



Convention on Biological Diversity

Distr.
GENERAL

UNEP/CBD/COP/13/2/Rev.1
25 October 2016*

ORIGINAL: ENGLISH

CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

Thirteenth meeting

Cancun, Mexico, 4-17 December 2016

UPDATED COMPILATION OF DRAFT DECISIONS FOR THE THIRTEENTH MEETING OF THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY

INTRODUCTION

1. The present note contains a compilation of draft decisions for the consideration of the Conference of the Parties at its thirteenth meeting. These draft decisions are organized according to the provisional agenda for the meeting and the revised annotations thereto (UNEP/CBD/COP/13/1 and UNEP/CBD/COP/13/1/Add.1/Rev.1). This note includes the draft decisions contained in the various recommendations from the nineteenth and twentieth meetings of the Subsidiary Body on Scientific, Technical and Technological Advice, the first meeting of the Subsidiary Body on Implementation, the ninth meeting of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions, and, where appropriate, additional elements developed by the Executive Secretary in the light of previous decisions of the Conference of the Parties or recommendations of its subsidiary bodies, which are highlighted in light grey throughout the document. The mandates for these additional elements are provided in the documentation prepared for the thirteenth meeting of the Conference of the Parties.
2. Following the publication of the pre-session documents for the thirteenth meeting of the Conference of the Parties, the present document is updated to include additional suggested elements for the draft decisions, except administrative and budgetary matters which are provided in documents UNEP/CBD/COP/13/7/Add.2 and UNEP/CBD/COP/13/23.
3. The update includes new decision text, shaded in grey, on the financial mechanism and on the communication strategy; and additional elements of decisions on resource mobilization. In addition, relevant information has been updated in the draft decisions on date and venue of future meetings of the Conference of the Parties (item 6); interim review of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, and related means of implementation (item 9); strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, including with respect to mainstreaming and the integration of biodiversity within and across sectors (item 10); Article 8(j) and related provisions (item 14); and marine and coastal biodiversity (item 15).

* Reissued on 17 November 2016 for technical reasons.

CONTENTS

I.	ORGANIZATIONAL MATTERS.....	8
	Items 1, 2, 3, 4 and 5	8
	Item 6. Date and venue of future meetings of the Conference of the Parties	8
II.	REPORTS	9
	Item 7. Reports of intersessional and regional preparatory meetings	9
	Item 8. Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Funds of the Convention	9
III.	STRATEGIC PLAN FOR BIODIVERSITY 2011-2020: ASSESSING PROGRESS AND ENHANCING IMPLEMENTATION	10
	Item 9. Interim review of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, and related means of implementation.....	10
	Item 10. Strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011--2020 and the achievement of the Aichi Biodiversity Targets, including with respect to mainstreaming and the integration of biodiversity within and across sectors.....	17
	Strategic actions to enhance implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 including the mainstreaming of biodiversity within and across sectors.....	17
	Biodiversity and climate change	30
	Progress towards the achievement of Aichi Biodiversity Targets 11 and 12	33
	Ecosystem restoration: short-term action plan	35
	Forest biodiversity: role of international organizations in supporting the achievement of the Aichi Biodiversity Targets	42
	Biodiversity and human health.....	44
	Item 11. Resource mobilization and financial mechanism	48
	Resource mobilization	48
	Guidance to the financial mechanism.....	52
	Item 12. Other means of implementation: enhancement of capacity-building, technical and scientific cooperation and other initiatives to assist implementation	68
	Capacity-building, technical and scientific cooperation, technology transfer and the clearing-house-mechanism.....	68
	Communication strategy.....	71
	Item 13. Cooperation with other conventions and international organizations	72
IV.	OTHER ITEMS RESULTING FROM THE PROGRAMME OF WORK OF THE CONVENTION	74
	Item 14. Article 8(j) and related provisions: guidelines for the repatriation of traditional knowledge; guidelines for the development of legislation or other mechanisms; and recommendations from the United Nations Permanent Forum on Indigenous Issues.....	74
	Voluntary guidelines for the development of mechanisms, legislation or other appropriate initiatives to ensure the [free,] prior informed consent [or approval and involvement] of indigenous peoples and local communities for accessing their knowledge, innovations and practices, the fair and equitable sharing of benefits arising from the use and	

application of such knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity and for reporting and preventing unlawful appropriation of traditional knowledge	74
Task 15 of the multi-year programme of work on the implementation of Article 8(j) and related provisions: best-practice guidelines for the repatriation of indigenous and traditional knowledge	80
A glossary of relevant key terms and concepts to be used within the context of Article 8(j) and related provisions.....	83
Recommendations from the United Nations Permanent Forum on Indigenous Issues to the Convention on Biological Diversity.....	83
In-depth dialogue on thematic areas and other cross-cutting issues.....	84
Item 15. Marine and coastal biodiversity: ecologically or biologically significant marine areas; specific work plan on biodiversity and acidification in cold-water areas; addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity; and marine spatial planning and training initiatives.....	85
Marine and coastal biodiversity: ecologically or biologically significant marine areas.....	85
Voluntary specific workplan on biodiversity in cold-water areas within the jurisdictional scope of the Convention	115
Addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity	123
Marine spatial planning and training initiatives	128
Item 16. Invasive alien species: addressing risks associated with trade; experiences in the use of biological control agents; and decision support tools	131
Invasive alien species: addressing risk associated with trade, experiences in the use of biological control and decision support tools.....	131
Item 17. Other scientific and technical issues, including synthetic biology, implications of the assessment of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services on pollinators, and sustainable wildlife management	137
Climate-related geoengineering.....	137
Synthetic biology.....	137
Implications of the IPBES assessment on pollinators, pollination and food production for the work of the Convention.....	140
Sustainable use of biodiversity: bushmeat and sustainable wildlife management	144
V. OPERATIONS OF THE CONVENTION	147
Item 18. Improving the efficiency of structures and processes under the Convention, including integration among the Convention and its Protocols.....	147
Modus operandi of the Subsidiary Body on Implementation and mechanisms to support review of implementation.....	147
Enhancing integration among the Convention and its Protocols and the organization of meetings	150
Item 19. Guidelines for the sixth national reports, modalities for future editions of the <i>Global Biodiversity Outlook</i> and indicators	151
National reporting.....	151
Global Biodiversity Outlook	159
Indicators for the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets	161
Scientific assessment of progress towards selected Aichi Biodiversity Targets	184

Key scientific and technical needs related to the implementation of the Strategic Plan for Biodiversity 2011-2020 and related research	184
--	-----

PROVISIONAL AGENDA

**(WITH LIST OF DRAFT DECISIONS)

I. ORGANIZATIONAL MATTERS

1. Opening of the meeting.
2. Adoption of the agenda and organization of work.
3. Election of officers.
4. Report on the credentials of representatives to the thirteenth meeting of the Conference of the Parties.
5. Pending issues.
6. Date and venue of future meetings of the Conference of the Parties.
 - **Date and venue of future meetings of the Conference of the Parties** (SBI 1/11 (paras. 6-11))

II. REPORTS

7. Reports of intersessional and regional preparatory meetings.
8. Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Funds of the Convention.
 - **Administration of the Convention and the budget for the Trust Funds of the Convention**

III. STRATEGIC PLAN FOR BIODIVERSITY 2011-2020: ASSESSING PROGRESS AND ENHANCING IMPLEMENTATION

9. Interim review of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, and related means of implementation.
 - **Progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and towards the achievement of the Aichi Biodiversity Targets** (SBI 1/1); **Tools to evaluate the effectiveness of policy instruments for the implementation of the Strategic Plan for Biodiversity 2011-2020** (SBSTTA XIX/3) and **Review of progress towards Aichi Biodiversity Target 16 on the Nagoya Protocol** (SBI 1/2)
10. Strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, including with respect to mainstreaming and the integration of biodiversity within and across sectors.
 - **Strategic actions to enhance implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 including the mainstreaming of biodiversity within and across sectors** (SBI 1/4, SBSTTA XX/15; SBSTTA XIX/1, para. 5)
 - **Biodiversity and climate change** (SBSTTA, XX/10)
 - **Progress towards the achievement of Aichi Biodiversity Targets 11 and 12** (SBSTTA, XX/1)
 - **Ecosystem restoration: Short term plan of action** (SBSTTA, XX/12)
 - **Forest biodiversity: role of international organizations in supporting the achievement of the Aichi Biodiversity Targets** (SBSTTA, XIX/8)
 - **Biodiversity and human health** (SBSTTA, XIX/6)
11. Resource mobilization and financial mechanism.
 - **Resource mobilization** (SBI 1/6)
 - **Guidance to the financial mechanism** (prepared on basis of SBI 1/7, para. 5, see document UNEP/CBD/COP/13/12 and addenda)
12. Other means of implementation: enhancement of capacity-building, technical and scientific cooperation and other initiatives to assist implementation.

- **Capacity-building, technical and scientific cooperation, technology transfer and the clearing-house mechanism (SBI 1/5)**
 - **Communication strategy** (see document UNEP/CBD/COP/13/14)
13. Cooperation with other conventions and international organizations.
- **Options to enhance synergies among the biodiversity-related conventions (SBI 1/9)**

IV. OTHER ITEMS RESULTING FROM THE PROGRAMME OF WORK OF THE CONVENTION

14. Article 8(j) and related provisions: guidelines for the repatriation of traditional knowledge; guidelines for the development of legislation or other mechanisms; and recommendations from the United Nations Permanent Forum on Indigenous Issues.
- **Voluntary guidelines for the development of mechanisms, legislation or other appropriate initiatives to ensure the [free,] prior informed consent [or approval and involvement] of indigenous peoples and local communities for accessing their knowledge, innovations and practices, the fair and equitable sharing of benefits arising from the use and application of such knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity and for reporting and preventing unlawful appropriation of traditional knowledge (WG8J 9/1)**
 - **Task 15 of the multi-year programme of work on the implementation of Article 8(j) and related provisions: best-practice guidelines for the repatriation of indigenous and traditional knowledge (WG8J 9/2)**
 - **A glossary of relevant key terms and concepts to be used within the context of Article 8(j) and related provisions (WG8J 9/3 and document UNEP/CBD/COP/13/17, annex))**
 - **Recommendations from the United Nations Permanent Forum on Indigenous Issues to the Convention on Biological Diversity (WG8J 9/4)**
 - **In-depth dialogue on thematic areas and other cross-cutting issues (WG8J 9/5)**
15. Marine and coastal biodiversity: ecologically or biologically significant marine areas; specific work plan on biodiversity and acidification in cold-water areas; addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity; and marine spatial planning and training initiatives.
- **Marine and coastal biodiversity: ecologically or biologically significant marine areas (SBSTTA XX/3)**
 - **Voluntary specific workplan on biodiversity in cold-water areas within the jurisdictional scope of the Convention areas (SBSTTA XX/4)**
 - **Addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity areas (SBSTTA XX/5)**
 - **Marine spatial planning and training initiatives areas (SBSTTA XX/6)**
16. Invasive alien species: addressing risks associated with trade; experiences in the use of biological control agents; and decision support tools.
- **Invasive alien species: addressing risk associated with trade, experiences in the use of biological control and decision support tools (SBSTTA XX/7)**
17. Other scientific and technical issues, including synthetic biology, implications of the assessment of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services on pollinators, and sustainable wildlife management.

- **Climate-related geoengineering** (SBSTTA XIX/7)
- **Synthetic biology** (SBSTTA XX/8)
- **Implications of the IPBES assessment on pollinators, pollination and food production for the work of the Convention** (SBSTTA XX/8)
- **Sustainable use of biodiversity: bushmeat and sustainable wildlife management** (SBSTTA XX/11)

V. OPERATIONS OF THE CONVENTION

18. Improving the efficiency of structures and processes under the Convention, including integration among the Convention and its Protocols.
 - **Modus operandi of the Subsidiary Body on Implementation and mechanisms to support review of implementation** (SBI 1/9)
 - **Enhancing integration among the Convention and its Protocols and the organization of meetings** (SBI 1/11 (except paras. 6-11))
19. Guidelines for the sixth national reports, modalities for future editions of the *Global Biodiversity Outlook* and indicators.
 - **National reporting** (SBI 1/10)
 - ***Global Biodiversity Outlook*** (SBSTTA XIX/5) and **Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services** (SBSTTA XX/13, paras. 1-7)
 - **Indicators for the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets** (SBSTTA XX/13, paras. 8-24)
 - **Scientific assessment of progress towards selected Aichi Biodiversity Targets** (SBSTTA XX/2)
 - **Key scientific and technical needs related to the implementation of the Strategic Plan for Biodiversity 2011-2020 and related research** (SBSTTA XIX/2)

VI. FINAL MATTERS

20. Other matters.
21. Adoption of the report.
22. Closure of the meeting.

ELEMENTS OF DRAFT DECISIONS BY ITEMS OF THE AGENDA

I. ORGANIZATIONAL MATTERS

Items 1, 2, 3, 4 and 5

Except for item 6, no draft decisions are foreseen under section I of the provisional agenda (Organizational matters), which includes procedural items such as opening of the meeting, organization of work, election of officers, reports, etc. The action required on the part of the Conference of the Parties under these items is reflected in the revised annotations to the provisional agenda (UNEP/CBD/COP/13/1/Add.1/Rev.1).

Item 6. Date and venue of future meetings of the Conference of the Parties

The following comprises paragraphs 6 to 12 of the draft decision contained in recommendation 1/11 of the Subsidiary Body on Implementation. An additional paragraph has been prepared by the Executive Secretary in the light of additional information as provided in document UNEP/CBD/COP/13/22.

Date and venue of future meetings of the Conference of the Parties

The Conference of the Parties

1. Welcomes the generous offer of the Government of [Egypt][Turkey] to host the fourteenth meeting of the Conference of the Parties, the ninth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol;

2. Decides that the fourteenth meeting of the Conference of the Parties to the Convention, as well as the ninth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol will be held in [Egypt][Turkey] in the last quarter of 2018;¹

3. Welcomes also the generous offer of the Government of China to host the fifteenth meeting of the Conference of the Parties, the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol;

4. Decides also that the fifteenth meeting of the Conference of the Parties to the Convention, as well as the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol will be held in China in the last quarter of 2020;

4(bis). Expresses its appreciation to the Government of Peru for their consideration to host inter-sessional open-ended meeting(s) prior to the fifteenth meeting of the Conference of the Parties;

5. Decides to take into account (a) rotation among regional groups, (b) logistical requirements, such as security, technical and financial requirements, and requirements related to privileges and immunities and facilitation of the issuance of visas, as may be verified by the Executive Secretary, in determining the hosting of future meetings of the Conference of the Parties and the concurrent meetings of the Parties to the Protocols;

6. Invites interested Parties to notify the Executive Secretary, taking into account the elements specified in paragraph 8 of recommendation 1/11 of the Subsidiary Body on Implementation, of their offer to host the sixteenth or the seventeenth meeting of the Conference of the Parties as soon as possible but no later than the end of 2017 and 2019, respectively, and encourages the regional groups concerned to hold consultations to determine which country from their region will make an offer to host on behalf of the group;

¹ Note that, compared to SBI recommendation 1/11 and to the previous version of the present note, the order of paragraphs 2 and 3 has been changed.

7. *Requests* the Executive Secretary to make, in consultation with the Bureau, recommendations to the Conference of the Parties at its fourteenth meeting with regard to offers made to host the sixteenth meeting of the Conference of the Parties and at its fifteenth meeting with regard to offers made to host the seventeenth meeting of the Conference of the Parties.

II. REPORTS

Item 7. Reports of intersessional and regional preparatory meetings

In accordance with previous practice, the Conference of the Parties may wish to take note, in the report of the meeting, of the reports presented by subsidiary bodies (item 7 of the agenda) and take substantive matters raised in these reports under the relevant item of the agenda.

With respect to the report of the twentieth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, the Conference of the Parties may wish to accept the recommendation of the Subsidiary Body on new and emerging issues (recommendation XX/14) that the Conference of the Parties decide not to add a new and emerging issue to the agenda of the Subsidiary Body on Scientific, Technical and Technological Advice.

Item 8. Report of the Executive Secretary on the administration of the Convention and the budget for the Trust Funds of the Convention

The Executive Secretary will prepare a draft decision pursuant to decision XII/32 and documents UNEP/CBD/COP/13/7/add.2; UNEP/CBD/COP/13/23 and addenda.

III. STRATEGIC PLAN FOR BIODIVERSITY 2011-2020: ASSESSING PROGRESS AND ENHANCING IMPLEMENTATION

Item 9. Interim review of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets, and related means of implementation

The following comprises the draft decision contained in recommendation 1/1 of the Subsidiary Body on Implementation with updated annexes. As noted in the footnotes, some parts of the draft decision may be updated by the Conference of the Parties in the light of new information provided in line with paragraphs 1-4 of the recommendation.

Progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and towards the achievement of the Aichi Biodiversity Targets

Recalling decisions X/2 and XII/1,

1. *Expresses its appreciation* to the [181]² Parties, listed in annex I, that have submitted their fifth national reports;
2. *Congratulates* the [109] Parties, listed in annex II, that have updated their national biodiversity strategies and action plans since 2010;
3. *Takes note* of the analysis of progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets based on the information provided in the revised and updated national biodiversity strategies and action plans and the fifth national reports;³
4. *Also takes note* of the fourth edition of the *Global Biodiversity Outlook* and the updated report on progress towards the implementation of the Global Strategy for Plant Conservation;⁴
5. *Welcomes* the contribution by Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora⁵ and by the Secretariat of that Convention to the implementation of the Global Strategy for Plant Conservation, as reported to the Convention's Plants Committee;⁶
6. *Notes* that [most⁷] of the national biodiversity strategies and action plans developed or revised since 2010 contain targets related to the Aichi Biodiversity Targets, *also notes*, however, that only [a minority of ⁸] Parties have established targets with a level of ambition and scope commensurate with the Aichi Biodiversity Targets;
7. *Notes with concern* that Aichi Biodiversity Target 17 was not met by the target date of 2015 and, recalling decision XII/1, paragraph 8, and decision XII/23, *reiterates* its great concern that Aichi Biodiversity Target 10 was not achieved by its 2015 target date, and *further urges* Parties, other

² Numbers in brackets are updated as of the date indicated in annexes I and II and will be further updated, as necessary, during the thirteenth meeting of the Conference of the Parties in the light of additional submissions.

³ To be updated on the basis of documents [UNEP/CBD/SBI/1/2](#) and addenda to reflect information in additional national biodiversity strategies and action plans and fifth national reports that are received by 30 June 2016.

⁴ See [UNEP/SBI/1/INF/32](#).

⁵ United Nations, *Treaty Series*, vol. 993, No. 14537.

⁶ See [UNEP/SBI/1/INF/33](#).

⁷ To be updated in line with the updated analysis referred to in footnote 3.

⁸ To be updated in line with the updated analysis referred to in footnote 3.

Governments and relevant organizations to pursue their efforts to achieve these targets as soon as possible;

8. *Also notes* with concern the limited progress made towards Aichi Biodiversity Targets 18 and 14 at the national level and in mainstreaming Article 8(j) and related provisions into various areas of work under the Convention, including capacity development and the participation of indigenous peoples and local communities in the work of the Convention;

9. *Recalls* decision XII/2 A and, in this regard, *urges* those Parties that have not already done so to update their national or regional biodiversity strategies and action plans using a participatory approach and to develop national and regional targets, using the Strategic Plan for Biodiversity 2011-2020 and its Aichi Targets as a flexible framework, in accordance with national priorities and capacities and taking into account the various elements of the global targets and the status and trends of biological diversity within the country, and the resources provided through the strategy for resource mobilization, with a view to contributing to collective global efforts to reach the global targets;

10. *Recommends*, in view of recommendation XX/2 of the Subsidiary Body on Scientific, Technical and Technological Advice, that Parties, in the process of updating their national biodiversity strategies and action plans, consider, as appropriate, the indicators for the Strategic Plan for Biodiversity 2011-2020 and, once available, the indicators for the Sustainable Development Goals;

11. *Encourages* Parties that have updated their national or regional biodiversity strategies and action plans to review these strategies and action plans, and the national or regional targets contained therein periodically and, as appropriate and in accordance with national circumstances, priorities and capacities, to consider increasing the level of ambition and/or scope of the national or regional targets and to integrate the targets across different sectors, including in the 2030 Agenda for Sustainable Development⁹ and the Sustainable Development Goals, so as to make a greater contribution to collective global efforts to achieve the global targets;

12. *Recalling* decision X/22, in which it invited Parties to involve subnational governments, cities and other local authorities when revising their national biodiversity strategies and action plans, *encourages* Parties to facilitate subnational governments, cities and other local authorities to develop subnational biodiversity strategies and action plans to contribute to the implementation of national biodiversity strategies and action plans and the Strategic Plan for Biodiversity 2011-2020;

13. *Encourages* Parties to undertake the activities referred to in paragraphs 9, 10 and 11 above with the full and effective participation of indigenous peoples and local communities, in accordance with national circumstances, recognizing the contribution of the collective actions of indigenous peoples and local communities, and the role of their holistic systems for the conservation and sustainable use of biological diversity;

14. *Encourages* Parties, when establishing or reviewing their national targets under the Convention, and when implementing their national biodiversity strategies and action plans, to take into account relevant national and international targets under other processes, as appropriate, including targets of other relevant conventions and the Sustainable Development Goals;

15. *Encourages* Parties to ensure that national biodiversity strategies and action plans are adopted as policy instruments, as appropriate, with a view to enabling the mainstreaming of biodiversity at all relevant levels across political, economic and social sectors;

16. *Encourages* Parties to reinforce and strengthen efforts to mainstream Article 8(j) and Article 10(c), including the Plan of Action on Customary Sustainable Use of Biological Diversity¹⁰ and

⁹ [General Assembly resolution 70/1](#), annex.

¹⁰ [Decision XII/12 B](#), annex.

capacity development, in the development, updating and implementation of the national biodiversity strategies and action plans;

17. *Recalling* decision XII/1, *notes* that, while there has been significant progress towards the achievement of some elements of some of the Aichi Biodiversity Targets, for most targets the progress to date is insufficient to achieve them by 2020, and therefore *urges* Parties and *invites* other Governments to intensify their efforts to achieve their national targets, thereby contributing to the achievement of the Aichi Biodiversity Targets;

18. *Requests* the financial mechanism, and *invites* other donors in a position to do so, to continue to provide support, based on the expressed needs of Parties, especially for developing countries and in particular least developed countries and small island developing States, as well as countries with economies in transition, for the development and implementation of national biodiversity strategies and action plans, in line with the strategy and targets for resource mobilization agreed to in decision XII/3;

19. *Invites* Parties, other Governments, indigenous peoples and local communities, and relevant international organizations to submit updated information, including on the use of existing indicators and data sets, on progress towards achieving the Aichi Biodiversity Targets, through the voluntary online reporting tool preferably by 31 December 2017, to allow the Executive Secretary to synthesize and make available the information for consideration by the Subsidiary Body on Implementation at its second meeting;

20. *Also invites* Parties, other Governments, indigenous peoples and local communities, and relevant organizations to submit updated information on progress towards Aichi Biodiversity Target 18 on traditional knowledge and customary sustainable use of biodiversity, including on the various elements of the target, as well as implementation of the plan of action on customary sustainable use, in time to allow the Executive Secretary to synthesize and make available the information for consideration by the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions at its tenth meeting and by the Subsidiary Body on Implementation at its second meeting;

21. *Requests* the Executive Secretary to continue to report on: (a) progress in mainstreaming Article 8(j) and related provisions across the areas of work of the Convention; (b) the participation of indigenous peoples and local communities in the work of the Secretariat; and on (c) strengthening the work on Article 8(j) and related provisions through ongoing capacity-building efforts, in partnership with indigenous peoples and local communities.

The following is taken from recommendation XIX/3 of the Subsidiary Body on Scientific, Technical and Technological Advice

Tools to evaluate the effectiveness of policy instruments for the implementation of the Strategic Plan for Biodiversity 2011-2020

22. *Encourages* Parties to undertake evaluations of the effectiveness of measures undertaken to implement the Strategic Plan for Biodiversity 2011-2020, to document this experience, including the methodologies applied, to identify lessons learned, and to provide this information to the Executive Secretary, including through their sixth national report;

23. *Requests* the Executive Secretary, subject to availability of resources, to compile and analyse this information provided by Parties and make it available for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice and the Subsidiary Body on Implementation as appropriate.

The following is taken from recommendation 1/2, paragraph 5 of the Subsidiary Body on Implementation

Review of progress towards Aichi Biodiversity Target 16 on the Nagoya Protocol

24. *Notes with appreciation* the efforts made by Parties and non-Parties to the Nagoya Protocol in achieving Aichi Target 16 and making the Nagoya Protocol operational;

25. *Invites* Parties to the Convention on Biological Diversity that have not yet done so to deposit their instrument of ratification, acceptance or approval or their instrument of accession to the Nagoya Protocol as soon as possible, and to take steps towards its implementation, including by establishing institutional structures and legislative, administrative or policy measures on access and benefit-sharing, and to make relevant information available to the Access and Benefit-sharing Clearing-House;

26. *Requests* the Executive Secretary to continue providing technical assistance for Parties to the Convention on Biological Diversity, subject to the availability of financial resources, with a view to supporting ratification and implementation of the Nagoya Protocol, in accordance with decision X/1, and to making relevant information available to the Access and Benefit-sharing Clearing-House;

27. *Invites* Parties and other Governments to take note of and to apply, as appropriate, the *Elements to Facilitate Domestic Implementation of Access and Benefit-Sharing for Different Subsectors of Genetic Resources for Food and Agriculture*,¹¹ which were developed by the Team of Technical and Legal Experts on Access and Benefit-sharing and welcomed by the Commission on Genetic Resources for Food and Agriculture and the Conference of the Food and Agriculture Organization of the United Nations, and are aimed at assisting Governments in taking into account, in the development and implementation of access and benefit-sharing measures, the importance of genetic resources for food and agriculture, their special role for food security and the distinctive features of the different subsectors of genetic resources for food and agriculture.

¹¹ [Food and Agriculture Organization of the United Nations, Commission on Genetic Resources for Food and Agriculture, Rome, 2016.](#)

Annex I

List of national reports received by the Secretariat of the Convention on Biological Diversity by 20 September 2016

1. Afghanistan	43. Democratic Republic of the Congo	85. Kuwait
2. Albania	44. Denmark	86. Kyrgyzstan
3. Algeria	45. Djibouti	87. Lao People's Democratic Republic
4. Andorra	46. Dominica	88. Latvia
5. Angola	47. Dominican Republic	89. Lebanon
6. Antigua and Barbuda	48. Ecuador	90. Liberia
7. Argentina	49. Egypt	91. Liechtenstein
8. Armenia	50. El Salvador	92. Luxemburg
9. Australia	51. Equatorial Guinea	93. Madagascar
10. Austria	52. Eritrea	94. Malawi
11. Azerbaijan	53. Estonia	95. Malaysia
12. Bahrain	54. Ethiopia	96. Maldives
13. Bangladesh	55. European Union	97. Mali
14. Belarus	56. Fiji	98. Malta
15. Belgium	57. Finland	99. Mauritania
16. Belize	58. France	100. Mauritius
17. Benin	59. Gambia	101. Mexico
18. Bhutan	60. Georgia	102. Micronesia (Federated States of)
19. Bolivia (Plurinational State of)	61. Germany	103. Monaco
20. Bosnia and Herzegovina	62. Ghana	104. Mongolia
21. Botswana	63. Greece	105. Montenegro
22. Brazil	64. Grenada	106. Morocco
23. Brunei Darussalam	65. Guatemala	107. Mozambique
24. Bulgaria	66. Guinea	108. Myanmar
25. Burkina Faso	67. Guinea-Bissau	109. Namibia
26. Burundi	68. Guyana	110. Nauru
27. Cambodia	69. Haiti	111. Nepal
28. Cameroon	70. Honduras	112. Netherlands
29. Canada	71. Hungary	113. New Zealand
30. Cabo Verde	72. India	114. Nicaragua
31. Chad	73. Indonesia	115. Niger
32. Chile	74. Iran (Islamic Republic of)	116. Nigeria
33. China	75. Iraq	117. Niue
34. Colombia	76. Ireland	118. Norway
35. Comoros	77. Israel	119. Oman
36. Congo	78. Italy	120. Pakistan
37. Costa Rica	79. Jamaica	121. Palau
38. Côte d'Ivoire	80. Japan	122. Panama
39. Croatia	81. Jordan	123. Paraguay
40. Cuba	82. Kazakhstan	124. Peru
41. Cyprus	83. Kenya	125. Philippines
42. Czechia	84. Kiribati	126. Poland

- | | | |
|--|---|---|
| 127. Portugal | 147. Slovenia | 167. Turkey |
| 128. Qatar | 148. Solomon Islands | 168. Turkmenistan |
| 129. Republic of Korea | 149. Somalia | 169. Tuvalu |
| 130. Republic of Moldova | 150. South Africa | 170. Uganda |
| 131. Romania | 151. South Sudan | 171. Ukraine |
| 132. Russian Federation | 152. Spain | 172. United Arab Emirates |
| 133. Rwanda | 153. Sri Lanka | 173. United Kingdom of
Great Britain and
Northern Ireland |
| 134. Saint Kitts and Nevis | 154. State of Palestine | 174. United Republic of
Tanzania |
| 135. Saint Lucia | 155. Sudan | 175. Uruguay |
| 136. Saint Vincent and the
Grenadines | 156. Suriname | 176. Uzbekistan |
| 137. Samoa | 157. Swaziland | 177. Vanuatu |
| 138. San Marino | 158. Sweden | 178. Venezuela (Bolivarian
Republic of) |
| 139. Sao Tome and Principe | 159. Switzerland | 179. Viet Nam |
| 140. Saudi Arabia | 160. Tajikistan | 180. Yemen |
| 141. Senegal | 161. Thailand | 181. Zambia |
| 142. Serbia | 162. The former Yugoslav
Republic of Macedonia | 182. Zimbabwe |
| 143. Seychelles | 163. Timor-Leste | |
| 144. Sierra Leone | 164. Togo | |
| 145. Singapore | 165. Tonga | |
| 146. Slovakia | 166. Tunisia | |

Annex II

List of national biodiversity strategies and action plans received by the Secretariat of the Convention on Biological Diversity between October 2010 and 20 September 2016

- | | | |
|-------------------------------|--|--------------------|
| 1. Afghanistan | 19. Cameroon | 37. European Union |
| 2. Albania | 20. Chad | 38. Finland |
| 3. Antigua and Barbuda | 21. China | 39. France |
| 4. Armenia | 22. Colombia | 40. Gambia |
| 5. Australia | 23. Comoros | 41. Georgia |
| 6. Austria | 24. Congo | 42. Germany |
| 7. Bahrain | 25. Côte d'Ivoire | 43. Greece |
| 8. Belarus | 26. Czechia | 44. Guatemala |
| 9. Belgium | 27. Democratic People's
Republic of Korea | 45. Guinea |
| 10. Benin | 28. Denmark | 46. Guinea-Bissau |
| 11. Bhutan | 29. Dominica | 47. Guyana |
| 12. Bosnia and
Herzegovina | 30. Dominican Republic | 48. Hungary |
| 13. Botswana | 31. Egypt | 49. India |
| 14. Brazil | 32. El Salvador | 50. Iraq |
| 15. Burkina Faso | 33. Equatorial Guinea | 51. Ireland |
| 16. Burundi | 34. Eritrea | 52. Italy |
| 17. Cabo Verde | 35. Estonia | 53. Japan |
| 18. Cambodia | 36. Ethiopia | 54. Jordan |
| | | 55. Kyrgyzstan |

- | | | |
|---|---------------------------|---|
| 56. Lao People's
Democratic Republic | 75. Niger | 95. Suriname |
| 57. Latvia | 76. Nigeria | 96. Sweden |
| 58. Lebanon | 77. Niue | 97. Switzerland |
| 59. Liechtenstein | 78. Norway | 98. Timor-Leste |
| 60. Madagascar | 79. Peru | 99. Togo |
| 61. Malawi | 80. Philippines | 100. Tuvalu |
| 62. Malaysia | 81. Poland | 101. Uganda |
| 63. Maldives | 82. Qatar | 102. United Arab Emirates |
| 64. Mali | 83. Republic of Korea | 103. United Kingdom of
Great Britain and
Northern Ireland |
| 65. Malta | 84. Republic of Moldova | 104. United Republic of
Tanzania |
| 66. Mauritania | 85. Russian Federation | 105. Uruguay |
| 67. Mongolia | 86. Saint Kitts and Nevis | 106. Venezuela
(Bolivarian Republic
of) |
| 68. Morocco | 87. Samoa | 107. Viet Nam |
| 69. Mozambique | 88. Senegal | 108. Zambia |
| 70. Myanmar | 89. Serbia | 109. Zimbabwe |
| 71. Namibia | 90. Seychelles | |
| 72. Nepal | 91. Slovakia | |
| 73. Netherlands | 92. South Africa | |
| 74. Nicaragua | 93. Spain | |
| | 94. Sudan | |

Item 10. Strategic actions to enhance the implementation of the Strategic Plan for Biodiversity 2011--2020 and the achievement of the Aichi Biodiversity Targets, including with respect to mainstreaming and the integration of biodiversity within and across sectors

The following draft decision is taken from paragraph 3 of recommendation 1/4 of the Subsidiary Body on Implementation.

In addition, in paragraph 1 of recommendation 1/4, the Subsidiary Body recognized that, in addition to agriculture, forestry, fisheries and aquaculture and tourism, other sectors, including extractive industries such as oil and gas and mining, energy, urban and regional planning, infrastructure, manufacturing, and commercial and residential construction, also impact biodiversity, and recommended that the Conference of the Parties at its thirteenth meeting consider addressing, at a subsequent meeting, the mainstreaming of biodiversity into these other sectors as well as addressing any further work on mainstreaming.

In the preamble to its recommendation 1/4, the Subsidiary Body on Implementation recalled paragraph 9 of recommendation XIX/1 of the Subsidiary Body on Scientific, Technical and Technological Advice. Elements of recommendation XIX/1 that are not already reflected in the draft decision prepared by the Subsidiary Body on Implementation have been inserted where appropriate and are indicated by a footnote.

Note that the recommendation to the Conference of the Parties contained in paragraph 2 of recommendation 1/4 is taken up under agenda item 19.

Strategic actions to enhance implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 including the mainstreaming of biodiversity within and across sectors

The Conference of the Parties,

Recalling Article 6(b) of the Convention, which requires Contracting Parties to integrate, as far as possible and as appropriate, the conservation and sustainable use of biological diversity into relevant sectoral or cross-sectoral plans, programmes and policies,

Also recalling paragraph 19 of United Nations General Assembly resolution 65/161 in which the General Assembly declared 2011-2020 the United Nations Decade on Biodiversity,

Further recalling paragraphs 10(a) and (b) of the Strategic Plan for Biodiversity 2011-2020,¹² which call for initiating action to address the underlying causes of biodiversity loss through mainstreaming and for decreasing the direct pressures on biodiversity by engagement of key sectors,

Recalling decision XII/1, paragraph 7(c), in which Parties noted that the attainment of most of the Aichi Biodiversity Targets will require the implementation of a package of actions, typically including: legal or policy frameworks; socioeconomic incentives aligned with such frameworks; public and stakeholder engagement; monitoring; and enforcement; while ensuring the coherence of policies across sectors and corresponding government ministries,

Also recognizing that integrating biodiversity considerations into sectoral and cross-sectoral policies, plans and programmes at all levels is critical for harnessing the benefits of enhanced synergies and policy coherence, and recalling decision X/30, paragraph 9, and decision X/44, paragraph 12,

Recognizing the following:

(a) The opportunities that arise from an integrated and mutually supportive implementation of the 2030 Agenda for Sustainable Development,¹³ the Strategic Plan for Biodiversity 2011-2020,¹⁴ the 10-year

¹² [Decision X/2](#), annex.

strategic plan and framework to enhance implementation of the United Nations Convention to Combat Desertification (2008-2018),¹⁵ and the Reviewed Strategic Framework 2010-2019 of the Food and Agriculture Organization of the United Nations¹⁶ for the achievement of internationally agreed goals and targets;

(b) The role and relevance of the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access and Benefit-sharing, as well as the International Treaty on Plant Genetic Resources for Food and Agriculture, in contributing to sustainable food systems and agriculture;

(c) That agriculture, forestry, fisheries and aquaculture depend heavily on biodiversity and its components, as well as on the ecosystem functions and services that they underpin, that these sectors also impact on biodiversity through various direct and indirect drivers, and that the consequent loss of biodiversity can impact these sectors negatively, potentially threatening food security and the provision of ecosystem functions and services that are vital to humanity;

(d) That the benefits from agriculture, forestry and fisheries to biodiversity conservation can be significant beyond biodiversity for food and agriculture;

Recalling decision V/6 and decision VII/11, in which it recommended that Parties and other Governments promote the application of the ecosystem approach in all sectors with potential impacts on biodiversity and ecosystems,

Recognizing that the mainstreaming of biodiversity across forests, agriculture, fisheries and aquaculture, among other sectors, is essential for halting the loss of biodiversity and achieving the Aichi Biodiversity Targets,

Recalling that relevant guidance in this regard is provided in the programmes of work under the Convention, in particular the programmes of work on agricultural biodiversity, forest biodiversity and marine and coastal biodiversity,

Noting the relevance of the Plan of Action on Customary Sustainable Use of Biological Diversity¹⁷ in enabling indigenous peoples and local communities to further address biodiversity considerations in agriculture, forests, fisheries and aquaculture,

Recognizing that fundamental changes in consumption and production patterns to ensure sustainable production methods, as well as mutually supportive policy, legal, technical and financial measures in the agriculture, forests, fisheries and aquaculture among other sectors, are critical to meeting the 2030 Agenda for Sustainable Development,

Further recognizing that Sustainable Development Goal 15, target 9, calls for integration of ecosystem and biodiversity values into national and local planning, development processes, poverty reduction strategies and accounts,

Also recognizing that the ecosystem services generated in protected areas and other effective area-based conservation measures contribute to the productivity of many sectors, including agriculture, forests, fisheries and aquaculture, and that collaboration with these sectors is required in order to increase connectivity in protected area systems and to avoid or minimize potential adverse impacts of these sectors on protected areas,

Recognizing the need for additional action with respect to mainstreaming biodiversity in the tourism sector, in all of its segments,

¹³ General Assembly resolution 70/1 of 25 September 2015 on “Transforming our world: the 2030 Agenda for Sustainable Development”, annex.

¹⁴ Strategic Plan for Biodiversity 2011-2020, [Conference of the Parties decision X/2](#), annex.

¹⁵ United Nations Convention to Combat Desertification, Conference of the Parties, eighth session, Madrid, 3-14 September 2007 (see [ICCD/COP\(8\)/16/Add.1](#), decision 3/COP.8).

¹⁶ Conference of FAO, Thirty-eighth Session, Rome, 15-22 June 2013, C 2013/7.

¹⁷ [Decision XII/12, annex](#).

Recognizing that other sectors, including such extractive industries as oil and gas and mining, as well as manufacturing and commercial and residential construction, have the potential to impact adversely on biodiversity,

Further recognizing the importance of engaging with all relevant stakeholders, including the business sector, and with indigenous peoples and local communities, to achieve the objectives of the Convention,

Recognizing the need for engaging all levels of government to achieve the objectives of the Convention,

Taking into account the report and the conclusions of the International Expert Workshop on Biodiversity Mainstreaming¹⁸ held in Mexico City from 17 to 19 November 2015, and *expressing* appreciation to the Government of Mexico for hosting the workshop and Switzerland for its support,

1. *Urges* Parties, and *invites* other Governments to strengthen their efforts to mainstream conservation and sustainable use of biodiversity within and across various sectors, including agriculture, forestry, fisheries and aquaculture, and tourism at all levels and scales, including by involving relevant stakeholders and by taking into account relevant standards and best practice guidance related to biodiversity in these sectors;

Strengthening the mainstreaming of biodiversity through relevant international processes

2. *Welcomes* the adoption of the Paris Agreement by the Conference of the Parties to the United Nations Framework Convention on Climate Change,¹⁹ the outcomes of the twelfth session of the Conference of the Parties to the United Nations Convention to Combat Desertification,²⁰ and the adoption of the 2030 Agenda for Sustainable Development and its Sustainable Development Goals,²¹ the Sendai Framework for Disaster Risk Reduction 2015-2030,²² and relevant policy frameworks, guidance, and tools on agriculture, fisheries, and forestry developed by the Food and Agriculture Organization of the United Nations, and other relevant internationally agreed frameworks;

3. *Urges* Parties and *invites* other Governments to use, as appropriate, existing guidance relating to the Food and Agriculture Organization's Sustainability Assessment of Food and Agriculture Systems Guidelines and the Policy Support Guidelines for the Promotion of Sustainable Production Intensification and Ecosystem Services;²³ *takes note* of the voluntary guidance on Building a Common Vision for Sustainable Food and Agriculture,²⁴ and encourages Parties and invites other Governments to apply this guidance, as appropriate;

4. *Takes note* of the Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security,²⁵ endorsed by the Committee on World Food Security and *encourages* Parties and *invites* other Governments to make use of this guidance, as appropriate, to promote secure tenure rights and equitable access to land, fisheries and forests;

5. *Takes note* also of the global plans of action adopted by the Commission on Genetic Resources for Food and Agriculture and endorsed by the Conference of the Food and Agriculture Organization of the United Nations on plant, animal and forest genetic resources;

6. *Recognizes* the strong interdependence between the Strategic Plan for Biodiversity 2011-2020 and the Sustainable Development Goals in which biodiversity is included in numerous goals and targets;

¹⁸ [UNEP/CBD/SBSTTA/20/INF/52](#).

