



CONVENTION ON BIOLOGICAL DIVERSITY

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18 February 2002

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CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

Sixth meeting

The Hague, 7-19 April 2002

Item 24 of the provisional agenda*

STRATEGIC PLAN, NATIONAL REPORTS AND IMPLEMENTATION OF THE CONVENTION

Formats for thematic reports

Note by the Executive Secretary

1. At its fifth meeting, the Conference of the Parties, in decision V/19, invited the Parties to prepare detailed thematic reports on one or more of the items for in-depth consideration at its ordinary meetings, thereby providing national contributions to the work of the Conference of Parties and its subsidiary bodies.
2. The Inter-Sessional Meeting on Strategic Plan, National Reports and the Implementation of the Convention (MSP), which was held from 19 to 21 November 2001, pursuant to decision V/20, requested the Executive Secretary to prepare for the consideration of the Conference of the Parties at its sixth meeting draft formats for the thematic reports on the items to be discussed in depth at the seventh meeting of the Conference of the Parties.
3. At its fourth meeting, in decision IV/16, the Conference of the Parties outlined its programme of work for its fifth, sixth and seventh meetings, and selected three themes for in-depth consideration at each meeting. The three themes selected for the seventh meeting of the Conference of the Parties are:
mountain ecosystems, protected areas, transfer of technology and technology cooperation.
4. Accordingly, the present addendum contains, in annexes I to III, the draft guidelines and format for thematic reports on mountain ecosystems, protected areas, transfer of technology and technology cooperation.

* UNEP/CBD/COP/6/1 and Corr.1/Rev.1.

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Format for thematic reports on mountain ecosystems (annex I)

5. SBSTTA, at its sixth meeting, recommended that mountain biological diversity be considered as the main theme of its eighth meeting to facilitate the consideration of this item by the seventh meeting of the Conference of Parties.

6. Though some articles of the Convention and the relevant decisions adopted at previous meetings of the Conference of the Parties apply to biological diversity of mountain ecosystems, it is for the first time that SBSTTA and the Conference of the Parties will address this issue as one of main themes. To facilitate in-depth consideration of this item by SBSTTA and the Conference of the Parties, detailed information about this issue is expected, including the status and trends of conservation and sustainable use of biological diversity in different Parties and the needs for capacity building.

7. The format proposed in annex I for a detailed thematic report on mountain ecosystems is designed to assist the work of the eighth meeting of SBSTTA in preparing recommendations to assist consideration of this item by the Conference of the Parties at its seventh meeting.

8. It is proposed that this thematic report on mountain ecosystems be submitted by 31 July 2002 based on the assumption that the eighth meeting of SBSTTA will take place in October. SBSTTA will be assisted in its work if this information is available prior to its eighth meeting.

Format for thematic reports on protected areas (annex II)

9. SBSTTA, at its seventh meeting, recommended that protected areas be considered as the main theme of its ninth meeting.

10. The format proposed in annex II for a detailed thematic report on protected areas is designed to assist the work of SBSTTA in preparing recommendations to assist consideration of this item by the Conference of the Parties at its seventh meeting.

11. In developing the format, due account is taken of the past and current activities in various organizations for information sharing for protected areas in order to avoid duplication and promote synergies, including national and thematic reporting under the Convention. In addition to this, the information sought through this thematic report needs to address those specific issues that will be of concern to SBSTTA and the Conference of the Parties.

12. It is proposed that this thematic report on protected area be submitted by 30 March 2002 based on the assumption that the ninth meeting of SBSTTA will take place in September 2003. A synthesis of the information from the thematic reports received by that time may contribute to the deliberation at the ninth meeting of SBSTTA.

Format for thematic reports on transfer of technology and technology cooperation (annex III)

13. SBSTTA, at its seventh meeting, recommended that transfer of technology and technology cooperation be one of issues for substantive consideration at its ninth meeting.

14. The format proposed in annex III for a detailed thematic report on transfer of technology and technology cooperation is designed to assist consideration of this item by the Conference of the Parties at its seventh meeting.

15. Transfer of technology and technology cooperation are one of the key provisions for the implementation of the Convention. They have been adopted as means of implementation for many programmes of work and cross-cutting issues under the Convention. Therefore the information sought in

the proposed format derives from the elements of these programmes of work and cross-cutting issues. In addition, some questions are also raised to identify the mechanisms, needs, success stories and constraints for transfer of technology and technology cooperation at the national level.

16. The thematic report on transfer of technology and technology cooperation is expected by 30 March 2003 since the information available by that time will facilitate the consideration of this substantive issue at the ninth meeting of SBSTTA, which is scheduled in September 2003.

