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DRAFT GUIDELINES FOR THE FOURTH NATIONAL REPORT

Note by the Executive Secretary

I. INTRODUCTION

1. The Conference of the Parties, in paragraph 23 of decision VII/30, decided to establish an Ad Hoc Working Group on Review of Implementation. This Working Group was mandated to review, among others, the impacts and effectiveness of existing processes under the Convention.
2. The Ad Hoc Working Group on Review of Implementation held its first meeting from 5 to 9 September 2005 in Montreal. The meeting reviewed, among others, the reporting process under the Convention and made recommendations for its improvement, based on the analysis in document UNEP/CBD/WG-RI/1/10. To facilitate the submission of national reports, the Working Group recommended that more time should be given to Parties to prepare their national reports, and therefore requested the Executive Secretary to prepare draft guidelines for the fourth national report for consideration by the Conference of the Parties at its eighth meeting. The Executive Secretary was also requested to undertake consultations with Parties in this process and take into consideration the views expressed by Parties at that meeting.
3. This note is prepared to summarize the discussions related to national reporting during the first meeting of the Ad Hoc Working Group on Review of Implementation as well as the process of development of the draft guidelines for the fourth national report.
4. Section II of this note presents a summary of discussions related to national reporting at the first meeting of the Ad Hoc Working Group on Review of Implementation. Section III introduces the process of development of draft guidelines for the fourth national report, particularly focusing on comments received from some Parties. Section IV of this note contains a draft decision for consideration by the Conference of the Parties at its eighth meeting, which is mostly derived from the WGRI Recommendation I/9. The revised draft guidelines for the fourth national report are contained in the annex to this note.

* UNEP/CBD/COP/8/1.

II. SUMMARY OF THE DISCUSSIONS RELATED TO NATIONAL REPORTING DURING THE FIRST MEETING OF WORKING GROUP ON REVIEW OF IMPLEMENTATION

5. The first meeting of the Working Group on Review of Implementation reviewed, among others, the national reporting process under the Convention, and made some recommendations to improve it, in particular providing specific guidance for the development of draft guidelines for the fourth national report.

6. When discussing about the reporting process under the Convention and the reporting guidelines, a considerable number of delegates were of the view that the reporting process under the Convention would need a considerable overhaul to enable Parties to assess national implementation and the Conference of the Parties to have adequate information for its decision-making. Many delegates agreed that the fourth and subsequent national reports should be outcome-oriented and focus on the status and trends of biodiversity, implementation of national biodiversity strategies and action plans, progress towards the 2010 target and the goals and objectives of the Strategic Plan of the Convention. Some delegates were also of the view that reporting under the Convention would not only provide information for review and decision-making but also serve multiple purposes of communications and education.

7. The Working Group also provided recommendations on how to improve the reporting guidelines. It recommended that the guidelines should be short, simple and avoid repetition. It also recommended that the structure of a provisional list of goals for the 2010 target contained in the annex to decision VII/30 as well as that of the goals and objectives of the Strategic Plan should be followed for reporting. A number of delegates suggested that the purposes of reporting, focus of report and intended use of information from national reports should be clearly defined in the reporting guidelines.

8. The Working Group identified a number of ways and means to facilitate the preparation and submission of national reports, considering the fact that the rate of submission of national reports is still low. First, ways and means have been discussed on how to reduce reporting burdens on Parties. One way suggested to this end is to request Parties to submit a main national report every four years, and make thematic reporting a voluntary process. In addition, Parties are encouraged to use an online reporting process on a voluntary basis to provide updated information. Second, some Parties recommended that regional workshops should be held to assist with the preparation of national reports. Third, the Global Environment Facility is requested to adopt expeditious and easier mechanisms for providing funds to eligible Parties for preparing their national reports. Furthermore, the Executive Secretary has been requested to identify additional ways and means to facilitate timely submission of national reports by Parties, and report to the eighth or ninth meeting of the Conference of the Parties.

9. A number of delegates emphasized the need to streamline and harmonize reporting among biodiversity-related conventions in order to increase synergies among related conventions and to reduce reporting burdens. The meeting requested the Biodiversity Liaison Group to give further consideration to this issue and develop proposals in this regard. The meeting also recommended that Parties use joint reporting modules where possible and harmonize the gathering and management of data for the five biodiversity-related conventions at the national level where appropriate. Detailed discussions in this regard could be found in the report of the first meeting of the Working Group on Review of Implementation (UNEP/CBD/COP/8/4).

10. Further to the request to the Executive Secretary to facilitate timely submission of national reports, the Executive Secretary has outlined some initial proposals in document UNEP/CBD/COP/8/28/add.1, and will seek to further increase support to Parties in this respect as part of the Secretariat's enhanced technical support for Parties in their implementation of the Convention.

III. DEVELOPMENT OF DRAFT GUIDELINES FOR THE FOURTH NATIONAL REPORT

11. As mentioned above, the first meeting of the Working Group on Review of Implementation, in its Recommendation I/9, requested the Executive Secretary to develop for consideration by the Conference of the Parties at its eighth meeting draft guidelines for the fourth national report. The Working Group also requested the Executive Secretary to undertake consultations with Parties in this process and take into consideration the views expressed at its first meeting.