¹⁹ United Nations Framework Convention on Climate Change, Conference of the Parties, twenty-first session, decision 1/CP.21 (see [FCCC/CP/2015/10/Add.1](#)).

²⁰ See [ICCD/COP\(12\)/20/Add.1](#).

²¹ [General Assembly resolution 70/1 of 25 September 2015 entitled "Transforming our world: the 2030 Agenda for Sustainable Development"](#).

²² [General Assembly resolution 69/283](#), annex II.

²³ Food and Agriculture Organization of the United Nations, *Integrated Crop Management*, Vol.19-2013. Available at <http://www.fao.org/ag/ca/CA-Publications/ICM19.pdf>.

²⁴ [UNEP/CBD/SBSTTA/20/INF/54](#).

²⁵ Food and Agriculture Organization of the United Nations, 2012. Available at <http://www.fao.org/docrep/016/i2801e/i2801e.pdf>.

7. *Also recognizes* that the implementation of the 2030 Agenda for Sustainable Development provides a major opportunity for the mainstreaming of biodiversity, and the achievement of the Aichi Biodiversity Targets of the Strategic Plan for Biodiversity 2011-2020;

8. *Calls upon* Parties and *invites* other Governments to take measures to support and ensure close linkages and reinforce synergies between biodiversity-related and other international processes, to implement their various goals and commitments in a coherent, clear, and mutually supportive manner, and to include biodiversity considerations in their engagement in these various processes, where relevant, and to implement goals and commitments under the Convention and relevant international processes in a coherent manner;

9. *Calls for* further work on Sustainable Development Goal indicators to take into account the work of the Biodiversity Indicators Partnerships on indicators for biodiversity, so as to solidly embed biodiversity mainstreaming in the reporting on Sustainable Development Goals;

10. *Urges* Parties, when implementing the 2030 Agenda for Sustainable Development, to mainstream biodiversity in the implementation of all relevant Sustainable Development Goals, thus promoting linkages between efforts to implement national biodiversity strategies and action plans and Sustainable Development Goal strategies and plans;

11. *Calls upon* Parties and *invites* other Governments to consider utilizing an integrated approach towards achieving the implementation of the 2030 Agenda and the Sustainable Development Goals and implementation of national biodiversity strategies and actions plans;

12. *Welcomes* the work carried out by the Organisation for Economic Cooperation and Development on mainstreaming biodiversity in development policy and *encourages* the Organisation to continue this work;

Cross-sectoral mainstreaming

13. *Encourages* Parties and *invites* other Governments, as appropriate:

(a) To reduce and reverse biodiversity loss, through the implementation, as appropriate, of sectoral and cross-sectoral strategies and integrated landscape and seascape management that foster sustainable practices, identify potential measures to contribute to the health and resilience of ecosystems and consider spatial and regional approaches as well as appropriate measures to promote the conservation and restoration of areas of particular importance for biodiversity and ecosystem services, habitats of threatened species, and recovery of endangered species;

(a)bis. To develop coherent and comprehensive policy frameworks that integrate biodiversity across sectors and to share their experiences, best practices and case studies in this regard through appropriate means, such as the clearing-house mechanism;²⁶

(b) To create and strengthen cross-sectoral coordination mechanisms that enable biodiversity mainstreaming across agriculture, forestry, fisheries and aquaculture, tourism and other sectors, and to establish milestones for the mainstreaming of biodiversity in national agendas;

(b)bis. To engage in networking activities among different actors involved in relevant productive sectors, including the private and public sectors, in order to further mainstream biodiversity across relevant sectors;²⁷

(c) To enhance monitoring of the use of natural resources, such as land, soil and water in all sectors, including agriculture, forests, fisheries and aquaculture, among others, and to improve public access to monitoring data;

(d) [To make use of voluntary certification schemes for sustainably produced goods and services, including in public procurement, as appropriate and in accordance with multilateral trade rules, and, together

²⁶ The text of the present paragraph comes from recommendation XIX/1, paragraph 9(b) of the Subsidiary Body on Scientific, Technical and Technological Advice.

²⁷ The text of the present paragraph comes from recommendation XIX/1, paragraph 9(c) of the Subsidiary Body on Scientific, Technical and Technological Advice.

with relevant organizations, to promote the further development of certification schemes, encouraging that the three pillars of sustainable development be reflected in certification criteria, taking into account the specificities of developing countries;]

14. *Invites* Parties and other Governments, in collaboration with relevant national and international organizations and initiatives, and within their national capacity, as appropriate and in accordance with national legislation:

(a) To introduce or strengthen measures to raise awareness of the multiple values of biodiversity by, for example, fostering accounting and/or valuation of ecosystems, tailoring communication tools to take into account the scale of the problem and the potential benefits of positive action, and making use of evidence-based communication transmitted in a compelling and effective way to decision makers, stakeholders, indigenous peoples and local communities, and the private sector;

(b) To introduce or scale up the use of environment economic accounting and natural capital accounting, and of other tools to assess the multiple values of biodiversity, as appropriate, including the contributions of collective actions from indigenous peoples and local communities;

(c) To take measures to improve the effectiveness of environmental impact assessments and strategic environmental assessments, including by strengthening the application of strategic environmental assessment methodologies, by using tools to evaluate potential impacts on biodiversity and ecosystem functions and services, including on resilience;

(d) To review, in line with the milestones for implementing Aichi Biodiversity Target 3, national policy and legislation in order to encourage the identification of provisions that have positive implications and those that have adverse implications for implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and to consider amending provisions that have adverse implications, including with respect to the transparency of decision-making and access to information;

(e) To review the implementation of cross-sectoral mainstreaming measures undertaken at the national level, including national institutional mechanisms to support the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020, and to identify gaps, if any, and to strengthen such measures, as needed;

Sector-specific mainstreaming

Agriculture

15. *Recognizes* the importance of biodiversity to food security and nutrition and its role in human health and well-being, including through the production of food, fibers, biofuels, and medicinal plants, as well as through their contribution to ecosystem processes and mitigation and adaptation to climate change;

16. *Also recognizes* that agriculture depends on biodiversity, as well as on the ecosystem functions and services that it underpins, but also recognizes that some agricultural and rangeland management practices maintain habitats in a variety of agricultural areas that support biodiversity;

17. *Further recognizes* that there are currently many unsustainable agricultural practices that can have significant impacts on biodiversity and habitats;

18. *Recognizes* Sustainable Development Goal 2, which refers to ending hunger, achieving food security, and improving nutrition and promoting sustainable agriculture, and its targets 4 and 5, which refer to sustainable food production systems, and the maintenance of the genetic diversity of seeds, cultivated plants and farmed and domesticated animals and their related wild species;

19. *Recalls* that, in decision IX/1, it was agreed that the programme of work on agricultural biodiversity, including its three international initiatives on the conservation and sustainable use of pollinators, sustainable use of soil biodiversity and biodiversity for food and nutrition continues to provide a relevant framework for achieving the objectives of the Convention;

20. *Also recalls* that one of the conclusions of the fourth edition of the *Global Biodiversity Outlook*²⁸ and its supporting assessments that addressing the pressures on biodiversity resulting from food systems will be crucial in the success of the Strategic Plan for Biodiversity 2011–2020,²⁹ and that urgent action to achieve sustainable food systems is needed;

21. *Notes* that the growing demand for food and agricultural commodities will increase the pressures on biodiversity unless those pressures are appropriately addressed;

22. *Encourages* Parties to recognize the importance of the traditional knowledge of indigenous peoples and local communities for the sustainability of agriculture and to promote community and family farming, alongside agroecology, that is aligned with the world view (cosmovisión) of indigenous peoples and local communities, which upholds diversification and ecological rotation that promotes sustainable production and improving nutrition;

23. [*Encourages* Parties and *invites* other Governments to develop and/or enforce, as appropriate, clear legal frameworks for land use that secure conservation and sustainably use biodiversity and national habitats;]

24. [*Also encourages* Parties and *invites* other Governments to develop, as appropriate, policy frameworks for land use that reflect the national biodiversity objectives, that guide decision making at various scales and levels of governance to, inter alia, promote sustainable increases in the productivity [and diversification of production] of existing agricultural land and rangeland while enhancing ecosystem functions and services, including those services that contribute to agricultural production (such as pollination, pest control, water provision and erosion control), while also protecting, restoring and sustainably using biodiversity natural habitats and promoting connectivity in the landscape;]

25. [*Encourages* Parties and *invites* other Governments to promote and support, as appropriate, the sustainable and ecological intensification and diversification of agriculture and agro-ecological approaches, including the enhanced use of a diverse range of well-adapted crops and livestock, and their varieties and breeds, and of associated biodiversity in agricultural systems, including pollinators, pest-control organisms and soil organisms that promote nutrient cycling, thereby reducing or replacing the need for chemical inputs;]

26. *Also encourages* Parties and *invites* other Governments, as appropriate to use an appropriate mix of regulatory and incentive measures aligned with national biodiversity objectives, including the elimination, phasing out and reform of incentives harmful to biodiversity in order, inter alia, to reduce habitat loss, degradation and fragmentation and to increase the efficiency of use of water, fertilizer and pesticides and to avoid their inappropriate use, and to encourage public and private sources of finance to be channelled into practices that improve the sustainability of production while reducing biodiversity loss, and to promote and support the restoration of ecosystems that provide essential services in a way that provides for the needs of indigenous peoples and local communities, does not cause harm to other ecosystems, and consistent with national legislation and international obligations;

27. *Further encourages* Parties and *invites* other Governments, to reduce loss and waste at all stages of production and consumption in the food system, including reducing post-harvest losses;

28. *Encourages* Parties and *invites* other Governments and stakeholders to promote lessons learned and best practices from various sectors, such as campaigns to reduce food waste, and promote sustainable consumption, production and supply chains;

29. *Also encourages* Parties and *invites* other Governments to maintain genetic diversity of resources for food and agriculture and their landraces and wild relatives as a key pathway to achieving sustainable productivity and nutritional gains, in particular in centres of genetic diversity;

30. *Further encourages* Parties and *invites* other Governments, as appropriate, to support agricultural development models that are consistent with the Reviewed Strategic Framework 2010-2019 of the

²⁸ <https://www.cbd.int/gbo4/>.

²⁹ [Decision X/2, annex](#).

Food and Agriculture Organization of the United Nations³⁰ and apply, as appropriate, the voluntary principles for responsible investment in agriculture and food systems approved by the Committee on World Food Security in October 2014,³¹ noting in particular the importance of small-scale family farming, and pastoralism in view of its dominance in terms of food security and nutrition, poverty reduction, social equity in farming and biodiversity conservation efforts;

31. *Welcomes* the private sector initiatives to eliminate deforestation from the production of agricultural commodities and operations across their supply chains, *encourages* more companies to adopt and implement similar commitments, and *invites* Parties, as appropriate, to support these companies to achieve their initiatives;

32. *Welcomes* the assessment on pollinators, pollination and food production carried out by the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services and *notes* the relevance of decision XIII/–,;³²

33. *Notes* the preparation of the “TEEB for Agriculture and Food Interim Report”³³ and of the first *State of the World’s Biodiversity for Food and Agriculture* report by the Food and Agriculture Organization of the United Nations;

34. *Invites* the Food and Agriculture Organization of the United Nations, its Commission on Genetic Resources for Food and Agriculture and its Committee on Agriculture:

(a) To further support the development and implementation of measures, guidance and tools to promote the mainstreaming of biodiversity in the crop, livestock and food and nutrition sectors, with a view to supporting member countries in the transition to sustainable food and agricultural systems;

(b) To consider developing a global plan of action on the basis of the *State of the World’s Biodiversity for Food and Agriculture* report;

(c) To provide information on progress to relevant bodies under the Convention;

35. *Encourages* Parties and *invites* other Governments to implement the International Treaty on Plant Genetic Resources for Food and Agriculture and the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization, in a mutually supportive manner;

Forests

36. *Recognizes* the role of forest biodiversity for the maintenance of ecosystem functions that contribute to sustainable development, poverty eradication and human well-being, including through the provision of food, feed, clean water, wood, fibre, fuel, medicine, recreation, as well as the mitigation and adaptation to climate change;

37. *Also recognizes* that there remain forests managed under practices that are not sustainable, with significant negative impacts on biodiversity and habitats;

38. *Further recognizes* Sustainable Development Goal 15 and its target 2, which refers to sustainable management of all types of forests, halting deforestation, restoring degraded forests and substantially increasing afforestation and reforestation;

39. *Notes* Economic and Social Council resolution 2015/33 on the international arrangement on forests beyond 2015, which emphasizes the economic, social and environmental contributions of all types of forests to the achievement of the 2030 Agenda for Sustainable Development, and in which the Council acknowledged the progress made by countries and stakeholders towards sustainable forest management, taking into account different visions, approaches, models and tools to achieve sustainable development;

³⁰ Conference of FAO, Thirty-eighth Session, Rome, 15-22 June 2013, C2013/7.

³¹ <http://www.fao.org/3/a-ml291e.pdf>.

³² As per Subsidiary Body recommendation XX/9 on the IPBES assessment on pollinators, pollination and food production.

³³ [UNEP/CBD/SBI/INF/18](http://www.unep.org/cbd/sbi/inf/18).

40. *Also notes* United Nations General Assembly resolution 62/98, which describes sustainable forest management, and refers to its seven thematic elements, adopted by the United Nations Forum on Forests;

41. *Further notes* the elements of the Durban Declaration,³⁴ from the XIV World Forestry Congress, which promote the need for a deeper understanding of the integral role of biodiversity in forest ecosystem functioning;

42. *Notes* the Voluntary Guidelines for the Sustainable Management of Natural Tropical Forests, the 2009 ITTO/IUCN guidelines for the conservation and sustainable use of biodiversity in tropical timber production forests, as well as other relevant tools and guidelines prepared by member organizations of the Collaborative Partnership on Forests to operationalize sustainable forest management, ensuring the conservation and sustainable use of biodiversity;

43. *Encourages* Parties and *invites* other Governments to give due consideration to biodiversity when implementing actions set out in Article 5 of the Paris Agreement³⁵ of the United Nations Framework Convention on Climate Change;

44. *Also encourages* Parties and *invites* other Governments, as well as relevant stakeholders, including indigenous peoples and local communities, to make use of the United Nations forest instrument,³⁶ and to contribute to the preparation of the 2017-2030 Strategic Plan of the international arrangement on forests, under the United Nations Forum on Forests, ensuring that due consideration is given to biodiversity, with a view to promoting a coherent and coordinated approach to support the achievement of forest-related multilateral commitments and goals, including the Aichi Biodiversity Targets;

45. *Further encourages* Parties and *invites* other Governments to strengthen efforts to enhance the awareness of all stakeholders and their involvement in the development and implementation of policies and strategies for sustainable forest management, including on measures for the conservation, restoration and sustainable use of biodiversity, recognizing the importance of the practices of indigenous peoples and local communities and the role of natural regeneration in living systems;

46. *Encourages* Parties and *invites* other Governments to strengthen participation of indigenous peoples and local communities as part of a strategy for forest protection, sustainable use of biodiversity and the welfare and livelihoods of these communities;

47. *Also encourages* Parties and *invites* other Governments to create enabling conditions and incentivize the adoption of sustainable forest management practices in the forest sector, and *encourages* forest enterprises and forest owners to appropriately integrate sustainable use, conservation and restoration of biodiversity into the development and use of forest management plans, certification schemes or other voluntary mechanisms;

48. *Further encourages* Parties and *invites* other Governments to develop or enhance monitoring of the impacts of forest activities on biodiversity and to verify progress, through different monitoring methodologies, such as forest monitoring systems that demonstrate the integral health of forest ecosystems;

49. *Encourages* Parties and *invites* other Governments to strengthen their efforts to establish and maintain and/or develop well-managed and connected national or regional forest protected area networks, giving priority to existing ones, and, where appropriate, to apply spatial and land-use planning tools to identify areas of particular importance to the sustainable use and conservation of forest biodiversity, including in buffer zones;

50. *Invites* the Food and Agriculture Organization of the United Nations and its Committee on Forestry to further support the development and implementation of measures, guidance and tools to promote the mainstreaming of biodiversity in the forest sector and to consider, on a regular basis, ways and means to further enhance contributions to the Aichi Biodiversity Targets and relevant Sustainable Development Goals;

³⁴ http://www.fao.org/fileadmin/user_upload/wfc2015/Documents/Durban_Declaration_1.pdf.

³⁵ United Nations Framework Convention on Climate Change, Conference of the Parties, twenty-first session, decision 1/CP.21 (see [FCCC/CP/2015/10/Add.1](http://unfccc.int/kyoto_protocol/decisions/decision_1_cp_21.html)).

³⁶ See [General Assembly resolution 70/199](http://www.un.org/News/Press/docs/2015/1512/20151219.ga.res.70.199.shtml) of 22 December 2015.

Fisheries and aquaculture

51. *Recognizes* that healthy marine, coastal and inland waters ecosystems and biodiversity are essential to achieving sustainable increases and improved resilience in the provision of food and livelihoods;

52. *Also recognizes* that there are currently a number of fisheries that are not sustainably managed and aquaculture operations and practices with significant negative impacts on biodiversity and habitats;

53. *Further recognizes* Sustainable Development Goal 14 and its targets 2, 4 and 6, which refer to sustainable management and restoration of marine ecosystems, to effective regulation of harvesting, and to prohibition of certain forms of perverse incentives in fisheries, respectively;

54. *Recalls* decision XI/18, *encourages* fisheries management organizations to further consider biodiversity-related matters in fisheries management in line with the ecosystem approach, including through inter-agency collaboration and with the full and meaningful participation of indigenous peoples and local communities;

55. *Also recalls* decisions X/29 and XI/18, in which it emphasized the importance of collaborating with the Food and Agriculture Organization of the United Nations, regional fisheries bodies and the regional seas conventions and action plans with regard to addressing biodiversity considerations in sustainable fisheries and aquaculture;

56. *Recognizes* that various relevant international instruments, including the United Nations Convention on the Law of the Sea,³⁷ the 1993 FAO Compliance Agreement,³⁸ the 1995 Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks,³⁹ with respect to their Contracting Parties, and the 1995 FAO Code of Conduct for Responsible Fisheries,⁴⁰ together with accompanying guidelines and plans of actions, represent, for their Contracting Parties, a comprehensive global framework for fisheries policy and management and support mainstreaming of biodiversity in fisheries and aquaculture;

57. *Encourages* Parties, and *invites* other Governments and relevant organizations to use available instruments to achieve Aichi Biodiversity Target 6;

58. *Recalls* paragraph 55 of decision X/29, *encourages* Parties and *invites* other Governments to ratify the FAO Agreement on Port States Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing, adopted in 2009, which provides a means of addressing such fishing activities;

59. *Also recalls* decisions X/29, XI/17 and XII/22, and *calls for* further collaboration and information-sharing among the Secretariat of the Convention on Biological Diversity, the Food and Agriculture Organization of the United Nations, and regional fishery bodies regarding the use of scientific information on areas meeting the criteria for ecologically or biologically significant marine areas and vulnerable marine ecosystems in support of achieving various Aichi Biodiversity Targets;

60. *Urges* Parties and *invites* other Governments to use, as appropriate, existing guidance related to the ecosystem approach to fisheries and aquaculture;

61. *Encourages* Parties and *invites* other Governments to improve synergies in managing pressures in marine and freshwater environments, including through the implementation of the Priority Actions to Achieve Aichi Biodiversity Target 10 for Coral Reefs and Closely Associated Ecosystems;⁴¹

62. *Urges* Parties and *invites* other Governments to establish, if necessary, or strengthen existing mechanisms of governance of fisheries, and take biodiversity considerations, in particular the precautionary

³⁷ United Nations, *Treaty Series*, vol. 1833, No. 31363.

³⁸ <http://www.fao.org/docrep/meeting/003/x3130m/X3130E00.htm>.

³⁹ United Nations, *Treaty Series*, vol. 2161, No. 37924.

⁴⁰ <http://www.fao.org/docrep/005/v9878e/v9878e00.htm>.

⁴¹ See [decision XII/23](#).

approach, in line with the preamble of the Convention, fully into account when designing and implementing policies for fishing capacity management and reduction, including measures and regulations with a view to promoting the conservation and recovery of endangered species;

63. *Also urges* Parties and *invites* other Governments to provide access for small-scale artisanal fishers to marine resources and, if appropriate, markets;

64. *Encourages* competent intergovernmental organizations to further strengthen collaboration regarding marine biodiversity and fisheries;

65. *Welcomes* the ongoing cooperation between the Food and Agriculture Organization of the United Nations, the International Union for Conservation of Nature and the Executive Secretary, to improve reporting and support for the implementation of Aichi Biodiversity Target 6;

66. *Invites* the Food and Agriculture Organization of the United Nations and the Committee on Fisheries to consider and further support the development and implementation of measures, guidance and tools for promoting and supporting the mainstreaming of biodiversity in the fisheries and aquaculture sectors;

67. *Requests* the Executive Secretary and *invites* the Food and Agriculture Organization of the United Nations to compile, in collaboration, the experiences in mainstreaming biodiversity in fisheries, including through the ecosystem approach to fisheries, and make this compilation available prior to the Conference of the Parties at its fourteenth meeting;

Tourism

68. *Calls upon* Parties and *invites* other Governments, taking into account relevant work of international organizations and initiatives, including the United Nations Environment Programme, the World Tourism Organization, and the International Union for Conservation of Nature to make use of, and implement, on a voluntary basis, the guidelines on biodiversity and tourism development adopted by the Conference of the Parties at its seventh meeting⁴² and the manuals on their application, as further updated by the Conference of the Parties at its twelfth meeting;⁴³

69. *Invites* Parties and other Governments, to consider taking the following actions, as appropriate and in accordance with national legislation:

(a) To develop and adopt coherent policies, programmes and frameworks for sustainable tourism, or strengthen such frameworks, engaging all relevant institutions and stakeholders including indigenous peoples and local communities, subnational and local governments, and the private sector;

(b) To generate, integrate and use information on the benefits and values of sustainable tourism in decision-making on the planning, operation and expansion of the tourism sector, including with respect to tourism investments, development of infrastructure, job creation, and in considering mechanisms for the reinvestment of parts of tourism revenues on biodiversity conservation and ecosystem restoration at the local or community level;

(c) To promote capacity-building, in particular for national and subnational park agencies, including those working with coastal and marine ecosystems, and involving, as appropriate and in accordance to national legislation, the private sector, on development and implementation of financial instruments, such as entrance and service fees, concessions and licences, as appropriate, to complement and support public/private investment in the establishment and maintenance of protected area systems and support sustainable tourism;

(d) To take measures to further develop and use various communication, education and public awareness tools for the general public, and particularly tourists, on sustainable tourism programmes and practices, including sustainable travel and voluntary standards and certification systems;

⁴² [Decision VII/14](#), annex.

⁴³ [Decision XII/11](#).

(e) To promote rural community tourism as an activity that can influence the sustainable use of biological diversity and diversification of livelihoods of indigenous peoples and local communities, promoting the creation of capacity and the transfer of technology;

(f) To include information on pertinent activities undertaken, and measures adopted, in the voluntary online reporting system on the Aichi Biodiversity Targets and sixth national reports;

Engagement of key actors to enhance mainstreaming

Business engagement

70. *Expresses its gratitude* to the Executive Secretary for preparing the typology of actions for encouraging business reporting on their actions related to biodiversity and for increasing the transparency and comparability of such reporting;

71. *Invites* Parties and other Governments that have not yet done so to adopt, or participate in, as appropriate, national or regional initiatives on business and biodiversity as part of the Global Partnership on Business and Biodiversity;

72. *Invites* Parties and other Governments, as appropriate, to encourage businesses to generate and assess information on the impacts of their activities and operations, including in their supply chains and facilities, that have impacts on biodiversity and associated ecosystem functions and services, and to utilize, as appropriate, such approaches as the Biodiversity Business and Offset scheme, as well as preventive, restorative and remedial measures taken, and the expenditures associated therewith;

73. *Calls upon* Parties to encourage businesses to take into account, as appropriate, various tools, [such as the soon to be released Natural Capital Protocols], as well as other approaches for determining the multiple values of biodiversity, that support better understanding and measurement of dependencies and impacts on biodiversity and ecosystem functions and services, and to share this information as appropriate;

74. *Invites* the business sector to initiate or strengthen activities relevant to paragraph 72 above, and to take measures, as appropriate, to integrate the information compiled into decision-making, including decisions on operations, location, sourcing and use;

75. *Calls upon* and *invites* other Governments to include or strengthen biodiversity considerations in sustainable consumption and production policies, plans and programmes;

76. *Encourages* and *invites* other Governments to engage with the public and private sectors to promote sustainable consumption and behavioural changes in production and consumption patterns, and to reduce resource waste at all stages of production and consumption in food systems, including through educational and public awareness campaigns;

77. *Invites* relevant international and national organizations and initiatives to support the activities related to the business sector included in the present decision, including activities that promote sustainable consumption and production patterns;

78. *Invites* relevant organizations and initiatives to submit to the Executive Secretary information on existing frameworks for implementing biodiversity-related valuation and accounting schemes in businesses, such as natural capital valuation, as well as on programmes that seek to encourage, promote and/or support the application of such frameworks by the business sector and requests the Executive Secretary to make these submissions available via the clearing-house mechanism;

79. *Invites* Parties to promote various consumer-based approaches, such as utilizing eco-labels for eco-friendly products, to encourage or promote the application by the business sector of approaches specified in paragraph 78 above;

80. *Also invites* Parties to take, or continue to take, policies and measures to promote mainstreaming biodiversity in business-related decision-making and to raise awareness of the business case for mainstreaming biodiversity in business-related decision-making, and to enhance transparency and public awareness of such actions by businesses, including by encouraging the use of the typology of actions;

81. *Invites* businesses to engage in the actions specified in the paragraphs above related to business engagement, including by participating in national or regional business and biodiversity initiatives, using the typology of actions for reporting on biodiversity-related actions, including in their supply chains and facilities, and providing any suggestions for improving or enhancing the use of the typology;

Subnational and local governments

82. *Calls upon* Parties and invites other Governments, in view of the need for more effective engagement of subnational and local governments and in accordance with national circumstances:

(a) To enhance their efforts to engage subnational and local governments in order to strengthen their contribution to the implementation of the Convention and its Strategic Plan;

(b) To raise the awareness of subnational and local governments of the importance of biodiversity and ecosystems services and of the role of indigenous peoples and local communities in the holistic conservation, preservation, sustainable use and management of biodiversity, and consider establishing strategies for the strengthening of contributions of subnational and local governments to the implementation of the Strategic Plan for Biodiversity 2011-2020 and the respective national biodiversity strategies and action plans;

(c) To include consideration of biodiversity related to subnational and local governments in relevant international process;

Gender

83. *Recognizing* Sustainable Development Goal 5, *requests* the Executive Secretary, subject to the availability of resources, to continue the work with respect to gender mainstreaming to support the implementation of the 2015-2020 Gender Plan of Action, taking into account the vision and perspective of indigenous women, including by support to Parties in integrating gender considerations into their revised national biodiversity strategies and actions plans, as well as in integrating biodiversity in national gender policies and actions plans;

Further work

84. *Requests* the Executive Secretary, subject to the availability of resources, to continue to engage in the international processes identified in paragraph 2 herein, and other relevant international processes, particularly as these proceed into the implementation phase, and to support Parties in their efforts pursuant to paragraphs 3 and 8 to 11 above;

85. *Requests* the Executive Secretary, subject to the availability of resources, in collaboration with relevant organizations and initiatives, and avoiding duplication of existing work:

(a) To enhance multi-stakeholder partnerships, in cooperation with relevant international organizations and initiatives, to provide support for the implementation of Goal A of the Strategic Plan for Biodiversity 2011-2020 and the 2030 Agenda for Sustainable Development;

(b) To identify best practices and successful models of institutional mechanisms in place at the national level, drawing from information available in the fifth national reports, the clearing-house mechanism, and other existing sources of information, to support implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020, and to report to the Subsidiary Body on Implementation at its second meeting;

86. *Requests* the Executive Secretary, subject to the availability of resources, in cooperation with other relevant organizations, businesses and initiatives, to seek views through the Global Business and Biodiversity Partnership as well as relevant partners on how the provision of data and information on biodiversity-related issues could be harmonized with a view to increasing the consistency of data and information across and within various business sectors;

86bis. *Request* the Executive Secretary, subject to the availability of resources, to present options to the Subsidiary Body on Scientific, Technical and Technological Advice, at a meeting prior to the fourteenth meeting of the Conference of the Parties on how to make the best use of existing programmes of work to further enhance

the implementation of the Convention in the light of mainstreaming needs and the Strategic Plan for Biodiversity 2011-2020;⁴⁴

87. *Requests*, subject to the availability of funds, further work by the Executive Secretary, in collaboration with Parties, on the typology of actions for reporting on business-related actions, with a view to providing draft guidance, for consideration by the Subsidiary Body on Implementation at its second meeting;

88. *Further requests* the Executive Secretary, subject to the availability of resources:

(a) To strengthen collaboration with the Food and Agriculture Organization of the United Nations and other relevant partners in all areas relevant to the implementation of the present decision;

(b) To transmit the present decision for the attention of the Conference and Committees on Agriculture, Fisheries and Forestry and the Commission on Genetic Resources for Food and Agriculture of the Food and Agriculture Organization of the United Nations, the Committee on World Food Security, the United Nations Forum on Forests and other relevant bodies;

(c) To prepare and disseminate to Parties, in collaboration with the Food and Agriculture Organization of the United Nations and other relevant partners, further guidance on the concept of “sustainability” in food and agriculture with regard to biodiversity, and to promote and strengthen support for relevant information-sharing and technology transfer among Parties, in particular for developing countries, building on existing initiatives, where feasible, such as the Satoyama Initiative, consistent with decisions X/32 and XI/25, and consistent with international obligations;

(d) To make existing guidance and tools relevant to addressing biodiversity considerations in relevant sectors, including agriculture, forestry, fisheries and aquaculture available through the clearing-house mechanism of the Convention;

(e) To develop, as appropriate and subject to the availability of resources, messaging approaches on biodiversity mainstreaming for specific target groups related to these sectors, as part of the delivery on the global communication strategy and messaging approaches as set out in decision XII/2;

(e)bis. In collaboration with the Food and Agriculture Organization of the United Nations, the World Tourism Organization, and other relevant organizations, to support Parties in sharing experiences, best practices and case studies on mainstreaming biodiversity into sectors and in developing comprehensive policy guidance;⁴⁵

89. *Invites* the Food and Agriculture Organization of the United Nations, in cooperation with other relevant partners, to support the implementation of the present decision;

90. *Requests* the Executive Secretary, subject to the availability of resources:

(a) To analyse the information provided by Parties in their sixth national reports related to tourism activities, complemented by information provided by relevant international organizations and initiatives;

(b) To provide Parties, prior to the fourteenth meeting of the Conference of the Parties, with information compiled through the activities described in paragraph 69 above, to support Parties in decision-making for sustainable tourism development;

91. *Invites* the Global Environment Facility and other donor and funding agencies to provide financial assistance for country-driven projects that address cross-sectoral mainstreaming when requested by developing country Parties, in particular the least developed among them, small island developing States and countries with economies in transition.

The following is taken from recommendation XX/10 of the Subsidiary Body on Scientific, Technical and Technological Advice

⁴⁴ The text of the present paragraph comes from paragraph 9(d)(iv) of recommendation XIX/1 of the Subsidiary Body on Scientific, Technical and Technological Advice.

⁴⁵ The text of the present paragraph comes from paragraph 9(d)(i) of recommendation XIX/1 of the Subsidiary Body on Scientific, Technical and Technological Advice.

Biodiversity and climate change

The Conference of the Parties,

Reaffirming paragraph 8 of decision X/33,

Recognizing that cooperation among the biodiversity, climate change adaptation, mitigation and disaster reduction communities results in a greater ability to design interventions that deliver multiple benefits,

Also recognizing the potential for synergies provided by the 2030 Agenda for Sustainable Development,⁴⁶ the Sendai Framework for Disaster Risk Reduction 2015-2030,⁴⁷ the Strategic Plan for Biodiversity 2011-2020 and the Paris Agreement under the United Nations Framework Convention on Climate Change,⁴⁸

Further recognizing the need for the full and effective participation of indigenous peoples and local communities including through prior informed consent, and the need to pay particular attention to their differentiated needs in order to avoid detrimental impacts on their livelihoods and cultures,

Recognizing that gender-responsive approaches and engagement of the youth are critical to ensure the success and sustainability of climate change adaptation, mitigation and disaster risk reduction policies, programmes and projects,

Also recognizing the need for improved scientific information concerning the climate change adaptation of the protected areas networks, their functionality and connectivity,

Noting resolution XII.11 of the Conference of the Contracting Parties to the Convention on Wetlands of International Importance especially as Waterfowl Habitat (the Ramsar Convention) at its twelfth session, entitled “Peatlands, climate change and wise use: Implications for the Ramsar Convention”, which highlights the role of peatlands in climate change not only in adaptation but also in mitigation,⁴⁹

Taking note of the following reports and the summary information provided in the note by the Executive Secretary on biodiversity and climate change:⁵⁰

(a) Synthesis report on experiences with ecosystem-based approaches to climate change adaptation and disaster risk reduction;⁵¹

(b) Managing ecosystems in the context of climate change mitigation: A review of current knowledge and recommendations to support ecosystem-based mitigation actions that look beyond terrestrial forests;⁵²

(c) Relationships between the Aichi Targets and land-based climate mitigation;⁵³

(d) Guidance on enhancing positive and minimizing negative impacts on biodiversity of climate change adaptation activities;⁵⁴

(e) Voluntary guidelines to support the integration of genetic diversity into national climate change adaptation planning;⁵⁵

1. *Welcomes* the Paris Agreement under the United Nations Framework Convention on Climate Change,⁵⁶ in particular the articles related to biodiversity;⁵⁷

⁴⁶ [General Assembly resolution 70/1, annex.](#)

⁴⁷ [General Assembly resolution 69/283, annex II.](#)

⁴⁸ United Nations Framework Convention on Climate Change, Conference of the Parties, twenty-first session, decision 1/CP.21 (see [FCCC/CP/2015/10/Add.1](#)).

⁴⁹ See http://www.ramsar.org/sites/default/files/documents/library/cop12_res11_peatlands_e.pdf.

⁵⁰ [UNEP/CBD/SBSTTA/20/10.](#)

⁵¹ [UNEP/CBD/SBSTTA/20/INF/2.](#)

⁵² [UNEP/CBD/SBSTTA/20/INF/3.](#)

⁵³ [UNEP/CBD/SBSTTA/20/INF/29.](#)

⁵⁴ [UNEP/CBD/SBSTTA/20/INF/1.](#)

⁵⁵ [UNEP/CBD/SBSTTA/20/INF/4.](#)

2. *Encourages* Parties and other Governments, when developing their Nationally Determined Contributions and, where appropriate, implementing associated domestic measures, to fully take into account the importance of ensuring the integrity of all ecosystems, including oceans, and the protection of biodiversity, and to integrate ecosystem-based approaches therein, involving the national focal points to the Convention on Biological Diversity in this work and ensuring that information, tools and guidance developed under the Convention on Biological Diversity are used;

3. *Recognizes* that ecosystem-based approaches can be technically feasible, politically desirable, socially acceptable, economically viable and beneficial and that implementation and investment into these approaches are, in general, increasing at the international and national levels;

4. *Encourages* Parties, other Governments and relevant organizations to integrate ecosystem-based approaches to climate change adaptation and mitigation into their strategic planning across sectors;

5. *Emphasizes* the importance of marine protected areas, coastal resource management and marine spatial planning in protecting and building the resilience of marine and coastal ecosystems, communities and infrastructure against the impacts of climate change;

6. *Takes note* of alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests, and the potential role of these approaches in the conservation of biological diversity and disaster risk reduction;

7. *Also takes note* of the potential for synergies between climate change adaptation and mitigation measures in the conservation of biological diversity and disaster risk reduction in all ecosystems;

8. *Encourages* Parties, other Governments and relevant organizations:

(a) To address the loss of, and impacts on, biodiversity and, where appropriate, related social, environmental and economic impacts associated with climate change and disasters, considering the costs of inaction, and the value of investing in actions in a timely manner in order to reduce biodiversity loss and other negative impacts;

(b) To take into consideration the status of biodiversity and its vulnerability to current and future climate change impacts when planning and implementing ecosystem-based approaches to climate change adaptation, mitigation and disaster risk reduction activities, and to minimize and, where possible, avoid activities that may increase the vulnerability and reduce the resilience of biodiversity and ecosystems;

(c) To consider, throughout the development and implementation of ecosystem-based approaches to climate change adaptation and mitigation, potential multiple benefits and trade-offs;

(d) To develop education and awareness-raising programmes for the general public on the importance of the ecosystem functions and services provided by biodiversity for climate change adaptation, mitigation and disaster risk reduction;

(e) To raise awareness, particularly among decision makers in relevant sectors and at different levels of government, about ecosystem-based approaches to climate change adaptation, mitigation and disaster risk reduction;

(f) To recognize the role of protected areas and other effective area-based conservation measures as cost-effective instruments for adaptation and mitigation of climate change as well as disaster risk reduction, and that increased investment for management and conservation will have positive economic, social and environmental effects;

(g) To develop and implement ecosystem-based approaches to climate change adaptation, mitigation and disaster risk reduction that are based on reliable available science and better take into account indigenous, local and traditional knowledge and practices;

⁵⁶ United Nations Framework Convention on Climate Change, Conference of the Parties, twenty-first session, decision 1/CP.21 (see [FCCC/CP/2015/10/Add.1](#)).

⁵⁷ The reference to the importance of ensuring the integrity of all ecosystems as contained in the preamble of the Paris Agreement; Article 5, which calls upon Parties to take action to conserve and enhance sinks and reservoirs of greenhouse gases; Article 7, which recognizes the role of adaptation in protecting livelihoods and ecosystems; Article 8 relating to loss and damage, including resilience of livelihoods, communities and ecosystems.

(h) To promote the wide use of ecosystem-based approaches where appropriate, including in marine and coastal and urban areas and in agricultural landscapes;

(i) To systematically assemble and analyse evidence to assess the effectiveness of ecosystem-based approaches to climate change adaptation and mitigation, including through development of improved monitoring and evaluation methods, noting that such methods are best developed and applied early in the planning phase;

(j) To make use of existing tools and guidance on ecosystem-based approaches to climate change adaptation, mitigation and disaster risk reduction and, where appropriate, to further develop and refine these tools and guidance;

(k) To ensure that ecosystem-based approaches to climate change adaptation, mitigation and disaster risk reduction activities maximize co-benefits to people and biodiversity;

(l) To promote platforms for the exchange of experiences and sharing of best practices, including those of indigenous peoples and local communities on ecosystem-based approaches to climate change adaptation and mitigation in a holistic and integrated manner;

(m) To investigate the need to integrate climate change adaptation best practices, strategies and methodologies into conservation planning frameworks, in consideration of species and ecosystems responses, and vulnerability to past and future anthropogenic climate change;

(n) To share and disseminate knowledge and experiences on matters referred to in the present paragraph through, inter alia, the clearing-house mechanism;

9. *Recalls* paragraph 5 of decision IX/16, in which it encouraged Parties, other Governments, donors and relevant organizations to provide financial and technical support to capacity-building activities, including through raising public awareness, so as to enable developing countries, especially least developed countries, small island developing States and countries with economies in transition, to implement activities related to the impacts of climate change, and of the positive and negative impacts of climate change mitigation and adaptation activities on biodiversity;

10. *Requests* the Executive Secretary to prepare, subject to the availability of resources, in collaboration with relevant organizations, voluntary guidelines for the design and effective implementation of ecosystem-based approaches to climate change adaptation and disaster risk reduction, for consideration by the Subsidiary Body on Scientific Technical and Technological Advice prior to the fourteenth meeting of the Conference of the Parties;

11. *Also requests* the Executive Secretary to ensure that the voluntary guidelines consider existing guidance, including that developed under the Convention on Biological Diversity, the United Nations Convention to Combat Desertification and the United Nations Framework Convention on Climate Change, and include information on:

(a) Tools for assessing the effectiveness of ecosystem-based approaches to climate change adaptation and disaster risk reduction at various scales;

(b) The design and implementation of ecosystem-based approaches to climate change adaptation and disaster risk reduction at different scales, including at the subnational and local levels;

(c) Trade-offs in the provision of various ecosystem services and limits to ecosystem-based approaches for climate change adaptation and disaster risk reduction;

(d) Tools and indicators for monitoring the effectiveness of ecosystem-based approaches to climate change adaptation and disaster risk reduction;

(e) Options for integrating alternative policy approaches into ecosystem-based approaches to climate change adaptation, mitigation and disaster risk reduction;

(f) Integrating knowledge, technologies, practices and efforts of indigenous peoples and local communities related to addressing and responding to climate change and impacts on the biodiversity;

(g) Information on methods making use of ecosystem-based approaches to climate change adaptation and disaster risk reduction in combination with hard infrastructure;

12. *Further requests* the Executive Secretary to further promote synergies with the Secretariat of the United Nations Framework Convention on Climate Change, ensuring that this includes increasing knowledge and sharing of information, guidance and tools developed under the Convention on Biological Diversity relating to the impacts of climate change on biological diversity and the role of ecosystems for climate change adaptation, mitigation and disaster risk reduction, with a view to identifying possible solutions;

13. *Requests* the Executive Secretary to further enhance synergies between the work of the Convention on ecosystem restoration, ecosystem-based approaches to climate change adaptation and mitigation and the work on land degradation neutrality and sustainable land management under the United Nations Convention to Combat Desertification and ensure coherence with relevant approaches under other United Nations bodies.

The following is taken from recommendation XX/1 of the Subsidiary Body on Scientific, Technical and Technological Advice

Progress towards the achievement of Aichi Biodiversity Targets 11 and 12

The Conference of the Parties,

Welcoming the continued progress towards the achievement of Aichi Biodiversity Target 11,

Also welcoming the Promise of Sydney and other commitments made by the members of the International Union for Conservation of Nature and other organizations at the World Parks Congress 2014, held in Sydney, Australia, particularly the commitment to accelerate the implementation of the Strategic Plan for Biodiversity 2011-2020,

1. *Acknowledges* with appreciation the support of partner organizations, donors, host Governments and the Executive Secretary for organizing regional capacity-building workshops and related activities on achieving Aichi Biodiversity Targets 11 and 12;

2. *Recognizes* that the achievement of Aichi Biodiversity Target 11 will contribute to the implementation of other Aichi Biodiversity Targets, the Sendai Framework for Disaster Risk Reduction 2015-2030,⁵⁸ relevant targets of the Sustainable Development Goals and Article 5 of the Paris Agreement,⁵⁹ as well as means for mitigation and adaptation to climate change;

3. *Notes* the considerable gap in the conservation status assessment of most taxonomic groups, and the general lack of information on species conservation plans;

4. *Invites* Parties, as appropriate and taking into account national circumstances:

(a) To undertake concerted efforts to implement actions identified in national biodiversity strategies and action plans and other relevant strategies and, as appropriate, address gaps identified through regional capacity-building workshops on achieving Aichi Biodiversity Targets 11 and 12;

(b) To pursue efforts to identify and explore options to protect areas of particular importance for biodiversity and ecosystem services, taking into account progress made in describing ecologically or biologically significant marine areas by the Convention on Biological Diversity and the Standards for the Identification of Key Biodiversity Areas adopted by the Council of the International Union for Conservation of Nature, as appropriate, and, in establishing new and/or expanding existing protected areas, or taking other effective area-based conservation measures, to give due consideration to areas that: (i) improve ecological representativeness; (ii) increase connectivity; (iii) promote the integration of protected areas into the wider landscape and seascape; (iv) protect the habitats of species, in particular threatened, endemic and migratory species, including through such mechanisms as important bird and marine mammal areas; (v) promote the

⁵⁸ [General Assembly resolution 69/283](#), annex II.

⁵⁹ United Nations Framework Convention on Climate Change, Conference of the Parties, twenty-first session, decision 1/CP.21 (see [FCCC/CP/2015/10/Add.1](#)).

integration of areas managed under collective action by indigenous peoples and local communities into the wider landscapes and seascapes, as appropriate; (vi) expand the coverage of areas important for biodiversity and ecosystem services; (vii) are identified as centres of origin or centres of genetic diversity; and (viii) have involved the full and effective participation and have received the prior informed consent of indigenous peoples and local communities whose territories, areas and resources overlap wholly or partially with the proposed areas, in accordance with national legislation;

(c) To endeavour to undertake more systematic assessments of management effectiveness and biodiversity outcomes of protected areas, including, where possible, other effective area-based conservation measures, to improve the management effectiveness by addressing the gaps, and to provide, on a voluntary basis, information on the results to the Global Database on Protected Areas Management Effectiveness, maintained by the United Nations Environment Programme's World Conservation Monitoring Centre, as appropriate;

(d) To undertake or participate in, where relevant, national protected area governance assessments with a view to promoting, recognizing and improving governance diversity, efficiency and equity in protected area systems;

(e) To strengthen their efforts to complete the assessments of the conservation status of all taxonomic groups and habitats and develop and implement species and habitat conservation plans, in particular for threatened and endemic species;

5. *Invites* the International Union for Conservation of Nature and the World Conservation Monitoring Centre to work with Parties and other Governments to update the World Database on Protected Areas and also to contribute to the assessment of progress in the implementation of Aichi Biodiversity Target 11;

6. *Invites* Parties, other Governments, relevant partners, regional agencies, bilateral and multilateral funding agencies, in conjunction with the Secretariat of the Convention on Biological Diversity, taking into account information provided by, and in consultation with Parties and other Governments, and subject to the availability of resources:

(a) To undertake a review of experiences on:

- (i) Protected areas and other effective area-based conservation measures, taking into account the work of the International Union for Conservation of Nature and other appropriate expert bodies;
- (ii) Additional measures to enhance integration of protected areas and other effective area-based conservation measures into the wider land- and seascapes,
- (iii) Mainstreaming of protected areas and other effective area-based conservation measures across sectors;
- (iv) Effective governance models for management of protected areas, including equity, taking into account work being undertaken under Article 8(j);

(b) To explore the possibility of developing global or regional projects which could support national assessments of management effectiveness and equity in protected areas;

(c) To facilitate the completion of assessments of the conservation status of species, in particular threatened and endemic species, and enable their conservation, in accordance with established national processes;

(d) To facilitate support networks at the regional and subregional level, as appropriate, to build capacity and support the implementation of national actions identified in national biodiversity strategies and action plans and, as appropriate, through the regional workshops for the achievement of Aichi Biodiversity Targets 11 and 12, to promote the preparation, use and sharing of technical guidance, best practices, tools, lessons learned, and monitoring efforts;

7. *Requests* the Executive Secretary:

- (a) To develop voluntary guidance on the elements listed in paragraph 6(a) above;

(b) To organize, subject to the availability of resources, a technical expert workshop to provide scientific and technical advice on definition, management approaches and identification of other effective area-based conservation measures and their role in achieving Aichi Biodiversity Target 11;

(c) To report on progress on the above to the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties;

8. *Invites* the Global Environment Facility and its implementing agencies to facilitate the alignment of the development and implementation of protected area and other effective area-based conservation projects in its sixth and seventh replenishment cycles with the national actions identified in national biodiversity strategies and action plans and, as appropriate, through the regional workshops for the achievement of Targets 11 and 12, with a view to facilitating the systematic monitoring and reporting of the results of those projects as they contribute to the implementation of the national action plans for the achievement of Aichi Biodiversity Targets 11 and 12 and other related targets.

The following is taken from recommendation XX/12 of the Subsidiary Body on Scientific, Technical and Technological Advice

Ecosystem restoration: short-term action plan

The Conference of the Parties,

Recalling Article 8(f) and decisions XI/16 and XII/19,

Aware that Parties have identified ecosystem restoration needs in their national biodiversity strategies and action plans and in other national, regional and global strategies and/or plans, and that a number of ecosystem restoration activities are under way with support from various organizations and Governments, and *noting* that many degraded ecosystems are still in need of restoration,

Welcoming the progress made in the implementation of the Forest Ecosystem Restoration Initiative, supported by the Korea Forest Service of the Republic of Korea,

Underlining that the effective implementation of ecosystem restoration helps to achieve not only many of the Aichi Biodiversity Targets, but also several Sustainable Development Goals,⁶⁰ ecosystem-based adaptation and combating desertification, mitigating the effects of drought and supporting mitigation under the United Nations Framework Convention on Climate Change,⁶¹ land degradation neutrality under the United Nations Convention to Combat Desertification,⁶² the wise use of wetlands under the Ramsar Convention on Wetlands,⁶³ the four Global Objectives on Forests of the United Nations Forum on Forests, commitments under the Convention on the Conservation of Migratory Species of Wild Animals,⁶⁴ the Bonn Challenge of the Global Partnership on Forest and Landscape Restoration and the objectives of many other initiatives,

Noting that restoration needs to be carried out in ways that balance social, economic and environmental objectives, and that the engagement of all relevant stakeholders, for example land owners, and indigenous peoples and local communities is crucial at all stages of the restoration process especially as regards the participation of women, *recognizing* that women are powerful agents of change and their leadership is critical in community revitalization and renewable natural resource management,

Recalling the urgency to enhance efforts to achieve targets related to restoration by 2020,

⁶⁰ See [General Assembly resolution 70/1](#), annex.

⁶¹ United Nations, *Treaty Series*, vol. 1771, No. 30822.

⁶² *Ibid.*, vol. 1954, No. 33480.

⁶³ *Ibid.*, vol. 996, No. 14583.

⁶⁴ *Ibid.*, vol. 1651, No. 28395.

Noting the deliverable 3(b)(i): thematic assessment on land degradation and restoration currently being undertaken by the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services due to be completed in 2018,

1. *Adopts* the short-term action plan on ecosystem restoration, as contained in the annex to the present decision, as a flexible framework and adaptable to national circumstances and legislation for immediate action towards achieving Aichi Biodiversity Targets 5, 12, 14 and 15, and Targets 4 and 8 of the Global Strategy for Plant Conservation, and other internationally agreed goals and targets, and in particular targets identified in national biodiversity strategies and action plans or other relevant strategies and plans;

2. *Urges* Parties and *encourages* other Governments and relevant organizations, including indigenous peoples and local communities, to promote, support and take actions on ecosystem restoration inter alia by making use, as appropriate, of the short-term action plan on ecosystem restoration as a flexible framework according to national circumstances;

3. *Encourages* Parties, when developing and implementing ecosystem restoration action plans and when updating national biodiversity strategies and action plans, to take into account existing goals and commitments on restoration, including those promoted under other relevant processes, and to include them in their national biodiversity strategies and action plans;

4. *Invites* Parties in a position to do so and other donors, such as international finance agencies, including the Global Environment Facility and regional development banks, to provide support for ecosystem restoration activities, as well as monitoring processes integrated as appropriate into programmes and initiatives for sustainable development, food, water and energy security, job creation, climate change mitigation, adaptation, disaster risk reduction, and poverty eradication;

5. *Encourages* Parties to consider ecosystem restoration in reef and coastal ecosystems in the action plans, where relevant, to ensure that marine environments are sustained;

6. *Invites* Parties to provide, on a voluntary basis, information on their activities and results from the implementation of the action plan, and *requests* the Executive Secretary to compile the submissions and make them available through the clearing-house mechanism;

7. *Encourages* relevant organizations and indigenous peoples and local communities to promote the implementation of ecosystem restoration, which is crucial for reaching the Aichi Biodiversity Targets and enhancing the provision of ecosystem services and to support Parties in their efforts to implement the short-term action plans on ecosystem restoration;

8. *Invites* Parties and relevant organizations to give due consideration to community-based initiatives on ecosystem restoration in the context of the Convention's Plan of Action on Customary Sustainable Use of Biological Diversity;⁶⁵

9. *Requests* the Executive Secretary to communicate the present decision to the Secretariat of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services so that it can be taken into account in the preparation of the deliverable 3(b)(i): thematic assessment on Land Degradation and Restoration;

10. *Requests* the Executive Secretary, subject to the availability of resources, to support the efforts of Parties in making use of the short-term action plan on ecosystem restoration by:

(a) Enabling capacity-building and supporting the use of tools in collaboration with relevant partners and initiatives, including by implementing the Forest Ecosystem Restoration Initiative in collaboration with the Forest and Landscape Restoration Mechanism of the Food and Agriculture Organization of the United Nations and other initiatives covering other non-forest ecosystems;

(b) Updating the information on guidance, tools and initiatives relating to ecosystem restoration⁶⁶ and making it available through the clearing-house mechanism.

⁶⁵ Contained in [decision XII/12](#), annex.

Annex

SHORT-TERM ACTION PLAN ON ECOSYSTEM RESTORATION**I. OBJECTIVES AND PURPOSE**

1. The *overall objective* of this action plan is to promote restoration of degraded natural and semi-natural ecosystems, including in urban environments, as a contribution to reversing the loss of biodiversity, recovering connectivity, improving ecosystem resilience, enhancing the provision of ecosystem services, mitigating and adapting to the effects of climate change, combating desertification and land degradation, and improving human well-being while reducing environmental risks and scarcities.
2. The *purpose* of the action plan is to help Parties, as well as any relevant organizations and initiatives, to accelerate and upscale activities on ecosystem restoration. It aims to support timely achievement of the Strategic Plan for Biodiversity 2011-2020, in particular Aichi Biodiversity Targets 14 and 15. Aichi Biodiversity Target 14 aims to restore and safeguard, by 2020, ecosystems that provide essential services, Target 15 calls for the restoration of at least 15 per cent of degraded ecosystems by 2020. The action plan can also contribute to the achievement of objectives and commitments under other conventions, including the United Nations Framework Convention on Climate Change, the United Nations Convention to Combat Desertification, the Ramsar Convention on Wetlands, the Convention on the Conservation of Migratory Species of Wild Animals, and the United Nations Forum on Forests, as well as the 2030 Agenda for Sustainable Development and the Sendai Framework for Disaster Risk Reduction 2015-2030.
3. The *specific objectives* of this action plan are to help Parties as well as relevant organizations and initiatives to:
 - (a) Promote, support and accelerate action in the planning, implementation and monitoring of ecosystem restoration activities at all levels;
 - (b) Identify and formalize regional, national and local targets, policies and actions for ecosystem restoration;
 - (c) Identify and communicate the benefits of ecosystem restoration to generate public awareness, support and involvement.

II. SCOPE AND SCALE

4. Ecological restoration refers to the process of managing or assisting the recovery of an ecosystem that has been degraded, damaged or destroyed as a means of sustaining ecosystem resilience and conserving biodiversity. Degradation is characterized by a decline or loss of biodiversity or ecosystem functions. Degradation and restoration are context-specific and refer to both the state of ecosystems and to ecosystem processes.
5. The action plan aims to facilitate ecosystem restoration across all types of habitat, biomes and ecosystems, including forests, grasslands, croplands, wetlands, savannas and other terrestrial and inland water ecosystems, marine and coastal ecosystems, and, as appropriate, urban environments. The activities can be applied at the national, regional, subnational and site levels within a land- and seascape perspective. Actions intended to reduce, mitigate or reverse direct drivers of degradation, restore ecosystem conditions and processes may be undertaken on a range of scales within a mosaic of land uses, for a range of purposes and with different actors. Actions on the national or regional scale are necessary to provide an enabling institutional framework.
6. The action plan provides options for actions that can be undertaken in the short term. However, restoration involves sustained activities over the medium and long term. Therefore, the actions identified in this plan should be undertaken in the context of the 2050 Vision of the Strategic Plan for Biodiversity and the 2030 Agenda for Sustainable Development.
7. The action plan can be applied to: (a) cases where ecosystems are already under ongoing restoration; (b) degraded ecosystems have already been identified and considered for restoration; (c) degraded ecosystems which have not yet been considered for restoration. The action plan can also contribute to the enhancement of ecosystem functions.

III. PRINCIPLES

8. Ecosystem restoration is a complement to conservation activities, and provides many benefits both inside and outside of protected areas, which brings multiple benefits. Priority should be given to conserving biodiversity and preventing the degradation of natural habitats and ecosystems by reducing pressures and maintaining ecological integrity

⁶⁶ [UNEP/CBD/SBSTTA/20/INF/35](#).

and provision of ecosystem services (see guidance for integrating biodiversity considerations into ecosystem restoration in appendix I). Ecosystem restoration is not a substitute for conservation, nor is it a conduit for allowing intentional destruction or unsustainable use.

9. Ecosystem restoration activities should be consistent with the provisions of the Convention. In particular, the 12 principles of the Ecosystem Approach of the Convention are highly relevant for guiding ecosystem restoration activities.⁶⁷ The United Nations Declaration on the Rights of Indigenous Peoples⁶⁸ and other guidance that may be relevant in particular situations includes, the Addis Ababa Principles and Guidelines for the Sustainable use of Biodiversity,⁶⁹ the Akwé: Kon guidelines,⁷⁰ the Tkarihwaí:ri Code of Ethical Conduct,⁷¹ and the Plan of Action on Customary Sustainable Use of Biological Diversity.⁷²

10. Ecosystem restoration activities should be planned at various scales and implemented using the best available science and traditional knowledge. The prior and informed consent and full and effective participation of indigenous peoples and local communities and women, as well as the engagement of other relevant stakeholders are important considerations at all stages of the processes. Communication, education and public awareness are also important to consider at all stages so that the benefits and costs of ecosystem restoration activities are widely understood.

IV. KEY ACTIVITIES OF THE ACTION PLAN

11. The plan comprises four main groups of activities that could be undertaken, as a menu of options, on a voluntary basis, by Parties and other Governments, in collaboration with relevant organizations, in accordance with national legislation, circumstances and priorities. The four main groups of activities are:

- (a) Assessment of opportunities for ecosystem restoration;
- (b) Improving the institutional enabling environment for ecosystem restoration;
- (c) Planning and implementation of ecosystem restoration activities;
- (d) Monitoring, evaluation, feedback and disseminating results.

12. An iterative process may be required with feedback among and within these four main groups of activities (see indicative timeline in appendix II).

A. Assessment of opportunities for ecosystem restoration

13. To ensure that restoration activities are implemented in areas requiring restoration and that are high priority taking into account ecological, economic, social and institutional realities, it is useful to implement broad-scale ecosystem assessments, including mapping, or to make use of existing assessments. These assessments can be undertaken at various levels according to national circumstances and adjusted in the light of more detailed assessments that result from the site-level activities in step C. The following actions may be considered, and, as appropriate, taken:

1. **Assess the extent, type, degree and location of degraded ecosystems** at regional, national, and local scales as well as the drivers of ecosystem degradation. Take into account current restoration activities and initiatives, and how these integrate biodiversity considerations.
2. **Identify and prioritize geographical areas** where restoration would contribute most significantly to achieving national level targets contributing to the Aichi Biodiversity Targets (such as priority areas for the conservation of biodiversity areas that provide essential ecosystem services, and areas that would enhance the integrity of protected areas and their integration into wider land- and seascapes).
3. **Involve local populations and relevant stakeholders.** Identify and obtain the, prior and informed consent and full and effective participation of indigenous peoples and local communities and involve relevant stakeholders in the process, including consideration for gender balance, in the identification of priority areas for restoration.

⁶⁷ <https://www.cbd.int/ecosystem/>

⁶⁸ [General Assembly resolution 61/295](#).

⁶⁹ [Decision VII/12](#), annex II.

⁷⁰ [Decision VII/16](#) F.

⁷¹ [Decision X/42](#), annex.

⁷² [Decision XII/12](#) B, annex

4. **Assess the potential costs and multiple benefits of ecosystem restoration at relevant scales.** Benefits may include those linked to biodiversity and ecosystem services, and socioeconomic benefits, such as water and food security, carbon capture and sequestration, jobs and livelihoods, health benefits, and disaster risk reduction (e.g. fire and erosion control, and coastal protection). Identify opportunities for maximizing co-benefits and for reducing or eliminating conflicts among co-benefits. Costs of inaction may also be significant. Capitalize on the potential for ecosystem restoration to provide ecosystem services using nature-based solutions and developing green infrastructure.
5. **Assess the relevant institutional, policy, and legal frameworks** and identify financial and technical resources, as well as gaps, for implementing ecosystem restoration. Analyse opportunities for innovative approaches to restoration, including financial ones.
6. **Identify options to reduce or eliminate the drivers of the loss of biodiversity and the degradation of ecosystems at various scales.** Utilize pre-degradation baselines where appropriate and consult with experts and stakeholders, including indigenous peoples and local communities to determine baselines and other requirements, such as: resources; behavioural changes; incentive mechanisms; addressing perverse incentives; adopting sustainable land, water, forest, fisheries and agriculture management practices; diversifying land tenure; and recognizing resource rights. Assess areas where the implementation of sustainable productive practices could contribute to ecosystem restoration and to prevent land degradation.

B. Improving the institutional enabling environment for ecosystem restoration

14. In order to facilitate the implementation of ecosystem restoration actions, the further development of the enabling institutional framework for ecosystem restoration should be considered. This includes providing legal, economic and social incentives, and appropriate planning mechanisms, and fostering cross-sectoral collaboration, to promote restoration and for reducing ecosystem degradation. This work may be informed by the assessments undertaken in step A, and, especially A5, and could be undertaken in parallel with the planning and implementation activities undertaken in step C. The following actions may be considered, and undertaken as appropriate:

1. **Review, improve or establish legal, policy and financial frameworks for the restoration of ecosystems.** This may include, as appropriate, laws, regulations, policies and other requirements for protecting and restoring habitats, as well as improving ecosystem functions. It may require a certain proportion of land, coast or sea to be maintained in its natural state.
2. **Review, improve or establish a legal and policy framework for land tenure,** and for recognizing the rights of indigenous peoples and local communities.
3. **Review, improve or establish terrestrial and marine spatial planning processes** and zoning activities in the framework of integrated management.
4. **Consider the need for safeguard measures** to reduce risks of displacing habitat loss and degradation as well as other risks to biodiversity and indigenous peoples and local communities (see Principles and appendix I).
5. **Review, improve or establish targets, policies and strategies for ecosystem restoration.** These activities would normally be reflected in national biodiversity strategies and action plans, and/or national plans for sustainable development, climate change mitigation and adaptation and land management. Setting targets can demonstrate political commitment and help to increase public awareness, support and engagement. Existing targets established under other relevant processes may also be taken into account.
6. **Develop accounting processes** that take into account the values of natural, semi-natural, ecosystems, and of the functions and services they deliver.
7. **Promote economic and financial incentives** and eliminate, phase out or reform incentives harmful to biodiversity in order to reduce the drivers of ecosystem loss and degradation, and to foster ecosystem restoration, including through sustainable productive activities.
8. **Develop plans for resource mobilization.** Create a framework for mobilizing resources to support ecosystem restoration, from national, bilateral and multilateral sources, such as the Global Environment Facility, leveraging national budgets, donors and partners, including the private sector, indigenous peoples and local communities and non-governmental organizations, to implement the action plans and to fill gaps identified through assessments in step A. Public funds and instruments can be used to leverage private funding through such methods as, inter alia, risk guarantees, payment for ecosystem services, green bonds, and other innovative financial approaches.

9. **Promote and support capacity-building and training and technology transfer** for the planning, implementation and monitoring of ecosystem restoration so as to improve the effectiveness of restoration programmes.

C. Planning and implementation of ecosystem restoration activities

15. Restoration activities should be planned on the basis of priorities identified in step A and implementation facilitated by actions in step B. Actions would benefit from consultation with stakeholders and experts from various disciplines to assist with all phases of project work (assessment, planning, implementation, monitoring and reporting). Capacity-building for stakeholders, including legal and legislative support for the rights of women and indigenous peoples and local communities, may be required. The following actions may be considered, and undertaken as appropriate:

1. **Identify the most appropriate measures for conducting ecosystem restoration**, based on the best available evidence and taking into account ecological appropriateness, scale of measures linked to the processes to be restored cost-effectiveness, and support to indigenous peoples' and community conserved territories and areas, and respect for their traditional customary knowledge and practices. Emphasis should be given to restoration approaches and activities that allow people to maintain and/or establish sustainable livelihoods.
2. **Consider how ecosystem restoration activities can support the ecological and economic sustainability** of agriculture and other production activities, as well as climate change mitigation and adaptation, and disaster risk reduction, and enhance ecosystem services, including for urban areas. Restoration may be mainstreamed into land- and seascape planning. The expected effects of restoration activities on the ecological function of adjacent lands and waters should be considered, for example through environmental impact assessments and strategic environmental assessments. Potential future environmental changes, such as those resulting from climate change, should be taken into account.
3. **Develop ecosystem restoration plans with clear and measurable objectives and goals** for expected environmental, economic and social outcomes. In addition to goals and objectives, plans could include the extent and lifetime of the project, the feasibility of mitigating degrading forces, budget and staff requirements, and a coherent plan for monitoring project implementation and efficacy. Project goals may include the desired future condition of the areas being restored, and the expected ecological and socioeconomic attributes of the reference ecosystem(s). In addition, project goals could explicitly specify ecological and socioeconomic targets (e.g., biomass of vegetation, jobs), and for each target an action (e.g., reduce, increase, maintain), quantity (e.g., 50 per cent), and timeframe (e.g., five years). Objectives could then be developed with an appropriate monitoring programme to detail the specific steps required to fulfil the goals.
4. **Develop explicit implementation tasks, schedules, and budgets.** Anticipated details of implementation, including site preparation, installation, or follow-up activities, may be considered. In addition, performance standards could be explicitly stated, along with a preliminary and adaptable list of questions to be addressed through monitoring and the proposed protocols that will be used to examine project success at specified intervals during restoration. Monitoring and evaluation may benefit from the establishment of standards for data collection, management and retention, analyses, and sharing of lessons learned.
5. **Implement the measures outlined in the ecosystem restoration plan** to conserve, manage sustainably, and, restore degraded ecosystems and landscape units in the most effective and coordinated manner possible, making use of existing science and technology and traditional knowledge.

D. Monitoring, evaluation, feedback, and disseminating results

16. Monitoring activities should begin during the earliest phases of project development to enable ecosystem conditions and socio-economic effects to be measured against a reference model. Effective monitoring may include extensive planning prior to initiation of restoration activities, including establishing baselines, using biological indicators, and setting clear and measurable restoration objectives based on these indicators. Remote sensing may also be a cost-effective monitoring technique in some ecosystems that can easily be repeated. Monitoring results and the lessons learned on the outcomes of activities in steps B and C may be documented, analysed and used to support adaptive management. The following actions may be considered, and undertaken as appropriate:

1. **Assess the efficacy and effects of implementing the ecosystem restoration plan**, including the success of ecosystem restoration activities and the environmental and socioeconomic costs and benefits. This may be done in close collaboration with relevant stakeholders including indigenous peoples and local communities and be based on the questions and analysis set out in the monitoring section of the restoration plans in step C4.

2. **Adjust plans, expectations, procedures, and monitoring through adaptive management** based on monitoring results and lessons learned and promote continuity beyond the project end.
3. **Share lessons learned** from planning, financing, implementing and monitoring ecosystem restoration plans in collaboration with stakeholders to demonstrate the practices and areas that provide multiple benefits of ecosystem restoration, identify unintended consequences, and improve outcomes of future restoration efforts.

V. SUPPORTING GUIDANCE, TOOLS, ORGANIZATIONS AND INITIATIVES RELATING TO ECOSYSTEM RESTORATION

17. Relevant guidance and tools developed under the Convention, and those developed by partner organizations and initiatives, as well as relevant organizations and initiatives are provided, for example, in information document UNEP/CBD/SBSTTA/20/INF/35 and the United Nations Environment Programme's Rapid Response Assessment *Dead Planet, Living Planet - Biodiversity and Ecosystem Restoration for Sustainable Development*⁷³ among others, and will be made available in the clearing-house mechanism.

VI. ACTORS

18. This action plan is addressed to all relevant stakeholders, including national, subnational and municipal governments, Parties to the Rio conventions and other multilateral environmental agreements, donor agencies, including the Global Environment Facility, the World Bank and regional development banks, private and corporate donors, pension funds and business consortia, as well as other relevant international bodies and organizations, land owners and land managers, indigenous peoples and local communities, and civil society and citizens.

Appendix I

GUIDANCE FOR INTEGRATING BIODIVERSITY CONSIDERATIONS INTO ECOSYSTEM RESTORATION

- Address the drivers of biodiversity loss, including land-use change, fragmentation, degradation and loss, over-exploitation, pollution, climate change, and invasive alien species. Ecosystem restoration generally costs more than avoiding degradation, and the loss of some species and ecosystem services might not be recoverable. Further, natural habitats act as refugia for species that can offer restoration opportunities to other areas.
- Avoid the afforestation of grasslands and ecosystems with naturally low tree cover.
- Determine how natural and traditional disturbance regimes (e.g., under fire or grazing) which may be important for ecosystem structure and functioning could be part of restoration activities. Make use of research on the functions of species in ecosystems and the links between ecosystem functions and services. Due consideration should be given to the restoration and recovery of species directly providing ecosystem services and functions, such as seed dispersal, pollination, and maintaining the food web (such as key predators) and nutrient flows.
- Priority may be given to the restoration of habitats important for the reproduction and recovery of species.
- Take into consideration the fact that natural regeneration may allow a degraded area to recover on its own after drivers of fragmentation, degradation and loss have been removed or reduced. If active restoration is required, such as removing invasive alien species, reintroducing native plants and animals, and revitalizing soils and hydrological processes, this will generally require greater resources over a greater period of time.
- If ecosystem restoration is being aided by planting and reintroduction, make use of native site-adapted species, giving attention to genetic variation within and among native species, their life histories and the consequences of their interactions with each other and with their environment.
- Site-based actions could be taken in the context of integrated land- and seascape management practices. For example: priority may be given to restoring ecosystem services within a mosaic of land uses; or promoting landscape connectivity and biodiversity conservation through ecosystem restoration in proximity to species refugia (e.g., protected areas, key biodiversity areas, important bird and biodiversity areas, and Alliance for Zero Extinction sites) creating buffer zones, or connectivity corridors between them.
- Prevent the introduction of those alien species which threaten ecosystems, habitats or species: if the use of alien species is being considered, for example to initially stabilize severely degraded soils, this should, in particular, be

⁷³ Nellemann, C., E. Corcoran (eds). 2010. *Dead Planet, Living Planet – Biodiversity and Ecosystem Restoration for Sustainable Development*. A Rapid Response Assessment. United Nations Environment Programme, GRID-Arendal. www.grida.no.

guided by sound science and the precautionary approach in line with the preamble of the Convention in order to avoid loss of habitat and species due to invasive alien species.

Appendix II

INDICATIVE TIMELINE FOR SHORT-TERM ACTIONS ON ECOSYSTEM RESTORATION

KEY ACTIVITIES	ONE TO THREE YEARS	THREE TO SIX YEARS
Step A. Assessment of opportunities for ecosystem restoration	Identify current restoration activities and initiatives and how they integrate biodiversity considerations. Identify significantly degraded ecosystems, and areas with the most restoration potential for achieving national biodiversity targets, in collaboration with stakeholders.	Ongoing assessments, including of the potential costs and the multiple benefits. Identify and secure resources for restoration. Identify options to reduce or eliminate drivers of biodiversity loss.
Step B. Improving the institutional enabling environment for ecosystem restoration	Assess targets, policies and strategies, incentive measures, spatial planning tools and processes, and consider the need for safeguard measures. Review legal, policy and financial frameworks to inform actions in step C.	Implement relevant tools, processes and measures. Evaluate adequacy of resources; seek and secure further resources as needed.
Step C. Planning and implementation of ecosystem restoration activities	Prioritize restoration opportunities based on step A and develop restoration plans with clear and measurable objectives. Prioritize most relevant tools, processes and measures for planning and implementation. Enhance existing restoration activities.	Implement restoration plans facilitated by actions in step B.
Step D. Monitoring, evaluation, feedback, and disseminating results	Share experiences from current activities and initiatives to support adaptive management and promote continuity.	Monitor results and report on lessons learned from activities in steps B and C to support adaptive management and improve outcomes of future restoration efforts.

The following is taken from recommendation XIX/8 of the Subsidiary Body on Scientific, Technical and Technological Advice

Forest biodiversity: role of international organizations in supporting the achievement of the Aichi Biodiversity Targets

The Conference of the Parties,

Noting the strong congruence among the forest-related Aichi Biodiversity Targets, the four global objectives on forests, REDD+⁷⁴ activities and guidance, and the forest-related Sustainable Development Goals, and *emphasizing* that their achievement is important for the implementation of the 2050 vision of the Strategic Plan for Biodiversity, as well as for the 2030 Agenda for Sustainable Development,⁷⁵ recognizing also alternative policy approaches, such as the joint mitigation and adaptation approach for the integral and sustainable management of forests, noted in decision XII/20, paragraph 4,

⁷⁴ REDD+ is used as a shorthand for “reducing emissions from deforestation and forest degradation, conservation of forest carbon stocks, sustainable management of forests and enhancement of forest carbon stocks in developing countries”, consistent with paragraph 70 of decision 1/CP.16 of the United Nations Framework Convention on Climate Change (UNFCCC). The acronym REDD+ is used for convenience only, without any attempt to pre-empt ongoing or future negotiations under UNFCCC.

⁷⁵ General Assembly resolution 70/1, annex.

Stressing the need to enhance coherence, cooperation and synergies among forest-related agreements, and their processes and initiatives, both at the policy and implementation levels,

(a) *Welcomes* the resolution of the Economic and Social Council of the United Nations on the international arrangement on forests beyond 2015,⁷⁶ which strengthens the international arrangement and extends it to 2030;

(b) *Also welcomes* the 2030 Agenda for Sustainable Development^{75Error! Bookmark not defined.} and, in particular, the forest-related targets under Sustainable Development Goals 6 and 15;

(c) *Notes* other initiatives to reduce forest loss and encourages Parties and all relevant stakeholders to take part, as appropriate, in their implementation, as a contribution to the achievement of the forest-related Aichi Biodiversity Targets;

(d) *Invites* the United Nations Forum on Forests, in developing the Strategic Plan 2017-2030 of the international arrangement on forests, to take into account the forest-related Aichi Biodiversity Targets, with a view to promoting a coordinated approach to the achievement of the forest-related multilateral commitments and goals;

(e) *Also invites* the members of the Collaborative Partnership on Forests, in preparing the 2017-2030 work plan of the Partnership, to consider ways and means of further enhancing their individual and collective contributions to the Aichi Biodiversity Targets and support a coordinated approach to the achievement of the forest-related multilateral commitments and goals, such as the following:

- (i) Sharing experiences and related information on the implementation of the forest-related Aichi Biodiversity Targets;
- (ii) Identifying actions by which they could provide useful support to countries, including for the implementation of the Strategic Plan 2011-2020 and the Aichi Biodiversity Targets, taking into account the different visions, approaches, models and tools to improve the integrated management of forests, including the development of technical capacity;
- (iii) Examining their respective roles in order to leverage the comparative advantages of each of the members and to further enhance their joint contributions;
- (iv) Improving monitoring of and reporting on progress, including the harmonization of indicators and reporting processes;
- (v) Improving knowledge management, including through open data platforms and interoperability to facilitate the sharing and synthesis of information;

(f) *Encourages* Parties, when developing and implementing their forest policy in the context of the Aichi Biodiversity Targets and the other forest-related multilateral commitments and goals to take into account, as appropriate (i) other land uses, including agriculture, (ii) climate change mitigation and adaptation, and (iii) disaster risk reduction, and to give due consideration to the conservation and sustainable use of natural forests and native vegetation and avoiding the potential negative impacts of afforestation of non-forest biomes;

(g) *Requests* the Executive Secretary to strengthen collaboration with the members of the Collaborative Partnership on Forests, including the Secretariat of the United Nations Forum on Forests, as well as other relevant organizations and initiatives, to fully respond to the requests of the Conference of the Parties in paragraph 21 of decision XII/6, to support the implementation of the present decision, and to report on progress to the Subsidiary Body on Scientific, Technical and Technological Advice or the Subsidiary Body on Implementation, as appropriate, at a meeting prior to the fourteenth meeting of the Conference of the Parties.

⁷⁶ Council resolution 2015/33 of 22 July 2015.

The following is taken from recommendation XIX/6 of the Subsidiary Body on Scientific, Technical and Technological Advice

Biodiversity and human health

Recalling decision XII/21,

Welcoming the memorandum of understanding signed between the Secretariat of the Convention on Biological Diversity and the World Health Organization,

Taking note of the publication by the World Health Organization and the Secretariat of the Convention of *Connecting Global Priorities: Biodiversity and Human Health, a State of Knowledge Review*,

Recognizing that biodiversity and human health are interlinked in various ways, including the following:

- (a) Biodiversity gives rise to benefits for human health, including directly as a source of foods, nutrition, traditional medicines and biomedical discovery, and indirectly as a source of clothes, heating and shelter, by underpinning ecosystem functioning and resilience and the provision of essential ecosystem services and by providing options for adapting to changing needs and circumstances;
- (b) Biodiversity may be related to adverse health effects, notably through infectious agents;
- (c) A number of drivers of change may affect both biodiversity and health;
- (d) Health sector interventions can have both positive and negative impacts on biodiversity and that biodiversity-related interventions can have both positive and negative impacts on human health,

Noting that better consideration of health-biodiversity linkages could contribute to improving many aspects of human health, including nutrition, reducing the global burden of infectious as well as non-communicable diseases, and improving mental health and well-being,

Noting also that recognition of the health benefits of biodiversity reinforces the rationale for the conservation and sustainable use of biodiversity and thus contributes to the implementation of the Strategic Plan for Biodiversity 2011-2020 and to the achievement of the Aichi Biodiversity Targets,

Acknowledging that health-biodiversity linkages are related to the 2030 Agenda for Sustainable Development and to the Sustainable Development Goals,⁷⁷

Recognizing that the health benefits of biodiversity are influenced by socioeconomic factors and may be specific to local ecosystems and cultures, that men and women often have different roles in the management of natural resources and family health, and that poor and vulnerable communities, women and children are often particularly directly dependent on biodiversity and ecosystems for food, medicines, clean water, and other health related services,

Highlighting the importance of traditional knowledge as well as conventional scientific knowledge in realizing the health benefits of biodiversity,

Re-emphasizing the value of the “One Health” approach to addressing the cross-cutting issue of biodiversity and human health, as an integrated approach consistent with the ecosystem approach (decision V/6),

1. *Takes note* of the key messages contained in the summary of *Connecting Global Priorities: Biodiversity and Human Health, a State of Knowledge Review*;⁷⁸

2. *Invites* Parties and other Governments, to consider using the *State of Knowledge Review* and its key messages, as appropriate, to promote the understanding of health-biodiversity linkages with a view to maximizing health benefits, addressing trade-offs, and where possible, addressing common drivers for health risks and biodiversity loss;

⁷⁷ General Assembly resolution 70/1, annex.

⁷⁸ UNEP/CBD/SBSTTA/6/Add.1.

3. *Invites* Parties and other Governments to make use of the information contained in the annex to the present decision, as appropriate and taking into account national circumstances, to achieve the objective stated in paragraph 2 above;

4. *Invites* Parties, other Governments and relevant organizations to carry out activities, as appropriate and taking into account national circumstances, inter alia:

(a) To facilitate dialogue between agencies responsible for biodiversity and those responsible for health and other relevant sectors, across all levels of government;

(b) To consider relevant health-biodiversity linkages in developing and updating relevant national policies, strategies, plans, and accounts including health strategies, such as national environmental health action plans, national biodiversity strategies and action plans, and sustainable development and poverty eradication strategies;

(c) To strengthen national monitoring capacities and data collection, including integrated surveillance capacities and early warning systems, that enable health systems to anticipate, prepare for and respond to public health threats resulting from ecosystem change;

(d) To consider health-biodiversity linkages in environmental impact assessments, risk assessments and strategic environmental assessments, as well as in health impact assessments, social and economic valuation and the evaluation of trade-offs;

(e) To address, monitor and evaluate any unintended and undesirable negative impacts of biodiversity interventions on health and of health interventions on biodiversity;

(f) To identify opportunities for and promote healthy lifestyles and sustainable production and consumption patterns and associated behavioural change, that would benefit biodiversity and human health through, inter alia, the promotion of public health campaigns;

(g) To develop interdisciplinary education, training, capacity-building and research programmes on health-biodiversity linkages, using integrative approaches, at various levels and different spatial and temporal scales, and communities of practice on biodiversity and health;

(h) To consider the need to strengthen the capacity of health, environment and other relevant ministries, agencies and organizations to address health-biodiversity linkages in order to support preventative approaches to health and promote the multiple dimensions of health and well-being;

(i) To integrate relevant biodiversity concerns into national public health policies, with particular emphasis on the needs of indigenous peoples and local communities;

5. *Encourages* Parties, other Governments and relevant organizations:

(a) To develop integrated metrics, indicators and tools to facilitate the analysis, evaluation, monitoring and integration of biodiversity into health strategies, plans and programmes and vice-versa;

(b) To develop and compile toolkits, including good practice guides, aimed at raising awareness and enhancing co-benefits of biodiversity and health, including in the context of the implementation of the 2030 Agenda for Sustainable Development and Sustainable Development Goals;⁷⁹

6. *Also encourages* Parties, other Governments, relevant organizations and funding agencies to promote and support further research on health-biodiversity linkages and related socioeconomic considerations, including, inter alia, on the following issues:

(a) The relationships between biodiversity, ecosystem degradation and infectious disease emergence, including the effects of ecological community structure and composition, habitat disturbance and human-wildlife contact, and the implications for land use and ecosystem management;

⁷⁹ General Assembly resolution 70/1, annex.

(b) The interlinkages between dietary diversity, health and diversity of crops, livestock and other components of biodiversity in agricultural ecosystems, as well as marine and inland water ecosystems;

(c) The linkages between the composition and diversity of the human microbiome, and biodiversity in the environment, and implications for the planning, design, development and management of human settlements;

(d) The significance for health of marine biodiversity, including for food security, and the consequences of multiple stressors on marine ecosystems (including pathogens, chemicals, climate change and habitat degradation);

(e) The contribution of biodiversity and the natural environment, including protected areas, in promoting mental health, particularly in urban areas;

(f) The significance of soil biodiversity for health;

(g) Linkages between migratory species and their corridors and human health;

(h) Linkages between invasive alien species and human health;

7. *Invites* Parties, other Governments, and relevant organizations to provide information on the implementation of the present decision to the Executive Secretary;

8. *Decides to consider* biodiversity and human health interlinkages when addressing the follow-up to the Strategic Plan for Biodiversity 2011–2020 and the Aichi Biodiversity Targets;

9. *Requests* the Executive Secretary, subject to the availability of resources:

(a) To collaborate with the World Health Organization and other relevant organizations, to promote and facilitate implementation of the present decision, including through wide dissemination of the *State of Knowledge Review* in the official languages of the United Nations, the development of toolkits and good practice guides (including on One Health) and support to capacity-building, as well as of the tasks set out in paragraph 9 of decision XII/21;

(b) To compile and analyse information received in the implementation of the present decision, including information provided further to paragraph 7 above;

(c) To submit a report to the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting prior to the fourteenth meeting of the Conference of the Parties.

Annex

Information on health-biodiversity linkages

(a) *Water supply and sanitation:* In water supply and sanitation policies and programmes, including the planning and design of water-related infrastructure, take into account the role of terrestrial and inland water ecosystems as “green infrastructure” in regulating the quantity, quality and supply of freshwater and flood regulation, protect these ecosystems, and address the drivers of their loss and degradation, including land-use change, pollution and invasive species;

(b) *Agricultural production:* Enhance the diversity of crops, livestock and other components of biodiversity in agricultural ecosystems to contribute to sustainable production increases and to the reduced use of pesticides and other chemical inputs, with benefits for human health and the environment, noting the relevance in this respect of the programme of work on agricultural biodiversity (decision V/5), and of the international initiative on pollinators (decision VIII/23 B);

(c) *Food and nutrition:* Promote the diversity and sustainable use of crops and livestock diversity and wild foods, including from marine and inland water sources, to contribute to human nutrition and dietary diversity, including by making available information on the nutritional value of diverse foods, with a view to improving human health, and promoting sustainable diets, including through appropriate information and public awareness activities, recognition of traditional, national and local food cultures, and the use of social and economic incentives throughout the supply chain, noting the relevance in this respect of the cross-cutting initiatives on biodiversity for food and nutrition (decision VIII/23 A);

(d) *Human settlements*: In urban planning, design, development and management, take into account the important role of biodiversity in providing physiological benefits, in particular the role of vegetation in improving air quality and counteracting the heat-island effect, and in fostering interchange between environmental microbes and the human microbiome;

(e) *Ecosystem management and infectious diseases*: Promote an integrated (“One Health”) approach to the management of ecosystems, associated human settlements and livestock, minimizing unnecessary disturbance to natural systems and so avoid or mitigate the potential emergence of new pathogens and manage the risk of transmission of pathogens between humans, livestock and wildlife in order to reduce the risk and incidence of infectious diseases, including zoonotic and vector-borne diseases;

(f) *Mental health and well-being*: Promote opportunities for interactions between people, especially children, and nature, to provide benefits for mental health, to support cultural well-being and encourage physical activity in green and biodiverse spaces, particularly in urban areas;

(g) *Traditional medicines*: Protect traditional medical knowledge, innovations and practices of indigenous peoples and local communities, promote the sustainable use, management and trade of plants and animals used in traditional medicine, and promote safe and culturally sensitive practices, and the integration and sharing of knowledge and experiences, based on prior and informed consent, and the fair and equitable sharing of benefits between traditional medical practitioners and the broader medical community;

(h) *Biomedical discovery*: Conserve biodiversity in terrestrial, inland water, coastal and marine areas; protect traditional knowledge, especially in areas of high importance for biodiversity and ecosystem services; and promote access to genetic resources and the fair and equitable sharing of benefits arising from their utilization consistent with Article 8(j) and with the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to the Convention on Biological Diversity;

(i) *Impacts of pharmaceutical products*: Avoid the overuse, and unnecessary routine use, of antibiotic and antimicrobial agents, both in human medicine and veterinary practice, to reduce harm to beneficial and symbiotic microbial diversity and to reduce the risk of antibiotic resistance; better manage the use and disposal of endocrine-disrupting chemicals to prevent harm to people, biodiversity and ecosystem services; and reduce the inappropriate use of non-steroidal anti-inflammatory drugs that threaten wildlife populations;

(j) *Species and habitat conservation*: In implementing policies to protect species and habitats, including protected areas, and other methods aimed at conservation and sustainable use, consider, in compliance with national legislation, improving access to, and customary sustainable use of, wild foods and other essential resources by indigenous peoples and local communities, especially poor and resource-dependent communities;

(k) *Ecosystem restoration*: Consider human health when carrying out ecosystem restoration activities and, where necessary, take measures to promote positive health outcomes and remove or mitigate negative health outcomes;

(l) *Climate change and disaster risk reduction*: In the analysis and implementation of ecosystem-based adaptation, mitigation and disaster risk reduction measures, prioritize measures that jointly contribute to human health and to the conservation of biodiversity and of vulnerable ecosystems, and that support the health, well-being, safety and security of vulnerable human populations, and build resilience.

Item 11. Resource mobilization and financial mechanism

The following is taken from paragraph 4 of recommendation 1/6 of the Subsidiary Body on Implementation. In the light of new information provided pursuant to paragraphs 1-3 of recommendation 1/6, the Executive Secretary has prepared additional elements, shaded in grey, as also contained in document UNEP/CBD/COP/13/11, paragraph 62.

Resource mobilization

The Conference of the Parties,

Taking note of the report of the International Technical Expert Workshop on Identifying, Accessing, Compiling and Aggregating Domestic and International Biodiversity-related Investments and Impacts,⁸⁰ held in Mexico City from 5 to 7 May 2015, as well as the report of the co-chairs of the Dialogue Workshop on Assessment of Collective Action of Indigenous Peoples and Local Communities in Biodiversity Conservation and Resource Mobilization,⁸¹ held in Panajachel, Guatemala, from 11 to 13 June 2015,

Expressing its appreciation to the Biodiversity Finance Initiative of the United Nations Development Programme as well as SwedBio for co-organizing the Mexico workshop and the Guatemala workshop, to the Governments of Mexico and Guatemala, respectively, for hosting the workshops, and to the European Union and the Governments of Germany, Japan, Sweden and Switzerland for their financial support,

Welcoming the financial contributions of the Government of Japan and the European Union, the in kind contributions of the Governments of Antigua and Barbuda, the Bahamas, Belarus, Cabo Verde, Cook Islands, Gabon, Georgia, India, Jordan, Namibia, Nicaragua, Paraguay, Peru, the Philippines, Sri Lanka and Uganda, and the cooperation of the ASEAN Center for Biodiversity and the Secretariat of the Caribbean Community, to the provision of capacity-building and technical support on financial reporting and resource mobilization,

Recalling the important role of revised national biodiversity strategies and action plans as a basis for identifying national funding needs and priorities, and for the effective mobilization of financial resources from all sources, including, as appropriate, for the implementation of the Protocols under the Convention, and for the synergistic implementation of other biodiversity-related conventions,

Recognizing the importance of biodiversity mainstreaming for resource mobilization and the effective use of financial resources,

Recognizing also that existing approaches for the monitoring and assessment of the contribution of collective action of indigenous peoples and local communities require further methodological work, including pilot projects and associated studies, to refine methodologies and to develop good practice cases, and *recalling*, in this connection, paragraph 30 of decision XII/3,

Also recognizing the potential contribution of implementing Aichi Biodiversity Target 3 for the mobilization of financial resources,

Financial reporting

1. *Takes note with appreciation* of the information provided by Parties through the financial reporting framework;
2. *Takes note* of the analysis of the information provided by Parties through the financial reporting framework, in particular the progress towards the targets adopted in decision XII/3;

⁸⁰ [UNEP/CBD/SBI/1/INF/20](#).

⁸¹ [UNEP/CBD/SBI/1/INF/6](#).

2 bis. *Takes note with appreciation* of the significant progress made by reporting Parties in achieving targets 1 (a) and 1 (b) of decision XII/3, as well as in reporting on their assessment and/or evaluations of the intrinsic, ecological, genetic, socioeconomic, scientific, educational, cultural, recreational and aesthetic values of biological diversity and its components, pursuant to target 1 (d);

2 ter. *Takes note* of the progress made by reporting Parties in reporting their domestic biodiversity expenditures, pursuant to target 1 (c) of decision XII/3;

2 quater. *Takes note with concern* of the limited progress made by reporting Parties in reporting their funding needs, gaps and priorities, pursuant to target 1 (c), and in reporting their national finance plans, pursuant to target 1 (d);

2 quinquies. *Emphasizes* that limited progress in achieving targets 1 (c) and 1 (d) will negatively affect assessing progress towards achieving target 1 (e);

3. *Urges* Parties that have not yet done so to provide the necessary baseline information and report progress against the targets for resource mobilization by 1 July 2017, using the financial reporting framework, and *also invites* Parties to update, as appropriate, their financial reporting frameworks as confirmed/final data for 2015 becomes available, with a view to improving the robustness of the data;

4. *Urges* Parties that have finalized the revision and update of their national biodiversity strategy and action plans to identify their funding needs, gaps, and priorities, on the basis, as appropriate, of the revised national biodiversity strategy and action plans and other complementary information, and to develop their national finance plans for the effective implementation of revised national biodiversity strategy and action plans, as a matter of priority, and to report thereon by 1 July 2017, where feasible;

5. *Requests* the Executive Secretary, in line with paragraphs 26 and 28 of decision XII/3, to make the financial reporting framework for the second round of reporting⁸² available online by 1 July 2017, and invites Parties to report, using the online financial reporting framework, on their further contribution to the collective efforts to reach the global targets for resource mobilization, against the established baseline, in conjunction with their sixth national reports, by 31 December 2018;

5 bis. *Requests* the Executive Secretary to prepare an updated analysis of financial reports received for consideration by the Subsidiary Body of Implementation at its second meeting;

Capacity-building and technical support

6. *Invites* relevant organizations and initiatives, including the Biodiversity Finance Initiative, to provide technical support and capacity-building for interested and eligible Parties, in particular developing and least developed country Parties, including small island developing States and countries with economies in transition, on the identification of funding needs, gaps and priorities, the development and implementation of national resource mobilization strategies, and of financial reporting;

6 bis. *Welcomes* the establishment of the CBD-BIOFIN regional nodes as a means to provide technical support to interested non-BIOFIN countries in assessing their funding needs, gaps and priorities; in developing their national finance plan; and invites developing country Parties and Parties with economies in transition to indicate their interest, as appropriate, to the UNDP BIOFIN initiative;

7. *Invites* Parties, other Governments and donors in a position to do so to provide financial support to such capacity building and technical support;

Strengthening biodiversity finance information systems

8. *Takes note* of the work of the Development Assistance Committee of the Organisation for Economic Co-operation and Development to refine the Rio marker methodology, and *encourages* the Committee to continue and intensify this work on monitoring Aichi Target 20 in cooperation with the Organization's Environmental Policy Committee, with a focus on the biodiversity marker and on private flows;

⁸² [Decision XII/3](#), annex II, section III.

9. *Takes note* of the work of multilateral development banks, led by the European Investment Bank, to develop a methodology for tracking and reporting multilateral biodiversity finance flows, and encourages them to finalize this work and apply the methodology expeditiously;

10. *Invites* Parties to consider, as appropriate, establishing or enhancing cooperation with regional or national statistical offices, or other national organizations recognized as statistical authorities, with a view to generating synergy, in financial reporting to the Convention, with existing national and international processes on compiling and reporting financial data, and avoid duplication of work;

11. *Also invites* Parties, with a view to improving transparency and enabling replicability and the development of methodological guidance, to make available, through the financial reporting framework, any additional methodological information and definitions used;

12. *Requests* the Executive Secretary:

(a) To explore, through the Inter-agency Task Force on Financing for Development, the feasibility of linking financial reporting under the Convention with the emerging monitoring process for the follow-up and review of the commitments of the Addis Ababa Action Agenda, with a view to reduce the overall reporting burden for Parties;

(b) To update, as appropriate, the guidance provided in the report of the Mexico workshop with any new methodological information received pursuant to paragraph 11 above and other relevant sources, with a view to providing Parties with up-to-date voluntary guidance in order to facilitating financial reporting, as foreseen in paragraph 32(c) of decision XII/3;

Collective action of indigenous peoples and local communities

13. *Welcomes* the guiding principles on assessing the contribution of collective action of indigenous peoples and local communities, contained in annex I to the present draft decision;

14. *Invites* Parties, other Governments, and relevant stakeholder organizations to consider establishing pilot projects on the contribution of collective action of indigenous peoples and local communities by making use of existing work processes such as the work on indicators relevant to traditional knowledge and customary sustainable use⁸³ or the implementation of the plan of action on customary sustainable use,⁸⁴ and *further invites* Parties to submit related information through the financial reporting framework to the Executive Secretary;

15. *Requests* the Executive Secretary to compile and analyse the information on collective action received by Parties through the financial reporting framework and other relevant sources, and, taking into account the guiding principles in annex I to the present decision as well as the report of the Guatemala workshop,⁸⁵ to develop elements of methodological guidance for identifying, monitoring, and assessing the contribution of indigenous peoples and local communities to the achievement of the Strategic Plan and the Aichi Biodiversity Targets, for consideration by the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions at its tenth meeting, and with a view to finalizing the methodological guidance at the second meeting of the Subsidiary Body on Implementation and adopting it at the Conference of the Parties at its fourteenth meeting;

Milestones for the full implementation of Aichi Biodiversity Target 3

16. *Urges* Parties and other Governments to implement measures for the full implementation of Aichi Biodiversity Target 3, taking into account, as a flexible framework, the milestones adopted by the Conference of the Parties at its twelfth meeting, consistent and in harmony with the Convention and other relevant international obligations, and taking into account national socioeconomic conditions;⁸⁶

⁸³ [Decision XII/12](#) A, paras. 6-9.

⁸⁴ [Decision XII/12](#) B, para. 1.

⁸⁵ [UNEP/CBD/SBI/1/INF/6](#).

⁸⁶ [Decision XII/3](#), para. 21 and annex I.

17. *Recalls* its invitation to Parties to report progress in achieving these milestones, as well as any additional milestones and timelines established at the national level, through their national reports or, as appropriate, through the online reporting framework on implementing the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets, and *invites* Parties to also include information on national analytical studies that identify candidates for elimination, phase-out or reform of incentives, including subsidies, that are harmful for biodiversity, and that identify opportunities to promote the design and implementation of positive incentive measures, such as appropriate recognition and support for indigenous peoples and local communities that conserve territories and areas, and other effective community conservation initiatives;

18. *Takes note* of the work of the Organisation for Economic Co-operation and Development on developing indicators to monitor Aichi Biodiversity Target 3, and *invites*, inter alia, the Organisation's Environmental Policy Committee to continue and intensify this work to support the implementation of this target by Parties;

19. *Requests* the Executive Secretary to compile and analyse relevant information, including the information submitted pursuant to paragraph 17 above as well as relevant studies from international organizations and initiatives, including an analysis of how the implementation of Aichi Biodiversity Target 3 also contributes to the implementation of Target 20, and to submit the compilation and analysis to the Subsidiary Body on Implementation for consideration at its second meeting;

Safeguards in biodiversity financing mechanisms

20. *Requests* the Executive Secretary to compile and analyse information, including good practices or lessons learned, on how, in accordance with paragraph 16 in decision XII/3, Parties, other Governments, international organizations, business organizations and other stakeholders take the voluntary guidelines on safeguards in biodiversity financing mechanisms into account when selecting, designing and implementing biodiversity financing mechanisms, and when developing instrument-specific safeguards for them;

21. *Also requests* the Executive Secretary to make the information requested in paragraph 20 above available to the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions at its tenth meeting, with a view to developing recommendations, for consideration by the Subsidiary Body on Implementation at its second meeting, on how the application of safeguards can ensure that the potential effects of biodiversity financing mechanisms on the social and economic rights and livelihoods of indigenous peoples and local communities are addressed effectively;

22. *Requests* the Subsidiary Body on Implementation, at its second meeting to consider the analysis compiled under paragraph 20 and the recommendation of the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions, and to develop recommendations for the implementation of the voluntary guidelines on safeguards, adopted in decision XII/3, to address effectively the potential impacts of biodiversity financing mechanisms on different elements of biodiversity, as well as their potential effects on the rights and livelihoods of indigenous peoples and local communities, for consideration by the Conference of the Parties at its fourteenth meeting.

Annex I

Guiding principles on assessing the contribution of collective action by indigenous peoples and local communities

1. *Importance of collective action.* The collective action of indigenous peoples and local communities can contribute to achieving the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets. In particular, traditional knowledge can provide an important contribution to decision-making and reporting processes. It is important for the ways and means of holding and transmitting traditional knowledge to be recognized and fully included when reporting on the contribution of collective action by indigenous peoples and local communities.

2. *Context specificity.* The monitoring and assessment of the contribution of collective action is highly context specific, requiring a broad range of methodological approaches which can be applied in a tailored manner in accordance with local circumstances. An indicative, non-exhaustive list of possible methodological approaches is provided in the appendix below.

3. *Multiplicity of values.* The multiple perspectives and world views on value, as articulated through social roles and social-biological relationships that are specific to each territory and knowledge system need to be recognized in assessing the contribution of collective action.
4. *Methodological pluralism and complementarity.* Different methodologies may generate different data which can be used as complementary sources of information. Bridging methodologies could bring together data on larger scales with bottom-up assessments that transmit significant aspects of the local cultural contexts and world views. Pilot projects could be established to test multiple methodologies.
5. *Process orientation.* Indigenous peoples and local communities need to be fully involved in the process of developing and applying methodologies for assessing their collective actions.
6. *Linkages to work on customary sustainable use.* Assessing the contribution of collective action can contribute to protect and promote the intergenerational transfer of traditional knowledge, innovations and practices, as this transfer is based on collective actions related to customary sustainable use and the conservation of biodiversity.

Appendix

Indicative, non-exhaustive list of methodologies for assessing the contribution of collective action

- The “Conceptual and Methodological Framework for Evaluating the Contribution of Collective Action to Biodiversity Conservation”, developed by the Government of Bolivia with the support of the Amazon Cooperation Treaty Organization (ACTO), proposes a three-module approach, linking geospatial modelling, institutional analysis and ecological assessment.⁸⁷
- The Multiple Evidence Base approach sets out a process of knowledge mobilization that can bring together scientific and traditional knowledge systems.
- Community-Based Monitoring and Information Systems (CBMIS) are a bundle of methods, developed by local communities based on their own monitoring needs, which is used for monitoring the indicators for traditional knowledge under the Convention.
- The Indigenous and Community Conserved Areas (ICCA) Consortium has many tools and methods for capturing the contribution of collective action, such as participatory mapping and GIS, video and photo stories, bio-cultural community protocols, and toolkits for environmental monitoring and assessment of threats to indigenous and community conserved areas.

Guidance to the financial mechanism

The Subsidiary Body on Implementation, in its recommendation 1/7, paragraph 5 noted that the Conference of the Parties at its thirteenth meeting may adopt a decision that addresses the following elements:

- (a) *Consolidated draft guidance to the financial mechanism, including the four-year framework for programme priorities and advice received from the biodiversity-related conventions in line with decision XII/30, section A;*
- (b) *The report on assessment of needs for the seventh replenishment of the Global Environment Facility Trust Fund and an invitation to the Global Environment Facility to give due consideration, in the process of the seventh replenishment period, to all aspects of the expert team’s needs assessment report on the levels of funding for biodiversity, and report back on its responses;*
- (c) *The report of the Council of the Global Environment Facility;*
- (d) *Draft terms of reference for the fifth review of the effectiveness of the financial mechanism.*

Accordingly, the Executive Secretary has prepared the following draft decision on the basis document UNEP/CBD/COP/13/12 and its addenda

⁸⁷ See [UNEP/CBD/COP/12/INF/7](#).

The Conference of the Parties,

Recalling the decisions and elements of decisions related to guidance to the financial mechanism that were adopted by the Conference of the Parties at its tenth to twelfth meetings,

Having considered recommendation 1/7 of the Subsidiary Body on Implementation,⁸⁸

Recognizing the opportunities for synergistic implementation of the Convention and updated national biodiversity strategies and action plans and of related multilateral environmental agreements, as well as of the 2030 Agenda for Sustainable Development⁸⁹ and the Sustainable Development Goals, in particular Sustainable Development Goals 14 and 15,

Having also considered the report of the Council of the Global Environment Facility,⁹⁰

1. *Adopts* the consolidated guidance to the financial mechanism, including the four-year framework of programme priorities (2018-2022) for the seventh replenishment of the Global Environment Facility Trust Fund, as contained in annex I to the present decision, and *decides* to retire the previous decisions and elements of decisions, as related to the financial mechanism and limited only to those provisions related to the financial mechanism;

2. *Invites* the Global Environment Facility, donor Parties and Governments, relevant global and regional partner organizations, and the Executive Secretary to promote a successful implementation of the four-year framework of programme priorities (2018-2022) for the seventh replenishment of the Global Environment Facility Trust Fund;

3. *Encourages* the Global Environment Facility to continue and further strengthen integrated programming as a means to harness opportunities for synergy in implementing related multilateral environmental agreements as well as the 2030 Agenda for Sustainable Development⁸⁹ and the Sustainable Development Goals, in particular Sustainable Development Goals 14 and 15;

4. *Requests* the Global Environment Facility to include information regarding the individual elements of the consolidated guidance, including the four-year outcome-oriented framework of programme priorities, in its future reports to the Conference of the Parties;

5. *Emphasizes* the primary role of recipient Parties in ensuring the achievement of the outcomes set out in the four-year framework of programme priorities (2018-2022) for the seventh replenishment of the Global Environment Facility Trust Fund;

6. *Requests* the Executive Secretary to transmit to the Council of the Global Environment Facility the elements of advice received from the Convention on the Conservation of Migratory Species of Wild Animals and the International Treaty on Plant Genetic Resources for Food and Agriculture, as contained in annex II to the present decision, and *invites* the Global Environment Facility to consider these elements of advice together with the consolidated guidance to the financial mechanism, including the four-year framework of programme priorities (2018-2022) for the seventh replenishment of the Global Environment Facility Trust Fund;

7. *Adopts* the terms of reference for the fifth review of the effectiveness of the financial mechanism, including for the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization as contained in annex III to the present decision, and *requests* the Executive Secretary to make sure that the report on the fifth review of the effectiveness of the financial mechanism is available for consideration by the second meeting of the Subsidiary Body on Implementation;

8. *Takes note* of the report on a full assessment of the amount of funds needed for the implementation of the Convention and its Protocols for the seventh replenishment period of the Global

⁸⁸ See UNEP/CBD/COP/13/6, sect. I.

⁸⁹ General Assembly resolution 70/1, annex.

⁹⁰ UNEP/CBD/COP/13/12/Add.1.

Environment Facility⁹¹ and *expresses* its appreciation to the members of the expert group for the preparation of the assessment;

9. *Requests* the Executive Secretary to transmit to the Global Environment Facility the report on the assessment of needs for the seventh replenishment of the Global Environment Facility Trust Fund, for consideration by the Global Environment Facility, and *invites* the Global Environment Facility to indicate, in its regular report to the Conference of Parties, how it has responded during the replenishment cycle to this assessment;

10. *Urges* the Global Environment Facility, in the process of the seventh period of replenishment of the Global Environment Facility Trust Fund, to give due consideration to all aspects of the expert team's needs assessment report, including the levels of funding for biodiversity;

11. *Invites* the secretariats of the Global Environment Facility and the Convention on Biological Diversity as well as partner organizations to promote the realization of project funding needs as expressed in the national responses to the expert team's questionnaire on funding needs for the seventh replenishment period;

12. *Takes note* of the ongoing collaboration between the secretariats of the Global Environment Facility and of the Convention on Biological Diversity, and *encourages* the Executive Secretary and the Secretariat of the Global Environment Facility to further enhance effective inter-secretariat cooperation in accordance with the memorandum of understanding.

Annex I

CONSOLIDATED GUIDANCE TO THE FINANCIAL MECHANISM

A. Four-year framework of programme priorities for the seventh replenishment period (2018-2022) of the Global Environment Facility Trust Fund

1. This four-year outcome-oriented framework of programme priorities provides guidance to the Global Environment Facility (GEF) for the seventh replenishment period 2018-2022. It utilizes the Strategic Plan for Biodiversity 2011-2020 and the Convention's Protocols to set priorities for the financial mechanism, building on the GEF-6 biodiversity focal area strategy and the GEF-6 programming directions, and, hence, is expected to be a key catalyst in translating national biodiversity strategies and action plans into programmes and projects that can be co-financed through the financial mechanism.

2. The seventh replenishment cycle of the GEF Trust Fund will come at a critical juncture for the Convention, as it will cover the last two years of the Strategic Plan for Biodiversity 2011-2020, and the first two years of a successor framework. It is for this reason that the four-year framework highlights areas where additional funding from the GEF will provide the necessary support to achieve those Aichi Biodiversity Targets which are relatively within reach, such as Aichi Biodiversity Target 11, and critical areas with observed implementation gaps, such as target 12, as well as prioritized support for key enabling activities, in particular those which will become important for the post-2020 period, under a successor framework to the current Strategic Plan. The framework also seeks to facilitate the seventh replenishment of the GEF Trust Fund commensurate with the funding needs identified in the needs assessment in response to paragraph 11 of decision XII/30.

3. The framework cannot be implemented without financial resources available from the financial mechanism, but its effective implementation will also depend upon the engagement of all relevant stakeholders, including businesses and subnational governments, as well as indigenous peoples and local communities. Particular attention needs to be paid to the gender dimension as well as to respecting, preserving and maintaining traditional knowledge, innovations, and practices of indigenous peoples and local communities, as well as their customary use of biological resources, with the full and effective participation of indigenous and local communities, at all relevant levels. Effective communication to raise public awareness and promote engagement, whenever critical for achieving the conservation and sustainable use of globally important biodiversity, must be an integral part of project design and it can also contribute to achieving more sustainable consumption patterns, as per Aichi Biodiversity Target 4. Capacity-building and technical support may be provided to recipient countries under the Convention and its Protocols as well as by global and regional partner institutions. Activities undertaken under the framework should be informed by improved biodiversity monitoring programmes.

⁹¹ UNEP/CBD/COP/13/12/Add.2.

4. In line with the findings of the mid-term review of implementation of the Convention and its Protocols, implementation of the four-year framework should include an enhanced focus on policy frameworks and the promotion of policy coherence to achieve the expected outcomes.

5. The framework recognizes the opportunities for synergy, inherent in the unique institutional design of the Global Environment Facility, with related multilateral environmental agreements, as well as synergies with the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals, in particular Sustainable Development Goals 14 and 15. GEF support towards implementation of the Convention and its Protocols as well as of updated national biodiversity strategy and action plans can harness such synergies and, in this vein, the framework encourages integrated approaches to project design as well as global and regional projects, noting that regional approaches are indispensable for addressing certain elements of the biodiversity agenda, such as migratory species. It encourages collaboration at national level among national focal points of the Convention and its Protocols, of related environmental agreements, and of GEF, including through GEF-supported projects.

Priority Cluster I: Mainstream biodiversity across sectors as well as landscapes and seascapes

Priority A: Improve policies and decision-making, informed by biodiversity and ecosystem values

Expected Outcome 1: Financial, fiscal, and development policies and planning⁹² are informed by biodiversity and ecosystem values.

Expected Outcome 2: Identified significant incentives, including subsidies, harmful for biodiversity of global significance are eliminated, phased out, or reformed, consistent and in harmony with the Convention and other international obligations and taking into account national socioeconomic conditions.

Expected Outcome 3: Economic sectors affecting globally significant biodiversity adopt greener supply chains and/or cleaner production processes, thus minimizing their impacts on biodiversity.

Priority B: Manage biodiversity in landscapes and seascapes

Expected Outcome 4: Loss, fragmentation, and degradation of globally significant natural habitats, and associated extinction debt, is reduced, halted or reversed, including through monitoring, spatial planning, incentives, restoration, and strategic establishment of protected areas and other measures.

Priority C: Harness biodiversity for sustainable agriculture

Expected Outcome 5: Biodiversity supporting key agricultural ecosystems, such as through pollination, biological pest control, or genetic diversity, is conserved and managed, contributing to sustainable agricultural production.

Priority cluster II: Address direct drivers to protect habitats and species

Priority D: Prevent and control invasive alien species

Expected Outcome 6: Management frameworks for invasive alien species are improved, focussing in particular on the preventive management of high-risk pathways.

Priority E: Reduce pressures on coral reefs

Expected Outcome 7: Anthropogenic pressures on coral reefs and associated ecosystems, including pollution, overfishing and destructive fishing, and unregulated coastal development, are reduced, thus contributing to ecosystem integrity and resilience.

Priority F: Enhance the effectiveness of protected area systems

Expected Outcome 8: The area of protected areas under effective management is significantly increased.

Expected Outcome 9: The ecological representativeness of protected areas and their coverage of areas of particular importance for biodiversity is increased, especially for threatened species.

Priority G: Combat illegal and unsustainable use of threatened species

Expected Outcome 10: Illegal, unregulated and unsustainable taking, and/or trafficking of threatened species of flora and fauna, including marine species, is reduced and both demand and supply of related products is addressed.

⁹² Both at national and subnational levels.

Priority Cluster III: Further develop biodiversity policy and institutional framework

Priority H: Implement the Cartagena Protocol on Biosafety⁹³

Expected Outcome 11: The number of ratifications of the Cartagena Protocol on Biosafety and the Nagoya–Kuala Lumpur Supplementary Protocol on Liability and Redress is increased.

Expected Outcome 12: National implementation of the Cartagena Protocol on Biosafety and the Nagoya–Kuala Lumpur Supplementary Protocol on Liability and Redress is enhanced.

Priority I: Implement the Nagoya Protocol on Access to Genetic Resources and Benefit-sharing⁹⁴

Expected Outcome 13: The number of ratifications of the Nagoya Protocol is increased.

Expected Outcome 14: Number of countries that have adopted legislative, administrative or policy measures on access and benefit-sharing to implement the Protocol is increased.

Priority J: Improve biodiversity policy, planning, and review

Expected Outcome 15: Parties deliver their reporting obligations under the Convention and the Protocols, through submission of relevant national reports and of relevant information through the clearing-houses.

Expected Outcome 16: National policy and institutional frameworks are reviewed, their effectiveness assessed, and gaps identified.

Expected Outcome 17: The review and, as appropriate, revision and update, of national biodiversity strategies and action plans in the light of a successor framework to the Strategic Plan for Biodiversity 2011-2020, is implemented, incorporating an enhanced focus on achieving policy coherence.

B. Consolidated previous guidance to the financial mechanism

A. Policy and Strategy

6. Financial resources should be allocated to projects that fulfil the eligibility criteria and are endorsed and promoted by the Parties concerned. Projects should contribute to the extent possible to build cooperation at the subregional, regional and international levels in the implementation of the Convention. Projects should promote the utilization of local and regional expertise. The conservation of biological diversity and sustainable use of its components is one of the key elements in achieving sustainable development and therefore contributing to combating poverty.⁹⁵

B. Programme priorities

7. The Global Environment Facility should provide financial resources to developing country Parties, taking into account the special needs of the least developed countries and the small island developing States, as well as Parties with economies in transition, for country-driven activities and programmes, consistent with national priorities and objectives and in accordance with the following programme priorities, recognizing that economic and social development and poverty eradication are the first and overriding priorities of developing countries, and taking fully into consideration all relevant decisions from the Conference of the Parties.⁹⁶

Article 1. Objectives

8. Projects that utilize the ecosystem approach, without prejudice to differing national needs and priorities which may require the application of approaches such as single species conservation programmes.⁹⁷

9. Ecosystem resilience and climate change⁹⁸

(a) Capacity-building with the aim of increasing the effectiveness in addressing environmental issues through their commitments under the Convention on Biological Diversity, the United Nations Framework Convention on Climate Change, and the United Nations Convention to Combat Desertification, inter alia, by applying the ecosystem approach;

⁹³ In abeyance, pending decision of the Conference of the Parties serving as the meeting of the Parties at its ninth meeting.

⁹⁴ In abeyance, pending decision of COP-MOP 2.

⁹⁵ Decision X/24, annex, A.

⁹⁶ Decision X/24, annex, paragraph 4; and decision X/25, paragraph 1.

⁹⁷ Decision X/24, annex, paragraph 4.15.

⁹⁸ Decision X/24, annex, paragraph 4.23; decision X/25, paragraphs 21 and 22; and also decision X/33, paragraphs 6 and 16; decision XI/21, paragraph 4.

(b) Developing synergy-oriented programmes to conserve and sustainably manage all ecosystems, such as forests, wetlands and marine environments, that also contribute to poverty eradication;

(c) Country-driven activities, including pilot projects, aimed at projects related to ecosystem conservation, restoration of degraded lands and marine environments and overall ecosystem integrity that take into account impacts of climate change.

10. Marine and coastal ecosystems:⁹⁹

(a) Projects that promote the conservation and sustainable use of marine and coastal biodiversity under threat, and implement the elaborated programme of work on marine and coastal biodiversity and the programme of work on island biodiversity;

(b) Country-driven activities aimed at enhancing capabilities to address the impacts of mortality related to coral bleaching and physical degradation and destruction of coral reefs, including developing rapid response capabilities to implement measures to address coral-reef degradation, mortality and subsequent recovery;

(c) Implementation of training and capacity-building and other activities related to ecologically or biologically significant marine areas (EBSAs);

(d) Support for capacity-building in order to further accelerate existing efforts towards achieving the Aichi Biodiversity Targets in marine and coastal areas.

11. Projects focusing on the identified national priorities, as well as regional and international actions that assist the implementation of the expanded work programme on forest biological diversity considering conservation of biological diversity, sustainable use of its components and fair and equitable sharing of the benefits from genetic resources in a balanced way, underscoring the importance of ensuring long-term conservation, sustainable use, and benefit-sharing of native forests, and the use of the clearing-house mechanism to include activities that contribute to halting and addressing deforestation, basic assessments and monitoring of forest biological diversity, including taxonomic studies and inventories, focusing on forest species, other important components of forest biological diversity and ecosystems under threat;¹⁰⁰

12. Projects that assist with the implementation of the programme of work on biological diversity of inland water ecosystems, and which help Parties to develop and implement national, sectoral and cross-sectoral plans for the conservation and sustainable use of biological diversity of inland water ecosystems, including comprehensive assessments of the biological diversity of inland waters, and capacity-building programmes for monitoring the implementation of the programme of work and the trends in inland water biological diversity and for information gathering and dissemination among riparian communities;¹⁰¹

13. Projects that promote the conservation and sustainable use of biological diversity in arid and semi-arid areas, including the implementation of the Convention's programme of work on biodiversity of dry and sub-humid lands;¹⁰²

14. Projects which promote the conservation and sustainable use of biological diversity in mountainous areas.¹⁰³

15. Projects which implement the Convention's programme of work on agricultural biodiversity, and that assist with the implementation of the Plan of Action for the International Initiative for the Conservation and Sustainable Use of Pollinators;¹⁰⁴

Article 5. Cooperation

16. Consideration of establishing a South-South biodiversity cooperation trust fund for the implementation of the Strategic Plan for Biodiversity 2011-2020 based on voluntary contributions;¹⁰⁵

⁹⁹ Decision X/24, annex, paragraphs 4.19, 4.20 ; decision X/25, paragraphs 18, 19; decision XI/5, paragraph 17; decision XII/30, paragraph 22; and also decision X/29, paragraphs 20, 38, 74; decision XI/17, paragraph 22; decision XI/18, paragraph 25; decision XII/23, paragraph 17.

¹⁰⁰ Decision X/24, annex, paragraph 4.16.

¹⁰¹ Decision X/24, annex, paragraph 4.18.

¹⁰² Decision X/24, annex, paragraph 4.21.

¹⁰³ Decision X/24, annex, paragraph 4.22.

¹⁰⁴ Decision X/24, annex, paragraph 4.17.

¹⁰⁵ Decision X/25, paragraph 16; decision XI/5, paragraph 26; and also decision X/23, paragraph 7; decision XI/8.D, paragraph 2.

Article 6. General measures for conservation and sustainable use

17. Review, revision, updating, and implementation of national biodiversity strategies and action plans;¹⁰⁶
18. Further development of approaches on the integration of biodiversity into poverty eradication and development processes.¹⁰⁷

Article 7. Identification and monitoring

19. Development and implementation of national biodiversity targets, indicator framework, and monitoring programmes;¹⁰⁸

Article 8. In-situ conservation

20. Community conserved areas, national and regional systems of protected areas, further development of the portfolio on protected areas towards comprehensive, representative and effectively managed protected area systems addressing system wide needs, country driven early action activities of the programme of work on protected areas to enable its full implementation, projects that demonstrate the role-protected areas play in addressing climate change, and address the long-term financial sustainability of protected areas, including through different mechanisms and instruments;¹⁰⁹
21. Diversity of species and genetic resources:¹¹⁰
 - (a) Projects that promote the conservation and/or sustainable use of endemic species;
 - (b) Implementation of the Global Strategy for Plant Conservation 2011-2020;
 - (c) National and regional taxonomic capacity-building activities for the Global Taxonomy Initiative, and project components that address taxonomic needs in the achievement of the Convention's objectives;
 - (d) Projects that assist with the development and implementation, at national and regional levels, of the invasive alien species strategies and action plans, in particular those strategies and actions related to geographically and evolutionarily isolated ecosystems, capacity-building to prevent or minimize the risks of the dispersal and establishment of invasive alien species, improved prevention, rapid response and management measures to address threats of alien invasive species.

Article 8(j) and related provisions¹¹¹

- (a) Including indigenous peoples and local communities' perspectives in the financing of biodiversity and ecosystem services;
- (b) Building the capacity to develop and implement strategies and systems for the protection and retention of traditional knowledge, and understand the elements of the Tkarihwaí:ri Code of Ethical Conduct to Ensure Respect for the Cultural and Intellectual Heritage of Indigenous and Local Communities;
- (c) Implementation of programmes and projects that strengthen the involvement of indigenous peoples and local communities, advance community conservation, promote customary sustainable use of biological diversity;

Article 9. Ex-situ Conservation

Article 10. Sustainable use of components of biological diversity

22. Implementation of the Addis Ababa Principles and Guidelines at the national level to ensure that the use of biological diversity is sustainable.¹¹²

¹⁰⁶ Decision X/24, annex, paragraph 4.1; decision X/25, paragraphs 2, 3 and 4; decision XI/5, paragraph 27; and also decision X/2, paragraphs 9 and 11; decision X/5, paragraph 4; decision XI/2, paragraph 7; decision XII/2, A, paragraph 2, and B, preamble.

¹⁰⁷ Decision X/25, paragraph 5; and also decision X/6, paragraph 10.

¹⁰⁸ Decision X/24, annex, paragraphs 4.2 and 4.3; decision X/25, paragraphs 7 and 8; and also decision X/7, paragraph 7; decision X/39, paragraphs 8 and 13; decision XI/3, C, preamble.

¹⁰⁹ Decision X/24, annex, paragraph 4.4; decision X/25, paragraphs 10 and 11; decision XI/5, paragraph 18; and also decision X/31, paragraphs 9, 10 and 13; decision XI/24, paragraphs 1 and 3.

¹¹⁰ Decision X/24, annex, paragraph 4.5; decision X/25, paragraph 9; decision XI/5, paragraphs 16 and 19; and also decision X/17, paragraph 5; decision XII/15, preamble.

¹¹¹ Decision X/24, annex, paragraph 4.6; decision X/25, paragraph 12; decision XI/5, paragraph 24; decision XII/30, paragraph 21; and also decision X/42, paragraph 6; decision XI/3, B, paragraph 7; decision XI/14, paragraphs 8 and 9.

23. Sustainable tourism that contributes to the objectives of the Convention;¹¹³

Article 11. Incentive measures

24. Development and implementation of innovative measures, including in the field of economic incentives and those which assist developing countries to address situations where opportunity costs are incurred by local communities and to identify ways and means by which these can be compensated;¹¹⁴

Article 12. Research and training

25. Project components addressing targeted research which contributes to conservation of biological diversity and the sustainable use of its components including research for reversing current trends of biodiversity loss and species extinction;¹¹⁵

Article 13. Public education and awareness

26. Development and implementation of communication, education and public awareness priority activities at national and regional levels;¹¹⁶

Article 14. Impact assessment and minimizing adverse impacts

Article 15. Access to genetic resources

27. Nagoya Protocol on Access to Genetic Resources and Benefit Sharing¹¹⁷

(a) Projects that support the ratification and implementation of the Nagoya Protocol on access to genetic resources and benefit sharing;

(b) Building the capacity to develop, implement and enforce domestic legislative, administrative or policy measures on access and benefit-sharing, thereby contributing to the conservation of biological diversity and sustainable use of its components, including through:

- (i) Identification of relevant actors and existing legal and institutional expertise for the implementation of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable of Benefits Arising from their Utilization;
- (ii) Taking stock of domestic measures relevant to access and benefit-sharing in light of the obligations of the Nagoya Protocol;
- (iii) Development and/or amendment of access and benefit-sharing legislative, administrative or policy measures with a view to implementing their obligations under the Nagoya Protocol;
- (iv) Establishment of ways to address transboundary issues;
- (v) Establishment of institutional arrangements and administrative systems to provide access to genetic resources, ensure benefit-sharing, support compliance with prior informed consent and mutually agreed terms and monitor the utilization of genetic resources and traditional knowledge associated with genetic resources, including support for the establishment of check points;

(c) Building the capacity to negotiate mutually agreed terms to promote equity and fairness in negotiations in the development and implementation of access and benefit-sharing agreements, including through enhanced understanding of business models and intellectual property rights;

¹¹² Decision X/24, annex, paragraph 4.7.

¹¹³ Decision XII/30, paragraph 23 and also decision X/22, paragraph 13(e).

¹¹⁴ Decision X/24, annex, paragraph 4.8.

¹¹⁵ Decision X/24, annex, paragraph 4.9.

¹¹⁶ Decision X/24, annex, paragraph 4.10.

¹¹⁷ Decision X/24, annex, paragraph 4.11; decision X/25, paragraph 13; decision XI/5, paragraphs 21, 22 and 23, and appendix I; decision XII/30, paragraphs 13, 16, 17, 18, 19, 20, and appendix II; and also decision X/1, paragraph 14; decision XI/1, D, paragraph 2 and E, paragraph 2; NP-1/6; NP-1/8, annex I, paragraph 29(a); and NP-1/9, annex, paragraph 34.

(d) Building the capacity of Parties to develop their endogenous research capabilities to add value to their own genetic resources and traditional knowledge associated with genetic resources through, inter alia, technology transfer; bioprospecting and associated research and taxonomic studies; and the development and use of valuation methods;

(e) Addressing the capacity needs and priorities of indigenous and local communities and relevant stakeholders; in particular projects that would:

(i) Encourage their participation in legal, policy and decision-making processes;

(ii) Assist in building their capacity related to genetic resources and traditional knowledge associated with genetic resources, such as through the development of community protocols, model contractual clauses and minimum requirements for mutually agreed terms to secure the fair and equitable sharing of benefits;

(f) Enabling Parties to actively participate in the Access and Benefit-sharing Clearing-House and use the best available communication tools and Internet-based systems for access and benefit-sharing;

(g) Raising-awareness of the importance of genetic resources and traditional knowledge associated with genetic resources, and related access and benefit sharing issues, notably through the development and implementation of national and regional awareness-raising strategies;

(h) Making financial resources available to assist Parties in preparing their national report;

(i) Supporting the implementation of the strategic framework for capacity-building and development in support of the implementation of the Protocol.

Article 16. Access to and transfer of technology

28. Preparation of national assessments of technology needs for implementation of the Convention, and promotion of access to, transfer of and cooperation for joint development of technology;¹¹⁸

Article 17. Exchange of information

Article 18. Technical and scientific cooperation

29. Strengthening biodiversity information systems such as, inter alia, training, technology and processes related to the collection, organization, maintenance and updating of data and information, and building capacity for the clearing-house mechanism, such as training in information and communication technologies and web content management that enable developing countries and countries with economies in transition to fully benefit from modern communication, including the Internet;¹¹⁹

Article 19. Handling of biotechnology and distribution of its benefits

30. Cartagena Protocol on Biosafety:¹²⁰

(a) Ratification and implementation of the Cartagena Protocol on Biosafety and the Nagoya–Kuala Lumpur Supplementary Protocol on Liability and Redress;

(b) Development and implementation of national biosafety frameworks, in particular biosafety legislation;

(c) Identification of living modified organisms or specific traits that may have adverse effects on the conservation and sustainable use of biological diversity, taking also into account risks to human health;

(d) Building, consolidating and enhancing sustainable human resource capacity in risk assessment and risk management;

(e) Capacity-building on socioeconomic considerations;

(f) Capacity-building to take appropriate measures in cases of unintentional release of living modified organisms;

¹¹⁸ Decision X/24, annex, paragraph 4.12; decision X/25, paragraph 14; and also decision X/16, paragraph 3(c).

¹¹⁹ Decision X/24, annex, paragraph 4.13; decision X/25, paragraph 15; and also decision X/15, paragraph 4.

¹²⁰ Decision X/24, annex, paragraph 4.14; decision X/25, paragraph 20; decision XI/5, paragraph 28 and appendix II; decision XII/30, paragraphs 13 and 15, and appendix I; and BS-V/5; BS-V/2, preamble; BS-V/14, paragraph 6; BS-V/16, annex I, paragraph 15; BS-VI/5; BS-VI/2, preamble; BS-VI/3, annex I, paragraphs 31 and 34; BS-VI/14 preamble; BS-VII/5; BS-VII/2, preamble, paragraphs 5 and 6.

(g) Enhancing capacity for public awareness, education and participation regarding the safe transfer, handling and use of living modified organisms, including for indigenous and local communities;

(h) Public participation and information sharing, and use of the Biosafety Clearing-House;

(i) National reports under the Cartagena Protocol on Biosafety;

Article 20. Financial resources

31. Development and implementation of country-specific resource mobilization strategies;¹²¹

Article 21. Financial mechanism

32. The Global Environment Facility should take the following action to further improve the effectiveness of the financial mechanism:¹²²

(a) Including gender in the financing of biodiversity and ecosystem services;¹²³

(b) Project procedures.¹²⁴

- Further streamline its project cycle with a view to making project preparation simpler, more transparent and more country-driven;
- Further simplify and expedite procedures for approval and implementation, including disbursement, for GEF-funded projects, based on a flexible and national demand driven approach, and avoid additional and lengthy processes;
- Develop policies and procedures that fully comply with the guidance from the Conference of the Parties in a straightforward and timely manner;
- Increase its flexibility to respond to the thematic longer-term programme of work of the Convention on Biological Diversity, in accordance with the guidance of the Conference of the Parties;
- Improve project information system, including through data sets and web-based data tools, to increase the accessibility of project information and allow for better tracking against the guidance from the Conference of the Parties;
- Consider the benefits to Parties, particularly small island developing States, of an appropriate balance between national and regional projects in the implementation of decisions of the Conference of the Parties;

(a) Catalytic role and co-financing¹²⁵

- Mobilize co-financing and other modes of financing for its projects related to implementation of the Convention, further clarify the concept and application of co-financing for biodiversity projects, and apply co-financing arrangements in ways that do not create unnecessary barriers and costs for recipient Parties to access GEF funds;
- Support diffusion, and facilitate replication and scaling-up, of new and innovative financing mechanism initiatives that have proved to be successful;
- Enhance its catalytic role in mobilizing new and additional financial resources while not compromising project goals;

(b) Incremental costs¹²⁶

- Apply in a more flexible, pragmatic and transparent manner the incremental cost principle;

(c) Sustainability¹²⁷

¹²¹ Decision X/25, paragraph 6; and also decision X/3, paragraph 4.

¹²² Decision X/24, annex, E, paragraph 2.

¹²³ Decision X/24, annex, E, paragraph 2.8; decision XII/7, annex, II, paragraph 7.

¹²⁴ Decision X/24, annex, E, paragraph 2.1; decision XI/5, paragraphs 3 and 4 and decision XII/30, paragraph 8(b).

¹²⁵ Decision X/24, annex, E, paragraph 2.2; decision XI/5, paragraph 5 and decision XII/30, paragraphs 7 and 8(a).

¹²⁶ Decision X/24, annex, E, paragraph 2.3.

¹²⁷ Decision X/24, annex, E, paragraph 2.9.

- Promoting exchange of experience and lessons learned in addressing sustainability of funded projects on biological diversity.

(d) *Country ownership*¹²⁸

- Promote genuine country ownership through greater involvement of participant countries in GEF-funded activities;
- Promote utilization of regional and local expertise and be flexible to accommodate national priorities and regional needs within the objectives of the Convention;
- Encourage collaboration at national level between national focal points for the Convention, for related environmental agreements and for the Global Environment Facility, including through the projects supported by the Facility, and including through regional and national workshops for the focal points;

(e) *Compliance and collaboration of agencies*¹²⁹

- Promote efforts to ensure that the implementing agencies fully comply with the policy, strategy, programme priorities and eligibility criteria of the Conference of the Parties in their support for country-driven activities funded by the Global Environment Facility;
- Undertake efforts to improve the efficiency, effectiveness and transparency of the process of cooperation and coordination between the implementing agencies with a view to improving the processing and delivery systems of the Global Environment Facility, and to avoid duplication and parallel processes;

(f) *Monitoring and evaluation*¹³⁰

- Consult with the Executive Secretary in relevant review processes undertaken by the Global Environment Facility that affect the financial mechanism of the Convention;
- Include in its monitoring and evaluation activities the assessment of the compliance with the policy, strategy, programme priorities and eligibility criteria established by the Conference of the Parties;
- Elaborate and transmit to the Conference of the Parties, well-summarized evaluation products and full evaluation reports relevant to biological diversity and to the guidance provided by the Conference of the Parties;
- Include in its regular report findings, conclusions and recommendations of all relevant evaluations of the GEF Evaluation Office;

(g) *Small grants programme*¹³¹

- Continue its expansion of the Small Grants Programme of the Global Environment Facility to other developing countries, in particular the least developed countries and the small island developing States;

Article 22. Relationship with other international conventions

33. Projects and activities to improve synergies among relevant multilateral environment agreements;¹³²

Article 26. Reports

34. Preparation of the future national reports;¹³³

C. Eligibility criteria

*Convention on Biological Diversity*¹³⁴

35. Only developing countries that are Parties to the Convention are eligible to receive funding upon the entry into force of the Convention for them. In accordance with the provisions of the Convention, projects that seek to meet the

¹²⁸ Decision X/24, annex, E, paragraph 2.5.

¹²⁹ Decision X/24, annex, E, paragraph 2.4.

¹³⁰ Decision X/24, annex, E, paragraph 2.6.

¹³¹ Decision X/24, annex, E, paragraph 2.7.

¹³² Decision XI/5, paragraph 20; decision XII/30, paragraphs 1, 2, 3, 4, and 14; and also decision XI/6, paragraph 16.

¹³³ Decision X/24, annex, paragraph 4.24; decision X/25, paragraph 17; decision XI/5, paragraph 25; and also decision X/10, paragraph 5.

¹³⁴ Decision X/24, annex, C, paragraphs 1 and 2.

objectives of conservation of biological diversity and sustainable use of its components are eligible for financial support from the institutional structure.

36. The Global Environment Facility continues to provide financial resources to Parties with economies in transition for biodiversity-related projects.

*Cartagena Protocol on Biosafety*¹³⁵

37. All developing countries, in particular the least developed and small island developing States, as well as countries with economies in transition, including countries among these that are centres of origin and centres of genetic diversity, which are Parties to the Cartagena Protocol on Biosafety, are eligible for funding by the Global Environment Facility.

38. All developing countries, in particular the least developed and small island developing States, as well as countries with economies in transition, including countries among these that are centres of origin and centres of genetic diversity, which are Parties to the Convention and provide a clear political commitment towards becoming Parties to the Protocol, shall also be eligible for funding by the Global Environment Facility for the development of national biosafety frameworks and the development of national biosafety clearing-houses and other necessary institutional capabilities to enable a non-Party to become a Party. Evidence of such political commitment shall take the form of a written assurance to the Executive Secretary that the country intends to become a Party to the Protocol on completion of the activities to be funded.

*Nagoya Protocol on Access to Genetic Resources and Benefit-sharing*¹³⁶

39. All developing countries, in particular the least developed and small island developing States, as well as countries with economies in transition, which are Parties to the Nagoya Protocol, are eligible for funding by the Global Environment Facility in accordance with its mandate;

40. Developing countries, in particular the least developed countries and small island developing States among them, and countries with economies in transition that are Parties to the Convention and provide a clear political commitment towards becoming Parties to the Protocol, shall also be eligible for funding by the Global Environment Facility¹³⁷ for the development of national measures and institutional capabilities in order to enable them to become a Party. Evidence of such political commitment, accompanied by indicative activities and expected milestones, shall take the form of a written assurance to the Executive Secretary that the country intends to become a Party to the Nagoya Protocol on completion of the activities to be funded.

D. Reporting from the GEF Council to the Conference of the Parties

41. The report from the Council of the Global Environment Facility to the Conference of the Parties should be made available three months prior to an ordinary meeting of the Conference of the Parties as well as with updates as appropriate, and in accordance with rules 28 and 54 of the Rules of Procedure for meetings of the Conference of the Parties, the Executive Secretary should make it available in all six official languages of the United Nations.¹³⁸

42. The Global Environment Facility should improve results-based reporting on the total contribution of the Global Environment Facility to achieving the objectives of the Convention, including the Facility's contribution to incremental-cost financing and leveraging co-financing.¹³⁹

43. The Global Environment Facility should report on its implementation of the four-year outcome oriented framework of programme priorities and how it responds to the individual elements.¹⁴⁰

44. The Global Environment Facility should make available a preliminary draft of its report to the Conference of the Parties, particularly focusing on the response of the Global Environment Facility to previous guidance from the Conference of the Parties, to the Subsidiary Body on Implementation prior to the meeting of the Conference of the Parties at which the report will be formally considered, with a view to promoting effective and timely consideration of the information provided in the report.¹⁴¹

¹³⁵ Decision X/24, annex, C, paragraphs 3 and 4.

¹³⁶ Decision XII/30, paragraphs 19 and 20.

¹³⁷ The original phrase here “for up to four years after the Nagoya Protocol has entered into force” is proposed for deletion. See UNEP/CBD/ NP/COP-MOP/2/5.

¹³⁸ Decision X/24, annex, D, paragraph 1.

¹³⁹ Decision X/24, annex, D, paragraph 2.

¹⁴⁰ Decision XI/5, paragraph 1.

¹⁴¹ Decision XII/30, paragraph 8(e).

45. The Global Environment Facility should explore ways to balance the comprehensiveness and conciseness of the report of the Global Environment Facility, acknowledging the need to demonstrate progress in programming resources towards achievement of the Aichi Biodiversity Targets.¹⁴²

46. The Global Environment Facility should indicate in its report to the Conference of the Parties, how it plans to respond to the report on the determination of funding requirements, pursuant to paragraph 5.2 of the Memorandum of Understanding.¹⁴³

E. Review of the effectiveness of the financial mechanism

47. The review of the effectiveness of the financial mechanism will be conducted every four years and this review should coincide with the meeting of the Conference of the Parties.¹⁴⁴

F. Replenishment of the GEF Trust Fund

48. The updated list of developed country Parties and other Parties that voluntarily assume the obligations of developed country Parties in accordance with Article 20, paragraph 2 of the Convention, is contained in the annex to decision VIII/18.¹⁴⁵

49. The developed country Parties and others are invited to increase their financial contributions through the financial mechanism during the replenishment of the Global Environment Facility Trust Fund.¹⁴⁶

50. The Global Environment Facility is urged, in the process of replenishment, to give due consideration to all aspects of the needs assessment report on the levels of funding for biodiversity.¹⁴⁷

51. The needs assessment report should be transmitted to the Global Environment Facility for consideration, so that the Global Environment Facility will in its regular report to the Conference of Parties indicate how it has responded during the replenishment cycle to the previous assessment by the Conference of the Parties.¹⁴⁸

52. In anticipation of the next replenishment of the Global Environment Facility Trust Fund, the determination of funding requirements should be undertaken for the implementation of the Convention and its Protocols.¹⁴⁹

G. Inter-Secretariat cooperation

53. Participation of a representative of the Subsidiary Body on Scientific, Technical and Technological Advice of the Convention and of the Scientific and Technical Advisory Panel of the Global Environment Facility is requested in respective meetings of the Subsidiary Body on Scientific, Technical and Technological Advice and the Scientific and Technical Advisory Panel on a reciprocal basis.¹⁵⁰

54. The Executive Secretary should promote, in collaboration with the Global Environment Facility, exchange of experience and good practice in financing for biological diversity.¹⁵¹

55. The Executive Secretary and the Chief Executive Officer of the Global Environment Facility should continue to strengthen inter-secretariat cooperation and collaborate with the Independent Evaluation Office of the Global Environment Facility and the Global Environment Facility agencies.¹⁵²

H. Other Matters related to Guidance

56. Guidance to the financial mechanism should be incorporated into a single decision, including the identification of priority issues which will provide support for cross-cutting issues and capacity-building, especially for developing countries

¹⁴² Decision XII/30, paragraph 8(d).

¹⁴³ Decision XII/30, paragraph 12.

¹⁴⁴ Decision X/24, annex, E, paragraph 1; decision XI/5, paragraph 7.

¹⁴⁵ Decision X/24, annex, F.

¹⁴⁶ Decision XI/5, paragraph 6.

¹⁴⁷ Decision XI/5, paragraphs 12, 8-11 and 14.

¹⁴⁸ Decision XI/5, paragraph 15.

¹⁴⁹ Decision XII/30, paragraphs 6 and 11.

¹⁵⁰ Decision X/24, annex, G, paragraph 1.

¹⁵¹ Decision X/24, annex, G, paragraph 2.

¹⁵² Decision X/24, annex, G, paragraph 3; decision XI/5, paragraph 13 and decision XII/30, paragraphs 8(c) and 9.

and countries with economies in transition, in a manner that: (a) is transparent; (b) allows participation; and (c) allows full consideration of its other decisions.¹⁵³

57. Guidance to the financial mechanism, for a specific replenishment period, consists of a consolidated list of programme priorities that defines what to be financed, and an outcome oriented framework, taking into account the strategies and plans under the Convention and its Protocols, and associated indicators. With a view to further streamlining guidance to the Global Environment Facility, proposed new guidance for the financial mechanism will be reviewed to avoid or reduce repetitiveness, to consolidate previous guidance where appropriate and to prioritize guidance in the context of the Aichi Biodiversity Targets.¹⁵⁴

58. While the Subsidiary Body on Scientific, Technical and Technological Advice should consider the financial implications of its proposals, its recommendations will only include advice to the Conference of the Parties regarding financial matters, including guidance to the financial mechanism, when the Conference of the Parties has so requested.¹⁵⁵

Annex II

SELECTED¹⁵⁶ ELEMENTS OF ADVICE RECEIVED FROM THE BIODIVERSITY-RELATED CONVENTIONS

A. Convention on the Conservation of Migratory Species of Wild Animals

Decision of the CMS Standing Committee with regard to developing elements of advice for the Global Environment Facility concerning the funding of the national priorities for CMS

- Restoring and maintaining ecological corridors for migratory mammals, as outlined in Resolutions 11.1 and 11.25;
- Making infrastructure more wildlife-friendly, including roads, railways, fences, pipelines and other forms of linear infrastructure as outlined in Resolutions 11.1 and 11.24;
- Combatting wildlife crime and strengthening anti-poaching, including community-based approaches, as outlined in Resolution 11.31;
- Regional approaches for tackling the illegal killing of birds, including trapping and poisoning, as outlined in Resolutions 11.1, 11.15 and 11.16;
- Restoring and maintaining global flyways, as outlined in the Programme of Work in Resolution 11.14;
- Reducing marine pollution, including marine debris, noise and unexploded ordinance, as outlined in Resolution 11.30 and elsewhere;
- Minimizing bycatch of CMS-listed marine species and reducing-post release mortality as outlined in Resolution 10.14 and elsewhere;
- Mitigating threats to freshwater fish, such as habitat degradation, barriers to migration, bycatch and overexploitation, as outlined in Resolution 10.12.

B. The International Treaty on Plant Genetic Resources for Food and Agriculture

The Bureau of the Seventh Session of the Governing Body of the International Treaty invites GEF to take these priorities into account, as appropriate and within the context of its mandate, in the design of GEF-7:

1. Information exchange, technology transfer and capacity-building:

- a. Building strong national programmes is essential for capacity-building in developing countries and furthering the implementation of the Treaty. It is a prerequisite for sustainability of efforts to strengthen and develop national capacities in the conservation and utilization of plant genetic resources for food and agriculture.
- b. Expanding and improving education and training in developing countries is a sine qua non, in order to build capacity. Education and training is a long lasting investment in the sustainable management of the diversity of plant genetic resources for food and agriculture in developing countries.

¹⁵³ Decision X/24, annex, B, paragraph 2.

¹⁵⁴ Decision X/24, paragraphs 1-7 and annex, B, paragraph 3; decision XII/30, paragraphs 5 and 10.

¹⁵⁵ Decision X/24, annex, B, paragraph 1.

¹⁵⁶ See explanation provided in paragraph **Error! Reference source not found.** of document UNEP/CBD/CDB/COP/13/12/Rev.1.

2. Managing and conserving plant genetic resources on farm:

a. Supporting on-farm management and conservation of plant genetic resources for food and agriculture is the most direct way of reaching farmers, indigenous and local communities in developing countries to whom benefits should flow. It forms a strong contribution to the maintenance of on-farm diversity of plant genetic resources for food and agriculture. Only by strengthening these efforts can on-farm management of diversity complement ex situ conservation.

3. The sustainable use of plant genetic resources:

a. Expanding the characterization and evaluation of collections is necessary to promote and facilitate their use. More complete characterization and evaluation will increase the relevance of germplasm held ex situ and on farm for breeding.

b. Diversification of crop production, genetic enhancement and broadening the genetic base of crops will directly contribute to increasing the sustainability of agricultural production. This will lessen dependence on external inputs, increase productivity, and respond to the challenge of climate change.

Annex III

TERMS OF REFERENCE FOR THE FIFTH REVIEW OF THE EFFECTIVENESS OF THE FINANCIAL MECHANISM

Objectives

1. In accordance with Article 21, paragraph 3, the Conference of the Parties will review the effectiveness of the mechanism, including the criteria and guidelines referred to in Article 21, paragraph 2, with a view to taking appropriate action to improve the effectiveness of the mechanism as necessary. For this purpose, effectiveness will include:

(a) The conformity of the activities of the Global Environment Facility (GEF), as the institutional structure operating the financial mechanism, with the guidance of the Conference of the Parties;

(b) The effectiveness of the financial mechanism in providing and mobilizing new and additional financial resources to enable developing country Parties and Parties with economies in transition to meet the agreed full incremental costs to them of implementing measures which fulfil the obligations of this Convention and its Protocols and to benefit from its provisions, taking into account the need for predictability, adequacy and timely flow of funds;

(c) The efficiency of the financial mechanism in providing and delivering financial resources, as well as, in accordance with the guidance of the Conference of the Parties, overseeing, monitoring and evaluating the activities financed by its resources, as appropriate;

(d) The efficiency and effectiveness of the activities funded by the Global Environment Facility on the implementation of the Convention and the achievement of its three objectives, as well as, as applicable, of the Protocols under the Convention, taking into account the guidance provided by the Conference of the Parties;

(e) The effectiveness and relevance of guidance from the Conference of the Parties to the Global Environment Facility;

(f) The coherence with other Rio conventions.

Methodology

2. The review will cover all the activities of the institutional structure operating as the financial mechanism, in particular for the period from July 2010 to June 2014.

3. The review shall draw upon, inter alia, the following sources of information:

(a) Information provided by developing country Parties, in particular the least developed countries, small island developing States, and countries that are most environmentally vulnerable, as well as Parties with economies in transition, as well as developed country Parties, regarding the financial mechanism;

(b) Reports prepared by the Global Environment Facility, including its reports to the Conference of the Parties, as well as assessments by the GEF agencies;

(c) Reports of the GEF Evaluation Office that relate to GEF biodiversity activities within the framework of the financial mechanism, including the Sixth Comprehensive Evaluation of the GEF (OPS6);

- (d) Information provided by other relevant stakeholders.

Criteria

4. The effectiveness of the financial mechanism shall be assessed taking into account, inter alia:

(a) The actions taken by the financial mechanism in response to the guidance of the Conference of the Parties, as consolidated in the annex to decision X/24, as well as decisions X/25, XI/5 and XII/30;

(b) The number of developing country Parties, in particular least developed countries, small island developing States and countries that are most environmentally vulnerable, as well as Parties with economies in transition that receive timely, adequate and predictable funds to meet the agreed full incremental cost to them of implementing measures that fulfil the obligations under the Convention and its Protocols;

(c) Views of developing country Parties, in particular least developed countries, small island developing States and countries that are most environmentally vulnerable, as well as Parties with economies in transition as to the performance and conditions for the provision of GEF resources through the Implementing and Executing Agencies;

(d) The quantity, nature and sources of financial resources provided through the financial mechanism for the attainment of the objectives of the Convention and its Protocols;

Procedures for implementation

5. Under the authority and with the support of the Conference of the Parties, the Executive Secretary shall contract an experienced independent evaluator to undertake the review, in accordance with the above objectives, methodology and criteria.

6. The evaluator will design a questionnaire using the criteria adopted in the present terms of reference, to be sent to the Parties and other stakeholders as soon as practicable, and prepare a compilation and synthesis of the information received.

7. The evaluator will undertake such desk studies, interviews, field visits and collaboration with the GEF and its Independent Evaluation Office, as may be required, for the preparation of the review, subject to the availability of resources.

8. The evaluator will undertake regional and subregional consultations with Parties, taking advantage of regional and subregional workshops organized by the Convention Secretariat during the evaluation period;

9. The draft synthesis report and recommendations of the evaluator will be made available to GEF for its review and comments. Such comments shall be included in the documentation and identified by source.

10. Based on the synthesis report and recommendations of the independent evaluator, the Executive Secretary shall prepare, in consultation with the GEF, a draft decision on the fourth review of the financial mechanism, including specific suggestions for action to improve the effectiveness of the mechanism if necessary, for the consideration of the Conference of the Parties at its fourteenth meeting.

11. The Executive Secretary shall submit all the relevant documents to Parties at least three months prior to the fourteenth meeting of the Conference of the Parties.

The Conference of the Parties is expected to prepare additional guidance for the financial mechanism taking into account recommendations from the COP-MOP of the Cartagena Protocol and the COP-MOP of the Nagoya Protocol, as well as decisions of the Conference of the Parties on various relevant agenda items

Item 12. Other means of implementation: enhancement of capacity-building, technical and scientific cooperation and other initiatives to assist implementation

The following is taken from paragraph 4 of recommendation 1/5 of the Subsidiary Body on Implementation. Note that the short-term action plan referred to in paragraph 1 of the draft decision below will be revised in line with paragraphs 1-4 of the recommendation 1/5 as explained in the annotations to the agenda (UNEP/CBD/COP/13/1/Add.1/Rev.1).

Capacity-building, technical and scientific cooperation, technology transfer and the clearing-house-mechanism

The Conference of the Parties,

Recalling Articles 12 and 13 of the Convention as well as decisions XII/2 B, XI/2, X/5, VII/29, VIII/12, IX/14, X/16 and XI/13,

Recognizing the need for a more integrated and coherent approach to capacity-building and technical and scientific cooperation in supporting the implementation of the Convention and its Protocols as well as with the other biodiversity-related multilateral environmental agreements,

Noting with appreciation efforts by various national, regional and international organizations and initiatives, and the support provided by the Global Environment Facility, to support Parties in the effective implementation of the Strategic Plan for Biodiversity 2011–2020 and its Aichi Biodiversity Targets,

Taking note of the evaluation of the effectiveness of capacity-building activities supported and facilitated by the Secretariat and the analysis of gaps in capacity-building activities supporting the implementation of the Strategic Plan for Biodiversity 2011-2020,¹⁵⁷

Noting with concern that a number of capacity-building activities which the Conference of the Parties requested in its previous decisions have not been implemented for a number of reasons, such as the difficulty of mobilizing resources from all sources,

Taking into account the special and diverse needs of developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition, as well as their limitations in accessing online tools,

Recalling the work programme for the clearing-house mechanism in support of the Strategic Plan for Biodiversity 2011-2020,¹⁵⁸

Noting with appreciation the progress made by the Executive Secretary on the implementation of paragraphs 8, 9 and 18 of decision XII/2 B, including the progress on capacity-building, on technical and scientific cooperation, including the further development of the Bio-Bridge Initiative, and the further development of the central clearing-house mechanism and national clearing-house mechanisms,¹⁵⁹

1. *[Endorses][Adopts][Takes note]* of the short-term action plan (2017-2020) to enhance and support capacity-building for the implementation of the Strategic Plan for Biodiversity 2011-2020 and its Aichi Biodiversity Targets;¹⁶⁰

2. *Invites* Parties, other Governments and relevant organizations to contribute to the implementation of the action plan referred to in paragraph 1 above;

¹⁵⁷ [UNEP/CBD/SBI/1/6](#) and [UNEP/CBD/SBI/1/INF/29](#).

¹⁵⁸ [UNEP/CBD/COP/11/31](#).

¹⁵⁹ [UNEP/CBD/SBI/1/6](#), [UNEP/CBD/SBI/1/INF/19](#) and [UNEP/CBD/SBI/1/INF/29](#).

¹⁶⁰ As contained in document UNEP/CBD/COP/13/13.

3. *Also invites* Parties, other Governments and relevant organizations to consider taking the following complementary measures to enhance the implementation of Article 12 of the Convention:

(a) Encourage and support relevant educational and training institutions to play a key role in organizing and delivering education and training programmes to assist Parties, indigenous peoples and local communities, and relevant stakeholders in the implementation of the Convention and its Protocols as well as other biodiversity-related conventions where possible;

(b) Encourage relevant institutions to develop new or update existing courses and programmes to address specific education and training needs for the implementation of the Convention and its Protocols as well as other biodiversity-related conventions where possible, prioritizing topics that have not been adequately covered to date and considering, as appropriate, national circumstances and Parties with similar needs and a common language;

(c) Organize targeted training courses and workshops, tailored to the needs of specific countries, indigenous peoples and local communities, women and other target groups;

(d) Provide short-term fellowships and on-the-job training opportunities to enable participants from developing country Parties and Parties with economies in transition to acquire specialized skills and gain exposure to new scientific and technological innovations;

(e) Develop and exchange additional relevant education and training materials at the national, regional and international levels and make them available through the clearing-house mechanism;

(f) Incorporate biodiversity-related education in their broader education, professional training and capacity-building programmes;

(g) Set up mechanisms to facilitate networking and sharing of experiences, best practices and lessons learned in promoting biodiversity-related education and training at all levels;

(h) Promote partnerships between Governments and academic institutions, as well as relevant organizations and centres of excellence to deliver tailored training programmes for government officials, indigenous peoples and local communities and relevant stakeholders;

(i) Further implement relevant activities under components 1 (education) and 3 (training) of the implementation plan for the programme of work on communication, education and public awareness;¹⁶¹

(j) Develop online courses, as appropriate, and taking into account national circumstances, and consider inviting participants from other Parties with similar needs to enhance South-South and other forms of cooperation;

(k) Share relevant information and lessons learned through the clearing-house mechanism, national reports and other relevant means and mechanisms;

4. *Invites* Parties to contribute to technical and scientific cooperation by, inter alia, providing information on priority needs, offering examples of effective practices/bright spots for replication, identifying synergies with their plans, programmes and activities on science, technology, and technical and scientific cooperation, and facilitating the linking of the needs of Parties with available support for technical and scientific cooperation and share this information through the central clearing-house mechanism, and through national clearing-house mechanisms, as appropriate, and national reports;

5. *Invites* Parties and other Governments to encourage and support the engagement of relevant national or regional institutions, including scientific, technical and policy institutes, and indigenous peoples and local communities to contribute to technical and scientific cooperation;

6. *Recalling* paragraph 7 of decision XII/2 B, *urges* Parties, in particular developed country Parties, and *invites* other Governments and international financial institutions, regional development banks, and other multilateral financial institutions to support the establishment and maintenance of programmes for scientific and

¹⁶¹ [Decision VIII/6](#), annex III.

technical education and training in measures for the identification, conservation and sustainable use of biodiversity and its components and support such education and training to address specific needs of developing countries;

7. *Decides* to extend the mandate of the informal advisory committee to the clearing-house mechanism, as defined by its operational guidelines, and to undertake a further review of that mandate at the fifteenth meeting of the Conference of the Parties;

8. *Encourages* Parties to continue their efforts to establish, sustain and further develop effective national clearing-house mechanisms in support of the implementation of their national biodiversity strategies and action plans;

9. *Takes note* of the web strategy for the Convention and its Protocols¹⁶² and the communication strategy, prepared by the Executive Secretary;

10. *Invites* Parties, other Governments and relevant organizations in a position to do so to provide financial, technical and human resources to support capacity-building and technical and scientific cooperation for developing country Parties and indigenous peoples and local communities, and the further development of national clearing-house mechanisms;

11. *Requests* the Executive Secretary, subject to the availability of resources:

(a) To continue work to promote a more integrated and coordinated approach to capacity-building and technical and scientific cooperation, through multiple partnerships, including with biodiversity-related multilateral environmental agreements and other relevant conventions;

(b) To invite relevant international organizations, including United Nations bodies, to strengthen coherent action on capacity-building and through an integrated support platform of the clearing-houses of the Convention for identifying the needs of Parties and of indigenous peoples and local communities and linking them with available expertise and knowledge, using the clearing-house mechanism;

(c) To continue efforts to take a more strategic approach in identifying and establishing partnerships with organizations and other entities that have comparative advantages in terms of expertise, resources and networks and ability to add considerable value to capacity-building efforts;

(d) To catalyse and facilitate implementation, in collaboration with Parties, indigenous peoples and local communities, other relevant conventions, international organizations, universities and other relevant organizations, the implementation of the short-term action plan referred to in paragraph 1 above and to report on progress at the second meeting of the Subsidiary Body on Implementation;

(e) To undertake monitoring and evaluation of the outcomes and effectiveness of ongoing capacity-building activities supported and facilitated by the Secretariat, with a view to better targeting and improving future capacity-building activities, and to report on the results to the Subsidiary Body on Implementation for consideration at its second meeting;

(f) To commission, before the end of the year 2020, an independent evaluation of the impacts, outcomes and effectiveness of the action plan in facilitating and supporting the implementation of the Strategic Plan for Biodiversity 2011-2020, including recommendations for improvement, to be submitted to the Subsidiary Body on Implementation for its consideration;

(g) To continue efforts to facilitate capacity development activities for indigenous peoples and local communities;

(h) To implement the web strategy for the Convention and its Protocols, in line with the communication strategy;

(i) To further develop the clearing-house mechanism, in line with the web strategy and with the work programme for the clearing-house mechanism in support of the Strategic Plan for Biodiversity 2011-2020;

¹⁶² [UNEP/CBD/SBI/1/6/Add.2](#).

(j) To submit a progress report for consideration by the Subsidiary Body on Implementation at its second meeting on the above elements, taking into account information provided through the national reports, the clearing-house mechanism and the Traditional Knowledge Portal.

The Executive Secretary has prepared the following draft decision on the basis of document UNEP/CBD/COP/13/14, paragraph 102.

Communication strategy

The Conference of the Parties to the Convention on Biological Diversity,

Recalling United Nations General Assembly resolution 65/161, in which the Assembly declared 2011-2020 the United Nations Decade on Biodiversity,

Recalling decision XI/2 and its support for the strategy for the United Nations Decade on Biodiversity as reviewed by the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention at its fourth meeting,

Recalling the programme of work for communication, education and public awareness,

Noting resolution 93 of the IUCN World Conservation Congress of 2016, “Connecting people with nature globally”, and its call to support Aichi Biodiversity Target 1, including through the #NatureForAll campaign,

1. *Welcomes* the framework for a global communications strategy developed by the Executive Secretary;¹⁶³
2. *Invites* Parties to use the framework as they seek to develop their own national strategies and report on the results of their work through the clearing-house mechanism;
3. *Invites* United Nations agencies and multilateral funds to also use the framework as they seek to develop their own strategies;
4. *Requests* the Executive Secretary to continue to work to further articulate and develop the framework, including by working with existing partners to the United Nations Decade on Biodiversity, including the office of the Secretary-General of the United Nations, and through the development of new partnerships, subject to the availability of funds, and to report on the results to the Conference of the Parties at its next meeting.

¹⁶³ UNEP/CBD/COP/13/14.

Item 13. Cooperation with other conventions and international organizations

The following is taken from recommendation 1/8 of the Subsidiary Body on Implementation. An annex will be developed by the Executive Secretary according to recommendation 1/8, paragraph 6.

Options to enhance synergies among the biodiversity-related conventions

The Conference of the Parties,

Recalling decision XII/6,

1. *Appreciates* the work of the governing bodies and their bureaux, standing committees and equivalent bodies in contributing to the Party-led process established under decision XII/6;
2. *Recognizes*, in the context of the ongoing work on synergies, the importance of the strategic plans of the conventions, the Strategic Plan for Biodiversity 2011-2020 and any follow-up, the 2030 Agenda for Sustainable Development¹⁶⁴ and the Sustainable Development Goals, and related reporting and indicators;
3. *Acknowledges* the work done by the United Nations Environment Programme and the United Nations Environment Programme's World Conservation Monitoring Centre and their valuable contribution and inputs provided to the workshop held in February 2016 in Geneva on promoting synergies among the biodiversity-related conventions;¹⁶⁵
4. *[Takes note* of the resolution XX of the United Nations Environment Assembly of the United Nations Environment Programme at its second session];
5. *Welcomes* the options for action to enhance cooperation and synergies among the biodiversity-related conventions elaborated by the workshop held in Geneva in February 2016;
6. *[Welcomes* the refined options for actions by Parties and *endorses* the road map elaborated through consultations pursuant to recommendation 1/8, paragraph 5, of the Subsidiary Body on Implementation];
7. *Invites* the governing bodies of the biodiversity-related conventions to further strengthen cooperation at the global level within their respective mandates and enhance synergies among them, to encourage mutually supportive decisions, pursue their efforts to align their own strategies with the Strategic Plan for Biodiversity 2011-2020 and its Aichi Biodiversity Targets, where appropriate[, and to endorse the options for action by Parties and the road map referred to in paragraph 6 of the present decision];
8. *[Invites* Parties to implement options for action at the national level resulting from the work mentioned in paragraph 6 of the present decision and to establish or strengthen, at national level, mechanisms to enhance the effective coordination among national biodiversity authorities and focal points and support mainstreaming;]
9. *[Invites* the Secretariats of the biodiversity-related conventions, the governing bodies of the conventions, and the international organizations that provide the secretariats for these conventions, as well as representatives of indigenous peoples and local communities, global non-governmental organizations and other relevant international organizations, to implement, where appropriate, the road map at the international level resulting from the work mentioned in paragraph 6 of the present decision];
10. *[Requests* the Executive Secretary, subject to the availability of resources, to implement the relevant actions of the road map resulting from the consultative process referred to in paragraph 6 of the present decision];

¹⁶⁴ [General Assembly resolution 70/1](#) of 25 September 2015 entitled "Transforming our world: the 2030 Agenda for Sustainable Development", annex.

¹⁶⁵ The results of the United Nations Environment Programme project on "improving the effectiveness of and cooperation among biodiversity-related conventions and exploring opportunities for further synergies": Sourcebook of opportunities for enhancing cooperation among the Biodiversity-related Conventions at national and regional levels (UNEP, 2015) and Elaboration of options for enhancing synergies among biodiversity-related conventions.

11. *Calls* on the Biodiversity Liaison Group, in close collaboration with the United Nations Environment Programme, the United Nations Educational, Scientific and Cultural Organization, the Food and Agriculture Organization of the United Nations and the International Union for Conservation of Nature, to continue and strengthen its work to enhance coherence and cooperation among the biodiversity-related conventions, including in implementing the Strategic Plan for Biodiversity 2011-2020 and any follow-up to this strategic plan, and *requests* the Executive Secretary to provide information on progress made to the Subsidiary Body on Implementation at its second meeting and the Conference of the Parties at its fourteenth meeting, including any proposals to further advance this work.

IV. OTHER ITEMS RESULTING FROM THE PROGRAMME OF WORK OF THE CONVENTION

Item 14. Article 8(j) and related provisions: guidelines for the repatriation of traditional knowledge; guidelines for the development of legislation or other mechanisms; and recommendations from the United Nations Permanent Forum on Indigenous Issues

The following is taken from recommendation 9/1 of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity

Voluntary guidelines for the development of mechanisms, legislation or other appropriate initiatives to ensure the [free,] prior informed consent [or approval and involvement] of indigenous peoples and local communities for accessing their knowledge, innovations and practices, the fair and equitable sharing of benefits arising from the use and application of such knowledge, innovations and practices relevant for the conservation and sustainable use of biological diversity and for reporting and preventing unlawful appropriation of traditional knowledge

The Conference of the Parties,

Recalling the programme of work on the implementation of Article 8(j) and related provisions in decision V/16, as well as subsequent relevant decisions, including decision XII/12 D,

Noting the relevance of the *Tkarihwaïé:ri Code of Ethical Conduct* and the *Akwe:Kon Guidelines*,

Recalling Aichi Biodiversity Target 18, which calls, inter alia, for traditional knowledge to be respected at all relevant levels by 2020, and *also recalling* Aichi Targets 11 and 16,

Noting that the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization also applies to traditional knowledge associated with genetic resources within the scope of the Convention and to the benefits arising from the utilization of such traditional knowledge, and *recognizing* the contribution that guidance can make to the implementation of the Convention on Biological Diversity and the Nagoya Protocol,

Stressing the need to enhance synergies among international processes and organizations addressing issues related to traditional knowledge, innovations and practices of indigenous peoples and local communities in order to ensure consistency with work undertaken under these processes and organizations and to prevent diminishment of the rights of indigenous peoples and local communities to their traditional knowledge,

1. *Adopts* the Voluntary Guidelines as contained in the annex to the present decision;
2. *Invites* Parties and other Governments to use the Voluntary Guidelines, as appropriate;
3. *Invites* Parties, other Governments, relevant organizations and indigenous peoples and local communities to promote the guidelines through appropriate educational and awareness-raising activities;
4. *Also invites* Parties, other Governments, relevant organizations and indigenous peoples and local communities to make available through the clearing-house mechanism, where appropriate, best practices, lessons learned and good examples of community protocols relevant to access and benefit-sharing arising from the use of traditional knowledge;
5. *Invites* Parties to report on experiences gained by using the Voluntary Guidelines through the national reports;
6. *Invites* Parties, other Governments, relevant organizations and indigenous peoples and local communities to promote regional cooperation and share experiences and best practices on relevant measures, including approaches and measures relating to traditional knowledge shared across borders, where they exist;

7. *Also invites* Parties, other Governments, relevant organizations and indigenous peoples and local communities to submit their views concerning measures to address publicly available traditional knowledge to the Executive Secretary, and *requests* the Executive Secretary to compile the measures and views received and make the results available for the consideration of the Working Group on Article 8(j) and Related Provisions at its tenth meeting, in order to contribute to the finalization of Tasks 7 and 12 of the revised multi-year programme of work on Article 8(j) and related provisions, as appropriate;

8. *Invites* relevant international agreements, agencies, and organizations to take into consideration the guidance contained in the annex to the present decision in the implementation of their work;

9. *Invites* the Global Environment Facility, international funding institutions and development agencies and relevant non-governmental organizations, to consider, in accordance with their mandates, providing financial and technical assistance to developing country Parties and indigenous peoples and local communities, particularly women within these communities, to raise their awareness and to build their capacity relevant to the implementation of the guidelines, and to develop, as appropriate, community protocols or processes for [free,] prior informed consent and fair and equitable benefit-sharing.

Annex

DRAFT VOLUNTARY GUIDELINES FOR THE DEVELOPMENT OF MECHANISMS, LEGISLATION OR OTHER APPROPRIATE INITIATIVES TO ENSURE THE [FREE,] PRIOR INFORMED CONSENT [OR APPROVAL AND INVOLVEMENT] OF INDIGENOUS PEOPLES AND LOCAL COMMUNITIES¹⁶⁶ FOR ACCESSING THEIR KNOWLEDGE, INNOVATIONS AND PRACTICES, FOR FAIR AND EQUITABLE SHARING OF BENEFITS ARISING FROM THE USE OF THEIR KNOWLEDGE, INNOVATIONS AND PRACTICES RELEVANT FOR THE CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY, AND FOR REPORTING AND PREVENTING UNLAWFUL APPROPRIATION OF TRADITIONAL KNOWLEDGE

I. PURPOSE AND APPROACH

1. The present guidelines are voluntary and are intended to provide guidance for the development of mechanisms, legislation, administrative and policy measures or other appropriate initiative to ensure that potential users of knowledge, innovations and practices that are held by indigenous peoples and local communities (hereinafter “traditional knowledge”) obtain the [free,] prior informed consent [or approval and involvement] of these indigenous peoples and local communities, that these indigenous peoples and local communities obtain a fair and equitable share of benefits arising from the use and application of such traditional knowledge and for reporting and preventing unlawful appropriation of traditional knowledge relevant for the conservation and sustainable use of biological diversity.

2. These guidelines have been developed pursuant to decision XII/12 D on how tasks 7, 10 and 12 of the multi-year programme of work on the implementation of Article 8(j) and related provisions could best contribute to work under the Convention on Biological Diversity (hereinafter “the Convention”) and the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to the Convention on Biological Diversity (hereinafter “the Nagoya Protocol”).

3. Nothing in these guidelines should be construed as changing the rights or obligations of Parties under the Convention or under the Nagoya Protocol.

4. The guidelines should be applied in a manner that ensures consistency with domestic law, gives due importance to the customary laws and community protocols of indigenous peoples and local communities, and seeks consistency when applied to traditional knowledge associated with genetic resources under the Nagoya Protocol.

II. GENERAL PRINCIPLES

A. Access to traditional knowledge

5. Access to traditional knowledge of indigenous peoples and local communities should be subject to [free,] prior informed consent [or approval and involvement] of the owners or holders of such traditional knowledge. [Free,] prior informed consent includes the right to say no.

¹⁶⁶ The use and interpretation of the term “indigenous peoples and local communities” in these Guidelines should refer to decision XII/12 F, paragraph 2 (a), (b) and (c).

6. [Free,] prior informed consent [or approval and involvement] should be understood as a continual process building mutually beneficial, ongoing arrangements between users of traditional knowledge and indigenous peoples and local communities, in order to build trust, good relations, mutual understanding, intercultural spaces, knowledge exchanges, create new knowledge and reconciliation and should include the full and effective participation of indigenous peoples and local communities, including customary laws and community protocols of indigenous peoples and local communities.

7. It is not practical to propose a “one-size-fits-all” approach for [free,] prior informed consent [or approval and involvement] of indigenous peoples and local communities as regards access to the traditional knowledge they own or hold; and, therefore, these guidelines are intended to be used taking into account national and local circumstances of the indigenous peoples and local communities concerned.

8. The customary laws, community protocols and customary decision-making processes of indigenous peoples and local communities should be given due importance in relation to the procedural and substantive aspects of the consent process.

9. Granting [free,] prior informed consent to users of traditional knowledge, unless otherwise mutually agreed, does not transfer ownership but merely allows temporary use. In such cases, ownership is retained by the indigenous peoples and local communities.

B. Fair and equitable sharing of benefits

10. Indigenous peoples and local communities should receive fair and equitable benefits based on mutually agreed terms from the use of the traditional knowledge that they own or hold.

11. Benefit-sharing should be regarded as a way of recognizing and strengthening the contribution of indigenous peoples and local communities to the conservation and sustainable use of biological diversity, including by supporting the intergenerational transmission of traditional knowledge.

12. Benefit-sharing should be fair and equitable within and among relevant groups, taking into account relevant community level procedures, and gender and age/intergenerational considerations.

C. Reporting and preventing unlawful appropriation

13. Important tools against unauthorized use of traditional knowledge include, among others:

(a) The implementation of measures to ensure that traditional knowledge that is owned or held by indigenous peoples and local communities is accessed with their [free,] prior informed consent [or approval and involvement] and that mutually agreed terms for sharing benefits arising from the use of that traditional knowledge are established;

(b) Measures subject to national legislation.

III. UNDERSTANDING OF [FREE,] PRIOR INFORMED CONSENT [OR APPROVAL AND INVOLVEMENT]

14. [Free implies that indigenous peoples and local communities are not coerced, pressured, intimidated or manipulated and that their consent is voluntarily given, consistent with national law and with due regard to customary laws, community protocols and customary decision-making processes, prior to the access, unencumbered by expectations and timelines that are externally imposed.]

15. *Prior* implies that consent is to be sought sufficiently in advance of any authorization to access traditional knowledge respecting the customary decision-making processes and time requirements of indigenous peoples and local communities.

16. *Informed* implies that information is provided that covers relevant aspects, inter alia: the intended purpose of the access, its duration and scope; a preliminary assessment of the likely economic, social, cultural and environmental impacts, including potential risks; personnel likely to be involved in the execution of the access; and procedures the access may entail. This process may include the option of withholding consent. Consultation and effective participation by indigenous peoples and local communities are crucial components of a consent [or approval] process.

17. *Consent* [or *Approval*] is the agreement of the traditional knowledge owners or holders to provide a potential user with access to the traditional knowledge in question. Consent [or approval] shall be obtained in good faith with no coercion, intimidation or manipulation.

18. [Involvement refers to the effective participation of indigenous peoples and local communities, as traditional knowledge owners, holders or providers, in decision-making processes related to access.]

IV. PROCEDURAL CONSIDERATIONS FOR [FREE,] PRIOR INFORMED CONSENT [OR APPROVAL AND INVOLVEMENT,] AND MUTUALLY AGREED TERMS FOR BENEFIT-SHARING

A. Relevant authorities and other elements

19. Consent [or approval] processes and establishment of mutually agreed terms for fair and equitable benefit-sharing may be required at different levels depending on national circumstances and the diverse internal organization of various indigenous peoples and local communities, and may include the following elements:

- (a) A competent authority at the national or subnational level;
- (b) The competent authorities of indigenous peoples and local communities;
- (c) Elements of a consent [or approval] process including:
 - (i) Written application in a manner and language comprehensible to the traditional knowledge owner or holder;
 - (ii) Legitimate and culturally appropriate process and decision-making, including possible social, cultural and economic impacts;
 - (iii) Adequate and balanced information from a variety of sources that is made available in indigenous or local languages using terms understood by indigenous peoples and local communities and including safeguards to ensure that all parties to an agreement have the same understanding of the information and terms provided;
 - (iv) Culturally appropriate timing and deadlines;
 - (v) Specification of use with clause to address change of use and transfer to third parties;
 - (vi) Implementation and monitoring;
- (d) A template taking into account the possible actions required by potential users of traditional knowledge;
- (e) [Free,] prior informed consent [or approval and involvement] granted/established on the basis of mutually agreed terms ensuring the fair and equitable sharing of benefits;
- (f) Consultation process with indigenous peoples and local communities;
- (g) Procedures consistent with customary laws, community protocols and customary decision-making processes.

B. Community protocols and customary law

20. In line with Article 12 of the Nagoya Protocol, community protocols and customary law can play a role in processes for access to traditional knowledge and the fair and equitable sharing of benefits arising from the use of such knowledge. They can contribute to legal certainty, transparency and predictability concerning processes for obtaining [free,] prior informed consent [or approval and involvement] of indigenous peoples and local communities and for establishing mutually agreed terms for benefit-sharing.

21. *Community protocols* is a term that covers a broad array of documents generated by communities to set out how they expect other stakeholders to engage with them. They may reference customary as well as national or international laws to affirm their rights to be approached according to a certain set of standards. Articulating information, relevant factors, and details of customary laws and traditional authorities helps other stakeholders to better understand the community's values and customary laws. Community protocols provide communities an opportunity to focus on their development aspirations vis-a-vis their rights and to articulate for themselves and for users their understanding of their bio-cultural heritage and therefore on what basis they will engage with a variety of stakeholders. By considering the interconnections of their land rights, current socio-economic situation, environmental concerns, customary laws and traditional knowledge, communities are better placed to determine for themselves how to negotiate with a variety of actors.¹⁶⁷

22. Community protocols can be produced in a range of formats including through documentation or other media such as video, and may contain but are not limited to information about:

¹⁶⁷ Refer to <http://www.unep.org/communityprotocols/protocol.asp> and http://www.unep.org/delc/Portals/119/publications/Community_Protocols_Guide_Policymakers.pdf

- (a) Community identity;
- (b) Community history;
- (c) Community territoriality;
- (d) Resources used (mainly biological and may include seasonality and management practices);
- (e) Information about their traditional knowledge (but not the traditional knowledge itself);
- (f) Social organization and decision making processes (which are often collective decision-making procedures at community level);
- (g) Relations with other institutions relevant to the agreement.

23. Community protocols can help address any number of community issues. They can articulate a number of concerns important to communities, relevant to biological diversity, such as how they intend to:

- (a) Conserve biodiversity;
- (b) Sustainably use plants and animal biological resources;
- (c) Manage and benefit from local biodiversity;
- (d) Use, protect and benefit from traditional knowledge;
- (e) Provide [free,] prior informed consent [or approval and involvement] to access traditional knowledge for any number of reasons including commercial and non-commercial research and by the media;
- (f) Ensure environmental and other laws are implemented according to customary laws;
- (g) Oppose unsustainable development on their lands;
- (h) Engage with governmental or other support.

24. Indigenous peoples and local communities may wish to include special measures in their community protocols or other procedures for encouraging non-commercial research, participatory research and joint research for conservation and sustainable use of biological diversity.

V. FAIR AND EQUITABLE SHARING OF BENEFITS

25. In order to achieve a fair and equitable sharing of benefits, Parties, other Governments and users of traditional knowledge should take the following into account:

- (a) Partnership and cooperation should guide the process of establishing mutually agreed terms to ensure the fair and equitable sharing of the benefits arising from the utilization of traditional knowledge with and among the owners or holders of that traditional knowledge;
- (b) Community protocols, which may provide guidance from the community perspective on the fair and equitable sharing of benefits;
- (c) The benefits obtained from the use of traditional knowledge, innovations and practices, including results of research, should, as far as possible, be shared where appropriate and in line with mutually agreed terms with the relevant owners or holders of the traditional knowledge in understandable and culturally appropriate formats, with a view to building enduring relationships, promoting intercultural exchanges, knowledge and technology transfer, synergies, complementarity and respect;
- (d) In developing mutually agreed terms, Parties, other Governments, and others seeking access to traditional knowledge should make efforts to ensure that the owners or holders of that traditional knowledge can negotiate on a fair and equal basis and are fully informed about any proposals including potential opportunities and challenges in order to make informed decisions;
- (e) The [free,] prior informed consent and mutually agreed terms should constitute a legal contract between the indigenous peoples and local communities and the corresponding parties to the contract;
- (f) In developing mutually agreed terms, those seeking to use traditional knowledge could undertake to renegotiate if the use varies significantly from the original purpose, including on possible commercialization of the traditional knowledge within national legislation and/or contract requirements;

(g) The [free,] prior informed consent and mutually agreed terms should contain agreed grievance and redress mechanisms to address non-compliance with its provisions.

A. Possible mechanisms for benefit-sharing

26. Mechanisms for benefit-sharing may vary depending upon the type of benefits, the specific conditions in the country and the stakeholders involved. The benefit-sharing mechanism should be flexible as it should be determined by the partners involved in benefit-sharing and will vary on a case-by-case basis.¹⁶⁸

27. Benefits to be shared may be influenced by numerous factors including to what extent traditional knowledge is used in final product development.

28. Parties, other Governments and relevant regional organizations may wish to consider, taking into account regional arrangements and model laws, the need for the establishment of regional trust funds or other forms of transboundary cooperation, as appropriate, for traditional knowledge held across borders, for traditional knowledge held in several countries or where the traditional knowledge is unattributed.¹⁶⁹

B. Types of benefits

29. Benefits may include monetary and non-monetary benefits, including but not limited to those listed in the Bonn Guidelines on Access to Genetic Resources and Fair and Equitable Sharing of the Benefits Arising from their Utilization.

VI. REPORTING AND PREVENTING UNLAWFUL APPROPRIATION

30. These guidelines are voluntary by their nature; however, Parties and other Governments may wish to consider incentives or other ways to ensure compliance, in their consideration of the use of the guidelines in the development of mechanisms, legislation or other appropriate initiatives to ensure that private and public institutions, interested in using traditional knowledge obtain the [free,] prior informed consent [or approval and involvement] of the indigenous peoples and local communities that hold the traditional knowledge and establish mutually agreed terms for benefit-sharing.

31. Compliance measures that also support the [free,] prior informed consent [or approval and involvement] of indigenous peoples and local communities for access to the traditional knowledge that they hold and benefit-sharing with indigenous peoples and local communities for use of the traditional knowledge that they hold could include:

(a) Capacity-building, awareness-raising and information-sharing within indigenous peoples and local communities;

(b) Codes of conduct and best practice codes of users;

(c) Model contractual clauses for mutually agreed terms to promote equity between the negotiating positions of the parties;

(d) Minimum standards for access and benefit-sharing agreements.

32. Parties and other Governments may wish to consider:

(a) The complex nature of traditional knowledge and evidentiary issues in customary legal traditions mean that customary law may be appropriate to settle disputes arising over traditional knowledge, to the extent it does not contravene national law;

(b) That a competent national authority, established according to national law, should engage users and providers of traditional knowledge early in the access process, and may need to revisit its approval of an application upon the complaint by a concerned indigenous peoples and local community;

(c) In cases such as disputes about ownership of traditional knowledge, indigenous peoples and local communities should be encouraged to resolve difference internally according to customary law or alternate dispute resolution processes as agreed to by the entities in dispute. The results of the customary or alternative dispute settlement may then, if appropriate, be approved by a competent national authority. Additionally, the competent national authority could play a facilitating role in alternative dispute resolution.

¹⁶⁸ Adapted from paragraph 49 of the Bonn Guidelines.

¹⁶⁹ The knowledge owners or holders are no longer identifiable.

The following is taken from recommendation 9/2 of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity

Task 15 of the multi-year programme of work on the implementation of Article 8(j) and related provisions: best-practice guidelines for the repatriation of indigenous and traditional knowledge

The Conference of the Parties,

1. *Takes note* of the progress made in the development of the Rutzolijirisaxik¹⁷⁰ Voluntary Guidelines for the Repatriation of Traditional Knowledge of Indigenous Peoples and Local Communities Relevant for the Conservation and Sustainable Use of Biological Diversity, annexed to the present decision, in particular their objective, purpose, scope and guiding principles for repatriation;
2. *Invites* Parties, other Governments, indigenous peoples and local communities, and relevant organizations¹⁷¹ interested or involved in repatriation of traditional knowledge to submit to the Executive Secretary information on good practices and actions undertaken at various levels, including through community-to-community exchanges, to repatriate, receive and restore traditional knowledge relevant for the conservation and sustainable use of biological diversity;
3. *Requests* the Executive Secretary to:
 - (a) Compile the information received on good practices and actions, as referred to in paragraph 2 above, and to make the compilation available for the consideration of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions at its tenth meeting;
 - (b) Prepare a revised draft of the Rutzolijirisaxik Voluntary Guidelines for the Repatriation of Traditional Knowledge of Indigenous Peoples and Local Communities Relevant for the Conservation and Sustainable Use of Biological Diversity, taking into account developments in various international bodies, instruments, programmes, strategies, standards, guidelines, reports and processes of relevance as referred to in paragraph 5 of the annex, and based on: (i) an analysis of the information received as referred to in paragraph 2 above; (ii) the report of the Expert Meeting on the Repatriation of Traditional Knowledge Relevant to the Conservation and Sustainable Use of Biodiversity;¹⁷² and (iii) the annex to the present decision containing the objective, purpose, scope and guiding principles for repatriation;
4. *Requests* the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions at its tenth meeting to complete a draft of the guidelines for consideration and adoption by the Conference of the Parties at its fourteenth meeting.

Annex

PROGRESS IN THE DEVELOPMENT OF THE RUTZOLIJIRISAXIK VOLUNTARY GUIDELINES FOR THE REPATRIATION OF TRADITIONAL KNOWLEDGE OF INDIGENOUS PEOPLES AND LOCAL COMMUNITIES RELEVANT FOR THE CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY

Introduction

1. The international community has recognized the close and traditional dependence of many indigenous peoples and local communities on biological resources, notably in the preamble to the Convention on Biological Diversity. There is also a broad recognition of the contribution that traditional knowledge can make to both the conservation and the sustainable use of biological diversity — two fundamental objectives of the Convention — and of the need to ensure the equitable sharing

¹⁷⁰ In the local traditional language, Maya Kaqchikel, this expression means “the significance of returning to the place of origin”.

¹⁷¹ May include entities such as museums, universities, herbaria and botanical and zoological gardens, data-bases, registers, gene-banks, libraries, archives and information services, public or private collections and other entities storing or housing traditional knowledge and related information.

¹⁷² UNEP/CBD/WG8J/9/INF/4.

of benefits arising from the utilization of traditional knowledge. For this reason, Parties to the Convention undertook, in Article 8(j), to respect, preserve and maintain the knowledge, innovations and practices (hereinafter referred to as traditional knowledge) relevant for the conservation and sustainable use of biological diversity, and to promote its wider application.

2. To address the effective implementation of Article 8(j) and related provisions, in decision V/16, the Conference of the Parties to the Convention on Biological Diversity adopted the programme of work on Article 8(j) and related provisions, including task 15, which it requested the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions to develop guidelines that would facilitate repatriation of information, including cultural property, in accordance with Article 17, paragraph 2, of the Convention on Biological Diversity in order to facilitate the recovery of traditional knowledge of biological diversity.

3. The Conference of the Parties further considered the task at hand in its decision X/43, paragraph 6, and in its decision XI/14 D, annex, and adopted terms of reference to advance the task clarifying:

“The purpose of task 15 is to develop best-practice guidelines that would facilitate enhancement of the repatriation of indigenous and traditional knowledge relevant to the conservation and sustainable use of biological diversity, including of indigenous and traditional knowledge associated with cultural property, in accordance with Article 8(j) and Article 17, paragraph 2, of the Convention, in order to facilitate the recovery of traditional knowledge of biological diversity.”

4. The guidelines for repatriation of traditional knowledge, builds on relevant decisions of the Conference of the Parties, including paragraph 23 of the Tkarihiwaié:ri Code of Ethical Conduct to Ensure Respect for the Cultural and Intellectual Heritage of Indigenous and Local Communities Relevant to the Conservation and Sustainable Use of Biological Diversity,¹⁷³ as well as decision VII/16 with regard to registries and databases.

5. The guidelines take into account the various international bodies, instruments, programmes, strategies, standards, guidelines reports and processes of relevance and the importance of their harmonization and complementarity and effective implementation, including the United Nations Declaration on the Rights of Indigenous Peoples,¹⁷⁴ especially Article 31, as well as other relevant articles; and in particular the mandate of United Nations Educational, Scientific and Cultural Organization concerning cultural property, as well as the mandate of the World Intellectual Property Organization, which deals with intellectual property issues. As such, they highlight the importance of international cooperation for the repatriation of traditional knowledge, including by providing access to traditional knowledge and related information for indigenous peoples and local communities, to facilitate the repatriation of traditional knowledge relevant to conservation and sustainable use, in order to assist these communities in knowledge and cultural restoration.

Objectives

6. The objective of these guidelines is to facilitate the repatriation of the traditional knowledge of indigenous peoples and local communities embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity, including related information in accordance with Article 8(j) and Article 17, paragraph 2, of the Convention, in order to facilitate the recovery of traditional knowledge relevant for the conservation and sustainable use of biological diversity, and without limiting or restricting its continued use and access.

7. The guidelines may also assist in the effective implementation of the global Plan of Action on Customary Sustainable Use of Biological Diversity, endorsed by the Conference of the Parties in decision XII/12 B.

Purpose

8. The guidelines are intended to be practical guidance to Parties, Governments,¹⁷⁵ international and regional organizations, museums, universities, herbaria and botanical and zoological gardens, databases, registers, gene banks, libraries, archives and information services, private collections and other entities storing or housing traditional knowledge and related information, and indigenous peoples and local communities, in efforts to repatriate traditional knowledge and related information.

9. They are a guide to good practice which will need to be interpreted taking into account the political, legal, economic, environmental and cultural diversity, as appropriate, of each Party, entity and indigenous peoples and local communities, and applied in the context of each organization's mission, collections and the relevant communities, taking into account community protocols and other relevant procedures.

¹⁷³ Decision X/42, annex.

¹⁷⁴ General Assembly resolution 61/295, annex.

¹⁷⁵ Including subnational governments and government departments, which may hold indigenous and/or local community traditional knowledge and related information relevant to the conservation and sustainable use of biological diversity.

10. The guidelines are not prescriptive or definitive.
11. Given the political, legal, economic, environmental and cultural diversity of States and indigenous peoples and local communities, it is unlikely that these guidelines will cover all the issues that may arise in professional practice. However, they should provide guidance for those wishing to pursue repatriation.
12. The guidelines should enable those working on repatriation, including information professionals to make sound judgments regarding appropriate responses to any issues, or provide some ideas about where to go for assistance if more expertise is required.
13. The guidelines should assist indigenous peoples and local communities in the recovery and revitalization of their traditional knowledge related to conservation and sustainable use of biological diversity.

Scope

14. These guidelines apply to the traditional knowledge of indigenous peoples and local communities, embodying traditional lifestyles relevant for the conservation and sustainable use of biological diversity, including related information,¹⁷⁶ within the scope of the Convention on Biological Diversity.

Guiding principles for repatriation

15. Repatriation is best facilitated building on the following principles and considerations:
 - (a) Developing ongoing relationships with indigenous peoples and local communities in order to build trust, good relations, mutual understanding, intercultural spaces, knowledge exchanges and reconciliation;
 - (b) Recognition and respect for indigenous peoples and local communities' world views, cosmologies, values, practices, customary laws, community protocols, rights and interests; with due respect for international norms;
 - (c) Preparedness of institutions holding traditional knowledge and related information relevant for conservation and sustainable use to repatriate, including preparedness to cooperate with indigenous peoples and local communities to develop appropriate measures;
 - (d) Assisting indigenous peoples and local communities in preparedness to receive and keep safe, repatriated traditional knowledge and related information in culturally appropriate ways as specified by them;
 - (e) Considering measures to address the repatriation of traditional knowledge that is already publicly available and widespread;
 - (f) Recognition of the importance of repatriating secret or sacred, gender-specific or sensitive traditional knowledge and related information as a priority for indigenous peoples and local communities and as identified by them;
 - (g) Repatriation can be enhanced by developing the awareness and professional practice of those working on repatriation, including information professionals and indigenous peoples and local communities, in accordance with best practice ethical standards, including the Tkarihwaí:ri Code of Ethical Conduct to Ensure Respect for the Cultural and Intellectual Heritage of Indigenous and Local Communities Relevant to the Conservation and Sustainable Use of Biological Diversity;¹⁷⁷
 - (h) Repatriation includes recognition and support of community-to-community efforts to restore traditional knowledge relevant to conservation and sustainable use of biological diversity.

¹⁷⁶ Related information could include information on where, when and from whom the traditional knowledge was collected and for what purpose, when it is not confidential.

¹⁷⁷ See decision X/42, available at <https://www.cbd.int/decision/cop/default.shtml?id=12308>.

The following is taken from recommendation 9/3 (para. 2) of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity. The glossary of key terms referred to therein has been revised in line with paragraph 1 of the recommendation (UNEP/CBD/COP/13/17)

**A glossary of relevant key terms and concepts to be used within the context of
Article 8(j) and related provisions**

The Conference of the Parties,

Noting that clarity in terms and concepts within the context of Article 8(j) and related provisions can assist in the effective and consistent implementation of Article 8(j) and related provisions, in order to achieve Aichi Biodiversity Target 18, by 2020,

1. *Welcomes* the glossary of key terms and concepts to be used as working definitions within the context of Article 8(j) and related provisions as contained in the note by the Executive Secretary;¹⁷⁸
- [2. *Invites* Parties and other Governments to use the glossary in their development and implementation of relevant national measures, as appropriate;]
- [3. *Requests* the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions to use the glossary as a reference in its future work.]

The following is taken from recommendation 9/4 of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity

**Recommendations from the United Nations Permanent Forum on Indigenous Issues to the
Convention on Biological Diversity**

The Conference of the Parties,

Recalling decision XII/12 F on the terminology “indigenous peoples and local communities”,

Noting the recommendations contained in paragraphs 26 and 27 of the report of the United Nations Permanent Forum on Indigenous Issues on its tenth session;¹⁷⁹

1. *Invites* the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization to consider taking a decision to apply, mutatis mutandis, decision XII/12 F of the Conference of the Parties;
2. *Notes* the recommendations of the United Nations Permanent Forum on Indigenous Issues made at its thirteenth¹⁸⁰ and fourteenth¹⁸¹ sessions, and *requests* the Executive Secretary to continue to inform the Permanent Forum on developments of mutual interest.

¹⁷⁸ UNEP/CBD/COP/13/17.

¹⁷⁹ See *Official Records of the Economic and Social Council, 2011, Supplement No. 23* (E/2011/43-E/C.19/2011/14), available at <http://www.un.org/Docs/journal/asp/ws.asp?m=E/C.19/2011/14>, and Corr.1, available at <http://www.un.org/Docs/journal/asp/ws.asp?m=E/C.19/2011/14/Corr.1>.

¹⁸⁰ *Ibid.*, 2014, *Supplement No. 23* (E/2014/43-E/C.19/2014/11), available at <http://www.un.org/Docs/journal/asp/ws.asp?m=E/C.19/2014/11>, and Corr.1, available at <http://www.un.org/Docs/journal/asp/ws.asp?m=E/2014/43/Corr.1>.

The following is taken from recommendation 9/5 of the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions of the Convention on Biological Diversity

In-depth dialogue on thematic areas and other cross-cutting issues

The Conference of the Parties,

Noting that the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions at its ninth meeting conducted an in-depth dialogue on the topic “challenges and opportunities for international and regional cooperation in the protection of shared traditional knowledge across borders for the strengthening of traditional knowledge and the fulfilment of three objectives of the Convention, in harmony with Nature/Mother Earth”,

1. *Encourages* Parties, other Governments, indigenous peoples and local communities and relevant organizations, and *requests* the Executive Secretary, to consider the advice and recommendations emanating from the dialogue, annexed to the report of the Working Group,¹⁸¹ when implementing the relevant areas of work of the Convention, including tasks 7, 10, 12 and 15 of the programme of work for Article 8(j) and related provisions;

2. *Decides* that the topic for the in-depth dialogue to be held, subject to the agenda of the meeting and the time available, at the tenth meeting of the Working Group on Article 8(j) and Related Provisions, should be:

“Contribution of the traditional knowledge of indigenous peoples and local communities to the implementation of the 2030 Agenda for Sustainable Development with particular emphasis on conservation and sustainable use of biodiversity.”

¹⁸¹ Ibid., 2015, Supplement No. 23 (E/2015/43-E/C.19/2015/10), available at <http://www.un.org/Docs/journal/asp/ws.asp?m=E/2015/43>.

¹⁸² UNEP/CBD/COP/13/3.

Item 15. Marine and coastal biodiversity: ecologically or biologically significant marine areas; specific work plan on biodiversity and acidification in cold-water areas; addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity; and marine spatial planning and training initiatives

The following is taken from recommendation XX/3 of the Subsidiary Body on Scientific, Technical and Technological Advice

Marine and coastal biodiversity: ecologically or biologically significant marine areas

The Conference of the Parties,

Recalling decisions X/29, XI/17 and XII/22 on ecologically or biologically significant marine areas,

Also recalling that the United Nations Convention on the Law of the Sea sets out for its Contracting Parties the legal framework within which all activities in the oceans and seas must be carried out,

Reiterating the central role of the General Assembly of the United Nations in addressing issues relating to the conservation and sustainable use of biodiversity in marine areas beyond national jurisdiction,

1. *Welcomes* the summary reports prepared by the Subsidiary Body on Scientific, Technical and Technological Advice at its twentieth meeting and the reports of the regional workshops to facilitate the description of ecologically or biologically significant marine areas held in three regions: North-East Indian Ocean (Colombo, Sri Lanka, 22-27 March 2015); North-West Indian Ocean (Dubai, United Arab Emirates, 19-25 April 2015); and the Seas of East Asia (Xiamen, China, 13-18 December 2015), and expresses its gratitude to the Government of Japan (through the Japan Biodiversity Fund) and the European Commission for their financial support and to hosting countries and collaborating organizations involved in the organization of the regional workshops referred to above;

2. *Requests* the Executive Secretary to include the summary reports prepared by the Subsidiary Body on Scientific, Technical and Technological Advice at its twentieth meeting, annexed to the present draft decision, in the repository of ecologically or biologically significant marine areas, and to submit the summary reports to the United Nations General Assembly, in particular its Preparatory Committee established by General Assembly resolution 69/292: the Development of an international legally binding instrument under the United Nations Convention on the Law of the Sea on the conservation and sustainable use of marine biological diversity of areas beyond national jurisdiction, as well as Parties, other Governments and relevant international organizations in line with the purpose and procedures set out in decisions X/29, XI/17 and XII/22, and *also requests* the Executive Secretary to submit the reports to the Ad Hoc Working Group of the Whole on the Regular Process for Global Reporting and Assessment of the State of the Marine Environment, including Socioeconomic Aspects;

3. *Encourages* Parties in the North-East Atlantic region to finalize the ongoing process for the description of areas meeting the criteria for ecologically or biologically significant marine areas in this region;

4. *Notes with satisfaction* that the summary reports on the description of areas meeting the criteria for ecologically or biologically significant marine areas have informed the United Nations General Assembly, the Food and Agriculture Organization of the United Nations, the International Maritime Organization, the Convention on the Conservation of Migratory Species of Wild Animals and the Intergovernmental Oceanographic Commission/Ocean Biogeographic Information System, as well as a number of regional and subregional processes, and *invites* competent organizations to make use of the information on ecologically or biologically significant marine areas in their relevant activities;

5. *Expresses* appreciation to those Parties that have initiated or completed national exercises to describe areas meeting the criteria for ecologically or biologically significant marine areas or other relevant compatible and complementary nationally or intergovernmentally agreed scientific criteria, and those that have participated in the regional workshops under the Convention to describe areas within their national jurisdiction

meeting the criteria for ecologically or biologically marine significant areas, and invites Parties to provide information on any additional national exercises;

6. *Requests* the Executive Secretary, subject to the availability of financial resources, in line with paragraph 36 of decision X/29, paragraph 12 of decision XI/17 and paragraph 6 of decision XII/22, to continue to facilitate the description of areas meeting the criteria for ecologically or biologically significant marine areas through the organization of additional regional or subregional workshops where Parties wish workshops to be held;

[7. *Takes note* of the practical options for further enhancing scientific methodologies and approaches, including collaborative arrangements, for the description of areas meeting the criteria for ecologically or biologically significant marine areas, as contained in annex I to the present draft decision;]

[8. *Requests* the Executive Secretary to facilitate the implementation of the practical options, referred to in the above paragraph, and establish, following the guidance on the expert groups contained in the consolidated modus operandi of SBSTTA (decision VIII/10, annex III (h)), an informal advisory group for ecologically or biologically significant marine areas, in accordance with the terms of reference provided in annex II to the present draft decision, subject to available financial resources, and report on its progress and submit the outputs of its work after peer-review, for consideration to a meeting of the Subsidiary Body of Scientific, Technical and Technological Advice, prior to the fourteenth meeting of the Conference of the Parties;]

9. *Recalling* paragraph 24 of decision XI/17 and paragraph 15 of decision XII/22, *welcomes* the training manual on the use of traditional knowledge in the application of the criteria for ecologically or biologically significant marine areas, and *requests* the Executive Secretary, in collaboration with Parties, other Governments, donors, relevant organizations, and indigenous peoples and local communities to use this training manual in organizing training activities, as appropriate and subject to the availability of financial resources;

10. *Recalling* paragraph 11 of decision XII/22, *invites* Parties, other Governments and competent intergovernmental organizations to share their experiences in undertaking scientific and technical analysis of the status of marine and coastal biodiversity in areas within their respective jurisdictions or mandates, described as meeting the criteria for ecologically or biologically significant marine areas and contained in the repository of ecologically or biologically marine significant areas, through national reports and/or voluntary reports, and *requests* the Executive Secretary to make this information available through the clearing-house mechanism;

11. *Recalling* paragraph (d) of the annex to decision X/29, in which the Conference of the Parties endorsed guidance for the implementation of the programme of work on marine and coastal biodiversity, including the indicative list of activities for operational objective 2.4 of programme element 2 on marine and coastal living resources, *further encourages* Parties and *invites* other Governments and intergovernmental organizations, within their respective jurisdiction and competence, to take measures to ensure conservation and sustainable use by implementing relevant tools, including area-based management tools such MPAs, environmental impact assessments and strategic environmental assessments, and to share their experience in taking these measures, through national reports and/or voluntary reports, and *requests* the Executive Secretary to make this information available through the clearing-house mechanism;

12. *Invites* Parties, as appropriate, to consider designating national focal points for the programme of work on marine and coastal biodiversity in support of the Convention's national focal point, to facilitate effective and coordinated communication in support of the implementation of the Convention's programme of work on marine and coastal biodiversity.

*Annex I**

PRACTICAL OPTIONS FOR FURTHER ENHANCING SCIENTIFIC METHODOLOGIES AND APPROACHES, INCLUDING COLLABORATIVE ARRANGEMENTS, ON THE DESCRIPTION OF AREAS MEETING THE CRITERIA FOR ECOLOGICALLY OR BIOLOGICALLY SIGNIFICANT MARINE AREAS

Some of the activities suggested below could be undertaken, on a voluntary basis, by Parties and other Governments, in collaboration with relevant organizations, facilitated by the Executive Secretary, and some are to be undertaken by the Executive Secretary, as specified, subject to available financial resources, in line with the purpose and procedures set out in decisions X/29, XI/17 and XII/22, in accordance with national legislation, for areas within national jurisdiction, and in accordance with international law, including the United Nations Convention on the Law of the Sea, for areas beyond national jurisdiction, as appropriate. The results of the activities, outlined below, to be undertaken by the Executive Secretary shall be submitted, after peer review, as appropriate, for consideration to the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties.

1. Improving data compilation and synthesis and application of the EBSA criteria

1.1 Improving the scientific guidance for the application of the EBSA criteria

Existing scientific guidance includes the training manual and modules for the description of EBSAs (UNEP/CBD/SBSTTA/16/INF/9, prepared in 2012) and the scientific and technical guidance on the use of biogeographic classification systems and the application of the scientific criteria for the EBSAs (UNEP/CBD/SBSTTA/14/INF/4, prepared in 2009). The Executive Secretary could improve existing guidance by incorporating the lessons learned from the EBSA regional workshops and national exercises on the description of EBSAs held thus far. In particular, more detailed guidance could be provided on the following: interpretation of each criterion, examples of how to apply the criteria; assessments/rankings of the regional significance of areas relative to each of the EBSA criteria; the issue of thresholds in determining the degree to which an area meets each of the criteria; expert evaluation; areas that meet multiple criteria; dealing with relatively small ecosystem features vs. very extensive oceanographic features; areas that are overlapping or nested within broader areas meeting the EBSA criteria; and different ecological and biological characteristics of areas meeting the EBSA criteria.

1.2 Improving the systematic assessment of areas against the EBSA criteria

Future applications of the EBSA criteria through appropriate processes could be supported by prior systematic assessments of areas at the national, regional or subregional scale undertaken by Parties and other Governments, in collaboration with relevant organizations.

1.3. Characterizing areas meeting the EBSA criteria

The description of areas meeting the EBSA criteria could be enhanced by adding information on the characterization of areas meeting the EBSA criteria. This characterization could generally be related to the spatial and temporal dynamics of ecological and biological characteristics and the degree to which the boundaries are ecologically distinct within an area.

1.4. Improving data availability and accessibility

A number of steps can be taken to improve the availability of relevant data and the ability of experts to make use of it, including:

For Parties and other Governments

- (a) Coordinating with experts, relevant scientific institutions and regional organizations, e.g. through EBSA preparatory meetings, to provide scientific input to EBSA regional or subregional workshops and/or national exercises on the description of EBSAs;
- (b) Making available, as appropriate, the direct online links to (or hardcopies of) respective scientific papers or reports, relevant to the scientific data/information, including the results of statistical analysis or modelling, submitted to the workshops;
- (c) Involving various sectors, business communities and civil society who hold relevant scientific information, while also exploring ways and means to address their concerns related to data confidentiality;

* Document UNEP/CBD/COP/13/18 has been prepared to complement the information contained in annex, in line with recommendation XX/3, paragraphs (a) to (d) of the Subsidiary Body on Scientific, Technical and Technological Advice.

(d) Facilitating the full and effective participation of indigenous peoples and local communities, in the description of areas meeting the EBSA criteria.

CBD Secretariat and relevant organizations

(e) Facilitating EBSA training opportunities, at least two to three months prior to the regional workshops, so that participants are fully aware of the types and range of data that would be useful to compile and so that the workshop organizers are aware of the types of information, including traditional knowledge, that could be available to the workshop;

(f) Engaging relevant United Nations/international organizations, regional seas organizations, regional fisheries bodies, large marine ecosystem programmes, or other relevant regional initiatives, and international networks of scientific institutions to better connect information sources;

1.5. Enhancing the use of the traditional, scientific, technical and technological knowledge of indigenous peoples and local communities

Given the unique challenges associated with the use of traditional knowledge, more work should be done to identify effective ways of including that information. Training activities could be organized, targeting both the experts from indigenous peoples and local community and from scientific institutions prior to workshops at the relevant scale. This would build on the training manual on incorporating traditional knowledge into the description of EBSAs, as contained in document UNEP/CBD/SBSTTA/20/INF/21, as well as the relevant work by the Intergovernmental science-policy Platform on Biodiversity and Ecosystem Services.¹⁸³

2. Approaches for incorporating new information and new consideration of existing information in future description of areas meeting the EBSA criteria, including both scientific and traditional knowledge

In support of incorporating new information and new consideration of existing information, a number of steps can be taken, including:

Parties and other Governments

(a) Exploring ways to make use of the national biodiversity clearing-house mechanism (CHM) and/or other relevant online portals for making available new scientific information related to existing and future description of areas meeting the EBSA criteria;

(b) Undertaking a gap analysis with regard to available information on the geographic coverage as well as coverage of ecological and biological features of existing descriptions of areas meeting the EBSA criteria within their respective national jurisdiction;

(c) Providing new scientific information as well as the results of the gap analyses as inputs to future national, regional or subregional workshops;

(d) Facilitating the compilation of traditional knowledge related to the existing and future description of areas meeting the EBSA criteria, with the prior informed consent of indigenous peoples and local communities, where relevant;

(e) Inviting relevant organizations, in particular scientific institutions, and individual experts to provide new information related to existing and future description of areas meeting the EBSA criteria;

CBD Secretariat and relevant organizations

(f) Updating existing scientific guidance and develop guidelines regarding new information collection, protocol for data quality control, and guidelines for gap analysis;

(g) Facilitating relevant training opportunities, in partnerships with relevant United Nations/international organizations or initiatives, such as and the Ocean Biogeographic Information System of Intergovernmental Oceanographic Commission/UNESCO and the Global Ocean Biodiversity Initiative (GOBI).

¹⁸³ For example, the report from the Expert workshop on Indigenous and Local Knowledge Systems to IPBES, June 2013, Tokyo, as contained in document IPBES/2/INF/1.

3. Enhancing the EBSA repository and information-sharing mechanism

The EBSA repository and information-sharing mechanism may be enhanced by the CBD Secretariat through a number of measures:

- (a) Including in the functionality of the EBSA repository and information-sharing mechanism multi-faceted filtering with the ability to search based on ecological or biological characteristics;
- (b) Applying cartographic methods to better visualize the information associated with the respective areas meeting the EBSA criteria on the map, by providing metadata, such as the characterization of ecological or biological features, ranking of different EBSA criterion, sources of information etc. Any additional precision in mapping should be in line with the original EBSA description, and facilitate better communication of the information in the EBSA description through publications and the EBSA website (www.cbd.int/ebsa);
- (c) Providing links to relevant information portals, such as the Ocean Biogeographic Information System (OBIS) of IOC/UNESCO or other relevant global/regional information portals related to areas described as meeting the EBSA criteria;
- (d) Facilitating access to more detailed information about each area meeting the EBSA criteria by linking the information-sharing mechanism with other databases and/or knowledge holders at national and global levels (e.g., experts, referenced authors), respecting formal information-sharing agreements, as appropriate.

Annex II

TERMS OF REFERENCE OF AN INFORMAL ADVISORY GROUP ON ECOLOGICALLY OR BIOLOGICALLY SIGNIFICANT MARINE AREAS

I. MANDATES

1. The informal advisory group shall, in providing scientific and technical advice to the Executive Secretary, have the following objectives:

- (a) Provide scientific and technical advice on matters relating to revising and further developing existing scientific guidance, particularly regarding information collection, protocol for data quality control and sharing, gap analysis, systematic assessment against the EBSA criteria, and improvement of EBSA repository functionalities;
- (b) Provide scientific and technical advice regarding the potential need for organizing additional subregional/regional/global workshops, based on the analysis of new information and a gap analysis with regard to the geographic coverage as well as coverage of ecological and biological features of existing areas meeting the EBSA criteria in areas beyond national jurisdiction.

II. COMPOSITION

2. The Executive Secretary, in consultation with the Bureau of the Subsidiary Body on Scientific, Technical and Technological Advice, will select scientific and technical experts from the nominations submitted by Parties, other Governments and relevant organizations. The informal advisory group shall comprise up to 30 experts who are competent in the relevant field of expertise, with no more than 15 selected from a roster developed on the basis of nominations from Parties, with due regard to geographical representation, to gender balance and to the special conditions of developing countries, in particular the least developed countries, small island developing States, and countries with economies in transition, as well as a limited number of experts nominated by other Governments and by relevant organizations depending on the subject matter. The number of experts from other Governments and relevant organizations shall not exceed the number of experts nominated by Parties.

3. The informal advisory group members shall be selected for a two-year period. The term is renewable by the Executive Secretary in consultation with the Bureau of the Subsidiary Body on Scientific, Technical and Technological Advice. The Executive Secretary should ensure that changes in membership do not affect the continuity of the work.

4. The informal advisory group may also draw on existing expertise and liaise with relevant international, regional and national organizations, as appropriate, in the execution of its mandate.

III. OPERATIONAL PROCEDURES

5. The Secretariat will use available means of electronic communication to reduce the requirement for face-to-face meetings. Subject to the availability of financial resources, the informal advisory group will meet as needed to ensure timely provision of advice, and will, wherever possible, meet back-to-back with other relevant meetings.

6. The results of the activities outlined above by the informal advisory group shall be submitted, after peer-review, as appropriate, for consideration to the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to a future meeting of the Conference of the Parties.

Addendum

SUMMARY REPORT ON THE DESCRIPTION OF AREAS MEETING THE SCIENTIFIC CRITERIA FOR ECOLOGICALLY OR BIOLOGICALLY SIGNIFICANT MARINE AREAS

BACKGROUND

1. Pursuant to decision X/29, paragraph 36, decision XI/17, paragraph 12 and decision XII/22, paragraph 6, the following three additional regional workshops were convened by the Executive Secretary of the Convention on Biological Diversity:

- (a) North-East Indian Ocean (Colombo, Sri Lanka, 23 to 27 March 2015);¹⁸⁴
- (b) North-West Indian Ocean and Adjacent Gulf Areas (Dubai, United Arab Emirates, 20 to 25 April 2015);¹⁸⁵
- (c) Seas of East Asia (Xiamen, China, 14 to 18 December 2015);¹⁸⁶

2. Pursuant to decision XI/17, paragraph 12, summaries of the results of these regional workshops are provided in tables 1 to 3 below, respectively, while full descriptions of how the areas meet the criteria for ecologically or biologically significant marine areas (EBSAs) are provided in the annexes to the respective reports of the workshops (UNEP/CBD/SBSTTA/20/INF/22, UNEP/CBD/SBSTTA/20/INF/23 and UNEP/CBD/SBSTTA/20/INF/24).

3. In decision X/29, paragraph 26, the Conference of Parties noted that the application of the EBSA criteria is a scientific and technical exercise, that areas found to meet the criteria may require enhanced conservation and management measures, and that this can be achieved through a variety of means, including marine protected areas and impact assessment. It also emphasized that the identification of ecologically or biologically significant marine areas and the selection of conservation and management measures is a matter for States and competent intergovernmental organizations, in accordance with international law, including the United Nations Convention on the Law of the Sea.¹⁸⁷

4. The description of marine areas meeting the criteria for ecologically or biologically significant marine areas does not imply the expression of any opinion whatsoever concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Nor does it have economic or legal implications; it is strictly a scientific and technical exercise.

Key to the tables

RANKING OF EBSA CRITERIA

Relevance

H: High

M: Medium

L: Low

-: No information

CRITERIA

- C1: Uniqueness or rarity
- C2: Special importance for life-history stages of species
- C3: Importance for threatened, endangered or declining species and/or habitats
- C4: Vulnerability, fragility, sensitivity, or slow recovery
- C5: Biological productivity
- C6: Biological diversity
- C7: Naturalness

¹⁸⁴ Report contained in UNEP/CBD/SBSTTA/20/INF/22.

¹⁸⁵ Report contained in UNEP/CBD/SBSTTA/20/INF/23.

¹⁸⁶ Report contained in UNEP/CBD/SBSTTA/20/INF/24.

¹⁸⁷ United Nations, *Treaty Series*, vol. 1833, No. 31363.

Table 1. Description of areas meeting the EBSA criteria in the North-East Indian Ocean

(Details are provided in the appendix to annex IV of the Report of the North-East Indian Ocean Regional Workshop to Facilitate the Description of Ecologically or Biologically Significant Marine Areas (EBSAs), UNEP/CBD/SBATT/20/INF/22)

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 90						
1. Shelf Break Front <ul style="list-style-type: none"> Location: The area is located between 9.683°N, 97.364°E and 6.089°N and 98.073°E, off the coast of Thailand, and covers 13,176 km². In the Shelf Break Front, a hydrodynamic process generated by internal waves plays an important role in transporting water that is rich in inorganic nutrients into the Andaman Shelf Sea. This process creates an area of elevated phytoplankton production related to fish larvae abundance due to the intrusion of deep water over the shelf. At the shelf front and its surrounding areas, phytoplankton biomass and production are three times greater than in shelf flat water, and fish larvae abundance is two times greater. The high biological productivity of the “Shelf Break Front” provides substantial spawning and feeding grounds, supporting, in particular, a potential fishery ground. 	H	H	-	-	H	H	H
2. Lower Western Coastal Sea <ul style="list-style-type: none"> Location: The centre of the area is 99.081°E and 7.213°N in coastal area of Thailand, covering 17,500 km² and including 643 km² of coastline. The area comprises diverse ecosystems covering 10 river mouths, 1,263 km² of mangroves, 80 km² of seagrass and 68 km² of coral reefs. All eleven species of seagrass in Thailand are found in the area. There are more than 269 species of corals and 96 species of reef fish. The area is also home to many endangered marine species, such as dugongs, sea turtles, whales, dolphins, whale sharks and manta rays. 	H	H	H	H	H	H	L
3. Trang, Home of the Dugongs <ul style="list-style-type: none"> Location: The area is located off the south-western coast of Thailand and covers 1,619 km². The area is centred at 99.349°E and 7.284°N. The area harbours the largest aggregation of dugongs in Thailand. There are about 150 dugongs in the area, with declining abundance. Over the past 10 years, there was an average of five dugong mortalities annually. This area is located within area no. 2 (above) but described separately as an individual area meeting the EBSA criteria as it focuses on the particular ecological importance of this system for dugongs. 	H	H	H	H	H	M	L
4. The Southern Coastal and Offshore Waters between Galle and Yala National Park <ul style="list-style-type: none"> Location: The area extends along the south coast of Sri Lanka from Galle to the furthest extent of Yala National Park (terrestrial) of Sri Lanka and offshore to the start of the abyssal plain. This is an area of high primary productivity within the northern Indian Ocean. It encompasses two submarine canyons known for enhancing productivity off the southern coast of the island, hosts high numbers of blue whales throughout the year, supports a number of other species of marine megafauna, and covers a range of bathymetric contours ranging across the continental slope (important habitat for blue whales) to the abyssal plain. The region is of particular importance because it contains habitat supporting a year-round population of non-migratory blue whales. Furthermore, 	H	H	H	M	H	M	-

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 90						
the area supports regular occurrences of 20 other cetacean species, five species of turtles, whale sharks, manta rays and four species of mobula ray. These include the critically endangered hawksbill, endangered green and loggerhead turtles, and vulnerable olive ridley and leatherback turtles. Furthermore, this area also supports other marine predators such as tuna, billfish species and a number of species of sharks, including the bull and silky sharks.							
5. Coastal and Offshore Area of the Gulf of Mannar <ul style="list-style-type: none"> Location: The area is located off the coast of Sri Lanka, from Thalaimannar (9° 05' N, 79° 42' E) in the north to the Kalpitiya peninsula (8° 03' N, 79° 42' E), including Puttalam Lagoon. The Gulf of Mannar is one of the most biologically diverse coastal regions in the world. It is also among the largest remaining feeding grounds for the globally endangered dugong. Five different species of endangered marine turtles, mammals, innumerable fish, mollusks and crustaceans are also found here. The Gulf of Mannar region supports a variety of habitats within the main ecosystems of coastal lagoons, seagrass beds and coral reefs. Due to the high productivity of the area, it is an important fishing ground both for India and Sri Lanka. 	H	M	H	H	-	H	L
6. Trincomalee Canyon and Associated Ecosystems <ul style="list-style-type: none"> Location: The area is located between 81.17E 8.43N and 81.63E, 9.02N in nearshore waters adjoining the Trincomalee Harbour, in the Eastern Province of Sri Lanka. It covers 1,500 km². Trincomalee is a multiple submarine canyon complex, the largest in the country, and one of the 20 largest submarine canyons in the world. Trincomalee Bay is unique and hosts one of the world's largest natural harbours connected to a deep canyon located on the east coast of Sri Lanka. Trincomalee Canyon and associated ecosystems are biologically rich and important areas, especially for globally endangered sperm whales and blue whales. Adjacent ecosystems include coral reef ecosystems. 	H	-	H	-	-	H	M
7. Rasdhoo Atoll Reef <ul style="list-style-type: none"> Location: The area is located at the North-Eastern tip of Ari Atoll, Maldives, at 4°15'46"N, 72°59'29"E. Rasdhoo Atoll is among the few small atolls in Maldives with special ecological features. The atoll has four islands and three sandbanks. The channel between Rasdhoo Island and Madivaru Island is known as a famous diving site to spot hammerhead sharks, which can be seen in abundance throughout the year at depths of 25 to 60 metres. Since the atoll is isolated and surrounded by deep sea, it acts as a sanctuary for the juvenile fishes to grow in safety at its shallow atoll rim. For this reason the atoll is famous for its large number of reef fish and frequent visits by their predators like the hammerhead shark. Due to its rich biodiversity and unique value, the Environmental Protection Agency of Maldives has also included this atoll on its list of Environmentally Sensitive Areas. 	H	H	H	H	H	-	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 90						
8. Baa Atoll <ul style="list-style-type: none"> Location: The area is located in the western chain of atolls in the central part of the Maldives, just north of Kaashidhoo Kandu channel. The unique biophysical system of Baa Atoll and its core area, Hanifaru Bay, seasonally concentrates plankton, attracting large numbers of planktivorous megafauna. The area is of world class importance for endangered reef manta rays. This atoll has been a focus of an Atoll Ecosystem Conservation project (AEC) co-funded by GEF. The AEC work examined taxa inventories and yielded 178 species of macrophytes, 173 species of coral, 350 species of fish, 115 species of hydrozoans, 182 species of other selected invertebrates, for a total of 998 species combined on all 29 sites. On the 18 sites with exhaustive inventories, 941 species were recorded. A map of biodiversity for the entire atoll was created combining point biological census data with habitat maps. Baa Atoll was declared a UNESCO Biosphere Reserve in 2011. A core area, Hanifaru Island, was designated a Maldives MPA in 2009. 	H	M	H	H	M	M	M
9. Upwelling Zone of the Sumatra-Java Coast <ul style="list-style-type: none"> Location: The area runs along the western coast of Sumatra (Indonesia) to the southern coast of Java, where upwelling occurs seasonally, enhancing marine productivity in the area. This area extends beyond national jurisdiction off the coast of Sumatra-Java, based on the location of the seasonal upwelling. Wind-driven upwelling occurs in the coastal areas of Sumatra-Java during the southeast monsoon and is related to the <i>El Nino Southern Oscillation</i> (ENSO) and the Indian Ocean Dipole Mode (IODM). The upwelling zone is nutrient enriched, attracting fish and other marine animals to use this area as a feeding, spawning and nursery ground. The productive upwelled waters are expected to support high levels of marine biodiversity, including some endemic marine species such as sharks and rays, as well as new species that are still being discovered. The area supports an active pelagic fishery. This area off the Sumatra coast consists of a seismogenic zone in the subduction zone, the Sumatran Fault Zone, and the fracture zone contributing to earthquake and tsunami along the Sumateran margin. Corals in the area recovered quickly from the 2004 tsunami, suggesting the importance of the area to longer-term coral health. 	H	H	M	H	M	M	H
10. Olive Ridley Sea Turtle Migratory Corridor in the Bay of Bengal <ul style="list-style-type: none"> Location: The area is located beyond national jurisdiction, in the Bay of Bengal. The coast of the Indian state of Odisha is the world's largest nesting site for olive ridley turtles. The mouths of the Devi, Rushikulya and Bhitarkanika rivers hold the world's largest nesting congregation of this species. Satellite telemetry studies have demonstrated that the majority of turtles migrate north-south/south-north to and from Sri Lanka. However, beyond this point no pattern has been established. The congregation and nesting of the olive ridley turtles within the Indian EEZ are protected by the environmental laws/acts of the country, however, the corridors in which they move for feeding and mating are unprotected. A major segment of the olive ridley population visiting the Odisha coast is from southern Sri Lanka. Genetic studies confirmed the results from tagging and satellite telemetry studies and showed that there is no genetic difference between nesting populations in each of the mass nesting beaches. More significantly, the results revealed the distinctiveness of the population on the east coast of India and Sri Lanka, and suggested that this population is the ancestral source of contemporary global populations of olive ridley sea turtles. 	H	H	H	H	-	L	M

Table 2. Description of areas meeting the EBSA Criteria in the North-West Indian Ocean and Adjacent Gulf Areas

(Details are provided in the appendix to annex IV of the Report of the North-West Indian Ocean and Adjacent Gulf Areas Regional Workshop to Facilitate the Description of Ecologically or Biologically Significant Marine Areas (EBSAs), UNEP/CBD/SBSTTA/20/INF/23)

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
1. South-west Waters of Abu Dhabi <ul style="list-style-type: none"> Location: The area is located to the south-west of Abu Dhabi Emirate, United Arab Emirates. The near-shore water is less than 15 metres deep and supports critical habitats of several important marine species. This area is rich in critical habitats, such as mangroves, seagrass beds, coral reefs, algal mats and salt flats. These habitats support an important spectrum of marine life, including seabirds and migratory waders, and a large population of critically endangered hawksbill turtles (<i>Eretmochelys imbricata</i>) and dugongs. 	M	H	H	M	M	M	M
2. Marawah <ul style="list-style-type: none"> Location: The area is located at a distance of 120 km west of Abu Dhabi Island. The central location is N24.43153 E53.24341, and it includes islands as well as shallow areas. The area comprises a range of unique marine and coastal habitats, including sand flats, mangroves, seagrass beds and coral reefs. These are especially important to migratory and endangered species. The area supports the second-largest population of dugongs (<i>Dugong dugon</i>) in the world after Australia. The area provides crucial nurseries and spawning grounds for a wide variety of fish species and is regionally important as a foraging habitat for the critically endangered hawksbill turtle (<i>Eretmochelys imbricata</i>) and the endangered green turtle (<i>Chelonia mydas</i>). Furthermore, the islands within the protected area provide important nesting sites for hawksbill sea turtles and a number of migratory birds, including about 5 per cent of the world population of the vulnerable Socotra cormorant (<i>Phalacrocorax nigrogularis</i>). 	H	H	H	M	M	M	M
3. Jabal Ali <ul style="list-style-type: none"> Location: The area is approximately 1.2 km from the Abu Dhabi-Dubai border, and 3.7 km from the Sheikh Zayed Highway (position 292020.0800 E, 2755066.7720 N). It extends on average 2.5 km into the Gulf, depending on the contour of the coastal line, and along approximately 15 km of the coastal area. The area covers a 2,185 ha shallow subtidal seabed, sloping gently offshore to depths up to 9 m. There is no accentuated bottom topography over most of the area, except some low ridges, which rise less than 2 m above the surrounding flat sea bed. The coastline is relatively straight, without major headlands or embayments. It is characterized by sandy beaches continuing into low sand dunes. At least 291 species of flora and fauna can be observed in the area. It is the only remaining nesting site of the critically endangered hawksbill sea turtle (<i>Eretmochelys imbricata</i>) in Dubai. 	H	H	H	H	-	M	M
4. Khor Kalba <ul style="list-style-type: none"> Location: the area is located in Kalba town in Sharjah Emirate, on the east coast of the United Arab Emirates (UAE). The area extends one nautical mile from the shoreline edge in the East. The area covers a mangrove forest over the banks of a natural creek extending almost 2km and hosting rich biodiversity. It is home to endemic subspecies of avifauna, and the only place in the United Arab Emirates where 	H	M	M	M	M	H	H

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
certain species of crabs and molluscs exist. This area is a habitat for a sub-species of Arabian collared kingfisher (<i>Todiramphus chloris</i>) called <i>kalbaensis</i> ; it is the only occurrence location of the giant mud creeper (<i>Terebralia palustris</i>) and the giant mud crab (<i>Scylla serrate</i>). More than 300 species of birds are there, some of them breeding species, including <i>Himantopus himantopus</i> (up to 10 pairs), <i>Merops superciliosus</i> (summer visitor, less than 100 pairs), and <i>Hippolais rama</i> (c.10 pairs; the only proven breeding site in the Arabian peninsula). Winter visitors include <i>Ardeola grayii</i> (max. 10; the only regular site in the UAE), and <i>Merops superciliosus</i> is also common on autumn passage (max. 500 at roost, September). Sea turtles (hawksbill, green and loggerhead) feed in the creek on the island. The area is the oldest and largest mangrove forest in the UAE, and holds the largest mangroves in diameter and height in the UAE. The area is richer in above- and below-ground carbon storage than any other site in the UAE.							
5. Sir Bu Na'air Island <ul style="list-style-type: none"> Location: The area is located in the Gulf, 65 km north of Abu Dhabi and 110 km north-west of Sharjah. The area is home to more than 300 nesting hawksbill turtles every year (largest nesting population in United Arab Emirates) with breeding seabirds that represent more than 1 per cent of the estimated global population and a very healthy coral reef system. 	H	H	H	H	-	M	M
6. Sulaibikhat Bay <ul style="list-style-type: none"> Location: Sulaibikhat Bay - Kuwait Bay with a position at 29.337169E, 47.857175N. The area covers critical habitats in the Gulf, such as coral reefs, mangroves, seagrass and algal beds. These habitats have received most scientific attention due to their biological productivity, provision of nutrients and high biodiversity. Microbial mats associated with the vast areas of intertidal flats of Sulaibikhat Bay (Kuwait Bay) contribute far more to intertidal productivity than other sources, particularly in the absence of seagrass and mangroves. Microbial mats are important in the dynamics of intertidal and subtidal regions of Sulaibikhat Bay, supporting a wide variety of intertidal and subtidal macrofauna. In Sulaibikhat Bay alone they form the base of the food web for 82 macrofaunal species, 49 of which occur within the accessible upper intertidal region (14 crustaceans, 2 molluscs, 1 sipunculoid, 8 fish species and 24 avian species) and 33 subtidal fish and shellfish species, of which several are known to visit the intertidal region during high tide. 	H	H	M	M	H	H	L
7. Qaro and Umm Al-Maradem <ul style="list-style-type: none"> Location: Qaro Island 28.817253E, 48.776904N; Umm Al-Maradem Island 28.679059E, 48.654322N This area hosts 35 recorded species of Scleractinian corals from 12 families, with 27 species being hermatypic and eight species ahermatypic, and is considered to be important habitat for diverse species. Fish is the most diverse group of vertebrates found in the coral reefs, with a total of 124 recorded species. These reefs also offer a breeding site for turtles and provide food for species like seabirds and dolphins. The coral reef community suffers harsh environmental conditions, such as high temperatures and high salinity, which can affect the number of coral species in the area. 	H	H	H	M	H	H	M
8. Nayband Bay <ul style="list-style-type: none"> Location: The area is located in the northern part of the Gulf, extending from north-west to south-east along more 	H	H	H	H	-	M	L

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
<p>than 90km of the mainland coastline of Iran, which includes Nayband marine-coastal national park.</p> <ul style="list-style-type: none"> The area is located on the northern coast of the Gulf. This area has a wide range of terrestrial and marine habitats, including coastal sand dunes, rocky, muddy and sandy shores, coral reefs, mangrove forests, seagrass beds, intertidal marshes and estuaries. It is the only coral reef area of the mainland coastal waters of the northern Gulf and is one of the most important nesting sites and feeding grounds in the area for hawksbill, green sea and olive ridley sea turtles. The area has a high diversity of marine and coastal habitats and represents a unique area within the northern Gulf. 							
<p>9. Qeshm Island and adjacent marine and coastal areas</p> <ul style="list-style-type: none"> Location: The area is located along 250 km of the mainland coast of Iran and extends from Tiab and Minab protected area in the north-east to the west end of Qeshm Island. The area comprises Qeshm, Hormuz, Larak and Hengam islands, as well as more than 250 km of mainland coastal areas of Iran. It includes several protected areas, wetlands of international importance (Ramsar sites), biosphere reserves and important bird areas (IBAs). Qeshm Island and adjacent marine and coastal areas have a wide range of coastal and marine habitats, including coral reefs, mangrove forests, seagrass beds, estuaries, and rocky, muddy and sandy shores, including the largest mangrove forest of the Gulf and Oman Sea. The coral reefs of the area are the richest and are among the healthiest ecosystems in the Gulf. This area supports significant feeding, breeding and nursery grounds for sea turtles, waterbirds, dolphins, reef fishes, sharks, rays and skates. 	H	H	H	H	-	H	H
<p>10. Churna-Kaio Island Complex</p> <ul style="list-style-type: none"> Location: The area is located west of Karachi, and covers about 400 sq. km. It is a medium-sized island facing the Hub River Delta, and an islet, Kaio Island, located near the town of Gaddani. . The area is known for high biodiversity because of its variety of habitats. It has a diversified coral assemblage around Churna and Kaio Islands whereas at the mouth of the River hub there are rich mudflats and oyster reefs. Churna–Kaio Islands Complex is known to be an important basking and feeding area for marine megafauna, including baleen whales, whale shark, mobulids and sunfishes. 	H	M	H	M	H	M	M
<p>11. Khorī Great Bank</p> <ul style="list-style-type: none"> Location: The area is located along southeast coast of Sindh province, Pakistan. It extends from the coast to offshore waters, covering an area of about 22,500 sq km, with a maximum depth of about 1,500 m. The unique physical feature of the area is Indus Canyon, known as the Swatch. Khorī Great Bank is known to be rich in biodiversity, including cetaceans, sharks, fish and invertebrates. A number of species of cetaceans, including rough-toothed dolphin (<i>Steno bredanensis</i>) and Longman's beaked whales (<i>Indopacetus pacificus</i>), have been reported in this area. It is an important fishing ground, particularly for large sharks, whose population has dwindled over the past 15 years. 	H	H	H	L	M	H	H

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
12. Malan-Gwader Complex <ul style="list-style-type: none"> Location: The area extends over an area of about 8,750 sq. km, and is located along the Balochistan coast of Pakistan. The area is known for its rocky headland located at Malan, Ormara, Pasni and Gwader, in addition to the largest island of Pakistan, which is also located within the complex. This complex is specifically known for presence of population of a number of cetacean species including dolphins and whales. Arabian humpback whale (<i>Megaptera novaeangliae indica</i>), blue whales (<i>Balaenoptera musculus</i>) and Bryde's whale (<i>Balaenoptera edeni</i>) are regularly recorded from the complex. The area covers two Ramsar sites: Ormara Turtle Beaches and Astola (Haft Talar) Island, as well as a large lagoon. 	H	H	H	H	H	H	M
13. Miani Hor <ul style="list-style-type: none"> Location: The area is a lagoon located about 95 km northwest of Karachi, Pakistan. It is 60 km long and 4 to 5 km wide, and connected to the sea through a 4 km wide mouth, located in the southeast of the lagoon. The area is known for high biodiversity with diversified mangrove flora and its rich population of both invertebrates and vertebrate animals. It is an important for migratory and non-migratory bird species as well as a resident population of Indo-pacific humpback dolphin (<i>Sousa plumbea</i>). 	H	H	M	H	H	H	M
14. Arabian Sea Oxygen Minimum Zone <ul style="list-style-type: none"> Location: The area is in the Arabian Sea spreading along India, Pakistan, Iran, Oman and Yemen. It is also present in Gulf of Oman between Iran and Pakistan. The Arabian Sea is known to have a large oxygen minimum zone (OMZ) located between depths of 200 to 1000 m. Oxygen levels in this zone can be as low as 0.1 mg/l. The low oxygen zone contains nitrite maxima, suggesting active nitrate reduction and denitrification, which results in utilization of oxygen and thus oxygen-level drops. This low oxygen zone contains unique fauna predominantly consisting of lanternfishes (myctophids). Dominated by <i>Benthosema pterotum</i>, <i>B. fibulatum</i> and <i>Diaphus spp.</i> <i>Bolinichthy spp.</i>, the mesopelagic animals exhibit diurnal vertical migration. Myctophids are believed to form an important food for large predators, including large squids, ribbonfishes, tuna and billfish. The oxygen minimum zone of the Arabian Sea is a unique ecosystem, with distinctive biological features. 	H	-	L	L	H	M	H
15. Indus Estuarine Area and Associated Creeks <ul style="list-style-type: none"> Location: The area is located in the south of Pakistan. The Indus River Delta forms where the Indus River flows into the Arabian Sea, creating a complex system of swamps, streams and mangrove forests. The delta covers an area of about 41,440 km² and is approximately 210 km across where it meets the sea. The Indus River discharges in the Arabian Sea through an elaborate system of creeks. This area has unique ecological and biological significance because of its variety of habitats and ecosystems. There are vast mudflats, which are important foraging areas for a variety of marine birds and also breeding and nesting grounds for a number of species of marine fishes and invertebrates. The lower reaches of the Indus River estuary has mangroves consisting of one species <i>Avicennia marina</i> and is considered to be the largest arid area mangrove forest of the world. The mangroves are known for their high biodiversity. The Indus estuarine area is an important area for migratory species of fish. The Indus estuarine area is known for its diversified bird fauna, which includes cranes, 	H	H	M	H	H	M	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
flamingos, pelicans, waders, coots, ducks, gulls and terns. The Indus estuary is inhabited by two cetaceans, i.e., the Indo-Pacific humpback dolphin (<i>Sousa chinensis</i>) and finless porpoise (<i>Neophocaena phocaenoides</i>).							
16. Sandspit/Hawks Bay and the Adjoining Backwaters <ul style="list-style-type: none"> Location: The area is located about 15 km southwest of Karachi, Pakistan. The backwaters of Sandspit are located at the extreme end of Manora Channel, on which Karachi Port is located. The coastline of Pakistan has a number of significant turtle-nesting beaches. They include the sandy beaches at Sandspit (Hawkes Bay), on the Karachi coast, which host the nesting of the green turtle (<i>Chelonia mydas</i>). Nesting takes place throughout the year, peaking from September to October. In the backwaters of Sandspit is a mangrove forest consisting of dense and sparse growth of <i>Avicennia marina</i>. The area is known to be a home for a variety of resident and migratory birds, especially flamingos, pelican, terns, gulls and a variety of waders. 	M	H	H	M	M	M	L
17. Angria Bank <ul style="list-style-type: none"> Location: The area is a submerged plateau located some 105 km west of Malvan, Maharashtra State of India in the Arabian Sea (16°69'27.55" N, 72°06'19.15" E). It covers ca 1300 sq. km. It contains 350 sq. km of coral cover with a 5 km long buffer around this coral cover. About 5 km radius buffer area around the Angria Bank has been added to this area as many threatened migratory species, such as marine turtles, whales, dolphins and whale sharks, have been observed here. The area, which contains the largest submerged coral reefs area of India, is unique due to its rich biodiversity, productivity and geological formation. Further, this site was reported with large aggregations of myctophids, which makes this bank an important fish spawning ground of the region. Various types of coral communities, such as brain corals, green corals, staghorn corals, plate corals and soft corals are present here, along with their associated fauna and flora, including big angel fishes, anemone fishes, groupers, snappers, barracudas, pipe fish, Murray eels, parrot fish, scorpion fish, trigger fishes, puffer fish, various algae species, sponges, echinoderms, crustaceans and starfishes. Further, several threatened species, such as marine turtles, whale sharks, whales and dolphins, have also been observed using this region as their foraging ground. 	H	M	H	H	H	-	H
18. Socotra Archipelago <ul style="list-style-type: none"> Location: The area is located between 53°0'E and 54°35'E and 12°5'N and 12°43'N at the junction between the Gulf of Aden and the north-western Indian Ocean. The area includes the main island of Socotra, together with Samha, Darsa, Abd al Kuri and the small islets and rock outcrops Sabuniya and Kal Farun. The islands are separated from mainland Africa by a narrow strip of water known as the Socotra Passage, which is only 95 km wide, and from mainland Yemen by the 400 km wide Gulf of Aden. The islands support unusual coral communities and diverse assemblages of reef-associated fishes as well as megafauna including sharks, turtles, dolphins and whales. The islands are located at the epicentre of a highly productive upwelling region and the cross-road between three marine biogeographic provinces, which underpin the productivity and unique composition of the faunal assemblages. Species present include a mix of Arabian "endemics" and western Indian Ocean species, together with species characteristic of the wider Indo Pacific and rare species with restricted ranges (including Red Sea "endemics") and/or highly disjunct global distributions, and 	H	H	H	M	H	H	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
a globally significant element of hybridizing fishes. The fish biomass productivity ranks among the highest in the Indian Ocean.							
19. The Great Whirl and Gulf of Aden Upwelling Ecosystem <ul style="list-style-type: none"> Location: The area includes waters mostly within the national jurisdictions of Somalia and Yemen. The area extends several hundred nautical miles offshore. This is thus a transboundary area involving north-west Somalia, the Gulf of Aden (Yemen) and especially the Socotra archipelago, and at a lesser extent Oman. The system forms along the east coast of Somalia during the summer monsoon season when the Somali current turns northwards. The whole system then migrates northwards, until it reaches the southern coast of the Socotra Archipelago, where it arches out into the Indian Ocean and spreads between the islands and mainland Somalia into the Gulf of Aden. On reaching the Gulf of Aden, the systems merge with the upwelling along the south coast of Yemen, propagating a complex system of gyres and eddies. This large area encompasses the entire dynamic of the seasonal high productivity and related marine pelagic life associated with the Great Whirl, the Socotra Gyre and the North Socotra Warm Eddy. The confluence of the Great Whirl with the upwelling in the Gulf of Aden makes it one of the world's most productive regions in the world. The northwestern corner of the Indian Ocean is a highly dynamic and biodiverse region of the global oceans. Oceanic Rossby waves and the seasonally reversing monsoonal winds drive an immense upwelling system during the summer months, known as the Great Whirl. It is the only major upwelling that occurs on the western boundary of an ocean. The Somali-Arabian sea upwelling system resulting from the Great Whirl and associated eddies increases planktonic productivity ten-fold in comparison with the surrounding oligotrophic water. This unique and complex feature supports rich meso-pelagic and pelagic ecosystems hosting plankton, fish, flag species of megafauna, especially sharks, cetaceans and turtles. The extreme environmental conditions create a uniquely season driven and transboundary pelagic ecosystem that has resulted in one of the most productive regions in the world. 	H	H	H	M	H	M	M
20. Îles des Sept Frères et Godorya (Seven Brothers Islands and Godorya) <ul style="list-style-type: none"> Location: The area is located on South-west between 12° 8' N, 43° 25' E and 12° 8' N, 43° 27.5' E; North-east 12° 29' N, 43° 27.5' E and 12° 29' N, 43° 16.9' E This area covers the Seven Brothers and Ras Siyyan marine protected area (MPA), the largest MPA in Djibouti (400 km²). It includes four mangrove forests, a portion of coastal habitats and the Sept Frères archipelago. It has high benthic and pelagic marine biodiversity, a mosaic of coastal, insular and marine habitats, and is also an important nesting site for sea turtles and sea birds. 	H	H	H	M	H	M	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
21. Southern Red Sea Islands <ul style="list-style-type: none"> Location: The southern part of the Red Sea specific to this area includes all of the islands of both Eritrea and Yemen as a single ecosystem. This is an area of high productivity and high endemism, providing a migratory corridor for megafauna and birds and a nesting and breeding ground for both turtles and birds. It provides habitat for vulnerable coral and mangrove that support diverse marine organisms. It has high levels of biological diversity and is an important area for life history stage of species. 	H	H	H	H	H	H	H
22. Southern Red Sea Pelagic Ecosystems <ul style="list-style-type: none"> Location: The area is bounded approximately by the northern Eritrea border and the Bab Al-Mandab. This area has a high level of productivity (among the most productive in the Red Sea in terms of chlorophyll-a), which is likely due to the influx of nutrient-rich water from the Gulf of Aden. The high productivity of this area makes it an important habitat for a number of species, including cetaceans, whale sharks, manta and devil rays, and birds. The area is also an important migratory corridor between the Red Sea and the Gulf of Aden/Indian Ocean for various species. These features make the area biologically diverse. 	M	H	H	M	H	H	-
23. Sanganeb Atoll/Shab Rumi <ul style="list-style-type: none"> Location: The area is located in the central Red Sea, close to the Red Sea's centre of biodiversity, at approximately 30km north-east of Port Sudan city, with location of 19° 42' N, 37° 26' E. <i>Shab Rumi</i> is an annular reef situated north of Sanganeb (19°56.3'N 37°24.2'E), off the Red Sea coast of Sudan. The area is located in the north-western Indo-Pacific bio-geographic region. The Atoll encompasses an area of about 22km² (a rectangular block of 7.3km by 3.2km) and runs within 1km of the edge of the reef. The area of reef flat and shallow fore reef is approximately 2km², and the area of enclosed lagoon is approximately 4.6km². <i>Shab Rumi</i> is well known for large numbers of schooling threatened scalloped hammerhead and grey reef sharks (<i>Carcharhinus amblyrhynchos</i>). Sanganeb is a wonderful example (perhaps the best in the entire region) of the deep-water offshore reefs of the central Red Sea. Sanganeb Atoll/Shab Rumi contains one of the most unique reef structures in the Sudanese Red Sea, its steep slopes rising from a sea floor more than 800 m deep. It is characterized by a highly diverse coral fauna presenting 13 different bio-physiographic reef zones, each providing typical coral reef assemblages. The diverse population communities of flora and fauna are in a stable equilibrium with numerous endemic and endangered species such as sharks, bumphead parrotfish and groupers. A total of 86 coral species and over 251 species of fish have been recorded. 	H	M	H	H	M	H	H

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
24. Dungonab Bay/Mukawar Island Area <ul style="list-style-type: none"> Location: Dungonab Bay is located approximately 125 km north of Port Sudan, encompassing Mukawar Island, which is 30km offshore of Dungonab Peninsula. The area covers a distance of approximately 70 km along the coast. The area contains extensive and diverse seagrass beds, a regionally important population of dugong, regionally or globally important nesting areas for marine turtles and seabirds, and seasonal aggregations of whale sharks and manta rays that are unique in the entire western Indian Ocean region. The area is known to be of particular significance for birds and is designated as an Important Bird Area. The eastern shore of Mukawar Island is a turtle nesting site of regional and possibly international significance. 	H	H	H	M	M	M	H
25. Suakin Archipelago and Sudanese Southern Red Sea <ul style="list-style-type: none"> Location: The area is situated in the southern waters of Sudan, which is on the extension of the continental shelf. Shubuk is a very unusual barrier reef complex while the Suakin archipelago is one of several important island groups within the Red Sea. The reefs and islands within this archipelago substantially increase the area of reef habitat available within Sudanese coastal waters and within this part of the Red Sea, which is known to support particularly high species diversity. The extension of these reefs offshore also greatly increases the biogeographical span and diversity of reef habitats. Furthermore, the inaccessibility of these reefs and islands enhances their significance, as they provide areas distant from direct human impacts on the mainland coast and refuges for some of the Red Sea's important bird and turtle nesting sites. The Sudanese Red Sea coast is 750 km long and contains numerous uninhabited islands and submerged offshore reef structures. The combination of well-developed fringing coral reefs and offshore reef complexes and islands in the Shubuk region and the Suakin archipelago provides a high diversity of habitats that span a wide environmental gradient. It is these diverse ecosystems and environments that underpin the high biodiversity found within Sudanese waters. The Suakin Archipelago is of marked importance nationally and regionally. 	H	M	H	M	H	H	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
26. Wadi El-Gemal Elba <ul style="list-style-type: none"> Location: The area covers waters between Marsa Alam city of Egypt and the Egyptian-Sudanese border, with a coastline of approximately 300km and a total area of some 5000 km². The area is located within two protected areas, namely Wadi El Gemal-Hamata Protected Area and Gebel Elba National Park. The area also includes 20 offshore islands, from a few kilometres to more than 70km from the shoreline. The area features high biological diversity and natural beauty. More than 200 species of hard and soft corals and at least 400 fish species have been recorded in the area. Endemic species are evident among various groups of fishes and invertebrates. At least seven species of seagrasses and two species of mangroves are found in the area (a substantial proportion of the total mangrove resources of Egypt). The largest stand of <i>Avicennia marina</i> extends 12 km, in a semi-continuous fringe, located at Hamata, and <i>Rhizophora muncronata</i> exists only at Shelatin. The area has the largest seagrass meadows along the Egyptian coast that provide food for green turtles (<i>Chelonia mydas</i>) and dugongs (<i>Dugong dugon</i>). At least two species of marine turtles (out of five recorded species), the green and hawksbill (<i>Eretmochelys imbricata</i>), nest on islands and mainland beaches. The area accommodates the largest nesting population of green turtles in Egypt, on the beaches of Zabarged Island—about 600 females estimated in 2008. More than 100 species of birds have been recorded in the area, including 15 species of seabirds. The largest global colony of sooty falcons exists in Wadi El Gemal Island, whereas the white eyed gulls represent about 30 of the world population. The area supports a conspicuous cetacean fauna (15 species), as documented by recent dedicated surveys. Pantropical spotted dolphins, <i>Stenella attenuata</i>, represent the largest component with large groups found mostly in offshore waters, followed by spinner dolphins, <i>Stenella longirostris</i>, also found offshore but with part of the population moving inshore daily at daybreak to seek shelter in protected reefs (such as Samadai and Sattayah) to rest. The area also supports a very small remnant population of dugongs, <i>Dugong dugon</i>, mostly confined to the small coastal “marsas” where seagrass meadows cover the shallow sandy bottom. 	H	H	M	L	L	H	M
27. Arabian Basin <ul style="list-style-type: none"> Location: The area is located entirely beyond national jurisdictions. The area is approximately bordered in the north by 64.46°E, 17.32°N; 67.36°E, 17.32°N; and in the south 67.36°E, 10.81°N; 64.46°E, 10.81°N. The area is located in waters over the abyssal plain. This area is a key feeding area for the Trindade petrel (<i>Pterodroma arminjoniana</i>), which in the Indian Ocean breeds on one single island, Round Island, off the north coast of Mauritius. The species is listed as vulnerable on the IUCN Red List, and an extensive, multi-year tracking dataset shows that birds travel into the Arabian Basin during migration (May-July) and following fledging (year round) to feed. A range of other marine mega-fauna may also occur here, including three species of turtle, five species of baleen whale, three species of toothed whale, and at least a dozen species of dolphins, though their exact distributions and abundance within the area are unknown. 	H	H	H	M	M	M	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
28. Daymaniyat Islands <ul style="list-style-type: none"> Location: The Daymaniyat Islands are located off the region of Al Batinah, Oman. The Daymaniyat Islands are an outstanding area of national and regional ecological and biogocial importance. The islands host high densities of a variety of nesting seabirds, and up to 400 female hawksbill turtles nest annually, representing possibly the densest rookery in the world for this critically endangered species. The coral communities and reefs are among the best developed nationally and host at least one species that is endemic to Oman. Other species routinely found within the area include marine turtles, cetaceans and seabirds. 	M	H	H	H	H	M	H
29. Oman Arabian Sea <ul style="list-style-type: none"> Location: The area is located off southern Oman between the Ra's al Hadd peninsula to the north and the Oman-Yemen border to the south, and extends several hundred kilometres offshore. This area includes three core areas off the central and southern coast of Oman. It is situated at the heart of one of the five largest upwelling areas of the world, which occurs both coastally and up to 300 to 400 km offshore and influences the water column to a depth of about 250 m. The high primary productivity associated with the monsoon-driven upwelling in the Arabian Sea fuels the ecosystem of the wider region. It also creates conditions suitable for feeding by at least 20 species of cetaceans, including the world's most isolated whale, the endangered Arabian Sea humpback whale. Satellite tracking reveals preferred habitats of these whales as well as other taxa, such as endangered and critically endangered sea turtles. Shallow areas support important seagrass and macroalgae communities, and the unique co-existence of endemic macroalgae and coral communities. This unusual mix of tropical and neo-temperate species forms a community that is globally unique. One particular coral community represents perhaps the largest monospecific coral stand known on Earth, almost exclusively made up of an as yet undescribed species of cabbage coral. The unique conditions resulting from the south-west monsoon contribute to a high biodiversity of fish fauna, from the genetic, population and species level to the community and ecosystem levels. Demersal, pelagic and mesopelagic fishes all occur in relative abundance in the area compared to other parts of Oman. Birds are another important feature of the Arabian Sea, including some key populations of the regionally endemic near threatened Jouanin's petrel and vulnerable Socotra cormorant. In winter, the coastal wetlands host half a million birds or more, predominantly gulls, terns and shorebirds. 	H	H	H	H	H	H	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
30. Shatt Al-Arab Delta <ul style="list-style-type: none"> Location: The area is located at the northern end of an elongate shallow sea forming a southwesterly triangular semi-island at the Iraqi Southern border at Faw city and extends northwesterly to form the marine territorial border with Kuwait at Knor Abdulla, ending in Knor Al-Zubair canal. The southern end of the river constitutes the border between Iraq and Iran down to the mouth of the river as it discharges into the Gulf. It has a length of 200 km. It varies in width from about 232 m at Basra to 800 m at its mouth. The Shatt al-Arab Delta is formed by the confluence of the Euphrates and the Tigris rivers in the town of al-Qurnah in the Basra Governorate of southern Iraq. This area contains numerous unique marine, coastal and tidal habitats, including muddy intertidal areas. This area, and especially the coastal waters of Khor Abdulla on the opposite side of the Kuwaiti Bubiyan island, serve as incubation and hatchery areas for many fishes and other economically important marine and brackish water crustaceans and mollusks, as well as other invertebrate groups. The Shatt al-Arab Delta exerts a unique impact on the entire Gulf. 	H	H	-	H	H	H	M
31. Makran/Daran-Jiwani Area <ul style="list-style-type: none"> Location: The area is a transboundary coastal area between Iran and Pakistan that extends from Ganz in Pakistan to Tang headland in Iran. The extensive sandy coasts of the area are particularly important as nesting grounds for both olive ridley and green sea turtles. The mugger crocodile (<i>Crocodylus palustris</i>) has its western-most distribution in riverine and estuarine waters of the area. The eastern part of Chabahar Bay has the only known coral reef in the northern Oman Sea. Finless porpoises have been recorded from Gwater and Chabahar Bay. Jiواني headland and adjacent areas are known for high biodiversity of marine invertebrates as well as cetaceans. The coastal waters of the area are known for high catches of fishes and lobsters. The area is also rich in diversity of shorebirds. 	H	H	H	H	H	-	M

