



# CONVENTION ON BIOLOGICAL DIVERSITY

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CONFERENCE OF THE PARTIES TO THE  
CONVENTION ON BIOLOGICAL DIVERSITY  
Fifth meeting  
Nairobi, 15-26 May 2000  
Item 18.1 of the provisional agenda\*

## PROGRESS REPORT ON THE MECHANISMS FOR IMPLEMENTATION

### Note by the Executive Secretary

#### Addendum

#### MATTERS RELATING TO FURTHER GUIDANCE TO THE FINANCIAL MECHANISM

1. At its fourth meeting, held in May 1998, the Conference of the Parties requested the Executive Secretary to advise the Parties on matters relating to recommendations for further guidance to the financial mechanism with respect to:

- (a) The relationship of any draft guidance to previous guidance; and
- (b) Any possible effects of that draft guidance on the implementation of previous guidance from the Conference of the Parties.

2. While the substantive documents prepared by the Executive Secretary for the fifth meeting of the Conference of the Parties contain recommendations in this respect, further draft guidance to the financial mechanism will materialize during the meeting itself. In order to facilitate the session work of the Conference of the Parties, the Executive Secretary has prepared a compilation of previous guidance, which is annexed to the present note. It is hoped that the compilation will allow Parties to visualize the relationship of any draft guidance to previous guidance and its possible effects on the implementation of that previous guidance.

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\* UNEP/CBD/COP/5/1.

Annex

COMPILATION OF PREVIOUS GUIDANCE FROM THE CONFERENCE OF THE PARTIES TO THE FINANCIAL MECHANISM BYTEM OF THE  
PROVISIONAL AGENDA FOR THE FIFTH MEETING OF THE CONFERENCE OF THE PARTIES

<u>Item</u>	<u>Previous guidance</u>	<u>Source</u>
<b>Items 11 and 18.4. Article 8(j) and related provisions</b>	<ul style="list-style-type: none"> <li>• "The programme priorities are ... Projects that strengthen the involvement of local and indigenous people in the conservation of biological diversity and sustainable use of its components".</li> <li>• "The Conference of the Parties... [r]equests the Global Environment Facility to examine the support of capacity-building projects for indigenous and local communities embodying traditional lifestyles related to the preservation and maintenance of their knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity with their prior informed consent and their participation".</li> </ul>	<p>Decision I/2, annex I, para. 4 (j)</p> <p>Decision III/6, para. 5</p>
<b>Item 12. Biosafety</b>	<ul style="list-style-type: none"> <li>• "The Global Environment Facility shall provide financial resources ... [f]or capacity-building in biosafety, including for the implementation by developing countries of the UNEP International Technical Guidelines on Safety in Biotechnology".</li> </ul>	Decision III/5, para. 2 (a)
<b>Items 14 and 23. Access to genetic resources and benefit-sharing</b>	<ul style="list-style-type: none"> <li>• "The Conference of the Parties... [u]rges the Global Environment Facility, along with Governments, regional economic integration organizations, and competent international, regional and national organizations, to support human and institutional capacity-building programmes for Governments, non-governmental organizations and local and indigenous communities, as appropriate, to promote the successful development and implementation of legislative, administrative and policy measures and guidances on access to genetic resources, including scientific, technical, business, legal and management skills and capacities".</li> </ul>	Decision III/5, para. 4
	<ul style="list-style-type: none"> <li>• "The Global Environment Facility should... provide support for:               <ul style="list-style-type: none"> <li>(a) Stock-taking activities, such as, for example, assessments of current legislative, administrative and policy measures on access to genetic resources and benefit-sharing, evaluation of the strengths and weaknesses of a country's institutional and human capacity, and promotion of consensus-building among its different stakeholders;</li> </ul> </li> </ul>	Decision IV/13, para. 8

<u>Item</u>	<u>Previous guidance</u>	<u>Source</u>
	<ul style="list-style-type: none"> <li>(b) Formulation of access and benefit-sharing mechanisms at the national, subregional and regional levels, including monitoring, assessment, and incentive measures;</li> <li>(c) Capacity-building on measures on access to genetic resources and sharing of benefits, including capacity-building on economic valuation of genetic resources;</li> <li>(d) Within biodiversity projects, other specific benefit-sharing initiatives such as support for entrepreneurial developments by local and indigenous communities, facilitation of financial sustainability of projects promoting the sustainable use of genetic resources, and appropriate targeted research components".</li> </ul>	
<b>Item 16.1 Marine and coastal biological diversity</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Projects that promote the conservation and sustainable use of biological diversity of coastal and marine resources under threat".</li> </ul>	Decision I/2, annex I, para. 4 (k)
<b>Item 16.1 Inland water ecosystem</b>	<ul style="list-style-type: none"> <li>"The Global Environment Facility should... [w]ithin the context of implementing national biological diversity strategies and action plans, provide adequate and timely support to eligible projects which help Parties to develop and implement national, sectoral and cross-sectoral plans for the conservation and sustainable use of biological diversity of inland water ecosystems in accordance with decision IV/4".</li> </ul>	Decision IV/13, para. 3
<b>Item 16.1 Forest biological diversity</b>	<ul style="list-style-type: none"> <li>"The Global Environment Facility should... [i]n accordance with decision IV/7 and with Article 7 of the Convention and also within the context of implementing national biological diversity strategies and plans, provide adequate and timely financial support to Parties for projects and capacity-building activities for implementing the programme of work of forest biological diversity at the national, regional and subregional levels and the use of the clearing-house mechanism to include activities that contribute to halting and addressing deforestation, basic assessments and monitoring of forest biological diversity, including taxonomic studies and inventories, focusing on forest species, other important components of forest biological diversity and ecosystems under threat".</li> </ul>	Decision IV/13, para. 4
<b>Item 16.2. Agricultural biological diversity</b>	<ul style="list-style-type: none"> <li>"The Global Environment Facility shall provide financial resources... [f]or supporting, as a priority, efforts for the conservation and sustainable use of biological diversity important to agriculture, in accordance with decision III/11".</li> </ul>	Decision III/5, para. 2 (c)
<b>Item 17.2. Identification, monitoring and assessment, and indicators</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Identification and monitoring of wild and domesticated biodiversity components, in particular those under threat, and implementation of measures for their conservation and sustainable use".</li> </ul>	Decision I/2, annex I, para. 4 (d)

<u>Item</u>	<u>Previous guidance</u>	<u>Source</u>
<b>indicators</b>	<ul style="list-style-type: none"> <li>"The Global Environment Facility shall provide financial resources... [f]or capacity-building, including taxonomy, to enable developing countries to develop and carry out an initial assessment for designing, implementing and monitoring programmes in accordance with Article 7, taking into account the special need of small island States (Note: The Conference of the Parties endorsed recommendation II/2 of the Subsidiary Body on Scientific, Technical and Technological Advice, concerning capacity-building for taxonomy)".</li> </ul>	Decision III/6, para. 2 (b)
<b>Item 17.3. Alien Species</b>	<ul style="list-style-type: none"> <li>"The Global Environment Facility should... [p]rovide adequate and timely support for country-driven projects at national, regional and subregional levels addressing the issue of alien species in accordance with decision IV/1 C".</li> </ul>	Decision IV/13, para. 1
<b>Item 17.4. Global Taxonomy Initiative</b>	<ul style="list-style-type: none"> <li>"The Global Environment Facility should... [p]rovide financial resources for country-driven activities within the context of its operation programmes to participate in the Global Taxonomy Initiative which take into account as appropriate, elements of the Suggestions for Action contained in the annex to decision IV/1 D".</li> </ul>	Decision IV/13, para. 2
<b>Item 18.2. Scientific and technical co-operation and the clearing-house mechanism</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... activities that provide access to other international, national and/or private sector funds and scientific and technical cooperation".</li> <li>"The Conference of the Parties [r]equests the Global Environment Facility to explore the modalities of providing support through the financial mechanism to developing country Parties for capacity-building in relation to the operation of the clearing-house mechanism".</li> </ul>	<p>Decision I/2, annex I, para. 4 (h)</p> <p>Decision II/3, para. 9, and decision II/6, para. 11</p>

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<u>Item</u>	<u>Previous guidance</u>	<u>Source</u>
<b>Item 18.3. Incentive measures</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Innovative measures, including in the field of economic incentives, aiming at conservation of biological diversity and/or sustainable use of its components, including those which assist developing countries to address situations where opportunity costs are incurred by local communities and to identify ways and means by which these can be compensated, in accordance with article 11 of the Convention".</li> <li>"The Conference of the Parties... [r]econfirms the importance of the Global Environment Facility's support for incentive measures, guidance for which was contained in Annex I to decision I/2, paragraph 4, taking note of decision III/18".</li> <li>"The Global Environment Facility should... [p]rovide adequate and timely support for the design and approaches relevant to the implementation of incentive measures, including, where necessary, assessment of biological diversity of the relevant ecosystems, capacity-building necessary for the design and implementation of incentive measures and the development of appropriate legal and policy frameworks, and projects with components that provide for these incentives, in accordance with decision IV/10".</li> </ul>	<p>Decision I/2, para. 4 (i)</p> <p>Decision III/5, para. 3</p> <p>Decision IV/13, para. 7</p>
<b>Item 18.4 Article 8 (j) and related provisions</b>	See under item 11 above.	
<b>Item 18.5. Education and public awareness</b>	<ul style="list-style-type: none"> <li>"The Conference of the Parties... [r]equests the Global Environment Facility, in preparing projects..., to include in such projects... project components addressing ... [p]romotion of the understanding of the importance of, and measures required for, the conservation and sustainable use of biological diversity".</li> </ul>	Decision III/5, para. 6 (b)
<b>Item 18.6 Impact assessment, liability and redress</b>	None	
<b>Item 18.7. National reporting</b>	<ul style="list-style-type: none"> <li>"The conference of the Parties... [u]rges the financial mechanism to make available financial resources to developing country Parties to assist in the preparation of their national reports ".</li> <li>" The Global Environment Facility should... [c]ontinue to provide financial assistance for the preparation of national reports, having regard to the constraints and needs identified by Parties in their first national reports, in accordance with decision IV/14".</li> </ul>	<p>Decision II/17, para. 12, and decision II/6, para. 11</p> <p>Decision IV/13, para. 6</p>