Recommendation

17. The Conference of the Parties is invited to approve the formats for thematic reports on mountain ecosystems, protected areas and transfer of technology and technology cooperation, as contained in annexes I to III below, including the respective deadlines for submission.

*Annex I***DRAFT FORMAT FOR THEMATIC REPORT ON MOUNTAIN ECOSYSTEMS**

The following format for preparing a thematic report on mountain ecosystems is a series of questions designed to collect information from Contracting Parties to facilitate the consideration of relevant issues and programme of work at the seventh meeting of the Conference of the Parties. The responses to these questions will also assist with the assessment of the overall status of implementation of the Convention.

The questions are designed in a way to facilitate completion of the review. In most cases, optional answers are provided and only a tick in one or more boxes is required. Following the questions there is a box for further comments and information. Parties are invited to provide a more detailed response to the questions to which more than one answer is given. In particular, this box could be used to identify the priorities in the national strategies and action plans, successes and constraints in implementation and existing and potential areas of cooperation and capacity building.

This information provided by Contracting Parties will not be used to rank performance between individual Contracting Parties.

In order to assist with the review and synthesis of the information in the reports, respondents are asked to ensure that the further information provided in the box is closely related to the preceding questions and is as succinct as possible. This is no set limit on length, but it is anticipated that Parties will be able to provide adequate and useful information in a few pages.

Contracting Parties are also invited to communicate any issues relevant to the provisions of the Convention that have not been addressed by the questions below. The Executive Secretary would also welcome any comments on the adequacy of the questions, and difficulties in completing these questions, and any recommendations on how these reporting guidelines and questions could be improved.

It is recommended that Contracting Parties involve a wide range of stakeholders in the preparation of the report, in order to ensure a participatory and transparent development of such a report. A box is provided to identify those stakeholders who have been involved in this process.

Contracting Parties are requested to submit their thematic reports on protected areas in this format to the Executive Secretary by 31 July 2002. Parties are requested to submit an original signed copy by post and an electronic copy on diskette or by electronic mail. An electronic version of this document will be sent to all national focal points and this will also be available from the website of the Convention at:

<http://www.biodiv.org>

Completed thematic reports and any comments should be sent to:

<p>The Executive Secretary Secretariat of the Convention on Biological Diversity World Trade Center 393 St.Jacques Street, Suite 300 Montreal, Quebec, Canada, H2Y 1N9 Fax: 1-514-2886588 Email: secretariat@biodiv.org</p>

Please provide the following details on the origin of this report

Contracting Party	
<i>National Focal Point</i>	
Full name of the institution:	
Name and title of contact officer:	
Mailing address:	
Telephone:	
Fax:	
E-mail:	
<i>Contact officer for national report (if different)</i>	
Name and title of contact officer:	
Mailing address:	
Telephone:	
Fax:	
E-mail:	
<i>Submission</i>	
Signature of officer responsible for submitting national report:	
Date of submission:	

Please provide summary information on the process by which this report has been prepared, including information on the types of stakeholders who have been actively involved in its preparation and on material which was used as a basis for the report

Mountain Ecosystems

1. What is the relative priority your country accords to the conservation and sustainable use of biological diversity in mountain ecosystems?					
a) High		b) Medium		c) Low	
2. How does your country assess the resources available for conservation and sustainable use of biological diversity in mountain ecosystems, both domestic and international?					
a) Good		b) Adequate		c) Limiting	
3. Has your country requested financial assistance from GEF for funding the activities for conservation and sustainable use of biological diversity in mountain ecosystems?					
a) no					
b) yes, please provide details					

Assessment, Identification and Monitoring

4. Has your country undertaken any assessment of direct and underlying causes of degradation and loss of biological diversity of mountain ecosystems?	
a) no (please specify the reasons)	
b) yes, please specify major threats and their relative importance, as well as gaps	
c) If yes, please specify the measures your country has taken to control the causes of loss of mountain biodiversity	
5. Has your country identified taxonomic needs for conservation and sustainable use of biological diversity of mountain ecosystems?	
a) no, (please specify the reasons)	
b) yes, please specify	
6. Has your country made any assessment of the vulnerability or fragility of the mountains in your country?	
a) no, please specify the reasons	
b) yes, please specify the results and observed impacts on mountain biodiversity	
7. Has your country made any assessment important for conservation of biological diversity of mountain ecosystems at the genetic, species and ecosystem levels? (You may wish to use the Annex I of the Convention for categories of biodiversity important for conservation)	
a) no, please specify the reasons	
b) yes, some assessments or monitoring undertaken (please specify)	
c) yes, comprehensive assessments or monitoring programmes undertaken (please specify where results can be found, and opportunities and obstacles, if any)	

