



Convention on Biological Diversity

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INFORMAL ADVISORY COMMITTEE TO THE ACCESS AND BENEFIT-SHARING CLEARING-HOUSE

First meeting

Montreal, Canada, 28-30 October 2015

Item 3 of the provisional agenda*

COMMON FORMATS FOR THE ACCESS AND BENEFIT-SHARING CLEARING-HOUSE

Note by the Executive Secretary

1. Article 14 of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization establishes an Access and Benefit-sharing Clearing-House (ABS Clearing-House) as part of the clearing-house mechanism under Article 18, paragraph 3, of the Convention on Biological Diversity.

2. The Conference of the Parties serving as the meeting of the Parties to the Protocol (COP-MOP) at its first meeting considered progress made and experience gained during the pilot phase of the ABS Clearing-House and adopted decision NP-1/2. In paragraph 7 of the decision, COP-MOP requested the Executive Secretary to implement the ABS Clearing-House, in line with available resources, and in accordance with the modalities of operation (decision NP-1/2, annex) and feedback received, in particular that of Parties.

3. The functions of the Secretariat, as contained in the modalities of operation of the ABS Clearing-House, include: (a) the provision of common formats for submitting information to the ABS Clearing-House, as appropriate, while distinguishing between mandatory and optional information without prejudice to the protection of confidential information; and (b) the review of existing, and development of further, common formats and user roles, as necessary, while ensuring coherence and interoperability with entries submitted using existing common formats (decision NP-1/2, annex, para. 1 (e) and (f)).

4. Article 14, paragraph 3, of the Protocol provides that additional information to make available to the ABS Clearing-House, if available and as appropriate, may include, inter alia, (a) relevant competent authorities of indigenous and local communities, and information, as so decided; (b) model contractual clauses; and (c) codes of conduct and best practices.

5. In addition, at the first meeting of COP-MOP, the Executive Secretary was requested to do the following:

(a) Promote the use of the ABS Clearing-House for supporting capacity-building for the implementation of the Protocol (decision NP-1/2, para. 12);

(b) Promote and facilitate, in collaboration with relevant organizations, coordination and cooperation in the implementation of the strategic framework by, inter alia, providing relevant tools and information through the ABS Clearing-House (decision NP-1/8, para. 10 (a));

* UNEP/CBD/ABS/CH-IAC/2015/1/1.

(c) Ensure that information on capacity-building and development needs, opportunities and activities can be submitted to and accessed from all platforms under the Convention so that access and benefit-sharing capacity development activities are integrated into the global capacity development activities under the Convention (decision NP-1/8, para. 10 (b)).

6. Against this background, the Secretariat has prepared the following draft common formats to enable Parties and relevant organizations to submit information on: (a) model contractual clauses, codes of conduct, guidelines, and best practices and/or standards; (b) community protocols, and customary laws and procedures; (c) capacity-building and development initiatives (activity/project/programme); (d) capacity-building and development resources (to be registered through the Virtual Library); and (e) ABS virtual library resources.

7. The draft common formats include predefined text (controlled vocabulary) to facilitate registration of information in a consistent manner and also allow for customized search and retrieval of the information in all six United Nations languages. As much as possible, the controlled vocabulary has been aligned with the controlled vocabulary of the CBD clearing-house mechanism and the Biosafety Clearing-House.

8. The informal advisory committee to the ABS Clearing-House is invited to provide comments and advice on the draft formats during its discussions under agenda item 3.

Annex

**DRAFT COMMON FORMATS FOR THE ACCESS AND BENEFIT-SHARING
CLEARING-HOUSE**

*National Record Type:*¹ #ABSCH-(A19-20)

Model Contractual Clauses, Codes of Conduct, Guidelines, Best practices and Standards (A19-20)

Mandatory information fields are marked with an asterisk. Failure to provide information for these fields may result in the record not being made available to the ABS Clearing-House.

(To enter any text or to check the box, please double click the shaded area)

Articles 19 and 20 of the Protocol require each Party to encourage, as appropriate, the development, update and use of sectoral and cross-sectoral model contractual clauses for mutually agreed terms, as well as voluntary codes of conduct, guidelines and best practices and/or standards in relation to access and benefit-sharing. These tools can serve to promote legal certainty and transparency, and reduce transaction costs in the implementation of ABS requirements, while taking into account the practices of different sectors.

This common format is to be used for registering model contractual clauses for mutually agreed terms, as well as voluntary codes of conduct, guidelines and best practices and/or standards.

If this tool is developed by a Party to support implementation of domestic ABS requirements as part of a country's national ABS framework, please register that information using the common format for legislative, administrative or policy measures. This will allow the information to be displayed as part of the country profile and to be included in the list of national ABS measures.

General Information	
1. *Type of resource:	<input type="checkbox"/> Model contractual clauses <input type="checkbox"/> Codes of conduct <input type="checkbox"/> Guidelines <input type="checkbox"/> Best practices <input type="checkbox"/> Standards
2. *Title:	<Text entry>

¹ National records contain information that is typically required to be reported as part of a Party's obligations under the Nagoya Protocol on Access and Benefit-sharing and must be validated by the ABS-CH publishing authority prior to being made available in the ABS Clearing-House. The information submitted through this common format will be made available at <https://absch.cbd.int/search/reference-records/A19A20/>.

