]:** For plants, the costs for *ex situ* conservation are relatively low compared to management of an *in situ* reserve or compared with *ex situ* conservation of fauna. For example, the Royal Botanic Gardens, Kew's Millennium Seed Bank Project (MSBP) holds some 26,142 species from 128 countries, in a total floor space of 100 square metres. The current cost of storage for each species is approximately £2000. In part, this is because the MSBP building was designed for energy efficiency of the cold stores (which are held of a temperature of -20°C) with further state of the art insulation and refrigeration measures, to maintain the rooms at a very low cost. In addition, the MSBP also supplies low cost seed storage technology for international partners for storage by other, more cost effective, energy efficient means. Furthermore, as habitats are likely to change or be lost entirely, *ex situ* storage (seeds, living plants or micropropagules) allows relocation of species (for example through habitat restoration) where otherwise species which offer valuable ecosystem services may be lost.

**Comment [w44]:** highlight that new means of assessment to adequately address potential risks/costs/benefits associated with these kinds of trade-offs need further development. The need to consider the range of possible scenarios which might eventuate, rather than basing assessments on single prediction of future conditions appears elsewhere in the document but is not drawn out in this section – suggest relocating this point to this section.

- Planning and implementation of effective adaptation activities relies upon:
  - considering traditional knowledge, including the full involvement of local and indigenous communities;
  - defining measurable outcomes that are monitored and evaluated; and
  - building on a scientifically credible knowledge base concerning climate change impacts and evidence-based effective responses.
- Given ongoing development efforts, including through the Millennium Development Goals, identifying and reducing potential negative impacts of climate change on biodiversity is especially important in developing countries that are particularly vulnerable to the effects of climate change. As recognized by the UNFCCC this includes, especially, small island developing states and least developed countries, given their high levels of endemism, high exposure to risk, and limited capacity to adapt.

#### **F. Impacts of Adaptation on Biodiversity**

- Adaptation to the adverse impacts of climate change can have both positive and negative consequences for biodiversity and ecosystem services.
- The impacts of adaptation strategies on biodiversity will vary across sectors and will depend on the way in which such strategies are implemented. For example, the development of plantation forests including those with non-native species will result in novel ecosystems and may have impacts on the endemic species of the area. Additionally, the construction of hard infrastructure approaches in coastal areas (e.g., sea walls, dykes, etc.) often adversely impact natural ecosystems processes by altering tidal current flows, disrupting or disconnecting ecologically related coastal marine communities, and disrupting sediment or nutrition flows.
- In most cases there is the potential to reduce negative impacts and increase positive impacts to minimize trade-offs and the risk of maladaptation. Steps to achieve this include strategic environmental assessments (SEA), environmental impact assessments (EIA), and technology impact assessments, which facilitate the consideration of all adaptation options. Furthermore, an examination of case studies of maladaptation can provide important lessons learned.

#### **G. Biodiversity and climate change mitigation through Land Use, Land Use Change and Forestry (LULUCF) activities including Reduced Emissions from Deforestation and Forest Degradation (REDD)**

##### **ALTERNATIVE TITLE (The Wilderness Society)**

#### **Biodiversity and climate change mitigation through land use management activities including Reduced Emissions from Deforestation and Forest Degradation (REDD)**

- Maintaining natural and restoring degraded ecosystems, and limiting human-induced climate change, ~~result in multiple benefits for for~~ can contribute to meeting the objectives of both the UNFCCC and CBD if mechanisms to do so are designed and managed appropriately, for example as a priority, through protection of forest carbon stocks, ~~or the the~~ and avoided deforestation of intact natural forests, or, as a secondary option, and the use of ~~mixed native~~ assemblages of forest species in reforestation activities.
- LULUCF and REDD activities, including reduced deforestation and degradation, that maintain, sequester and store carbon can, in concert with stringent reductions in fossil fuel emissions of greenhouse gases, play a necessary role in limiting increases in atmospheric greenhouse gas concentrations and human-induced climate change.

**Comment [w45]:** include a recognition of the need to incorporate an understanding of risk and contingency in the context of the range of possible scenarios.

**Comment [w46]:** The UNFCCC recognizes low-lying and other small island countries, countries with low-lying coastal, arid and semi-arid areas or areas liable to floods, drought and desertification, and developing countries with fragile mountainous ecosystems that are particularly vulnerable to the adverse effects of climate change, whereas it is the NWP that recognizes SIDS and LDCs as particularly vulnerable.

**Comment [w47]:** also note the indirect impacts of projects e.g. impacts of reforestation on hydrology, particularly in light of likely changes in rainfall and water movements in landscape

**Comment [w48]:** The title of what is now section E on Impacts of Adaptation on Biodiversity should be changed to reflect the existing feedbacks

**Comment [w49]:** Not clear ! Adaptation is supposed to be done to make improvements into the system (ecosystem). Otherwise, it is not pertinent to develop and implement adaptation measures.

**Comment [w50]:** reforestation is helping manage salinity in degraded landscapes and improving water quality in some catchments

**Comment [w51]:** Here again, if such assessment studies are conducted within integrated management and risk-based management approaches, the necessary trade-off process will be taken into account (as it is an integral part of these approaches and the result will be therefore positive.

**Comment [w52]:** suggest rewording as: **altered land management practices**

**Comment [w53]:** There seems to be some redundancy between the 1<sup>st</sup> and 6<sup>th</sup> bullet

**Comment [w54]:** Forest and REDD has a rather strong focus in the chapter. Other areas such as wetlands could well be given more attention

**Comment [w56]:** CEPF suggests that SFM should be mentioned in the heading and that all four examples on how forests could mitigate climate change in IP( ... [4]

**Comment [w55]:** There seems to be some redundancy between the 1<sup>st</sup> and 6<sup>th</sup> bullet

**Comment [w57]:** the concept of restoring degraded ecosystems needs further clarification and

**Comment [BfN58]:** Reason: to avoid confusion from use of terms under UNFCCC, where LULUCF and REI( ... [5]

**Comment [w59]:** suggest rewording as: **altered land management practices**

- Primary forests are generally more carbon dense, biologically diverse and resilient than other forest ecosystems, including modified natural forests and plantations. Accordingly, in largely intact forest landscapes where there is currently little deforestation and degradation occurring, the conservation of existing forests, especially primary forests, is critical both for preventing future greenhouse gas emissions through loss of carbon stocks and continued sequestration, as well as for conserving biodiversity.
- In forest landscapes currently subject to harvesting, clearing and/or degradation, mitigation and biodiversity conservation can be best achieved by reducing deforestation, and reducing forest degradation through ~~the sustainable management of forests and through forest restoration.~~
- addressing the underlying drivers of deforestation and degradation. This requires a variety of approaches such as the economic and non-economic incentives described in section H below.
- In natural forest landscapes that have already been largely cleared and degraded, mitigation and biodiversity conservation can be enhanced through reforestation, forest restoration and improved forest management which, through the use of ~~mixed-native~~ assemblages of species, can yield multiple benefits for biodiversity while sequestering carbon. The use of mixed native species is important for reducing the risk of non-permanence of such mitigation activities. In this regard, ex situ collections of key forest species can be useful as such collections are often stored with baseline information on the original site of collection for the material and the knowledge of how to propagate the collections for future use.
- Implementing REDD activities in identified areas of high carbon stocks and high biodiversity values can promote co-benefits for climate change mitigation and biodiversity conservation and complement the aims and objective of the UNFCCC and other international conventions, including the Convention on Biological Diversity.
- The specific design of potential REDD ~~mechanisms-Elements~~ (e.g., carbon accounting scheme, definition of reference scenarios, time frame, etc.) can have important impacts on biodiversity conservation:
  - Addressing forest degradation is important because degradation leads to loss of carbon and biodiversity, decreases forest resilience to fire and drought, and often leads to deforestation;
  - Both intra-national and inter-national displacement of emissions under REDD can have important consequences for both carbon and biodiversity, and therefore ~~require consideration for achieving~~ need to be prevented or minimized in order to achieve mutual benefits.
- While it is generally recognized that REDD holds potential benefits for forest-dwelling indigenous and local communities, a number of conditions would need to be met for these co-benefits to be achieved, e.g., indigenous peoples are unlikely to benefit from REDD where they do not own their lands; if there is no principle of free, prior and informed consent, and if their identities are not recognized or they have no space to participate in policy-making processes. Involving local stakeholders and respecting the rights and interests of indigenous and local communities will be important for the long term permanence of the efforts undertaken.
- The implementation of a range of appropriately designed land-management activities (e.g., conservation tillage and other means of sustainable cropland management, sustainable livestock management, agro-forestry systems, maintenance of natural water sources, and restoration of forests, peatlands and other wetlands) can result in the complementary objectives of the maintenance and potential increase of current carbon stocks and the conservation and sustainable use of biodiversity.

**Comment [w60]:** REDD should focus on primary forests; we support to look at gross deforestation but a lot depends still on how broad the range of "forests" to be included is. We think the money should - at least in a first step - be linked to Primary forests for the assessment of sums needed. While distributing the money, biodiversity rich areas should be a priority. Afforestation and Plantations should be excluded.

**Comment [w61]:** This is debatable.

**Comment [w62]:** The required conditions for co-benefits for forest-dwellers are consent, awareness, participation, creation of village level institutions, transparency and inclusion of women in REDD strategies (more detail below).



- Climate mitigation policies are needed ~~to—that~~ promote the conservation and enhanced sequestration of soil carbon, including in peatlands and wetlands, which is also beneficial for biodiversity.
- A deliberate strategy of conversion of natural forests and other natural ecosystems to plantations is highly emissive, has huge impacts on biodiversity, and is not an acceptable mitigation strategy except when applied to already extremely degraded or cleared land.
- The potential to reduce emissions and increase the sequestration of carbon from LULUCF activities is dependent upon the price of carbon and is estimated to range from 1.3-4.2 GtCO<sub>2</sub>-eq per year for forestry activities (REDD, sustainable forest management, restoration and reforestation), and 2.3-6.4 GtCO<sub>2</sub>-eq per year for agricultural activities for a price of US\$ 100/tCO<sub>2</sub>-eq by 2030.

#### ALTERNATIVE PARAGRAPH (Nepenthes)

- The potential to reduce emissions and increase the sequestration of carbon from altered land management practices is dependent upon the price of carbon as well as many other factors, including availability of non-market funding, availability of capacity building and technology, pricing of ecosystem services other than carbon sequestration and the overall scale and nature of targets adopted by the Parties to the UNFCCC.

#### H. Impacts on Biodiversity ~~and from and~~ climate change mitigation through renewable energy technologies and geo-engineering

- There is a range of renewable energy sources, including onshore and offshore wind, solar, tidal, wave, geothermal, biomass and hydropower, which can displace fossil fuel energy, thus reducing greenhouse gas emissions, with a range of potential implications for biodiversity and ecosystem services.
- While bioenergy may contribute to energy security, rural development and avoiding climate change, there are concerns that, depending on the feedstock used and production schemes, many first generation biofuels (i.e., use of food crops for liquid fuels) are leading to further intensification in agricultural areas, increasing demand for agricultural area thus creating further pressure on natural habitats and accelerating deforestation with adverse effects on biodiversity, and if the full life cycle is taken into account, may not currently be reducing greenhouse gas emissions. 4/ It should be noted, however, that the Use of bio-energy can contribute to energy security and rural development without accelerating deforestation and having adverse affects on biodiversity, when it is well positioned, such as in the use of degraded or marginal lands for such as purpose.

#### ALTERNATIVE PARAGRAPH (Australia)

- While bioenergy can contribute to energy security, rural development and avoiding climate change, there are concerns that, depending on the feedstock used and production schemes, some first generation biofuels (i.e., use of food crops for liquid fuels) are accelerating deforestation with adverse effects on biodiversity, and if a full life cycle analysis is taken into account, may not currently be reducing greenhouse gas emissions.”
- Large-scale hydropower, which has substantial unexploited potential in many developing countries, can mitigate greenhouse gas emissions by displacing fossil fuel production of energy, but can often have significant adverse biodiversity and social effects.

**Comment [w63]:** suggest rewording as: **altered land management practices**

**Comment [w64]:** The report doesn't mention the dependence on e.g. funding available through funds and innovative financial mechanisms, capacity building and technology transfer, the scale of the mitigation targets adopted and the nature of these targets. The way the text is written, it sounds as though a market based mechanism is taken for granted. The majority of NGOs much prefers a fund-based solution - which could e.g. be financed by a global carbon tax as suggested by Switzerland

**Comment [w65]:** It is important to include references to such important information

**Comment [w66]:** The sentence conveys the misleading message that nuclear qualifies as a renewable energy – nuclear fuel is also a resource of limited magnitude. It is suggested to simply delete nuclear in that bullet

**Comment [BfN67]:** Reason: the problem of deforestation applies only to some countries, whereas in others intensification is more problematic.

**Comment [w68]:** -It is noted that this bullet is referenced to only one source. However, for the time being there is no standardized methodology how to estimate the full life cycle GHG emissions of biofuels. Therefore, more references need to be included as the result depends on more or less arbitrary decisions on how to account for long-term emissions resulting from slow processes (e.g. relating to change in carbon content of soil).

**Comment [w69]:** Need for a more balanced approach and from consideration of the potential role of sustainable environmental assessment and management in ensuring that, whatever generation of biofuel production is used, biodiversity will not be unacceptably impacted. While deforestation may be a consequence in some areas, in other areas first generation biofuel production takes place on land that has already been cleared for other purposes, particularly for agriculture. There is also biofuel feedstock production which occurs on degraded land that may not support forests. Therefore, the link between first generation biofuels on the one hand, and deforestation and biodiversity loss on the other, is not as definitive as the draft infers.

4/ The expert from Brazil disassociated himself from this statement.

- Artificial fertilization of nutrient limited oceans has been promoted as a technique to increase the uptake of atmospheric carbon dioxide, but it is increasingly thought to be of limited potential<sup>19</sup> with an increasing likelihood of releasing other greenhouse gases such as N<sub>2</sub>O and causing deep ocean anoxia and shifting microbial community structure<sup>20</sup> and the biodiversity consequences have been little explored.
- Other geo-engineering techniques, defined as the intentional and large- scale manipulation of the environment<sup>21</sup> are largely speculative and can potentially have unknown impacts on existing ecosystems<sup>22</sup>. Solar radiation management (SRM) involves increasing the albedo of the earth to reflect sunlight back to space without changing the amount of CO<sub>2</sub> or other GHGs in the atmosphere. One SRM technology involves shooting nanoparticulate sulphates into the stratosphere, which could diminish spring Northern Hemisphere polar ozone levels<sup>23</sup> and condemn hundreds of millions of people to drought<sup>24</sup>. The aerosol sulphate project is fraught with uncertainties and unpredictable meteorological consequences<sup>25</sup> and the impact of less and more diffuse sunlight delivered by SRM on vegetation and other ecosystems has not been adequately studied<sup>26</sup>.

### **III. Valuation and incentive measures**

- Accounting for the value of biodiversity and the ecosystem services it supports, is important for the decision making process, and for the provision of appropriate incentives for societal adaptation to climate change and climate change mitigation.
- Ecosystem services contribute to economic well-being and associated development goals (e.g. ~~MDGs~~ the Millennium Development Goals) in two major ways – through contributions to the generation of income and well-being (e.g., provisioning of food and fiber), and through the reduction of potentially costly impacts of climate change and other stresses on society (e.g., coral reefs and mangrove swamps protect coastal infrastructure).
- There are many methodologies which have been developed to estimate the economic value (including both market and non-market values) of ecosystem services and these should be applied in order to promote the full range of financial options when implementing ecosystem-based adaptation.
- Both economic and non-economic incentives could be used to implement ecosystem-based adaptation and mitigation through altered land management practices, including REDD:
  - Economic measures include:
    - (i) removing environmentally harmful perverse subsidies to sectors such as agriculture, fisheries, and energy;
    - (ii) introducing payments for ecosystem services;
    - (iii) implementing appropriate pricing policies for natural resources;
    - (v) establishing mechanisms to reduce nutrient releases and promote carbon uptake; and
    - (vi) applying fees, taxes, levees, and tariffs to discourage activities that degrade ecosystem services.

Policies should be assessed in all sectors to reduce or eliminate cross sectoral impacts on ecosystem services.

- Non-economic incentives and activities include improving or addressing:
  - (i) laws and regulations;
  - (ii) governance structures, nationally and internationally,
  - (iii) individual and community property or land rights;
  - (iv) access rights and restrictions;

**Comment [w70]:** the current text is policy prescriptive. It should be avoided to prejudge any decisions about the most appropriate adaptation activities. This is e.g. because the most appropriate activity may be depend on the local conditions, on the climate change projected, etc. As is stated earlier such decisions have to be made on the basis of a participatory process, with participation of local communities

**Comment [w71]:** the draft report refers to incentives in general, it is not clear if it includes incentives both "positive" and "perverse" that degrade the biodiversity. Therefore, it is considered necessary to establish its scope

**Comment [BfN72]:** Please clarify what is meant by "financial options". Options for what? Alternatively, amend to read "to promote appropriately informed decisions when..."

**Comment [w73]:** incorporate issues around recognising the cost of maintaining ecosystem services i.e. revising the conventional view that 'land' is a fixed asset and under-development of capacity to integrate natural capital values into macroeconomic decision making processes.



- (iv) information and education;
- (v) policy, planning, and management of ecosystems; and
- (vi) development and implementation of technologies relevant for biodiversity and climate change adaptation (e.g. technology that makes use of genetic resources and technology to manage natural disasters)

○ Policies should be assessed in all sectors to reduce or eliminate cross-sectoral impacts on (add: “biodiversity and”) ecosystem services.

- In order to achieve intended adaptation objectives, ~~while avoiding market distortions, such as through tariff and non-tariff barriers,~~ incentives for ecosystem-based adaptation should be carefully designed to consider social, economic and biophysical ~~variable factors.~~ variables consistent with and in harmony with the Convention and other relevant international obligations. The total package of incentives needs to simultaneously address the range of ecosystem services in order to (a) avoid further distortions and (b) provide sufficient price signals to achieve desirable land use outcomes i.e. values associated with multiple ecosystem-service markets necessary to permit markets to set appropriate levels of 'production' of ecological services vs commodities.

**Comment [BfN74]:** Reason for suggested deletion: the wording might be misinterpreted to mean that all regulations which may be called ‘non-tariff barriers’ (according to some definitions this also includes highly desirable measures such as safety regulations and customer information standards) should be abolished. This is not in the interest of biodiversity conservation, nor is it an agreed position within any relevant international environment- or trade-related agreement. Besides, incentives concerning adaptation are most likely to be aimed to support certain actions on the national level, so possible tariff and non-tariff barriers are not the most relevant thing to mention in this context. It is also difficult to define what constitutes a market distortion – integrating the non-market economic values of biodiversity and ecosystem services into the economic framework may arguably be seen as rectifying a market distortion rather than creating one. To avoid this kind of ambiguities and because the relevance of the passage to biodiversity (and thus the mandate of the AHTEG) is not clear, deletion seems the easiest solution.

## SECTION 1

### BIODIVERSITY-RELATED IMPACTS OF ANTHROPOGENIC CLIMATE CHANGE

#### 1.1 OBSERVED AND PROJECTED IMPACTS OF CLIMATE CHANGE ON BIODIVERSITY

**Anthropogenic changes in climate and atmospheric CO<sub>2</sub> are already having observable impacts on ecosystems and species; some species and ecosystems are demonstrating apparent capacity for natural adaptation, but others are showing negative impacts.** Impacts are widespread even with the modest level of change observed thus far in comparison to some future projections. Observed signs of natural adaptation and negative impacts include:

- **Geographic distributions:** Species' geographic ranges are shifting towards higher latitudes and elevations, where possible. While this can be interpreted as natural adaptation, caution is advised, as the ranges of some species are contracting from warm boundaries, but are not expanding elsewhere; there are also geographic limits to how far some species will be able to go. Range shifts have mostly been studied in temperate zones, due to the availability of long data records; changes at tropical and sub-tropical latitudes will be more difficult to detect and attribute due to a lack of time series data and variability of precipitation.
- **Timing of life cycles (phenology):** changes to the timing of natural events have now been documented in many hundreds of studies and may signal natural adaptation by individual species. Changes include advances in spring events (e.g. leaf unfolding, flowering, and reproduction) and delays in autumn events.
- **Interactions between species:** evidence of the disruption of biotic interactions is emerging. *Changes in differential responses to* For example, differential changes in ~~to~~ timing are leading to mismatches between the peak of resource demands by reproducing animals and the peak of resource availability. This is causing population declines in many species, including increasing the herbivory rates in insects as a result of warmer temperatures, and may indicate limits to natural adaptation.
- **Photosynthetic rates, carbon uptake and productivity in response to CO<sub>2</sub> “fertilization” and nitrogen deposition:** models and some observations suggest that global gross primary production (GPP) has increased. Regional modelling efforts project ongoing increases in GPP for some regions, but possible declines in others. Furthermore, in some areas CO<sub>2</sub> fertilization is favouring fast growing species over slower growing ones and changing the composition of natural communities while not appreciably changing the GPP.
- **Community composition and ecosystem changes:** observed structural and functional changes in ecosystems are resulting in substantial changes in species abundance and composition. These have impacts on livelihoods and traditional knowledge including, for example, changing the timing of hunting and fishing and traditional sustainable use activities, as well as impacting upon traditional migration routes for people.

*During the course of this century the resilience of many ecosystems (their ability to adapt and recover naturally) is likely to be exceeded by an unprecedented combination of change in climate, associated disturbances (e.g., flooding, drought, wildfire, insects, ocean acidification) and in other anthropogenic global change drivers (especially land-use change, pollution and over-exploitation of resources), if greenhouse gas emissions and other changes continue at or above current rates (high confidence).<sup>5</sup>*

**Many of the mass extinctions that have occurred over geologic time were tied, at least in part, to climate changes that occurred at rates much slower than those projected for the next century.** These results may be seen as potentially indicative but are not analogues to the current situation, as continents were in different positions, oceanic circulation patterns were different and the overall composition of biodiversity was significantly different. It should also be kept in mind that these

**Comment [BfN75]:** Streamline with Introduction, paragraph 9, if necessary, and add references if possible.

**Comment [BfN76]:** The statement from IPCC WG2 Chapter 4 conclusions has been changed. It seems better to stay with the literal quotation.

<sup>5</sup> This statement is extracted verbatim from IPCC WG2 Chapter 4 conclusions.

extinctions occurred with the temperature change taking place over tens of thousands of years – a rate at which natural adaptation should have been able to take place (although the end of ice ages has been, historically, quite rapid<sup>27</sup>). This is in contrast to the much more rapid rate of temperature change observed and projected today<sup>28</sup>.

**Further climate change will have increasingly significant direct impacts on biodiversity.**

Increased rates of species extinctions are likely<sup>29</sup>, with negative consequences for the provision of services that these species and ecosystems provide. Poleward and elevational shifts, as well as range contractions and fragmentation, are expected to accelerate in the future. Contractions and fragmentation will be particularly severe for species with limited dispersal abilities, slower life history traits, and range restricted species such as polar and mountain top species<sup>30</sup> and species restricted to freshwater habitats<sup>31</sup>.

**Increasing CO<sub>2</sub> concentrations are altering the basic physical and chemical environment underpinning all life, especially temperature, precipitation, and acidity.** Atmospheric concentrations of CO<sub>2</sub> can themselves have important direct influences on biological systems, which can reinforce or act counter to responses to climate variables and complicate projection of future responses. The direct effects of elevated atmospheric CO<sub>2</sub> are especially important in marine ecosystems and in terrestrial systems that are not water-limited<sup>32</sup>.

**Climate change will also affect species indirectly, by affecting species interactions.** Individualistic responses of species to climate and atmospheric change may result in novel species combinations and ecosystems that have no present day analogue (a finding supported by paleoecological studies). These impacts on communities may be more damaging in some regions than the direct effects of climate changes on individual species, and may compromise sustainable development. The impacts of climate change on species will have cascading effects on community associations and ecosystems leading to non-linear responses, with thresholds or “tipping points” that are not yet well understood.

**Climate change will interact with other pressures acting on natural systems, most notably land use and land-use change, invasive alien species and disturbance by fire.** Land-use change and related habitat loss are currently major threats to biodiversity worldwide. Climate change is also very likely to facilitate the spread and establishment of invasive alien species. However, shifts in distributions of native species as an adaptive response to climate change will force a reassessment of how we define what is meant by “invasive”. These pressures amplify climate change effects by causing fragmentation, degradation and drying of ecosystems, including increased incidence of fire, which is often exacerbated during climatic events like El Niño. Thus, it is vital to consider the effects of climate change in the context of interacting pressures and the influence they may exert directly on natural systems and on those systems’ abilities to respond to climate change.

**Climate change will have significant impacts on fire regimes, with effects on the function of many terrestrial ecosystems and with important feedbacks to the climate system<sup>33</sup>.** Fire is an essential natural process for the functioning of many ecosystems. In these ecosystems, fire affects the distribution of habitats, carbon and nutrient fluxes, and the water retention properties of soils. However fire-ecosystem relationships are being altered by climate change, with significant consequences for other ecological processes, including carbon sequestration, and for biodiversity<sup>34</sup>. In ecosystems accustomed to fire and dependent on it for functioning, fire exclusion often results in reduced biodiversity and increased vegetation and fuel density, often increasing risks of catastrophic fire over time. It is estimated that ecosystems with anthropogenically altered fire regimes currently encompass over 60% of global terrestrial area, and only 25% of terrestrial areas retain unaffected (natural) fire regime conditions<sup>35</sup>. Moreover, effective biodiversity conservation requires that fire regimes are able to play their role in maintaining ecosystem functioning, but at the same time do not pose a threat to biodiversity or human well-being through excessive occurrence.

*Extinction risks associated with climate change will increase, but projecting the rate of extinction is difficult due to lags in species’ population responses and interactions, incomplete knowledge of natural adaptive capacity, the complex cascade of inter-species interactions in communities, and the uncertainty around down-scaled regional predictions of future climate.*

**Comment [BfN77]:** Please specify what is meant by “quite rapid” – comparatively rapid in relation to other historical changes, or in relation to current climate change? Is this relevant for this paragraph, i.e. is the end of ice ages among the periods that saw mass extinctions?

**Comment [BfN78]:** Please align with information at top of page 18.

**Comment [BfN79]:** Please take into account the fact that definitions adopted by the CBD on invasive alien species do not pose this problem. Besides, the definition of “alien” would seem to be more relevant in this context than the definition of “invasive”.

Research shows that approximately 10% of species assessed so far are at an increasingly high risk of extinction for every 1°C rise in global mean temperature, within the range of future scenarios modeled in impacts assessments (typically <5°C global temperature rise). Given the observed temperature rise, this now places approximately 6-8% of the species studied at an increasingly high risk of extinction. The current commitment to additional temperature increases (at least 0.5°C) places an additional 5-7% of species at increasingly high risk of extinction (based on single species studies and not including losses of entire ecosystems). A recent study of global bird distributions estimated that each degree of warming will yield a nonlinear increase in bird extinctions of about 100-500 species<sup>36</sup>. Temperature increases of 2°C above pre-industrial levels begin to put entire ecosystems at risk and the extinction rate is expected to rise accordingly.

**Comment [BfN80]:** Please clarify what is meant by nonlinear increase (this seems to be contradictory if it is said to occur with each degree of warming).

#### ***The negative impacts of climate change on biodiversity have significant economic and ecological costs***

**A key property of ecosystems that may be affected by climate change is the goods and services they provide.** These include provisioning services such as sustaining fisheries and timber production, where the response to climate change depends on population characteristics as well as local conditions and may include large production losses. Climate change also affects the ability of ecosystems to regulate water flows, and cycle nutrients.

**There is ample evidence that warming will alter the patterns of plant and animal diseases.** Current research projects increases in economically important plant pathogens with warming. There has also been considerable recent concern over the role of climate change in the expansion of plant and animal disease vectors<sup>37</sup>. For example, short-term local experiments have demonstrated the impacts of predicted global change on plant health including rice. Furthermore, studies of the impacts of climate change on the range of the tick-borne cattle disease Theileriosis (East Coast fever) show increases in areas of suitability-potential occurrence in Africa<sup>38</sup>.

**The impacts of climate change on biodiversity will change human disease vectors and exposure.** Climate change is predicted to result in the expansion of a number of human disease vectors and/or increase the areas of exposure. For example the increased inundation of coastal wetlands by tides may result in favourable conditions for saltwater mosquito breeding and associated ~~increased~~-increases in mosquito-borne diseases such as malaria and dengue fever.

**Climate change affects the ability of ecosystems to regulate water flows.** Higher temperatures, changing insolation and cloud cover, and the degradation of ecosystem structure result in the occurrence of more and higher peakflows on the one hand and in the mean time, impede the ability of ecosystems to regulate water flow. In Asia, for example, water supplies are at risk because climate change is melting the glaciers that feed Asia's largest rivers in the dry season<sup>39</sup> – precisely the period when water is needed most to irrigate the crops on which hundreds of millions of people depend.

**Comment [BfN81]:** Please add a more suitable example or delete – glaciers are not a good example for the relationship between biodiversity and ecosystem function. Maybe instead a paragraph could be added about consequences of climate change for goods and services provided by forests?

**Climate change will have important impacts on agricultural biodiversity.** Even slight changes in temperature, precipitation, etc. are expected to decrease agricultural productivity in tropical and subtropical areas. In regions that experience more frequent and more extreme droughts and floods, the likelihood of crop failure will increase and may result in negative livelihood impacts including forced sale of assets, out-migration and dependency on food relief. The wild relatives of crop plants – an important source of genetic diversity for crop improvement – are also potentially threatened by climate change.

Consideration should also be given to the loss of species of potential use but which are not currently well known for the goods and services that they provide. Such species may be well known to local people, but unknown to science, or perhaps are species which may offer goods and services under a different climatic regime. Such good and services could be economic goods such as food, but also species which can regulate soil or water management or nutrient cycles.

**Changes and shifts in the distribution of marine biodiversity resulting from climate change will have serious implications for fisheries.** The livelihoods of coastal communities are threatened by the projected impacts of climate change on coral reefs and other commercially important marine and

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freshwater species. Fisheries may improve in the short term in boreal regions but they may decline elsewhere with projected local extinctions of some fish species important for aquaculture production. As a result of climate change and in the absence of stringent mitigation, up to 88% of the coral reefs in Southeast Asia may be lost over the next 30 years. In addition, ocean acidification may cause pH to decrease by as much as 0.5 units by 2100 causing severe die-offs in shellfish<sup>40</sup>.

**Biodiversity loss and ecosystem service degradation resulting from climate change has a disproportionate impact on the poor and may increase human conflict.** The areas of richest biodiversity and highest demand for ecosystem services are in developing countries where billions of people directly rely on them to meet their basic needs. Competition for biodiversity resources and ecosystem services may lead to human conflict. Small Island Developing States and Least Developed Countries are particularly vulnerable to impacts such as projected sea level rise, ocean current oscillation changes and extreme weather events.