Regulatory and Information System and Action Plan

8. Has your country developed regulations, policies and programs for conservation and sustainable use of biological diversity in mountain ecosystems?	
a) no	
b) yes, please specify sectors	
9. Has your country applied the Ecosystem Approach (adopted at COP 5) in the conservation and sustainable use of biological diversity in mountain ecosystems?	
a) no	
b) yes, please provide some cases or examples	
10. Does your national biodiversity strategy and action plan cover mountain biological diversity?	
a) no, please specify why	
b) yes, please give some information on the strategy and plan, in particular on mountain biodiversity	
11. Has your country disseminated the relevant information concerning management practices, plans and programmes for conservation and sustainable use of components of biological diversity in mountain ecosystems?	
a) no	
b) yes, please provide details where information can be retrieved concerning management practices, plans and programmes	

Cooperation

12. Has your country undertaken any collaboration with other Parties for conservation and sustainable use of biological diversity in mountain ecosystems at the regional level or within a range of mountains?	
a) no	
b) yes, please specify the objectives of this collaboration and achievements	
13. Has your country signed or ratified any regional or international treaty concerning mountains?	
a) no	
b) yes, please specify which treaty and provide as much as possible a report on the progress in the implementation of the treaties, including any major constraints in the implementation of the treaties	

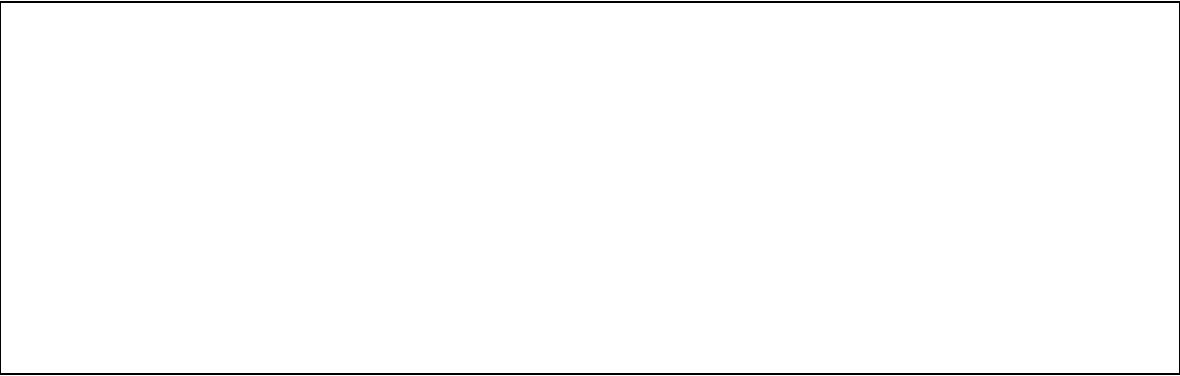
Relevant Thematic Areas and Cross-cutting Issues

14. Has your country taken account of mountain ecosystems while implementing thematic programmes of work on agricultural; inland waters; forest; and dry and sub-humid lands biological diversity?	
a) no	
b) yes – but in only one or two thematic programmes of work	