3. *Author(s): ²	<Text entry> <i>and/or</i> Reference to the authoring organization(s). <i>Please enter the clearing-house record number containing this information or, if not registered, attach a “Contact details” common format:</i> <clearing-house record number>
4. *Publication year:	<YYYY>
5. Rights: ³	<Text entry>
6. Format: ⁴	<Text entry>
Access to the resource(s)	
7. *Link to the resource(s): ⁵	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment> <Select language>
8. Cover image(s): ⁶	<Attachment>
Information on the content of resource	
9. Summary, abstract or table of contents:	<Text entry>
10. Country(ies), regional or economic group(s) covered by the resource:	<Country name> <i>and/or</i> <Geographical or political/economic group(s)>

² Name of the person or organization that has authored the publication or information resource.

³ Information about rights held in and over the resource, such as copyright holder, and availability for reproduction for educational or non-profit purposes.

⁴ Format may be used to identify the resource dimensions (e.g. size, number of pages or duration) or the software, hardware, or other equipment needed to display or operate it (e.g. “Requires Acrobat Reader for viewing.”)

⁵ Please always attach the relevant document(s) that will be stored in the database for users to download. When resources are available on the Internet, please also provide a link to them.

⁶ Only JPEG and PNG formats are supported.

Keywords for facilitating online searches

11. *Thematic area(s):

- Access to genetic resources (Article 15 of the CBD)**
- Nagoya Protocol**
 - Access
 - Access to genetic resources, including prior informed consent
 - For commercial purposes
 - For non-commercial purposes
 - Access to traditional knowledge associated with genetic resources, including prior informed consent or approval or involvement
 - For commercial purposes
 - For non-commercial purposes
 - Benefit-sharing
 - Mutually agreed terms
 - Monetary benefits
 - Non-monetary benefits
 - Compliance
 - Compliance with domestic legislation or regulatory requirements
 - On access to genetic resources and benefit-sharing
 - On access to traditional knowledge associated with genetic resources and benefit-sharing
 - Non-compliance with domestic legislation or regulatory requirements
 - Monitoring the utilization of genetic resources
 - Checkpoints
 - Permits or their equivalent constituting an internationally recognized certificate of compliance
 - Compliance with mutually agreed terms
 - Traditional knowledge associated with genetic resources
 - Community protocols
 - Customary laws and procedures
 - Access and Benefit-sharing Clearing-House
 - Model contractual clauses
 - Codes of conduct, guidelines, and best practices and or/standards

	<input type="checkbox"/> Transboundary cooperation <input type="checkbox"/> Capacity-building <input type="checkbox"/> Technology transfer, collaboration and cooperation <input type="checkbox"/> ABS legislative, administrative or policy measures <input type="checkbox"/> Types of organisms <input type="checkbox"/> Plants <input type="checkbox"/> Animals <input type="checkbox"/> Microorganism <input type="checkbox"/> Fungi <input type="checkbox"/> Wild species <input type="checkbox"/> Domestic species <input type="checkbox"/> Area of access of the genetic resource <input type="checkbox"/> Agricultural areas <input type="checkbox"/> Forest <input type="checkbox"/> Inland waters <input type="checkbox"/> Dry and sub-humid areas <input type="checkbox"/> Marine and coastal areas <input type="checkbox"/> Mountains <input type="checkbox"/> Protected areas <input type="checkbox"/> Islands <input type="checkbox"/> Ex-situ collections <input type="checkbox"/> Soil and/or water samples <input type="checkbox"/> Users of genetic resources <input type="checkbox"/> Commercial purpose <input type="checkbox"/> Agricultural sector <input type="checkbox"/> Botanical and horticultural sector <input type="checkbox"/> Food and beverage <input type="checkbox"/> Industrial biotechnology, <input type="checkbox"/> Personal care and cosmetics <input type="checkbox"/> Pharmaceutical sector <input type="checkbox"/> Non-commercial purposes <input type="checkbox"/> Academic research <input type="checkbox"/> Identification and taxonomy <input type="checkbox"/> Intellectual property rights <input type="checkbox"/> Relevant international agreements, instruments and organizations
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	<input type="checkbox"/> Relationship with other international instruments <input type="checkbox"/> Antarctic Treaty System <input type="checkbox"/> Food and Agriculture Organization <input type="checkbox"/> International Treaty on Plant Genetic Resources for Food and Agriculture <input type="checkbox"/> World Health Organization <input type="checkbox"/> United Nations Convention on the Law of the Sea
Additional Information	
12. Any other relevant information: ⁷ Any other relevant documents:	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>
13. Notes: ⁸	<Text entry>

For offline submissions only:

This form should be should be completed and sent ***by e-mail*** to secretariat@cbd.int

or alternatively by

- Fax at +1 514 288-6588; or
- Postal mail to:

**Secretariat of the Convention on Biological Diversity
 413 rue Saint-Jacques, suite 800
 Montreal, Québec, H2Y 1N9
 Canada**

⁷ Please use this field to provide any other relevant information that may not have been addressed elsewhere in the record.

⁸ The field “Notes” is for personal reference and can be seen only when the record is being edited.

*National Record Type:*¹ #ABSCH-CPP

Community protocols and procedures and customary laws (CPP)

Mandatory information fields are marked with an asterisk. Failure to provide information for these fields may result in the record not being made available to the ABS Clearing-House.

(To enter any text or to check the box, please double click the shaded area)

Article 12, paragraph 1, of the Nagoya Protocol provides that Parties, in implementing their obligations under the Protocol, shall in accordance with domestic law take into consideration indigenous and local communities’ customary laws, community protocols and procedures, as applicable, with respect to traditional knowledge associated with genetic resources. Furthermore, Parties are to endeavour to support, as appropriate, the development by indigenous and local communities of community protocols in relation to access to traditional knowledge associated with genetic resources and benefits arising out of the utilization of such knowledge (Article 12, paragraph 3, (a)).

Providing information on how to access traditional knowledge associated with genetic resources helps other actors to better understand and respect community procedures and values.

This common format is to be used for registering community protocols and procedures and customary laws. A Party may also decide to include this information as a legislative, administrative or policy measure, as part of a country’s national ABS framework to also be displayed as part of the country profile. If this is the case, only publishing authorities duly designated by the country can publish community protocols and procedures and customary laws as a national record.

General Information	
1. *Is this a new record or a modification to an existing record: ²	<input type="checkbox"/> New record OR <input type="checkbox"/> Update of an existing record <clearing-house record number>
2. *Type of resource:	<input type="checkbox"/> Community protocols and procedures <input type="checkbox"/> Customary laws
3. *Title:	<Text entry>
4. *Author(s): ³	<Text entry>

¹ National records contain information that is typically required to be reported as part of a Party’s obligations under the Nagoya Protocol on Access and Benefit-sharing and must be validated by the ABS-CH publishing authority prior to being made available in the ABS Clearing-House. The information submitted through this common format will be made available at [https://absch.cbd.int/search/reference-records/ CPP](https://absch.cbd.int/search/reference-records/_CPP).

² To view the record number, go to <http://absch.cbd.int/> and select the applicable record under your country profile. The number ID is listed as “Record identifier” (example: ABSCH-XX-XX-XXXXXX).

³ Name of the person or organization that has authored the publication or information resource.

	<i>and/or</i> Reference to the authoring organization(s). <i>Please enter the clearing-house record number containing this information or, if not registered, attach a “Contact details” common format:</i> <clearing-house record number>
5. *Publication year:	<YYYY>
6. Rights: ⁴	<Text entry>
7. Format: ⁵	<Text entry>
Access to the resource(s)	
8. *Link to the resource(s): ⁶	<URL and website name> <i>and/or</i> <Attachment> <Select language>
9. Cover image(s): ⁷	<Attachment>
Information on the content of resource	
10. Summary, abstract or table of contents:	<Text entry>
11. Country(ies), Regional or Economic group(s) related to the resource:	<Country name> <i>and/or</i> <Geographical or political/economic group(s)>
Keywords for facilitating online searches	
12. *Thematic area(s):	<input type="checkbox"/> Access to genetic resources (Article 15 of the CBD) <input type="checkbox"/> Nagoya Protocol <input type="checkbox"/> Access <input type="checkbox"/> Access to genetic resources, including prior informed consent

⁴ Information about rights held in and over the document, such as copyright holder, and availability for reproduction for educational or non-profit purposes.

⁵ Format may be used to identify the resource dimensions (e.g. size, number of pages or duration) or the software, hardware, or other equipment needed to display or operate it (e.g. “Requires Acrobat Reader for viewing.”).

⁶ Please always attach the relevant document(s) that will be stored in the database for users to download. When resources are available on the Internet, please also provide a link to them.

⁷ Only JPEG and PNG formats are supported.

	<ul style="list-style-type: none"> <input type="checkbox"/> For commercial purposes <input type="checkbox"/> For non-commercial purposes <input type="checkbox"/> Access to traditional knowledge associated with genetic resources, including prior informed consent or approval or involvement <ul style="list-style-type: none"> <input type="checkbox"/> For commercial purposes <input type="checkbox"/> For non-commercial purposes <input type="checkbox"/> Benefit-sharing <ul style="list-style-type: none"> <input type="checkbox"/> Mutually agreed terms <ul style="list-style-type: none"> <input type="checkbox"/> Monetary benefits <input type="checkbox"/> Non-monetary benefits <input type="checkbox"/> Compliance <input type="checkbox"/> Traditional knowledge associated with genetic resources <ul style="list-style-type: none"> <input type="checkbox"/> Community protocols <input type="checkbox"/> Customary laws and procedures <input type="checkbox"/> Access and Benefit-sharing Clearing-House <input type="checkbox"/> Model contractual clauses <input type="checkbox"/> Codes of conduct, guidelines, and best practices and or/standards <input type="checkbox"/> Awareness-raising <input type="checkbox"/> Transboundary cooperation <input type="checkbox"/> Capacity-building <input type="checkbox"/> Technology transfer, collaboration and cooperation <input type="checkbox"/> Types of organisms <ul style="list-style-type: none"> <input type="checkbox"/> Plants <input type="checkbox"/> Animals <input type="checkbox"/> Microorganism <input type="checkbox"/> Fungi <input type="checkbox"/> Wild species <input type="checkbox"/> Domestic species <input type="checkbox"/> Area of access of the genetic resource <ul style="list-style-type: none"> <input type="checkbox"/> Agricultural areas <input type="checkbox"/> Forest <input type="checkbox"/> Inland waters <input type="checkbox"/> Dry and sub-humid areas <input type="checkbox"/> Marine and coastal areas <input type="checkbox"/> Mountains
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	<input type="checkbox"/> Protected areas <input type="checkbox"/> Islands <input type="checkbox"/> Ex-situ collections <input type="checkbox"/> Soil and/or water samples <input type="checkbox"/> Users of genetic resources <input type="checkbox"/> Commercial purpose <input type="checkbox"/> Agricultural sector <input type="checkbox"/> Botanical and horticultural sector <input type="checkbox"/> Food and beverage <input type="checkbox"/> Industrial biotechnology, <input type="checkbox"/> Personal care and cosmetics <input type="checkbox"/> Pharmaceutical sector <input type="checkbox"/> Non-commercial purposes <input type="checkbox"/> Academic research <input type="checkbox"/> Identification and taxonomy <input type="checkbox"/> Intellectual property rights <input type="checkbox"/> Relevant international agreements, instruments and organizations
Additional Information	
13. Any other relevant information: ⁸	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>
14. Notes: ⁹	<Text entry>

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413 rue Saint-Jacques, suite 800
Montreal, Québec, H2Y 1N9**

⁸ Please use this field to provide any other relevant information that may not have been addressed elsewhere in the record.

⁹ The field “Notes” is for personal reference and can be seen only when the record is being edited.

Canada

Draft Common Format for Access and Benefit-Sharing Capacity-building and Development Initiatives (Activities, projects and programmes)

*Reference Record Type:*¹ #ABSCH-CB

ABS Capacity-Building Initiative (Activity/Project/Programme)

Mandatory information fields are marked with an asterisk. Failure to provide information for these fields may result in the record not being made available to the ABS Clearing-House.

(To enter any text or to check the box, please double click the shaded area)

General information	
1. *Is this a new record or a modification to an existing record: ²	<input type="checkbox"/> New record OR <input type="checkbox"/> Update of an existing record <clearing-house record number>
2. *Title:	<Text entry>
3. Project number or identifier: ³	<Text entry>
4. *Geographic Scope:	<input type="checkbox"/> Global ↳ <Geographical or political/economic group(s)> and/or <Country name> <input type="checkbox"/> Regional or sub-regional ↳ <Geographical or political/economic group(s)> and/or <Country name> <input type="checkbox"/> National/federal ↳ <Country name> <input type="checkbox"/> Sub-national

¹ Reference records contain information relevant to the Protocol (but not reported as part of a Party's obligations under the Protocol). This information can be made available to the Virtual Library of the ABS Clearing-House by any registered user (including representatives of Governments, indigenous and local communities, academia, nongovernmental organizations, research institutions, business representatives, etc.). It has to be validated by the Secretariat prior to being made available in the ABS Clearing-House. The information submitted through this common format will be made available at <http://absch.cbd.int/xxx>.

² To view the record number, go to <http://absch.cbd.int/> and select the applicable record under your country profile. The number ID is listed as "Record identifier" (example: ABSCH-XX-XX-XXXXXX).

³ Please provide any project number, identifier or reference number, if applicable.

	<p>↳ <Country name> and Name of the sub-national jurisdiction: <Text entry></p> <p><input type="checkbox"/> Community</p> <p>↳ <Country name> and Name of the community: <Text entry></p>
5. *Status:	<p><input type="checkbox"/> Proposed (not yet approved)⁴</p> <p><input type="checkbox"/> Approved⁵</p> <p><input type="checkbox"/> Ongoing</p> <p><input type="checkbox"/> Completed</p>
6. *Timeframe:	<p>Starting date: <YYYY-MM-DD></p> <p>Ending date: <YYYY-MM-DD></p> <p>OR</p> <p>Duration: <Text entry></p>
7. *Agency(ies) or organization(s) implementing and/or executing the initiative: ⁶	<p><input type="checkbox"/> Implementing agency(ies):⁷ <clearing-house record number></p> <p><i>Please enter the clearing-house record number containing information about the entity (ies) responsible for overseeing and administering the development and implementation of the initiative. If it is not registered, complete and attach an “organizations” common format.⁸</i></p> <p>AND/OR</p> <p><input type="checkbox"/> Executing agency(ies):⁹ <clearing-house record number></p> <p><i>Please enter the clearing-house record number containing information about the entity(ies) directly carrying out activities of the initiative, managing its resources and reporting on the progress. If it is not registered, complete and attach an “organizations” common format.¹⁰</i></p>

⁴ “Proposed” refers to initiatives for which concepts have been developed but not yet submitted to donors or are submitted but not yet accepted/approved by donors.

⁵ “Approved” refers to initiatives whose project concepts have been accepted/approved by donors in principle and are being developed/elaborated into full proposals/project documents.

⁶ In some instances an agency could play both the implementing and executing roles. In those cases, please select both boxes.

⁷ Implementing agency refers to the organization or legal entity responsible for developing the initiative and overseeing its implementation, monitoring and evaluation, including making funding available, ensuring that fiduciary standards and quality assurance are applied, and preparation of the project completion reports. The implementing agency is ultimately the entity responsible for the completion of the initiative and is accountable to the donor.

⁸ All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

⁹ Executing agency refers to the organization/institution directly managing the initiative, including the day-to-day planning, coordination and execution of its activities, monitoring and reporting on the progress made, procuring and managing resources (staff, funds, equipment, etc.), and carrying out other project management functions.

¹⁰ All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

	<p>AND/OR</p> <p><input type="checkbox"/> Collaborating partner(s):¹¹ <clearing-house record number></p> <p><i>Please enter the clearing-house record number containing information about the entity(ies) contributing, or providing direct support, to the implementation of the initiative. If it is not registered, complete and attach an “organizations” common format.¹²</i></p>
8. Contact person(s):	<p><clearing-house record number></p> <p><i>Please enter the clearing-house record number containing this information or, if not registered, attach a “Contact details” common format.¹³</i></p>
9. *Type of capacity-building initiative:	<p><input type="checkbox"/> Project/programme¹⁴</p> <p><input type="checkbox"/> Component as part of a related project/programme/initiative¹⁵</p> <p style="padding-left: 40px;">↳ <i>Please provide further information on the project/programme/initiative: <Text entry></i></p> <p><input type="checkbox"/> Stand-alone activity¹⁶</p>
10. *Project document or document describing the initiative:	<p><URL and website name></p> <p>and/or <Attachment></p>
Detailed information	
11. *Short description of the initiative, including its goals and objectives (max 150 words):	<p><Text entry></p>

¹¹ Collaborating partners refer to entities or organizations making significant financial or other contribution to the initiative and/or actively supporting or collaborating in the implementation of the initiative.