**Indigenous people will be disproportionately impacted by climate change because their livelihoods and cultural ways of life are being undermined by changes to local ecosystems.** Climate change is likely to affect the knowledge, innovations and practices of indigenous people and local communities and associated biodiversity-based livelihoods. However, it is difficult to give a precise projection of the scale of these impacts, as these will vary across different areas and different environments. For example, indigenous people and local communities in the Arctic depend heavily on cold-adapted ecosystems. While the number of species and net primary productivity may increase in the Arctic, these changes may cause conflicts between traditional livelihoods and agriculture and forestry. In the Amazon, changes to the water cycle may decrease access to native species and spread certain invasive fish species in rivers and lakes. Furthermore, climate change is having significant impacts on traditional knowledge, innovations and practices among dryland pastoral communities.

**Shifts in phenology and geographic ranges of species could impact the cultural and religious lives of some indigenous peoples.** Many indigenous people use wildlife as integral parts of their cultural and religious ceremonies. For example, birds are strongly integrated into Pueblo Indian communities where birds are viewed as messengers to the gods and a connection to the spirit realm. Among Zuni Indians, prayer sticks, using feathers from 72 different species of birds, are used as offerings to the spirit realm. Many ethnic groups in sub-Saharan Africa use animal skins and bird feathers to make dresses for cultural and religious ceremonies. For example, in Boran (Kenya) ceremonies, the selection of tribal leaders involves rituals requiring Ostrich feathers. Wildlife, including species which may be impacted by climate change, plays similar roles in cultures elsewhere in the world.

~~Many~~ **On the global scale, ecosystems are currently acting as a carbon sinks, sequestering 30% of anthropogenic emissions, but if no action is taken on mitigation, some ecosystems in those regions which will experience a decrease in annual precipitation or an increasing in seasonality (and thus reduced rates of photosynthesis and biomass production) this sink will slowly convert to a carbon source.** The reason for this conversion from sink to source is linked to temperatures rises increasing soil respiration, regional decreases in precipitation or increases in seasonality, thawing of peatlands, increasing wildfire, etc. Some studies suggest that this feedback could increase CO<sub>2</sub> concentrations by 20 to 200 ppm, and hence increase temperatures by 0.1 to 1.5°C in 2100. The level of global warming which would be required to trigger such a feedback is uncertain, but could lie in the range of an increase in global mean surface temperature of between 2-4°C above pre-industrial levels according to some models. Furthermore:

- Local conversion of forests from sinks to sources would be exacerbated by deforestation and degradation, which increases the vulnerability of forest to climate change by, *inter alia*, reducing microclimatic buffering and rainfall generation. Some models predict that the Amazon forest is particularly vulnerable to such processes, but if left undisturbed by anthropogenic disturbance could have sufficient natural resilience to buffer climate change impacts into the 22nd century<sup>41</sup>. ~~On the other hand~~ Currently, between 25-50% of rainfall is recycled from the Amazon forest, forming one of the most important regional ecosystem services. Deforestation of 35-40% of the Amazon Basin, especially in Eastern Amazonia,

Comment [BfN82]: Please add reference.

could shift the forest into a permanently drier climate, increasing the risk of fire and carbon release.

- Arctic ecosystems, taiga peatlands, and tropical peatlands could become strong sources of carbon emissions in the absence of mitigation. Recent studies estimate that unmitigated climate change could lead to thawing of Arctic permafrost releasing at least 100GtC by 2100, with at least 40Gt coming from Siberia alone by 2050. Such increases will not be offset by the projected advance of the boreal forest into the tundra<sup>42</sup>.
- Reduced rainfall may change the equilibrium between vegetation, hydrology and soil in peatlands and mires. In areas where there will be insufficient precipitation peat formation will reduce or stop, and regression may take place.

*Biodiversity can be important in ameliorating the negative impacts of some kinds of extreme climate events for human society; ~~but at the same time, certain types of extreme climate events which may be exacerbated by climate change will be damaging to biodiversity~~*

**Ecosystems play an important role in protecting infrastructure and enhancing human security.** More than 1 billion people were affected by natural disasters between 1992 and 2002. During this period floods alone left more than 400 000 people homeless and caused many deaths<sup>43</sup>. In response to these events many countries adopted plans and programmes recognizing the need to maintain natural ecosystems.

**The value of biodiversity in ameliorating the negative impacts of some extreme events has been demonstrated.** The value of mangroves for coastal protection has been estimated in some areas to be as much as US\$ 300,000 per km of coast based on the cost of installing artificial coastal protection. A study of the overall value of wetlands for flood protection provided an estimated benefit of \$464 per hectare<sup>44</sup>. Furthermore, the conservation and sustainable use of biodiversity has a significant role to play in response to drought ~~by~~ providing important genetic diversity in livestock and crops.

**The impacts of climate change on biodiversity will reduce the ability of some ecosystems to ameliorate the negative impacts of extreme events.** Future predictions of the impacts of climate change on biodiversity have identified some of the ecosystems most critical for human security as being particularly vulnerable to the impacts of climate change. For example, climate change impacts are expected to result in a loss of over half the area of coastal protecting mangroves in 16 Pacific island States by the end of the century<sup>45</sup>.

## 1.2 TOOLS FOR IMPACT, RISK<sup>6</sup> AND VULNERABILITY<sup>7</sup> ASSESSMENTS

*Assessments of impacts ~~of climate change on and, risks and vulnerabilities to~~ biodiversity as a result of climate change and related risks and vulnerabilities ~~change using currently available tools~~ are dependent on the integration of data on the distribution and ecological characteristics of species with spatially explicit climate data, and other physical process data, for a range of climate change scenarios*

**There are different scales of exposure to risk** ranging from gross exposure (e.g., to climate factors, listed in Table 1 under exposure) to minor or more localized exposures (e.g., behavioural traits, listed under adaptive capacity). The amount of genetic and behavioural plasticity ~~(as components of adaptive capacity)~~ of many species is unknown, and may ~~to some degree~~ be a ~~factor~~function of exposure to past ~~climatic changes~~climates over evolutionary time. It is also important to understand the extent to which behavioural thermoregulation by animals can or cannot buffer them from climate

<sup>6</sup> Risk can be defined as a function of hazard and vulnerability (CBD 2009). From a climate change perspective, hazard can be defined as physical manifestations of climatic variability or change, such as droughts, floods, storms, episodes of heavy rainfall, long-term changes in the mean values of climatic variables, potential future shifts in climatic regimes (Brooks 2003).

<sup>7</sup> Vulnerability is defined by IPCC (2001) as the degree to which a system is susceptible to, or unable to cope with, adverse effects of climate change, including climate variability and extremes. Vulnerability is a function of the character, magnitude, and rate of climate variation to which a system is exposed, its sensitivity, and its adaptive capacity.

**Comment [BfN83]:** Please change definitions of risk, hazard and vulnerability! (Reason: the definitions are not consistent. If vulnerability is defined as a function of the exposure to detrimental climate factors, sensitivity and adaptive capacity, it would be synonymous with risk, which is defined as a function of hazard (i.e. exposure to detrimental climate factors) and vulnerability. Apparently the problem is that the cited definition of 'risk' is based on an older concept of 'vulnerability' than that used by IPCC 2001, which excluded exposure. See [http://www.tyndall.ac.uk/publications/working\\_papers/wp38.pdf](http://www.tyndall.ac.uk/publications/working_papers/wp38.pdf) for an in-depth discussion. A way out would be to define risk as a function of hazard and sensitivity and acknowledge that this is more or less the same as the recent IPCC definition of vulnerability.)

**Comment [BfN84]:** please change! (Reason: this heading is not connected to the text that follows it. One would rather expect some further explanation related to bioclimatic modelling techniques. Besides, there are other tools to assess vulnerabilities which are not dependent on species distribution data, e.g. dynamic vegetation models or very simple studies using established indicator values.) If the heading is to remain, please amend as indicated in track change.

**Comment [BfN85]:** please delete or clarify reference to Table 1, which is introduced only on page 19.

**Comment [BfN86]:** please clarify what is meant by "scales of exposure", "gross exposure" and "minor or more localized exposures" – it might be easier to use the same terms as in the table, i.e. "There are different factors which influence the vulnerability of species to climate change, including exposure, sensitivity and adaptive capacity."

**Comment [BfN87]:** There are other traits influencing adaptive capacity such as dispersal mode or length of generations.



change impacts<sup>46</sup>. For example, one recent study found that limb length in one species is temperature dependent and thus would ~~convey a potential~~ indicate a certain adaptation potential to a range of climates<sup>47</sup>. One ~~potential possible~~ approach to estimating adaptive capacity would be to estimate ~~potential~~ exposure to past climate change over evolutionary time in conjunction with dispersive capability. Research has shown that many species have shifted ranges with past climates (~~assuming showing that the rate of change matches did not exceed~~ dispersal capability), while others have evolved in climates that have been stable for millions of years. Those species that have evolved *in situ* with a stable climate can show high degrees of specialization and frequently have evolved obligatory mutualistic relationships with other species, such that extinction of one species would lead to extinction of the partner. Such factors should be included in risk assessments concerning the impacts of climate change on biodiversity ~~in climate change~~ as outlined in Box 1.

**Comment [BfN88]:** Please clarify what kind of species the example refers to.

**The understanding of the characteristics that contribute to species' risks of decline or extinction has improved.** Species with restricted distributions and those that occur at low density, ~~and tropical montane species~~ are at particular risk, as are those with limited dispersal ability. Areas of most concern are the arctic and Antarctic regions, alpine regions, tropical montane areas, centres of endemism where many species have very narrow geographic and climatic ranges, low-lying regions, wetlands, coral reefs and freshwater systems where species have limited dispersal opportunities. Vulnerability is also affected by the degree and extent of other human pressures. Recent work suggests that for birds, amphibians and warm water corals as many as 35-70% of species have life-history traits that make them vulnerable to climate change<sup>48</sup>. In the absence of strong mitigation in all sectors (fossil fuel and land-use), some ecosystems, such as cloud forests and coral reefs, may cease to function in their current form within a few decades.

**The value of risk assessment lies, amongst others, in its ability to prioritize, for adaptation activities, species and ecosystems identified as being most vulnerable.** Following the risk assessment, appropriate adaptation activities can be identified which reduce the risks to the identified species and ecosystems. ~~These~~ The process of prioritisation and choice of activities should also include consideration of the necessary funding and technologies, capacity building for stakeholders, monitoring and evaluation, and define time-bound, measurable outcomes. ~~Furthermore, the risk assessment should involve two aspects: an assessment is required of the current and projected adverse impacts of climatic change to on biodiversity in general based on consideration of the kinds of impacts expected to occur at a local, national or regional scale; and an assessment is also required of the vulnerability of selected species and ecosystems to the projected climate change hazards~~<sup>49</sup>. Examples of good practices to ~~assess and~~ address risks to biodiversity from climate change are available in Annex 2.

**Comment [w89]:** this is too simplistic. Alternative adaptation approaches may focus not simply on vulnerability, but on the probability of success or the relative biodiversity/ecosystem, human or landscape benefits (particularly mitigation co-benefits) when prioritising adaptation activities. The discussion of a 'triage' approach is relevant here - by trying to save the most vulnerable, we may inadvertently lose those we thought were secure.)

Box 1: Possible steps Guidelines for assessing risk to biodiversity values from climate change

**1. Assess the potential climatic change hazard using recommended (please clarify by whom) vulnerability and impacts assessment guidelines.** Such assessments should also account for climatic variability and uncertainty, and make use of available climate analysis tools such as Climate Wizard (<http://www.climatewizard.org>), Potsdam DIVA tool (<http://www.pik-potsdam.de/diva>); Climate change in Australia (<http://www.climatechangeinaustralia.gov.au>).

## 2. Conduct vulnerability assessments

**a. Assess the vulnerability of all ecosystems in a locality or region.** Vulnerability should be assessed in terms of observed trends in critical ecosystem states (please clarify what is meant by this – should the assessment not focus on expected future changes?), and relative to a baseline of other threatening processes. Ecosystem vulnerability should be assessed on the basis of the potential for climate change to cause significant changes in ecosystem states (e.g., coral bleaching, desertification) or to key ecosystem processes such as dominant disturbance regimes (e.g., fire, flooding, pest outbreaks, droughts); invasive species; net ecosystem/biological productivity; and changes in ecosystem stocks such as surface and ground water flows, biomass, and nutrients; and other ecosystem services.

**b. Identify a subset of species for assessment of their relative vulnerability.** Species should be selected for assessments that have particular ecological, cultural or economic values. Prioritized species should include threatened or endangered status, responsibility of a country or region for conservation of a species, economically important, culturally important, dominant, ecological keystone or, sources of crop, stock and medicinal genetic diversity, or those that are dependent on vulnerable ecosystems. (Note that this approach would seem to favour relatively well-understood species and/or ecological systems. Again, there is unlikely to be a single 'correct' approach to assessing risk to biodiversity in all its manifestations.)

**c. Assess vulnerability of species on the basis of biological and ecological traits, and other factors, that determine sensitivity, adaptive capacity and exposure to climate change.** Such traits include habitat specificity, life history, interactions with other species, biogeography, mobility, intrinsic capacity for phenotypic or micro-evolutionary changes, availability of habitat, and microhabitat buffering. Species vulnerability should be assessed in the context of a baseline vulnerability from other threatening processes such as habitat loss, fragmentation and degradation; invasive species; disease; pollution; over use of living resources; altered fire and hydrology regimes.

There are many techniques that have been used to analyze vulnerability (Table 1). These include Delphi models and expert systems, frequently used for the analyses of vulnerability of endangered species to climate change. While quantitative in their use of scores, they are not quantitative models per se<sup>50</sup>. Table 1 does not included the wide range of studies and databases looking at observed changes over time (e.g., phenological networks). Observed changes over time, or changes in response to climate variability potentially offer methods to assess the sensitivity of bioclimatic models. There have been a number of reviews examining how species ranges and timing have changed in a manner consistent with the regional climate changes.

**Table 1:** Tools and methodologies used to estimate the components of impacts and/or vulnerability

Components of Vulnerability	Scale of Biodiversity Genes Species Communities & Ecosystems
	Tools and methodologies
Exposure <sup>51</sup>	Projections of changes in physical parameters (including CO <sub>2</sub> concentration; temperature, precipitation, extreme events, climate variability, sea levels, ocean acidification, sea surface temperature) Projections of change (including CO <sub>2</sub> concentration; temperature, precipitation,

**Comment [BfN90]:** Please explain Delphi models and expert systems and clarify where they are referred to in the table.

**Comment [BfN91]:** Repetition from first sentence.

**Comment [BfN92]:** Please clarify what kind of scores (or scores for what kind of criteria) are being referred to.

**Comment [BfN93]:** The structure of the table could be simplified and repetition avoided by removing the caption "Scale of biodiversity" (which is also ambiguous) and merging the three columns. Where the distinction between the levels of biodiversity really makes a difference, this could be expressed as bullet points.

	<p>extreme events, climate variability, sea levels, ocean acidification, sea surface temperature)</p> <p>Projections of change (including CO<sub>2</sub> concentration; temperature, precipitation, extreme events, climate variability, sea levels, ocean acidification, sea surface temperature)</p>
<b>Sensitivity</b>	<p><b>Species level</b></p> <p>Bioclimatic models (process and correlative)<sup>52</sup>;</p> <p>Demographic models<sup>53</sup>;</p> <p>Ecophysiological models<sup>54</sup>;</p> <p>Population viability models<sup>55</sup>; estimates of threatened status (e.g. Red List status)<sup>56</sup>; interactions and co-extinction models (e.g. pollination, predator-prey, competition, host-parasite)<sup>57</sup>; digital vegetation models;</p> <p>Species-specific energy-mass balance models<sup>58</sup> life history and species trait analysis<sup>59</sup>;</p> <p><b>Level of communities &amp; ecosystems</b></p> <p>Earth system models<sup>60</sup>; projections of productivity;</p> <p>Dynamic vegetation models (including plant functional types)<sup>61</sup>;</p> <p>biogeochemical cycle models<sup>62</sup>;</p> <p>Hydrological, soil and moisture balance, coastal flooding models<sup>63</sup>; estimates of ecosystem health<sup>64</sup>; fire models<sup>65</sup>; trophic relationships<sup>66</sup>; state-transition models</p>
<b>Adaptive capacity</b>	<p><b>Genetic level</b></p> <p>Selection experiments<sup>67</sup>; experimental estimates of ecotypic variation of response<sup>68</sup></p> <p><b>Species level</b></p> <p>Use of natural latitudinal or elevational gradients<sup>69</sup>; life history and species trait analysis<sup>70</sup>; estimates of resilience and non-climatic stresses<sup>71</sup>; GIS: analysis of spatial habitat availability, PAs, corridors, barriers, topography;</p> <p>Bioclimatic models;</p> <p>Experimental manipulations of CO<sub>2</sub>, water, temperature etc<sup>72</sup>;</p> <p>translocation/transplant experiments<sup>73</sup>; responses to past or current climate variability<sup>74</sup>; responses to past climates<sup>75</sup></p> <p>Assessments of current conservation status</p> <p><b>Ecosystem level</b></p> <p>Estimates of resilience and role of non-climatic stresses<sup>76</sup>; GIS: analysis of spatial habitat availability, PAs, corridors, barriers, topography; state-transition models; responses to past climates</p>

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Comment [BfN94]: Please clarify what this means.

Comment [BfN95]: Please clarify what this means.

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Comment [BfN96]: Please make a consistent distinction between the second and third row – e.g. life history and species traits are factors determining sensitivity rather than adaptive capacity; assessments of current conservation status are missing from third row.

There many techniques that have been used to analyze vulnerability (Table 1). These include Delphi models and expert systems frequently used for the analyses of vulnerability of endangered species to climate change. While quantitative in their use of scores, they are not quantitative models per se<sup>77</sup>. Table 1 does not included the wide range of studies and databases looking at observed changes over time (e.g., phenological networks). Observed changes over time, or changes to climate variability potentially offer methods to assess the sensitivity of bioclimatic models. There have been a number of reviews examining how species ranges and timing have changed in a manner consistent the regional climate changes.

**Table 1: Tools and methodologies used to estimate impacts and/or vulnerability**

Components of Vulnerability	Scale of Biodiversity		
	Genes	Species	Communities & Ecosystems
<b>Exposure<sup>78</sup></b>	Projections of change (including CO <sub>2</sub> concentration; temperature;	Projections of change (including CO <sub>2</sub> concentration; temperature, precipitation; extreme events, climate	Projections of change (including CO <sub>2</sub> concentration; temperature, precipitation; extreme events, climate variability, sea levels, ocean

	precipitation, extreme events, climate variability, sea levels, ocean acidification, sea surface temperature)	variability, sea levels, ocean acidification, sea surface temperature)	acidification, sea surface temperature)
<b>Sensitivity</b>		Bioclimatic models (process and correlative) <sup>79</sup> ; Demographic models <sup>80</sup> ; Ecophysiological models <sup>81</sup> ; Population viability models <sup>82</sup> ; estimates of threatened status (e.g. Red List status) <sup>83</sup> ; interactions and co-extinction models (e.g. pollination, predator-prey, competition, host-parasite) <sup>84</sup> ; digital vegetation models; Species-specific energy-mass balance models <sup>85</sup>	Earth-system models <sup>86</sup> ; projections of productivity; Dynamic vegetation models (including plant functional types) <sup>87</sup> ; biogeochemical cycle models <sup>88</sup> ; Hydrological, soil and moisture balance, coastal flooding models <sup>89</sup> ; estimates of ecosystem health <sup>90</sup> ; fire models <sup>91</sup> ; trophic relationships <sup>92</sup> ; state-transition models
<b>Adaptive capacity</b>	Selection experiments <sup>93</sup> ; experimental estimates of ecotypic variation of response <sup>94</sup>	Use of natural latitudinal or elevational gradients <sup>95</sup> ; life history and species trait analysis <sup>96</sup> ; estimates of resilience and non-climatic stresses <sup>97</sup> ; GIS: analysis of spatial habitat availability, PAs, corridors, barriers, topography; Bioclimatic models; Experimental manipulations of CO <sub>2</sub> , water, temperature etc <sup>98</sup> ; translocation/transplant experiments <sup>99</sup> ; responses to past or current climate variability <sup>100</sup> ; responses to past climates <sup>101</sup>	Estimates of resilience and role of non-climatic stresses <sup>102</sup> ; GIS: analysis of spatial habitat availability, PAs, corridors, barriers, topography; state-transition models; responses to past climates

**While there are many risk assessment tools available there are also a number of needs or data gaps:**

- *Climate Data* - Readily available downscaled probabilistic projections at appropriate spatial scales for regional and local management, including extreme events in addition to mean values.
- *Climate impact models need to be linked with other physical models* – Currently most models only link two items together (e.g., climate and species ranges, or climate and hydrological regime). Ideally, systems need to be developed that link bioclimatic models with other

**Comment [w97]:** this has not accounted for the gaps in our knowledge about biodiversity at present and the need for fieldwork and surveys to bridge this gap.

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physical models (CIAS). For example, linking bioclimatic models with land-use models, fire models, hydrological models, vegetation change models, etc., preferably with the ability to look at feedbacks.

- *Climate impact models need to be linked with other biological models* – Ideally, systems need to be developed that link bioclimatic models with eco-physiological, demographic and viability models (e.g., using SCS (strategic cyclical scaling)). Furthermore, currently, most bioclimatic models look either at single species, or groups of species as one (e.g., plant functional types). Models need to be developed that take into account interactions between species and through trophic levels. What is further needed are more conjoined studies that simultaneously look at projections of changes to current climates over time using bioclimatic models, coupled with observed changes in the same species as a measure of a model's potential to capture future shifts in species range<sup>103</sup>.
- *The establishment of multi-purpose monitoring programs that include the impacts of climate change on biodiversity would be beneficial in maximizing the use of limited resources* - A monitoring programme that integrates biodiversity status, within a framework that includes threat status monitoring and the recording the effectiveness of adaptation measures is also recommended.

### **Experimental studies on multiple pressures in various ecosystems are needed to better define causal relationships**

**Climate change impact assessments should optimally be integrated with assessments of other stresses on ecosystems such as current and future land-use change, and changes in disturbance regimes where applicable.** The direct effects of land use and land-use change may overwhelm be stronger than climate change effects on biodiversity in the short to medium term. Alternative modelling approaches that simulate changes in ecosystem structure and processes may be more mechanistically robust in simulating, for example disturbance regimes such as fire, and should be used where possible to provide alternative or complementary insights into species and ecosystem vulnerability.

**Readily available, easy to use, multiple impact stressor tools are needed.** There are many different tools available to project the potential impacts of climate change on biodiversity. However, these tools are hampered in many areas and for many species by the lack of availability of distribution data. Additionally, these efforts are often undertaken in isolation from other efforts and often only look at one, or a few, climate change scenarios for only one or a few different **Global Climate Models (GCMs)**. Efforts are now underway to link emission scenarios, multiple GCMs, and multiple species bioclimatic tools to better enable the research community to not only look at impacts using a much broader range of emission scenarios using more GCMs, but to do so in a probabilistic fashion. This will provide better estimates of uncertainty and make it easier for researchers to reanalyze their results once new emission scenarios or new climate change models become available. These same modelling tools are also being used to link the same climate and emissions data with hydrological and sea-level rise models and it is possible that, in the near future, all could be examined simultaneously.

**The experimental approach can be used to establish causality and define both the nature and magnitude of cause and effect relationships.** This makes this approach very valuable despite its limitations arising mainly from the limited size of experimental plots. Experiments have already been used to assess the effects of increased temperature, altered precipitation regime and increased CO<sub>2</sub> level on population biology, species composition, phenology and biogeochemistry in various, mostly low-stature ecosystems. More studies are needed on the combined effects of multiple pressures including temperature, precipitation, CO<sub>2</sub>, land-use, invasive species and nitrogen deposition. Finally, broader geographic coverage is necessary to draw globally relevant conclusions, as much of this work has been conducted in temperate, northern Hemisphere ecosystems and tropical forest systems.

**Comment [BfN98]:** Please explain abbreviation.

**Comment [BfN99]:** Please clarify last sentence – is the intended meaning “...studies that simultaneously look at projections of responses to climate change over time using bioclimatic models, starting from the present, coupled with...”?

**Comment [BfN100]:** Please clarify – which investigations are to be integrated with which?

**Comment [w101]:** Key to this is incorporation of capacity to predict where land use 'conflicts' between biodiversity and production for human consumption and/or human settlements are likely to occur. This in turn feeds into discussion about 'hard' vs 'ecosystem-based' adaptation, and assumptions around what the basic expectations for adaptation are - raises questions about judging what is a positive outcome in the context of novel ecological structures.

**Comment [BfN102]:** Please clarify what is meant by “Alternative modelling approaches” and “alternative insights” (especially in the light of what is said above on research gaps) – alternative to what?

**Comment [BfN103]:** This paragraph should be merged with preceding section on research gaps. The sentence should be changes, as most of the paragraph is not about multiple stressors.

**Comment [BfN104]:** Please clarify what is meant by this.

**Comment [w105]:** Are GCMs the correct scale to discuss in this context? Stressors operate/impact differentially at local level.

**Comment [w106]:** mention the necessity to use Factorial Designs to assess the effects of the interactions of multiple factors at the same time.

**Comment [w107]:** clarify about the type of experiments carried out when, where and by whom

**Comment [w108]:** We must consider that despite the precautionary approach enshrined of the CBD, an experiment on ocean fertilization was conducted recently in the South Atlantic. Moreover, it was done without knowing for sure if it would produce negative impacts on the marine environment.



### 1.3 CONFIDENCE LEVELS AND UNCERTAINTY

*There is **considerable confidence** that climate models provide credible quantitative estimates of ~~future-projected~~ climate change, particularly at continental scales and above. However, at finer spatial scales projections have a high level of uncertainty, particularly outside Polar Regions, and in relation to projections of rainfall change.*

Comment [w109]: Reference?

**Confidence in climate change models comes from the foundation of the models in accepted physical principles, and from their ability to reproduce observed features of current climate and past climate changes.** Climate models quantify and bound the errors and identify processes where confidence limits are widest and further research is needed. Confidence in model estimates is higher for some climate variables (e.g., temperature) than for others (e.g., precipitation). There are, however, some limitations in the models. Significant uncertainties are, for example, associated with the representation of clouds leading to uncertainties in the magnitude and timing, as well as regional details, of predicted climate change.

**Despite uncertainties, models are unanimous in their prediction of substantial warming under greenhouse gas increases.** This warming is of a magnitude consistent with independent estimates derived from other sources, such as from observed climate changes and past climate reconstructions<sup>104</sup>. Furthermore, since confidence in the changes projected by global models decreases at smaller scales, other techniques, such as the use of regional climate models, or downscaling methods, have been specifically developed for the study of regional- and local-scale climate change.

**Research needs and gaps remain.** CBD Technical Series 10 outlined a number of research needs and gaps with regards to assessing the impacts of climate change ~~and on~~ biodiversity. Some of these gaps have been filled, however many remain. For example, there is still a lack of extensive, readily available quantitative information on many species globally. While efforts to fill this need are underway (e.g., Global Biodiversity Information Facility), more work remains to be done, especially with regards to understanding the conditions under which species are not found (a critical factor in performing many bioclimatic models). Furthermore, information on human land and water use patterns ~~are~~ is available for many parts of the world, but are not widely linked into the typical models used for looking at biodiversity impacts.

*Key uncertainties that limit our ability to project climate change impacts on ecosystems include projections for precipitation which carry a significantly higher uncertainty than those for temperature and uncertainties regarding key ecological processes, such as the rates of fire, photosynthesis and respiration*

**Models currently contain inadequate representations of the interactive coupling between ecosystems and the climate system and of the multiple interacting drivers of global change.** This prevents a fully integrated assessment of climate change impacts on ecosystem services; major biotic feedbacks to the climate system, especially through trace gases from soils in all ecosystems, and methane from labile carbon stocks such as wetlands, peatlands, permafrost and loess soils. Models should also emphasise interactions between vegetation and atmosphere, including CO<sub>2</sub>-fertilisation effects, in mature forests in the Northern Hemisphere, seasonal tropical forests, and arid or semi-arid grassland and savannas.

**There is uncertainty with respect to the functional role of individual species and the functioning of complex systems.** Further uncertainties are drawn from:

- the assumption of instantaneous (and often perfect) migration, which biases impact estimates;
- the net result of changing disturbance regimes (especially through fire, insects and land-use change) on biotic feedbacks to the atmosphere, ecosystem structure, function and biodiversity;
- the magnitude of the CO<sub>2</sub>-fertilisation effect in the terrestrial biosphere and its components over time;

Comment [BfN110]: Please merge with fourth bullet.

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- the limitations of climate envelope models used to project responses of individual species to climate changes, and for deriving estimations of species extinction risks (see below);
- the synergistic role of invasive alien species on both biodiversity and ecosystem functioning;
- the effect of increasing surface ocean CO<sub>2</sub> and declining pH on marine productivity, biodiversity, biogeochemistry and ecosystem functioning; and
- the impacts of interactions between climate change and changes in human use and management of ecosystems as well as other drivers of global environmental change in ecosystems including more realistic estimates of lagged and threshold responses.

**Comment [BfN111]:** Please remove as it is not clear what this refers to.

**Comment [BfN112]:** Please clarify what is meant by this.

**Comment [w113]:** this section could benefit from recognising the need for improved understanding of the impacts of various land management approaches and how to adapt management to improve landscape resilience.

***The complexity of ecosystems may often lead to non-linear responses with thresholds that introduce uncertainty***

**Short-term responses within ecosystems and among species may considerably differ, and may even be the opposite of longer term responses.** Ecological changes are not likely to always be gradual, but instead may be stepwise, and changes may take place in the form of sudden shifts, whose timing and location is largely unpredictable. Non-linear responses include tipping points and thresholds beyond which adaptation may no longer be possible. Sudden shifts may occur as a result of the outbreaks of pests or the decrease of recovery time between extreme disturbance events.

**The difficulty in predicting thresholds makes the management of biodiversity an important safeguard.** Biodiversity contributes to the resilience of ecosystem function, and to the maintenance of associated ecosystem services, in light of climate change impacts<sup>105</sup>. Landscape-scale ecosystem heterogeneity and redundancy may – to some extent – buffer against moderate changes in climate. In particular, the diversity of species and interactions among them, may provide a range of natural adaptive capacity in the face of a certain levels of change<sup>106</sup>.

**Information on extreme event impacts is difficult to gather since these occur rarely and unpredictably.** A further difficulty is that climate change scenarios are limited in ability to represent their changing frequency. Widespread and long-duration extreme events may induce a range of damaging impacts on ecosystem functions and biodiversity (e.g., as observed following the 2003 European heat wave).

***Investment in key areas that require scientific development would reduce uncertainty in assessments of the impacts of climate change on biodiversity and related impacts on human society***

**More emphasis on deriving a credible range of precipitation projections and resulting water regime effects is needed.** These should emphasise interactions between vegetation and atmosphere, including CO<sub>2</sub>-fertilisation effects, in mature forests in the Northern Hemisphere, seasonal tropical forests, and arid or semi-arid grassland and savannas.

**Improved understanding of the role of cumulative impacts of multiple disturbance regimes is needed.** This includes frequency and intensity of episodic events (drought, fire, insect outbreaks, diseases, floods and wind-storms) and that of species invasions, as they interact with ecosystem responses to climate change.

**Improvements in the integration of feedback mechanisms are needed in order to address differences between modelled changes and observed impacts.** Such an approach could include studies on impacts of rising atmospheric CO<sub>2</sub> on ocean acidification, and warming on coral reefs and other marine systems, and widening the range of terrestrial ecosystems for which CO<sub>2</sub>-fertilisation and temperature/moisture-respiration responses have been quantified.