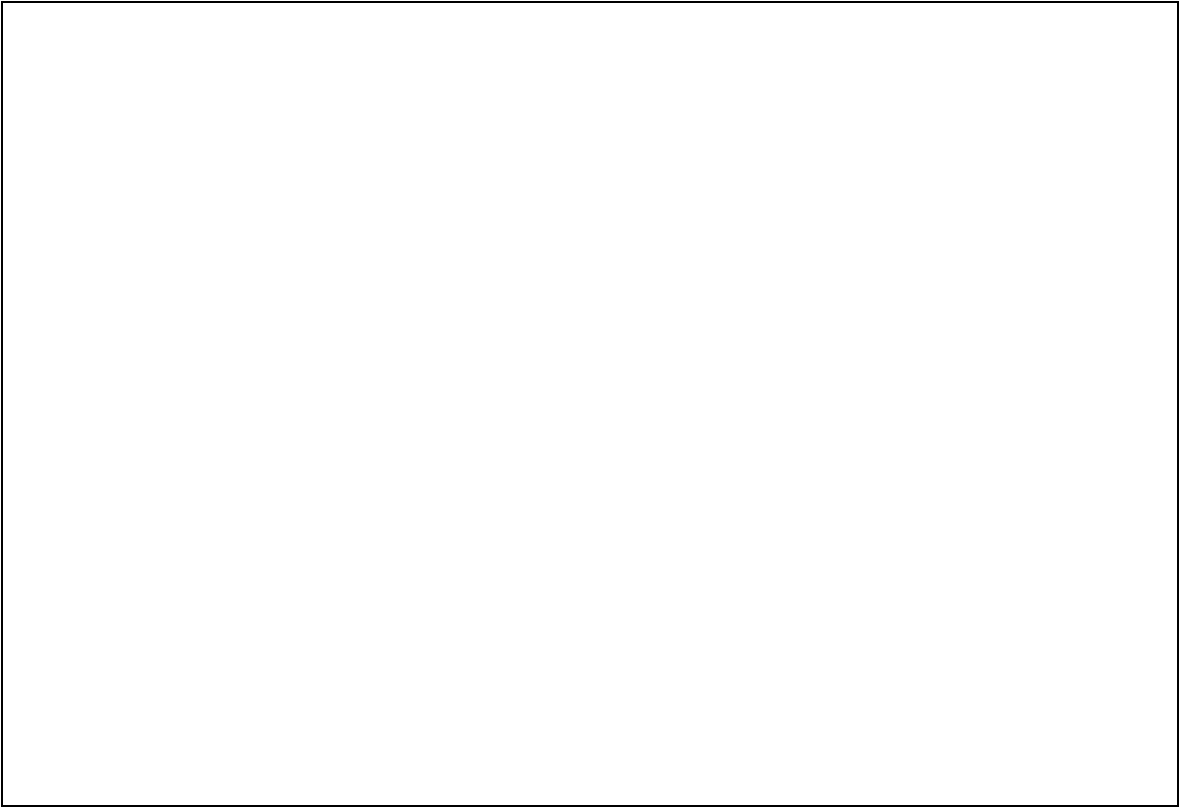
c) yes, included in all programmes of work	
d) if yes, please specify details	
15. Has your country taken any measures to ensure that the tourism in mountains is sustainable?	
a) no , please specify why	
b) yes, but in early stages of development (please specify the reasons)	
c) in advanced stages of development (please specify the reasons)	
d) relatively comprehensive measures being implemented (please specify the reasons)	
16. Has your country taken any measures to protect the traditional knowledge, innovations and practices of indigenous and local communities for conservation and sustainable use of biological diversity in mountain ecosystems?	
a) no	
b) not relevant	
c) yes, but in early stages of policy or programme development	
d) yes, in advanced stages of development	
e) some programmes being implemented	
f) comprehensive programmes being implemented	
17. Has your country developed any programmes for the protection of natural and cultural heritages in the mountains?	
a) no	
b) yes, please provide some information in the programmes	
18. Has your country established protected areas in mountains?	
a) no	
b) yes, please specify the percentage of mountains under protected areas out of total mountain areas in your country	
19. Has your country undertaken any activities to celebrate the International Year of Mountains and Eco-tourism?	
a) no	
b) yes, please specify	

Case Studies

Please provide case studies made by your country in conservation and sustainable use of biological diversity in mountain ecosystems.



Further comments



*Annex II***DRAFT FORMAT FOR DETAILED THEMATIC REPORTS ON PROTECTED AREAS**

The following format for preparing a thematic report on protected areas is a series of questions designed to collect information from the Contracting Parties to facilitate the consideration of relevant thematic issues and programme of work at the seventh meeting of the Conference of the Parties. The responses to these questions will also assist with the assessment of the overall status of implementation of the Convention.

While designing questions, due consideration is given to the fact that the first and second national reports called for by the Conference of the Parties of the Convention have requested some information on protected areas, and some relevant organizations such as IUCN and UNESCO call for reports periodically and promote the information sharing in this field. The thematic report on protected areas will address those specific issues that will be of concern to SBSTTA and COP of the Convention.

The questions are designed in a way to facilitate completion of the review. In most cases, optional answers are provided and circling the selected answer is required. Following the questions there is a box for further comments and information. Parties are invited to provide a more detailed response to the questions to which more than one answer is given. In particular, this box could be used to identify the priorities in the national strategies and action plans, successes and constraints in implementation and existing and potential areas of cooperation and capacity building.

This information provided by Contracting Parties will not be used to rank performance between individual Contracting Parties.

In order to assist with the review and synthesis of the information in the reports, respondents are asked to ensure that the further information provided in the box is closely related the preceding questions and is as succinct as possible. This is no set limit on length, but it is anticipated that Parties will be able to provide adequate and useful information in a few pages.

Contracting Parties are also invited to communicate any issues relevant to the provisions of the Convention that have not been addressed by the questions below. The Executive Secretary would also welcome any comments on the adequacy of the questions, and difficulties in completing these questions, and any recommendations on how these reporting guidelines and questions could be improved.

It is recommended that Contracting Parties involve a wide range of stakeholders in the preparation of the report, in order to ensure a participatory and transparent development of such a report. A box is provided to identify those stakeholders who have been involved in this process.

Contracting Parties are requested to submit their thematic reports on protected areas in this format to the Executive Secretary by March 15, 2003. Parties are requested to submit an original signed copy by post and an electronic copy on diskette or by electronic mail. An electronic version of this document will be sent to all national focal points and this will also be available from the website of the Convention at:

<http://www.biodiv.org>

Completed thematic reports and any comments should be sent to:

<p>The Executive Secretary Secretariat of the Convention on Biological Diversity World Trade Center 393 St.Jacques Street, Suite 300 Montreal, Quebec, Canada, H2Y 1N9 Fax: 1-514-2886588 Email: secretariat@biodiv.org</p>

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Telephone:	
Fax:	
E-mail:	
<i>Contact officer for national report (if different)</i>	
Name and title of contact officer:	

Mailing address:	
Telephone :	
Fax:	
E-mail:	
<i>Submission</i>	
Signature of officer responsible for submitting national report:	
Date of submission:	

Please provide summary information on the process by which this report has been prepared, including information on the types of stakeholders who have been actively involved in its preparation and on material which was used as a basis for the report

Protected Areas

System of Protected Areas

1. What is the relative priority afforded to development and implementation of a national system of protected areas in the context of other obligations arising from the Convention and COP Decisions?				
a) High		b) Medium		c) Low
2. Is there a systematic planning process for development and implementation of a national system of protected areas?				
a) no				
b) in early stages of development				
c) in advanced stages of development				
d) yes (please provide copies of relevant documents describing the process)				
3. Is there an assessment of the extent to which the existing network of protected areas covers all areas that are identified as being important for the conservation of biological diversity?				
a) no				
b) an assessment is being planned for				
c) an assessment is being undertaken				
d) yes (please provide copies of the assessments made)				

Regulatory Framework

4. Is there a policy framework and/or enabling legislation in place for the establishment and management of protected areas?				
a) no				
b) in early stages of development				
c) in advanced stages of development				
d) yes (please provide copies of relevant documents)				
5. Have guidelines, criteria and targets been adopted to support selection, establishment and management of protected areas?				
a) no				
b) in early stages of development				
c) in advanced stages of development				
d) yes (please provide copies of guidelines, criteria and targets)				
6. Does the management of protected areas involve the use of incentive measures, for instance, of entrance fees for park visitors, or of benefit-sharing arrangements with adjacent communities and other relevant stakeholders?				
a) no				
b) yes, incentive measures implemented for some protected areas (please provide some examples)				

c) yes, incentive measures implemented for all protected areas (please provide some examples)	
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Management Approach

7. Have the principal threats to protected areas and the biodiversity that they contain been assessed, so that programmes can be put in place to deal with the threats, their effects and to influence the key drivers?	
a) no	
b) an assessment is being planned for	
c) an assessment is in process	
d) yes, an assessment has been completed	
e) programmes and policies to deal with threats are in place (please provide basic information on threats and actions taken)	
8. Are protected areas established and managed in the context of the wider region in which they are located, taking account of and contributing to other sectoral strategies?	
a) no	
b) yes, in some areas	
c) yes, in all areas (please provide details)	
9. Do protected areas vary in their nature, meeting a range of different management objectives and/or being operated through differing management regimes?	
a) no, most areas are established for similar objectives and are under similar management regimes	
b) many areas have similar objectives/management regimes, but there are also some exceptions	
c) yes, protected areas vary in nature (please provide details)	
10. Is there wide stakeholder involvement in the establishment and management of protected areas?	
a) no	
b) with some, but not all protected areas	
c) yes, always (please provide details of experience)	
11. Do protected areas established and managed by non-government bodies, citizen groups, private sector and individuals exist in your country, and are they recognized in any formal manner?	
a) no, they do not exist	
b) yes, they exist, however are not formally recognized	
c) yes, they exist and are formally recognized (please provide further information)	

Available Resources

12. Are the human, institutional and financial resources available adequate for full implementation of the protected areas network, including for management of individual protected areas?	
a) no, they are severely limiting (please provide basic information on needs and shortfalls)	
b) no, they are limiting (please provide basic information on needs and shortfalls)	
c) Available resources are adequate (please provide basic information on needs and shortfalls)	
d) yes, good resources are available	
13. Has your country requested/received financial assistance from the Global Environment Facility or other international sources for establishment/management of protected areas?	
a) no	
b) funding has been requested, but not received	
c) funding is currently being requested	
d) yes, funding has been received (please provide copies of appropriate documents)	

Assessment

14. Have constraints to implementation and management of an adequate system of protected areas been assessed, so that actions can be initiated to deal with these constraints?	
a) no	
b) yes, constraints have been assessed (please provide further information)	
c) yes, actions to deal with constraints are in place (please provide further information)	
15. Is a programme in place or in development to regularly assess the effectiveness of protected areas management and to act on this information?	
a) no	
b) yes, a programme is under development (please provide further information)	
c) yes, a programme is in place (please provide further information)	
16. Has any assessment been made of the value of the material and non-material benefits and services that protected areas provide?	
a) no	
b) an assessment is planned	
c) an assessment is in process	
d) yes, an assessment has been made (please provide further information)	

Regional and International Cooperation

17. Is your country collaborating/communicating with neighbouring countries in the establishment and/or management of transboundary protected areas?	
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a) no	
b) yes (please provide details)	
18. Are key protected areas professionals in your country members of the IUCN World Commission on Protected Areas, thereby helping to foster the sharing of information and experience?	
a) no	
b) yes	
c) information is not available	
19. Has your country provided information on its protected areas to the UNEP World Conservation Monitoring Centre in order to allow for a scientific assessment of the status of the world's protected areas?	
a) no	
b) yes	
20. If your country has protected areas or other sites recognised or designated under an international convention or programme (including regional conventions and programmes), please provide copies of reports submitted to those programmes or summaries of them.	
21. Do you think that there are some activities on protected areas that your country has significant experience that will be of direct value to other Contracting Parties?	
a) no	
b) yes (please provide details)	

Further comments

*Annex III***DRAFT FORMAT FOR THEMATIC REPORT ON
TRANSFER OF TECHNOLOGY AND TECHNOLOGY COOPERATION**

The following format for preparing a thematic report on transfer of technology and technology cooperation is a series of questions based on those elements of relevant decisions adopted by the Conference of the Parties, the programmes of work adopted at previous meetings of COP as well as the recommendations from SBSTTA. The information submitted by the Parties will be compiled to facilitate the consideration of relevant issues at the seventh meeting of the Conference of the Parties. The responses to these questions will also assist with the assessment of the overall status of implementation of the Convention.

It should be noted that for the purpose of the Convention on Biological Diversity, technology here includes biotechnology. Technologies for transfer to other Contracting Parties are those that are relevant to the conservation and sustainable use of biological diversity or make use of genetic resources and do not cause damage to the environment. These technologies will be referred to in the questionnaire as “relevant technologies”. They include technologies and know-how relevant to the identification, characterization and monitoring of ecosystems, species and genetic resources; technologies appropriate for the *in-situ* and *ex-situ* conservation and for sustainable use of biological diversity and its components.

The questions are designed in a way to facilitate completion of the review. In some cases, optional answers are provided and only a tick in one or more boxes is required. In some cases, there is a box after the question for detailed comments and information. There is no limit to the extra pages for the information provided. The Parties are encouraged to provide information as succinct as possible.

This information provided by Contracting Parties will not be used to rank performance between individual Contracting Parties.

In order to assist with the review and synthesis of the information in the reports, respondents are asked to ensure that the further information provided in the box is closely related the preceding questions and is as succinct as possible. This is no set limit on length, but it is anticipated that Parties will be able to provide adequate and useful information in a few pages.

Contracting Parties are also invited to communicate any issues relevant to the provisions of the Convention that have not been addressed by the questions below. The Executive Secretary would also welcome any comments on the adequacy of the questions, and difficulties in completing these questions, and any recommendations on how these reporting guidelines and questions could be improved.

It is recommended that Contracting Parties involve a wide range of stakeholders in the preparation of the report, in order to ensure a participatory and transparent development of such a report. A box is provided to identify those stakeholders who have been involved in this process.

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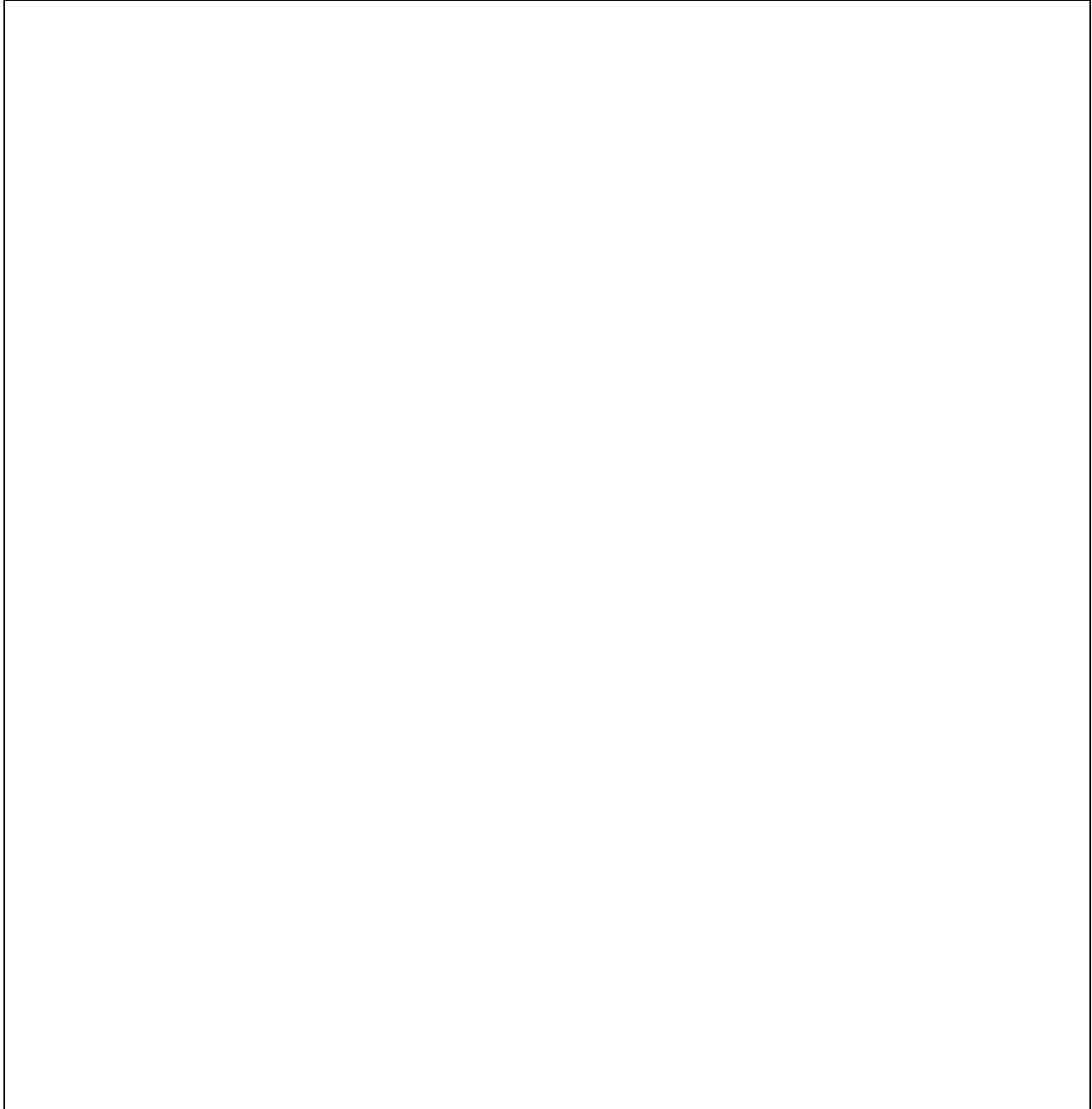
Completed thematic reports and any comments should be sent to:

The Executive Secretary
Secretariat of the Convention on Biological Diversity
World Trade Center
393 St.Jacques Street, Suite 300
Montreal, Quebec, Canada, H2Y 1N9
Fax: 1-514-2886588
Email: secretariat@biodiv.org

Please provide the following details on the origin of this report

Contracting Party	
<i>National Focal Point</i>	
Full name of the institution:	
Name and title of contact officer:	
Mailing address:	
Telephone:	
Fax:	
E-mail:	
<i>Contact officer for national report (if different)</i>	
Name and title of contact officer:	
Mailing address:	
Telephone:	
Fax:	
E-mail:	
<i>Submission</i>	
Signature of officer responsible for submitting national report:	
Date of submission:	

Please provide summary information on the process by which this report has been prepared, including information on the types of stakeholders who have been actively involved in its preparation and on material which was used as a basis for the report



Technology Transfer and Technology Cooperation

Inventory and Assessment

1. Has your country developed an inventory of existing technologies or category of technologies, including from indigenous and local communities, for the conservation and sustainable use of biological diversity and its components, in all the thematic areas and cross-cutting issues addressed by the Convention?	
a) no	
b) an inventory under development	
c) an inventory of some technologies available (please provide some details)	
d) yes, a comprehensive inventory available (please provide details)	
2. Has your country assessed the potential impacts of relevant technologies on biological diversity and their requirements for successful application?	
a) no	
b) yes, please give some examples	
3. Has your country carried out an assessment of the needs for relevant technologies?	
a) no (please specify the reasons)	
b) yes, and please specify the needs met and the needs not met for existing technologies and for new technologies	

Implementation of some relevant articles of the Convention, relevant decisions adopted at the previous meetings of the Conference of the Parties and recommendations of SBSTTA

4. In implementing the thematic programmes of work adopted by previous meetings of COP, has your country achieved the outcomes identified in these programmes of work through technology transfer and technology cooperation? (Decisions II/10, III/11, IV/6, IV/7 and V/4)	
a) no	
b) yes, but only a few activities in some programs	
c) yes, and a wide range of activities in many programs of work	
d) if yes, please specify these activities and programs of work	
5. Has your country undertaken technology cooperation with other Contracting Parties that lack the expertise and resources to assess the risks and minimize the negative impacts of introducing alien species? (Decision V/8)	
a) no	
b) yes – please give details below (including types of technology transferred, actors involved, terms for transfer and means of access to technology)	
6. Has your country taken any steps or measures to facilitate transfer of technology to and technology cooperation with other Parties to develop and/or strengthen their capacity to implement the policy,	

program and practice for sustainable use of biological diversity? (Decision V/24)	
a) no	
b) yes, please specify detailed measures and steps	
7. Could you provide examples or illustrations of benefit-sharing contractual agreements which have included technology cooperation and technology transfer as benefits to be shared? (Article 15)	
a) no	
b) yes	
8. Has your government taken measures, as appropriate, to ensure, as set out in the Article 16(3) that Contracting Parties providing genetic resources are provided access to and transfer of technology which makes use of those genetic resources? (Article 16)	
a) no	
b) yes, please provide some details	
9. Have the taxonomic institutions in your country taken any initiatives in developing national priorities, both individually and regionally, in new technology? (decision IV/1)	
a) no	
b) yes, in early stages of development	
c) yes, in advanced stages of development	
d) yes, some initiatives in place and some priorities identified	
e), yes, comprehensive priorities identified	
10. Has your country been involved in technology development and/or transfer for the maintenance and utilization of ex situ collections? (Decision V/26)	
a) no	
b) yes – please give details below (including types of technology transferred, actors involved, terms for transfer and means of access to technology)	
11. Has the clearing-house mechanism in your country been further developed in order to assist in obtaining access to information concerning access to and transfer of technologies? (Decision V/14)	
a) no	
b) yes, please provide some examples	

Role of Public and Private Sectors in Technology Transfer and Technology

12. Do you know of any examples of technology partnerships between public R&D institutions from developing countries and private-sector firms from industrialized countries? If so, to what extent have these partnerships involved	
a) the training of developing country scientists in the application of new technologies for the conservation and utilization of genetic resources	
b) information exchange on new scientific exchange and technological advances	
c) providing various technology components to developing country partner institutions	
d) engaging in joint R&D?	

13. Has your country taken any measures or developed any programs to encourage the private sector or the public-private partnership to develop and transfer technologies for the benefit of governments and institutions of developing countries, including South-South cooperation?	
a) no	
b) yes, please give details	
14. Have any type of incentives been established in your country to encourage the participation of the private sector in conservation and sustainable use activities as a sources of new technologies and potential financiers of conservation programmes?	
a) no	
b) yes, please give details	

Impact of Intellectual Property Rights on Technology Transfer and Technology Cooperation

15. Are the technologies your country has accessed or wishes to access in the public domain or covered by intellectual property rights?	
a) no	
b) yes	
16. Have intellectual property rights been a limiting factor in acquiring technologies for the conservation and sustainable use of biological diversity?	
a) no	
b) yes, please provide an example and specify the following: the type of technology sought (hard or soft technology); the area to which it is to be applied (e.g. forest, marine, inland waters, agriculture, etc..)	

Capacity building for technology transfer and technology cooperation

17. Have adequate institutional structures been established and/or is adequate human capacity available to access relevant technologies, in your country?	
a) no	
b) yes	
18. What, if any, have been the limiting factor in implementing relevant technologies?	
a) institutional capacity	
b) human capacity	
c) others-please specify	
19. Does your country consider that access to information and training or lack thereof has been a limiting factor in access to and transfer of technology?	
a) no	
b) yes, please provide some examples	

20. Has your country been able to identify relevant technologies in specific areas for the conservation and sustainable use of biological diversity in your country?	
a) no	
b) yes, please give details	
21. Has your country developed national policy and established international and national institutions to promote technology cooperation, including through the development and strengthening of technical, human and institutional capabilities?	
a) no (please specify the reasons)	
b) yes, please give some details or examples	
22. Has your country established joint research programmes and joint ventures for the development of technologies relevant to the objectives of the Convention?	
a) no	
b) yes, please give some details or examples	

Measures for facilitating access to and transfer of technology

23. Has your country established the mechanisms and/or measures to encourage and facilitate the transfer of technology to and technology cooperation with other Contracting Parties?	
a) no	
b) yes, please provide some details	
24. Has your country established channels for access to the technologies developed and applied for attaining the objectives of the Convention?	
a) no	
b) yes, please provide detailed information	

Success Stories of and Constraints to Technology Transfer and Technology Cooperation

25. Has your country identified any success stories and opportunities of and constraints to transfer of technology and technology cooperation?	
a) no	
b) yes, please provide detailed information	

Further comments