12. In response to these requests, the Executive Secretary developed such draft guidelines, taking into consideration the recommendations made at the first meeting of the Working Group on Review of Implementation, in particular suggested principles and elements contained in annex II to recommendation I/9 of the Working Group on Review of Implementation. The Executive Secretary had also undertaken consultations with Parties in this process by circulating the draft among Parties for comments. By December 20, 2005, when this document was finalized, the Secretariat had received comments from the following Government representatives as well as UNEP-WCMC: Canada, Chile, Finland, Malta, Mauritius, Sweden, the United Kingdom.

13. Due to very limited response received from Governments, the Executive Secretary intends to encourage Parties to provide responses and, based on discussion to take place during the eighth meeting of the Conference of the Parties, a revised reporting format will be issued no later than July 2006.

14. A number of respondents were pleased to see that the intended use of information from national reports was defined in the draft guidelines. One respondent also suggested that the intended use of information from the national reports should include: (i) defining the priorities for the Conference of the Parties after 2010; (ii) review of priority agenda items at the tenth meeting of the Conference of the Parties and 11; and (iii) review of achievement of the 2010 target.

15. A number of respondents recommended that the assessment of biodiversity status, trends and threats in national reports should be limited only to biomes, ecosystems and habitats. They were of the view that assessments of the status and trends of some cross-cutting issues could be made when reporting on progress towards the 2010 target and the goals and objectives of the Strategic Plan of the Convention.

16. A few respondents recommended that an executive summary should be brief and focus on key findings from the main part of the report. They suggested that this summary should be completed after the main report has been completed.

17. Some respondents supported the use of indicators in national reporting, both nationally developed and those developed and adopted by the Conference of the Parties for measuring progress towards the 2010 target and the goals and objectives of the Strategic Plan of the Convention. However, one respondent expressed doubts over the use of these indicators by pointing out their uncertain status as well as their applicability to national circumstances.

18. Some respondents provided specific suggestions on improving the structure of draft guidelines to avoid repetitions and overlaps, and making instructions provided therein more specific. Three respondents suggested that standardized questions be incorporated under corresponding sections rather than being in a separate annex, in order to help Parties avoid duplications between narrative reporting and responses to questions. Two respondents stressed the need to have a stand-alone section on integration or mainstreaming of biodiversity concerns into other sectoral and cross-sectoral plans, strategies and programmes, considering that this is an important area and should have some focus. One respondent noted some overlaps between Part I and 3.1, Part II and 3.3, and 3.2 and goal 2 of the Strategic Plan and goal 11 of the decision VII/30 framework. It was suggested that some parts could be merged or revised to avoid overlaps.

19. UNEP-WCMC also pointed out that draft guidelines had also accommodated the recommendations from the 2004 Haasrode Workshop on Harmonization of National Reporting under Biodiversity-related Conventions, in particular regarding the shift to outcome-oriented reporting, the explanation of the purposes of reporting and the focus on updating information rather than repeating information already provided in previous reports. Meanwhile, by recalling recent developments at the eleventh meeting of SBSTTA and other fora, a few respondents suggested that further efforts be made to move towards harmonizing reporting with the Ramsar Convention and UNCCD, including developing joint reporting questionnaires for consideration by the Conference of the Parties. One country suggested that draft guidelines be reviewed by the Biodiversity Liaison Group to facilitate harmonization of reporting among related conventions.

20. One respondent highlighted some principles summarized in a recent report prepared by UNEP-WCMC on the review of national reporting systems of the five global biodiversity-related conventions.

21. In addition, one respondent was of the opinion that an interactive reporting format by making use of a database or software designed for reporting purposes would be of great help to both individuals preparing national reports and the reviewers of reports. Another respondent suggested that a voluntary on-line reporting through the Clearing House Mechanism (CHM) be considered as an option for reporting on some parts of the draft guidelines.

22. Draft guidelines for the fourth national report have been revised by having incorporated or taken into account the comments received from some Parties. It should be noted that not all the suggested texts have been taken board because big differences exist in the comments submitted over how draft guidelines should be structured. For example, the majority of respondents wish to see the structure of the original draft maintained, with some changes introduced to avoid overlaps or duplications. However, as mentioned above, one respondent suggested that the guidelines should only cover two parts: one part on the status of implementation of NBSAPs (mandatory) and the other on national biodiversity status and trends (voluntary), which combines the section on status and trends and the section on progress towards the 2010 target.

23. The revised draft guidelines are contained in the annex to this note.

IV. DRAFT DECISION FOR CONSIDERATION BY THE CONFERENCE OF THE PARTIES

24. The Conference of the Parties may wish to adopt at its eighth meeting the following decision as prepared by the Working Group on Review of Implementation (Recommendation WGRI 1/9). *The Conference of the Parties,*

1. *Recognizes* the need to align the national reporting process with the framework for evaluating implementation of the Convention and progress towards the 2010 target;
2. *Underscores* the need to reduce overall reporting burdens on Parties, taking into account reporting obligations under other Conventions, and other relevant processes;
3. *Decides* that the fourth and subsequent national reports should be outcome-oriented and focus on the status and trends of biodiversity, national actions and outcomes with respect to the achievement of the 2010 target and the goals of the Strategic Plan of the Convention, and progress in implementation of national biodiversity strategies and action plans;
4. *Decides* that Parties shall submit their fourth national reports by 30 March 2009;
5. *Invites* Parties that anticipate that they may encounter difficulty in completing their reports according to the date set by the Conference of the Parties to advise the Secretariat in advance;
6. *Recommends* that regional and/or subregional workshops could facilitate the preparation of national biodiversity strategies and action plans and national reports, and the exchange of experiences on implementation of national biodiversity strategies and action plans and on assessment of obstacles for the implementation of the Convention to achieve the objectives of subparagraph (c) above, and *requests* resourcing for these workshops be considered in budget deliberations at the eighth meeting of the Conference of the Parties;
7. *Invites* the Global Environment Facility to explore and establish easier and expeditious mechanisms for the provision of funds to eligible countries for preparing their future national reports;
8. *Decides* that Parties will be invited to submit complementary reports on thematic programmes that are due for in-depth review according to the multi-year programme of work of the Conference of the Parties up to 2010 and accordingly, *invites* Parties, on a voluntary basis, to prepare complementary thematic reports according to the schedule in annex I to recommendation I/9; ^{1/}
9. *Decides* to establish an on-line reporting facility, through the clearing-house mechanism, for use by Parties on a voluntary basis as a planning tool;
10. *Decides* that the third edition of the Global Biodiversity Outlook shall be prepared for publication at the tenth meeting of the Conference of the Parties in 2010 and that it shall be based on the third and fourth national reports as well as other information received on progress towards the 2010 target;
11. *Agrees* to base its review of the implementation of the Convention at its tenth meeting primarily on the basis of the third and fourth national reports as well as on the analysis in the third edition of the Global Biodiversity Outlook;
12. *Welcomes* the initiative of the five biodiversity-related conventions, through the Biodiversity Liaison Group, to:
 - (a) Keep each other informed of proposed developments in national reporting under each of the conventions, with a view to aligning approaches where possible;
 - (b) Develop a Web portal with links to reports and guidelines of each of the conventions, similar to the Collaborative Portal on Forests;

^{1/} Report of the Working Group on Review of Implementation (UNEP/CBD/COP/8/4/Rev.1), recommendation 1/9, page 56;

- (c) Develop common reporting modules for specific themes, where possible, and appropriate;

13. *Takes note* of the recommendations from the UNEP-WCMC Workshop Towards the Harmonization of National Reporting to Biodiversity-related Treaties, which was held in September 2004 (UNEP/CBD/WG-RI/1/INF/6), and *encourages* the Biodiversity Liaison Group, in liaison with UNEP-WCMC, to give further consideration to issues of harmonization of reporting among the biodiversity-related conventions, and to develop proposals;

14. *Encourages* Parties to harmonize the gathering and management of data for the five biodiversity-related conventions at the national level, where appropriate;

15. *Requests* the Executive Secretary:

(a) To develop, by January 2006, for consideration by the Conference of the Parties at its eighth meeting, draft guidelines for the fourth national report, undertaking consultation with Parties in this process and taking into consideration the views expressed by Parties at the current meeting, including the elements contained in annex II of recommendation I/9; 2/

(b) To finalize these guidelines in light of the decisions of the eighth meeting of the Conference of the Parties and to make them available to Parties and other Governments by 1 July 2006;

(c) To identify additional ways and means to facilitate timely submission of national reports by Parties, and to report to the Conference of the Parties at its eighth or ninth meeting on options;

16. *Notes* the need for Parties who have not completed their third national reports to do so expeditiously.

V. RECOMMENDED ELEMENTS FOR A DRAFT DECISION BY THE CONFERENCE OF THE PARTIES

24. In considering the recommendation adopted by the Working Group on Review of Implementation of the Convention, the Conference of the Parties may wish to consider the following elements:

The Conference of the Parties may wish to request the Executive Secretary to:

1. *Finalize* the guidelines for the fourth national report by the end of July 2006, taking into account the comments expressed during the eighth meeting of the Conference of the Parties and written submissions of Parties provided to the Secretariat by the end of June 2006;

2. *Prepare* a model fourth national report, and to make it available for use by Parties on a voluntary basis;

3. *Consider* the need to enhance technical support services to be provided to Parties, as reflected in the note by the Executive Secretary on enhancing the Secretariat's support to implementation of the Convention and achievement of the 2010 target (UNEP/CBD/COP/8/28/Add.1);

4. *Consider* the need for the provision of adequate financial resources from the GEF and from other bilateral and multilateral financial instruments, to eligible Parties, for the preparation of their fourth national reports, in a timely fashion and preferably no later than 1 January 2007;

5. *Organize* regional training workshops with a view to promote best practices and exchange of experience in the preparation of fourth national reports in conjunction with relevant meeting of the Conference of the Parties.

2/ Report of the Working Group on Review of Implementation (UNEP/CBD/COP/8/4/Rev.1), recommendation 1/9, page 56;

Annex

DRAFT GUIDELINES FOR THE FOURTH NATIONAL REPORT

1. Reporting obligations

Parties are required by Article 26 of the Convention to present their national reports to the Conference of the Parties on the measures which they have taken for the implementation of the provisions of the Convention and their effectiveness in meeting the objectives of the Convention.

2. Purposes of reporting

The national reports submitted by Parties serve to report on compliance in implementation of the Convention. They should:

- (a) Enable Parties to assess and facilitate national implementation by:
 - (i) Assessing implementation of national biodiversity strategies and action plans;
 - (ii) Reviewing progress towards the 2010 target and goals and objectives of the Strategic Plan;
 - (iii) Helping describe national status and trends of biodiversity;
 - (iv) Identifying strengths in capacity and needs in capacity-building related to implementation;
 - (v) Communicating with various stakeholders and involving them in implementation.
- (b) Enable the Conference of the Parties to:
 - (i) Facilitate the decision-making processes of the Convention;
 - (ii) Review priority agenda items at future COPs, including work programmes, and help to identify gaps and define future priorities;
 - (iii) Facilitate the exchange of information among Parties concerning the experience of implementation of the Convention.

3. Focus of report

In preparing the fourth national report, Parties are invited to focus on:

- (a) Status and trends of, and threats to, biodiversity;
- (b) Current status of implementation of national biodiversity strategies and action plans;
- (c) Progress towards the 2010 target and the goals and objectives of the Strategic Plan;
- (d) Sectoral and cross-sectoral integration or mainstreaming of biodiversity considerations.

In elaborating on national actions to implement the Convention, Parties are invited to focus on:

- (e) Outcomes and impacts of actions or measures taken to implement the Convention;
- (f) Success stories and case-studies;
- (g) Major obstacles encountered in implementation.

4. How to use the guidelines

The guidelines for the fourth national report attempt to combine advantages of the guidelines for previous national reports. Parties will report primarily in a narrative way in combination with responses to some standardized questions designed to serve analytical purposes.

In each section, Parties will be first of all requested to answer a few standardized questions by ticking one or more of the multiple choice responses provided that best reflect national circumstances and/or implementation. If none of the responses provided fits national circumstances or a negative response is selected, Parties should indicate this in the space provided for details. After that, Parties will prepare the narrative part of the report by following some suggestions provided in each section. In preparing the narrative part of the report, Parties should try to avoid duplications or overlaps with the responses or explanations provided in answering standardized questions.

Parties are encouraged to provide substantive information concisely, though there is no page limit on this. Parties are also encouraged to provide sources of information such as publications and websites from which some information can be retrieved.

There may be some overlaps in the information provided within and among sections. In this case, Parties are encouraged to make cross-references to avoid repetition.

5. Use of indicators for reporting

Parties are encouraged to use indicators, nationally developed and headline indicators adopted by the Conference of the Parties, where appropriate, so that review and assessment of national implementation can be made on a more scientific and measurable basis. For reference, a list of global indicators adopted by the Conference of the Parties is contained in appendix II to the guidelines. Parties are encouraged to list nationally developed indicators used in this report.

6. Submission of the fourth national report

Parties are requested to submit their fourth national report in this format to the Executive Secretary by 30 March 2009. Parties are also requested to submit an original signed copy by post and an electronic copy on diskette/CD-ROM, or by electronic mail, to the following address.

The Executive Secretary
Secretariat of the Convention on Biological Diversity
World Trade Centre
413 St. Jacques Street West, suite 800
Montreal, Québec
H2Y 1N9 Canada
Fax: (1 514) 288 6588
E-mail: secretariat@biodiv.org

7. Intended use of information from national reports

The information contained in national reports will be used primarily for:

- (a) Review of national implementation and assessing its effectiveness;
- (b) Review of implementation of the provisions of the Convention, programmes of work and cross-cutting issues under the Convention;
- (c) Facilitating decision making processes under the Convention;
- (d) Defining the priorities for the Conference of the Parties after 2010;
- (e) Review of priority agenda items at the tenth and eleventh meetings of the Conference of the Parties;

- (f) Review of progress towards the 2010 target;
- (g) Supplementing global information in the Global Biodiversity Outlook;
- (h) Exchange and dissemination of success stories;
- (i) Communicating to various stakeholders at various levels information on implementation of the Convention.

It should be noted that the information provided by the Parties will not be used to rank performance or to otherwise compare implementation between individual Parties.

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(To be edited at a later stage)

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Executive Summary3/

For the purposes of communicating to various stakeholders at various levels, Parties are invited to provide an executive summary of what Parties consider to be the most important findings in the body of the report.

In this summary, Parties may wish to provide an overview of:

- (a) Status and trends in biodiversity, and major threats;
- (b) Key actions taken in support of the Convention's objectives and to achieve the 2010 target and the goals and objectives of the Strategic Plan of the Convention;
- (c) Areas where CBD implementation has been most effective or most lacking;
- (d) Major obstacles encountered in implementation.

3/ This section should be completed only after the main report has been completed.

Part I. Biodiversity Status, Trends and Threats

Please answer the following standardized questions before moving on to narrative reporting, by ticking one or more of the multiple choice responses provided that best reflect national circumstances and/or implementation. If none of the responses provided fits national circumstances or a negative response is selected, Parties could provide further explanations in a box located at bottom of each question.

1. Is your country monitoring the status and trends of various components of biodiversity at genetic, species and ecosystem levels in your country?	
a) No	
b) Yes, a few components being monitored at one of the levels (please specify)	
c) Yes, a few components being monitored at all levels (please specify)	
d) Yes, most of the components being monitored at one of the levels (please specify)	
e) Yes, most of the components being monitored at all levels (please specify)	
Please provide further details below.	

2. Has your country assessed and identified major threats to various components of biodiversity in your country?	
a) No	
b) Yes, a few major threats identified (please specify)	
c) Yes, most of major threats identified (please specify)	
Please provide further details below.	

Narrative Reporting

Parties are invited to provide below an overview and summary of the results of monitoring of the status and trends of, and major threats to, various components of biodiversity in their country. In doing so, Parties are requested to comment on:

- (a) Importance of this biodiversity component nationally;
- (b) Whether there are any monitoring systems in place for a certain biodiversity component (please provide a list of any relevant national indicators and targets, if they are available);
- (c) Status of this biodiversity component (overall statement on whether trend in rate of biodiversity loss is positive, negative or stable as well as more detailed information any indicators that exist);
- (d) What are main threats to this biodiversity component;
- (e) What changes in status and trend are as a result of measures taken to implement the Convention and to achieve the 2010 target and the goals and objectives of the Strategic Plan of the Convention.

In completing this section, Parties are encouraged to:

- (a) Use global indicators listed in appendix II to the guidelines as well as relevant nationally developed indicators;
- (b) Consider national status and trends relating to the thematic programmes of work.

- 1.1 Agricultural biodiversity
- 1.2 Forest biodiversity
- 1.3 Biological diversity of inland water ecosystems
- 1.4 Marine and coastal biodiversity
- 1.5 Biological diversity of dry and sub-humid lands
- 1.6 Island biodiversity
- 1.7 Mountain biodiversity
- 1.8 Biological diversity of other biomes or ecosystems

Part II. Current Status of National Biodiversity Strategies and Action Plans

Please answer the following standardized questions before moving on to narrative reporting, by ticking one or more of the multiple choice responses provided that best reflect national circumstances and/or implementation. If none of the responses provided fits national circumstances or a negative response is selected, Parties could provide further explanations in a box located at bottom of each question.

3. Has your country developed a national biodiversity strategy?	
a) No	
b) A strategy is being developed	
c) Yes, completed	
d) Yes, completed and adopted	
Please provide further details below.	

4. If the answer to the above question is no, what biodiversity programmes have been developed to implement the Convention?

5. Has your country developed a plan of action for biodiversity?	
a) No	
b) An action plan is being developed	
c) Yes, completed	
d) Yes, completed and adopted	
Please provide further details below.	

6. If the answer to the above question is no, what plan or programme has your country developed and adopted to implement your national biodiversity strategy or other relevant programmes?

7. Has your country updated its national biodiversity strategy and/or action plan in light of developments under the Convention and at the national level?	
a) No	
b) NBSAP is being updated	
c) Yes, updated (please provide details of updates to NBSAPs)	
Please provide further details below.	

8. Has your country identified priority actions for its national biodiversity strategy and/or action plan?	
a) No	
b) Priority actions are being identified	
c) Some priority actions have been identified (please details below)	
Please provide further details below.	

9. Has your country established adequate capacity for implementation of priority actions in its national biodiversity strategy and action plan? (Objective 2.1 of the Strategic Plan)	
a) No	
b) Relevant plans and programmes under development	
c) Yes, capacities established for some priority actions	
d) Yes, capacities established for most priority actions	
Please provide further details below.	

10. Is your country actively implementing the priorities in national biodiversity strategies and action plans as a means to achieve national implementation of the Convention? (Objective 2.4 of the Strategic Plan)	
a) No	
b) Priority actions are being identified	
c) Yes, some priority actions being implemented	
d) Yes, most priority actions being implemented	
Please provide further details below.	

11. Has your country assessed the obstacles to implementation of its national biodiversity strategy and/or action plan?	
a) No	
b) Assessment is under way	
c) Yes	
Please provide further details below.	

12. Are your national biodiversity strategies and action plans (including updated NBSAPs) or other programmes and plans developed or adopted for the implementation of the Convention available on the Internet?	
a) No	
b) No, but relevant documents have been submitted to the Secretariat	
c) Yes (please provide details below)	
Please provide website addresses below.	

Narrative Reporting

Parties are invited to provide an overview of the implementation of their national biodiversity strategies and action plans (NBSAPs) or other programmes and plans developed and adopted to implement the Convention. In so doing, Parties are requested to focus on:

- (a) Implementation of actions identified in NBSAPs;
- (b) Successes and obstacles encountered in implementation;
- (c) Lessons learned in achieving successes and overcoming obstacles;
- (d) Lessons learned in developing, implementing, evaluating and revising NBSAPs, particularly in light of assessments of the status and trends of, and threats to biodiversity, as well as related developments at international level;
- (e) Suggested ways and means to further enhance implementation, including ways and means of overcoming obstacles.

In completing this section, Parties could focus on one or two key areas of NBSAP implementation and include any case studies or website linkages.

[Parties that have submitted a review of NBSAPs called for at the eighth meeting of the Conference of the Parties may wish to simply update the information provided therein.]^{4/}

^{4/} This is subject to guidance from the eighth meeting of the Conference of the Parties.