**It is important to develop a much clearer understanding of the linkages between biodiversity impacts due to climate change and their implications for human society.** Significant advances have been made recently in quantifying the value of ecosystems and their biodiversity, but these are not yet widely incorporated into climate change impact assessment approaches. One of the most

**Comment [w114]:** This point cannot be overstated as the key decisions to be made/design of adaptation responses may revolve around alternative land uses and 'trade-offs' of natural areas.

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effective approaches has been to integrate climate change impacts on ecosystems and biodiversity in terms of the related changes in various ecosystem services.

**Comment [BfN115]:** Please clarify – to integrate the impacts into or with what?

*Observations<sup>107</sup> from indigenous and local communities form an important component of impact assessments as long as they are conducted with prior informed consent and with the full participation of indigenous and local communities*

**Currently, remote sensing provides the only viable way to monitor changes at a global scale, which has serious limitations on developing a globally integrated picture of species level responses.** Field monitoring efforts could be productively strengthened, harmonised and organised into a global network, especially to include the coverage of areas not studied so far. In monitoring efforts, special attention should be paid to the impacts of extreme events because they may serve as an early warning of future vulnerability.

**Comment [BfN116]:** Please clarify – changes in what?

**Comment [w117]:** Reference?

**Comment [BfN118]:** this paragraph does not fit the heading – please merge with text on research gaps on page 20 or with the preceding section on key areas that require scientific development.

**Indigenous people and local communities are holders of relevant traditional knowledge, innovations and practices, as their livelihoods depend on ecosystems that are directly affected by climate change.** This knowledge is normally of a practical nature, and covers areas such as traditional livelihoods, health, medicine, plants, animals, weather conditions, environment and climate conditions, and environmental management as the basis of indigenous wellbeing. This knowledge is based on experience based on life-long observations, traditions and interactions with nature. However, further research is needed on impact assessments that involve indigenous people and local communities. This will substantially enhance the understanding of local and regional impacts of climate change.

**The potential impacts of climate change on biodiversity and related livelihoods and cultures of indigenous people and local communities remains poorly known.** Furthermore, such impacts are rarely considered in academic, policy and public discourse. In particular, climate models are not well suited to providing information about changes at the local level. Even when observations are included at the species level, there is little research on, for example, impacts on traditional management systems as an important strategy to cope with change. Accordingly it is suggested that further efforts are made to ensure that traditional knowledge, innovations and practices are respected, properly interpreted and used appropriately in impact assessments through contextually relevant practices in data collection and sharing, development of indicators, assessment validation and feedback, and applications.

**Monitoring the impacts of climate change on biodiversity in partnership with indigenous and local communities can benefit from a range of practices.** These include utilising the results of community-based monitoring linked to decision-making, especially because indigenous communities are able to provide data and monitoring information at a system rather than individual species level. Examples of supporting activities include:

**Comment [BfN119]:** Please merge this sentence with subparagraph c), as there is large repetition.

(a) Promote documentation and validation of traditional knowledge, innovations and practices ~~are limited~~. Most knowledge is not documented and has not been comprehensively studied and assessed. Therefore there is need to enhance links between traditional knowledge and scientific practices.

(b) Revitalize traditional knowledge, innovations and practices on climate change impacts on traditional biodiversity based resources and ecosystem services through education and awareness raising, including in nomadic schools.

(c) Explore uses of and opportunities for community-based monitoring linked to decision-making, recognizing that indigenous people and local communities are able to provide data and monitoring on a whole system rather than single sectors based on the full and effective participation of indigenous and local communities.

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## SECTION 2

### BIODIVERSITY AND CLIMATE CHANGE ADAPTATION

*Enhancing natural adaptation in ecosystems can reduce negative impacts from climate change and limit the scale and scope of damages and ~~to~~ ensure the continued provision of ecosystem services such as carbon sequestration*

The components of biodiversity and their interactions and processes are subject to considerable impacts from climate change. As outlined in the previous section, these impacts increase the vulnerability of biodiversity to perturbations, destruction and extinction. There is, therefore, a need for adaptation strategies within the conservation sector, both to conserve biodiversity for its own sake and to maintain ecosystem services, which are critical for societal adaptation as a whole.

*Adaptation planning must consider the scope and extent of planned mitigation*

The greater the reduction of greenhouse gas emissions through mitigation, the smaller the global and regional climate changes and associated impacts, and hence the less the challenge for adaptation over the long term. For example, stringent mitigation policy might induce emissions reductions that constrain global mean temperature rise to 2°C above pre-industrial levels; less stringent reductions might constrain it to 3°C; whilst modest reductions might constrain it to 4°C and more. The challenge that ecosystems and species face in adapting to a 3°C rise is very much greater than for a 2°C rise, as many ecosystems and species would be unable to adapt to a rise of 3°C<sup>108</sup>.

An increasing number of hotspots for biodiversity are likely to be disrupted as the temperature rises ~~increases~~ ~~temperatures increase~~ from 2°C to 3°C. Examples include the Fynbos & Succulent Karoo botanical areas in Southern Africa, the mammalian diversity in the Kruger National Park, and the Cerrado botanical area in Brazil. Above a rise by 2°C many terrestrial species ~~which try to move~~ ~~will be unable to adapt further by moving~~ to higher latitudes or altitudes as temperatures rise; ~~will exceed their limits for adaptation~~ due to lack of availability of land. At a 3°C rise widespread losses of species and severe ecosystem disruption are expected in cloud forests, in the Arctic, in alpine areas, and along coastlines as temperatures and sea levels rise; marine ecosystems may suffer disruption from ocean acidification ~~may occur~~. Furthermore, at 3°C all coral reefs would be expected to convert to algal mats, whereas at 2°C coral reefs could persist in several areas. Finally at 3°C, or 2.5°C for forests, the sink service of the terrestrial biosphere begins to convert to a source, and together with increased fire frequency this will result in forest decline worldwide<sup>109</sup>.

Were temperatures to reach 4°C above pre-industrial, few ecosystems would be expected to be able to adapt and 50% of nature reserves could no longer fulfil their conservation objective<sup>110</sup>. Hence stringent reductions of emissions of greenhouse gases can avoid many of the more severe and widespread impacts on ecosystems and dramatically reduce both extinction risks and the adaptation challenge that ecosystems and species face under climate change.

#### 2.1 ADAPTATION ~~FOR~~ TO MAINTAIN BIODIVERSITY AND ASSOCIATED ECOSYSTEM SERVICES

Experiences have yielded a number of principles of general applicability that can be used to guide adaptation activities for minimizing the risk to biodiversity values from climate change. Such adaptation activities may need to address not only wildlife conservation but also the replacement of lost ecological services. For example, it may be necessary to develop adaptations to losses to natural pest control, pollination and seed dispersal. While replacing providers of these services by technical or chemical means may sometimes be possible the alternatives may be costly. Finding a replacement for services such as contributions to nutrient cycling and ecosystem stability/biodiversity is likely to be ~~more~~ highly difficult.

**Comment [w120]:** The subsections of section 2 on adaptation should be structured more clearly in order to put the different aspects of the biodiversity-adaptation interlinkage into a clear sequence.

**Comment [w121]:** Critical feature of this thinking is the value of demonstrating the potential cost of replacing lost services, and the impact this has on decision making/developing price signals associated with activities which increase the risk to biodiversity/ ecosystem services. (ref section 4)

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## 1. Principles for adaptation activity planning and implementation

**Establish objectives and define expected outcomes for adaptation activities.** Objectives should describe how adaptation activities are intended to address the climate change impacts on the priority species and ecosystems. Outcomes should be defined in measurable, time-bound terms so that the efficacy of adaptation activities can be evaluated.

**Comment [BfN122]:** the sequence of steps in section 1 (principles for planning and implementation) should be changed to reflect chronological order: 1) inform decision making, 2) establish objectives, 3) build capacity, 4) monitor and evaluate. Also there is some overlap in the boxes

**Monitor, measure and evaluate the effectiveness of adaptation activities.** Monitoring practices should be designed to: verify that the intended objectives of adaptation activities are achieved; review those objectives; address uncertainty regarding the timing and magnitude of climate change impacts; and avoid mal-adaptation. Indicators should be matched to the intended objectives and outcomes of the adaptation activities. Indicators should be well-defined, practical and measurable so that they provide timely and relevant information. The specific choice of indicators is flexible and should be tailored to the situation being evaluated.

**Inform decision making by integrating traditional knowledge, scientific information and evidence about climate change impacts and the effectiveness of adaptation activities.** A research agenda should be elaborated to address questions about the ecological, social and economic impacts of climate change. Climate change and impact models are needed to improve the predictive capacity at spatial and temporal scales that are relevant to decision-makers and designers of adaptation activities. Mechanisms for bringing together lessons learned and for facilitating knowledge transfer (e.g., the Ecosystems and Livelihood Adaptation Network; Nairobi Work Programme databases and Focal Point forum) should be encouraged.

**Build and strengthen management and technical capacity for biodiversity protection and sustainable use of natural resource by involving local and indigenous communities.** All relevant stakeholders, especially local and indigenous communities who may be most dependent on adaptation activities, should be involved in management decisions. This requires robust management institutions that facilitate knowledge transfer (e.g., lessons learned, best practices) among communities, economic sectors, and the general public to ensure informed decision-making. Appropriate training and capacity development needs to be ensured.

## 2. Principles regarding ~~adaptation activity objectives and outcomes~~ design of adaptation

**Maintain intact and interconnected ecosystems to allow for biodiversity and people to adjust to changing environmental conditions.** (Please streamline with text on page 29, insert reference to this section if possible.) This can be accomplished by: (i) representing, in protected areas and other conservation strategies, genetic, species, community and ecosystem diversity, and ecological redundancy of occurrences; (ii) identifying and protecting refugia where climate change impacts are expected to be less; (iii) maintaining connectivity; and (iv) maintaining key ecological attributes within natural ranges of variation. Ecosystem integrity can also be enhanced by abating other threats (e.g., habitat loss, invasive species). A comprehensive and adequate protected area system should be the backbone of a land- and sea-scape wide approach to conservation

in some cases connectivity can be to the detriment of conservation of some vulnerable species 'isolation' may buffer them from some types of threats. see e.g. Taylor M. & Figgis P. (eds) (2007) Protected Areas: Buffering nature against climate change. Proceedings of a WWF and IUCN World Commission on Protected Areas symposium, 18-19 June 2007, Canberra. WWF Australia, Sydney.)

activities

**Comment [BfN123]:** objectives are already referred to in section 1.

**Fragmented or degraded ecosystems should be restored or rehabilitated, and critical processes should be re-established, to maintain ecosystem services.** Key ecological processes and functions such as habitat connectivity, hydrological flows, fire regimes, and pollination dynamics should be restored or rehabilitated in line with altered conditions.

**This box should be deleted as it is not related to biodiversity conservation and overlaps with information given on page 17 as well as in the sections of the report on ecosystem-based adaptation and on valuation.** **Preserve and enhance protective ecosystem service values that help buffer human communities from floods, storms, erosion and other climate change hazards.** The potential for natural ecosystems to provide physical protection from climate change hazards should be assessed and considered. The social, environmental and economic costs and

**Ensure that any use of renewable natural resources is sustainable given climate change impacts.** The sustainable use of ecosystems may be ~~effected~~ affected by climate change if, among other things, the biological productivity declines. Management plans should be updated and harvest or use rates modified on the basis of such assessments to ensure sustainability.

**Fragmented or degraded ecosystems should be restored or rehabilitated, and critical processes should be re-established, to maintain ecosystem services.** Key ecological processes and functions such as habitat connectivity, hydrological flows, fire regimes, and pollination dynamics should be restored or rehabilitated in line with altered conditions.

**Preserve and enhance protective ecosystem service values that help buffer human communities from floods, storms, erosion and other climate change hazards.** The potential for natural ecosystems to provide physical protection from climate change hazards should be assessed and considered. The social, environmental and economic costs and benefits of maintaining these ecosystem services should be compared to those of other kinds of adaptation activities.

The Ecosystem Approach of the CBD, as described by the refined Malawi Principles (or: name Decisions), provides further relevant guidance for the planning, design and implementation of adaptation activities.

**Comment [BfN124]:** Reason for mentioning Decisions and / or Malawi Principles: to avoid confusion between the Ecosystem Approach and the concept of ecosystem-based adaptation.

*Business as usual in biodiversity conservation may not be sufficient or ~~desirable~~ appropriate to protect species and ecosystems in the future*

**As ecosystems change as a result of natural adaptation, conservation strategies will also need to change.** As such, adaptation for the conservation sector involves not only reducing the impacts of

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climate change on biodiversity but also assessing and, where necessary, adjusting traditional conservation practices and targets in order to reflect rapidly changing conditions.

**Ecosystems are not static entities.** Through historical time, the structure and composition of ecosystems have changed with changing climates. Each species responds to the climate at its own rate and the composition of past ecosystems often ~~have~~ has no analogue to present day ecosystems<sup>111</sup>. ~~Palaeoecological data indicates the rates of change for many species were substantially slower than the current, and predicted future, rate of climate change.~~ Further, recent research suggests that novel climates (for example, new combinations of temperature and precipitation) may arise in many continents<sup>112</sup>. The ecosystem services provided by these new assemblages may also be novel, in quantity and quality.

**If emissions are reduced to 50% of 1990 values by 2050, so that temperatures are constrained to, but reach, 2°C warming above pre-industrial levels, recent work has shown that temperatures will not begin to decline for at least a century.** Even if emissions peak in 2015, and then reduce at a rate that increases to 3%/yr there would be an estimated 20-50% (mean 30%) chance that ~~temperature rises~~ temperatures will stay above 2°C for at least 100 years, depending on the rate of sulphate aerosol reduction, and a 20-25% chance that it stays above 2°C for 200 years. Less stringent policies would result in still longer periods exceeding 2°C. With higher emissions come higher temperatures with probabilities that ecosystems will need to adapt to 3° C, 4°C or even higher, for longer periods of time. Sea-level rise would then continue for a substantial period beyond this.



The aim of conservation strategies in the future should continue to be to ~~maximise~~ maintain a maximum share of global biodiversity (i.e. minimise loss) and to maintain ecosystem services. However, flexible conservation and adaptation strategies will be needed that will be robust in light of uncertainty concerning the magnitude, direction and rate of climate change. These strategies may need to ~~facilitate the autonomous transformation of ecosystems~~ in response to changing conditions so as to ~~maximize~~ optimize biodiversity conservation outcomes and maintain functionality.

~~Box 2: Application of the Ecosystem Approach and Adaptation of the CBD (please amend as there is no reference to adaptation in the box)~~

At its 5<sup>th</sup> Conference of the Parties in 2000 the CBD adopted the ecosystem approach as the primary ~~At its 5<sup>th</sup> Conference of the Parties in 2000 the CBD adopted the ecosystem approach as the primary framework for actions to help reach a balance of the three objectives of the Convention. The ecosystem approach is a strategy for the integrated management of land, water and living resources that promotes conservation and sustainable use in a equitable way. It is based on the application of appropriate scientific methodologies focused on levels of biological organization which encompass the essential processes, functions and interactions among organisms and their environment. It recognizes that humans, with their cultural diversity, are an integral component of ecosystems.~~

The ecosystem approach is described by 12 principles:

- ~~1.~~ 13 The objectives of management of land, water and living resources are a matter of societal choice.
- ~~2.~~ 14 Management should be decentralized to the lowest appropriate level.
- ~~3.~~ 15 Ecosystem managers should consider the effects (actual or potential) of their activities on adjacent and other ecosystems.
- ~~4.~~ 16 Recognizing potential gains from management, there is usually a need to understand and manage the ecosystem in an economic context. Any such ecosystem-management programme should:
  - a. reduce those market distortions that adversely affect biological diversity;
  - b. align incentives to promote biodiversity conservation and sustainable use; and
  - c. internalize costs and benefits in the given ecosystem to the extent feasible.
- ~~5.~~ 17 Conservation of ecosystem structure and functioning to maintain ecosystem services should be a priority target of the ecosystem approach.
- ~~6.~~ 18 Ecosystems must be managed within the limits of their functioning.
- ~~7.~~ 19 The ecosystem approach should be undertaken at the appropriate spatial and temporal scales.
- ~~8.~~ 20 Recognizing the varying temporal scales and lag effects that characterize ecosystem processes, objectives for ecosystem management should be set for the long term.
- ~~9.~~ 21 Management must recognize that change is inevitable.
- ~~10.~~ 2 The ecosystem approach should seek the appropriate balance between, and integration of, conservation and use of biological diversity.
- ~~11.~~ 2 The ecosystem approach should consider all forms of relevant information, including scientific and indigenous and local knowledge, innovations and practices.
- ~~12.~~ 2 The ecosystem approach should involve all relevant sectors of society and scientific disciplines.

At its 7<sup>th</sup> Conference of the Parties the CBD recognised “there is no single correct way to achieve an ecosystem approach to management of land, water and living resources”. The underlying principles can be translated flexibly to address management issues in different social contexts for example by (IUCN, 2004):

- Step A Determining the main stakeholders, defining the ecosystem area, and developing the relationship between them (Principles 1, 7, 11, 12)
- Step A Relationship between them (Principles 1, 7, 11, 12)
- Step B Characterizing the structure and function of the ecosystem, and setting in place mechanisms to manage and monitor it (Principles 2, 5, 6, 10)
- Step B Characterizing the structure and function of the ecosystem, and setting in place mechanisms to manage and monitor it (Principles 2, 5, 6, 10)
- Step C Identifying the important economic issues that will affect the ecosystem and its inhabitants.
- Step C Identifying the important economic issues that will affect the ecosystem and its inhabitants.
- Step D Determining the likely impact of the ecosystem on adjacent ecosystems. (Principles 3, 7)
- Step D Determining the likely impact of the ecosystem on adjacent ecosystems. (Principles 3, 7)
- Step E Deciding on long-term goals, and flexible ways of reaching them, (Principles 7, 8, 9)
- Step E Deciding on long-term goals, and flexible ways of reaching them, (Principles 7, 8, 9)

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Conservation strategies under changing climatic conditions must be based in solid science with full consideration of ~~the all possible negative~~ impacts of changing conservation activities. Maintenance of current assemblages and services in their present form in their present location will, in many cases, be impossible. Accordingly, ~~the~~ four distinct but complementary strategies of 21<sup>st</sup> century conservation are described below. ~~The first two of these are expected to be of highest relevance given existing framework conditions.~~ Such strategies are complementary and in some respect are interdependent upon the others. For example, restoration work will require species collection and at least short term *ex situ* storage, as well as expertise in order to restore degraded lands.

**Comment [BfN125]:** This reflects what is said in the following on the potential of these strategies.

1. ~~Maintaining current~~Protecting intact, functional ecosystems (or: Providing beneficial conditions for natural adaptation of species and ecosystems)

**The most fundamental biodiversity conservation strategy will be to continue to protect intact, functioning ecosystems wherever possible.** This can be accomplished by:

- Reducing other threatening processes and stresses on species and ecosystems. These processes include habitat loss and fragmentation as a result of unsustainable use, invasive alien species, damaging wildfire, pollution, and overharvesting. Minimization of these processes is necessary to maximise ~~resistance~~resilience of species and ecosystems to the new stress of ~~rapid~~accelerated climate change.
- Increasing protected area systems and improving their connectivity to provide opportunities for species to adapt to climate change by migration. Increasing the size of protected areas will increase the probability of maintaining viable populations.
- Identifying locations within landscapes where species have maintained populations in the face of past climate change (past climate refugia) and focus conservation efforts in these locations. These refugia are most likely to provide refuges for species in surrounding ecosystems, not for the species for which they were refugia in the past.
- Identify existing locations that contain high levels of environmental heterogeneity (including latitudinal and elevational gradients) in which to focus conservation efforts. Regions of high heterogeneity (edaphic, moisture, and topographical conditions) will continue to provide the widest range of microhabitats, and therefore support the richest biodiversity in the future.
- Examine models to determine those areas with climatic suitability for an ecosystem now and at various times in the future as potential priorities for protection. ~~Similar~~Such work has been performed ~~for example for~~ regions where coral reefs may be exposed to lower levels of temperature change and acidification.
- ~~Many~~Pay attention to areas of high endemism, ~~as many of these~~ have been relatively climatically stable for millions of ~~year and~~years. ~~These areas tend to~~ have species with a high degree of specialization. Research needs to focus on the risk of climate change in these areas. As the communities have largely evolved *in situ*, the options for relocation may be minimal so intensive efforts to maintain these areas, or preserve their genetic diversity, may be crucial.
- In many cases, conservation of existing ecosystems ~~will~~may involve active management of disturbance events that will alter ~~in~~ both frequency and intensity in the future.

~~• There is increasing interest in eco-engineering and this interest requires consideration of the potential implications of such activities. Eco-engineering may allow for the maintenance of representative samples of some ecosystems however, such efforts are likely to be expensive, especially if they need to continue for long periods of time and success cannot be assured. Examples of this would include making snow to better mimic current winter precipitation regimes in alpine areas (should this be a limiting factor), shading reefs or beaches to lower temperatures, etc.~~

**Comment [w126]:** If eco-engineering is kept define the term

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2. Adapting restoration practices to climate change

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**Ecosystem restoration involves activities that transform a degraded ecosystem into an ecosystem that is less disturbed and better able to provide ecosystem goods and services.** Restoration is considered to be successful once ecosystem resilience has been re-achieved<sup>113</sup>. Restoration of ecosystems can be of economic importance where those systems protect property, but also when areas are critically important to biodiversity and /or for people with biodiversity-based livelihoods. However, it is ~~less expensive~~ **more cost effective** to conserve ecosystems than to restore them.

**Just as general strategies of conservation need to adjust to take into account future ~~rapid~~ **accelerated** climate change, so too will restoration efforts need to take place in the context of a changing environment.** Ecosystem restoration strategies in the future will need to consider:

- *The role of extreme events:* ~~Climate change will not only alter mean climate (e.g. mean annual temperature and rainfall) but will also alter the intensity and frequency of extreme events.~~ Understanding and anticipating the potential changes in disturbance regimes that influence successional processes will be a key to restoration of functioning ecosystems.
- *Restoration of function not species composition:* As the climate changes, many species will become increasingly unsuited to conditions within their present day geographic range. Successful restoration of ecosystems will therefore need to focus on restoring functionality, habitat value and ecosystem services, rather than attempting to re-create the species composition that previously existed at a location. For example, a given area may continue to be predominated by oaks (Quercus spp.) or eucalyptus under a future climate but the particular species may differ. It is also necessary to restore redundancy in order to ensure resilience.
- *Genetic provenances used in re-establishment:* A long-held paradigm of restoration ecology is the desirability of re-establishing individuals of local provenance i.e. propagation material collected within a narrow radius of the restoration site that is thought to be best-adapted to local conditions. As the climate, and therefore local conditions change in the future, this strategy may reduce the potential for the restored community to be sustainable in the medium- to long-term. The use of a mixture of genetic provenances collected over a broad range of sites and climates will increase the probability of restoration success and may be an effective form of risk-spreading.
- *Impact assessment of introductions of new species or genotypes:* Such an approach involving the introduction of new species or individuals of distant provenance into an ecosystem approach requires careful consideration, however, in order to ensure that introduced species don't have avoid have negative impacts on that native flora and fauna which is able to adapt *in situ* to the impacts of climate change.

**Comment [w127]:** A further clarification of this statement is necessary for purposes of complete understanding.

### 3. Relocate species (assisted migration) Pro-active manipulative interventions

(Moved from above) Depending on the amount of climate change that may be locked into the Earth's systems, ~~more extreme measures may be needed~~ **highly manipulative approaches aimed at preserving ecosystems by technical interventions, or achieving ecosystem states for which there are no present or past analogues, may be considered as options to preserve genetic, species and functional diversity.** Many of these techniques are controversial, involve high uncertainty, will be difficult at best, and very expensive. Two examples of such extreme measures are presented below.

**Comment [w128]:** From a biological point of view, the proposal involves a controversial field. They are not considering the consequences that could result in the matter of intellectual property and geographic origin. Possibly, the third objective of the CBD on access to genetic resources and benefit-sharing would be distorted, hindering its realization.

### 3. Relocate species (assisted migration)

**In some cases relocation of species (assisted migration) it might be necessary to relocate species, or assist them to relocate in response to climate change and its impacts, considered the only way to ensure their survival because of existing migration barriers or limits to dispersal capacity.** Landscape fragmentation adds to the probability of needing assisted relocation. There are ~~three~~ **two** general types of relocation: ~~auto-relocation, where species shift their own ranges to stay in equilibrium with the new climate;~~ simple assisted relocation, where movements between areas with suitable habitats must be facilitated by human intervention; and ~~engineered relocation~~ **relocation supported by additional engineering measures, where before a species can be moved, habitat in the new area must first be created or modified to allow the species to survive. An ~~alternative~~ **extreme** type**

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of “engineering” relocation could potentially include modifying organisms (e.g., selective breeding or genetic modification) ~~or selection of more temperature/drought/salt tolerant species to move into an area.~~

**Comment [BfN129]:** If other species than the original target species are used, this cannot be called relocation.

~~There are limitations, risks, uncertainties, uncertainty, and high costs involved in each of these associated with relocation techniques. For a species to be able to auto-relocate assumes the species has the capacity to disperse that matches or exceeds the rate of climate change. This is more likely for winged animals, plants with small, highly dispersible seeds, or aquatic species that disperse in ocean currents or water courses. There must also be habitat suitable for the species’ survival at the new location and no barriers to migration. These movements are not risk free. For example, as species A is moved into habitat B it becomes an “introduced” species to that habitat and may cause negative impacts on the species that are already present. Other risks This may risks include disruptions of predator-prey interactions or symbiotic interactions, changes in parasitism rates and potential competition with existing species for limited resources. Further, the loss of species A from habitat A may lead to disruption of critical ecosystem processes, depending on the level of redundancy in the system. The more fragmented the landscape the greater the probability of needing assisted relocation.~~ In order for relocation to be successful it will be necessary to move many individuals into the new area at once – increasing the possibility of ecosystem disruption at the new spot. It is also likely that not just one species needs to be relocated but rather multiple components of ecosystems and this assumes that scientists understand the necessary functions of the components of a natural ecosystem necessary for species to survive and thrive. ~~This involves very high costs, is~~ Such complex relocation schemes would involve very high costs, be is only feasible at small scales, and would likely fail in most cases. ~~At its most extreme it may require modifying an existing ecosystem to make it~~ Relocation measures supported by engineering to modify an existing ecosystem face the additional challenge how to make the ecosystem ~~it~~ suitable for new species without destroying its usefulness for existing species, again a very high cost, high risk strategy. ~~This time required for the ecosystem modification to take place~~ may mean that a species will have to be held in captivity (see below) for a length of time before the new habitat is ready for the species relocation<sup>114</sup>.

**Comment [BfN130]:** Loss of the species from habitat A is not a consequence of relocation. The risks to ecosystem processes from species extinction are addressed elsewhere in the report.

**Comment [BfN131]:** Moved to preceding paragraph (see above).

#### 4. ~~Species banks~~ ~~Bank species~~

**Given the links between climate change and extinction risks, it may be necessary-desirable to bank species or genotypes that are likely to be unable to survive under new conditions.** Depending on the level of greenhouse gas stabilization achieved, and the maximum level of climate change attained, many species are likely to become extinct. In other cases there may be loss of genetic variability even if the species survives (e.g., loss of populations, loss of subspecies). Therefore, it may be ~~necessary-desirable~~ to “bank” species or genotypes ~~to use so that they can be used~~ in reintroductions once climatic conditions have returned to a suitable level for those species. ~~While there~~ There are many reasons for the loss of genetic resources and the need to “bank” species and genotypes. ~~However, use of in the context of climate change this technique in terms of climate change~~ should be seen as a last-ditch effort, something better avoided by mitigation if at all possible. Furthermore, ~~in view of the high proportion of species likely to be affected,~~ banking species (other than seeds) or simple ecosystem components on ~~this~~ scale ~~that would seem necessary in view of the high proportion of species likely to be affected~~ is infeasible and ~~would be~~ extremely expensive.

**Comment [w132]:** this is an area where the principles of the UN Charter on sovereignty apply. One might also contemplate aspects arising from the ownership of the genomes.

**Society has a long history of maintaining species and genetic stock in zoos, aquaria and gene banks.** Most recently, the Svalbard Global Seed Vault has started collecting and storing agricultural seeds in order to protect against loss of seeds currently held in gene banks or against large-scale crises. There have been various attempts to recreate and simple ecosystems in closed or semi-closed environments (e.g., Biosphere 2, the Eden Project). These have met with mixed success depending on the original purpose but do indicate the difficulty of trying to recreate and hold even simple functioning ecosystems. Furthermore, the Royal Botanic Gardens Kew’s Millennium Seed Bank Project (MSBP) is the largest ex situ conservation project ever conceived. Its partners will have banked seed from 10% of the world’s wild plant species by the end of the decade. These will not be just any plants, but will include the rarest, most threatened and most useful species known to man.



More importantly, that seed is being used now to provide a wide range of benefits to mankind, ranging from food and building materials for rural communities to disease-resistant crops for agriculture. These have met with mixed success depending on the original purpose but do indicate the difficulty of trying to recreate and hold even simple functioning ecosystems.

**Endangered wildlife populations have been ~~protected~~ preserved through the use of captive breeding and translocations.** These techniques have been suggested in the past as methods to deal with future ~~population pressures on populations~~ caused by climate change<sup>115</sup>. However, captive breeding and translocation, while effective tools for the conservation of some species, may be appropriate for only a few species. Combined with habitat restoration, such efforts may be successful in preventing the extinction of small numbers of key selected taxa. However, climate change could result in large-scale modifications of environmental conditions, including the loss or significant alteration of existing habitat over some or all of a species' range. Captive breeding and translocation should therefore not be perceived as panaceas for the loss of biodiversity that might accompany ~~dramatic~~ significant climate change, especially given the current state of the environment.

**One limitation to captive breeding is the lack of space available and the cost to hold wildlife for breeding purposes.** Existing zoos and off-site breeding facilities can be expected to accommodate no more than a small fraction of the number of species that might be threatened. For example, an estimated 16 snake species and 141 bird species could be accommodated and sustained in accredited North American zoos and aquariums in long-term management programs<sup>116</sup>. These programs are also expensive and reintroductions are technically difficult. For example, it costs \$22,000 to raise a single golden lion tamarin in the United States and reintroduce it to its native Brazil<sup>117</sup>. With regards to plants, however, the costs for *ex situ* conservation are relatively low compared to management of an *in situ* reserve or compared with *ex situ* conservation of fauna. For example, the -20° cold rooms of the Royal Botanic Gardens, Kew's Millennium Seed Bank (MSBP) holds some 26,142 species from 128 countries, at a current cost of approximately £2000 per species.

## 2.2 ~~ECOSYSTEM BASED ADAPTATION~~ INTERLINKAGES BETWEEN BIODIVERSITY AND OTHER SOCIETAL ADAPTATION GOALS

Many strategies adopted for societal adaptation have impacts on biodiversity. At the same time, maintenance of biodiversity and ecosystem services and using their potential to alleviate new or aggravated problem situations can make an important contribution to societal strategies for coping with the consequences of climate change. This type of adaptation approach has recently come to be known as ecosystem-based adaptation.

