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Items 2.2 and 4.10 of the provisional agenda

THE FINANCIAL MECHANISM

I. INTRODUCTION

1. Article 21 of the Convention provides for a financial mechanism to be operated by the institutional structure for supporting the implementation of the Convention by developing country Parties, and that the Conference of the Parties communicates its guidance to the financial mechanism and reviews its effectiveness. The relationship between the Convention and its financial mechanism has been governed through the Memorandum of Understanding between the Conference of the Parties to the Convention on Biological Diversity and the Council of the Global Environment Facility, contained in the annex to decision III/8. The Memorandum of Understanding set out five principal elements: guidance to the financial mechanism, reporting from the GEF Council, review of the effectiveness of the financial mechanism, determination of funding requirements, and inter-secretariat cooperation. Relevant sections of the Memorandum of Understanding are reproduced in annex I to this document for ease of reference.

2. In decision IX/31, the Conference of the Parties adopted a four-year framework of programme priorities related to the utilization of GEF resources for biodiversity for the period 2010-2014, for consideration during the fifth replenishment (decision IX/31 B). The fifth replenishment was completed in May 2010 (see the Report of the GEF, UNEP/CBD/COP/10/6). The Conference of the Parties also decided to continue to explore ways and means of improving the effectiveness of providing guidance to the financial mechanism (see section II of the present note). Further, the Conference of the Parties requested the Executive Secretary to prepare for the consideration of the Conference of the Parties at its tenth meeting draft terms of reference for a full assessment of the amount of funds needed for the implementation of the Convention for the sixth replenishment period of the Trust Fund of the Global Environment Facility (decision IX/31 A, paragraph 5 (c)) and a proposal on the terms of reference including cost options for the fourth review of the effectiveness of the financial mechanism. These matters are considered in sections III and IV respectively of this note. The present note also provides updates on the report of the GEF (section V) and inter-secretariat cooperation (section VI). The recommendations for consideration by the Conference of the Parties are contained in document UNEP/CBD/COP/10/1/Add.2 under agenda item 4.10.

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II. GUIDANCE TO THE FINANCIAL MECHANISM

3. Article 21, paragraph 1, provides that for purposes of this Convention, the Conference of the Parties shall determine the policy, strategy, programme priorities and eligibility criteria relating to the access to and utilization of such resources. This is further set out in the Memorandum of Understanding (see annex I to this document). Since its first meeting in 1994, the Conference of the Parties has provided its guidance to the financial mechanism at each of its ordinary meetings.

4. In decision IX/31 B, the Conference of the Parties adopted the four-year framework of programme priorities as related to utilization of Global Environment Facility resources for biodiversity for the period from 2010 to 2014. The Conference of the Parties also decided to continue to explore ways and means of improving the effectiveness of providing guidance to the financial mechanism (paragraph 2). Further, in decision IX/31 C (paragraph 3) the Conference of the Parties decided to consider at its tenth meeting the recommendations of the third meeting of the Working Group on Review of Implementation on streamlined guidance, and to consider requests for any new guidance in light of those recommendations. Accordingly, the Working Group prepared recommendation 3/10 which envisages that the Conference of the Parties would adopt consolidated guidance to GEF, prepared on the basis of a draft prepared by the Executive Secretary, taking into account the results of an electronic consultation with Parties prior to the tenth meeting of the Conference of the Parties. An electronic consultation was organized through the website of the Convention from 10 June to 9 July 2010. Proposals for a consolidation of all the decisions or elements of decisions adopted by the Conference of the Parties from its first to ninth meetings and directed to the financial mechanism has thus been prepared, and is contained in a draft annex to the WGRI recommendation, included in the compilation of draft decisions (UNEP/CBD/COP/10/1/Add.2) under agenda item 4.10.

5. Additional elements of guidance for the financial mechanism may arise from the decisions of the tenth meeting of the Conference of the Parties. A compilation of relevant draft decisions emanating from intersessional meetings and additional proposals from the Executive Secretary (UNEP/CBD/COP/10/1/Add.2) is provided in annex II of this note. The Conference of the Parties may wish to consider the additional points in developing further guidance to the financial mechanism.

III. DETERMINATION OF FUNDING REQUIREMENTS

6. Article 21, paragraph 1, states: "The contributions shall be such as to take into account the need for predictability, adequacy and timely flow of funds referred to in Article 20 in accordance with the amount of resources needed to be decided periodically by the Conference of the Parties and the importance of burden-sharing among the contributing Parties included in the list referred to in Article 20, paragraph 2. Voluntary contributions may also be made by the developed country Parties and by other countries and sources. The mechanism shall operate within a democratic and transparent system of governance." The Memorandum of Understanding (see annex I of the present note) provides procedures for determination of funding requirements.

7. In decision IX/31 A, paragraph 5, the Conference of the Parties requested the Executive Secretary to invite Parties to submit assessments of their future funding needs based on their updated national biodiversity strategies and action plans, compile these national submissions, and prepare, in consultation with the Parties, draft terms of reference for a full assessment of the amount of funds needed for the implementation of the Convention for the sixth replenishment period of the Trust Fund of the Global Environment Facility. In response to the notifications from the Executive Secretary, submissions have been received from Brazil, Egypt, Peru, Qatar, and the European Union, including the European Commission, Czech Republic, and France, as well as Germany. The submissions were made available at: <https://www.cbd.int/financial/>, and compiled in an information document (UNEP/CBD/WGRI/3/INF/4).

8. Although the Memorandum of Understanding, adopted in 1996, requires assessments of funding needs by the Conference of the Parties, there has been no prior experience of undertaking such a funding needs assessment under the Convention. The related experience of funding needs assessments include: assessment of financial flows and investments under the United Nations Framework Convention on Climate Change, periodic assessments of funding needs by the Technology and Economic Assessment

Panel for the Montreal Protocol on Substances that Deplete the Ozone Layer, as well as needs assessments under the Stockholm Convention on Persistent Organic Pollutants. The common feature of these assessments is the utilization of consultants to prepare necessary studies as the basis for intergovernmental assessment. An independent consultant is thus proposed to prepare the first assessment of funds needed for the implementation of the Convention for the sixth replenishment period of the Trust Fund of the Global Environment Facility, in order to allow the Convention to gain more experience in this exercise.

9. Draft terms of reference have been prepared accordingly and these are annexed to a draft decision included in the compilation of draft decisions (UNEP/CBD/COP/10/1/Add.2) under agenda item 4.10.

IV. REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM

10. Paragraph 3 of Article 21 provides that the Conference of the Parties shall review the effectiveness of the mechanism, not less than two years after the entry into force of this Convention and thereafter on a regular basis, and based on such review, it shall take appropriate action to improve the effectiveness of the mechanism if necessary. This is further set out in the Memorandum of Understanding (see annex I of the present note). The first two reviews were concluded by the fourth and sixth meetings of the Conference of the Parties.

11. By decision IX/31 A, the Conference of the Parties concluded the third review of the effectiveness of the financial mechanism, and requested the GEF Council to take a series of actions in order to improve the effectiveness of the financial mechanism. In paragraph 6 of decision IX/31 A, the Conference of the Parties requested the Executive Secretary to prepare, in consultation with the GEF Council, a proposal on the terms of reference including cost options for the fourth review of the effectiveness of the financial mechanism. The proposed draft terms of reference for the fourth review of the financial mechanism was presented to the GEF Council through document GEF/C.36/3, dated 9 October 2009.

12. The proposed terms of reference build upon the provisions of decision VII/22 concerning arrangements for the third review of the effectiveness of the financial mechanism, and also draw on the experience and lessons learned from implementing the first three reviews of the effectiveness of the financial mechanism. The proposal seeks to utilize the results and findings of the GEF Evaluation Office, as well as promote participation of Parties in the review process.

13. The draft terms of reference are annexed to a draft decision included in the compilation of draft decisions (UNEP/CBD/COP/10/1/Add.2) under agenda item 4.10.

V. REPORTING FROM THE GEF COUNCIL

14. Article 21, paragraph 1, provides that the financial mechanism shall function under the authority and guidance of, and be accountable to, the Conference of the Parties for purposes of this Convention. This is further set out in the Memorandum of Understanding (see annex I of the present note).

15. The GEF Council has submitted its report on its activities and operational results as the institutional structure operating the financial mechanism of the Convention to every ordinary meeting of the Conference of the Parties. At its ninth meeting, the Conference of the Parties also requested the GEF Council to report on its plan to implement the strategy for resource mobilization (decision IX/11 B, annex, paragraph 13), implementation of the four-year framework for programme priorities related to utilization of GEF resources for biodiversity (decision IX/31, A, paragraph 3(b)), consideration of decision IV/5, paragraph 4, of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety (IX/31, C, paragraph 5). The report of the GEF Council to the tenth meeting of the Conference of the Parties is contained in document UNEP/CBD/COP/10/5.

16. The Conference of the Parties may wish to review the Report from the GEF and take note of new developments under the financial mechanism, such as:

- (a) The new focal area strategy for biological diversity;

- (b) The sustainable forest management program for GEF-5;
 - (c) The System for a Transparent Allocation of Resources (STAR) starting from the fifth phase of the Global Environment Facility;
 - (d) The conclusion of the fifth replenishment negotiations;
 - (e) The recommendation of the fourth Overall Performance Study; etc.
17. The Conference of the Parties may also provide further advice on any information that may be provided by the GEF in its future reports.

VI. INTER-SECRETARIAT COOPERATION

18. The Memorandum of Understanding also includes provisions regarding reciprocal representation and inter-secretariat cooperation (see annex I of the present note).
19. In paragraph 4 of decision IX/31 A, the Conference of the Parties encouraged the Executive Secretary, the Chief Executive Officer of the Global Environment Facility and the Director of the GEF Evaluation Office to continue to strengthen inter-secretariat cooperation, and in paragraph 1 of decision IX/31 B, the Conference of the Parties encouraged the Executive Secretary to maintain and strengthen the dialogue with the Chief Executive Officer of the Global Environment Facility with a view to enhancing the implementation of the guidance adopted by the Conference of the Parties during the fourth replenishment period of the Facility and onwards.
20. During the inter-sessional period, the Secretariat of the Convention attended most meetings of the Council of the Global Environment Facility, provided inputs to relevant Council documents, delivered statements upon request, and transmitted joint summaries of the GEF Council to the Convention's national focal points. The Secretariat observed several replenishment meetings, and discussed the GEF-5 allocation to the focal area of biodiversity. The Secretariat also participated in all GEFOP teleconferences to review GEF work programs, and consulted on the development of GEF-5 focal area strategies. Staff of GEF Evaluation Office and STAP visited the Convention's Secretariat. The GEF Secretariat provided extensive comments on various pertinent draft documents prepared by the Convention's Secretariat for the tenth meeting of the Conference of the Parties.
21. The draft decisions prepared for the tenth meeting of Conference of the Parties contain a number of recommendations concerning further collaboration between the Executive Secretary and the GEF, which are compiled in annex II of the present note. The Conference of the Parties may wish to provide further instructions on enhanced inter-secretariat cooperation.

*Annex I***EXTRACTS FROM THE MEMORANDUM OF UNDERSTANDING BETWEEN THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY AND THE COUNCIL OF THE GLOBAL ENVIRONMENT FACILITY, CONTAINED IN THE ANNEX TO DECISION III/8****“2. Guidance from the Conference of the Parties**

2.1 In accordance with Article 21 of the Convention the Conference of the Parties will determine the policy, strategy, programme priorities and eligibility criteria for access to and utilization of financial resources available through the financial mechanism, including monitoring and evaluation on a regular basis of such utilization. GEF, in operating the financial mechanism under the Convention, will finance activities that are in full conformity with the guidance provided to it by the Conference of the Parties. For this purpose, the Conference of the Parties will communicate its guidance, and any revisions to such guidance as it may adopt, on the following matters:

- (a) Policy and strategy;
- (b) Programme priorities;
- (c) Eligibility criteria;
- (d) An indicative list of incremental costs;
- (e) A list of developed country Parties and other Parties which voluntarily assume the obligations of developed country Parties;
- (f) Any other matter relating to Article 21, including periodic determination of the amount of resources needed as detailed in paragraph 5 of this Memorandum.

2.2 The Council will communicate to the Conference of the Parties all relevant information, including information on the projects in the area of biological diversity funded by GEF outside the framework of the financial mechanism of the Convention.

3. Reporting

3.1 The Council will prepare and submit a report for each ordinary meeting of the Conference of the Parties.

3.2 The reports will include specific information on how the GEF Council, its Secretariat and its Implementing and Executing Agencies have applied the guidance and implemented the policy, strategies, programme priorities and eligibility criteria determined by the Conference of the Parties, as well as any other decision of the Conference of the Parties communicated to GEF, under Article 21 of the Convention. The Council should also report on its monitoring and evaluation activities concerning projects in the biodiversity focal area.

3.3. In particular, the reports will provide detailed information on the GEF biodiversity focal area, including:

- (a) Information on how GEF has responded to the guidance provided by the Conference of the Parties as described by paragraph 2, including, where appropriate, through its incorporation in the GEF operational strategy and operational programmes;
- (b) The conformity of the approved work programmes with guidance of the Conference of the Parties;
- (c) A synthesis of the different projects under implementation and a listing of the projects approved by the Council in the biodiversity focal area, as well as a financial report with an indication of the financial resources allocated to these projects;

(d) A list of project proposals submitted for approval to the Council, through the GEF Implementing Agencies, by eligible Parties, including reporting on their approval status and, in cases of projects not approved, the reasons therefore;

(e) A review of the project activities approved by GEF and their outcomes, including information on funding and progress in implementation; and

(f) Additional financial resources leveraged by GEF for the implementation of the Convention.

3.4 In order to meet the requirements of accountability to the Conference of the Parties, reports submitted by the Council will cover all GEF-financed activities carried out for the purpose of the Convention, whether decisions on such activities are made by the Council or by the GEF Implementing and/or Executing Agencies. To this end, the Council will make arrangements as might be necessary with the Implementing Agencies regarding disclosure of information.

3.5 The Council will also provide information on other matters concerning the discharge of its functions under Article 21, paragraph 1, as may be requested by the Conference of the Parties. If the Council has difficulties in responding to any such request, it will explain its concerns to the Conference of the Parties and the Conference of the Parties and the Council will find a mutually agreed solution.

4. Monitoring and evaluation

4.1 The Conference of the Parties may raise with the Council any matter arising from the reports received.

4.2 The funding decisions for specific projects should be agreed between the developing country Party concerned and GEF in accordance with policy, strategy, programme priorities and eligibility criteria established by the Conference of the Parties. The GEF Council is responsible for approving the GEF work programmes. If a Party considers that a decision of the Council regarding a specific project was not made in compliance with the policies, programme priorities and eligibility criteria established by the Conference of the Parties in the context of the Convention, the Conference of the Parties should analyse the observations presented to it by the Party and take decisions on the basis of compliance with such policy, strategy, programme priorities and eligibility criteria. In the event that the Conference of the Parties considers that this specific project decision does not comply with the policy, strategy, programme priorities and eligibility criteria established by the Conference of the Parties, it may ask the GEF Council for further clarification on the specific project decision.

4.3 As provided for in Article 21, paragraph 3, of the Convention, the Conference of the Parties will periodically review the effectiveness of the financial mechanism in implementing the Convention and communicate to the Council relevant decisions taken by the Conference of the Parties as the result of such review, to improve the effectiveness of the financial mechanism in assisting developing country Parties to implement the Convention.

5. Determination of funding requirements

5.1 In anticipation of the replenishment of GEF, the Conference of the Parties will make an assessment of the amount of funds that are necessary to assist developing countries, in accordance with the guidance provided by the Conference of the Parties, in fulfilling their commitments under the Convention over the next GEF replenishment cycle, taking into account:

(a) Article 20, paragraph 2, and Article 21, paragraph 1, of the Convention;

(b) Guidance to the financial mechanism from the Conference of the Parties which calls for future financial resources;

(c) The information communicated to the Conference of the Parties in the national reports submitted in accordance with Article 26 of the Convention;

(d) National strategies, plans or programs developed in accordance with Article 6 of the Convention;

(e) Information communicated to the Conference of the Parties from GEF on the number of eligible programmes and projects that were submitted to GEF, the number that were approved for funding, and the number that were turned down owing to lack of resources;

(f) Experience gained by those concerned in the implementation of projects.

5.2 On the occasion of each replenishment, GEF will, in its regular report to the Conference of the Parties as provided for in paragraph 3 of this Memorandum of Understanding, indicate how it has responded during the replenishment cycle to the previous assessment by the Conference of the Parties prepared in accordance with paragraph 5.1 and inform the Conference of the Parties of the conclusion of replenishment negotiations.

5.3 On the basis of the report referred to in paragraph 5.2 of this Memorandum of Understanding the Conference of the Parties will review the amount of funding necessary for the implementation of the Convention, on the occasion of each replenishment of the financial mechanism.

6. Reciprocal representation

On a reciprocal basis, representatives of GEF will be invited to attend meetings of the Conference of the Parties and representatives of the Convention will be invited to attend meetings of GEF.

7. Inter-secretariat cooperation

The Secretariat of the Convention and the Secretariat of GEF will communicate and cooperate with each other and consult on a regular basis to facilitate the effectiveness of the financial mechanism in assisting developing country Parties to implement the Convention. In particular, the two secretariats will consult on the project proposals under consideration for inclusion in a proposed work programme, especially with regard to the consistency of the project proposals with the guidance of the Conference of the Parties. Official documentation of GEF will be made available to the Secretariat of the Convention on Biological Diversity.”

*Annex II***COMPILATION OF DRAFT ELEMENTS FOR DECISIONS THAT HAVE RELEVANCE TO THE FINANCIAL MECHANISM**

The following elements related to the financial mechanism have been compiled from draft decisions for the consideration of the tenth meeting of the Conference of the Parties (UNEP/CBD/COP/10/1/Add.2). Comments provided by the Global Environment Facility Secretariat have been considered in comparing the proposed decisions with relevant existing decisions, as indicated in bold text in brackets.

1. Regarding additional guidance to the financial mechanismBiodiversity planning

“Requests the Global Environment Facility to provide adequate and timely financial support for the updating of national biodiversity strategies and action plans and related enabling activities;” (paragraph 3, recommendation 3/1 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) [**already covered by the consolidated guidance**]

“Recalling its “Four-year framework of programme priorities related to utilization of GEF resources for biodiversity for the period from 2010 to 2014” proposed in decision IX/31 and *noting* that objective 5 of the GEF-5 Biodiversity Focal Area Strategy is to “Integrate CBD obligations into national planning processes through enabling activities”, *requests* the Global Environment Facility to provide support to eligible Parties in a expeditious manner, for revising their national biodiversity strategies and action plans in line with the Strategic Plan;” (paragraph 8, recommendation 3/5 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) [**already covered by the consolidated guidance**]

Integration of biodiversity and development

“In accordance with Article 20 of the Convention, invites developed country Parties, other Governments and donors, and the financial mechanism to provide financial and technical support to developing countries, in particular the least developed countries and small island developing States, as well as countries with economies in transition, to further develop approaches on the integration of biodiversity into poverty eradication and development processes;” (paragraph 10, recommendation 3/3 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) [**new addition to the consolidated guidance, but focused on targeted research activities**]

Taxonomy

“Urges Parties and other Governments to endorse GTI-related project proposals relevant to their national biodiversity strategies and action plans prepared in collaboration with national, regional and global partner organizations and networks, to facilitate the process of project funding by the Global Environment Facility (GEF) and other relevant donors;” (paragraph 8, recommendation XIV/14 of the Subsidiary Body on Scientific, Technical and Technological Advice) [**not meant to be guidance**]

“[Further recognizing that taxonomic capacity is crucial for the implementation of all relevant articles and work programmes of the Convention and that the taxonomic capacity to inventory and monitor biodiversity, including the use of new technologies, such as DNA barcoding and other relevant information technology is not adequate in many parts of the world, *requests* the Global Environment Facility (GEF) and *invites* other donors to put higher priority for funding to GTI proposals;” (paragraph 12, recommendation XIV/14 of the Subsidiary Body on Scientific, Technical and Technological Advice) [**already covered by the consolidated decision**]

Marine and coastal ecosystems

“[Invites the Global Environment Facility to extend support for capacity-building to developing countries, small island developing States, least developed countries, and countries with

economies in transition, in order to identify ecologically or biologically significant and/or vulnerable marine areas in need of protection, as called for in paragraph 18 of decision IX/20 and develop appropriate protection measures in these areas;]” (paragraph 32, recommendation XIV/3 of the Subsidiary Body on Scientific, Technical and Technological Advice) **[new addition, more specific than the consolidated decision]**

Protected areas

“[9. *Recalling* that in paragraph 1 of its decision IX/18 B, the Conference of the Parties urged Parties, in particular developed country Parties, and *invites* other Governments and international financial institutions including the Global Environment Facility, the regional development banks, and other multilateral financial institutions to provide the adequate, predictable and timely financial support, to developing country Parties, in particular the least developing countries, and small island developing States, as well as countries with economies in transition to enable the full implementation of the programme of work on protected areas.]” (paragraph 9, recommendation XIV/4 of the Subsidiary Body on Scientific, Technical and Technological Advice) **[already covered by the consolidated guidance]**

“*Invites* Parties to [Timely and appropriately use the Global Environment Facility 5 protected-area biodiversity allocations, bilateral, multilateral and other aid using their action plan for implementing the programme of work on protected areas as the basis for accessing funds;]” (paragraph 9(b), recommendation XIV/4 of the Subsidiary Body on Scientific, Technical and Technological Advice) **[not meant to be guidance]**

Global Strategy for Plant Conservation

“*Invites* Parties, other Governments, [the financial mechanism], and funding organizations to provide adequate, timely and sustainable support to the implementation of the Strategy, especially by developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition;” (paragraph 5, recommendation XIV/8 of the Subsidiary Body on Scientific, Technical and Technological Advice) **[new addition, since the consolidated decision only covers capacity building]**

Article 8(j) and Related Provisions

“*Further invites* the Global Environment Facility, international funding institutions and development agencies and relevant non-governmental organizations, where requested, and in accordance with their mandates and responsibilities, to consider providing assistance to indigenous and local communities, particularly women, to raise their awareness and to build capacity and understanding of the elements of the code of ethical conduct.” (Paragraph (f), recommendation 6/3 of the Ad Hoc Open-Ended Inter-Sessional Working Group on Article 8(j) and Related Provisions) **[new substantive addition to the consolidated guidance]**

Technology cooperation

“*Invites* funding institutions, including the Global Environment Facility, to provide financial support accordingly.” (paragraph 4, recommendation 3/11 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) **[not specific enough]**

Resource mobilization

“*Requests* the Global Environment Facility to provide timely and adequate financial support to updating national biodiversity strategies and action plans, which may include the development of country-specific resource mobilization strategies;” (paragraph 4, recommendation 3/8 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) **[comments: new addition]**

National reports

“*Requests* the Global Environment Facility to provide adequate and timely financial support for the preparation of the fifth and future national reports;” (paragraph 8, recommendation 3/7 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) **[generally covered by the consolidated guidance]**

2. Regarding further effectiveness of the financial mechanism

“*Requests* the Global Environment Facility and its implementing agencies to ensure that procedures are in place to ensure an expeditious disbursement of funds;” (paragraph 8, recommendation 3/7 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) **[generally covered by the consolidated decision]**

“*Requests* the Global Environment Facility and its implementing agencies to ensure that procedures are in place to ensure an expeditious disbursement of funds;” (paragraph 3, recommendation 3/1 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention) **[generally covered by the consolidated guidance]**

“[Urges the Global Environment Facility and its Implementing Agencies to streamline their delivery for expeditious and proportionate disbursement and to align the projects to national action plans for the programme of work on protected areas for appropriate and focused interventions and continuity of projects;]” (paragraph 12, recommendation XIV/4 of the Subsidiary Body on Scientific, Technical and Technological Advice) **[the notion of project continuity is new, but requires clarification on the role of GEF and Governments with respect to project continuity]**

3. Regarding inter-secretariat cooperation

“[5. *Recognizes* the urgent need of developing countries for sufficient financial resources and technical assistance to address the challenges to biodiversity from climate change, (i) calls for the various existing initiatives including LifeWeb and others to provide funding to address such challenges and (ii) invites [the GEF] to consult with the ES on ways and means to provide adequate funding and technical assistance to developing countries for the better implementation of COP decisions on biodiversity and climate change;]” (paragraph 5, recommendation XIV/5 of the Subsidiary Body on Scientific, Technical and Technological Advice) **[the link between action and expected results is not specific]**

“[6. *Invites* the Global Environment Facility to consult with the Executive Secretary on ways and means to better inform its Implementing Agencies about decisions made by the Conference of the Parties on biodiversity and climate change, especially those related to building synergy between the Rio conventions, in order to facilitate the Parties efforts in pursuant to such decisions;]” (paragraph 6, recommendation XIV/5 of the Subsidiary Body on Scientific, Technical and Technological Advice) **[the link between action and expected results is not specific]**

“3. *Notes* with appreciation the substantial replenishment of the GEF Trust Fund for the fifth time, and *requests* the secretariats of the Global Environment Facility and the Convention to collaborate to assist recipient Parties in their efforts to maximize the effectiveness of utilizing the available resources, bearing in mind the 2011-2020 Strategic Plan of the Convention;” (recommendation of document UNEP/CBD/COP/10/14) **[The request has very broad coverage]**

“8. *Requests* the financial mechanism to include rapid national economic assessments of biodiversity and ecosystem services in its consideration of financial support to national biodiversity strategies and action plans, and *encourages* the secretariats of the Global Environment Facility and the Convention to collaborate to ensure financial support to the process of rapid national economic assessment of biodiversity and ecosystem services;” (recommendation of document UNEP/CBD/COP/10/14) **[new]**

“36. *Requests* the Executive Secretary, in consultation with Global Environment Facility and other relevant international and regional organizations and initiatives, to organize a series of regional and subregional workshops on innovative financing and resource mobilization to facilitate and support the preparation of country-specific resource mobilization strategies.” (recommendation of document UNEP/CBD/COP/10/14) [**new**]

“41. *Commends* the consultations undertaken between the Executive Secretary, the Global Environment Facility and the Global Mechanism of the United Nations Convention to Combat Desertification, in preparation of the document “Guidelines for Country-Specific Resource Mobilization Strategies;” (recommendation of document UNEP/CBD/COP/10/14) [**general**]

“43. *Requests* the financial mechanism to include country-specific resource mobilization strategies in its consideration of financial support to national biodiversity strategies and action plans, and *also requests* the secretariats of Global Environment Facility and the Convention to collaborate to ensure adequate support available to Parties in their efforts to develop country-specific resource mobilization strategies;” (recommendation of document UNEP/CBD/COP/10/14) [**new**]

“44. *Urges* developing country Parties and Parties with economies in transition to prioritize their access to the financial mechanism for financing the development and implementation of country resource mobilization strategies as part of national biodiversity strategies and action plans, and use country resource mobilization strategies as a platform for effective country dialogues with the financial mechanism and to contribute to mainstreaming biodiversity objectives in development strategies and frameworks;” (recommendation of document UNEP/CBD/COP/10/14) [**not meant to be guidance**]

“*Further requests* the Executive Secretary, through the Joint Liaison Group and in collaboration with the Global Environment Facility and the United Nations Environment Programme, to publish a brochure on best practices for the achievement of co-benefits for biodiversity, climate change and combating desertification / land degradation;” (recommendation of document UNEP/CBD/COP/10/22) [**new**]

“*Further requests* the Executive Secretary, in collaboration with the Global Environment Facility and the Joint Liaison Group, to identify indicators to measure and facilitate reporting on the achievement of multiple benefits for biodiversity, climate change and combating desertification / land degradation;” (recommendation of document UNEP/CBD/COP/10/22) [**new**]

“5. *Recommends* that the GEF and CBD Secretariats jointly facilitate access to funding for the clearing-house mechanism, including as a component of the support for implementing national biodiversity strategies and action plans;” (recommendation of document UNEP/CBD/COP/10/15) [**new**]