<u>Item</u>	<u>Previous guidance</u>	<u>Source</u>
<b>Item 21. Dryland ecosystems</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... projects which promote the conservation of biological diversity and sustainable use of its components in other environmentally vulnerable areas such as arid and semi-arid and mountainous areas".</li> </ul>	Decision I/2, annex I, para. 4 (k)
<b>Item 22. Sustainable use, including tourism</b>	None	
<b>Item 23. Access to genetic resources</b>	See under item 14 above.	
<b>Other Issues</b>		
<b>Nationally identified ecosystems and habitats</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Strengthening conservation, management and sustainable use of ecosystems and habitats identified by national Governments in accordance with article 7 of the Convention".</li> <li>"The programme priorities are ... Projects and programmes that have national priority status and that fulfil the obligations of the Convention".</li> </ul>	<p>Decision I/2, annex I, para. 4 (c)</p> <p>Decision I/2, annex I, para. 4 (a)</p>
<b>Mountain ecosystems</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Projects which promote the conservation of biological diversity and sustainable use of its components in other environmentally vulnerable areas such as arid and semi-arid and mountainous areas".</li> </ul>	Decision I/2, annex I, para. 4 (k)
<b>Implementation of Articles 6 and 8</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Development of integrated national strategies, plans or programmes for the conservation of biological diversity and sustainable use of its components in accordance with article 6 of the Convention".</li> <li>"The programme priorities are ... Capacity-building, including human resources development and institutional development and/or strengthening, to facilitate the preparation and/or implementation of national strategies, plans for priority programmes and activities for conservation of biological diversity and sustainable use of its components".</li> <li>"The Conference of the Parties... [r]equests the interim institutional structure operating the financial mechanism to facilitate urgent implementation of Article 6 of the Convention by availing to developing country Parties financial resources for projects in a flexible and expeditious manner".</li> <li>"The Conference of the Parties... requests the interim financial mechanism under the Convention to facilitate urgent implementation of Articles 6 and 8 of the Convention by availing to developing country Parties financial resources in a flexible and expeditious manner".</li> </ul>	<p>Decision I/2, annex I, para. 4 (b)</p> <p>Decision I/2, annex I, para. 4 (e)</p> <p>Decision II/6, para. 5</p> <p>Decision II/9, para. 6</p>

<u>Item</u>	<u>Previous guidance</u>	<u>Source</u>
	<ul style="list-style-type: none"> <li>"To implement the relevant provisions of the following decisions: II/7 on consideration of Articles 6 and 8 of the Convention, II/8 on preliminary consideration of components of biological diversity particularly under threat and action which could be taken under the Convention ..."</li> </ul>	Decision II/6, para. 11
<b>Endemic species</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Projects that promote the conservation and/or sustainable use of endemic species".</li> </ul>	Decision I/2, annex I, para. 4 (l)
<b>Targeted research and related activities</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Projects that promote the sustainability of project benefits; that offer a potential contribution to experience in the conservation of biological diversity and sustainable use of its components which may have application elsewhere; and that encourage scientific excellence".</li> </ul>	Decision I/2, annex I, para. 4 (g)
<u>Item</u>	<u>Previous guidance</u>	<u>Source</u>
	<ul style="list-style-type: none"> <li>"The Conference of the Parties... [r]equests the Global Environment Facility, in preparing projects..., to include in such projects... project components addressing: [t]argeted research which contributes to conservation of biological diversity and the sustainable use of its components including research for reversing current trends of biodiversity loss and species extinction".</li> </ul>	Decision III/5, para. 6 (a)
<b>Access to and transfer of technology</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... In accordance with Article 16 of the Convention, and to meet the objectives of conservation of biological diversity and sustainable use of its components, projects which promote access to, transfer of and cooperation for joint development of technology".</li> </ul>	Decision I/2, annex I, para. 4 (f)
<b>Social dimensions</b>	<ul style="list-style-type: none"> <li>"The programme priorities are ... Projects aimed at the conservation of biological diversity and sustainable use of its components which integrate social dimensions including those related to poverty".</li> </ul>	Decision I/2, annex I, para. 4 (m)

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