¹² All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

¹³ All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>

¹⁴ Select this option if the entire project or programme is for building capacity in ABS.

¹⁵ Select this option if the ABS-related activity/component is part of a broader non-ABS project (e.g. a protected area project with an ABS component or activity) and provide the title and further details about the broader project/initiative.

¹⁶ Select this option if the activity is not part of a project or programme.

<p>12. Target group(s)/beneficiary(ies):</p>	<p><input type="checkbox"/> Civil society organizations</p> <p><input type="checkbox"/> General public</p> <p><input type="checkbox"/> Government</p> <p style="padding-left: 20px;"><input type="checkbox"/> National/federal</p> <p style="padding-left: 20px;"><input type="checkbox"/> Sub-national</p> <p><input type="checkbox"/> Indigenous peoples and local communities</p> <p><input type="checkbox"/> Intergovernmental organizations</p> <p><input type="checkbox"/> Media</p> <p><input type="checkbox"/> Private sector</p> <p><input type="checkbox"/> Researchers/academia</p>
<p>13. *Category(ies) of capacity-building activities:</p>	<p><input type="checkbox"/> Experiential learning¹⁷ (e.g. on-job training, field/study trip, staff exchange, etc.)</p> <p><input type="checkbox"/> Online forums and networks</p> <p><input type="checkbox"/> Online learning (e-learning modules, webinars, etc.)</p> <p><input type="checkbox"/> Provision of capacity-building tools and resources</p> <p><input type="checkbox"/> Provision of legal/technical support</p> <p><input type="checkbox"/> Research/analysis</p> <p><input type="checkbox"/> Trainings¹⁸</p> <p><input type="checkbox"/> Workshops¹⁹</p>
<p>Information on funding</p>	
<p>14. Type(s) of funding source(s):</p>	<p><input type="checkbox"/> Bilateral</p> <p><input type="checkbox"/> Global Environment Facility</p> <p><input type="checkbox"/> Government budget</p> <p><input type="checkbox"/> Multilateral</p> <p><input type="checkbox"/> Non-government organization/Foundation</p> <p><input type="checkbox"/> Private sector</p> <p><input type="checkbox"/> Self-funding²⁰ (<i>please specify: <Text entry></i>)</p>

¹⁷ Experiential learning involves action learning through hands-on experience, observation, interaction and reflection. This can be achieved through on-the-job training (e.g. apprenticeships/internships, job-shadowing, mentoring, etc.), study tours, and staff exchange or twinning programmes.

¹⁸ This may include formal classroom-based, instructor-led training courses.

¹⁹ This may include general peer-to-peer exchange workshops, multi-stakeholder workshops or workshops for exchange of experiences, good practices and lessons learned.

²⁰ This may include funds obtained through crowd funding, membership fees, etc.

15. Core funding-source:	<clearing-house record number> <i>Please enter the clearing-house record number containing this information or, if not registered, attach an “organizations” common format.</i> ²¹
16. Co-financing source(s):	<clearing-house record number> <i>Please enter the clearing-house record number containing this information or, if not registered, attach an “organizations” common format.</i> ²²
17. Total budget (amount, US dollars): ²³	<Text entry>
Capacity-building outcomes ²⁴	
18. Results:	<p>Main achievements: <i>Please provide a short summary of the main achievements of the initiative, including those related to advancing gender equality in achieving the objectives of the Convention and its Protocols, if applicable. (max 200 words):</i> <Text entry></p> <p>AND</p> <p>Main outputs: <i>Please attach/upload a copy of, or provide web link to, the relevant products (e.g. tools and materials) developed by the initiative and/or reports.</i></p> <p><i>Please enter the clearing-house record number containing relevant information</i> <clearing-house record number> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment></p>
19. Best practices and lessons learned:	Best practices and lessons learned: <i>Please provide a short summary of the best practices and lessons learned (max 500 words:)</i> <Text entry>
Information on the thematic coverage of the initiatives	
20. *Key areas and strategic measures for capacity-building and development: ²⁵	<input type="checkbox"/> Key area 1: Capacity to implement and to comply with the obligation of the Protocol <ul style="list-style-type: none"> <input type="checkbox"/> 1.1 Enabling ratification of, or accession to, the Protocol <input type="checkbox"/> 1.2 Raising awareness of the importance of GR and TK, and related ABS issues <input type="checkbox"/> 1.3 Mapping of relevant actors, including other relevant international instruments on ABS, and existing expertise for the

²¹ All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

²² All ABS Clearing-House common formats are available at <https://absch.cbd.int/help>.

²³ This may include in kind contributions expressed in monetary terms.

²⁴ This information can be provided once that there are some results (outputs, outcomes or impacts) or best practices and lessons learned from the ongoing or completed initiative.

²⁵ The key areas have been identified by the Strategic Framework for capacity-building and development to support the effective implementation of the Nagoya Protocol (decision NP-1/8).

	<p>implementation of the Protocol</p> <ul style="list-style-type: none"> <input type="checkbox"/> 1.4 Mobilizing new and innovative financial resources to implement the Protocol <input type="checkbox"/> 1.5 Establishing mechanisms for domestic interagency coordination <input type="checkbox"/> 1.6 Employing the best available communication tools and Internet-based systems for ABS activities including providing information to the ABS Clearing-House <input type="checkbox"/> 1.7 Developing mechanisms to monitor the utilization of genetic resources, including the designation of checkpoints <input type="checkbox"/> 1.8 Reporting on measures taken to implement the Protocol
	<ul style="list-style-type: none"> <input type="checkbox"/> Key area 2: Capacity to develop, implement and enforce domestic legislative, administrative or policy measures on access and benefit-sharing <ul style="list-style-type: none"> <input type="checkbox"/> 2.1 Developing a domestic policy framework on ABS <input type="checkbox"/> 2.2 Taking stock of existing domestic measures to identify gaps in the light of the obligations of the Protocol <input type="checkbox"/> 2.3 Setting-up new or amending existing ABS legislative, administrative or policy measures with a view to implementing the Protocol <input type="checkbox"/> 2.4 Developing regional model legislation <input type="checkbox"/> 2.5 Establishing institutional arrangements and administrative systems for ABS <input type="checkbox"/> 2.6 Developing procedures for granting or refusing to grant prior informed consent (PIC) <input type="checkbox"/> 2.7 Promoting compliance with domestic legislation or regulatory requirements on ABS <input type="checkbox"/> Key area 3: Capacity to negotiate mutually agreed terms <ul style="list-style-type: none"> <input type="checkbox"/> 3.1 Promoting equity and fairness in negotiation of MAT, including through training in relevant legal, scientific and technical skills <input type="checkbox"/> 3.2 Developing model sectoral and cross-sectoral contractual clauses <input type="checkbox"/> 3.3 Developing and implementing pilot ABS agreements <input type="checkbox"/> 3.4 Promoting better understanding of business models in relation to the utilization of genetic resources <input type="checkbox"/> Key area 4: Capacity needs and priorities of indigenous and local communities and relevant stakeholders, including the business sector and the research community <ul style="list-style-type: none"> <input type="checkbox"/> 4.1 Participating in legal, policy and decision-making processes

	<input type="checkbox"/> 4.2 Developing minimum requirements, as appropriate for MAT to secure the fair and equitable sharing of benefits arising from the utilization of TK <input type="checkbox"/> 4.3 Developing community protocols in relation to access to TK and the fair and equitable sharing of benefits arising from the utilization of that knowledge <input type="checkbox"/> 4.4 Developing model contractual clauses for benefit-sharing arising from the utilization of TK <input type="checkbox"/> 4.5 Enhancing the capacity of ILCs, in particular women within those communities, in relation to access to genetic resources and/or TK <input type="checkbox"/> 4.6 Negotiating favourable MAT <input type="checkbox"/> 4.7 Increasing understanding of the obligations of Parties under the Protocol with regard to TK and ILCs
	<input type="checkbox"/> Key area 5: Capacity of countries to develop their endogenous research capabilities to add value to their own genetic resources <input type="checkbox"/> 5.1 Developing and using methods for valuation of genetic resources and associated traditional knowledge <input type="checkbox"/> 5.2 Facilitating transfer of technology and developing infrastructure for research and building technical capacity to make such technology transfer sustainable <input type="checkbox"/> 5.3 Undertaking research and taxonomic studies related to conservation of biological diversity and sustainable use of its components and bioprospecting
Additional information	
21. Any other relevant information: ²⁶	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>
22. Notes: ²⁷	<Text entry>

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or alternatively by

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- Postal mail to:

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²⁷ The field “Notes” is for personal reference and can be seen only when the record is being edited.

Secretariat of the Convention on Biological Diversity
413 rue Saint-Jacques, suite 800
Montreal, Québec, H2Y 1N9
Canada

*Reference Record Type:*¹ #ABSCH-VLR

ABS capacity-building resources

Mandatory information fields are marked with an asterisk. Failure to provide information for these fields may result in the record not being made available to the ABS Clearing-House.

(To enter any text or to check the box, please double click the shaded area)

General information

1. *Is this a new record or a modification to an existing record:²

- New record
OR
 Update of an existing record
<clearing-house record number>

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² To view the record number, go to <http://absch.cbd.int/> and select the applicable record under your country profile. The number ID is listed as "Record identifier" (example: ABSCH-XX-XX-XXXXXX).

<p>2. *Type of resource:</p>	<input type="checkbox"/> Academic course/lecture <input type="checkbox"/> Article (journal/magazine/newspaper) <input type="checkbox"/> Book/book chapter <input type="checkbox"/> Case study ³ /good practice ⁴ /lessons learned <input type="checkbox"/> Dictionary/glossary/bibliography <input type="checkbox"/> FAQ/fact sheet/notes/brief <input type="checkbox"/> Learning aid and support (e.g. presentation, lecture notes, worksheet, quiz, video, images, audio clips) <input type="checkbox"/> Manual/tutorial/handbook/toolkit/guide/checklist <input type="checkbox"/> On line database/archive/catalogue <input type="checkbox"/> Online forum or network <input type="checkbox"/> Report/review/conference paper/proceedings <input type="checkbox"/> Software application (desktop or mobile)
<p>3. *Format/File type:</p>	<input type="checkbox"/> Audio <input type="checkbox"/> E-learning module/course/e-book <input type="checkbox"/> Image/photo/graphic/map/poster <input type="checkbox"/> Electronic presentation file (e.g. Power Point) <input type="checkbox"/> Text document (e.g. adobe acrobat reader or Word) <input type="checkbox"/> Video/webinar <input type="checkbox"/> Website/blog
<p>4. Format details:⁵</p>	<p><Text entry></p>
<p>5. Please identify the main purpose of the resource:</p>	<input type="checkbox"/> Assessing capacity-building needs ⁶ <input type="checkbox"/> Designing capacity-building initiatives <input type="checkbox"/> Raising awareness <input type="checkbox"/> Providing technical guidance ⁷ <input type="checkbox"/> Training/learning <input type="checkbox"/> Monitoring and evaluation of capacity-building initiatives and products

³ A case study in this regard refers to a specific study/description or analysis of a project, policy or activity which documents experiences and lessons learned that are likely to help inform or improve future activities or activities of others.