Part III. Biodiversity Goals and Targets and Their Contributions to the Implementation of Convention

3.1 Progress Towards the 2010 Target

Parties are encouraged to develop national targets in response to a provisional framework of goals and targets for the 2010 target adopted in decision VII/30. Before responding to questions below, please provide in the following table an overview of development of national targets, degree of integration of global targets into national strategies and plans and development of relevant indicators.

Box I.

Global Targets	National target development			Integration of targets			Indicator development	
	No	Same as global target	Yes (text of national target)	No	In NBSAPs	In other programmes	Yes	No
1.1								
1.2								
2.1								
2.2								
3.1								
4.1								
4.2								
4.3								
5.1								
6.1								
6.2								
7.1								
7.2								
8.1								
8.2								
9.1								
9.2								
10.1								
10.2								
11.1								
11.2								

Please answer the following standardized questions before moving on to narrative reporting, by ticking one or more of the multiple choice responses provided that best reflect national circumstances and/or implementation. If none of the responses provided fits national circumstances or a negative response is selected, Parties could provide further explanations in a box located at bottom of each question.

Goal 1. Promote the conservation of the biological diversity of ecosystems, habitats and biomes

13. Has your country established a system of protected areas of various types to protect areas of particular importance to biodiversity and contribute to the conservation of the world's ecological regions? (Targets 1.1 and 1.2)	
a) No	
b) Relevant plans or programmes are under development	
c) Yes, a system is in place but not adequate for conservation objectives	
d) Yes, an adequate system is in place	
Please provide further details below.	

Goal 2. Promote the conservation of species diversity

14. Has your country taken measures to restore, maintain or reduce the decline of populations of species of selected taxonomy groups? (Target 2.1)	
a) No	
b) Relevant measures are being developed	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

15. Has your country taken measures to improve the status of threatened species? (Target 2.2)	
(a) No	
(b) Some measures are being developed	
(c) Yes, some measures in place	
(d) Yes, comprehensive measures in place	
Please provide further details below.	

Goal 3. Promote the conservation of genetic diversity

16. Has your country taken measures to conserve genetic diversity of crops, livestock, harvested species of trees, fish, wildlife and other valuable species, as well as maintain associated indigenous and local knowledge? (Target 3.1)	
a) No	
b) Relevant measures are being developed	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

Goal 4. Promote sustainable use and consumption

17. Has your country taken any measures to ensure that biodiversity-related products are derived from sources that are sustainably managed and production areas are managed consistent with the conservation of biodiversity? (Target 4.1)	
a) No	
b) Relevant measures are being developed	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

18. Has your country taken measures to reduce unsustainable consumption of biological resources? (Target 4.2)	
a) No	
b) Relevant measures under development	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

19. Has your country taken measures to avoid or minimize negative impacts of international trade on species of wild flora or fauna? (Target 4.3)	
a) No	
b) Relevant measures are being considered	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

Goal 5. Pressures from habitat loss, land use change and degradation, and unsustainable water use, reduced

20. Has your country taken measures to decrease the rate of loss and degradation of natural habitats? (Target 5.1)	
a) No	
b) Some measures are being considered	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

Goal 6. Control threats from invasive alien species

21. Has your country taken measures to control pathways for major potential alien invasive species? (Target 6.1)	
a) No	
b) Relevant measures are being developed	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

22. Has your country put in place management plans for major alien species that threaten ecosystems, habitats or species? (Target 6.2)	
a) No	
b) Relevant plans under development	
c) Yes, relevant plans in place	
d) Yes, reports on implementation of relevant plans available	
Please provide further details below.	

Goal 7. Address challenges to biodiversity from climate change, and pollution

23. Has your country taken measures to maintain and enhance resilience of the components of biodiversity to adapt to climate change? (Target 7.1)	
a) No	
b) Relevant measures are being considered	
c) Yes, some measures in place	
Please provide further details below.	

24. Has your country taken measures to reduce pollution and its impacts on biodiversity? (Target 7.2)	
a) No	
b) Relevant measures are being developed	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

Goal 8. Maintain capacity of ecosystems to deliver goods and services and support livelihoods

25. Has your country taken any measures to maintain capacity of ecosystems to deliver goods and services? (Target 8.1)	
a) No	
b) Some measures are being considered	
c) Yes, some measures taken	
d) Yes, major measures taken	
Please provide further details below.	

26. Has your country taken measures to maintain biological resources that support sustainable livelihoods, local food security and health care? (Target 8.2)	
a) No	
b) Some measures are being developed	
c) Yes, some measures in place	
d) Yes, comprehensive measures in place	
Please provide further details below.	

Goal 9. Maintain socio-cultural diversity of indigenous and local communities

27. Has your country taken measures to protect traditional knowledge, innovations and practices, including the rights of indigenous and local communities over their traditional knowledge, innovations and practices and to benefit sharing? (Targets 9.1 and 9.2)	
a) No	
b) Not applicable	
c) Some measures are being developed	
d) Yes, some measures in place	
Please provide further details below.	

Goal 10. Ensure the fair and equitable sharing of benefits arising out of the use of genetic resources

28. Has your country developed any legislations or mechanisms or measures to ensure that all transfers of genetic resources are in line with the Convention on Biological Diversity, the International Treaty on Plant Genetic Resources for Food and Agriculture and other applicable agreements? (Target 10.1)	
a) No	
b) Relevant legislations, mechanisms or measures are being considered	
c) Yes, some legislations, mechanisms or measures in place	
d) Yes, comprehensive legislations, mechanisms or measures in place	
Please provide further details below.	

29. Has your country developed any mechanisms for sharing benefits arising from the commercial and other utilization of genetic resources with the countries providing such resources? (Target 10.2)	
a) No	
b) Some mechanisms are being developed	
c) Yes, some mechanisms in place	
Please provide further details below.	

Goal 11. Parties have improved financial, human, scientific, technical and technological capacity to implement the Convention

The following two questions (nos. 30 and 31) are for DEVELOPED COUNTRIES.

30. Has your country provided new and additional financial resources to developing countries to allow for the effective implementation of their commitments under the Convention? (Target 11.1)	
a) No	
b) Relevant budgets or programmes are being developed	
c) Yes, relevant programmes developed and implemented	
d) Yes, reports on implementation available	
Please provide further details below.	

31. Has your country developed any mechanisms or measures to transfer technology to developing countries to allow for the effective implementation of their commitments under the Convention? (Target 11.2)

a) No	
b) Relevant mechanisms or measures are being developed	
c) Yes, some mechanisms or measures in place	
d) Yes, comprehensive mechanisms or measures in place	

Please provide further details below.

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Narrative Reporting

Parties are invited to evaluate their achievements and report on progress towards the 2010 target, using a provisional framework for goals and targets, which is contained in annex II to decision VII/30. For each goal or target of the provisional framework, Parties are invited to:

- (a) List, as appropriate, any relevant national targets established to achieve these goals and targets, and any indicators developed nationally to measure progress toward these goals and targets;
- (b) Provide information on overall state of progress towards goals and targets, including any results from monitoring of national indicators (In completing this section, Parties should highlight which particular actions taken to implement the Convention have contributed to any success stories);
- (c) Elaborate outcomes or impacts of actions or measures taken to achieve these goals and targets.

In completing this section, Parties are also encouraged to:

- (a) Use indicators that are nationally relevant as well as those headline indicators adopted by the Conference of the Parties for measuring progress towards the 2010 target;
- (b) Elaborate wherever relevant on national implementation of various programmes of work and cross-cutting issues adopted under the Convention.

- Goal 1. Promote the conservation of the biological diversity of ecosystems, habitats and biomes
- Goal 2. Promote the conservation of species diversity
- Goal 3. Promote the conservation of genetic diversity
- Goal 4. Promote sustainable use and consumption
- Goal 5. Pressures from habitat loss, land use change and degradation, and unsustainable water use, reduced.
- Goal 6. Control threats from invasive alien species
- Goal 7. Address challenges to biodiversity from climate change, and pollution
- Goal 8. Maintain capacity of ecosystems to deliver goods and services and support livelihoods
- Goal 9. Maintain socio-cultural diversity of indigenous and local communities
- Goal 10. Ensure the fair and equitable sharing of benefits arising out of the use of genetic resources
- Goal 11. Parties have improved financial, human, scientific, technical and technological capacity to implement the Convention

3.2. Progress towards the Goals and Objectives of the Strategic Plan of the Convention

Please answer the following standardized questions before moving on to narrative reporting, by ticking one or more of the multiple choice responses provided that best reflect national circumstances and/or implementation. If none of the responses provided fits national circumstances or a negative response is selected, Parties could provide further explanations in a box located at bottom of each question.

Goal 1. The Convention is fulfilling its leadership role in international biodiversity issues

32. Is your country promoting the integration of biodiversity concerns into sectoral or cross-sectoral plans, programmes and policies at the regional and global levels? (Objective 1.5)	
a) No	
b) Relevant mechanisms are being considered	

c) Yes, in some sectors	
d) Yes, in major sectors	
Please provide further details below.	

33. Is your country promoting collaboration at the regional and subregional levels to implement the Convention? (Objective 1.6)	
a) No	
b) Relevant mechanisms are being developed	
c) Yes, some mechanisms established	
d) Yes, reports on such collaboration available	
Please provide further details below.	

Goal 2. Parties have improved financial, human, scientific, technical, and technological capacity to implement the Convention

34. Is your country promoting scientific and technical cooperation to contribute to capacity building? (Objective 2.5)	
a) No	
b) Relevant programmes under development	
c) Yes, in some areas	
d) Yes, in many areas	
Please provide further details below.	

The following two questions (nos. 35 and 36) are for DEVELOPING COUNTRIES only.

35. Has your country provided sufficient resources to implement the three objectives of the Convention? (Objective 2.2)	
a) No	
b) Relevant budgetary sources are being considered	
c) Yes, limited resources provided	
d) Yes, adequate resources provided	
Please provide further details below.	

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36. Has your country received resources from external sources to support the implementation of the Convention? (Objective 2.2)	
a) No	
b) Yes, extremely limited resources received	
c) Yes, limited resources received	
d) Yes, adequate resources received	
Please provide further details below.	