### 2.2.1 ECOSYSTEM-BASED ADAPTATION

*Ecosystem-based adaptation can, when integrated into an overall adaptation strategy, deliver a cost effective contribution to climate change adaptation and generate societal benefits.*

**Ecosystem-based adaptation is the use of ecosystem management activities to support societal adaptation.** Ecosystem-based adaptation identifies and implements a range of strategies for the management, conservation, and restoration of ecosystems to provide services that enable people to better adapt to the impacts of climate change. It aims to maintain and increase the resilience and reduce the vulnerability of ecosystems and people in the face of the adverse affects of climate change. Ecosystem-based adaptation is most appropriately integrated into broader adaptation and development strategies.

**Ecosystem-based adaptation can be applied at regional, national and local level, at both project and programmatic levels, and over short or long time scales.** Means of implementing ecosystem-based adaptation include:

**Comment [BfN133]:** Please clarify – is this what is meant?

**Comment [BfN134]:**  
1) A restructuring of this part of the report is suggested in order to make the relationship between the different aspects of adaptation stand out more clearly. As the text stands, the transition from considerations on conservation-specific adaptation back to observations concerning societal adaptation is not explained at all and may escape some readers, leading to misinterpretation of what is meant by ecosystem-based adaptation. If the suggested restructuring is not taken up, a short introduction should be included to avoid this.  
2) Reason for suggested reformulation of heading: continued conservation of biodiversity is clearly one goal of societal adaptation. However, to make the reader aware that the following part of the report is focussed on adaptation for other sectors, using the term “societal adaptation” seems helpful.

- sustainable water management where river basins, aquifers, coasts and their associated vegetation provide water storage, flood regulation and coastal defences;
- disaster risk reduction where restoration of coastal habitats such as mangroves can be a particularly cost-effective measure against storm-surges. Furthermore, mangroves can provide a buffer against salt water intrusion since intact and healthy mangrove ecosystems can adapt to sea level rise; their growth can accommodate to increases of 3.8 millimeters per year depending on local circumstances;
- sustainable agriculture where using indigenous knowledge of specific crop and livestock varieties, maintaining genetic diversity of crops and livestock, and conserving mosaic agricultural landscapes secures food provision in changing local climatic conditions; and
- the establishment and effective management of protected area systems that ensure ~~both~~ the representation and persistence of biodiversity to ~~increasing~~ resilience to climate change, and ~~ensuring at the same time provide for~~ the continued delivery of ecosystem services.

**Comment [BfN135]:** add literature reference, or delete reference to cost-effectiveness here and streamline with text from page 17

**Intact, well functioning ecosystems, with natural levels of biodiversity, are usually more able to resist and recover more readily from extreme weather events than degraded, impoverished ecosystems.** They are also usually better able to provide ecosystem goods and services for ecosystem-based adaptation. The conservation of such ecosystems and the restoration of degraded ecosystems is an important element of ecosystem-based adaptation.

In spite of relatively high costs as compared to conservation of existing intact ecosystems, restoration of ecosystems can still be a cost-effective ecosystem-based adaptation strategy. Restoration activities include limiting human activities such as grazing or logging to allow ecosystems to recover, or restoring ecological components such as connectivity or hydrological regimes, through activities such as re-flooding wetlands. For example, an alternative to constructing additional dams or reservoirs for increased flood water storage could be flood plain restoration, which would also improve riparian habitat.

**Comment [BfN136]:** Add a literature reference, if possible (Danube case study?)

**Adaptation approaches that include ecosystem-based adaptation may often be cost-effective, and can provide significant social, economic and environmental co-benefits.** For example, the restoration of mangrove systems can provide shoreline protection from storm surges, but also provide increased fishery opportunities, and carbon sequestration. As such, ecosystem-based adaptation can achieve adaptation benefits for many sectors through a single investment.

**Ecosystem-based adaptation options are often more accessible to the rural poor than actions based on infrastructure and engineering.** The poor are often the most directly dependent on ecosystem services and thus benefit from adaptation strategies that maintain those services. Ecosystem-based adaptation can be consistent with community-based approaches to adaptation; can effectively build on local knowledge and needs; and can provide particular consideration to the most vulnerable groups of people, including women, and to the most vulnerable ecosystems.

**Like all adaptation activities ecosystem-based adaptation is not without complexity, uncertainty, and risk.** Ecosystem-based adaptation may require giving priority to particular ecosystem services at the expense of other services. For example, using wetlands for coastal protection may require emphasis on silt accumulation and stabilization possibly at the expense of wildlife values and recreation. Slope stabilisation with dense shrubbery may expose the area to wildfire, especially in an increasing wet-dry alternation under a changing climate, and possibly a disastrous reversal of the adaptation goal. Thus, it is important that decisions to use ecosystem-based adaptation be subject to risk management procedures and cost effectiveness considerations. In addition, the implementation of ecosystem-based adaption requires an adaptive management approach, which allows management adjustments in response to changes in external pressures, and uncertainty in ecosystem functioning.

*In addition to adaptation benefits, ecosystem based adaptation strategies can have significant co-benefits*

/...



**Ecosystem-based adaptation can generate significant social, cultural, health and economic co-benefits for local communities.** Communities that are managing ecosystems specifically to adapt to climate change impacts can also benefit from these interventions in other ways, if they are designed and managed appropriately (Table 2).

**Ecosystem-based adaptation can contribute to climate change mitigation, by conserving carbon stocks, reducing emissions from ecosystem degradation and loss, and enhancing carbon sequestration.** The conservation, restoration and sustainable management of terrestrial ecosystems is an integral part of both adaptation and mitigation efforts. Ecosystem-based adaptation measures that conserve natural forests, for example, also provide significant climate change mitigation benefits by maintaining existing carbon stocks and sequestration capacity, and preventing future emissions from deforestation and degradation. Adaptation projects that prevent fires or restore wetlands on tropical forest peatlands will be particularly important for mitigation efforts, as these ecosystems have very high carbon stocks and release significant quantities of greenhouse gases when degraded. Restoration of degraded forest ecosystems increases sequestration and enhances carbon stocks. Similarly, the conservation and restoration of other natural ecosystems (such as savannahs, grasslands and wetlands) can result in both adaptation and mitigation benefits.

**Ecosystem-based adaptation, if designed and implemented appropriately, can also contribute to biodiversity conservation.** Conserving, restoring and sustainably managing ecosystems, as part of an adaptation strategy to decrease human vulnerability to climate change, can also help conserve biodiversity by providing important habitats and biological resources, and maintaining landscape connectivity. For example, the conservation or restoration of wetlands to ensure continued water flow in periods of drought also conserves plant and animal species that live or breed in these systems. The establishment of diverse agroforestry systems with native plant species as an adaptation measure can similarly help conserve biodiversity<sup>118</sup>. The creation or expansion of community conserved areas in dryland regions can not only provide additional fodder resources for pastoralists, but also conserve dryland biodiversity provide habitat for native dryland species. Similarly, the establishment or creation of networks of marine protected areas can ensure the continued provision of ecosystem services for adaptation, as well as biodiversity conservation.

**Comment [BfN137]:** add literature reference (e.g. Global Peatland Assessment, currently reference 165).

**Comment [BfN138]:** A contribution to biodiversity conservation should be a minimum requirement for talking about 'appropriate' implementation. Even if an ecosystem-based adaptation option causes some negative impacts on biodiversity, it would need to be less harmful than other adaptation options on the table, otherwise it should not be undertaken!

**Table 2: Examples of ecosystem-based adaptation measures that provide co-benefits.**

Adaptation measure	Adaptive function	Co-benefits			
		Social and cultural	Economic	Biodiversity	Mitigation
Mangrove conservation	Protection against storm surges, sea-level rise and coastal inundation	Provision of employment options (fisheries and prawn cultivation) and contribution to food security	Generation of income to local communities through marketing of mangrove products (fish, dyes, medicines)	Conservation of species that live or breed in mangroves	Conservation of carbon stocks, both above and below-ground
Forest conservation	Maintenance of water flow and prevention of land slides	Recreational and cultural opportunities	Potential generation of income through ecotourism and recreational activities	Conservation of habitat for forest plant and animal species	Conservation of carbon stocks and reduction of emissions from deforestation and degradation
Restoration of degraded wetlands	Maintenance of water flow and quality, storage capacity, and	<u>Sustained livelihood provision and</u> Provision of	<u>Increased livelihood generation and</u> Potential revenue	Conservation of wetland flora and fauna and maintenance of	Reduced emissions from wetland drainage soil

**Comment [BfN139]:** References might be added to literature or case studies

**Comment [w140]:** This table needs to be reviewed. The header should be repeated on the next page.

**Comment [BfN141]:** In addition to forest conservation, sustainable management of forests could be mentioned, in which case non-timber forest products and sustainably harvested wood could be mentioned as further economic co-benefits.

	protection against <u>floods or</u> storm inundation	recreational and employment opportunities	from recreational activities <u>and sustainable use</u>	breeding grounds <u>or stop and stop over sites</u> for migratory species	<u>carbon mineralisation</u>
Establishment of diverse agroforestry systems in agricultural land	Diversification of agricultural production to cope with changed climatic conditions	Contribution to food and fuel wood security.	Generation of income from sale of timber, firewood and other products	Conservation of biodiversity in agricultural landscape	Carbon storage in both above and below-ground biomass and soils
Conservation of agrobiodiversity	Provision of specific gene pools for crop <u>and livestock</u> adaptation to climatic variability	Enhanced food security, diversification of food products, and conservation of local and traditional knowledge and practices	Possibility of <del>erops</del> <u>agricultural income</u> in difficult environments.	Conservation of genetic <del>plant</del> <u>diversity of crop varieties and livestock breeds</u>	N/A
Conservation of medicinal plants used by local and indigenous communities	Local medicines available for key diseases <u>or health problems increasing through changes in climate or such as malaria, dengue resulting from habitat destruction and degradation, e.g. malaria, diarrhea, cardiovascular problems.</u>	Local communities have a <u>better an independent</u> and sustainable source of medicines  Maintenance of local knowledge and traditions	Local markets, if assessed and tapped, could be a reasonable source of income for local people	Medicinal plant conservation efforts enhanced; local and traditional knowledge inputs recognized and protected.	N/A

**In order to ensure that ecosystem-based adaptation measures deliver significant additional social, cultural, economic and biodiversity benefits, it is important that these co-benefits be specifically considered in the planning, design, implementation, monitoring and evaluation of these measures.** Adaptation measures are more likely to deliver significant co-benefits if social, environmental, economic and cultural aspects are explicitly considered in all phases of project development and implementation; if all tradeoffs and synergies are carefully identified and explored; and if all stakeholders are given a voice in deciding how adaptation measures are implemented. Examples of such considerations are presented in the case studies below.

**Systems to monitor and evaluate co-benefits from ecosystem-based adaptation measures should be established to ensure the equitable distribution of benefits among stakeholders.** Guidelines already exist for ensuring the delivery of co-benefits in climate mitigation projects (e.g., Climate, Community and Biodiversity Standards; CCBA) and these could potentially be adapted to guide ecosystem-based adaptation measures.

Comment [w142]: Reference?

## Case studies on ecosystem-based adaptation

### 1. Using ecosystems for coastal defence

Human-induced climate change is already causing sea level rise, and projected climate change is projected to increase it further while also increasing the frequency of extreme weather events. This, in turn, is contributing to an increase in storm surges and floods. One adaptation response is through

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'hard' defences (sea walls, dykes and tidal barriers). Ecosystem-based adaptation can also play a role in a number of coastal defence strategies. These approaches include activities such as planting of marsh vegetation in the intertidal zone and wetland restoration<sup>119</sup>. Coastal wetlands can absorb wave energy and reduce erosion through increased drag on water motion, a reduction in the direct wind effect, and directly absorbing wave energy<sup>120</sup>. The accretion of sediments also maintains shallow depths that decrease wave strength<sup>121</sup>.

Mangroves, for example, can provide physical protection to coastal communities whilst providing provisioning ecosystem services such as productive fisheries; offering both physical protection and economic gain to the most vulnerable people<sup>122,123</sup> as well as sequestering carbon. It has been estimated, that the value of mangroves for coastal defence in Malaysia is US\$ 300,000 per km based on the cost of hard engineering that would otherwise be required<sup>124</sup>. Nearly 12,000 hectares of mangroves planted in Vietnam at a cost of US\$1.1 million, saved an estimated \$7.3 million per year in dyke maintenance whilst providing protection against a typhoon that devastated neighbouring areas<sup>125</sup>.

## 2. Designing resilient Marine Protected Area Systems

### 2.1 Areas

Climate Change represents a serious threat to tropical marine ecosystems of the world. For example, ocean acidification is reducing the ability of many marine organisms to produce shells while rising sea temperatures are increasing the instances and extent of coral bleaching and the exposure, among of fish and marine mammals; to disease and parasites.

Marine Protected Areas (MPAs) are defined as "any area of the intertidal or sub-tidal terrain, together with its overlying water and associated flora, fauna, historical, and cultural features, which has been reserved by law or other effective means to protect part or the entire enclosed environment."<sup>126</sup> An MPA network is a portfolio of biologically connected MPAs that is fully representative of the range of target ecosystems, species, and processes.

~~The Coral Triangle comprises less than 2% of the world's oceans yet it encompasses 53% of the world's coral reefs, 76% of coral species<sup>127</sup> and 50% of coral reef fish species. The area includes all or part of six countries in Melanesia and Southeast Asia, Papua New Guinea, Solomon Islands, Philippines, Indonesia, Malaysia (Sabah) and East Timor. Since these are all developing countries where many people live subsistence lifestyles, these reefs support livelihood of 126 million people and the protein needs of millions more.~~

In recent years, principles for designing and managing MPA networks that are resilient to the ~~threat~~ adverse affects of climate change have been developed. They include:

- Spreading the risk through representation and replication
- Protecting special and unique sites
- incorporating patterns of connectivity
- Effective management

As one example<sup>128</sup>, Kimbe Bay, located on the north coast of the island of New Britain in the Bismarck Bismarck Sea, Papua New Guinea is a platform pilot site for, ~~where the aim is to establishing~~ a resilient network of MPAs. The vision for Kimbe Bay is to "*Harness traditional and community values to protect and use land and sea resources in ways that maintain the exceptional natural and cultural heritage of the bay*". This will be achieved by working with local communities, governments and other stakeholders to:

- Establish a resilient network of MPAs that is specifically designed to address the threat of climate change.
- Develop a marine resource use strategy, which will address threats from overfishing destructive fishing and hunting of rare and threatened species (dugong and sea turtles)

**Comment [BfN143]:** Please streamline with quotations on page 17 to avoid repetition. Please streamline with page 54.

**Formatted:** Bullets and Numbering

**Comment [w144]:** The definition of MPAs should be updated to recognise the growing acceptance of the role MPAs can play as a conservation tool for marine biodiversity in areas beyond national jurisdiction.

**Comment [BfN145]:** Please add reference and / or clarify who has developed the principles

**Comment [BfN146]:** Please streamline with pages 55 and 62.

- Develop a land use strategy, which will address the threat of runoff from poor land use practices.

### 3. *Restoring and maintaining upland watersheds*

Climate change is leading to increased inland flooding in many regions through more variable rainfall events. Restoring and maintaining ecosystems in upland watersheds, including through the management of soils and vegetation, can contribute to reducing the risk of flooding and ~~the~~ maintaining regular water supplies. Run-off from mountainous areas in small islands is often the major supply of water<sup>129</sup>, and in countries such as the Philippines, watersheds form a critical part of the national economy<sup>130</sup>. Often these watersheds are degraded, and their rehabilitation is one adaptation option<sup>131</sup>.

~~Intact—Wetland ecosystems in w~~Watersheds can reduce flooding and ~~sedimentat~~ ~~deposition~~sedimentation whilst improving water quality downstream. A study of upland forests in a watershed in Madagascar has estimated their flood protection value at \$126,700, and peat bogs in Sri Lanka that buffers floodwaters from rivers have an estimated annual value of more than \$5 million<sup>132</sup>. In the Morogoro region of Tanzania, reduced river flow and increased flooding has been attributed to deforestation in the mountains, and it has been suggested that effective management of soil, forests and water resources are needed as adaptation measures, along with improved social capacity<sup>133</sup>. Ecuador and Argentina have integrated forests and wetlands into their 'living with floods' strategies<sup>134</sup>, and reforestation is recognised as an important option for adaptation in the watersheds of the Philippines<sup>135</sup>. Viet Nam includes measures such as integrated management of watersheds in its disaster reduction planning, along with forest management, and soil and water conservation<sup>136</sup>. Large-scale afforestation projects in China have been carried out with the aim of reducing flooding and increasing water conservation, and countries of Central America are collaborating to protect watersheds and forest<sup>137</sup>.

### 4. *Flood plain restoration*

Climate change is causing an increase in the scale of flooding and dry periods in many flood plains. In some systems dams are no longer a viable adaptation strategy, and in some cases dams have had negative environmental and socio-economic impacts. In these circumstances ecosystem management is an effective adaptation strategy at the river basin scale and an alternative to the development of small-scale dams<sup>138</sup>. In developed countries, cost effective flood reduction strategies that allow re-growth of vegetation alongside rivers and establish vegetation buffers along streams, combined with the reduced development of infrastructure, are being promoted in some areas<sup>139</sup>. Some evidence that this can be an effective strategy has been provided in a modelling scenario exercise, which suggested that a combination of wetland restoration and hard defences provides optimal flood protection<sup>140</sup>. ~~Riparian Restoration of floodplain ecosystems floodplains~~ can also help to reduce the levels of water pollution following extreme events<sup>141</sup>. In Europe, the conservation or restoration of river floodplains has been included in a number of flood reduction strategies<sup>142</sup>, although there are many new river management plans that do not include such measures<sup>143</sup>.

### 5. ~~Contribution—Conserving of agro-biodiversity as a basis for~~ *crop diversification*

Climate change increases the risk of reductions in crop yields. Within a given region, different crops are subject to different degrees of impacts from current and projected climate change<sup>144</sup>. In light of this, the adoption of specific crops or varieties in areas and farms where they were not previously grown are among the adaptation options available to farmers<sup>145</sup>. Further, the use of currently under-utilised crops can help to maintain diverse and more stable agro-ecosystems<sup>146</sup>. Conserving crop diversity in many cases helps maintain local knowledge concerning management and uses of the crops or varieties concerned.

In order to develop climate change resistant crop and livestock varieties and genotypes, such as those resistant to drought, heat stress, disease, and saline conditions, it is critical to maintain agrobiodiversity<sup>147</sup> and to ensure the continued survival of crop wild relatives<sup>148</sup>. Developing new varieties may, in addition to meeting adaptation needs, generate co-benefits in the context of health

**Comment [BfN147]:** Please streamline text to include consistent reference to farm animals.

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and biodiversity conservation and sustainable use. For example, varieties resistant to crop diseases may contribute to the reduction of pesticide use.

## 6. *Changes in agricultural practice*

Changes in climate are expected to increase soil erosion, carbon loss from soil, and fluctuations in soil moisture in arable lands, causing decreased yields. Thus practices that enhance soil conservation and sustainable use and maintain favourable microclimates are important in adaptation in agriculture. These practices can include methods such as: terracing and stone bunding<sup>149</sup>; the use of organic fertilizers, and changes to tillage practices<sup>150</sup>; crop rotation, ~~contour tiling, minimum tillage~~ and the use of vegetation buffer strips<sup>151</sup>; and maintaining cover through plantings or mulches<sup>152</sup>. In drylands, agricultural practices such as the use of shadow crops can enhance resilience by providing protection against extreme rainfall, and increasing infiltration into the soil<sup>153</sup>. Many of these measures reduce the need for nutrient inputs and use of heavy machinery. They also decrease vulnerability to extreme weather events.

Climate change is resulting in higher temperatures in Northeast China. As a consequence the replacement of soybean with rice, which is tolerant of a warmer climate, has become very popular in some former wetlands. Extending rice paddies can in some cases contribute to the restoration of wetland ecosystems, increase local food production, decrease the flood risk in some lowlands, and makes an overall contribution to sustainable development<sup>154</sup>.

**Comment [BfN148]:** Does the case study address the question of methane emissions from rice paddies?

## 7. *Agroforestry*

Agroforestry is a promising option for increasing the resilience of rural communities to climate change. Agroforestry involves the integration of trees into ~~food-crop~~ and animal production areas and includes a diverse range of systems, such as silvopastoral systems, shade-grown perennial crops (e.g., coffee, cocoa, rubber), windbreaks, alley cropping, and improved fallows. Including trees within agricultural systems leads to increased soil conservation, microclimatic buffering and more efficient water use<sup>155</sup>, and thereby helps buffer the impacts of climate change. At the same time, agroforestry systems provide a wide array of products to smallholder farmers, diversifying their production and livelihood options. Agroforestry systems that are floristically and structurally diverse can also provide important biodiversity benefits to smallholder farmers<sup>156</sup>. They can also serve an important role in climate change mitigation by enhancing carbon stocks within the agricultural landscape<sup>157</sup> and, in some cases, reducing pressure on nearby forests, thereby reducing emissions from deforestation.

## 8. *Ecological management in drylands*

Drylands cover more than 40% of the global land surface and are inhabited by a significant proportion of the world's poor and marginalized people<sup>158</sup>. The intensity and frequency of extreme events, both droughts and floods, are ~~predicted-projected~~ to increase under future climate change scenarios. Since widespread technological solutions may be unavailable across these often vast dryland systems, proper land tenure and ecosystem management policies can be particularly effective in helping dryland inhabitants adapt to climate change. For example, climate warming has been shown to decrease ~~the biomass~~ production, plant species diversity and the delivery of key ecosystem services on the grasslands of the Tibetan Plateau. Ensuring that the amount and timing of grazing is appropriate to the seasonal availability of fodder resources can buffer the system from these negative warming effects<sup>159</sup>. More broadly, by reinforcing the traditional strategies pastoralists have developed to deal with climate variability (e.g. mobility, common land tenure, reciprocity, mixed species grazing), in addition to introducing newer techniques (e.g. grass banks, income diversification), the economic, social, and cultural well-being of societies dependent on dryland resources can be supported in the face of climate change<sup>160</sup>.

**Comment [w149]:** insert reference

**Comment [BfN150]:** please clarify – is this what is meant?

## 9. *Increasing the resilience of managed forests*

Evidence suggests that intact<sup>161</sup> forests, particularly primary forests, will be more resistant to climate change than second-growth forests and degraded forests<sup>162</sup>. Management that is closer to natural forest dynamics is, therefore, likely to increase adaptive capacity. Maintaining or restoring species and genotypic diversity in these forests would increase their adaptive capacity when some species or

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genotypes will no longer be suited to the altered environment, and their resistance against spreading pests. In addition, maintaining structural diversity (presence of various successional stages instead of even-aged stands) would increase their resilience and resistance in the face of extreme events (wind-throw, ice/snow damage). At broader scales adaptation can include the maintenance of different forest types across environmental gradients, expansion of the protected areas network, the protection of climatic refuges, the reduction of fragmentation, and the maintenance of natural fire regimes<sup>163</sup>.

#### 10. Increasing the long term ~~benefits-sustainability~~ of reforestation and afforestation ~~benefits~~

Increasing the extent of tree plantations has often been proposed as both a mitigation and an adaptation measures. Forest plantations for carbon storage, however, are ~~generally-usually~~ established using genetically uniform stock with high growth rates, but low adaptive capacity, which will ultimately diminish their ~~capacity-performance~~ in mitigation<sup>164</sup>. For example, the largest monoculture plantation in the American tropics suffered a large scale tree mortality as a result of water stress during the 1997 El Nino<sup>165</sup>. Increasing both genetic and species diversity in managed forest stands is likely to be important to increase forest resilience and resistance, and can be obtained through selecting a mix of species and range of age structures, including those that are likely to be adaptable to future climate conditions<sup>166</sup>.

#### 11. Adaptation in urban areas

Just over half of the global population live in urban areas, and will be exposed to the impacts of climate change mainly through overheating (with higher temperatures expected in cities than in rural areas), flash floods, and extreme weather events<sup>167</sup>, in addition to the impacts of climate change on food and water supplies. 'Structural' adaptation measures in the urban environment can include improved building design (for increased ventilation, shading etc), increased use of air conditioning, and improved drainage through more permeable surfaces<sup>168</sup>.

Biodiversity can also play a role in urban planning through expanses of green areas for cooling, improved use of natural areas for drainage and flood reduction, and urban tree planting for structural integrity and removal of pollutants<sup>169</sup>. 'Urban greening' can improve the microclimate by modifying heat absorption<sup>170</sup>, whereas paving over areas covered by vegetation and water reduces heat loss and increases vulnerability to flooding<sup>171</sup>. Increasing 'blue space' (e.g. lakes and canals) is also recommended for cooling and reduced risk of flooding. There is also a growing interest in using an understanding of ecosystem properties and functioning for the design of energy-efficient buildings and urban planning.

#### 12. Using land management to reduce threats to health ~~from invasive alien species~~

Common ragweed (*Ambrosia artemisiifolia*) is the most important allergenic plant in North America. It is also an invasive alien species causing rapidly increasing health concerns in Europe and China<sup>172</sup>. Increasing CO<sub>2</sub> levels and mean temperatures are predicted to favour its development and pollen production<sup>173</sup>, and facilitate its further range expansion<sup>174</sup>. The species spreads only to disturbed areas (it is a common cropland weed), and natural ecosystems are highly resistant to its invasion. Thus land management has a major role in controlling its abundance<sup>175</sup>. While traditional control measures (chemicals or physical destruction) will remain necessary in intensive croplands, in other areas land-use that decreases disturbance levels and facilitates ecosystem recovery may effectively contribute to limiting ragweed abundance, pollen density, and, ultimately, to reducing negative impacts on human health.

**Comment [BfN151]:** This example is somewhat double-edged, as in other cases reducing threats to health through management of ecosystems may cause significant harm to biodiversity (e.g. draining of wetlands to reduce mosquito populations). Is there a reference to support the potential of decreased land use impacts to limit the spread of ragweed?

#### 13. Public – private partnerships for the conservation of agricultural biodiversity

The Royal Botanic Gardens, Kew and Peruvian national and international partners to restore Southern Peruvian dry forest. The dry forests of south-west Peru provide essential environmental and economic resources in a region supporting over 680.000 people. The project involves work with 3 agro-industrial operations involved in the production of asparagus, table grapes and avocado. The initiatives include establishment on a small biodiversity area within the large industrial plantations: establishment of native vegetation alongside stream beds through farmlands and; experimental irrigation with farm wastewater/ sewage as a means of restoring forest. These measures reduce the

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need for additional irrigation in arid areas. In each case, the project aims to integrate biodiversity into production lands. The three different agro-industrial companies each supply supermarkets in the United Kingdom, where consumer pressure for accredited products is helping suppliers to understand the need to mainstream biodiversity into their sites.

## 2.32.2 IMPACTS OF SOCIETAL ADAPTATION ON BIODIVERSITY

*Climate change adaptation may require tradeoffs, which should be fully considered at all stages of planning*

**Many strategies adopted for adaptation may have negative impacts on biodiversity while ~~some~~ others, including ecosystem-based adaptation, strategies may have positive impacts.** The impacts of adaptation strategies on biodiversity will vary across sectors and will depend on the way in which such strategies are implemented. In most cases there is the potential to minimize negative impacts and maximize negative-positive effects through, for example, the application of applying the Ecosystem Approach as defined by the Conference of the Parties to the CBD or carrying out ecosystem approach and the adoption of strategic environmental assessments. As such, when deciding on measures to address a given climate change impact, e.g. that of drought on agriculture in a certain area, there is usually a range of available options, as illustrated by the table in Annex 3. The suitability of these options (taking into account environmental, social and economic implications) will depend on the site-specific environmental and socio-economic setting. Often, a spatially differentiated combination of measures may be appropriate.

**Comment [w152]:** It would be appreciate it to define and exemplify the concept of such strategies and its scope.

Maladaptation can be broadly defined as an adaptation activity which leads to unintended results. These may include negative effects on other politically agreed targets, such as climate change mitigation, poverty reduction or biodiversity conservation. In a more narrow sense, maladaptation can be defined as an activity which increases vulnerability to climate change impacts rather than reducing it. For example, the draining of coastal wetlands may be adopted as an adaptation strategy to expand agricultural production and ensure food security, however such an activity could reduce breeding and feeding grounds for fish and other marine biodiversity, thereby increasing the vulnerability of marine ecosystems and associated livelihoods such as fisheries.

**Identifying and minimizing potential negative impacts on biodiversity is especially important for small island developing States and Least Developed Countries.** Islands tend to be characterized by high endemic biodiversity, while both islands and least developed countries (LDCs) are socially and ecologically highly vulnerable to climate change. All adaptation activities identified for ~~the other thematic climate change impacts in other areas might also be relevant for islands and LDCs but their implementation may need special considerations. In the case of islands, this is due to their limited size, which does not permit great provide much space for retreat and leads to a high risk for maladaptation, — and/or high reliance on biodiversity resources for livelihoods. Risks for maladaptation may be higher especially on small islands with potentially catastrophic results (extinction). In the case of LDCs, it is due to high reliance on biodiversity resources for livelihoods. In particular, maladaptation can be defined as an activity which increases vulnerability to climate change impacts rather than reducing it. For example, the draining of coastal wetlands may be adopted as an adaptation strategy to expand agricultural production and ensure food security, however such an activity could reduce breeding and feeding grounds for fish and other marine biodiversity, thereby increasing the vulnerability of marine ecosystems and associated livelihoods such as fisheries.~~

**Comment [BfN153]:** Moved to separate paragraph (see above).

**To guide adaptation decisions which maximize positive impacts and minimize negative impacts on biodiversity, the following principles are recommended:**

- *The potential of ecosystem-based adaptation options as contrasted with technical solutions should be fully considered (for illustration, see as outlined in table 2 above and Annex 3).*

**Comment [w154]:** when social/economic values are incorporated in EIA this must be done on the basis of the long term value of environmental elements (consistent with ecosystem approach and section 4).

/...

- *Strategic Environmental Assessment and Environmental Impact Assessment should be applied in order to include in a way that ensures full consideration of all available alternatives, i.e. not be restricted to consideration of different variants of the same technical option (as often happens).*
- *Adaptation decisions should allow for ~~Monitoring~~ and adaptive management approaches; these are a prerequisite for adaptation to succeed, particularly because of the high degree of uncertainty in projections about future impacts on which adaptation decisions are based. The knowledge base with regard to biodiversity especially in developing countries needs to be considerably strengthened.*

Examples of ~~sSector~~—Sector—specific considerations would include adaptation options and biodiversity-relevant considerations:

#### Agriculture

The agricultural sector (including both crop cultivation and livestock production) will have to cope with the multiple stresses of such as higher temperatures, water stress, greater climate variability and frequency of extreme events, changing pest and disease prevalence and saline water intrusion into groundwater. Common responses to these projected impacts could include intensification and use of systems which require greater inputs, such as irrigation and increased amounts of fertilizers and other chemicals. However, these such responses are frequently likely to be maladaptive, for example by increasing soil erosion in the face case of extreme events, leading to eutrophication of water courses or relocating-shifting pressures from agriculture to new areas.

Genetically modified (GM) technologyGenetic modification of organisms may provide traits that aid the adaptation of crops and tree plantations to climate change. However, ~~the use of GMOs also presents risks to biodiversity and contamination of neighbouring crops. The use of~~ GM organisms outside of containment should consider technical, legal, socio-economic and environmental aspects, including potential positive and negative impacts on biodiversity. In this regard, it is important to develop comprehensive, science-based and transparent risk assessments, on a case-by-case basis, and to fully respect the national legislation on the matter<sup>8</sup>.

In many cases, it may be possible to use ecosystem based approaches to adaptation in agriculture (see case study 6 above). Decisions should be guided or to mitigate effects by considering the long-term ecosystem effects of potentially maladaptive approaches. The application of agro-ecological approaches aimed at conserving soil moisture and nutrients, integrated pest management and diversification through the application of multi-cropping or mixed farming systems can increase long-term resilience against climate change impacts and has many co-benefits such as reducing erosion or eutrophication problems.

#### Fresh water management

Major impacts of climate change that need to be addressed in water management include increasing flood risk and increasing risk of drought and change in timing of flow regimes. Common technical approaches to flood risk include the construction of dykes and dams. Technical solutions are also often applied to address problems of water shortage, including the construction of reservoirs and canals, facilities for water diversion and abstraction from rivers, and alterations to river beds to improve shipping capacity during low water periods. Hard structures can have significant

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<sup>8</sup> ~~Modern biotechnology, as defined in the Cartagena Protocol on Biosafety, may be exploited. (Reason for deletion: unclear what this means (according to whom or by whom?))~~ However the use of this modern biotechnology, as defined in the Cartagena Protocol on Biosafety technology should apply the provisions and processes as laid down by the Cartagena Protocol (<http://www.cbd.int/biosafety/>).

environmental impacts, such as destruction or alteration of wetlands, reducing connectivity between lakes, rivers and riparian zones, ~~reduced services in floodplains downstream, reduced flows upstream,~~ and changing sediment flows. Restoration of upland watersheds and floodplain restoration are ecologically viable alternatives that deserve attention (see case studies 3 and 4 above).

In some cases, it may be possible to consider ecosystem-based alternatives, by taking a broad-scale approach to problems that considers impacts at the watershed level, for example. Ecosystem-based alternatives include watershed management to increase the storage of rainwater in wetlands and forests, and agricultural practices that improve the water storing capacities of soils, e.g. by enhancing soil structure and humus content.

## Forestry

## Forestry

There is no universally applicable measure for adapting managed forests to climate change because forest ecosystems, projected disturbances, and ecosystem responses are all highly variable within and among forest biomes and forest types. While forest managers could deploy multiple adaptation measures appropriate for their local situations, many of these measures can have long-term impacts on the system, such as reduced productivity and reduced forest resilience. Possible measures with likely negative consequences for biodiversity could include increased development of plantation forests including those with non-native species, thinning, increased use of herbicides and insecticides to combat pests, and reduced rotation length. Some of the more controversial techniques that could be used include assisted migration of regional tree species, the importation of alien tree species ~~trees~~ or the use of genetically modified tree stock. These latter techniques will result in novel ecosystems and may have impacts on the endemic species of the area.

The negative impacts of adaptation to climate change in managed forests can be reduced through an increased understanding of forest ecosystems and improved application of ~~sustainable the ecosystem approach within~~ forest management<sup>176,177</sup>. In forests managed primarily for production, s~~Sustainable forest management (the ecosystem approach applied in forestry<sup>178</sup>) is the main ecosystem-based tool by which adaptation in forests to climate change should be accomplished an important framework which can support ecosystem-based adaptation to climate change in managed forests (see also case study 9 above).~~ Keeping in mind that forest ecosystems take decades to grow, adaptation to climate change may include applying sustainable management principles based on future conditions to enable long-term resilience of forest systems. For example, reducing a projected increase in wildfires may necessitate occasional prescribed burning to eliminate accumulated fuels. At the same time, however, dead wood structures provide habitats for many species, so removals should be relative to understood threshold volumes of wood to maintain these species. At broader scales, protection of primary forests, reducing fragmentation, and increasing landscape connectivity should be important adaptations to maintain biodiversity.

Although SFM is widely accepted as a framework for managing production forests, there is an acknowledged failure to implement sustainable forest management in many areas of the world<sup>179</sup>. This is one factor limiting the capacity of forests and forest-dependent ~~The current failure to implement sustainable forest management, in many areas of the world, limits the capacity of forests and forest-dependent~~ peoples to adapt to climate change<sup>180</sup>. To meet the challenges of adaptation, commitments to achieving the goals of sustainable forest management must be strengthened at the international, national, and, where appropriate, at the community level. In some cases, new modes of governance may be required that enable meaningful stakeholder participation, especially among local communities, and to provide secure land tenure and forest user rights and sufficient financial incentives.

## ALTERNATIVE PARAGRAPH (Nepenthes)

**Comment [w155]:** There are two concepts that are a bit confused here. "Sustainable forest management" (SFM), which is a broad set of loosely defined principles which attempts to ensure sustainable logging practices, and "sustainable management of forests", which describes the overall management of forests, including zoning of protected areas. These two concepts are distinct and care should be taken to use each one where appropriate.

**Comment [w156]:** The section on *Forestry* within section 2.3 *Impacts of Adaptation on Biodiversity*, has a very one-sided focus on sustainable forest management. Again, sustainable forest management is just one tool in an overall strategy – and should not be a goal in and of itself, as the term can be bent to mean just about anything. We prefer a recommendation of using the CBD's ecosystem approach and emphasis on the protection of primary forests and reduction of fragmentation instead. In the IPCC WG II chapter on ecosystems, the CBD's ecosystem approach is referred to as a tool for increasing resilience while and the importance of avoiding ecosystem fragmentation is underlined.<sup>1</sup>

**Comment [w157]:** CEPF can not see any logical reason for that prescribed occasional burning should be to prefer before sustainable harvesting of dead wood for substituting fossil fuels. And a mix of these actions would probably have the highest potential

The current failure to protect primary forests and avoid fragmentation as well as failure to implement the ecosystem approach in forest management- in many areas of the world- limits the capacity of forests and forest-dependent peoples to adapt to climate change<sup>142</sup>. To meet the challenges of adaptation, commitments to protecting primary forests, reducing fragmentation, and increasing landscape connectivity and expanding the use of the ecosystem approach in forest management must be strengthened at the international, national, and, where appropriate, at the community level. In some cases, new modes of governance may be required that enable meaningful stakeholder participation, especially among local communities, and to provide secure land tenure and forest user rights and sufficient financial incentives.

### Human settlement

Adaptation measures in human settlements will have to be implemented to address ~~severe-extreme~~ weather events, erosion, flooding, and increased heat. While many of these ~~responses-impacts~~ will require ~~responses involving hard development~~ infrastructure, some ecosystem-based measures can be employed (see case study 11 above).

The biggest danger to biodiversity from adaptation measures comes from changes in environmental conditions, including changes in water table level and disturbances to semi-natural habitats ~~and caused by~~ protective hard infrastructure (e.g., dams and dykes). Adaptation strategies to ~~promote~~ reduce negative impacts on biodiversity that can be applied in the urban environment lie predominantly in creating new potential habitats (~~e.g. above all~~ new water bodies, dry and wet polders) as refugia for native plants and animals.

Broad adaptation policy measures include planning activities (long-term strategic planning, spatial planning for flood management, adaptive management policy), reducing other stresses in settlements (e.g. air-borne pollutants) or increasing resilience of urban vegetation to extreme weather. ~~Special adaptation measures to reduce stresses in settlements are required, for example, by enlarging the flood retention capacity (polders) and sustainable drainage and through the construction of small water bodies.~~

### Management of marine Marine and coastal areas

Like other ~~seectorsecosystems~~, marine and coastal areas are already adversely impacted by many stresses, which will be exacerbated by additional climatic change impacts (e.g., sea level rise). Coastal ecosystems ranging from polar regions to Small Island Developing States are essential to, ~~and can enhance~~, our capacity to respond to projected climate change impacts. ~~Societal efforts to adapt therefore requires a holistic approach, which should consider the need to reduce all sources of stresses (human and climatic) on the coastal region without adversely impacting on coastal biodiversity~~

Many proposed strategies to adapt to climate change impacts in coastal regions consider hard infrastructure approaches (e.g., sea walls, dykes, etc.). Such structures often adversely impact natural ecosystems processes by altering tidal current flows, disrupting or disconnecting ecologically related coastal marine communities, disrupting sediment or nutrition flows and may cause stagnation in some contexts. Such structures may also impede successful reproduction of some species (e.g., turtles).

However, societal efforts to adapt require a holistic approach, which should consider the need to reduce all sources of impacts (human & climatic). Approaches to adaptation should also include measures that address needs for coastal area protection while limiting adverse impacts on coastal biodiversity. Ecosystem-based approaches to adapting to climate change offer huge potential for co-benefits in the context of building climate resilient coastal communities (see case study 1). ~~Approaches to adaptation should include measures that address needs for coastal protection, while limiting adverse impacts on coastal biodiversity. Ecosystem-based approaches to adapting to climate~~

**Comment [w158]:** Definitions would be appropriate to determine the scope of the term

/...

~~change offer huge potential for co-benefits by meeting coastal protection objectives, securing climate resilience coastal communities, while ensuring provision of coastal ecosystem services~~ However, this approach is often not considered in favour of engineering approaches which can be site specific in meeting the objective of coastal defense yet more extensive in disrupting ecological services.

### SECTION 3

#### BIODIVERSITY AND CLIMATE CHANGE MITIGATION

*Maintaining natural and restoring degraded ecosystems, and limiting human-induced climate change, ~~represent~~generate multiple benefits for both the UNFCCC and CBD if mechanisms to do so are designed and managed appropriately*

Well-functioning ecosystems are necessary to meet the objective of the UNFCCC owing to their role in the global carbon cycle and their significant carbon stocks. Carbon is stored and sequestered by biological and biophysical processes in ecosystems, which are underpinned by biodiversity. An estimated 2,400 Gt C is stored in terrestrial ecosystems, compared to approximately 750Gt in the atmosphere<sup>181</sup>. Furthermore, in reference to Article 2, 9/ wWell-functioning ecosystems have greater resistance to climate change which will reduce the vulnerability of may carbon stocks.

Maintaining and restoring ecosystems represents an opportunity for win-win benefits for carbon sequestration and storage, and biodiversity conservation and sustainable use. Co-benefits are most likely to be achieved in situations where integrated and holistic approaches to biodiversity loss and climate change are implemented. Many activities that are undertaken with the primary aim of meeting the objectives of the CBD have significant potential to contribute to the mitigation of climate change. Likewise, many activities that are undertaken or being considered with the primary purpose of mitigating climate change could have significant impacts on biodiversity. In some cases these impacts are negative, and there are trade-offs to be considered. An overview of the relevance of different mitigation options is presented in Annex 4. A list of possible win-win activities for the implementation of the UNFCCC and the CBD is provided in Annex 5.

While protected areas are primarily designated for the purpose of biodiversity conservation, they have significant additional value in storing and sequestering carbon. There are now more than 100,000 protected sites worldwide covering about 12 per cent of the Earth's land surface<sup>182</sup>. A total of 312Gt carbon or 15.2 per cent of the global carbon stock is currently under some degree of protection<sup>183</sup> (see Table 3). The designation and effective management of new protected areas, 10/ and strengthening the management of the current protected area network, could contribute significantly to climate change mitigation efforts.

**Table 3: Global terrestrial carbon storage in protected areas**

Protected area category	% land cover protected	Total carbon stored (Gt)	% terrestrial carbon stock in protected Areas
IUCN category I-II	3.8	87	4.2
IUCN category I-IV	5.7	139	6.8
IUCN category I-VI	9.7	233	11
<b>All PAs</b>	<b>12.2</b>	<b>312</b>	<b>15.2</b>

9/ Article 2 of the UNFCCC: "The ultimate objective of this Convention and any related legal instruments that the Conference of the Parties may adopt is to achieve, in accordance with the relevant provisions of the Convention, stabilization of greenhouse gas concentrations in the atmosphere at a level that would prevent dangerous anthropogenic interference with the climate system. Such a level should be achieved within a time frame sufficient to allow ecosystems to adapt naturally to climate change, to ensure that food production is not threatened and to enable economic development to proceed in a sustainable manner."

10/ The Programme of Work on Protected Areas of the CBD (decision VII/28) encourages "the establishment of protected areas that benefit indigenous and local communities, including by respecting, preserving, and maintaining their traditional knowledge in accordance with article 8(j) and related provisions."

**Comment [w159]:** CEPF appreciate that trade-offs and leakage effects, such as that SFM in semi-natural forests decreases the pressure on natural forests are mentioned in this section.

CEPF recommends to handle the climate change mitigation with a more pragmatic view, highlighting the importance of substitution of energy intensive materials and fossil fuels. This would lead to better focus on SFM as climate change mitigation tool.

**Comment [w160]:** It is noteworthy that this section would seem to be focused primarily on actions that should be taken by developing countries in the designation and effective management of new protected areas and conservation of forests, while the section devoted less talk and emphasis to the reduction of greenhouse gases from developed countries, historically responsible of climate change.



**The Ecosystem Approach as defined by the CBD**~~The ecosystem approach 11/~~ is a key tool for maximizing the synergies between implementation of the UNFCCC and the CBD. The ecosystem approach is a strategy for the integrated management of land, water and living resources that promotes the conservation and sustainable use of biodiversity in a fair and equitable manner. It can, therefore, be applied to all ecosystems in order to deliver multiple benefits for carbon sequestration and biodiversity conservation and sustainable use.

**LULUCF activities, including reduced deforestation and forest degradation can, in concert with stringent reductions in fossil fuel emissions of greenhouse gases, limit climate change.**

Given that forests contain almost half of all terrestrial carbon<sup>184</sup>, preliminary studies show that continued deforestation at current rates would significantly hamper mitigation efforts. In fact, if all tropical forests were completely deforested over the next 100 years, it would add about 400GtC to the atmosphere, and increase the atmospheric concentration of carbon dioxide by about 100ppm, contributing to an increase in global mean surface temperatures of about 0.6°C<sup>185</sup>.

**The potential to reduce emissions and increase sequestration from LULUCF activities will be influenced by if and how ecosystems, especially forests, are valued by emissions trading schemes.** The price of carbon is estimated to range from 1.3-4.2 GtCO<sub>2</sub>-eq per year for forestry activities<sup>186</sup>, and 2.3-6.4 GtCO<sub>2</sub>-eq per year for agricultural activities for a price of US\$ 100/tCO<sub>2</sub>-eq by 2030<sup>187</sup>.

#### ALTERNATIVE PARAGRAPH (Nepenthes)

**The potential to reduce emissions and increase sequestration from altered land management practices will be influenced by if and how ecosystems, especially forests, are valued by emissions trading schemes.** In addition, the availability of funding from funds or innovative financial mechanisms, the availability of capacity building and technology, the pricing of ecosystem services other than carbon sequestration and the overall scale and nature of targets adopted by the Parties to the UNFCCC will all influence the mitigation potential of the land sector and in particular the forest sector.

**Balancing mitigation with natural adaptation of ecosystems would benefit from the consideration of a wide range of different forest types.** Intact primary forests contain the greatest carbon stocks as well as harbouring the highest biodiversity and have the highest resilience to climate change.<sup>188</sup> Modified natural forests (i.e. those that have been logged or degraded through other land use activities) have lower carbon stocks<sup>189</sup>, less biodiversity and less resilience than primary forests<sup>190</sup>. Plantation forests may store and sequester considerable amounts of carbon but are not as beneficial for biodiversity conservation as natural forests. Among plantations types, those which comprise diverse mixtures of native species have potential for maintaining more biodiversity than those comprising monocultures or exotic species **and will also reduce the risk of non-permanence**. In order to maximize the contribution of existing mitigation policies to both climate change mitigation and biodiversity conservation and sustainable use, such differences between forest types should be taken into account as outlined in Table 4 below **and the protection of primary forests should be prioritized**.

**Table 4: Different carbon 12/ and biodiversity benefits of main forest types**

Forest type <sup>13/</sup>	Biomass Carbon	Carbon sequestration potential	Biodiversity	Value of ecosystem
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11/ The main principles of the ecosystem approach focus on capacity building; participation; information gathering and dissemination; research; comprehensive monitoring and evaluation; and governance. Advantages of the ecosystem approach include: stakeholder participation; consideration of both scientific and technical and traditional knowledge; and the achievement of balanced ecological, economic and social costs and benefits. A review of the application of the ecosystem approach conducted by the CBD revealed many opportunities to strengthen ongoing efforts including: developing standards for application; adopting simplified and improved marketing approaches; and capacity building at all levels.

12/ Referring to total ecosystem carbon.

13/ Forest definitions are a simplified version of FAO classification.

**Comment [w161]:** suggest rewording as: Altered land management practices or Land use management activities

**Comment [w162]:** Not necessarily true. There is no existing example in any compliance ETS to indicate this and a variety of positive incentives options are still being considered, not just markets and credits.

**Comment [w163]:** Suggest this table be strengthened by emphasising the difference in ecosystem services/biodiversity values over time i.e. to reflect that forest when modified/plantations established is, in many cases for extensive periods and depending on management, biodiversity 'depauperate' and a net user of ecosystem services in the landscape, particularly requiring high water inputs and disturbing services such as pollination and habitat values. Depending on rotation length some of these values (esp. for species requiring old growth conditions) will never be recovered.

Table 4 could also be further strengthened by including figures that show greenhouse gas accumulation in modified forests through time (carbon sequestration potential), in new growth and storage in timber products. The biomass carbon stock is a 'point in time' comparison and not particularly useful.

	stock <sup>14/</sup>			services
Primary forest	+++	++(+)	+++	+++
Modified natural forest	++	++	++	++
Plantations (indigenous species)	+	+++ (depending on species used and management)	+(+)	+
Plantations (exotic species)	+	+++ (depending on species used and management)	+	(+)

**Different forest landscapes require different ~~LULUCF~~ mitigation approaches.** Three forest landscape contexts can be broadly identified: 1) largely intact forested landscapes; 2) landscapes where forests have already been largely cleared and degraded; and (3) forested landscapes subject to ongoing clearing and degradation. In general terms, mitigation in category (1) landscapes can be best achieved through avoiding emissions by protecting existing carbon stocks; in category (2) by growing new carbon stocks; and in category (3) by reducing emissions from deforestation, degradation and land-use change. Each type of ~~LULUCF~~ activity varies in its potential benefits and risks to biodiversity conservation (see Annex 4) although each activity can also be designed and implemented in ways that enhance the potential benefits to biodiversity and reduce potential negative impacts.

**Reducing deforestation and forest degradation has the potential to contribute considerably to the objective of allowing ecosystems to adapt naturally to climate change. REDD enhances resilience of ecosystems, which in turn enhances adaptation to climate change impacts.** In order to enhance the contribution of ~~reduced deforestation and forest degradation~~ REDD to adaptation, ~~activities could be prioritized, objectives could include: which~~ minimiz<sup>ing</sup>e fragmentation, maximiz<sup>ing</sup>e resilience and ~~aid in the maintenance~~ maintain<sup>ing</sup>ee of corridors and ecosystem services. This could be achieved in particular through maintaining connectivity of forest protected areas and other forests, at a landscape level.<sup>191</sup>

*The conservation of existing primary forests where there is currently little deforestation or degradation occurring, is critical both for protecting carbon stocks and preventing future greenhouse emissions, as well as for conserving biodiversity*

**Significant emissions can be avoided through initiatives that lead to conservation <sup>15/</sup> in largely intact forested landscapes.** Most of the biomass carbon in a primary forest is stored in older trees or the soil<sup>192</sup>. Land-use activities that involve clearing and logging reduce the standing stock of living biomass carbon, cause collateral damage to soil and ~~dead biomass carbon~~, and have also been shown to reduce biodiversity and thus ecosystem resilience<sup>193</sup>. This creates a carbon debt which takes decades to centuries to recover, depending on initial conditions and the intensity of land use<sup>194</sup>. ~~Avoiding future emissions from existing carbon stocks in tropical and some temperate natural forests, especially primary tropical forests, can be achieved through a range of means including (a) designating protected areas, (b) conservation agreements, easements and concessions (c) establishing biological corridors that promote conservation in a coordinated way at large scales across land tenures, (d) establishing payments for ecosystem services including carbon sequestration and storage, (e) special financial incentives to compensate land owners, stewards and Indigenous peoples on their traditional lands, for opportunity costs associated with forgoing certain kinds of development, and (f) promoting forms of economic development that are compatible with the conservation and sustainable use of biodiversity.~~

**Comment [w164]:** suggest rewording as: **altered land management practices**

**Comment [w165]:** Suggest refer to work of Lindenmeyer etc. on the importance of integrating management of forest remnants in areas managed for production forestry. Authors may also wish to consider incorporating a summary of the findings of Keith, Mackey and Lindenmayer 'Re-evaluation of forest biomass carbon stocks and lessons for the world's most carbon-dense forests' PNAS Early Edition [www.pnas.org/cgi/doi/10.1073/pnas.0901970106](http://www.pnas.org/cgi/doi/10.1073/pnas.0901970106).

**Comment [w166]:** What is this? Litter? Deadwood?

**Comment [w167]:** don't understand this emphasis on location of forests in this context - principles would apply regardless of location. See also Keith et al on carbon stocks in cool temperate forests (suspect statement is based on assumption that tropical forests 'best' carbon sinks). Also, future emissions can be avoided and reversed under point (f) by implementing REDD strategies, including sustainable forest management.

**Comment [w168]:** (a) is achieved through (b)

<sup>14/</sup> Plantation forests store less carbon because stands are usually harvested at a relatively young age, and young trees store less carbon than older trees. Also, timber harvesting causes emissions from collateral damage to living and dead biomass and soil carbon. This is also why modified natural forests store less carbon than primary forests.

<sup>15/</sup> "Conservation" is considered in terms of avoiding emissions from extant natural forest carbon stocks by preventing the introduction of land use activities that would cause emissions.

*In natural forest landscapes that have already been largely cleared and degraded, mitigation and biodiversity conservation can be enhanced by growing new carbon stocks which, through the use of mixed native species, can yield multiple benefits for biodiversity*

Reforestation can make a significant contribution to enhancing forest carbon stocks and biodiversity within landscapes that have been largely deforested and degraded<sup>195</sup>. Reforestation can involve the restoration of a permanent, semi-natural forest rather than a plantation forest, make use of remnant natural forests or use an appropriate mix of native species<sup>196</sup>. Reforestation activities on long converted land can also supply sustainable wood products thereby relieving the pressure to extract them from more mature natural forests.

Afforestation<sup>16</sup> can have positive or negative effects on biodiversity conservation, depending on the design and management. Afforestation that converts non-forested landscapes with high biodiversity values (e.g. heathlands, native grasslands, savannas) and/or valuable ecosystem services (e.g. wetlands), increase threats to endemic biodiversity through *inter alia* habitat loss, fragmentation and the introduction of alien invasive species. Afforestation activities can help to conserve biodiversity, if they: convert only degraded land or ecosystems largely composed of exotic species; include native tree species; consist of diverse, multi-strata plantations; have minimal disturbance, and are strategically located within the landscape to enhance connectivity.<sup>197</sup>

*In forest landscapes subject to ongoing clearing and degradation, mitigation and biodiversity conservation can be best achieved by reducing deforestation and forest degradation addressing the underlying drivers of deforestation and degradation through the sustainable management of forests and through forest restoration*

In landscapes currently subject to unsustainable land use activities, sustainable forest management (SFM) can ~~make an important contribution~~ contribute to reducing emissions and enhancing carbon stocks as well as biodiversity conservation and sustainable use. SFM refers to a tool kit of forest management activities that emulate natural processes. These tools include planning for multiple values, planning at appropriate temporal and spatial scales, suitable rotation lengths, often decreasing logging intensities, and reduced impact logging that minimizes collateral damage to ground covers and soils. The application of internationally accepted principles of sustainable forest management that have been established can maximize the realization of multiple benefits outside of largely intact primary forest landscapes. Relative to conventional commercial logging, SFM can also improve biodiversity conservation in a forest, and better deliver other related ecosystem services. Given that many landscapes contain a mix of categories of use a combination of conservation (on largely intact forested land) and SFM (on land subject to deforestation and degradation) will be needed to maximise mitigation efforts.

#### ALTERNATIVE PARAGRAPH (Nepenthes)

Addressing the underlying drivers of deforestation and degradation will require a variety of approaches. These depend on local, regional and national circumstances and include both economic and non-economic incentives and activities, such as the ones described in section 4.3 below.

Implementing REDD 17/ activities in areas of high carbon stocks and high biodiversity can promote co-benefits for climate change mitigation and biodiversity conservation and sustainable use. Several tools and methodologies to support biodiversity benefits are available or under development. The national gap analyses carried out by Parties under the Programme of Work on

**Comment [w169]:** list of provisos should include that the benefits of afforestation (on degraded land) should be balanced against the possible restoration of non-forest biodiversity/ecosystem services which rely on non-forest vegetation. Afforestation incentives should recognise not only the perverse risk of conversion on non-forested landscapes as discussed in this para, but also the risk of 'step wise' conversion e.g. grassland to cropland to forest to avoid these proscriptions.

**Comment [w170]:** Is this intended to refer to unsustainable forestry activities or all unsustainable land management? It would be more appropriate to have it as a general reference than an industry specific one.

**Comment [w171]:** estimates of how much SFM can reduce emissions are typically low (e.g. Blaser & Robledo, 2008) estimate SFM can reduce 6.6 GtC to 2030, which is approximately 3 % of current emissions.

**Comment [w172]:** The draft needs to define 'conventional' commercial logging as in some countries this means SFM

**Comment [w173]:** Link between header and text not clear. There are Hi C and Hi BioD areas, then there are tools and methods for all forest areas. Examples of these?

<sup>16</sup> Afforestation here means the conversion of land that has not had forest cover for a very long time, if ever.

<sup>17/</sup> It is intended to use terms and definitions in this document consistently with UNFCCC decisions 2/CP.13 (REDD) and 1/CP.13 (Bali Action Plan). Suggestions are made without any attempt to pre-empt ongoing or forthcoming negotiations.

Protected Areas of the CBD can be a valuable tool for identifying areas for the implementation of REDD schemes, in particular regarding the identification of priority forest areas for REDD activities at national level. It is important for future REDD schemes to consider full stakeholder engagement in order for the work to be effective. This includes mechanisms to share incentives with direct users of biodiversity, who may reside in Protected Areas or areas identified for REDD schemes.

*The specific design of potential REDD mechanisms can have important impacts on biodiversity*

In order to maximize synergies and to minimize parallel discussions and potential conflicts avoid conflict between the implementation of the CBD and the UNFCCC, biodiversity considerations could, to the extent possible and in accordance with decisions taken by the Parties to the UNFCCC, be taken into account in the development of the REDD methodology. Standards, indicative guidelines and criteria taking into account biodiversity conservation could be developed<sup>198</sup>.

REDD methodologies based only on assessments of deforestation rates could have significant and often negative impacts on biodiversity conservation. In particular the question of whether gross deforestation or net deforestation <sup>18/</sup> is considered is important in this context. The use of net rates could hide the loss of mature (i.e. primary and modified natural) forests by their replacement *in situ* or elsewhere with areas of new forest growth. This could be accompanied by significant losses of biodiversity.

Addressing forest degradation is important because degradation results in biodiversity loss, decreased forest resilience to disturbances, and often leads to deforestation<sup>199</sup>. Monitoring to detect the severity and extent of forest degradation is therefore a key issue which needs further development.

Both intra-national and inter-national displacement of emissions under REDD can have important consequences not only for carbon, but also for biodiversity. While it often matters little where deforestation or degradation occurs from a carbon perspective, defining REDD eligible areas without considering biodiversity could displace deforestation to higher biodiversity valued forests.

*While it is generally recognized that REDD holds potential benefits for forest-dwelling indigenous and local communities, a number of conditions would need to be met for these benefits to be achieved*

The implementation of the UN Declaration on the Rights of Indigenous Peoples is key to delivering benefits from REDD for Indigenous Peoples. While it is generally recognized that REDD holds potential benefits for the livelihoods of forest-dwelling indigenous and local communities (ILCs), a number of conditions would need to be met for these co-benefits to be achieved. Indigenous peoples are unlikely to benefit from REDD where they do not own their lands; if there is no principle of free, prior and informed consent concerning the use of their lands and resources; and if their identities are not recognised or they have no space to participate in policy making processes as outlined in Table 5 below.

There is a need for capacity building on indigenous issues and rights, both on the side of governments, as well as Indigenous people and local communities. This needs to include education and awareness raising. Indigenous to Indigenous transfer of knowledge and capacity building.

**Table 5: Overview of key challenges and opportunities for indigenous and local communities**

Issue	Biodiversity implications	Climate Change implications
Recognition of rights	Land tenure gives ILCs opportunities to manage and protect biodiversity on which they rely for their livelihoods and culture.	Security of land tenure avoids deforestation.

<sup>18/</sup> Net deforestation (net loss of forest area) is defined in the FAO Global Forest Resources Assessment 2005 as overall deforestation minus changes in forest area due to forest planting, landscape restoration and natural expansion of forests.

**Comment [w174]:** Parties of the UNFCCC are discussing national level implementation of REDD, not just particular areas of a country/region .

**Comment [w175]:** not necessarily

**Comment [w176]:** A national approach is expected to take care of this concern.

**Comment [w177]:** The implementation of UNDRIP is separate from implementing of UNFCCC.

**Comment [w178]:** Ownership of land by indigenous groups, while it can facilitate the implementation of REDD strategies and simplify the distribution of benefits, is not a necessary criteria for co-benefits to be achieved in REDD projects. In countries like India for instance, numerous tribal groups live in forests with high biodiversity rates, low overt deforestation and high degradation. Joint forest management activities (eg. NTFP collection, reforestation, etc) that are collectively practiced by such indigenous communities can be captured as co-benefits under a REDD project even if land is owned by the state. Financial benefits that flow from carbon contracts can be distributed to these communities to encourage alternative and sustainable livelihoods, prevent poaching, improve well-being, etc.

Moreover, stating that co-benefits from REDD are tied to ownership of land overlooks the potential for countries with high degradation to avail of REDD, as it implies that where land is not owned by indigenous groups and degradation is prevalent co-benefits cannot result. This is one of the main reasons why a country like India sees REDD as having no applicability for its forests and for the development of people since they do not own the land.

Conditions for co-benefits for forest dwellers from REDD include awareness, consent, participation, creation of village level institutions, transparency and inclusion of women in design of project strategies

**Comment [w179]:** What relation does this have to climate change mitigation and biodiversity conservation?

**Comment [w180]:** Not clear.

**Comment [w181]:** Recognition of rights has wider connotations than just land tenure in terms of positively affecting biodiversity. Security of land tenure could improve conservation if ILCs are willing to participate, change practices, organize to manage resources, etc. But this box should include participation, awareness generation, enabling dialogue with officials and so on as equally important elements of rig... [6]

**Comment [w182]:** Security of land tenure does not necessarily result in avoided deforestation. In some cases due to capture by elite, corruption and so on, this can have negative impacts when the state is withdrawn. Secondly, even if deforestation is avoided due to land tenure this do... [7]

Governance and Equity	Free, prior and informed consent is key to the effective management of biodiversity by ILCs in so far as it facilitates decision making based on traditional structures, addresses the lack of law enforcement, poor forest management and avoids perverse incentives.	Mitigation strategies presently do not take into account ILC processes or the possible negative impacts on ILCs.  Free, prior and informed consent of ILCs could improve the effectiveness of REDD.
Policy	Policies developed with the effective participation of ILCs are more likely to be supported by them and contribute to biodiversity conservation.  ILCs concept of forest management based on traditional knowledge can contribute to the global and national debate on the conservation and sustainable use of forest biodiversity.	Policies developed with the effective participation of ILCs are more likely to be supported by them.  ILCs concept of land and forest management based on traditional knowledge can contribute to the global and national debate on REDD
Gender	Women and Elders hold valuable knowledge on forest biodiversity which should be safeguard and promoted with their prior informed consent.	Women and Elders hold valuable knowledge on climate change impacts in forests and possible response activities which should be safeguarded and promoted with their prior informed consent.
Other issues	<p>Concessions for forestry and extractive industries may be avoided.</p> <p>Opportunity to refocus attention and policies on forest conservation gain support for land tenure and land titling processes.</p> <p>Law and policies and their implementation may be improved.</p>	

**Comment [BfN184]:** Please clarify meaning.

**Comment [w183]:** Not clear what the link with ILC is.

*The implementation of a range of appropriately designed land-management activities can result in the complementary objectives of the maintenance and potential increase of current carbon stocks and the conservation and sustainable use of biodiversity*

**Sustainable land management activities, including the restoration of degraded lands can yield multiple benefits for carbon, biodiversity and livelihoods.** Restoring degraded land and implementing activities to maintain existing productivity can be cost-effective mitigation options with potential to offset 5 to 15 per cent of the global fossil-fuel emissions per year<sup>200</sup>. In particular, restoration of degraded cropland soils may increase crop yield, while contributing to the conservation of agricultural biodiversity, including soil biodiversity. Key examples of activities that can deliver multiple benefits include conservation tillage and other means of sustainable cropland management, sustainable livestock management, agroforestry systems, restoration of peatlands and other wetlands, and maintenance of natural water sources and their flows (see annex 2-5 for further information). It should be noted that all of these activities integrated to some extent within the decisions of the Convention on Biological Diversity.

*Climate mitigation policies are needed to promote the conservation and enhanced sequestration of soil carbon, including in peatlands and wetlands, which is also beneficial for biodiversity*

**Carbon stored in soil accounts for a high percentage of the carbon stored in terrestrial ecosystems.** In fact, global soil organic carbon has a sequestration potential 0.6-1.2 GtC/yr<sup>201</sup>. Recent studies have suggested that there are almost 100 GtC stored in North American Arctic soils alone<sup>202</sup>. Furthermore, a recent global assessment of peat has estimated that peatlands store 550Gt of carbon<sup>203</sup>.

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However, this could be an underestimate as peat depth estimates are still uncertain. Furthermore, ecosystems have the potential to store significantly more carbon than they currently do, as many of their carbon stocks are depleted below their natural carbon carrying capacity due to land use history, especially in temperate zones.

Human disturbances such as drainage for agriculture or forestry have transformed many peatlands from being a sink of carbon to a source in large areas. In particular this is the case in tropical peatlands with 600 Mt carbon dioxide emissions per year in South-east Asia (excluding peat fires), in particular in Indonesia due to large scale logging of peat swamp forests and drainage for plantations<sup>204</sup>. In Annex I countries total peatland emissions amount to around 900 Mt carbon dioxide per year<sup>205</sup>. There is significant and cost-effective potential to reduce emissions from peatland degradation by the restoration of drained peatlands. As many peatlands are naturally forested, an agreement to reduce emissions from deforestation and degradation should encompass both the above ground and below ground biomass of natural forests.

**Climate mitigation efforts that promote the conservation and enhanced sequestration of soil carbon may be also beneficial for biodiversity.** The loss of soil carbon is largely due to land conversion, changes in land use, and a warming climate. Conversion of native ecosystems, such as forests or grasslands, to agricultural systems almost always results in a loss of soil carbon stocks, as cultivated soils generally contain 50-75% less carbon than those in natural ecosystems, and native vegetation provides a greater source of organic carbon into soil forming processes. Furthermore, human disturbances such as drainage for agriculture or forestry have transformed many peatlands from being a sink of carbon to a source in large areas. It is also important to consider the impacts of other LULUCF activities on soil carbon. For example, afforestation can have both negative and positive impacts on soil carbon stores, depending on disturbance regime.

*There is a range of renewable energy sources which can displace fossil fuel energy, thus reducing greenhouse gas emissions, with a range of potential implications for biodiversity and ecosystem services*

**Renewable energy sources, including onshore and offshore wind, solar, tidal, wave, geothermal, biomass and hydropower, and other non-carbon based sources of energy such as and nuclear power, can displace fossil fuel energy, thus reducing greenhouse gas emissions, with a range of potential implications for biodiversity and ecosystem services.** The impacts on biodiversity and ecosystem services of wind, solar, tidal, geothermal, biomass, wave and nuclear energy are dependent on site selection and management practices.

**While bioenergy may contribute to energy security, rural development and avoiding climate change, there are concerns that, depending on the feedstock used and production schemes, many first generation biofuels (i.e., use of food crops for liquid fuels, i.e., bio-ethanol or bio-diesel) are contributing to rising food prices, accelerating deforestation with adverse effects on biodiversity, and may not be reducing greenhouse gas emissions.** Biofuel production can have considerable adverse consequences on biodiversity (genetic, species and landscape levels) and ecosystem services when it results in direct conversion of natural ecosystems or the indirect displacement of agricultural land into natural ecosystems<sup>206</sup>. However, biofuels can contribute to greenhouse gas savings and avoid adverse impacts on biodiversity, soils and water resources by avoiding land-use changes, in particular on land designated as of high conservation and sustainable use value. Advanced generation technologies will only have significant potential to reduce greenhouse gas emissions without adversely affecting biodiversity if feedstock production avoids, directly and indirectly, loss of natural ecosystems, or uses native grasses and trees on degraded lands. Evaluation of the environmental and social sustainability of different sources of biofuels could be achieved through the development and implementation of robust, comprehensive and certifiable standards. <sup>19/</sup>

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<sup>19/</sup> The expert from Brazil disassociated himself from this section.



#### **ALTERNATIVE PARAGRAPH (Australia)**

While bioenergy can contribute to energy security, rural development and avoiding climate change, there are concerns that, depending on the feedstock used and production schemes, ~~many~~ some first generation biofuels (i.e., use of food crops for liquid fuels, i.e., bio-ethanol or bio-diesel) are contributing to rising food prices, accelerating deforestation with adverse effects on biodiversity, and may not be reducing greenhouse gas emissions. Biofuel production can have adverse consequences on biodiversity (genetic, species and landscape levels) and ecosystem services when it results in direct conversion of natural ecosystems, or the indirect conversion of natural ecosystems into agricultural land. However, biofuels can contribute to greenhouse gas savings and avoid adverse impacts on biodiversity, soils and water resources by avoiding, directly and indirectly, loss of natural ecosystems. Evaluation of the environmental and social sustainability of different sources of biofuels could be achieved through the development and implementation of certifiable standards, recognising the inherent complexity and difficulties involved in developing such standards and comparing their findings.”

**Hydropower, which has substantial unexploited potential in many developing countries, can potentially mitigate greenhouse gas emissions by displacing fossil fuel production of energy, but large-scale hydropower systems often have adverse biodiversity and social effects<sup>207</sup>.** Dam and reservoir design is critical to limiting: (i) the emissions of carbon dioxide and methane from decomposition of underlying biomass, which can limit the effectiveness of mitigating climate change; and (ii) adverse environmental (e.g., loss of land and terrestrial biodiversity, disturbance of migratory pathways, disturbance of upstream and downstream aquatic ecosystems, and fish mortality in turbines) and social impacts (e.g., loss of livelihoods and involuntary displacement of local communities). The environmental and social impacts of hydropower projects vary widely, dependent upon pre-dam conditions, the maintenance of upstream water flows and ecosystem integrity, the design and management of the dam (e.g., water flow management) and the area, depth and length of the reservoir. Run of the river ~~and small~~ dams typically have fewer adverse environmental and social effects. Sectoral environmental assessments can assist in designing systems with minimum adverse consequences for ecological systems.

**Artificial ocean fertilization has been promoted and exposed to early testing as a technique to increase the uptake of atmospheric carbon dioxide, but it is increasingly thought to be of limited potential<sup>208</sup> and may have adverse environmental consequences.** The potential of ocean fertilization to increase the sequestration of carbon dioxide with limiting nutrients such as iron or nitrogen, is highly uncertain and increasingly thought to be quite limited, and there are potential negative environmental effects including increased production of methane and nitrous oxide, de-oxygenation of intermediate waters and changes in phytoplankton community composition, which may lead to toxic algae blooms and/or promote further changes along the food chain<sup>209/210</sup>.

**The biological and chemical implications of deep sea injection of carbon dioxide, associated with carbon capture and storage, are at present largely unknown,** but could have significant adverse consequences for marine organisms and ecosystems in the deep sea. Leakage from carbon storage on the sea bed could increase ocean acidification, which could have large-scale effects on marine ecosystems, including coral reefs.

**The long-term stability of “biochar” in soils is, as yet, unknown and large-scale development could result in additional land-use pressures.** The effectiveness and long term stability of biochar in soils has not yet been established<sup>211</sup>. Large-scale deployment of biochar may require significant amounts of biomass, creating the need for additional lands to grow biomass and thus creating additional land-use pressures.

**In addition to direct impacts of mitigation activities (LULUCF, renewable energy technologies and geo-engineering) on biodiversity there may be significant indirect impacts which require further research.** There is also potential for new mitigation technologies to be developed with either positive, neutral or negative impacts on biodiversity.

**Comment [w185]:** The draft would benefit from a more rounded treatment both of the diversity of production methods used, and the diversity of agri-environmental contexts in which that production occurs. This section would also benefit from some re-drafting to improve clarity and readability, particularly in relation to clauses such as, ‘indirect displacement of agricultural land into natural ecosystems.’

Turning to the issue of ‘advanced generation technologies’, the report suggests that the next generation of biofuels can only meet environmental objectives if it avoids any loss of natural ecosystems, or uses native grasses and trees on degraded lands. This latter option is unjustifiably prescriptive, as some countries may choose to use other kinds of feed stocks on different kinds of terrain, depending on their assessment of the agri-environmental conditions that exist in a particular area. The advice also seems to leave no room for future developments in biofuel technology.

Finally, the endorsement of ‘*robust, comprehensive and certifiable standards*’ for biofuels needs a more careful treatment. Standards are based on a range of environmental, social and economic criteria, and these will vary according to the type of standard and development process. Different standards will therefore produce different results. This means that there is potential for confusing and even misleading information to be provided to governments, industry and consumers in relation to a product’s environmental sustainability. These complexities and risks should be acknowledged in any recommendation to introduce certifiable standards for biofuels.

The draft report could also note the work of the United Nations Committee on Trade and Development that highlighted the opportunities biofuels may present to developing countries if accompanied by a fair criteria-development process characterised by widespread participation, transparency, and consideration of certification capacity building in developing countries.



## SECTION 4

### VALUATION AND INCENTIVE MEASURES

#### 4.1 VALUING BIODIVERSITY AND ECOSYSTEM SERVICES

**The valuation of ecosystem services should be seen within the broader context of an ecosystem approach to adapting to climate change.** This section<sup>20</sup> describes methodologies for analyzing the social, cultural and economic value of biodiversity and ecosystem services in supporting adaptation in communities and sectors vulnerable to climate change using the conceptual framework developed by the Millennium Ecosystem Assessment (MA), which links direct and indirect drivers of change to ecosystem services to elements of human well-being. In reality, valuation typically focuses on the economic values of ecosystem services generated by biodiversity that benefit humans rather than biodiversity as such.

**Ecosystems provide humans with a vast diversity of benefits such as food, fibre, energy, clean water, healthy soils, pollinators, and many more.** Though our well-being is dependent upon the continued flow of these “ecosystem services” as outlined in box 3, many are public goods with no markets and no prices, so are typically not taken into account in current economic decision-making. As a result, biodiversity is declining, our ecosystems are being continuously degraded and society, in turn, is suffering the consequences.

**Valuation techniques are important to ensure that the true value of ecosystems and their services provided are taken into account when estimating the impact of human-induced climate change on ecosystems.** Informed decisions should evaluate the implications of any decision on all ecosystem services and estimate the value of changes in the services that result.

##### *Box 3: Ecosystem Services*

**Definition:** The MA developed a comprehensive categorization of ecosystem services, which include: (i) provisioning services, e.g., food, fibre, fuel, biochemicals, natural medicines and fresh water supply; (ii) regulating services, e.g., regulation of the climate, purification of air and water, flood protection, and natural hazard regulation; (iii) cultural services, e.g., cultural heritage, recreation, tourism and aesthetic values; and (iv) supporting services, e.g., soil formation and nutrient cycling.

**Contribution to Human Well-being:** Ecosystem services contribute directly and indirectly to human well-being by: (i) providing natural resources for basic survival, such as clean air and water; (ii) contributing to good physical and mental health, for example, through access to green spaces, both urban and rural, and genetic resources for medicines; (iii) providing fundamental natural processes, such as climate regulation and crop pollination; (iv) supporting a strong and healthy economy, through raw materials for industry and agriculture or through tourism and recreation; and (v) providing social, cultural and educational benefits, as well as well-being and inspiration from interaction with nature.

**Given that the application of many valuation techniques is costly and time-consuming, and require considerable expertise, a cost/benefit criterion should be applied, as appropriate, to the valuation study itself:** in principle, they should be applied when the anticipated incremental

**Comment [BfN186]:** Please rephrase, avoiding the wording “an ecosystem approach” as this will add to confusion over use of terms. Either refer to the CBD Ecosystem Approach or refer more broadly to “an integrated approach”.

**Comment [w187]:** the valuation methods for environmental services are based, among others, in the DEFRA report (“An Introductory Guide to Valuing Ecosystem Services”), an inadequate source to sustain the vision of developing countries. These valuation methods have been devised by developed countries to be applied, in principle, in accordance to its objectives and capabilities. Therefore, caution should be assessed on the application of these methods to developing countries which could not access the quantity and quality of data in the same way as the richer economies. In addition, certain valuation methods could help to defend concepts held by some developed countries such as the multifunctionality of agriculture that could lead to the implementation of distorting trade subsidies.

**Comment [w188]:** This advice is circular, and has apparently been added in response to the previous, without qualifying that text. CBA is conventionally used in assessing major proposals, however usually fails to take into account issues such as intergenerational equity, cumulative effects and risk. Qualitative assessments, provided they take into account the full range of values, may in some cases indicate which option/s is/are appropriate/inappropriate, particularly in light of principles in previous sections. Further work may be needed on developing guidelines for decision making where full cost-benefit analysis would be too costly or time-consuming.

<sup>20</sup> This text of this section is largely based on language used in the Defra report, An Introductory Guide to Valuing Ecosystem Services; and the approaches and philosophy promoted by the Millennium Ecosystem Assessment (MA), The Economics of Ecosystems and Biodiversity (TEEB), the CBD Technical Series 29; and decisions VI/15 and VIII/25 of CBD COP.

(including long-term) improvements in the decision are commensurate with the cost of undertaking the valuation study.

**Economic techniques for valuing ecosystem services are typically applied within a cost-benefit analysis or a cost-effectiveness analysis, whose results would otherwise be incomplete whenever relevant external costs and/or benefits are present.** Cost-benefit analysis estimates the difference between the costs and benefits of a particular decision, e.g., the costs of a particular adaptation action compared to the benefits that would accrue from action, whereas cost effectiveness analysis assesses the costs of different actions to achieve a particular outcome, e.g., to protect a particular coastal region. These economic analyses should in turn be applied within broader decision-making frameworks which go beyond mere economic logic, such as environmental impact assessments (EIA), strategic environment assessments (SEA), life-cycle analysis (LCA), risk assessment, and multi-criteria analysis.

**Accounting for the value of biodiversity and the ecosystem it supports, is important for the decision making process, and for the provision of appropriate incentives for societal adaptation to climate change.** One issue that has engendered endless debate is the choice of discount rate. Different choices of discount rate lead to very different estimates of the damage costs of climate change on biodiversity and ecosystems, and the relative costs and benefits of different strategies<sup>21</sup>.

**There are many methodologies available for estimating the economic valuation of ecosystem services. Methods for eliciting values should use a combination of economic and non-economic valuation methods as appropriate to the context of the decision** as outlined in Annex 6. The appropriateness of various methodologies is determined by the biodiversity beneficiary (local versus global, private sector versus non-profit, etc) and the types of biodiversity benefits realized (direct versus indirect use values; use versus non-use values). A common feature of all methods of economic valuation of ecosystem services is that they are founded in the theoretical axioms and principles of welfare economics. These measures of change in well-being are reflected in people's willingness to pay for changes in their level of use of a particular service or bundle of services.

**Regardless of the methodology employed, the interim report of TEEB outlined nine key principles of best practices for ecosystem valuation including:**

- The focus of valuation should be on marginal changes rather than the "total" value of an ecosystem;
- Valuation of ecosystem services must be context specific, ecosystem-specific and relevant to the initial state of the ecosystem;
- Good practices in benefit transfers need to be adapted to biodiversity valuation, while more work is needed on how to aggregate the values of marginal changes;
- Values should be guided by the perception of the beneficiaries;
- Participatory approaches and ways of embedding the preferences of local communities may be used to help make valuation more accepted;
- Issues of irreversibility and resilience must be kept in mind;
- Substantiating bio-physical linkages helps the valuation exercise and contributes to its credibility;
- There are inevitable uncertainties in the valuation of ecosystem services, so a sensitivity analysis should be provided for decision makers; and;
- Valuation has the potential to shed light on conflicting goals and trade-offs but it should be presented in combination with other qualitative and quantitative information and may not be the last word.

**Comment [w189]:** Key issue here of the way in which conventional discounting, by virtue of the economic assumptions upon which it is based, 'preferences' the benefits to the current generation over those of future generations, so is difficult to apply in the context of ecosystem services.

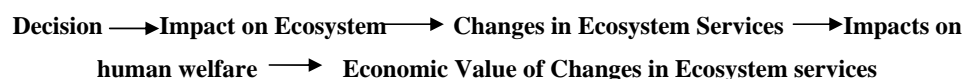
**Comment [w190]:** This para is improperly referenced, and without the rationale provided by the original context, some of the principles could be misinterpreted. This is one source and is a proposed framework, but is presented in the draft as if definitive 'best practice'.

<sup>21</sup> Stern argued on ethical grounds that a low discount rate should be chosen to assess the damage costs of climate change. He considered how the application of appropriate discount rates, assumptions about the equity weighting attached to the valuation of impacts in poor countries, and estimates of the impacts on mortality and the environment (including on biodiversity) would increase the estimated economic costs of climate change.

Therefore the key steps in estimating the impact of different climate change adaptation or mitigation decisions **as identified in the TEEB interim report** are:

- Establish the ecosystem baseline;
- Identify and provide qualitative assessment of the impacts of different decisions on ecosystem services;
- Quantify the impacts of different decisions on specific ecosystem services;
- Assess the effects on human welfare; and
- Value the changes in ecosystem services.

**Figure: Overview of the impact pathway of a climate change decision**



Following these steps can help to ensure a more systematic approach to accounting for the impacts of different decisions on ecosystems. Even an initial screening of what ecosystem services are affected, how potentially significant these impacts could be and developing an understanding of the key uncertainties and gaps in evidence can be useful first steps towards integrating these considerations into decision-making.

There is considerable complexity in understanding and assessing the causal links between a decision, its effects on ecosystems and related services and then valuing the effects in economic terms. Integrated working with the science and economics disciplines will be essential in implementing this approach in practice. The critical importance of the links to scientific analysis, which form the basis for valuing ecosystem services, needs to be recognised.

The type of valuation technique chosen will depend on the type of ecosystem service to be valued, as well as the quantity and quality of data available. Some valuation methods may be more suited to capturing the values of particular ecosystem services than others as outlined in Table 6 below. Benefits transfer, which applies economic values that have been generated in one context to another context for which values are required, is also discussed. This approach, when used cautiously, have the potential to alleviate the problem of deficient primary data sets as well as of limited funds and time often encountered in valuation, and are of particular interest in cases where the potential savings in time and costs outweigh a certain loss of accuracy (e.g., rapid assessments).

The valuation methodologies discussed are not new in themselves. The challenge is in their appropriate application to ecosystem services. The ecosystem services framework emphasises the need to consider the ecosystem as a whole and stresses that changes or impacts on one part of an ecosystem have consequences for the whole system. Therefore, considering the scale and scope of the services to be valued is vital if we are to arrive at any meaningful values.

**Table 6: Valuation methods for different ecosystem services<sup>212</sup>**

Valuation method	Element of TEV captured	Ecosystem service(s) valued	Benefits of approach	Limitations of approach
Market prices	Direct and indirect use	Those that contribute to marketed products e.g. crops, timber, fish	Market data readily available and robust	Limited to those ecosystem services for which a market exists
Cost-based	Direct and	Depends on the	Market data	Can potentially

approaches	indirect use	existence of relevant markets for the ecosystem service in question. Examples include man-made defences being used as proxy for wetlands storm protection; expenditure on water filtration as proxy for value of water pollution damages.	readily available and robust	overestimate actual value
Production function approach	Indirect use	Environmental services that serve as input to market products e.g. effects of air or water quality on agricultural production and forestry output	Market data readily available and robust	Data-intensive and data on changes in services and the impact on production often missing
Hedonic pricing	Direct and indirect use	Ecosystem services that contribute to air quality, visual amenity, landscape, quiet, i.e. attributes that can be appreciated by potential buyers	Based on market data, so relatively robust figures	Very data-intensive and limited mainly to services related to property
Travel cost	Direct and indirect use	All ecosystems services that contribute to recreational activities	Based on observed behaviour	Generally limited to recreational benefits. Difficulties arise when trips are made to multiple destinations.
Random utility	Direct and indirect use	All ecosystems services that contribute to recreational activities	Based on observed behaviour	Limited to use values
Contingent valuation	Use and non-use	All ecosystem services	Able to capture use and non-use values	Bias in responses, resource-intensive method, hypothetical nature of the market
Choice modelling	Use and non-use	All ecosystem services	Able to capture use and non-use values	Similar to contingent valuation above

**Comment [w191]:** , "hedonic pricing" refers to valuing ecosystem services that contribute to air quality, and landscape concepts that could lead to wide application of hidden subsidies.

**Key challenges in the valuation of ecosystem services relate to the underlying questions on how ecosystems provide services, and on how to deal with issues of irreversibility and high levels of**

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**uncertainty in ecosystem functioning.** Thus, while valuation is an important and valuable tool for good decision-making, it should be seen as only one of the inputs. Methodologies to deal with these challenges that account systematically for all the impacts on ecosystems and their services are very much in development.

**A number of studies have estimated the costs of climate change under different scenarios.** For a 2°C increase in global mean temperatures, for example, annual economic damages could reach US\$ 8 trillion by 2100 (expressed in U.S. dollars at 2002 prices).

**There are few studies available, however, on the lost value associated with the impacts of climate change specifically on biodiversity in large part because of the difficulty in separating climate change impacts from other drivers of biodiversity loss.** Some case studies include<sup>22</sup>:

- The World Bank estimated that coral reef degradation in Fiji attributable to climate change is expected to cost between US\$ 5 million and US\$ 14 million a year by 2050 due to the loss of value from fisheries, tourism and habitat.
- The loss in welfare associated with climate change in a mesic-Mediterranean landscape in Israel is estimated at US\$ 51.5 million if conditions change to Mediterranean climate, US\$ 85.5 million if conditions change to a semi-arid landscape and US\$ 107.6 million for conversion to an arid landscape based on loss grazing and willingness to pay.
- The lost value for protected areas associated with the projected impacts of climate change in Africa, based on willingness to pay, is estimated at US\$ 74.5 million by 2100.
- The predicted negative impacts of climate change on coral reefs in the Bonaire National Marine Park in the Netherlands Antilles, based on willingness to pay estimates by divers was US\$ 45 per person per year if coral cover drops by from 35 per cent to 30 per cent and fish diversity drops from 300 species to 225 species and US\$ 192 per person if coral cover drops from 35 per cent to 5 per cent and fish diversity drops from 300 species to 50 species.

#### 4.2 CASE STUDIES OF VALUE DERIVED FROM LINKING BIODIVERSITY CONSERVATION AND SUSTAINABLE USE AND CLIMATE CHANGE ADAPTATION

##### **A: The economic value of protection from natural disasters**

Protecting and restoring ecosystems can be a cost-effective and affordable long-term strategy to help human communities defend against the effects of climate change induced natural disasters. Protection against storm surges or high winds associated with more intense cyclones can include: (i) hard infrastructures including seawalls and levees, which can be expensive, require ongoing maintenance, and can fail catastrophically under severe storm conditions, e.g., New Orleans, USA; or (ii) the protection and restoration of “green infrastructure” such as healthy coastal wetlands (including mangrove forests) and coral reefs, which can be more cost-effective means for protecting large coastal areas, require less maintenance, and provide additional community benefits in terms of food, raw materials and livelihoods as well as benefiting biodiversity. Examples include:

- Red Cross of Vietnam began planting mangroves in 1994. By 2002, 12,000 hectares had cost US\$ 1.1 million, but saved annual levee maintenance costs of US\$ 7.3million, shielded inland areas from typhoon Wukong in 2000, and restored livelihoods in planting and harvesting shellfish.
- In Malaysia, the value of existing mangroves for coastal protection is estimated at US\$ 300,000 per km of coast based on the cost of installing artificial structures that would provide the same coastal protection.
- In the Maldives, the degradation of protective coral reefs around Malé required construction of artificial breakwaters at a cost of US\$ 10 million per kilometer.

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<sup>22</sup> In conducting the studies, a number of assumptions had to be taken and choices made which could affect the outcomes including: (i) the discount rate; (ii) the General Circulation Model that the impacts are based upon; and (iii) future greenhouse gas scenarios.

## B. The economic value of biodiversity-based livelihoods

### *The World Bank's Strategic Framework for Development and Climate Change*

From farming, ranching, timber and fishing, to water, fuel-wood, and subsistence resources, human welfare is inextricably tied to natural resources and the benefits that ecosystems provide. The World Bank's Strategic Framework for Development and Climate Change warns that the disproportionate impacts of climate change on the poorest and most vulnerable communities could set back much of the development progress of the past decades and plunge communities back into poverty. By protecting and restoring healthy ecosystems that are more resilient to climate change impacts, ecosystem-based adaptation strategies can help to ensure continued availability and access to essential natural resources so that communities can weather the conditions that are projected in a changing climate. Strategies that involve local governance and participation will also benefit from community experience with adapting to changing conditions, and may create greater commitment among communities for implementation.

Additional examples include:

- In Kimbe Bay, Papua New Guinea, coral reef resilience principles were applied to design a network of marine protected areas that can withstand the impacts of a warming ocean and continue to provide food and other marine resources to local communities. This approach is already being implemented at several more sites in Indonesia and for the Meso-American reef.
- In Southern Africa, the tourism industry has been valued at US\$ 3.6 billion in 2000, however, the Intergovernmental Panel on Climate Change projects that between 25 and 40 per cent of mammals in national parks will become endangered as a result of climate change. As such, the National Climate Change Response Strategy of the Government of South Africa includes interventions to protect plant, animal and marine biodiversity in order to help alleviate some of this projected lost income.

## C. The economic value of ecosystem services provided by forestry

### *The value of forests in Britain*

Well managed forests and woodlands deliver a range of ecosystem services with social and environmental benefits, including:

- providing opportunities for open access outdoor recreation
- supporting and enhancing biodiversity
- contributing to the visual quality of the landscape
- carbon sequestration.

A report by the Forestry Commission in 2003 estimated the total value of annual benefits to people in Britain to be around £1 billion. Annual benefits (£ million) include: (i) recreation £393 m; (ii) biodiversity £386 m; (iii) landscape £150 m; and (iv) carbon sequestration £94 m, for a total benefit of £1023 m. However, this analysis is only partial and did not take into account other social and environmental benefits, such as improving air quality and regulating water supply and water quality. For example, forests and woodlands 'clean' the air as trees trap harmful dust particles and absorb gases such as sulphur dioxide and ozone, thus the improved air quality can be valued through the resulting improvements to human health. In addition, forests and woodlands can reduce soil erosion, stabilise riverbanks and reduce pollution in run-off.

## D. The economic value of protected areas

### *The value of the Okavango Delta in the economy of Botswana – a Ramsar site*

The Okavango Delta generates an estimated P1.03 billion in terms of gross output, P380 million in terms of direct value added to gross national product (GNP) and P180 million in resource rent. The direct use values of the Okavango Delta are overwhelmingly dominated by the use of natural wetland assets for tourism activities in the central zone. Households in and around the delta earn a total of P225 million per year from natural resource use, sales, salaries and wages in the tourism

**Comment [BfN192]:** Please clarify relation to climate change adaptation as demanded by the heading of this section.

/...

industry, and rents and royalties in CBNRM arrangements. The total impact of the direct use of the resources of the Ramsar site is estimated to be P1.18 million in terms of contribution to GNP, of which P0.96 million is derived from use of the wetland itself. Thus the Ramsar site contributes 2.6% of the country's GNP, with the wetland contributing most of this (2.1%). The multiplier effect is greater for the formal sector than for the poorer components in society, because the former activities have greater backward linkages and households are primarily engaged in subsistence activities. The natural capital asset value of the Ramsar site is estimated to be about P3.9 billion, of which the Okavango Delta is worth P3.4 billion.

#### *The economic value of the Great Barrier Reef to the Australian Economy*

This analysis is partial and does not use the TEV but focuses on the value of tourism, commercial fishing and recreational activities, net of tourism. The values are Aus\$5107 million, AUS\$149 million, and Aus\$610 million, respectively, for a total of Aus\$5,866 million. Clearly the true economic value, when considering all the other non-use values, is considerably higher.

### 4.3 INCENTIVE MEASURES

**Changes in the broader set of economic incentives governing human behaviour and decision-making, as well as non-financial incentives, are essential to implement ecosystem-based adaptation activities to climate change that can benefit biodiversity and ecosystem services and human well-being.** Incentives for ecosystem-based adaptation should be carefully designed not to negatively affect ecosystem services and the conservation of biological diversity, including in other countries. Furthermore, in order for incentives to be successful - it is important for the incentives to be shared equitably with all relevant stakeholders – in accordance with the objectives of the CBD – which may include direct users of biodiversity.

- Measures changing to the economic incentives to decision-making seek to ensure that the value of all ecosystem services, not just those bought and sold in the market, are taken into account when making decisions. Possible measures include: (i) remove those subsidies ~~to agriculture, fisheries, and energy~~ that cause harm to people and the environment; (ii) introduce payments to landowners in return for managing their lands in ways that protect ecosystem services, such as water quality and carbon storage, that are of value to society; (iii) implement appropriate pricing policies for natural resources, e.g., for fresh water; (iv) establish market mechanisms to reduce nutrient releases and promote carbon uptake in the most cost-effective way; and (v) apply fees, taxes, levies, and tariffs to discourage activities that degrade biodiversity and ecosystem services. The aforementioned mechanisms should be designed and implemented in accordance with the rules of the multilateral trading system.
- Non-financial incentives and activities seeking to influence individual behaviour: (i) laws and regulations; (ii) new governance structures nationally and internationally that facilitate the integration of decision-making between different departments and sectors, (iii) promote individual and community property or land rights; (iv) improve access rights and restrictions; (v) improve access to information and education to raise awareness about ecosystem-based adaptation; (vi) improve policy, planning, and management of ecosystems by including sound management of ecosystem services in all planning decisions; and (vii) develop and use environment-friendly technologies. With regards to non-financial incentives, it is important that such measures are consistent with the discussions under the CBD concerning the fair and equitable sharing of benefits arising from the use of genetic resources.

**Financial incentives, such as the payment for ecosystem services and environmental funds, when treated as new and additional resources, could provide alternative sources of income/livelihoods for the poor that are heavily dependent on biodiversity and its components.** For example, a forest ecosystem provides a range of regulatory services besides their role as mitigation against climate change<sup>213</sup>. It is these services that need to be maintained hence appropriate incentives such as the payment for ecosystem services and the use of environmental funds<sup>214</sup> services will ensure communities are better able to maintain a balance between ecosystem and their use of the resources.

**Comment [w193]:** This section should expand the applicability of the incentive measures not only to ecosystem based adaptation but also to mitigation. In general, at the moment sections 2 and 4 seem dissociated from section 3. Throughout the section it should be clarified which measures apply only to ecosystem based adaptation and which could apply to both adaptation and mitigation.

**Comment [w194]:** Also, incentives should be designed to address the specific drivers of negative outcomes.

**Comment [w195]:** clarify who would determine what the appropriate policies are, and under what criteria

The World Bank together with other multilateral financial institutions and conservation NGOs provide a plethora of financial funds.

**Internalizing the value of biodiversity and ecosystem services, ~~other than~~ in addition to carbon, in climate change-related activities can provide a strong economic incentive for conserving biodiversity.** A range of financial instruments are available and can be effective in a specific manner in accordance with ecosystem type, project scale and projected period (see Table below)

**Criteria and indicators which are specific, measurable, appropriately monitored, and adapted to local conditions, need to be developed to assure that the ecosystem services targeted by the incentive measures are not degraded over time.** For instance, verification systems based on biological/ecosystem criteria and indicators can provide projects/countries with a financial incentive that ensures ecosystem-based adaptation for the long-term benefits of UNFCCC and CBD. Properly designed criteria and indicators can become proxies for the intactness of ecosystems and adaptability, which can facilitate the evaluation of a measure, provide useful information in determining the need for corrective action, and thus help in achieving the objectives of UNFCCC and CBD.

**Non-financial mechanisms can become indirect incentives to achieve multiple benefits of adaptations and can help build societal awareness and understanding of the important role of ecosystem based adaptation to climate change.** Non-financial mechanisms include: the use of laws and regulations, property or land rights, access rights and restrictions, and valuation and education to raise awareness about ecosystem-based adaptation. Enhancing food security and other ancillary benefits can be incentive to adopt ecosystem-based approach for the people who rely on such benefits for their livelihood. On a local scale, traditional codes have been a societal regulation to avoid the overuse of common ecosystem services. Incentives taking account for such societal codes can ensure the societal adaptability for climate change as well as biological conservation.

**While there are a wide range of incentives available, choosing one or combination of those incentive measure one need to consider several factors of conditions and scales** (see Table 7 below). Examples include: trade variables, the characteristics (physical, biological, social and economic) of the challenge, current and future financial and institutional arrangements, human resource and institutional capacities, gaps and obstacles, possibility of creating adverse impacts on other systems and sectors, opportunity for long-term sustainability and linkages with other programs. In particular, policies which create incentives without removing the underlying causes of biodiversity loss (including perverse incentives) are unlikely to succeed. The incentive measures adopted should also address issues on transparency, equity and should be regularly monitored and evaluated. CBD guidance such as the Proposals for the Design and Implementation of Incentive Measures, endorsed by the sixth meeting of the Conference of the Parties (<http://www.cbd.int/doc/publications/inc-brochure-01-en.pdf>), could be consulted for identifying further key elements to be considered when designing and implementing incentive measures, and for selecting appropriate and complementary measures.

**Table 7: Tools and incentives for implementing ecosystem-based adaptation.**

Tools and incentives	Application to ecosystem-based adaptation
Financial	
• Payment for Ecosystem Services (not tradable)	Payment to reward the ecosystem services to those who maintain the service (e.g., payments for watershed management)
• Carbon finance	Payment for carbon storage (e.g., Clean Development Mechanism, Voluntary carbon market)
• Incentives related to REDD	Positive incentive on issues relating to

**Comment [w196]:** it remains unclear the extent of such financing, it is not adequately recognized in the report that a major problem to provide positive incentives is the lack of financial and technical resources, the developed countries are better able to provide such incentives.

**Comment [w197]:** Here or elsewhere could note that governments also may need to facilitate multiple outcomes through institutional arrangements e.g. given economic value of alternative land uses, carbon value may not in itself be sufficient incentive to maintain biodiversity but combination of incentives (carbon, biodiversity, water values), facilitated for example through government 'clearing house' may be.

**Comment [w198]:** incorporate references to the applicable international obligations, including those of the WTO.

**Comment [w199]:** clarify the scope of each of these incentives, who would pay, with what funds, and their relationship to relevant international standards

	reducing emissions from deforestation and forest degradation in developing countries.
<ul style="list-style-type: none"> <li>Biodiversity Based Mechanism, such as Biodiversity Banking, Biodiversity Offset</li> </ul>	Payment based on proxy indicators or surrogate of biodiversity (e.g. area of intact forest)
Debt for Nature Swaps	Cancellation of debt in exchange for the conservation of natural ecosystems (e.g., creation of protected areas in Costa Rica in return for debt relief)
<ul style="list-style-type: none"> <li>Conservation Trust Funds</li> </ul>	Funds for improving the management of/and ensuring conservation of protected areas (e.g; Conservation Covenant)
<ul style="list-style-type: none"> <li>Certification and Labeling <u>(in a manner which avoids creating trade barriers)</u></li> </ul>	Certification of products and services which are produced with minimal impacts on ecosystems, verified using rigorous standards and indicators e.g. eco tourism, forest stewardship council.
<ul style="list-style-type: none"> <li>Access/Price Premium to Green Markets</li> </ul>	Adding value and increasing market access for sustainable products and services. e.g. niche market for organic products, organic coffee
<ul style="list-style-type: none"> <li>Market development<sup>215</sup></li> </ul>	Creation of new markets and expansion of existing markets for products and services that are environmentally friendly <sup>23</sup> .
<del>• Agri—Environmental programme</del>	<del>Subsidies to organic farming, preservation of rare breed, eg; land set aside schemes, stewardship payments, rural credit /loans</del>
Environmental Prize/Award	Public recognition for good environmental stewardship.
<ul style="list-style-type: none"> <li>Eliminate Perverse Subsidies <u>(eg; Fishing; Agriculture)</u></li> </ul>	Eliminate subsidies that destroy, degrade or lead to the unsustainable use of ecosystems. <u>Ecosystems:</u>
<ul style="list-style-type: none"> <li>Taxes, fees, and charges</li> </ul>	Taxation of activities that destroy, degrade or mismanage natural resources (e.g., taxation of pesticide use, unsustainable timber harvesting...)
<ul style="list-style-type: none"> <li>Tradable quotas</li> </ul>	Establishment of quotas for the extraction of goods (such as firewood, timber, fish harvest, harvest of wild species) from natural ecosystems, to ensure their sustainable management
Non-financial	
<ul style="list-style-type: none"> <li>Definition of land tenure, and use planning and ownership and land use and management rights</li> </ul>	Clarification of land tenure and rights, to enhance conservation, restoration and sustainable management of ecosystems
<ul style="list-style-type: none"> <li>Public awareness and capacity building on ecosystem-based adaptation</li> </ul>	Increased recognition of the value of ecosystem-based adaptation and its role in adaptation strategies, leading to increased implementation
<ul style="list-style-type: none"> <li>Development, refinement and enforcement of</li> </ul>	Legislation that promotes the implementation

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<sup>23</sup> Note that the definition of environmentally friendly goods and services is still in negotiation in the field of Negotiations Committee on Trade and Environment in Special Session of the WTO (paragraph 31. iii) of the Ministerial Declaration of November 2001)

legislation	of ecosystem-based adaptation and tools to ensure compliance; Legislation that promotes sustainable use of ecosystems or discourages mismanagement (e.g., protected area legislation, pesticide use regulations, water pollution laws)
<ul style="list-style-type: none"> <li>Institutional strengthening and creation of partnerships</li> </ul>	Provision of financial and human resources to relevant institutions and establishment of networks involving diverse stakeholders
<ul style="list-style-type: none"> <li>Development, transfer, diffusion and deployment of environmentally sound technology</li> </ul>	Develop soft and hard technologies and methodologies that could help in the implementation of ecosystem-based adaptation (e.g., software development, early warning systems, artificial reefs)

**Comment [w200]:** provide a concrete and meaningful notion of technology transfer, indicating the need for developed countries to encourage sustainable methods of production in developing countries. Therefore, technologies that are developed to implement ecosystem-based adaptation (such as early warning programs, development of software) should be accessible to third parties.

With regards to payments for ecosystem service, such payments should be made in accordance with WTO rules and in particular to the provisions of the Agreement on Agriculture. Such agreement allows payments to farmers for purposes related to the preservation of the environment provided that they comply with the following conditions:

- (a) that payments are do not distort trade or production, or at most that they are minimal;
- (b) the right to receive payments is set within a clearly defined environmental agenda, including conditions related to production methods and inputs, and
- (c) the amount of payment is limited to the extra costs or loss of income involved in complying with the program.



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**Comment [w201]:** Although the UNFCCC secretariat gladly contributes to the work of the AHTEG, we can, in our function as Observer, not be listed as an author.

## CASE STUDIES FOR BEST PRACTICES ON ADDRESSING CLIMATE CHANGE RELATED RISK TO BIODIVERSITY

### 1. Gondwana Link, Australia

#### Principle: 2b

**Objectives:** The aim of the project is achieve “Reconnected country across south-western Australia...in which ecosystem function and biodiversity are restored and maintained”. This region is a recognized global biodiversity hotspot, having been to broadscale clearing for intensive agriculture. The region is experiencing ongoing ecological degradation and threats from fragmentation, salinity and climate change.

**Activities:** Protecting and re-planting bushland over more than 1,000 km; purchasing bushland to protect and manage it; re-vegetating large areas of cleared land advocacy for stronger protection of public land; providing incentives for better land management; developing ecologically supportive industries such as commercial plantings of local species.

**Participants:** A consortium of local and national non-government organizations, universities, local councils, university research centres, government mediated networks and agencies, and business enterprises; including Bush Heritage Australia, Fitzgerald Biosphere Group, Friends of Fitzgerald River National Park, Greening Australia, Green Skills Ink, The Nature Conservancy, and The Wilderness Society Inc.

**Adaptation outcomes:** Gondwana Link will provide some protection against the worst ecological impacts of climate change by enabling gradual genetic and species interchange on a broad front. In previous (slower) periods of climate change, species and systems have predominantly “moved” along a south-west/north-east pathway; the direction Gondwana Link is spanning. The project is also consolidating north-south linkages, which may also be critical pathways for species impacted by climate change. The re-vegetation activities will also assist in stabilizing landscapes where clearing has led to large scale salinity, wind erosion and other degradation.

**Reference:** [www.gondwanalink.org](http://www.gondwanalink.org)

### 2. Costa Rica Biological Corridor Program (part of the Mesoamerican Conservation Corridor)

#### Principle: 2b

**Objectives:** Update a proposal for improving structural connectivity for the National System of Protected Areas.

**Activities:** (a) Designed an ecological conservation network in order to improve the connectivity between protected areas and key habitat remnants; (b) Designed latitudinal and altitudinal connectivity networks; (c) The National Biological Corridors Program, which aim is to provide technical and multi-sector coordination support to local management committees, and a national technical committee for advising biological corridor design and management were established.

**Participants:** National System of Conservation Areas (SINAC), The Nature Conservancy (TNC), Tropical Agronomic Research and Higher Education Center (CATIE), Conservation International, National Institute of Biodiversity (INBio).

**Outcomes:** (a) An ecological network that enhance ecosystem resilience to CC has been established; (b) local community committees for management the main biological corridors have been established; (c) Monitoring and systematic planning tools that include adaptation issues has been developed and implemented in order to provide input and feedback on their management.

**Reference:** Arias, E; Chacón, O; Herrera, B; Induni, G; Acevedo, H; Coto, M; Barborak, JR. 2008. Las redes de conectividad como base para la planificación de la conservación de la biodiversidad: propuesta para Costa Rica. Recursos Naturales y Ambiente no. 54:37-43.

**Comment [BfN202]:** The only reference to Annex 2 is in the section on risk assessment (section 1.2). A reference in the section on adaptation for biodiversity (section 2.1) would seem more appropriate, especially in light of the heading of the annex.

It is unclear what the Principles mentioned at the beginning of each case study are. The case studies don't seem to be related to the assessment guidelines in Box 1 bearing the same numbers. It is suggested to delete the references to Principles.

### 3. Nariva Wetland Restoration Project-Trinidad and Tobago; World Bank Project

#### Principle 2b

**Objectives:** The Nariva wetland (7,000 ha) is a biodiversity-rich environment with a mosaic of vegetation communities (tropical rain forest, palm forests, mangroves, and grass savannah/marshes). However, it was subject to hydrologic changes and land clearing by illegal rice farmers.

The objective of the project is the reforestation and restoration of the Nariva wetlands ecosystem.

**Activities:** (a) Restoration of hydrology - Water management plan to: (i) review the water budget of Nariva; (ii) identify land form composition of wetland area; (iii) develop criteria to select high priority restoration areas; and (iv) design and implement natural and engineered drainage options; (b) Reforestation program. 1,000 - 1,500 hectares being reforested; only native species used; (c) Fire Management Program - training for fire responders, fire response planning, and community environmental education; (d) Monitoring - Response of reforestation activities and biodiversity through key species.

**Participants:** Government, World Bank, NGOs, communities

**Outcomes:** Strengthening of buffer service for inland areas against anticipated changes climate and climate variability. The carbon sequestered and emission reductions effected will be sold and the proceeds from the sale will support community development and further adaptation actions as required.

**Reference:** [www.worldbank.org](http://www.worldbank.org)

### 4. Conservation Measures Partnership (CMP)

#### Principles 1a, 1b

**Objectives:** Establish standards, best practices and tools to support the design, management and monitoring of conservation projects at multiple scales.

**Activities:** The Conservation Measures Partnership compiled consistent, open standard guidelines for designing, managing, and measuring impacts of their conservation actions. They also developed a software tool based on these standards that helps users to prioritize threats, develop objectives and actions and select monitoring indicators to assess the effectiveness of strategies. This software is available at <https://miradi.org>. The software also supports development of work-plans, budgets and other project management tools.

**Participants:** Members of the Conservation Measures Partnership include: African Wildlife Foundation, The Nature Conservancy, Wildlife Conservation Society and World Wide Fund for Nature/World Wildlife Fund. Collaborator include: The Cambridge Conservation Forum, Conservation International, Enterprise Works Worldwide, Foundations of Success, The National Fish and Wildlife Foundation, Rare and the World Commission on Protected Areas/IUCN.

**Outcomes:** Consistent open standards have been established, and continue to be improved on the basis of experience by users.

**Reference:** [www.conservationmeasures.org](http://www.conservationmeasures.org)

**Comment [BfN203]:** Please clarify relation to climate change or delete.

### 5. Marine Protected Areas in Kimbe Bay, PNG

#### Principle 2a

**Objectives:** Establish a network of marine protected areas that will conserve globally significant coral reefs and associated biodiversity, and sustain fisheries that local communities depend on for food and income.

**Activities:** Warming seas threaten to increase the frequency and extent of coral bleaching events in Kimbe Bay. When corals bleach, fish habitat and fisheries productivity are diminished. Systematic conservation planning methods were used to design a network of marine protected areas that (i) includes replicated examples of all coral and other coastal ecosystem types found in the bay, (ii) protects critical areas for fish spawning and reef sections that are more resistant to bleaching, and (iii) ensures connectivity across MPAs so that areas that might become depleted or degraded by coral bleaching can be repopulated. Local communities manage their own protected areas in the network so that they can best protect their fisheries and benefit from additional livelihood opportunities such as eco-tourism and sport fishing.

**Participants:** The Kimbe Bay MPA network was designed and implemented through a partnership between local communities and The Nature Conservancy.

**Outcomes:** The Kimbe Bay MPA network is expected to maintain the ecological integrity of the coral reefs and make them more resilient to bleaching.

**Reference:** Green, A., Lokani, P., Sheppard, S., Alman, J., Keu, S., Aitsi, J., Warku Karvon, J., Hamilton, R. and . Lipsett-Moore. 2007. Scientific Design of a Resilient Network of Marine Protected Areas. Kimbe Bay, West New Britain, Papua New Guinea. TNC Pacific Island Countries Report 2/07.

## 6. Mangrove restoration in Vietnam

### Principle 2e

**Objectives:** Restore coastal mangrove forests along the coasts of Vietnam to provide coastal protection.

**Activities:** Waves and storm surges can erode shorelines, damage dykes, and flood communities, rice paddies, and aquaculture facilities. Such hazards are expected to increase because of sea level rise and changes in storm frequency and intensity associated with climate change. Mangroves have been replanted along coast of Vietnam in order to improve protection of communities and coasts. Restored mangroves have been demonstrated to attenuate the height of waves hitting the shore, and to protect homes and people from damaging cyclones.

**Participants:** Mangrove restoration has been led by Vietnam national and provincial governments, with support from the World Bank and various humanitarian NGOs such as the Red Cross.

**Outcomes:** Since 1975, more than 120,000 hectares of mangroves have been restored. They have provided community and levee protection during severe storm events in 2005 and 2006, and ongoing support for livelihoods associated with mangrove habitats such as replanting and tourism.

**Reference:** [http://www.expo-cosmos.or.jp/album/2008/2008\\_slide\\_e.pdf](http://www.expo-cosmos.or.jp/album/2008/2008_slide_e.pdf) Mangroves and Coastal Dwellers in Vietnam – The long and hard journey back to harmony. Commemorative lecture at Kyoto University, November 2<sup>nd</sup>, 2008

## 7. Restoring floodplains along the Danube River, in Eastern Europe

### Principle 2e

**Objective:** Restore 2,236 km<sup>2</sup> of floodplain to form a 9,000 km<sup>2</sup> “Lower Danube Green Corridor”.

**Activities:** More frequent flooding is expected along the Danube River because of climate change. Floods in 2005 killed 34 people, displaced 2,000 people from their homes, and caused \$625M in damages. Dykes along the Lower Danube River are being removed to reconnect historic floodplain areas to river channel. These areas are of only marginal value for other industrial activities. However, once restored, they are estimated to provide flood control and other ecosystem services valued at 500 Euros per hectare per year.

**Participants:** This restoration is being done by the World Wildlife Fund, working in conjunction with

the governments of Bulgaria, Romania, Moldova and Ukraine

**Outcomes:** Restored floodplains serve to retain and more slowly release floodwaters that might otherwise threaten to overtop or breach dykes.

**Reference:** Orieta Hulea, S Ebert, D Strobel. 2009. Floodplain restoration along the Lower Danube: a climate change adaptation case study. IOP Conf. Series: Earth and Environmental Science 6 (2009) doi:10.1088/1755-1307/6/0/402002<sup>216</sup>

#### **8. Coral Triangle Initiative on Coral Reefs, Fisheries and Food Security (CTI-CFF): Indonesia, Malaysia, Papua New Guinea, the Philippines, Solomon Islands and Timor Leste.**

**Objectives:** To conserve and sustainably manage coastal and marine resources within the Coral Triangle region, thus contributing to strengthened food security, increased resilience and adaptation to climate change.

**Activities:** The Coral Triangle region sustains the world's greatest diversity of marine life. The region's biological resources provide livelihood, income and food security for the 240 million coastal inhabitants of the six countries. Consequently, the marine and coastal ecosystems and resources are already under significant pressure from overfishing, destructive fishing practices and pollution, which increase the region's vulnerability to the threats of climate change. Climate change impacts threatening the Coral Triangle include ocean acidification, coral bleaching, and damage from increasing occurrence of extreme weather events, such as storm surges.

The Coral Triangle Initiative (CTI) is a new partnership which provides a unique platform for accelerated and collaborative actions to address issues such as climate change adaptation, marine conservation, food security and coastal poverty reduction. Underpinning the CTI collaboration is a firm conviction on the need to move beyond incremental actions, and to agree on and implement transformational actions that will be needed over the long-term to ensure the sustainable flow of benefits from marine and coastal resources for this and future generations. It fosters stewardship, builds capacity and flow on benefits associated with skill transfer, develops measures to control and mitigate existing and emerging pressures on marine biodiversity, resources and vulnerable marine systems, and promotes a better understanding of oceans and ocean processes.

The CTI Regional Plan of Action and National Plans call for an early response to the threats of climate change on oceans, including a "region-wide Early Action Plan for Climate Change Adaptation for the near-shore marine and coastal environment and small island ecosystems". This Plan will serve as a major step toward implementing the climate change adaptation obligations of the Coral Triangle governments under the UN Framework Convention on Climate Change. The Plan will include regional collaborative actions, general actions to be taken in each country, and more specific actions covering a range of management scales and frameworks (e.g. trans-boundary seascape management plans; integrated coastal zone management plans; MPA network plans). Regional actions will include identifying the most important and immediate adaptation measures that should be taken across all Coral Triangle countries (based primarily on analyses using existing models); conducting capacity needs assessments and developing capacity building programs on climate change adaptation measures.

**Participants:** Implementation of the CTI by the six Coral Triangle countries will be supported by invited partners: the Australian Government, US Government, Global Environment Facility, Asian Development Bank, The Nature Conservancy, Conservation International the WWF and others.

**Outcomes:** It is anticipated that the CTI will achieve tangible and measurable improvements in the health of the region's marine and coastal ecosystems, the status of fisheries, food security and the well-being of the communities which depend on the region's marine and coastal resources/ecosystems.

**Reference:** [www.cti-secretariat.net](http://www.cti-secretariat.net)

## **9. Keppel Bay resilience Strategies**

**Objectives:** To develop a collaborative, community and multi-agency based, resilience-focussed management strategy for this shallow, inshore island and fringing coral reef system.

**Activities:** The overarching multiple use zoning already provides a range of habitat protection in this part of the Great Barrier Reef Marine Park; now the challenge is to expand the management toolbox to ensure that customised, non regulatory responses can be implemented, based on the best available information.

Some of the strategy is responsive and some is proactive. elements include: a no-anchoring area pilot project to protect some coral habitats from anchor damage (sites selected via the resilience indicators developed by IUCN, in partnership with the local community); the general use of community-based monitoring programs – including the *Reef Health and Impact Survey* format and the *Bleachwatch* program to assess reef health; the *Climate Change Incident Response Framework* (used as the highest level of an integrated response planning approach to deal with significant events or emergencies e.g. mass coral bleaching) – under this sits the sectoral level response plans that determine how different community groups can customise a response transparently and appropriately to a climate change impact such as coral bleaching – the first examples of these are being trialled with a small commercial fishing sector in the Keppel Bay project. They include the *Coral Stress Response Plan* (a partnership across two levels of government and industry) and the *Stewardship Action Plan* (the industry plan to document best practice including community based monitoring, supply of local knowledge and provision of voluntary actions and moratoriums under the framework to minimise the impact of collection on impacted areas).

**Participants:** The Great Barrier Reef Marine Park Authority; the Capricorn Coast Local Marine Advisory Committee ; the local community; Queensland Primary Industries and Fisheries; The Queensland Parks and Wildlife Service; ProVision Reef Inc (peak body for aquarium fishers in Queensland).

**Outcomes:** Trial of a toolbox of innovative techniques to assess reef health, respond to climate change impacts and implement long term resilience-based management at a regional scale.

### **References:**

<http://www.gbrmpa.gov.au/>

[http://www.gbrmpa.gov.au/corp\\_site/management/site\\_management/keppel\\_bay\\_and\\_islands\\_site\\_management\\_arrangements/keppel\\_bay\\_resilience\\_project - no anchoring areas](http://www.gbrmpa.gov.au/corp_site/management/site_management/keppel_bay_and_islands_site_management_arrangements/keppel_bay_resilience_project_-_no_anchoring_areas)

[http://www.gbrmpa.gov.au/\\_data/assets/pdf\\_file/0010/24697/searead\\_news\\_20.pdf](http://www.gbrmpa.gov.au/_data/assets/pdf_file/0010/24697/searead_news_20.pdf)

<http://www.cabinet.qld.gov.au/MMS/StatementDisplaySingle.aspx?id=64511>

## **10. The Royal Botanic Gardens Kew's Millennium Seed Bank Project (MSBP)**

**Objectives:** The MSBP is the world's largest *ex situ* conservation project which intends to store 25% of the world's plant species by 2020. Seed banks provide an insurance policy against the extinction of plants in the wild and provide options for their future use. They complement *in situ* conservation methods, which conserve plants and animals directly in the wild.

**Activities:** The Millennium Seed Bank already holds seeds from species thought to be extinct in the wild. In addition, seed banks provide a controlled source of plant material for research, provide skills and knowledge that support wider plant conservation aims, and contribute to education and public awareness about plant conservation.



MSBP partners will have banked seed from 10% of the world's wild plant species by the end of this decade. Seed collections are kept in the country of origin, in partner seed banks, and duplicates are brought to the Millennium Seed Bank in the UK. Each project is based on a legally binding contract, such as an Access and Benefit Sharing Agreement. In addition to the seed collecting activities, the MSBP partnerships include research and training and other capacity-building elements. Partnerships may focus their activities to support conservation or development objectives relevant to their country. In this way the partnerships are helping their countries to implement international objectives such as the Global Strategy for Plant Conservation and the United Nations Millennium Development Goals.

**Participants:** The Millennium Seed Bank Project is based on 27 long term partnerships and collaborations with other organisations around the world. At the core of the main project are 'partnership projects' in many different countries. These vary in their structure and scope but all aim to collect and conserve seeds (mainly from dryland plant species) and to strengthen in-country capacity for seed banking. Partners are a mixture of government, local and national non-government organizations, universities and conservation agencies.

**Adaptation outcomes:** Seeds from the Millennium Seed Bank and those held in partner countries are already being used to provide a wide range of benefits to mankind, ranging from food and building materials for rural communities to disease-resistant crops for agriculture. The collections held in the MSB, and the knowledge we are deriving from them, gives us almost infinite options for their conservation and use. With future climate change scenarios and the ever-increasing impact of human activities, the MSBP intends to accelerate its activities to secure in safe storage 25% of the world's plant species by 2020.

Reference: [www.kew.org/msbp](http://www.kew.org/msbp)

Annex 3

IMPACTS OF CLIMATE CHANGE ADAPTATION ON BIODIVERSITY

Examples of common societal adaptations that might be taken (or are already being used) to climate change or effects of climate change in agriculture and drylands, forests, coastal areas, fisheries, human health and settlements and some selected impacts on biodiversity (positive and negative) and suggested ways to maximize or minimize these effects. No judgment is made about the efficacy of any of the selected adaptations. Most of these adaptations require environmental assessment to examine potential impacts and/or monitoring to improve results over the long term. For forests, the majority of adaptations apply to managed forests; we use the FAO forest types, specifically natural (N), semi-natural (S), and plantation (P) or all types (A). Where the forest adaptations apply primarily to a given forest biome it is specified under the action column. Ecosystem-based adaptations are noted with an \*.

**Comment [BfN204]:** Many of the entries in this table need further textual elaboration to be unequivocal, some seem to be erroneous. A few suggestions for changes are made in the following.

Issue	Adaptation action	Positive effects on biodiversity	Maximise positive effects	Negative effects on biodiversity	Minimise negative effects	Comments and case studies
<b>Agriculture and Drylands</b>						
Cumulative effects of reduced moisture, increased temperature, increased pests, salinity and extreme events	Shift to more heat, pest, drought, flood and salt tolerant varieties or species of crops and livestock	Possible diversification; Possible changing management regimes; People encouraged to value local biodiversity	Use rare or local species; Support (from NGOs, agricultural extension workers...); Community involvement and building on traditional knowledge and management techniques	Local varieties or species replaced	<del>Avoid in</del> <u>Cautious use of GMOs and potentially invasive species, apply strict standards for testing, approval and monitoring</u> <u>Use of precautionary principle in relation to GMOs and potentially invasive species</u> <del>Cautious use of GMOs and potentially invasive species</del>	Potentially low cost if suitable varieties available; High cost if breeding necessary; Relevance of traditional knowledge; Maladaptation risk unless all properties of the species are considered; especially in mountain, grassland, temperate grasslands, and SIDS
	Seed banks	Conserves genetic diversity; Reduced	Support (from NGOs, agricultural			

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	need to bring in non-native varieties when extreme events cause losses	extension workers...); Community involvement and building on traditional knowledge			
*Application of agro-ecological approaches aimed at conserving soil moisture and nutrients (e.g. conservation tillage, organic fertilizer use, agroforestry, mulching, shelterbelts and windbreaks, bund construction) and increasing productivity	More sustainable management regimes (e.g. less need for ('slash and burn')); Improved soil structure and composition; Increasing structural and species diversity	Use local species / agrobiodiversity ; Community involvement and building on traditional knowledge and management techniques; Investment in heat, pest, drought, flood and salt resistant farming techniques; Support (from NGOs, agricultural extension workers...)		Reduce chemical inputs; focus on short-term benefits and long-term benefits	Potentially low-cost; Builds social capital and supports traditional knowledge ; Potential for co-benefits e.g. reduction of erosion, reduction of eutrophication problems, C-sequestration
*Diversification: multi-cropping or mixed farming systems (e.g. agroforestry systems) to enhance ecosystem resilience to extreme events	Increasing structural and species diversity; Use of native species	Use rare or local species; Support (from NGOs, agricultural extension workers...); Community involvement and building on traditional knowledge and management	Non-native species introduction	Reduce chemical inputs	Potentially low-cost; Builds social capital and supports traditional knowledge; Potential for co-benefits e.g. reduction of erosion, decreasing area requirements for

		techniques				agriculture, increasing water efficiency Co-benefits of increasing vegetation cover e.g. reduced erosion and C-sequestration; Comparatively high cost; Long timeframe; High technical inputs required
*Restoration of degraded ecosystems, e.g. revegetation, reforestation, slope stabilization	Reduced degradation		Possible non-native species introduction; potential invasives or GMOs	<del>Use</del> <del>endemies native species, — Limit GMO use and only after testing and controlled outplanting</del> <u>avoid incautious use of GMOs, apply strict standards for testing, approval and monitoring</u>		
Rainwater harvesting, storing and management, e.g. contour trenches and rain-fed drip irrigation	Less water required from other sources	Support (from NGOs, agricultural extension workers...)				Low cost and few technical inputs needed; Co-benefits, e.g. groundwater supplies increase
Less intensive farming or pastoral activities	Reduction of chemical inputs; Increase of structural diversity		Need for alternative income may lead to other pressures			
Adapted grazing management regime	Degradation avoided/reduced	Support local grazing management regimes	Pressure on biodiversity increases elsewhere	Careful management to avoid overgrazing	Potential for resource conflicts; Maladaptation risk if traditional management regimes disrupted or alternative unsustainable livelihood options adopted	

Supplementing livelihoods by increased harvesting of plants or animals from the wild		Support adequate management system to allow for regeneration	Increasing pressure on wild species		Maladaptation risk by reducing potential for other ecosystem services, especially in mountains
Flood protection for cultivated areas and livestock	Reduced land degradation		<u>Damage caused by protection infrastructure</u>		High technical inputs and costly
Intensification of irrigation and other farming techniques	Intensification in one area could reduce pressure elsewhere	Environmental education regarding increasing climate risks and vulnerability and risk of maladaptation	Could increase water scarcity in source ecosystems (marshes, lakes, deltas, rivers etc); Monocropping reduces biodiversity	Consider effects on entire watershed and all water users	Likely to be a common adaptation response; High risk of maladaptation (monocropping increases vulnerability to extreme events); Conflict over resources
Increased fertilizer use			Increasing eutrophication of nearby aquatic ecosystems	Careful management of fertilizer application	Risk of maladaptation
More pesticide / herbicide use in response to pest or disease increases			Impacts on non-target species such as pollinators; Impacts on food webs; Contamination of food or water resources	Careful management of pesticide / herbicide application	Risk of maladaptation
Extension of agriculture or grazing			Replacement of other ecosystems	<u>Use zoning to protect most</u>	Potential for conflict over

	into other areas				<u>vulnerable habitat</u>	resources; especially alpine areas
	Abandonment of agriculture or grazing; migration	Reduction of chemical inputs; Reversion to more natural state	Maximize use of afforestation	Possible colonization by non-native species; Need for alternative income may lead to other pressures		High risk of conflict and maladaptation through over-exploitation of resources, loss of traditional knowledge and disruption of traditional management systems following migration
	Crop insurance	May decrease incentives for over-utilization		May increase incentives for over-utilization		Risk of promoting maladaptation
SLR Food Security	Relocation/manmade crop sites e.g. concrete elevated taro patch	Creation of new habitats, saving crop varieties,	Use local materials	Impacts on new sites to be used	Minimize introduction of alien species, siting	Limited areas in atolls to fully accommodate needed area
Drought Food security	Relocation to new sites e.g. wetland for taro	Saving otherwise lost species	Improve irrigation	Loss of habitats at new sites,	Diversify food crops	Drought resistance island crops to be identified/research
<b>Water resources</b>						
Increasing flood risk	Construction and operation of dams	Creation of freshwater habitat		Floodplain habitat loss/damage Loss of natural inundation dynamics	Avoid construction in sensitive location	High relevance for protection of infrastructure and productive land; High cost

**Comment [BfN205]:** Please clarify description of issues

**Comment [BfN207]:** Please clarify meaning or delete

**Comment [BfN206]:** Please clarify description of issues

**Comment [BfN208]:** Please clarify meaning or delete



More resilient design of infrastructure	Reduces need for dams		May increase build-up	Avoid increasing the area taken up by infrastructure	Risk of maladaptation: financial capacity of poor communities to meet infrastructure costs / standards exceeded
Construction of dikes to prevent flooding			Floodplain habitat loss/damage Loss of natural inundation dynamics	Avoid construction in sensitive location	High relevance for protection of infrastructure and productive land; High cost; High maladaptation risk: increasing danger of flooding downstream
Re-zoning of flood plains, e.g., relocation of land use activities sensitive to flooding	Increase habitat for flood plain ecosystems	Manage using 'close to nature principles'			High potential for land use conflicts
Land use management in watersheds to maintain or enhance water retention, e.g. by maintaining forest coverage, conserving peatlands or adapting agricultural practices to improve soil water capacity	May contribute to conservation or restoration of forest, wetlands and agricultural biodiversity	Aim for natural or near-natural composition of forests and wetlands, use biodiversity-friendly agricultural techniques		Avoid afforestation in high biodiversity habitats Avoid afforestation with non-native species or GMO Avoid agricultural soil management practices that increase need for herbicides	Need for effective incentives and clarification of land tenure issues Cost-benefit ratio depending on location and socio-economic setting, potentially very

Increasing water periods in rivers and lakes	low	Shifting of water extraction to other sources, e.g. groundwater pumping, transfer through channels			Increasing water scarcity in other aquatic ecosystems	Avoid damage to high biodiversity habitats	good High risk for delay of necessary adaptation by simply shifting the problem
		Construction and management of reservoirs	May provide additional habitat for wetland species	Optimise management, e.g. to imitate natural flooding dynamics	May have negative impact on existing wetland, river, floodplain or lake habitats	Choose design with low biodiversity impact (e.g. lateral reservoirs rather than dams across rivers)	
		Desalination	May decrease pressure on freshwater resources, and hypersalinity of coastal areas			Pre-treat effluent or dispose in deeper water	Very resource-intensive, conflict with climate change mitigation
		Demand-side management, e.g. reducing losses in transfer or increasing use efficiency, use of grey water etc.	Decreasing disturbance to natural water balance				Good long-term cost-benefit ratio
		Land use management in watersheds to maintain or enhance water retention, see above					
		Technical adaptations for aquatic transport infrastructure	May lead to loss of riverbed habitat, loss of natural shore structures			Choose design with low biodiversity impact	High cost Risk for maladaptation by changing sedimentation and currents
		Adapting means and	Reducing need for				High investment

		management of aquatic transport, e.g. changing boat design	upkeep of infrastructure							cost to individual users
		Limit land use change to conserve soil	Maintain forest ecosystems							
Drought surface water		Increase extraction of potable water	May provide for other ecosystems	Efficient water use	Downstream ecosystems affected	Limit extraction rate			Assess alternative sources	
<b>Coastal zone</b>										
Sea Rise/Coastal Erosion	Level	Coastal protection using hard infrastructure e.g. sea walls (types), dykes, etc	Protect otherwise affected biodiversity sites when eroded	Proper design/location	Alter natural processes, habitat loss,	Minimize stresses	other		Sea walls are very site specific.	
		Coastal protection using Soft structures, e.g. beach nourishment	Protect otherwise affected biodiversity sites when eroded	Proper location of source/types of materials,	Disturbance of intertidal or sea bottom habitats, primarily of source areas				Sourcing of the material	
					Relatively high cost				Scale	
					High technological and information requirements				Previous state of ecosystem	
		*Coastal protection using natural resources, e.g. mangrove, etc	Preserve current biodiversity	Replanting, keep/improve other connected systems, e.g. freshwater flow	keep systems healthy, decrease other stress				Inexpensive	
		Creation of Artificial reef including assisted migration	Create habitats	Applicable in certain sites / regions	Changes to coastal currents, sea-bottom habitats, coastal biological communities, pollution; novel communities	migrate species possible	endemic where		Scale, size and design, Relatively cost-effective	
									Potential of co-benefits with fisheries (see below)	
Reduced resilience		Reduce tourism and	Protect species from							

**Comment [BfN209]:** Please clarify description of issue.

**Comment [BfN210]:** Please clarify meaning.

of polar systems	<u>control activity</u>	<u>scientific</u>	excessive energy expenditures, reduce disruptions to normal behaviour			
<b>Forestry</b>						
Over-arching management policies to reduce impacts of CC	*Increase management (A)	adaptive systems	<u>Where forests are currently subject to unsustainable land use activities.</u> Increased use of sustainable forest management including regular monitoring and research on effects of management actions; Increased recognition of biodiversity as a part of the managed forest; increase forest ecosystem resilience; reduce over-harvesting	Increase practices to entire forest land base; increase application of community forestry; reduce illegal logging; high mitigation benefit		National impact, best approach to ecosystem-based adaptation in forests. Case studies – successful application in various countries. Co-benefit of improved C-sequestration
	Reduce other stresses on forests, e.g., pollutants (A)		Increased forest vitality and resistance	Assess worst pollutants on a local and regional basis and mitigate		Local and regional scales
	Assisted migration (planting beyond current range of tree species) (A)		Maintain species in time and space; increase resilience	Carefully model, test and select species	Possible incorrect selection based on dispersal capacity; anthropogenic novel ecosystem development; adaptive nature of genotypes leading	Regional scale
					Improve models; Select species in region, select individuals carefully based on criteria	

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				to invasiveness		National impact
	*Incorporate traditional knowledge about CC into forest planning to improve and inform management systems (A)	Increase resilience and resistance	Foster learning and interaction at the local community level			
	*Increase use/area of protected areas to maintain gene stocks, and as C sinks (N)	Maintain genes, species and migration corridors, protection of vulnerable ecosystems	Select locations wisely to maximize C sequestration potential in time, develop synergies with other landscape planning; local community involvement			National/international impact: eg, of vulnerable systems: tropical, boreal, mountain
Changes in severity of disturbances:	Maintain gene banks (N)	Maintain genes, species				
1.Increased pests	Increasing use of insecticides to combat pests (S,P)	Reduced loss of forest area		Impacts on non-target species and food webs; water pollution	Use biological insecticides; selected areas; avoid over-spray	Possibility of effects on multiple kinds of systems
	Introduction of pest-resistant varieties or species; promotion of pest-resistant species (S,P)	Increase resistance		Possible invasiveness, competition with endemic species	Test thoroughly before release; release in isolated trial areas	generally local and regional impact
	*Promoting structurally rich mixed stands of native species (S,P)	Increasing habitat availability to native forest flora and fauna	Use native species and mixtures	Possible reduction in natural monocultures and associated flora and fauna	Maintain natural monocultures in some areas	Regional scale
	Reduce rotation length to reduce favorable conditions to pests			Reduction of old forest	Minimise area affected	Regional scale

	(S,P) Develop and act on invasive species planning (A)	Protection of forest systems from invasion	Active monitoring and eradication research and programs	Alteration of systems by invasive species		National scale; see: Global Invasive Species Plan
2.A. Wildfire (boreal, temperate)	Controlled burning to reduce fuel loads (S,P)			Loss of dead wood habitats	Establish and maintain ecosystem-based thresholds	Stand scale
	*Develop 'fire smart' landscapes (S,P)	Use of mixed wood forests	Use endemic fire- resistant species; consult traditional knowledge	Altered landscape structure vs. natural	Reduce total replacement of natural types	Landscape scale, regional effects
	Improve fire management to reduce fire (A)	Reduced mature forest loss	Increased training and investment			National scale, regional implementation
2B. tropical	*Reduce fragmentation	Increase forest area and habitats	Proper landscape planning			National scale, regional implementation
3. Increased Frequency/ intensity	*Assist forest regeneration by increased planting after disturbances (also referred to as assisted natural regeneration) (S,P)	Increased resilience	Use native species where possible	Possible use of non-native species	Assess probability of invasiveness, plan to eliminate once stable system is achieved	Regional effects
	Incorporate risk management planning into FM (A)	Increased resilience	Improve models			Risk management is not generally a part of SFM
4. Non-native plant spp. invasion	Use of control means (A)	Maintain natural biodiversity	Reduce probability of invasibility early.	Effects of herbicides	Match timing of application to phenology	Invasive species planning required
Decreased moisture and increased temperature	Introduction or promotion of species with low water requirements (A)	Increased resilience	Use locally endemic species	Novel forest types	Use regional species pool	Local and regional scales

**Comment [w211]:** CEPF suggest that SFM in form of thinnings, harvest of dead biomass etc. aiming at reducing the fuel loads should be added as an adaptation action. This action has a big co-benefit, when the harvested biomass can substitute fossil fuel.  
Issue, Changing forest conditions form local and indigenous communities. CEPF fully support recognition of traditional knowledge, but the abbreviation NTFP should be explained.



	*Select species to increase resilience of stands (see above) (A) Protect riparian areas and flood plain forests (S,P)	Increasing habitat availability to native forest flora and fauna Maintain increased forest cover/habitat	Use locally endemic species  <u>Where forests are currently subject to unsustainable land use activities.</u> Apply SFM techniques		Use regional species pool	Local and regional scales  Local effects
	Introduce species/provenances/genomes resistant to water stress (S,P)	Increase resilience	Use native sp. where possible	replacement of native species; Use of non-native species that may invade and displace endemics; novel systems	Monitor effects; test outplanting	Local effects; Monitor effects, local impacts; method to enhance crop value
	*In areas with risk of large-scale forest break-down: ensure sufficient area of forest is retained to avoid thresholds of regional or local hydrological cycles (A)	Retaining natural forest cover				Regional
	Adjust rate of cutting (S,P)	No forest loss over time	Improve models to predict G&Y			Local effects
	*Under-plant with suitable species (A)	No loss in forest over time		Use of non-native species	Improve models	Local effects
CO2 fertilisation/ altered N levels; alteration of forest sinks	*Reduced deforestation and degradation (N,S)	Maintains forest habitats, maintain primary and intact forests, reduced fragmentation	Develop plans with local communities			Monitor effects, regional level
	Increased rotation period (S,P)	Increase old growth forests				Local and regional effects

	*Afforestation/reforestation of degraded lands (S,P)	Increase forest habitats; reduce fragmentation	Use native spp. where possible or replace non-native spp. once system is stable					Local and regional effects
	N fertilization (P)	Improve forest health	Understand ratios	C/N	Overfertilisation acidification	Understand ratios	C/N	Local effects
	Improve forest management (S,P)	Improve dead wood and soil habitats	Understand biodiversity relationships and thresholds					Local effects
	Minimise disturbance in harvesting	Improve soil biota	Low impact harvesting					Local effects
	*Prevent conversion of primary forests to plantations (N)	Maintain forest habitat, increase resilience (vs. of plantations); reduce fragmentation	Maintain large tracts; involve local communities					Local and regional effects
	Under-plant legumes (S,P)	Enhanced soil processes increase soil C	Use endemic species; use traditional knowledge to select species					Local effects
	Payment for environmental services (N)	Maintain forest habitat, increase resilience (vs. of plantations); reduce fragmentation	Maintain large tracts; involve local communities					
Changing forest conditions for local and indigenous communities	*Promote the use of traditional knowledge in forest planning (A)	Improved forest resilience						Local and regional effects
	Encourage adoption	Improved forest						Local and

SFM techniques (A)	resilience, improved use of NTFPs	regional effects
*Increase size of protected areas where useful to protect communities (N,S)	Improved forest resilience, maintain gene banks	Local effects

### Fisheries

CC (temp, SLR, extreme events) Coastal Fisheries	Creation/enhancing effective MPAs	Preserve ecosystems, protect coastal processes, improve water quality etc	Provide Alternative protein and income generating sources	Effective management, use local knowledge, locally owned,	MPAs connectivity	
CC ENSO pelagic fisheries open ocean	Sustainable Harvesting of Stock	Preserve ecosystems,	Reduce wasteful practices	create pressure on alternative recourses	Alter fishing methods e.g. net mesh size, use by-catch	Approach issue on regional basis
	Closure of Fishing Grounds	Allow stock to function	Good understanding of stock biology	Limited knowledge of stock, lack enforcement	Effective enforcement	Regional cooperation critical

**Comment [BfN212]:** Please clarify description of issue

**Comment [BfN213]:** Please clarify description of issue

### Human health

Human health and spread of vector borne diseases	Drainage of wetlands for <del>to</del> eliminate breeding sites of vector <del>borne diseases</del> <u>disease-bearing vectors</u> like mosquitoes		Management of wetland breeding sites. Transform ecosystems/ introduced alien species	<del>Introduce endemic fish into wetlands to control larvae.</del>	
	Management of wetland breeding sites (mosquitoes) introducing fish to control larvae.	Preserve the ecosystem and the biodiversity.	Introduction of new species on the ecosystem	Introduce regional (local) fish species into wetlands to control larvae.	Alternative
	Chemical control of vector borne diseases		Chemicals eliminate non-	.	Alternative

	like mosquitoes				target organisms			
	Bio-larvicide of vector diseases mosquitoes	control borne like	Neutral, larvicides population mosquitoes	Bio-control larvae		Bio-larvicide did not eliminate non-targeted organisms. Not chemical substances are liberated		Alternative
<b>Wild game and food plants</b>								
Reduced availability	*Sustainable management	forest	Protect sources	natural				
	Assisted migration				Novel systems	Use species		regional
	Ex situ conservation		Conservation of genetic material					
	Wildlife ranching				Diseases, inbreeding	Use accepted techniques; monitor		
<b>Human settlement Extreme events (e.g mudslides, hurricanes, flash floods)</b>								
Over-arching management policies to reduce impacts of CC	Long-term planning	strategic	Protection of green areas and their biodiversity in towns	To consult with local people and to derive benefit from them				National impact, best approach to sustainable life in settlements
	Spatial planning for flood management		Let some places without urban exploitation	To protect most valuable rests of semi-natural habitats	Disturb semi-natural habitats by wall and dyke construction	Make restoration of walls and dykes		Local impact
	Introduce adaptive management systems		Possibility to adapt measures damaging biodiversity	Increased and regular monitoring and research on effects of				National impact

			management actions			
	Reduce other stresses in settlements, e.g. air-borne pollutants	Increased vitality and resistance of urban vegetation	Assess worst pollutants on a local and regional basis and mitigate			Local and regional scales
Changes in severity of disturbances:	Increase resilience of urban vegetation to extreme weather	Improved site conditions for more organisms	To realize wide extent of measures			Regional impact
	Reduce heat	Improving microclimate by use of green infrastructure (parks, trees, green roofs etc.)	Creating new potential habitat		Design (choice of regional species, management etc.)	Local to regional scales
	Construct new water bodies	Creating new potential habitats	Construct only small water bodies	Disturb semi-natural habitats		Local scale
	Construct new flood retention capacity (polders)	Creating new potential habitats	To select suitable water-adapted habitats	Disturb semi-natural habitats	Use regional species pool	Local scale
	Habitat loss compensation	Creating new (mostly artificial) habitats as refugia for native plants and animals	Background from local species knowledge; use of natural materials (stone, wood)			Local scale
	Sustainable drainage	Maintenance of sustainable conditions for urban vegetation	Make plantations of regional species if necessary	Disturbance to soil organisms, change in water table level	Take into account local site conditions	Local and regional scales
	Construction of vegetated protection barriers	Create new niches for biodiversity	Use native species; design adequate	Shift some pressures from one habitat/ecosystem to another	Lower costs; potential for land use conflicts	Alternative
	Relocation of hard infrastructure (building, etc.)	Leave free ancient urban space for new habitats	Design and where is place the new location	Shift some pressures from one habitat/ecosystem	Can be expensive. High potential for land use conflicts	Scale, characteristics of habitats/

to another

ecosystems  
concerned



Annex 4

RELEVANCE OF DIFFERENT MITIGATION OPTIONS TO DIFFERENT LANDSCAPE CONTEXTS

Mitigation options	Landscapes where active deforestation and degradation are occurring	Landscapes where there is minimal or no deforestation and degradation	Landscapes which have largely been deforested
Reducing deforestation and degradation	X	<i>(not applicable, since no deforestation ongoing)</i>	<i>(not applicable, since no forest is left)</i>
Forest Conservation	<i>X (of forests that have not yet been deforested)</i>	X	<i>(not applicable, since no forest is left)</i>
Sustainable forest management	<i>X (on degraded forest land)</i>	<i>(not applicable, since no forest management ongoing)</i>	<i>(potentially applicable to remnant forest patches in landscape)</i>
Afforestation, reforestation and forest restoration	<i>X (on already-deforested land)</i>	<i>(not applicable since minimal deforested land available for planting)</i>	X
Implementation of sustainable cropland management	<i>X (on deforested land)</i>	<i>(not applicable since minimal deforested land available)</i>	X
Implementation of sustainable livestock management practices	<i>X (on deforested land)</i>	<i>(not applicable since minimal deforested land available)</i>	X
Implementation of Agroforestry systems	<i>X (on deforested land)</i>	<i>(not applicable since minimal deforested land available)</i>	X
Conservation and restoration of peatlands and wetlands	X	X	X
Biofuels	<i>X (on deforested land)</i>	<i>(not applicable since little deforested land available)</i>	<i>X (on deforested land)</i>
Mangrove restoration	X	X	X
Renewable energy (solar, hydro, wind, etc.)	X	<del>X</del> <i>(potentially applicable on small scale for forms of renewable energy with low area</i>	X

**Comment [w214]:** This is a helpful first attempt at characterising the relevance of different mitigation options to landscape contexts but is limited by only addressing a single type of landscape (forests). This should be made clearer or mitigation options relevant to other landscapes (e.g., dry lands management for carbon storage/reservoir purposes) should be added.

It is unclear as to why renewable energy options are relevant in the context of landscapes where there is minimal or no deforestation and degradation. Similarly it is unclear why mangrove restoration (more usually identified as an adaptation option) has relevance in these sorts of forests.

This sort of tabular approach would also benefit from closer alignment with the language used for relevant mitigation options identified in the IPCC's Fourth Assessment Report. For example the relevant forest options are labelled: Afforestation, reforestation, forest management, reduced deforestation, harvested wood product management, use of forestry products for bioenergy to replace fossil fuels. Other mitigation options could be added (to non forest landscapes) such as restoration of cultivated peaty soils and degraded lands.

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		<u>requirements, since little</u> <u>deforested land available)</u>	
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*Note: renewable energy mitigation options are possible, regardless of the landscape context.*

Annex 5

OVERVIEW OF LINKAGES BETWEEN THE CONSERVATION AND SUSTAINABLE USE OF BIODIVERSITY, AND CLIMATE CHANGE  
MITIGATION

<i>Mitigation activity</i>	<i>Potential benefits for biodiversity</i>	<i>Potential risks to biodiversity</i>	<i>Possible actions to maximize benefits or reduce negative impacts on biodiversity</i>
Reducing emissions from deforestation and forest degradation	Reduced forest loss and reduced forest degradation <sup>24</sup> Reduced fragmentation Maintenance of diverse gene pools and robust species populations	Leakage into areas of high biodiversity	Develop premiums within incentive measures for biodiversity co-benefits At national level, prioritizing REDD actions in areas of high biodiversity Improving forest governance Promote participation in the REDD mechanism, to minimize international leakage Involve forest-dwelling indigenous and local communities
Forest Conservation	Conservation of intact forest habitat Reduced fragmentation Maintenance of diverse gene pools and robust species populations Maintenance of ecological processes and functions Enhanced integrity of the landscape and enhanced resilience of ecosystems to climate change		Prioritize conservation of forests with high biodiversity Conserve large areas of intact forest Maintain landscape connectivity Conserve a diversity of forest types, covering different microclimatic conditions and including altitudinal gradients Avoid unsustainable hunting

<sup>24/</sup> This could be achieved through: increased flow of financing to address deforestation and forest degradation; improved data on forests, facilitating decision-making; and capacity building on ways and means to address threats to forests and forest biodiversity

<i>Mitigation activity</i>	<i>Potential benefits for biodiversity</i>	<i>Potential risks to biodiversity</i>	<i>Possible actions to maximize benefits or reduce negative impacts on biodiversity</i>
Sustainable Management of Forests	Reduced degradation of forest (relative to conventional logging)	Use encroachment in intact forest, resulting in biodiversity loss	<p>Prioritize sustainable management in areas that are of lower biodiversity value and already slated for management</p> <p>Minimize use in intact forests of high biodiversity value</p> <p>Apply best-practice guidelines for sustainable forest management including reduced impact logging</p>
Afforestation and Reforestation (A/R)	<p>Habitat restoration of degraded landscapes (if native species and diverse plantings are used)</p> <p>Enhancement of landscape connectivity (depending on spatial arrangement)</p> <p>Protection of water resources, conserving aquatic biodiversity (depending on type of plantation)</p>	<p>Introduction of invasive and alien species</p> <p>Introduction of genetically modified trees</p> <p>Replacement of native grasslands, wetlands and other non-forest habitats by forest plantations</p> <p>Changes in water flow regimes, negatively affecting both aquatic and terrestrial biodiversity</p>	<p>Apply best practices for reforestation (e.g., native species, mixed plantations)</p> <p>Prevent replacement of intact forests, grasslands, wetlands, and other non-forest native ecosystems by forest plantations.</p> <p>Implement afforestation/reforestation on degraded lands (not intact forests)</p> <p>Locate reforestation in such a way to enhance landscape connectivity and reduce edge effects on remaining forest patches</p> <p>Develop premiums within incentive measures for biodiversity co-benefits</p>
<b>Other land use and land-use change activities:</b>			
Land-use change from low carbon to higher carbon land use (e.g., annual cropland to grassland; revegetation)	Restoration of native habitats	<p>Introduction of invasive species</p> <p>Prioritization of high net carbon land uses over biodiversity considerations</p> <p>Conversion to non-native ecosystem types</p>	<p>Promote the use of native species when changing land use</p> <p>Restore native ecosystems</p> <p>Improve the assessment / valuation of biodiversity and ecosystem services during decision making regarding land-use change (e.g. water cycling, flood protection, etc.)</p> <p>Develop premiums within incentive measures for biodiversity co-benefits</p>

<b>Mitigation activity</b>	<b>Potential benefits for biodiversity</b>	<b>Potential risks to biodiversity</b>	<b>Possible actions to maximize benefits or reduce negative impacts on biodiversity</b>
Implementation of sustainable cropland management (including soil conservation, conservation tillage, fallows, etc)	Provision of habitats for agricultural biodiversity  Reduced contamination of streams and other water bodies, affecting aquatic biodiversity	Expansion of cropland into native habitats  Possible increased use of herbicides associated with conservation tillage	Promote sustainable crop management as part of a broader landscape level planning that includes conservation of remaining native ecosystems and restoration, as appropriate  Consider traditional and local knowledge  Provide capacity-building and information on appropriate sustainable cropland management
Implementation of sustainable livestock management practices (including appropriate stocking density, grazing rotation systems, improved forage, etc.)	Provision of habitat for species present in pastoral systems  Reduced contamination of streams and other water bodies, affecting aquatic biodiversity	Expansion of area used for livestock into native habitats	Promote sustainable livestock management as part of a broader landscape level planning that includes conservation of remaining native ecosystems and restoration, as appropriate  Consider traditional and local knowledge  Provide capacity-building and information on appropriate sustainable cropland management
Implementation of agroforestry systems on existing croplands or grazing lands	Provision of habitat for agricultural biodiversity  Restoration of degraded landscapes  Enhancement of landscape connectivity (depending on spatial arrangement)  Protection of water resources, conserving aquatic biodiversity (depending on type of Agroforestry system)  Reduced contamination of streams and other water bodies (due to reduced use of	Introduction of invasive and alien species  Encroachment into native ecosystems	Promote agroforestry as part of a broader landscape level planning that includes conservation of remaining native ecosystems and restoration, as appropriate  Consider traditional and local knowledge  Provide capacity-building and information on appropriate agroforestry systems

	agrochemicals) affecting aquatic biodiversity		
Conservation and restoration of peatlands and other wetlands including mangroves	<p>Habitat conservation and restoration for both terrestrial and aquatic biodiversity</p> <p>Maintenance of ecological processes and functions, particularly those related to hydrology</p> <p>Enhanced integrity of the landscape and enhanced resilience of ecosystems</p>	Increased methane emissions if restoration is done inappropriately	<p>Prioritize restoration of peatlands and wetlands of high biodiversity</p> <p>Maintain and restore entire hydrological catchments or at least the headwaters</p> <p>Restore and maintain landscape connectivity</p> <p>Maintain natural water flow regimes</p> <p>Encourage regeneration – or replant- native mangrove trees</p> <p>Involve indigenous and local communities</p>
Biofuels	<p>Restoration of soils in degraded lands</p> <p>Enhanced connectivity between ecosystems</p> <p>Reduced air pollution</p> <p>Reduction in application of pesticides and fertilizers</p> <p>Reduction in water used for irrigation</p>	<p>Conversion and fragmentation of natural ecosystems, resulting in biodiversity loss</p> <p>Introduction of invasive species</p> <p>Contamination of water reserves, affecting aquatic biodiversity</p> <p>Changes in water flow, affecting aquatic and terrestrial biodiversity</p>	<p>Prevent replacement of intact forests, grasslands, wetlands, and other native ecosystems by biofuel crops</p> <p>Minimize encroachment of biofuels into intact ecosystems of high biodiversity value</p> <p>Plant biofuel crops on already degraded lands</p> <p>Apply best practices and standards for biofuels</p> <p>Use native species where possible</p>
Other large-scale renewable energy (including solar, hydro, wind, etc.)	Reduced air pollution	<p>Habitat destruction</p> <p>Disruption of migration patterns of terrestrial and/or aquatic fauna</p> <p>Increased mortality of birds (wind turbines)</p>	<p>Identify areas for renewable energy projects that will have a lesser impact on biodiversity</p> <p>Conduct a comprehensive environmental impact assessment</p> <p>Apply best management practices</p>

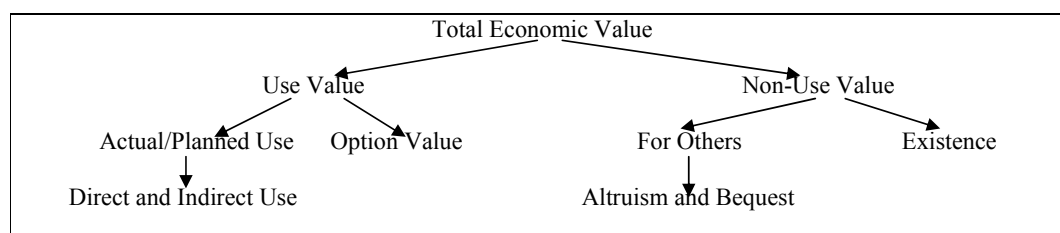


Annex 6  
BASIC PRINCIPLES FOR ECONOMIC VALUATION AND INCENTIVE MEASURES

*Methodologies available to value changes in ecosystem services:* These values can be considered in a Total Economic Value (TEV) framework that takes into account both the use and non-use values individuals and society gain or lose from marginal changes in ecosystem services. TEV refers to the total change in well-being from a decision measured by the net sum of the willingness to pay (WTP) or willingness to accept (WTA). The value that we are trying to capture is the total value of a marginal change in the underlying ecosystem services.

As many ecosystem services are not traded in markets, it is necessary to assess the relative economic worth of these goods or services using non-market valuation techniques.

**Figure: Total Economic Value**



*Use values* include direct use, indirect use and option value. Examples of *direct use* can be in the form of consumptive use, e.g., use of extracted resources such as food and timber (activities that can be traded in the market), or non-consumptive use, e.g., recreation (a non-marketable activity). Examples of *indirect use*, which are not normally traded in the market, are those where society benefits from services such as climate regulation, pollination, and soil maintenance. *Option value* is the value society places on the option to use a resource in the future, e.g., an individual may well be willing to pay for a national park even if they have no intention of using it in the near future, but want to keep the option open to visit in the future.

*Non-use values* include bequest, altruistic and existence values: *bequest value* where society attaches value to passing on the ecosystem services to future generations; *altruistic value* where individuals attach value to the availability of ecosystem services to others within the current generation; and *existence value* where an individual has no planned or actual use of an ecosystem service but is willing to pay for it to be maintained.

Typically, provisioning services have direct use and option values; regulating services have indirect use and option values; and cultural services have direct use, option and non-use values.

*Economic valuation techniques include:* (i) so-called revealed preference techniques, which are based on actual observed behavioural data (conventional and surrogate markets, based on for example market prices, hedonic pricing, travel cost method); (ii) so-called stated preference techniques, which are based on hypothetical rather than actual behaviour data, where people's responses to questions describing hypothetical markets or situations are used to infer value (hypothetical markets based on for example contingent valuation and choice modeling); and (iii) the so-called benefits transfer approach, which consists in the use of results obtained in one valuation study in a different, but very similar case.

*Non-economic valuation:* can be addressed through deliberative or participatory approaches. These approaches explore how opinions are formed or preferences expressed in units other than money.

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