⁴ This refers to practices, processes, techniques or working methods that have consistently and empirically proved effective and are being used as a benchmark or standard.

⁵ Format details may be used to provide further information relating to the format of the resource, including its dimensions (e.g. size, number of pages or duration) or the software, hardware, or other equipment needed to display or operate it (e.g. “Requires Acrobat Reader for viewing.”)

⁶ For example capacity needs assessment tools, methodological guidance, surveys, etc.

⁷ Guidance materials provide practical advice and support, including explanations/illustrations, instructions and advice for performing certain types of tasks and/or implementing specific approaches.

6. *Main target group(s)/beneficiaries:	<input type="checkbox"/> Civil society organizations <input type="checkbox"/> General public <input type="checkbox"/> Governments <input type="checkbox"/> National/federal <input type="checkbox"/> Sub-national <input type="checkbox"/> Indigenous peoples and local communities <input type="checkbox"/> Intergovernmental organizations <input type="checkbox"/> Media <input type="checkbox"/> Researchers/academia <input type="checkbox"/> Private sector
7. Level of the content or level of knowledge/expertise of the audience for which the resource is intended:	<input type="checkbox"/> Beginner <input type="checkbox"/> Intermediate <input type="checkbox"/> Advanced
8. *Title:	<Text entry>
9. *Author(s): ⁸	<Text entry> <i>and/or</i> <i>Reference to the authoring organization(s). Please enter the clearing-house record number containing this information or, if not registered, attach a "Contact details" common format: <clearing-house record number></i>
10. *Publication year:	<YYYY>
11. Rights: ⁹	<Text entry>
12. Source: ¹⁰	<Text entry>
Access to the resource(s)	
13. *Link to the resource (s): ¹¹	<URL and website name> <i>and/or <Attachment></i> <Select language>

⁸ Name of the person or organization that has authored the publication or information resource.

⁹ Information about rights held in and over the resource, such as copyright holder, and availability for reproduction for educational or non-profit purposes.

¹⁰ A reference to a resource from which the present resource is derived (e.g. an article from a journal). For original documents you may wish to specify "original document."

¹¹ Please always attach the relevant document(s) that will be stored in the database for users to download. When resources are available on the Internet, please also provide a link to them.

14. Cover image(s):	<Attachment>
Information on the content of resource	
15. Summary, abstract or table of contents:	<Text entry>
16. Country(ies), regional or economic group(s) covered by the resource:	<Geographical or political/economic group(s)> and/or <Country name>
17. *Key areas and strategic measures for capacity-building and development addressed by the resource: ¹²	<input type="checkbox"/> Key area 1: Capacity to implement and to comply with the obligation of the Protocol <ul style="list-style-type: none"> <input type="checkbox"/> 1.1 Enabling ratification of, or accession to, the Protocol <input type="checkbox"/> 1.2 Raising awareness of the importance of GR and TK, and related ABS issues <input type="checkbox"/> 1.3 Mapping of relevant actors, including other relevant international instruments on ABS, and existing expertise for the implementation of the Protocol <input type="checkbox"/> 1.4 Mobilizing new and innovative financial resources to implement the Protocol <input type="checkbox"/> 1.5 Establishing mechanisms for domestic interagency coordination <input type="checkbox"/> 1.6 Employing the best available communication tools and Internet-based systems for ABS activities including providing information to the ABS Clearing-House <input type="checkbox"/> 1.7 Developing mechanisms to monitor the utilization of genetic resources, including the designation of checkpoints <input type="checkbox"/> 1.8 Reporting on measures taken to implement the Protocol <input type="checkbox"/> Key area 2: Capacity to develop, implement and enforce domestic legislative, administrative or policy measures on access and benefit-sharing <ul style="list-style-type: none"> <input type="checkbox"/> 2.1 Developing a domestic policy framework on ABS <input type="checkbox"/> 2.2 Taking stock of existing domestic measures to identify gaps in light of the obligations of the Protocol <input type="checkbox"/> 2.3 Setting-up new or amending existing ABS legislative, administrative or policy measures with a view to implementing the Protocol <input type="checkbox"/> 2.4 Developing regional model legislation <input type="checkbox"/> 2.5 Establishing institutional arrangements and administrative systems for ABS

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- 2.6 Developing procedures for granting or refusing to grant prior informed consent (PIC)
- 2.7 Promoting compliance with domestic legislation or regulatory requirements on ABS
- Key area 3: Capacity to negotiate mutually agreed terms
 - 3.1 Promoting equity and fairness in negotiation of MAT, including through training in relevant legal, scientific and technical skills
 - 3.2 Developing model sectoral and cross-sectoral contractual clauses
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Additional information	
18. Any other relevant information: ¹³	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>
19. Notes: ¹⁴	<Text entry>

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ABS virtual library resources