Goal 3. National biodiversity strategies and action plans and the integration of biodiversity concerns into relevant sectors as an effective framework for the implementation of the objectives of the Convention. (See Questions 11 and 41)

Goal 4. There is a better understanding of the importance of biodiversity and of the Convention, and this has led to broader engagement across society in implementation.

37. Is your country implementing a CEPA strategy and promoting public participation in support of the Convention? (Objective 4.1)	
a) No	
b) Relevant strategy and programmes under development	
c) Yes, some programmes and activities being implemented	
d) Yes, comprehensive programmes and activities being implemented	
Please provide further details below.	

38. Has your country taken measures to effectively involve indigenous and local communities in the implementation of the Convention and in the processes of the Convention at national, regional and international levels? (Objective 4.3)	
a) No	
b) Relevant mechanisms are being considered	
c) Yes, in some areas	
d) Yes, in most areas	

Please provide further details below.

39. Is your country engaging key actors and stakeholders in partnerships to implement the Convention?	
a) No	
b) Relevant mechanisms are being developed	
c) Yes, to a limited extent	
d) Yes, to a significant extent	
Please provide further details below.	

Narrative Reporting

The Strategic Plan of the Convention commits Parties to a more effective and coherent implementation of the three objectives of the Convention. In this section, Parties are invited to report on progress in meeting the goals and objectives of the Strategic Plans, by focusing on:

- (a) National targets established to achieve these goals and objectives, where appropriate;
- (b) Information on overall state of progress towards these goals and objectives;
- (c) Actions or measures taken to achieve these goals and objectives;
- (d) Outcomes or impacts of relevant actions or measures taken.

In completing this section, Parties are also encouraged to

(a) Use indicators that are nationally relevant as well as those headline indicators adopted by the Conference of the Parties for measuring progress towards the goals and objectives of the Strategic Plan of the Convention;

(b) Elaborate wherever relevant on national implementation of various programmes of work and cross-cutting issues adopted under the Convention.

Goal 1. The Convention is fulfilling its leadership role in international biodiversity issues.

Goal 2. Parties have improved financial, human, scientific, technical, and technological capacity to implement the Convention.

Goal 3. National biodiversity strategies and action plans and the integration of biodiversity concerns into relevant sectors as an effective framework for the implementation of the objectives of the Convention.

Goal 4. There is a better understanding of the importance of biodiversity and of the Convention, and this has led to broader engagement across society in implementation.

Part IV. Sectoral and Cross-sectoral Integration or Mainstreaming of Biodiversity Considerations

Please answer the following standardized questions before moving on to narrative reporting, by ticking one or more of the multiple choice responses provided that best reflect national circumstances and/or implementation. If none of the responses provided fits national circumstances or a negative response is selected, Parties could provide further explanations in a box located at bottom of each question.

40. Has your country developed the guidelines for environmental impact assessment (EIA) and strategic environmental assessment (SEA) and applied them to plans, programmes and projects that have impacts on biodiversity?	
a) No	
b) Relevant guidelines under development	
c) Yes, EIA guidelines developed and applied (please provide details)	
d) Yes, both EIA and SEA guidelines developed and applied	
Please provide further details below.	

41. Has your country integrated biodiversity concerns into relevant national sectoral and cross-sectoral plans, programmes and policies? (Objective 3.3 of the Strategic Plan)	
a) No	
b) Integration under way	
c) Yes, into some sectors (please provide details below)	
d) Yes, into most sectors (please provide details below)	
Please list the main sectors into which biodiversity concerns have been integrated below.	

Narrative Reporting

In addition to development and implementation of national biodiversity strategies and action plans, sectoral and cross-sectoral integration of biodiversity considerations is crucial for implementing the Convention at various levels.

In this section, all Parties are requested to provide comments on to what extent biodiversity considerations have been mainstreamed into national development plans including poverty reduction strategies, and other related sectoral and cross-sectoral strategies, plans and programmes.

In addition to the above, developed country Parties are requested to provide in this section comments on to what extent biodiversity has been taken into account in programmes of overseas development assistance (ODA) including support for developing countries for mainstreaming biodiversity into national development plans including poverty reduction strategies, and other related sectoral and cross-sectoral strategies, plans and programmes.

*Appendix I***Reporting Party****Box II.**

Contracting Party	
NATIONAL FOCAL POINT	
Full name of the institution	
Name and title of contact officer	
Mailing address	
Telephone	
Fax	
E-mail	
CONTACT OFFICER FOR NATIONAL REPORT (IF DIFFERENT FROM ABOVE)	
Full name of the institution	
Name and title of contact officer	
Mailing address	
Telephone	
Fax	
E-mail	
SUBMISSION	
Signature of officer responsible for submitting national report	
Date of submission	

Process of preparation of national report

Box III.

It is recommended that in preparing their national reports, Parties involve a wide range of stakeholders in order to ensure a participatory and transparent approach to the reporting process. Please provide information on the process of preparing this report, including information on stakeholders involved and material used as a basis for the report.

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Further sources of information

Box IV.

If Parties so wish, further sources of information about national implementation, such as website addresses, publications and databases may be provided in the box below.

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Appendix II

List of Indicators Adopted by the Conference of the Parties for Measuring Progress Towards the 2010 Target and the Goals and Objectives of the Strategic Plan of the Convention (to be filled after COP 8)
