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Item 14 of the provisional agenda*

REVIEW OF IMPLEMENTATION OF THE STRATEGY FOR RESOURCE MOBILIZATION, INCLUDING THE ADOPTION OF TARGETS

Note by the Executive Secretary

I. INTRODUCTION

1. In the strategy for resource mobilization (annex to decision IX/11 B), the Conference of the Parties provided for a comprehensive review of the implementation of the strategy at its twelfth meeting. Recalling decision IX/11, the Conference of the Parties, at its eleventh meeting, decided that the implementation of the strategy for resource mobilization would be reviewed at the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention (decision XI/4, paragraph 10).

2. In decision XI/4, the Conference of the Parties also agreed on a number of action items and associated work for the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, the Executive Secretary, and the High-level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020, for consideration by the Conference of the Parties at its twelfth meeting. These items relate to:

- (a) The establishment of financial targets (paragraph 22 of decision XI/4);
- (b) The review of implementation of the strategy for resource mobilization (paragraph 10);
- (c) The review of the preliminary reporting framework (paragraph 23);
- (d) Work on possible risks and benefits of country-specific innovative financial mechanisms, including on possible principles and safeguards¹ for their use (paragraphs 20 and 21);
- (e) Modalities and milestones for the full implementation of Aichi Biodiversity Target 3 (paragraph 8);
- (f) The global monitoring report (paragraph 11);
- (g) Further work of the high-level panel for global assessment of resources (paragraph 24);
- (h) Regional workshops on resource mobilization (paragraph 27).

** English version reposted on 11 September with technical corrections to paragraphs 28 and 35.

* UNEP/CBD/COP/12/1/Rev.1.

¹ See UNEP/CBD/COP/12/13/Add.4.

3. These items were covered by various elements of recommendation 5/10 of the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention. The Working Group further requested inter-sessional work to be undertaken by the Executive Secretary on items (b), (c), (d), (f) and (g) above. Section II below reports on progress made on these items, including references to the pertinent paragraphs or sections in the draft decision on resource mobilization contained in recommendation 5/10 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention and, as appropriate, to pertinent addenda or information notes. Section III provides suggestions for additional elements of a decision under agenda item 14, resulting inter alia from the inter-sessional work referred to above, for consideration by the Conference of the Parties and possible inclusion in its decision on resource mobilization.

II. PROGRESS MADE ON ELEMENTS OF DECISION XI/4

(a) Establishment of financial targets

4. In paragraph 22 of decision XI/4, the Conference of the Parties decided to review, at its twelfth meeting, progress towards the achievement of Aichi Biodiversity Target 20 with the aim of adopting a final target for resource mobilization.

5. Targets for resource mobilization are contained in section A of the draft decision contained in recommendation 5/10 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention. The Conference of the Parties may wish to consider and finalize these targets.

(b) Review of implementation of the strategy for resource mobilization

6. The Conference of the Parties, at its eleventh meeting, decided that the implementation of the strategy for resource mobilization would be reviewed at the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention (decision XI/4, paragraph 10). Pursuant to this decision, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, at its fifth meeting, comprehensively considered relevant matters based on the documentation prepared for that meeting,² and adopted recommendation 5/10, on review of implementation of the strategy for resource mobilization.

7. In paragraph 1 of recommendation 5/10, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention requested the Executive Secretary to develop, for consideration by the Conference of the Parties at its twelfth meeting, proposals for concrete and effective actions for implementing Aichi Biodiversity Target 20, to support the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets by 2020.

8. The proposals were to take into account the existing strategy for resource mobilization, including elements from all eight of its goals, the report of the High-level Panel on the Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020, the Co-Chairs' Summary of the Second Dialogue Seminar on Scaling up Finance for Biodiversity, and ongoing initiatives and activities on technical support and capacity-building. The proposals were to include:

(i) Possible actions for achieving targets as well as associated indicators, including actions associated with the eight goals of the strategy for resource mobilization and the mainstreaming of biodiversity across government and society (Strategic Goal A of the Strategic Plan for Biodiversity 2011-2020);

(ii) Delivery and support mechanisms providing technical support and capacity-building;

² UNEP/CBD/WGRI/5/4, Strategy for Resource Mobilization: Review of Implementation; the full review of Goals 2, 5, 6, 7 and 8 of the strategy for resource mobilization was made available as information document UNEP/CBD/WGRI/5/INF/3; document UNEP/CBD/WGRI/5/INF/4 contains the summary of the Global Monitoring Report on the Implementation of the Strategy for Resource Mobilization; document UNEP/CBD/WGRI/5/INF/8 contains the draft executive summary of the High Level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020.

(iii) The cataloguing of the capacity-building and technical support instruments that have been made available to Parties, in order to inform policy development from a toolkit of options that Parties can use to address their resource mobilization needs;

(iv) Activities that encourage and support collective action, including by indigenous and local communities, and non-market-based approaches for mobilizing resources for achieving the objectives of the Convention.

9. Paragraph 4 of recommendation 5/10 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention recommends that the Conference of the Parties at its twelfth meeting consider the proposals of the Executive Secretary, developed pursuant to paragraph 1 of the recommendation, with a view to their adoption.

10. Furthermore, the Subsidiary Body on Scientific, Technical and Technological Advice at its eighteenth meeting also requested the Executive Secretary, as part of the work pursuant to paragraph 1 (a) of recommendation 5/10 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, to include the compilation and development of advice on options for overcoming obstacles encountered in implementing options identified for addressing incentives that are harmful for biodiversity (recommendation XVIII/8, paragraph 1).

11. Proposals for concrete and effective actions for implementing Aichi Biodiversity Target 20 and associated financial targets are provided in the annex to this note. They reflect elements provided under the eight goals of the existing strategy for resource mobilization.³ A catalogue of capacity-building and technical support instruments is provided as an information note.

12. The Conference of the Parties at its twelfth meeting may wish to recognize the ongoing relevance of the strategy for resource mobilization in support of the achievement of the Convention's three objectives, as adopted by decision IX/11 B, and to decide to extend the strategy until 2020.

13. The Conference of the Parties may wish to consider and finalize the proposed concrete and effective actions annexed below and adopt them as a flexible framework for implementing Aichi Biodiversity Target 20 and associated financial targets, as a complement to the resource mobilization strategy.

(c) *Review of the preliminary reporting framework (paragraph 23)*

14. In paragraph 23 of decision XI/4, the Conference of the Parties requested the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, at its fifth meeting, to further review the preliminary reporting framework and baseline information for each of the targets, including the role of collective action, including by indigenous and local communities, and non-market-based approaches to achieving the objectives of the Convention; and requested the Executive Secretary to prepare for this review, based on information received from Parties on the application of the preliminary reporting framework and on funding needs, gaps and priorities as per paragraphs 5 and 6 of the same decision.

15. In paragraph 2 of its recommendation 5/10, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention requested the Executive Secretary, further to paragraph 2 of decision VIII/14, to revise the preliminary reporting framework with a view to facilitating financial reporting. The revised financial reporting framework is contained in document UNEP/CBD/COP/12/13/Add.3. The document also provides additional explanations on how the individual tasks, as enumerated in recommendation 5/10 and its annex I, were addressed in the revision.

16. In paragraph 10 of the draft decision contained in recommendation 5/10, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention recommends adoption of the revised financial reporting framework.

³ Pertinent cross-references are provided in footnotes in the annex.

(d) Work on possible risks and benefits of country-specific innovative financial mechanisms, including on possible principles and safeguards for their use

17. In paragraph 20 of decision XI/4, the Conference of the Parties requested the Executive Secretary to further develop the discussion paper on safeguards, based on comments from Parties and other relevant stakeholders, for submission to the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention; and requested the Working Group, at its fifth meeting, to prepare a recommendation for consideration by the Conference of the Parties at its twelfth meeting. In paragraph 21 of the same decision, the Conference of the Parties requested the Working Group, at its fifth meeting, to discuss possible risks and benefits of country-specific innovative financial mechanisms, including on possible principles and safeguards for their use, for consideration by the Conference of the Parties at its twelfth meeting.

18. In paragraph 1 (d) of recommendation 5/10, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention requested the Executive Secretary to develop draft options for voluntary guidelines based on the challenges and possible risks of these mechanisms as identified in the document on possible risks and benefits of country-specific innovative financial mechanisms and safeguards. The draft options for voluntary guidelines on safeguards for biodiversity financing mechanisms are provided in document UNEP/CBD/COP/12/13/Add.4.

19. In paragraph 3 of the draft decision contained in recommendation 5/10, the Working Group recommends to the Conference of the Parties to take note of the voluntary guidelines based on the revised document on possible risks and benefits of country-specific innovative financial mechanisms, and safeguards, and to invite Parties and business organizations and other stakeholders to consider using it as appropriate, and in accordance with national legislation.

20. The latest version of the discussion paper on safeguards contains detailed, specific guidance, including case studies and lessons learned, on how to develop safeguards for different biodiversity financing mechanisms that respond to their specific risks and opportunities, as well as general, “top-level” guidelines on how to establish safeguards in selecting, designing and implementing biodiversity financing mechanisms. Due to their generic nature, the latter are applicable to a wide range of biodiversity financing mechanisms, including those that might emerge in the future.

21. The voluntary guidelines on safeguards in biodiversity financing mechanisms contained in the annex to document UNEP/CBD/COP/12/13/Add.4 are a concise set that have been developed on the basis of the discussion paper: *Biodiversity financing and safeguards: lessons learned and proposed guidelines*.

22. The Conference of the Parties, at its twelfth meeting, may wish to consider adopting the guidelines contained in the annex to document UNEP/CBD/COP/12/13/Add.4 while taking note of the more detailed information in the discussion paper.

(e) Modalities and milestones for the full implementation of Aichi Biodiversity Target 3

23. In paragraph 8 of the decision XI/4, the Conference of the Parties, mindful of the potential of Aichi Biodiversity Target 3 to mobilize resources for biodiversity, decided to consider modalities and milestones for the full operationalization of this Target at its twelfth meeting, with a view to their adoption.

24. Modalities and milestones for the full operationalization of Aichi Biodiversity Target 3 are addressed in section B (paragraphs 6 to 9) of the draft decision provided in recommendation 5/10 of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention.

25. The Working Group recommended that the Conference of the Parties at its twelfth meeting adopt the milestones as annexed to the draft decision in recommendation 5/10 (paragraph 8 of the draft decision

in recommendation 5/10), and take note of the modalities as contained in the note on modalities and milestones⁴ (paragraph 7 of the draft decision in recommendation 5/10).

26. The section of the above-mentioned note (document UNEP/WGRI/5/4/Add.1) that provides the modalities for the full implementation of Aichi Biodiversity Target 3 is reproduced as an information note, for consideration by the Conference of the Parties at its twelfth meeting.

(f) Global monitoring report (paragraph 11)

27. In paragraph 11 of decision XI/4, the Conference of the Parties requested the Executive Secretary to prepare periodic global monitoring reports on the implementation of the strategy for resource mobilization. In paragraph 5 of recommendation 5/10, the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention requested the Executive Secretary to submit the global monitoring report on the implementation of the strategy for resource mobilization for the consideration of the Conference of the Parties at its twelfth meeting. In paragraph 5 of the draft decision contained in recommendation 5/10, the Working Group recommends the Conference of the Parties to welcome the global monitoring report.

28. The global monitoring report is contained in document UNEP/CBD/COP/12/13/Add.1,.

(g) Further work of the high-level panel for global assessment of resources

29. In paragraph 24 of decision XI/4, the Conference of the Parties welcomed the findings of the first phase of the High-level Panel on the Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020, and invited the High-level Panel, in collaboration with other relevant initiatives that could provide a more bottom-up approach, to continue its work with a broadened composition and to report back on the results of its work to the twelfth meeting of the Conference of the Parties.

30. The Executive Secretary established, in consultation with the Bureau of the Conference of the Parties, the second phase of the High-level Panel, and the final membership of fifteen regionally-balanced experts was presented to the Bureau at its meeting in May 2013 in Trondheim, Norway. The High-level Panel, through the generous funding provided by the Government of United Kingdom, the Government of Japan and the Government of Norway, as well as in-kind support from the Governments of Brazil and India, conducted the requested bottom-up research and had meetings in May 2013 in Trondheim; in December 2013 in Chennai, India; and in April 2014 in Brasilia.

31. The summary of the second report of the High-level Panel on Global Assessment of Resources for Implementing the Biodiversity Strategic Plan 2011-2020 is contained in document UNEP/CBD/COP/12/13/Add.2 and the full report is contained in an information document.

(h) Regional workshops on resource mobilization

32. In paragraph 27 of decision XI/4, the Executive Secretary was requested to organize regional and subregional workshops on the establishment of robust baselines and reporting framework and the preparation of national financial plans for biodiversity.

33. Pursuant to this request, four regional workshops were organized together with the Biodiversity Finance Initiative (BIOFIN) of the United Nations Development Programme (UNDP) and the United Nations Environment Programme, with financial support provided by the Governments of Denmark and Japan as well as the European Union. The workshops were held as follows:

(a) Hosted by Government of Uganda, the regional workshop on resource mobilization for Africa was held from 11 to 13 February 2014 in Entebbe, Uganda;

(b) Hosted by the Government of Brazil, the regional workshop on resource mobilization for Latin America and the Caribbean was held from 15 to 17 April 2014 in Brasilia;

⁴ UNEP/WGRI/5/4/Add.1.

(c) Hosted by the United Nations Economic and Social Commission for Asia and the Pacific, the regional workshop on resource mobilization for Asia and the Pacific was held from 6 to 8 May 2014 in Bangkok;

(d) Hosted by the Government of Germany, through its International Academy for Nature Conservation, the regional workshop on resource mobilization for Central and Eastern Europe and Central Asia was held from 26 to 28 May 2014 on the island of Vilm, Germany.

34. In paragraph 3 of recommendation 5/10, the Working Group welcomed the financial and in-kind contributions of various Parties, including those referenced in the previous paragraph, to initiatives, including the Second Informal Dialogue Seminar on Scaling up Finance for Biodiversity held in Quito, Ecuador and other events, intended to contribute to the preparation of the review of the implementation of the strategy for resource mobilization. The Conference of the Parties at its twelfth meeting may wish to introduce similar language into the decision on resource mobilization.

III. ADDITIONAL ELEMENTS FOR POSSIBLE INCLUSION IN THE DECISION ON RESOURCE MOBILIZATION

35. In light of the review of progress made, provided in the previous section, and further to the draft decision provided in recommendation 5/10 of the fifth meeting of the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention, the Conference of the Parties may wish to consider including the following additional paragraphs in its decision on resource mobilization.

With regard to the review of implementation of the strategy for resource mobilization, the following paragraphs could be added after the preambular paragraphs of the draft decision in recommendation 5/10:

Recognizing the ongoing relevance of the strategy for resource mobilization in support of the achievement of the Convention's three objectives, as adopted by decision IX/11 B, *decides* to extend the strategy until 2020;

Adopts the list of concrete and effective actions annexed to the present decision, as a complement to the strategy for resource mobilization, and encourages Parties to make use of the list as a flexible framework for achieving Aichi Biodiversity Target 20 and associated financial targets;

With regard to work on possible risks and benefits of country-specific innovative financial mechanisms, including on possible principles and safeguards for their use, the Conference of the Parties may consider replacing paragraph 3 of the draft decision in recommendation 5/10 with the additional paragraphs suggested in document UNEP/CBD/COP/12/13/Add.4.

With regard to modalities and milestones for Aichi Biodiversity Target 3, the following paragraph could be included after paragraph 9 of the draft decision in recommendation 5/10:

Invites Parties to submit to the Executive Secretary information on practical experiences and lessons learned in applying options for overcoming obstacles encountered in implementing policies for addressing harmful incentives,;

The following subparagraphs could be added to the list of requests to the Executive Secretary in paragraph 19 of the draft decision in recommendation 5/10:

Requests the Executive Secretary:

- To further update and populate the clearing-house mechanism of the Convention, with a view to sharing pertinent national programmes and initiatives and associated good practices and lessons learned;
- To continue and intensify engagement with relevant regional and global multilateral agencies to integrate biological diversity and associated ecosystem services into their strategies and programmes, in particular (i) regional development banks and United Nations Economic Commissions

(ii) the United Nations Environment Management Group and national United Nations Development Assistance Framework (UNDAF) processes;

In addition, the Conference of the Parties may wish to acknowledge in its decision, in line with paragraph 3 of recommendation 5/10, financial support received for initiatives, including the Quito dialogue seminar and other events, intended to contribute to the preparation of the review of the implementation of the strategy for resource mobilization.

Annex

PROPOSALS FOR CONCRETE AND EFFECTIVE ACTIONS FOR IMPLEMENTING AICHI BIODIVERSITY TARGET 20 AND ASSOCIATED FINANCIAL TARGETS

I. INTRODUCTION

1. The concrete and effective actions enumerated below provide a flexible framework for Parties and other Governments, as well as relevant organizations and initiatives at all levels, including funding institutions, for achieving Aichi Biodiversity Target 20 and the associated financial targets to be adopted by the Conference of the Parties at its twelfth meeting, with a view to mobilizing adequate and predictable financial resources for the implementation of the Strategic Plan for Biodiversity 2011-2020 and its Aichi Biodiversity Targets by 2020. They complement the strategy for resource mobilization adopted by the Conference of the Parties in decision IX/11 B by identifying targeted actions required to achieve Aichi Biodiversity Target 20 and associated financial targets.

2. This proposal also takes note of the significant interlinkages and potential synergies between Aichi Biodiversity Target 20 and other elements of the Strategic Plan for Biodiversity 2011-2020, and in particular its Strategic Goal A, to address the underlying causes of biodiversity loss by mainstreaming biodiversity across government and society (Aichi Targets 1 to 4).⁵

3. Raising awareness of the values of biodiversity (Aichi Target 1) and integrating these values into national and local development and poverty reduction strategies and planning processes, including plans for sustainable production and consumption (Aichi Targets 2 and 4), are essential enabling conditions for the effective mobilization of resources from all sources.

4. Implementing Aichi Target 3 carries considerable potential to reduce negative pressures on biodiversity as well as to potentially mobilize resources for biodiversity. The elimination, phase-out or reform of harmful incentives, including subsidies, could mobilize significant resources and is therefore a high global priority, while the wider application of various biodiversity finance mechanisms and instruments, acting as incentives for the conservation and sustainable use of components of biodiversity, can also make important contributions.

5. Prioritizing and sequencing actions accordingly is thus likely to have particularly high returns, bearing in mind that such prioritizing and sequencing needs to take into account national circumstances and priorities.

⁵ Target 20: By 2020, at the latest, the mobilization of financial resources for effectively implementing the Strategic Plan for Biodiversity 2011-2020 from all sources, and in accordance with the consolidated and agreed process in the Strategy for Resource Mobilization, should increase substantially from the current levels. This target will be subject to changes contingent to resource needs assessments to be developed and reported by Parties.

Target 1: By 2020, at the latest, people are aware of the values of biodiversity and the steps they can take to conserve and use it sustainably.

Target 2: By 2020, at the latest, biodiversity values have been integrated into national and local development and poverty reduction strategies and planning processes and are being incorporated into national accounting, as appropriate, and reporting systems.

Target 3: By 2020, at the latest, incentives, including subsidies, harmful to biodiversity are eliminated, phased out or reformed in order to minimize or avoid negative impacts, and positive incentives for the conservation and sustainable use of biodiversity are developed and applied, consistent and in harmony with the Convention and other relevant international obligations, taking into account national socio-economic conditions.

Target 4: By 2020, at the latest, Governments, business and stakeholders at all levels have taken steps to achieve or have implemented plans for sustainable production and consumption and have kept the impacts of use of natural resources well within safe ecological limits.

II. POSSIBLE ACTIONS AND INDICATORS

A. *General enabling actions*

6. Implement the provisions of the Monterrey Consensus on mobilizing international and domestic funding as related to biodiversity.⁶

7. Raise public awareness of the importance of biological diversity and the goods and services that it provides at all levels in support of resource mobilization:⁷

(a) Consider applying the guidance provided in the CEPA toolkit as well as the work under the CEPA programme of work.⁸

B. *International financial flows*

Indicators

8. Aggregated international financial flows, in the amount and where relevant percentage, of biodiversity-related funding, per annum, in a manner that avoids double counting, both in total and in, inter alia, the following categories:⁹

(a) Official development assistance (ODA);

(b) Non-ODA public funding, including South-South cooperation initiatives;

(c) Private sector, academia, foundations, non-governmental organizations (NGOs);

(d) Trends in funding to the Global Environment Facility (GEF) and biodiversity-related programmes of other multilateral organizations.

9. Number of countries that have taken measures to encourage the private sector as well as non-governmental organizations, foundations, and academia to provide international support for the implementation of the Strategic Plan for Biodiversity 2011-2020, and volume of funding generated.¹⁰

Actions

10. Integrate considerations on biological diversity and its associated ecosystem services into the strategies, programmes, and priorities, including sectoral and regional priorities, of bilateral and multilateral donor organizations, including the United Nations development system, as well as international financial institutions and development banks, taking into account the Paris Declaration on Aid Effectiveness and the Busan Partnership for Effective Development Cooperation.¹¹

11. Increase official development assistance associated with biological diversity, where biodiversity is identified as a priority by developing country Parties in poverty reduction strategies, national development strategies, United Nations development assistance frameworks and other development assistance strategies and in accordance with priorities identified in national biodiversity strategies and action plans.¹²

12. Identify, engage and increase South-South cooperation as a complement to North-South cooperation to enhance technical, technological, scientific and financial cooperation.¹³

⁶ <http://www.un.org/esa/ffd/monterrey/MonterreyConsensus.pdf>. See the strategy for resource mobilization (decision IX/11 B, annex), para 3.6 under goal 3.

⁷ See the strategy for resource mobilization (decision IX/11 B, annex), para 8.1 under goal 8.

⁸ <http://www.cbd.int/cepa/toolkit/2008/cepa/index.htm> ; <http://www.cbd.int/cepa/>.

⁹ From the strategy for resource mobilization (decision IX/11 B, annex) and decision X/3, paragraph 7.

¹⁰ See WGRI recommendation 5/10, annex II, paragraph 2.

¹¹ See Strategy for resource mobilization (decision IX/11 B, annex), para 5.1 and 5.3 under goal 5.

¹² Strategy for resource mobilization (decision IX/11 B, annex), para 3.2 under goal 3.

¹³ Strategy for resource mobilization (decision IX/11 B, annex), para 6.2 under goal 6.

13. Take legislative, administrative or policy measures, as appropriate, to implement, or adhere to, the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization.¹⁴

14. Take legislative, administrative or policy measures, as appropriate, to encourage the private sector as well as non-governmental organizations, foundations, and academia to provide international support for the implementation of the Strategic Plan for Biodiversity 2011-2020:¹⁵

(a) Encourage the private sector to consider and disseminate criteria related to biodiversity and associated ecosystem services in its purchasing decisions throughout international supply chains in accordance with the Convention and other relevant international obligations;

(b) Encourage the private sector as well as non-governmental organizations, foundations, and academia to engage in resource mobilization for biodiversity and its associated ecosystem services through international project financing and other voluntary means;

(c) Consider establishing tax exemptions or tax credits for international biodiversity-related donations or activities, and encourage loans with preferential terms for international biodiversity-related activities.

15. Encourage the Parties to United Nations Framework Convention on Climate Change and its Kyoto Protocol to take biodiversity into account in the criteria for funding mechanisms related to climate change.¹⁶

16. Explore the potential to include biological diversity in debt relief and conversion initiatives, including debt-for-nature swaps.¹⁷

17. Strengthen cooperation and coordination among funding partners at all levels, taking into account the Paris Declaration on Aid Effectiveness and the Busan Partnership for Effective Development Cooperation.¹⁸

C. Inclusion of biodiversity

Indicators

18. Number of countries that have included biodiversity in their national priorities or development plans to ensure that other development activities do not harm biodiversity.

Actions

19. Integrate consideration of biological diversity and its associated ecosystem services into economic and development plans, strategies and budgets:¹⁹

(a) Consider the evidence from available studies, such as the regional assessments conducted by the High-level Panel on Global Assessment of Resources for Implementing the Strategic Plan for Biodiversity 2011-2020,²⁰ to identify the linkages between biodiversity investments and solutions to wider problems and challenges of sustainable development (food security, water management, disaster risk reduction, livelihoods and poverty reduction etc.);

¹⁴ See Strategy for resource mobilization (decision IX/11 B, annex), paras 7.1 and 7.2 under goal 7.

¹⁵ See Strategy for resource mobilization (decision IX/11 B, annex), para 2.6 under goal 2 and 3.4 under goal 3.

¹⁶ Strategy for resource mobilization (decision IX/11 B, annex), para 4.6 under goal 4.

¹⁷ Strategy for resource mobilization (decision IX/11 B, annex), para 3.8 under goal 3.

¹⁸ Strategy for resource mobilization (decision IX/11 B, annex), para 5.4 under goal 5.

¹⁹ See Strategy for resource mobilization (decision IX/11 B, annex), para 5.2 under goal 5.

²⁰ See UNEP/CBD/COP/12/13/Add.2.

(b) Consider using, as appropriate and in accordance with national circumstances, the Chennai guidance for the integration of biodiversity and poverty eradication²¹ and the CBD good practice guide on ecosystem goods and services in development planning,²² or other related guidance.

D. Reporting domestic expenditures as well as funding needs, gaps and priorities

Indicators

20. Number of countries that have reported domestic biodiversity-related expenditures, as well as funding needs, gaps and priorities.

Actions

21. Consider taking steps to fully report on biodiversity-related domestic expenditures, as well as on funding needs, gaps and priorities, using methodological guidance such as that of the UNDP Biodiversity Finance Initiative (BIOFIN), as appropriate:

(a) Identify relevant actors and institutions, with particular regard to the potential roles of planning and finance agencies;

(b) Assess current biodiversity-related investments, from all sources and at all levels as appropriate;

(c) Identify funding needs for biodiversity, such as for implementation of the revised national biodiversity strategy and action plan (NBSAP), and determine the funding gap as well as priorities for closing the gap.

22. Compile and share national experiences in identifying and reporting domestic biodiversity expenditures, as well as funding needs, gaps and priorities, with a view to identifying good practices and lessons learned.

23. Fully utilize, as eligible, funding available from the Global Environment Facility for the revision of national biodiversity strategies and action plans, with a view to implementing the steps highlighted in paragraphs 21 and 22 above.

24. Consider providing bilateral and multilateral support to countries to implement the steps highlighted in paragraphs 21 and 22 above, including the establishment of support mechanisms with a view to accelerating implementation and replication.

E. Financial plans and assessments of values

Indicators

25. Number of countries that have prepared national financial plans.

23. Number of countries that have assessed and/or evaluated the intrinsic, ecological, genetic, socioeconomic, scientific, educational, cultural, recreational and aesthetic values of biological diversity and its components.

Actions

24. Prepare national financial plans in the context of national biodiversity strategies and action plans that can be implemented by local, national, regional and international stakeholders:²³

(a) Based on work under subsection C above and on a mapping of current and potential funding sources and mechanisms, identify opportunities for scaling up funding from existing sources and for tapping new sources.

²¹ WGRI 5 recommendation 5/8 (Biodiversity for poverty eradication and sustainable development), annex.

²² <http://www.cbd.int/development/doc/cbd-good-practice-guide-ecosystem-booklet-web-en.pdf>

²³ Strategy for resource mobilization (decision IX/11 B, annex), para 2.2 under goal 2.

(b) Consider undertaking national assessments to capture the broad range of biodiversity values in accounting and reporting systems.²⁴ These could use methodologies such as those proposed by the Economics of Ecosystems and Biodiversity (TEEB) initiative and the Wealth Accounting and the Valuation of Ecosystem Services (WAVES) partnership, as well as the ongoing development of statistical standards for environment, economic and ecosystem accounting, the Conceptual and Methodological Framework for Evaluating the Contribution of Collective Action to Biodiversity Conservation,²⁵ and taking into account the High-level Panel's regional assessments, as well as future studies under the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES).

F. Domestic resource mobilization

Indicators

25. National budgets at all levels, in the amount and where relevant percentage, for biodiversity-related funding, per annum, for achieving the Convention's three objectives, in a manner that avoids double counting.

26. Number of countries that have taken measures to encourage the private sector as well as non-governmental organizations, foundations, and academia to provide domestic support for the implementation of the Strategic Plan for Biodiversity 2011-2020, and volume of funding generated.²⁶

Actions

27. Promote budgetary allocations for biological diversity and its associated ecosystem services in national and relevant sectoral budgets:²⁷

(a) Demonstrate that budgetary allocations for biological diversity and its associated ecosystem services in national budgets are investments contributing to wider solutions to the challenges of food security, water management, disaster risk reduction, livelihoods, poverty reduction and economic growth, by integrating biodiversity outcomes in development programmes and projects.

28. Implement a wide range of country-specific biodiversity financing mechanisms to mobilize resources at domestic level, including those highlighted in the following paragraphs, and to apply relevant safeguards.²⁸

29. Implement Aichi Biodiversity Target 3:²⁹

(a) Consider using the milestones for the effective implementation of Aichi Biodiversity Target 3, to be adopted by the Conference of the Parties at its twelfth meeting, as a flexible framework for the identification and implementation of national action, taking into account the modalities for effective implementation of Aichi Biodiversity Target 3, as contained in document UNEP/CBD/WGRI/5/4/Add.1;

(b) Consider, as appropriate and in accordance with national circumstances and legislation as well as with Aichi Biodiversity Target 3, the following possible actions in response to obstacles encountered in implementing options identified for removing, phasing out or reforming incentives, including subsidies, that are harmful for biodiversity: (i) increase transparency; (ii) change the terms of the policy debate by challenging misconceptions; (iii) make heard the voices of those who are disadvantaged by the status quo; (iv) recognize that a range of options is available to meet societal objectives; (v) diffuse innovative schemes; (vi) better target existing subsidies and improve subsidy

²⁴ See strategy for resource mobilization (decision IX/11 B, annex), para 1.2 under goal 1.

²⁵ UNEP/CBD/COP/12/13/Add.5.

²⁶ See WGRI recommendation 5/10, annex II, paragraph 2.

²⁷ From the strategy for resource mobilization (decision IX/11 B, annex), para 2.3 under goal 2.

²⁸ See UNEP/CBD/COP/12/13/Add.4.

²⁹ See strategy for resource mobilization (decision IX/11 B, annex), para 2.4 under goal 2.

design (including possible conditional subsidies); (vii) seize and create windows of opportunity (e.g., policy reforms, legal and international obligations); (viii) accompanying or transitional measures.

30. Explore opportunities presented by environmental fiscal reforms including innovative taxation models and fiscal incentives for achieving the three objectives of the Convention,³⁰ such as, as appropriate and in accordance with national circumstances and legislation:

- (a) Granting tax exemptions or tax credits in national income or corporate taxation systems for biodiversity-related donations or activities;
- (b) Establishing ecological fiscal transfers as a means for burden sharing;
- (c) Establishing reduced value added tax (VAT) rates for products that have less impact on biodiversity.

31. Encourage and support, as appropriate and in accordance with national circumstances, collective action, including by indigenous and local communities, and non-market-based approaches for mobilizing resources for achieving the objectives of the Convention, taking into account guidance provided by the study “Conceptual and Methodological Framework for Evaluating the Contribution of Collective Action to Biodiversity Conservation”:³¹

- (a) Consider promoting community-based natural resource management;
- (b) Consider promoting indigenous and community conserved territories and areas.

32. Promote, where applicable, schemes for payments for ecosystem services, consistent and in harmony with the Convention and with other relevant international obligations, and apply safeguards as appropriate and in accordance with national circumstances.³²

33. Take legislative, administrative or policy measures, as appropriate and in accordance with national circumstances, to encourage the private sector as well as non-governmental organizations, foundations, and academia to provide domestic support for the implementation of the Strategic Plan for Biodiversity 2011-2020, including by establishing enabling conditions:³³

- (a) Promote business and biodiversity platforms, networks and/or partnerships, with a view to further engaging the private sector and to facilitate exchange of information and good practices between the private sector and other stakeholders;
- (b) Consider establishing national ranking and/or top-runner lists of those private and public sector companies that dedicate resources to the conservation and sustainable use of biodiversity or to reducing impacts on biodiversity;
- (c) Consider establishing enabling conditions for biodiversity offset or compensation mechanisms where relevant and appropriate while ensuring that they respect the mitigation hierarchy, implement current levels of biodiversity protection in the planning system with the involvement of indigenous and local communities as applicable, and are not used to undermine unique components of biodiversity;³⁴
- (d) Consider inclusion of specific criteria on biodiversity in national procurement plans and policies, national strategies for sustainable consumption and production, and similar planning

³⁰ Strategy for resource mobilization (decision IX/11 B, annex), para 4.3 under goal 4.

³¹ UNEP/CBD/COP/12/13/Add.5.

³² See strategy for resource mobilization (decision IX/11 B, annex), para 4.1 under goal 4;

³³ See strategy for resource mobilization (decision IX/11 B, annex), para 2.6 under goal 2 and 3.4 under goal 3.

³⁴ See strategy for resource mobilization (decision IX/11 B, annex), para 4.2 under goal 4.

frameworks,³⁵ including policies that include avoided or reduced impact on biodiversity as a major procurement aspect, transparent information on procurement conditions, and fair procurement criteria;

(e) Support development of methods to promote science-based information on biodiversity in consumer decisions, for example through eco-labelling, as appropriate;³⁶

(f) Support the development of tools to promote the consideration of biodiversity in business activities, including guidance to assist businesses in reporting their environmental impacts, in particular impacts on biodiversity, and in integrating biodiversity and associated ecosystem services into business accounting;

(g) Encourage and support private sector research and development into products and production processes with lower impacts on biodiversity.

34. Continue to support, as appropriate, domestic environmental funds as essential complements to the national biodiversity resource base.³⁷

G. Technical support and capacity-building

Actions

35. Strengthen institutional capacities and provide technical support for effective resource mobilization and utilization, including strengthening capacities and further methodological work to:

(a) Make the case for including biodiversity and its associated ecosystem services in discussions at national and international level with relevant financial institutions and aid agencies;³⁸

(b) Integrate biodiversity issues and its associated ecosystem services into national and sectoral planning, accounting and reporting systems;

(c) Implement, or adhere to, the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization (see ICNP recommendation 3/5, appendix II, for a list of possible concrete activities);³⁹

(d) Implement a wide range of biodiversity financing mechanisms in accordance with national circumstances and legislation, including relevant safeguards;

(e) Apply and implement the modalities and milestones for the full implementation of Aichi Biodiversity Target 3, including options for overcoming obstacles encountered in implementing policies for addressing harmful incentives.

36. Promote, at all levels, the exchange of experience and good practice in financing for biological diversity, including sharing of knowledge and experience in developing effective policy instruments and mainstreaming, along the lines provided in the previous paragraph, and seek to enhance the role of South-South cooperation and support therein.

37. Further update and populate the clearing-house mechanism of the Convention, with a view to sharing information on pertinent national programmes and initiatives and associated good practices and lessons learned.

38. Continue and intensify engagement with relevant regional and global development multilateral agencies to integrate biological diversity and associated ecosystem services into their strategies and programmes, in particular (i) regional development banks and United Nations Economic Commissions

³⁵ See decision XI/30, paragraph 7.

³⁶ See decision IX/6, paragraph 4 (b); strategy for resource mobilization (decision IX/11 B, annex), para 4.4 under goal 4.

³⁷ Strategy for resource mobilization (decision IX/11 B, annex), para 3.7 under goal 3.

³⁸ See strategy for resource mobilization (decision IX/11 B, annex), para 2.1 under goal 2.

³⁹ UNEP/CBD/COP/12/6.

and (ii) the United Nations Environment Management Group for strengthening, as appropriate, biodiversity considerations in national United Nations Development Assistance Framework (UNDAF) processes.

Delivery and support mechanisms providing technical support and capacity-building, including on good practices and lessons learned in applying financial tools and instruments and in enhancing their effectiveness

39. The list below provides an indicative overview of initiatives and work processes that provide technical support and capacity-building for resource mobilization. Individual products of these initiatives and processes, such as methodological guidance manuals or compilations of good practice and lessons learned, are included in the catalogue of capacity-building and technical support instruments intended to inform policy development from a toolkit of options that Parties can use to address their resource mobilization needs.

- The Biodiversity Finance Initiative (BIOFIN) of the United Nations Development Programme (UNDP) (http://www.undp.org/content/undp/en/home/ourwork/environmentandenergy/projects_and_initiatives/biodiversity-finance-initiative/)
 - The work programme of the OECD Joint ENVIRONET and WP-STAT Task Team to Improve Rio Markers, Environment and Development Finance Statistics (<http://www.oecd.org/dac/environment-development/statistics.htm#taskteam>)
 - Work of the Leading Group on Innovative International Financing for Development (<http://www.leadinggroup.org>)
 - Work of the Finance Initiative of the United Nations Environment Programme, including on the Natural Capital Declaration (<http://www.unepfi.org/>; <http://www.naturalcapitaldeclaration.org/>)
 - Work of the Intergovernmental Committee of Experts on Sustainable Development Financing (<http://sustainabledevelopment.un.org/index.php?menu=1558>)
 - 10-Year Framework of Programmes for Sustainable Consumption and Production (<http://www.unep.org/resourceefficiency/Policy/SCPPoliciesandthe10YFP/The10YearFrameworkProgrammesonSCP.aspx>)
 - GEF-6 biodiversity focal area strategy program 10
 - Phase three of the Economics of Ecosystems and Biodiversity initiative (TEEB) (<http://www.teebweb.org/>)
 - ABS Capacity Development Initiative (<http://www.abs-initiative.info/>)
 - Conceptual and Methodological Framework for Evaluating the Contribution of Collective Action to Biodiversity Conservation (UNEP/CBD/COP/12/13/Add.5)
 - Clearing-house mechanism
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