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General information	
1. *Is this a new record or a modification to an existing record: ²	<input type="checkbox"/> New record OR <input type="checkbox"/> Update of an existing record <clearing-house record number>
2. *Type of resource:	<input type="checkbox"/> Academic course/lecture <input type="checkbox"/> Article (journal/magazine/newspaper) <input type="checkbox"/> Book/book chapter <input type="checkbox"/> Case study ³ /good practice ⁴ /lessons learned <input type="checkbox"/> Dictionary/glossary/bibliography <input type="checkbox"/> FAQ/fact sheet/notes/brief <input type="checkbox"/> Learning aid and support (e.g. presentation, lecture notes, worksheet, quiz, video, images, audio clips) <input type="checkbox"/> Manual/tutorial/handbook/toolkit/guide/checklist <input type="checkbox"/> Online database/archive/catalogue <input type="checkbox"/> Online forum or network <input type="checkbox"/> Report/review/conference paper/proceedings <input type="checkbox"/> Software application (desktop or mobile)
3. *Format/File type:	<input type="checkbox"/> Audio <input type="checkbox"/> E-learning module/course, e-book <input type="checkbox"/> Image/photo/graphic/map/ poster <input type="checkbox"/> Electronic presentation file (e.g. Power Point) <input type="checkbox"/> Text document (e.g. adobe acrobat reader or Word) <input type="checkbox"/> Video/webinar <input type="checkbox"/> Website/blog
4. *Please identify the main purpose of the resource:	<input type="checkbox"/> Reference material/literature
5. Format details: ⁵	<Text entry>

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8. *Publication year:	<YYYY>
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⁹ Please always attach the relevant document(s) that will be stored in the database for users to download. When resources are available on the Internet, please also provide a link to them.

Keywords for facilitating online searches describing the content of the resource

15. *Thematic area(s):

- Access to genetic resources (Article 15 of the CBD)**
- Nagoya Protocol**
 - Scope
 - Competent national authority/ies
 - Access
 - Access to genetic resources, including prior informed consent
 - For commercial purposes
 - For non-commercial purposes
 - Access to traditional knowledge associated with genetic resources, including prior informed consent or approval or involvement
 - For commercial purposes
 - For non-commercial purposes
 - Benefit-sharing
 - Mutually agreed terms
 - Monetary benefits
 - Non-monetary benefits
 - Compliance
 - Compliance with domestic legislation or regulatory requirements
 - On access to genetic resources and benefit-sharing
 - On access to traditional knowledge associated with genetic resources and benefit-sharing
 - Non-compliance with domestic legislation or regulatory requirements
 - Monitoring the utilization of genetic resources
 - Checkpoints
 - Permits or their equivalent constituting an internationally recognized certificate of compliance
 - Compliance with mutually agreed terms
 - Traditional knowledge associated with genetic resources
 - Community protocols
 - Customary laws and procedures
 - Global Multilateral Benefit-sharing Mechanism
 - Access and Benefit-sharing Clearing-House

<input type="checkbox"/>	<input type="checkbox"/>	Model contractual clauses
	<input type="checkbox"/>	Codes of conduct, guidelines, and best practices and or/standards
	<input type="checkbox"/>	Awareness-raising
	<input type="checkbox"/>	Transboundary cooperation
	<input type="checkbox"/>	Capacity-building
	<input type="checkbox"/>	Technology transfer, collaboration and cooperation
	<input type="checkbox"/>	Financial mechanism and resource mobilization
	<input type="checkbox"/>	Compliance with the Protocol
	<input type="checkbox"/>	National reports
<input type="checkbox"/>		ABS legislative, administrative or policy measures
<input type="checkbox"/>		ABS agreements
<input type="checkbox"/>		Types of organisms
	<input type="checkbox"/>	Plants
	<input type="checkbox"/>	Animals
	<input type="checkbox"/>	Microorganism
	<input type="checkbox"/>	Fungi
	<input type="checkbox"/>	Wild species
	<input type="checkbox"/>	Domestic species
<input type="checkbox"/>		Area of access of the genetic resource
	<input type="checkbox"/>	Agricultural areas
	<input type="checkbox"/>	Forest
	<input type="checkbox"/>	Inland waters
	<input type="checkbox"/>	Dry and sub-humid areas
	<input type="checkbox"/>	Marine and coastal areas
	<input type="checkbox"/>	Mountains
	<input type="checkbox"/>	Protected areas
	<input type="checkbox"/>	Islands
	<input type="checkbox"/>	Ex-situ collections
	<input type="checkbox"/>	Soil and/or water samples
<input type="checkbox"/>		Users of genetic resources
	<input type="checkbox"/>	Commercial purpose
	<input type="checkbox"/>	Agricultural sector
	<input type="checkbox"/>	Botanical and horticultural sector
	<input type="checkbox"/>	Food and beverage
	<input type="checkbox"/>	Industrial biotechnology,

	<input type="checkbox"/> Personal care and cosmetics <input type="checkbox"/> Pharmaceutical sector <input type="checkbox"/> Non-commercial purposes <input type="checkbox"/> Academic research <input type="checkbox"/> Identification and taxonomy <input type="checkbox"/> Intellectual property rights <input type="checkbox"/> Relevant international agreements, instruments and organizations <input type="checkbox"/> Relationship with other international instruments <input type="checkbox"/> Antarctic Treaty System <input type="checkbox"/> Food and Agriculture Organization <input type="checkbox"/> Commission of Genetic Resources for Food and Agriculture <input type="checkbox"/> International Treaty on Plant Genetic Resources for Food and Agriculture <input type="checkbox"/> World Health Organization <input type="checkbox"/> World Intellectual Property Organization <input type="checkbox"/> World Trade Organization <input type="checkbox"/> United Nations Convention on the Law of the Sea
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Additional information

16. Any other relevant information: ¹⁰	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>
17. Notes: ¹¹	<Text entry>

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