Table 3. Description of areas meeting the EBSA criteria in the East Asian Seas

(Details are provided in the appendix to annex V of the Report of the CBD Regional Workshop to Facilitate the Description of Ecologically or Biologically Significant Marine Areas (EBSAs) in the Seas of East Asia, UNEP/CBD/SBSTTA/INF/24)

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
1. Hainan Dongzhaigang Mangrove National Natural Reserve <ul style="list-style-type: none"> Location: The area is located in the northeast of the Meilan district, Haikou City (110°30'–110°37' E, 19°51'–20°01' N). It covers 5400 ha. The area covers an important coastal mangrove ecosystem and has most of the typical original natural mangroves in China. The area also has very rich biodiversity, especially various marine and coastal species, for example, mangrove forests, waterfowl, phytoplankton and zooplankton. This estuary and coastal mudflat ecosystem is on the edge of boreal tropics, and is also an important habitat for wintering birds. 	M	H	H	H	-	H	M
2. Shankou Mangrove National Nature Reserve <ul style="list-style-type: none"> Location: The area is located on either side of the Shatian Peninsula, southeast of Hepu County, Guangxi Zhuang Autonomous Region of China. It is centred at 21°28'N, 109°43'E. It covers a total area of 8,000 hectares and stretches along the coast for some 50 km. There are 14 species of mangrove and large populations of benthic diatoms, fish, shellfish, birds and insects in this area, and it has become one of the most typical coastal mangrove areas in China. 	M	-	H	M	M	M	M
3. Nanji Islands Marine Reserve <ul style="list-style-type: none"> Location: The area has a total coverage of 201.06 km², including land area of 11.13 km². It is located at 121°05'E and 27°27'N. The area contains a high level of biodiversity, including 427 species of shellfish and 178 species of macro-benthic algae. It is known as “a kingdom of shells and algae”. There are also 459 species of micro-algae, 397 species of fish, 257 species of crustaceans and 158 species of other marine creatures. Among these, nine species are listed as endangered or vulnerable species by IUCN. 	H	M	M	L	M	H	M
4. Cold Seeps <ul style="list-style-type: none"> Location: The area is located in the southwest Taiwan Basin at 21°12'N, 118°30'E; 21°12'N, 120°17'E; 22°19', 118°30'E; and 22°19', 120°17'E, and at a depth of 2900m-3000m. The area covers approximately 14,000 km². The deep-sea ecosystems of this area are unique not only for their communities of diverse bacteria, mussels, clams, hairy crabs and shrimps, but also for their habitats formed mainly by calcite, aragonite, dolomite, pyrite and authigenic minerals, including siderite, barite, gypsum, and natural sulphur, which support a high biomass of bacteria, mussels, clams, hairy crabs and shrimps. 	H	M	L	M	H	L	H
5. Muan Tidal Flat <ul style="list-style-type: none"> Location: The area is located in the south-western coastal area of the Korean peninsula, from 35° 04'20"N to 35° 07'52"N and from 126° 21'2"E to 126° 27'9"E. It covers about 42 km². The area maintains its pristine condition with well-developed substrate for supporting numerous migratory waterbird 	H	H	H	L	H	H	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
species and fisheries resources. The sediments characteristically contain 30-40% clay content. The area has high value for conservation as there are many globally endangered and protected species that hatch, nurse and feed in the area. This is a particularly rich feeding area for waterbirds. Some 29,000 winter waterbirds belonging to 48 species have been observed. In addition, 47 species of halophyte are distributed in Muan tidal flat. The diversity of benthic animals is also very high. The tidal flat has been a protected area since 2001 and was designated as a Ramsar site in 2008.							
6. Intertidal Areas of East Asian Shallow Seas <ul style="list-style-type: none"> Location: This area encompasses 20 individual sites that form the basis of a Flyway-wide network within the East Asian seas. The sites are located in Japan, Republic of Korea, China, Vietnam, Thailand, Malaysia, Indonesia, Philippines and Myanmar. The intertidal zones of shallow coastal seas in East Asia are critically important for the survival of many migratory waterbird species that are dependent on these areas for different stages of their life cycle, mostly obviously during the migration periods, when some sites form critical bottlenecks, particularly in the Yellow Sea ecoregion (China, Republic of Korea, Democratic People's Republic of Korea), as well as for breeding and non-breeding populations. Different species have different migratory strategies that depend on a network of sites throughout the Flyway, to be able to complete their migration. Intertidal mudflats and sandflats have been disappearing at an alarming rate in recent decades (60 per cent for the Yellow Sea in 50 years) leaving migratory waterbirds dependent on an ever-decreasing number of sites. As a consequence the populations of migratory waterbirds have declined precipitously, with up to 30 species endangered or critically endangered, and depending on a handful of sites, often unprotected, for their survival. All remaining intertidal areas of the East Asian Seas are of vital importance to saving migratory waterbirds dependent on them. 	H	H	H	H	M	M	M
7. Lembeh Strait and Adjacent Waters <ul style="list-style-type: none"> Location: The Lembeh Strait lies between Minahasa Peninsula in northern Sulawesi and Lembeh Island, North Sulawesi Province of Indonesia. Lembeh Strait is surrounded by the Pacific Ocean in the north, mainland Sulawesi in the west, Lembeh Island in the east and Moluccas Sea in the south. The area is located at 125°09' – 125°18' E and 27°08' – 27°25' N. Lembeh Strait is 22 km long and 2 km wide. Lembeh reefs and its surrounding waters are among the richest and most diverse in marine biota in Indonesia. Lembeh Strait is bordered by almost continuous fringing reefs while further offshore it is surrounded by deep, clear water. Lembeh Strait shows habitat heterogeneity and is rich in many different species, including endemic, rare and vulnerable species. Live coral cover ranging from 12.2 to 60.7%, and a total of 193 corals species belonging to 68 genera have been recognized from this area. However, the habitat is completely open and featureless, consisting of volcanic sand and plains bordered in the shallows by a few small coral patches. Various new species from this area have been described, such as hermit crabs, shrimps, snails, octopuses, cuttlefishes, sea slugs, fishes, corals and zooplanktons. Many new species have been recorded from this area, including Indonesian coelacanth (<i>Latimeria menadoensis</i>). In addition, Lembeh Strait and adjacent waters are known for abundant resources of tuna fisheries, estimated to amount to 587,000 tonnes. 	H	H	H	H	L	H	L

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
8. Redang Island Archipelago and Adjacent Area <ul style="list-style-type: none"> Location: Redang Island is located about 45 km or 24.28 nautical miles to the northeast of Kuala Terengganu. Redang Island has a surface area of about 2,483.58 ha — the largest of nine islands within the Redang Island archipelago. The archipelago area is approximately within the coordinates of 5° 43' 28.92N, 102° 59' 04.53"E and 5° 49' 10.49"N, 103° 03' 02.82E. The coral reefs in Pulau Redang are among the best on the East coast of Malaysia and are generally in good condition. A study by Reef Check Malaysia in 2014 shows that the reefs around Redang islands are considered to be in "Good" condition, with live coral cover of 58.13 per cent, which is slightly above the average (56.38 per cent) for reefs within the Sunda Shelf region. The diversity of fish and invertebrates is average. Based on recent marine biological studies, Redang Island is believed to be the seed-source for most of the marine biodiversity of the eastern part of Peninsular Malaysia. The beaches in Terengganu offer nesting sites for the hawksbill turtle (<i>Eretmochelys imbricata</i>), the leatherback turtle (<i>Dermochelys coriacea</i>) and the endangered green turtle (<i>Chelonia mydas</i>). There are 36 turtle nesting sites on the beaches of Terengganu, out of a total of 78 nesting sites in Malaysia. Turtle landings occur at virtually every beach in Terengganu, but nesting is concentrated at Pulau Redang; Pulau Perhentian; Penarik; Rantau Abang; Paka; Geliga and Kijal. 	M	H	H	H	-	M	L
9. Southern Straits of Malacca <ul style="list-style-type: none"> Location: This area covers the waters and beaches from the Negeri Sembilan to the Riau Archipelago, Indonesia. The area encompasses the existing marine protected area, turtle-nesting and inter-nesting area, and turtle-feeding ground. The northern boundary of the area is 101.6°E 2.42°N, and the southern boundary is 104.98°E 0.57°N. The area is unique because it is a shallow, narrow water mass sandwiched between Sumatera Island and Peninsular Malaysia, and linked with the Straits of Singapore and Riau Archipelago. It is an important foraging and inter-nesting habitat for one of the few viable populations of hawksbill turtles. The beaches of Negeri Sembilan and Melaka are home to the highest nesting population of hawksbill turtle and in the adjacent area, Sungai Linggi provides a crucial habitat for endangered painted terappins and river terappins. It harbours diverse marine resources within its seagrass bed, estuaries and mangroves. 	H	H	H	H	M	M	L
10. Nino Konis Santana National Park <ul style="list-style-type: none"> Location: The area is located at 8°27'00"S and 127°20'00"E and covers 1,236 km². This area is rich in marine biodiversity, including sharks, coral trout (<i>Plectropomus</i> species), and the highly threatened Napoleon wrasse (<i>Cheilinus undulatus</i>) as well as other types of marine species that are densely concentrated around coral reefs in the area. The area also has a high level of productivity due to strong ocean mixing, which raises both nutrient concentrations in the area and supports the high level of biodiversity. 	M	M	H	M	M	H	M
11. The Upper Gulf of Thailand <ul style="list-style-type: none"> Location: The area is centered at N13° 2' 39.994", E100° 27' 50.783. The area covers 9,565 km², along 400 km of the coastline. The area covers the coastal area of Chon Buri, Chacheangsao, Samut Prakarn, Bangkok, Samut Sakhon, Samut Songkram and Phetchaburi provinces of Thailand. 	M	H	H	M	M	M	L

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
<ul style="list-style-type: none"> The area is characterized by a range of habitats and a high level of biodiversity. The area contains mangrove forests, macrobenthic fauna, phytoplankton and zooplankton, as well as fish, birds (mangrove birds and migratory birds) and endangered marine species, such as hawksbill turtles (<i>Eretmochelys imbricate</i>), green turtles (<i>Chelonia mydas</i>), Irrawaddy dolphins (<i>Orcaella brevirostris</i>), finless porpoises (<i>Neophocaena phocaenoides</i>), Indo-Pacific humpback dolphins (<i>Sousa chinensis</i>), Indo-Pacific bottlenose dolphin (<i>Tursiops aduncus</i>) and Bryde's whales (<i>Balaenoptera edeni</i>). The coastal water of this area serves as feeding ground, mating ground and nursing ground for Bryde's whales. 							
12. Halong Bay-Catba Limestone Island Cluster <ul style="list-style-type: none"> Location: The marine waters of Halong bay-Catba Limestone Island Cluster are situated in the nearshore area of the North-east Tonkin Gulf near Haiphong city, Vietnam. It includes Baitulong Bay National Park, Halong Bay World Natural Hetitage, Catba National Park, Catba Biosphere Reserve and Marine Park, as well as Longchau islands. Its total area is about 15.783 ha, with 9.658 ha in marine area. The marine waters of Halong Bay-Catba Limestone Island Cluster is a highly unique set of 2400 limestone islands and islets that are associated with special island fringing reefs. It contains a remarkable diversity of coastal and marine habitats and ecosystems, including coral reefs, seagrass beds, mangroves, sandy and coral beaches, hard and soft bottom and substrata, tidal marshes, karst saline lakes, embayments, coastal bays, karst caves, underwater karst valleys, karst funnels, karst wells, channel stones and shallow-water areas. It also has a high diversity of species, including phytoplankton, zooplankton, mollusca, crustacean, marine fish, reptiles, snakes, sea turtles and mammals. 	H	H	H	H	M	H	M
13. Tioman Marine Park <ul style="list-style-type: none"> Location: The Tioman Marine Park archipelago consists of nine islands, which are the largest of the 42 marine park islands of Peninsular Malaysia. It is located at 104° 11' E and 02° 47'N. The archipelago is 19km in length and 11km wide, and covers 25,115 hectares of sea area. The coral reefs in Tioman Marine Park are some of the best on the east coast of Malaysia. A study conducted in 2014 showed that this area's coral was in good condition, with 60 per cent live coral cover, 26 per cent in excellent condition and 37 per cent in good condition. A total of 326 species of coral reef fish from 55 families were observed from coral reefs in Tioman Marine Park. Tioman island subtidal seagrass meadows provide good refuge for dugong traveling between islands on the east coast of Peninsular Malaysia. Some rare and important species have been observed, such as the Black Stripe Coris (<i>Coris pictoides</i>), two rare and undescribed gobies (<i>Gobiidae</i>) <i>Amblyeleotris</i> sp. and the rare perch <i>parapercis</i> sp. in addition seventeen species that are categorized to be rare worldwide were found in Tioman. With its high biological diversity, Tioman is believed to be the seed-source for most of the marine biodiversity of the eastern part of Malaysia. 	H	H	H	M	M	H	M
14. Koh Rong Marine National Park <ul style="list-style-type: none"> Location: The area is located at at 10°35'7.49"N, 103°17'55.36"E. It encompasses approximately 78 km² around the Koh Rong Archipelago, which lies 25 km off the coastal town of Sihanoukville, Cambodia. The area is located around a large island in the Gulf of Thailand off the Cambodian mainland. The island has about 43 km of coastline with 23 beaches of varying length and composition. The area contains coral reefs and seagrass habitats, and supports regionally significant populations of several marine mammals, including the dugong, the false killer whale 	L	M	H	H	M	M	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
<i>(Pseudorca crassidens)</i> , a long-beaked form of common dolphin (<i>Delphinus capensis tropicalis</i>), pantropical spotted dolphin (<i>Stenella attenuata</i>), dwarf spinner dolphin (<i>S. Longirostris roseiventris</i>), Indo-Pacific bottlenose dolphin (<i>Tursiops aduncus</i>), and Indo-Pacific humpback dolphin. It also supports three globally threatened species of sea turtles, namely the green turtle (<i>Chelonia mydas</i>), the hawksbill (<i>Eretmochelys imbricata</i>) and the leatherback (<i>Dermochelys coriacea</i>).							
15. Lampi Marine National Park <ul style="list-style-type: none"> Location: The area is located in Boke Pyin Township of Tanintharyi Division in Myanmar. This area is one of the 43 protected areas of Myanmar and its only national marine park. It is located in the Myeik Archipelago, which comprises more than 800 islands distributed along 600km of coastline in the Andaman Sea. The area contains a number of ecologically important habitats, including mangrove forests, coral reefs and seagrasses, which serve as critical habitats for molluscs, crustaceans, echinoderms and fishes, as well as threatened species such as the green turtle and the dugong that feed on seagrass and a variety of birds that feed in the intertidal zone and sublittoral zone. 	M	H	H	M	M	H	L
16. Raja Ampat and Northern Bird's Head <ul style="list-style-type: none"> Location: The area is located in the northwestern part of Papua in eastern Indonesia. Situated near the Equator in Southeast Asia, this area is at the heart of the Coral Triangle and encompasses myriad small islands and coral reefs. Raja Ampat consists of four main islands and hundreds of other small islands, located at the western side of the Bird's Head Seascape. The boundary of the globally outstanding area of Raja Ampat and Northern Bird's Head covers two adjacent areas within the Bismarck Solomon Seas Ecoregion. The Bird's Head Seascape is one of the world's most important biodiversity hotspots, covering a high diversity of geographical features, habitats and marine species. Situated in the heart of the Coral Triangle, it is the global epicenter of tropical shallow-water marine biodiversity, with over 600 coral species and 1,638 reef fish species. The area is known for particularly significant diverse reef habitat and species richness, providing foraging ground for tuna as well as breeding habitats for leatherback turtles. The local eddies and turbulence in Raja Ampat, generated by strong current flow, lead to good larval connectivity among the reefs, which contributes to high coral reef resilience. The importance for life history stages of various threatened species like turtles and cetaceans as well as high endemism, together with the above features, makes this a globally important area. 	H	H	H	M	H	H	H
17. Atauro Island <ul style="list-style-type: none"> Location: The area is located about 27 km north of the city of Dili, Timor-Leste, and measures about 144 km² in area. This area is a home of marine megafauna in the ocean strait between Atauro and Timor-Leste. Atauro Island is a small island surrounded by a pristine marine area. A study shows that Atauro Island hosts high biodiversity, with a new species called Humann's Fairy-wrasse (<i>Cirrhilabrus humanni</i>) found around Atauro Island towards Alor Island, Indonesia. Atauro marine area is also considered a hotspot for dugong populations living and migrating within Indian and the Pacific Ocean. 	M	M	M	M	M	H	L

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
18. Sulu-Sulawesi Marine Ecoregion <ul style="list-style-type: none"> Location: The Sulu-Sulawesi Marine Ecoregion (SSME) is located between 15° N / 116° E and 0° N / 127° just above the equator. It covers 1,003,526 km². The area is situated at the apex of the Coral Triangle region in the Indo-West Pacific, at the global centre of marine biodiversity. It is an area of maximum coral and tropical reef fish diversity, based on numerous scientific studies. The SSME is home to coral reefs, seagrass meadows and mangrove forests, which in turn support fishes, sea turtles, dolphins, whales, sharks, rays, and other less-known but equally important marine flora and fauna. 	H	H	H	H	H	H	L
19. Benham Rise <ul style="list-style-type: none"> Location: The area is bounded to the North and East by the West Philippine Basin, and to the West and South by the island of Luzon. It is enclosed by the coordinates 123° 30' E to 126° 00' E longitude and 17° 42' N to 15° 36' N latitude. The area is a relatively pristine 13-million-hectare undersea plateau off the eastern coast of Luzon Island. It is of critical ecological importance, including for offshore mesophotic coral reef biodiversity and for the sustainability of fisheries. Aside from being an important source of biodiversity and contributing to the resiliency of threatened ecosystems, it also forms part of the only known spawning area of the Pacific bluefin tuna, <i>Thunnus orientalis</i>. In addition, recent studies suggest that the interaction of the western boundary currents with the Benham Rise can lead to enhanced biological productivity. 	H	H	H	M	-	M	H
20. Eastern Hokkaido <ul style="list-style-type: none"> Location: The area is located between 42.9°N and 45.4°N latitude, and between 144.3°E and 145.8°E longitude. The area covers rocky shores around Shiretoko Peninsula; coastal and lagoonal areas along Nemuro Straits; rocky habitats around Nemuro Peninsula, Habomai Islands and Shikotan Island; and rocky shores and estuaries along the eastern Pacific coast. The area covers the most pristine natural ecosystems of Japan. The marine ecosystem here is strongly influenced by the cold Oyashio currents and winter ice cover, making this area home to marine species specially adapted to a cold climate. The area contains various types of ecosystems, including brackish estuaries and a lagoon, intertidal flats, rocky intertidal shores, seagrass beds and kelp forests. 	H	H	M	H	H	M	H
21. Southwest Islands <ul style="list-style-type: none"> Location: The area is located between 23.9°N and 28.7°N latitude, and between 122.8°E and 130.2°E longitude. It comprises Amami Island, Okinawa Island, Kerama Islands, Miyako Islands and Yaeyama Islands. The southwest islands of Japan, including Amami Islands, Okinawa Islands, Miyako Islands and Yaeyama Islands, belong to the subtropical region, characterized by the occurrence of fringing, barrier and atoll reefs. In most areas, mangrove and seagrass beds occur within the reef, and the continuous seascape by these habitats hosts a wide variety of associated flora and fauna, including many endemic species. 	H	H	H	H	H	H	H
22. Inland Sea Areas of Western Kyushu <ul style="list-style-type: none"> Location: The area is located between 31.9°N and 33.2°N latitude, and between 129.9°E and 130.7°E longitude. It 	H	H	H	M	M	H	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
<p>covers the Ariake Sea, Amakusa and Yatsushiro Sea (Nagasaki, Saga, Kumamoto and Kagoshima Prefectures).</p> <ul style="list-style-type: none"> This area is unique due to their large tidal amplitude. Extensive mud flats appear at inner parts of the waters in Ariake Sea and Yatsushiro Sea. In these intertidal flats, many benthic organisms belonging to diverse taxa occur, as do many endemic species. The outer coastal areas of this area hosts a variety of intertidal and subtidal habitats, including rocky shores, seaweed and seagrass beds, and temperate coral communities. 							
<p>23. Southern Coastal Areas of Shikoku and Honshu Islands</p> <ul style="list-style-type: none"> Location: The area is located between 32.7°N and 35.4°N latitude, and between 132.2°E and 139.9°E longitude. It covers southwestern Shikoku Island (Kochi and Ehime Prefectures), Southern Kii Peninsula (Wakayama Prefecture), Shima Peninsula (Mie Prefectures), Izu Peninsula (Shizuoka Prefecture), Boso Peninsula (Chiba Prefecture) and Izu Shichito Islands. This area is heavily influenced by the Kuroshio current, which characterizes the benthic flora and fauna of these regions. Open coastal areas are mostly rocky shore, whereas semi-enclosed bays behind the exposed capes are suitable habitats for soft-bottom benthic organisms, including seagrass beds. Temperate coral communities are also observed in most of these areas. 	H	H	M	H	H	H	M

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
24. South Kyushu including Yakushima and Tanegashima Islands <ul style="list-style-type: none"> Location: The area is located between 30.1°N and 31.8°N latitude, and between 130.3°E and 131.2°E longitude. It covers Tanegashima Island, Yakushima Island, Kinko Bay and surrounding coastal areas (Kagoshima Prefecture). The area is located at the southernmost part of the temperate zone. The southern limits of many temperate marine species are found around this region. The area comprises a variety of habitats, including rocky intertidal shores and subtidal seaweed beds at exposed coasts, seagrass beds at the inner part of the bay, and temperate coral reefs in Tanegashima and Yakushima Islands. 	M	M	M	M	M	H	M
25. Ogasawara Islands <ul style="list-style-type: none"> Location: The area is located between 27.8°N and 26.5°N latitude, and between 142.0°E and 142.3°E longitude. The Ogasawara Islands host a variety of endemic species. In 2011, the whole area was declared a UNESCO World Heritage Site. Located in the subtropical climate region, the coastal sea areas have well-developed coral reefs specific to oceanic islands, and the islands are also known as important breeding grounds for seabird colonies. 	H	H	H	L	H	H	H
26. Northern Coast of Hyogo, Kyoto, Fukui, Ishikawa and Toyama Prefectures <ul style="list-style-type: none"> Location: The area is located between 35.4°N and 37.6°N latitude, and between 134.5°E and 137.4°E longitude. It covers adjacent waters of Takeno coast and Maruyama River mouth, Wakasa-wan Bay, Echizen and Kaga coasts, outer coast of Noto Peninsula, and Nanao Bay and southern Toyama Bay. The northern coast of the middle of Honshu Island is largely affected by the warm Tsugaru Current. The tidal range is very small compared to other parts of the Pacific coast, inhibiting the development of intertidal flats and rocky shores. However, the area is diverse in topography, including sand flats, exposed rocky coast, complex rias coast, semi-closed inner bay, and most notably, a deep bottom in Toyama Bay, which causes local upwelling and highly productive zones around the coast. 	M	H	M	M	H	M	M
27. Ryukyu Trench <ul style="list-style-type: none"> Location: This area is located south of Ryukyu Islands, with a location between 26.6°N, 130.1°E and 22.7°N, 122.9°E. It corresponds to the intersection of the Philippine plate and Eurasian plate. The Ryukyu Trench contains important chemosynthetic ecosystems in the slope at depths of 5,802-5,808m, 1,400–1,500 m and 636–812 m, which are home to six endemic species. Studies have suggested that the fauna of this trench are distinct from the fauna of other trenches. 	H	H	M	H	L	L	H
28. West Kuril Trench, Japan Trench, Izu-Ogasawara Trench and North of Mariana Trench <ul style="list-style-type: none"> Location: This area is located between 42.1°N, 146.8°E and 23.2°N, 141.1°E. Ocean trenches (area exceeding water depths of 6000 m) are unique habitats. Trench habitats are especially well developed in the western Pacific region, from the Kuril to the Mariana trenches. The uniqueness of the biota inhabiting in this region has been recognized in many scientific articles. In some areas, chemosynthetic ecosystems are developing, and species living in such ecosystems have been known to be associated with only one or two seepages. Thus the species in the trench are endemic, very rare, vulnerable and prone to extinction. Fortunately, the naturalness of this trench environment is well-preserved, thus far, because it is extremely remote. 	H	H	M	H	L	L	H

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
29. Nankai Trough <ul style="list-style-type: none"> Location: This area is located at south of Honshu Island, Japan, between 35.1°N, 138.8°E and 29.5°N, 130.4°E. The area is located along the convergent boundary between the Philippine Sea and Eurasian plates. This area is associated with great earthquakes along the subduction zone. Many chemosynthetic communities have been recognized in a wide depth range from 270 to 4,800 m due to the existence of numerous methane seeps. Although species richness is not as high as the productive areas, the occurrences of endemic species are high in this region: more than 50% of the total number of species in this region is endemic. The seepage fields harbour higher diversity of the endobenthic invertebrates such as the vesicomyid clams. 	H	H	M	H	-	H	L
30. Sagami Trough and Island and Seamount Chain of Izu-Ogasawara <ul style="list-style-type: none"> Location: This area is located in the western Pacific, South of Honshu Island, Japan, between 35.8°N, 141.6°E and 26.5°N, 138.6°E. This area includes the Tokyo Submarine Canyon and the submarine canyons that drop steeply from Sagami and Suruga bays as well as the Sagami Trough, spanning 330 km between Sagami Bay, the Boso Peninsula, and Ohshima, and extend to the south to Myojin-sho, the Suiyo Seamount, the Mokuyo Seamount and the Kaikata Seamount. These seamounts are often tectonically active, and many chemosynthetic vent communities area developing in this area. 	H	H	H	H	H	-	H
31. Convection Zone East of Honshu <ul style="list-style-type: none"> Location: The area is located in the east of the northern part of Honshu Island, Japan, between 41.2°N, 145.3°E and 35.9°N, 140.8°E. This is the area where the Oyashio Current (cold current) and the Kuroshio Current (warm current) mix. Such a complex front structure forms eddies of both warm and cold water. In addition, Tsugaru Current (warm current) flows in off the Sanriku coast, resulting in a very complex oceanographic features. Primary production is high in this area, and zooplankton, especially krill, is also rich. Consequently, pelagic fishes and mammals are present in very high in densities, as the area provides key feeding areas for these higher trophic-level animals. This area is also important as a feeding area of seabirds. 	H	H	H	L	H	H	L
32. Bluefin Tuna Spawning Area <ul style="list-style-type: none"> Location: This area is located in the upper reaches of the Kuroshio warm current, which flows off southern Japan, extending between 30.1 °N, 130.7 °E and 23.0°N, 122.5°E The waters of the Kuroshio Current's subtropical zone from the Nansei (Okinawa) Islands, where the Kuroshio Current flows north to the waters off the coast of southern Kyushu, are connected to the Coral Triangle and provide a major spawning area for bluefin tuna. 	M	H	H	H	M	H	M
33. Kyushu Palau Ridge <ul style="list-style-type: none"> Location: The area starts from southeast off Cape Toi located in the southeast side of Kyushu Island, with southern extension near to Palau. It separates Shikoku and west Mariana Basins and Philippine Basin. It is located between 31.1°N - 17.0°N and 137.1°E- 132.4°E. Kyushu-Palau Ridge is an ocean floor feature. It comprises a chain of many extinct volcanos mostly below sea level. 213 fish species were found in this area, 14 of which were new to science. A unique deep-sea butterfly fish has also 	H	H	-	-	-	H	H

Location and brief description of areas	C1	C2	C3	C4	C5	C6	C7
	For key to criteria, see page 2						
been discovered from this area. This area was found to be the spawning ground of the white spotted conger eel.							
34. Kuroshio Current South of Honshu <ul style="list-style-type: none"> Location : This area is located from the south and southeastern coast of Kyushu Island, south of Shikoku Island and south of Honshu Island, Japan, between 35.9°N, 141.8°E and 30.0°N, 129.9°E. The Kuroshio warm current runs in parallel to coasts of Kyushu Island, Shikoku Island and Honshu Island. This area consists of the waters of the Kuroshio Current's subtropical zone from the waters off the southern coast of Kyushu Island where the current turns into follow currents off the Boso Peninsula, and the waters on the inside (landward side) of these. Once the Kuroshio Current goes eastward, it will become weaken and merged with the convex area off east Honshu (please refer to the area no. 35 below). This area is high in biodiversity because the oceanographic setting is complex. It hosts a particularly important spawning ground for commercially important fish and squid species. This area is also used as a reproductive area of finless porpoise. Three endangered fish species have been reported from this area. 	H	H	M	L	H	H	L
35. Northeastern Honshu <ul style="list-style-type: none"> Location: The area covers intertidal and subtidal bottoms of Mutsu Bay coast, coast of Ogawahara lagoons, and Sanriku rias coast of Japan. It is located at between 38.2°N and 41.6°N, and between 140.6°E and 142.2°E. The area is known as a highly productive marine area. The area is influenced by three different types of currents, such as cold Oyashio, warm Kuroshio and Tsugaru currents. Diverse marine biota in this area includes both cold-temperature and warm-temperature adapted species. The area covers various types of coastal habitats including tidal flats, lagoons and rocky intertidal shore in intertidal zone, and seagrass beds and seaweed beds (dominated by kelps and sargassums) in subtidal waters. 	H	H	H	H	H	M	H
36. Hydrothermal Vent Community on the Slope of the South West Islands <ul style="list-style-type: none"> Location: Western slope of the South West Islands, which is on the western side of the Okinawa Trough. Many chemosynthetic ecosystem sites harbouring both hydrothermal vent and seepage communities have been found in this area. The number of macro- and megafaunal species in the area is the highest among the several deep-sea chemosynthetic regions. The occurrence of endemic species is also high in this region: 68% of the species are endemic to this area. This area still possesses its natural landscape and environment due to its inaccessibility. 	H	H	-	H	H	H	H

The following is taken from recommendation XX/4 of the Subsidiary Body on Scientific, Technical and Technological Advice

Voluntary specific workplan on biodiversity in cold-water areas within the jurisdictional scope of the Convention

The Conference of the Parties,

Recalling paragraph 4 of decision XI/20, in which it urged Parties to advocate and contribute to effective carbon dioxide emission reductions by reducing anthropogenic emissions from sources and through increasing removals of greenhouse gases by sinks under the United Nations Framework Convention on Climate Change,¹⁸⁸ including the Paris Agreement,¹⁸⁹ and noting also the relevance of the Convention on Biological Diversity and other instruments,

1. *Notes* that cold-water areas sustain ecologically important and vulnerable habitats, such as cold-water corals and sponge fields, which play important functional biological and ecological roles, including supporting rich communities of fish as well as suspension-feeding organisms such as sponges, bryozoans and hydroids, some of which may be undergoing change due to the combined and cumulative effects of multiple stressors, including both global stressors, in particular ocean acidification, and local stressors;
2. *Welcomes* the scientific compilation and synthesis on biodiversity and acidification in cold-water areas,¹⁹⁰ and *takes note* of the key findings of this synthesis, as summarized in annex I;¹⁹¹
3. *Adopts* the voluntary specific workplan for biodiversity in cold-water areas within the jurisdictional scope of the Convention contained in annex II to the present decision as an addendum to the programme of work on marine and coastal biodiversity, which can be used as a flexible and voluntary framework for action;
4. *Encourages* Parties, other Governments and competent intergovernmental organizations, where applicable, within their respective jurisdictions and mandates and in accordance with national circumstances, to implement the activities contained in the workplan and further strengthen current efforts at the local, national, regional and global levels to:
 - (a) Avoid, minimize and mitigate the impacts of global and local stressors, and especially the combined and cumulative effects of multiple stressors;
 - (b) Maintain and enhance the resilience of ecosystems in cold-water areas in order to contribute to the achievement of Aichi Biodiversity Targets 10, 11 and 15, and thereby enable the continued provisioning of goods and services;
 - (c) Identify and protect areas capable of acting as refugia sites and adopt, as appropriate, other area-based conservation measures, in order to enhance the adaptive capacity of cold-water ecosystems;
 - (d) Enhance understanding of ecosystems in cold-water areas, including by improving the ability to predict the occurrence of species and habitats and to understand their vulnerability to different types of stressors, as well as to the combined and cumulative effects of multiple stressors;
 - (e) Enhance international and regional cooperation in support of national implementation, building on existing international and regional initiatives and creating synergies with various relevant areas of work within the Convention;

¹⁸⁸ United Nations, *Treaty Series*, vol. 1771, No. 30822.

¹⁸⁹ United Nations Framework Convention on Climate Change, Conference of the Parties, twenty-first session, decision 1/CP.21 (see [FCCC/CP/2015/10/Add.1](#)).

¹⁹⁰ [UNEP/CBD/SBSTTA/20/INF/25](#).

¹⁹¹ [UNEP/CBD/SBSTTA/20/4](#).

5. *Invites* Parties, other Governments and research and funding organizations to promote, as appropriate and within their competencies, and in accordance with national circumstances, activities to address research and monitoring needs identified in annex III to the present decision;

6. *Requests* the Executive Secretary, in collaboration with Parties, other Governments and relevant organizations, to facilitate, promote and support the implementation of the workplan contained in annex II to the present decision by, among other things, facilitating capacity-building activities, subject to available financial resources, and the sharing of information on experiences and lessons learned from the implementation of the workplan, including through collaboration with the Food and Agriculture Organization of the United Nations, the International Maritime Organization, the International Seabed Authority, regional seas organizations, regional fisheries management bodies and other relevant organizations.

Annex I

KEY MESSAGES FROM THE SCIENTIFIC COMPILATION AND SYNTHESIS ON BIODIVERSITY AND OCEAN ACIDIFICATION IN COLD-WATER AREAS¹⁹²

Cold-water biodiversity and ecosystems

1. Cold-water areas sustain ecologically important habitats, including cold-water corals and sponge fields. The associated biodiversity of cold-water coral habitats is best understood, while the work on the functional ecology and biodiversity of cold-water sponge fields is expanding.
2. Cold-water coral habitats are typically more biodiverse than surrounding seabed habitats and support characteristic animal groups. For example, cold-water coral reefs support rich communities of suspension-feeding organisms, including sponges, bryozoans and hydroids.
3. Cold-water coral habitats can play important functional roles in the biology of fish. New evidence shows that some fish are found in greater numbers in cold-water coral habitats and some species use cold-water coral reefs as sites to lay their eggs.

Pressures and threats to biodiversity in cold-water areas

4. Ocean acidification has increased by ~26% in H⁺ ion concentration since pre-industrial times. Increased releases of CO₂ due to the burning of fossil fuels and other human activities are leading to increases in sea surface temperatures and ocean acidification.
5. The saturation state of carbonate in seawater varies by depth and region. The saturation state is typically lower in polar and deep waters due to lower temperatures. When carbonate becomes undersaturated calcium carbonate, which many organisms use to form shells and skeletons, it will dissolve if it is not protected by a covering of living tissue.
6. The increase in stratification from increased temperatures can lead to reduced ocean mixing, which can also disrupt export of surface carbon to greater depths. Increased ocean temperature contributes to deoxygenation by decreasing oxygen solubility at the surface and enhancing stratification. This leads to a decrease in the downward oxygen supply from the surface, meaning that less oxygen is available for organism respiration at depth, and areas with lowered oxygen levels may expand.
7. The combination of ocean acidification, increases in ocean temperature and deoxygenation can lead to significant changes in organism physiology and habitat range in cold-water areas. Ocean acidification is detrimental to many marine species, with impacts on their physiology and long-term fitness. Shoaling of the aragonite saturation horizon will also leave many calcifying species in potentially corrosive seawater. Increases in temperature can impact the physiology of many organisms directly, and indirectly lead to increasing deoxygenation and expansion of low oxygen zones. This can lead to community shifts, changes in nitrogen cycling, and modification of habitat ranges.
8. Destructive fishing practices can significantly impact vulnerable marine ecosystems. Many cold-water ecosystems have slow growth rates, and recovery from impacts may take decades to hundreds or even thousands of years. Decreases in biodiversity, biomass and habitats (through destruction or alteration) could entail consequences for broader biogeochemical cycles.
9. There are potential impacts on marine biodiversity and ecosystems in the deep-sea from marine mining exploration and exploitation. Impacts may include habitat destruction, ecotoxicology, changes to habitat conditions, discharge of

¹⁹² Based on [UNEP/CBD/SBSTTA/20/INF/25](https://www.unep.org/cbd/sites/default/files/2020-09/UNEP-CBD-SBSTTA-20-INF-25.pdf).

nutrient enriched deep-water to surface communities and potential displacement or extinction of local populations, in addition to point source mining impacts, understanding the consequences of mine tailings disposal over wide areas is particularly important.

10. Hydrocarbon exploitation can impact cold-water biodiversity on different geographic scales. While drill cuttings can cover and disturb local benthos around platforms, major oil spill accidents would have the potential to result in environmental impacts at great depths and/or through the water column over many hundreds of square kilometres.

11. Deep-sea sediments accumulate plastic microfibres and other pollutants. The abundance of plastic microfibres in some deep-sea sediments was found to be four times higher than at the surface, meaning that the deep sea could be a significant sink of microplastics.

12. Invasive species can cause species extirpation and damage to ecosystem services. Major pathways to marine bioinvasion are discharged ballast water and hull fouling.

13. Bioprospecting has increased rapidly over the last decade, and can often occur in the deep ocean, where extremophiles are found. These areas often have very specific environmental conditions, and bioprospecting in these areas can risk damage to the habitat if an organism is deemed of high interest.

Global monitoring of ocean acidification

14. Global monitoring of ocean acidification is increasing, while there is a need for further development of predictive models. A well-integrated global monitoring network for ocean acidification is crucial to improve understanding of current variability and to develop models that provide projections of future conditions. Emerging technologies and sensor development increase the efficiency of this evolving network. There is a need for greater cross-sectoral partnership between government, industry and academia to facilitate establishing globally integrated monitoring system.

15. Seawater pH shows substantial natural temporal and spatial variability. The acidity of seawater varies naturally on a diurnal and seasonal basis, on local and regional scales, and as a function of water depth and temperature. Only by quantifying these changes is it possible to understand the conditions to which marine ecosystems are subjected currently. This will, in turn, increase understanding of how marine ecosystems will change in a future climate.

Resolving uncertainties

16. Greater understanding of the interaction between species within food webs is needed. Whether an impact of climate change on one organism will impact the fitness of other organisms is poorly understood at present. Mesocosm experiments, where communities are subjected to projected future conditions can help to address this.

17. Impacts of ocean acidification need to be studied on different life stages of cold-water organisms. Early life stages of a number of organisms may be at particular risk from ocean acidification, with impacts including decreased larval size, reduced morphological complexity, and decreased calcification. Further work needs to be done on different life stages of many cold-water organisms.

18. Existing variability in organism response to ocean acidification needs to be investigated further, to assess the potential for evolutionary adaptation. Multi-generational studies with calcifying and non-calcifying algal cultures show that adaptation to high CO₂ is possible for some species. Such studies are more difficult to conduct for long-lived organisms or for organisms from the deep sea. Even with adaptation, community composition and ecosystem function are still likely to change.

19. Research on ocean acidification increasingly needs to involve other stressors, such as temperature and deoxygenation, as will occur under field conditions in the future. Acidification may interact with many other changes in the marine environment on both local and global scales. These “multiple stressors” include temperature, nutrients, and oxygen. In situ experiments on whole communities (using natural CO₂ vents or CO₂ enrichment mesocosms) provide a good opportunity to investigate the impacts of multiple stressors on communities in order to increase understanding of future impacts.

Initiatives to address knowledge gaps in ocean acidification impacts and monitoring

20. There are a growing number of national and international initiatives to increase understanding of future impacts of climate change. