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CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

Sixth meeting

The Hague, 7-19 April 2002

Item 18.1 of the provisional agenda*

FINANCIAL RESOURCES AND MECHANISM (ARTICLES 20 AND 21)

Compilation of previous guidance given to the financial mechanism by the Conference of the Parties

Note by the Executive Secretary

1. At its fourth meeting, held in May 1998, the Conference of the Parties requested the Executive Secretary to advise the Parties on matters relating to recommendations for further guidance to the financial mechanism. In response to this request, the Executive Secretary prepared for the fifth meeting of the Conference of the Parties a compilation of previous guidance (UNEP/CBD/COP/5/13/Add.1).
2. In order to facilitate the in-session work of the Conference of the Parties on further guidance to the financial mechanism at its sixth meeting, the Executive Secretary has prepared a compilation of previous guidance, which is annexed to the present note. Other priority areas that have a bearing on the financial mechanism can be found in the draft elements of decisions (UNEP/CBD/COP/6/1/Add.2). It is hoped that the compilation will allow Parties to visualize the relationship of any draft guidance to previous guidance and its possible effects on the implementation of that previous guidance.

* UNEP/CBD/COP/6/1 and Corr.1/Rev.1.

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Annex

**COMPILATION OF PREVIOUS GUIDANCE FROM THE CONFERENCE OF THE PARTIES TO THE FINANCIAL MECHANISM
BY ITEM OF THE PROVISIONAL AGENDA FOR THE SIXTH MEETING OF THE CONFERENCE OF THE PARTIES**

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
Item 13	Report on the status of the Biosafety Protocol	<ul style="list-style-type: none"> “The Global Environment Facility shall provide financial resources ... [f]or capacity-building in biosafety, including for the implementation by developing countries of the UNEP International Technical Guidelines on Safety in Biotechnology” 	Decision III/5, para. 2 (a)
Item 16	Marine and coastal biological diversity	<ul style="list-style-type: none"> “The programme priorities are ... [P]rojects that promote the conservation and sustainable use of biological diversity of coastal and marine resources under threat” 	Decision I/2, annex I, para. 4 (k)
		<ul style="list-style-type: none"> “The Global Environment Facility should provide support ... [F]or capacity-building at the national, subregional and regional level to address the issue of coral bleaching within the context of implementation of the programme of work on marine and coastal biological diversity, in accordance with decision V/3” 	Decision V/13, paragraph 2(d)
Item 16	Inland water ecosystem	<ul style="list-style-type: none"> “The Global Environment Facility should... [w]ithin the context of implementing national biological diversity strategies and action plans, provide adequate and timely support to eligible projects which help Parties to develop and implement national, sectoral and cross-sectoral plans for the conservation and sustainable use of biological diversity of inland water ecosystems in accordance with decision IV/4” 	Decision IV/13, para. 3
		<ul style="list-style-type: none"> “The Global Environment Facility should provide support. ... [F]or the implementation of capacity-building measures for developing and implementing national and sectoral plans for the conservation and sustainable use of inland water ecosystems, including comprehensive assessments of the biological diversity of inland waters, and capacity-building programmes for monitoring the implementation of the programme of work and the trends in inland water biological diversity and for information gathering and dissemination among riparian communities” 	Decision V/13, paragraph 2(n)

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
Item 16	Dry and sub-humid lands biological diversity	<ul style="list-style-type: none"> • “The programme priorities are ... [p]rojects which promote the conservation of biological diversity and sustainable use of its components in other environmentally vulnerable areas such as arid and semi-arid and mountainous areas” 	Decision I/2, annex I, para. 4 (k)
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [A]s a priority, for projects which: (ii) Implement the Convention's programme of work on biodiversity of dry and sub-humid lands, in accordance with decision V/23, through the development, review and implementation of its operational programmes, in particular, the operational programme on arid and semi-arid ecosystems” 	Decision V/13, paragraph 2(b.ii)
Item 16	Agricultural biological diversity	<ul style="list-style-type: none"> • “The Global Environment Facility shall provide financial resources... [f]or supporting, as a priority, efforts for the conservation and sustainable use of biological diversity important to agriculture, in accordance with decision III/11” 	Decision III/5, para. 2 (c)
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [A]s a priority, for projects which: (i) Implement the Convention's programme of work on agricultural biodiversity, in accordance with decision V/5, through the timely finalization and implementation of its operational programme on agricultural biodiversity, and through the development and implementation of other relevant operational programmes” 	Decision V/13, paragraph 2(b.i)
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [F]or projects which assist with the development and implementation of the International Initiative for the Conservation and Sustainable Use of Pollinators in Agriculture, in accordance with decision V/5” 	Decision V/13, paragraph 2(c)
Item 17.1	Identification, monitoring and assessment, and indicators	<ul style="list-style-type: none"> • “The programme priorities are ... [I]dentification and monitoring of wild and domesticated biodiversity components, in particular those under threat, and implementation of measures for their conservation and sustainable use” 	Decision I/2, annex I, para. 4 (d)
		<ul style="list-style-type: none"> • “The Global Environment Facility shall provide financial resources... [f]or capacity-building, including taxonomy, to enable developing countries to develop and carry out an initial assessment for designing, implementing and monitoring programmes in accordance with Article 7, taking into account the special need of small island States (Note: The Conference of the Parties endorsed recommendation II/2 of the Subsidiary Body on Scientific, Technical and Technological Advice, concerning capacity-building for taxonomy)” 	Decision III/5, para. 2 (b)

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [T]o strengthen capabilities to develop monitoring programmes and suitable indicators for biological diversity, in accordance with decision V/7” 	Decision V/13, paragraph 2(j)
Item 17.2	Global Taxonomy Initiative	<ul style="list-style-type: none"> • “The Global Environment Facility should... [p]rovide financial resources for country-driven activities within the context of its operation programmes to participate in the Global Taxonomy Initiative which take into account as appropriate, elements of the Suggestions for Action contained in the annex to decision IV/1 D” 	Decision IV/13, para. 2
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [T]o continue promoting awareness of the Global Taxonomy Initiative in the relevant activities of the Global Environment Facility, such as the Country Dialogue Workshops, and to facilitate capacity-building in taxonomy, including in its Capacity Development Initiative” 	Decision V/13, paragraph 2(k)
Item 17.3	Global strategy for plant conservation	<ul style="list-style-type: none"> • None 	
Item 17.4	Article 8(j) and related provisions	<ul style="list-style-type: none"> • “The programme priorities are ... [p]rojects that strengthen the involvement of local and indigenous people in the conservation of biological diversity and sustainable use of its components” 	Decision I/2, annex I, para. 4 (j)
Item 17.5	Liability and redress	None	
Item 17.6	Incentive measures	<ul style="list-style-type: none"> • “The programme priorities are ... [i]nnovative measures, including in the field of economic incentives, aiming at conservation of biological diversity and/or sustainable use of its components, including those which assist developing countries to address situations where opportunity costs are incurred by local communities and to identify ways and means by which these can be compensated, in accordance with article 11 of the Convention”. 	Decision I/2, para. 4 (i)
		<ul style="list-style-type: none"> • “The Conference of the Parties... [r]econfirms the importance of the Global Environment Facility’s support for incentive measures, guidance for which was contained in annex I to decision I/2, paragraph 4, taking note of decision III/18” 	Decision III/5, para. 3

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
		<ul style="list-style-type: none"> • “The Global Environment Facility should... [p]rovide adequate and timely support for the design and approaches relevant to the implementation of incentive measures, including, where necessary, assessment of biological diversity of the relevant ecosystems, capacity-building necessary for the design and implementation of incentive measures and the development of appropriate legal and policy frameworks, and projects with components that provide for these incentives, in accordance with decision IV/10” 	Decision IV/13, para. 7
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [f]or projects that incorporate incentive measures that promote the development and implementation of social, economic and legal incentive measures for the conservation and sustainable use of biological diversity, in accordance with decision V/15” 	Decision V/13, paragraph 2(h)
Item 17.6	Sustainable use	None	
Item 17.6	Ecosystem approach	“The Global Environment Facility should provide support ... [F]or projects utilizing the ecosystem approach, without prejudice to differing national needs and priorities which may require the application of approaches such as single-species conservation programmes, in accordance with decision V/6”	Decision V/13, paragraph 2(a)
Item 18.2	Scientific and technical co-operation and the clearing-house mechanism	<ul style="list-style-type: none"> • “The programme priorities are ... [a]ctivities that provide access to other international, national and/or private sector funds and scientific and technical cooperation” • “The Conference of the Parties [r]equests the Global Environment Facility to explore the modalities of providing support through the financial mechanism to developing country Parties for capacity-building in relation to the operation of the clearing-house mechanism” 	Decision I/2, annex I, para. 4 (h) Decision II/3, para. 9, and Decision II/6, para. 11

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
		<ul style="list-style-type: none"> • “The Global Environment Facility shall provide financial resources ... [f]or supporting the following activities as critical components in the implementation of the clearing-house mechanism at the national, subregional and regional levels, including in the pilot phase, to which critical components the Global Environment Facility shall give effect by implementing its revised operational criteria for enabling activities in relation to the clearing-house mechanism as quickly as possible: <ul style="list-style-type: none"> (i) (i) Capacity-building for the purpose of the clearing-house mechanism, including training in information systems technologies that will allow developing countries to take advantage of the recent developments in electronic communication, including the Internet; (ii) (ii) Country-driven pilot projects, focused on priority areas identified by the Conference of the Parties which would enable developing countries to begin to implement the main features of the pilot-phase of the clearing-house mechanism” 	Decision III/5, para. 2 (d)
		<ul style="list-style-type: none"> • “The Global Environment Facility should... [I]n accordance with decision IV/2: <ul style="list-style-type: none"> (a) Support capacity-building activities and country-driven pilot projects focused on priority areas, as critical components in the implementation of the clearing-house mechanism at the national, subregional, biogeographic, and regional levels, both during and after the pilot phase; (b) Provide, as appropriate, increased support, in the framework of country-driven projects to promote the objectives of the Convention, to establish and strengthen biodiversity information systems such as, inter alia, training, technology and processes related to the collection, organization, maintenance and updating of data and information and its communication to users through the clearing-house mechanism; (c) Evaluate at the end of the clearing-house mechanism pilot phase the experience of the Global Environment Facility’s support for developing countries’ activities, to consider additional efforts to meet the increasing interest in taking part in and having access to the clearing-house mechanism, including in regional networking, and to report to the Conference of the Parties prior to the next meeting of the Subsidiary Body on Scientific, Technical and Technological Advice” 	Decision IV/13, para. 5

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [F]or participation in the clearing-house mechanism of the Convention, in accordance with decision V/14” 	Decision V/13, paragraph 2(f)
Item 18.3	Education and public awareness	<ul style="list-style-type: none"> • “The Conference of the Parties... [r]equests the Global Environment Facility, in preparing projects..., to include in such projects... project components addressing ... [p]romotion of the understanding of the importance of, and measures required for, the conservation and sustainable use of biological diversity” 	Decision III/5, para. 6 (b)
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [F]or capacity development for education, public awareness and communication in biological diversity at the national and regional levels, in accordance with decision V/17” 	Decision V/13, paragraph 2(l)
Item 21	Forest biological diversity	<ul style="list-style-type: none"> • “The Global Environment Facility should... [i]n accordance with decision IV/7 and with Article 7 of the Convention and also within the context of implementing national biological diversity strategies and plans, provide adequate and timely financial support to Parties for projects and capacity-building activities for implementing the programme of work of forest biological diversity at the national, regional and subregional levels and the use of the clearing-house mechanism to include activities that contribute to halting and addressing deforestation, basic assessments and monitoring of forest biological diversity, including taxonomic studies and inventories, focusing on forest species, other important components of forest biological diversity and ecosystems under threat” 	Decision IV/13, para. 4
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [A]s a priority, for projects which: (iii) Assist in the implementation of the programme of work on forest biodiversity at the national, subregional and regional levels, and consider the operational objectives of the aforementioned programme of work as guidance for funding, in accordance with decision V/4” 	Decision V/13, paragraph 2(b.iii)
Item 22	Alien Species	<ul style="list-style-type: none"> • “The Global Environment Facility should... [p]rovide adequate and timely support for country-driven projects at national, regional and subregional levels addressing the issue of alien species in accordance with decision IV/1 C” 	Decision IV/13, para. 1
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support... [F]or activities to implement the Global Invasive Species Programme, in accordance with decision V/8” 	Decision V/13, paragraph 2(m)

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
Item 23	Access and benefit-sharing as they relate to genetic resources	<ul style="list-style-type: none"> • “The Conference of the Parties... [u]rges the Global Environment Facility, along with Governments, regional economic integration organizations, and competent international, regional and national organizations, to support human and institutional capacity-building programmes for Governments, non-governmental organizations and local and indigenous communities, as appropriate, to promote the successful development and implementation of legislative, administrative and policy measures and guidances on access to genetic resources, including scientific, technical, business, legal and management skills and capacities” 	Decision III/5, para. 4
		<ul style="list-style-type: none"> • “The Conference of the Parties... [r]equests the Global Environment Facility to examine the support of capacity-building projects for indigenous and local communities embodying traditional lifestyles related to the preservation and maintenance of their knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity with their prior informed consent and their participation” 	Decision III/5, para. 5
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [f]or the implementation of the priority activities identified in the programme of work on Article 8(j) and related provisions, in accordance with decision V/16” 	Decision V/13, paragraph 2(i)

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
		<ul style="list-style-type: none"> • “The Global Environment Facility should... [p]rovide support for: <ul style="list-style-type: none"> (b) Stock-taking activities, such as, for example, assessments of current legislative, administrative and policy measures on access to genetic resources and benefit-sharing, evaluation of the strengths and weaknesses of a country's institutional and human capacity, and promotion of consensus-building among its different stakeholders; (c) Formulation of access and benefit-sharing mechanisms at the national, subregional and regional levels, including monitoring, assessment, and incentive measures; (d) Capacity-building on measures on access to genetic resources and sharing of benefits, including capacity-building on economic valuation of genetic resources; (e) Within biodiversity projects, other specific benefit-sharing initiatives such as support for entrepreneurial developments by local and indigenous communities, facilitation of financial sustainability of projects promoting the sustainable use of genetic resources, and appropriate targeted research components” 	Decision IV/13, para. 8
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [f]or projects that will address the issue of access and benefit-sharing, in accordance with decision V/26” 	Decision V/13, paragraph 2(g)
Item 24	National reports	<ul style="list-style-type: none"> • “The Conference of the Parties... [u]rges the financial mechanism to make available financial resources to developing country Parties to assist in the preparation of their national reports” 	Decision II/17, para. 12, and Decision II/6, para. 11
		<ul style="list-style-type: none"> • “ The Global Environment Facility should... [c]ontinue to provide financial assistance for the preparation of national reports, having regard to the constraints and needs identified by Parties in their first national reports, in accordance with decision IV/14” 	Decision IV/13, para. 6

<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
		<ul style="list-style-type: none"> • “The Global Environment Facility should provide support ... [f]or the consultative processes referred to in paragraph 6 of decision V/19, which are aimed at assisting with the preparation of second national reports, taking into account the fact that the Conference of the Parties may develop guidelines for subsequent national reports” 	Decision V/13, paragraph 2(e)
Other Issues			
Nationally identified ecosystems and habitats	<ul style="list-style-type: none"> • “The programme priorities are ... [s]trengthening conservation, management and sustainable use of ecosystems and habitats identified by national Governments in accordance with article 7 of the Convention” 	Decision I/2, annex I, para. 4 I	
National priority status	<ul style="list-style-type: none"> • “The programme priorities are ... [p]rojects and programmes that have national priority status and that fulfil the obligations of the Convention” 	Decision I/2, annex I, para. 4 (a)	
Mountain ecosystems	<ul style="list-style-type: none"> • “The programme priorities are ... [p]rojects which promote the conservation of biological diversity and sustainable use of its components in other environmentally vulnerable areas such as arid and semi-arid and mountainous areas”. 	Decision I/2, annex I, para. 4 (k)	
Implementation of Articles 6 and 8	<ul style="list-style-type: none"> • “The programme priorities are ... [D]evelopment of integrated national strategies, plans or programmes for the conservation of biological diversity and sustainable use of its components in accordance with article 6 of the Convention” 	Decision I/2, annex I, para. 4 (b)	
	<ul style="list-style-type: none"> • “The Conference of the Parties... [r]equests the interim institutional structure operating the financial mechanism to facilitate urgent implementation of Article 6 of the Convention by availing to developing country Parties financial resources for projects in a flexible and expeditious manner” 	Decision II/6, para. 5	
	<ul style="list-style-type: none"> • “To implement the relevant provisions of the following decisions: II/7 on consideration of Articles 6 and 8 of the Convention, II/8 on preliminary consideration of components of biological diversity particularly under threat and action which could be taken under the Convention ...” 	Decision II/6, para. 11	
Capacity building	<ul style="list-style-type: none"> • “The programme priorities are ... [C]apacity-building, including human resources development and institutional development and/or strengthening, to facilitate the preparation and/or implementation of national strategies, plans for priority programmes and activities for conservation of biological diversity and sustainable use of its components” 	Decision I/2, annex I, para. 4 (e)	

Endemic species		<ul style="list-style-type: none"> “The programme priorities are ... [P]rojects that promote the conservation and/or sustainable use of endemic species” 	Decision I/2, annex I, para. 4 (l)
<i>Item</i>	<i>Theme</i>	<i>Previous guidance</i>	<i>Source</i>
Targeted research and related activities		<ul style="list-style-type: none"> “The programme priorities are ... [p]rojects that promote the sustainability of project benefits; that offer a potential contribution to experience in the conservation of biological diversity and sustainable use of its components which may have application elsewhere; and that encourage scientific excellence” 	Decision I/2, annex I, para. 4 (g)
		<ul style="list-style-type: none"> “The Conference of the Parties... [r]equests the Global Environment Facility, in preparing projects..., to include in such projects... project components addressing: [t]argeted research which contributes to conservation of biological diversity and the sustainable use of its components including research for reversing current trends of biodiversity loss and species extinction” 	Decision III/5, para. 6 (a)
Access to and transfer of technology		<ul style="list-style-type: none"> “The programme priorities are ... [i]n accordance with Article 16 of the Convention, and to meet the objectives of conservation of biological diversity and sustainable use of its components, projects which promote access to, transfer of and cooperation for joint development of technology” 	Decision I/2, annex I, para. 4 (f)
Components of biological diversity particularly under threat		<ul style="list-style-type: none"> “Requests the interim institutional structure to implement the relevant provisions of the following decisions: II/8 on preliminary consideration of components of biological diversity particularly under threat and action which could be taken under the Convention” 	Decision II/6, paragraph 11
Social dimensions		<ul style="list-style-type: none"> “The programme priorities are ... [p]rojects aimed at the conservation of biological diversity and sustainable use of its components which integrate social dimensions including those related to poverty” 	Decision I/2, annex I, para. 4 (m)
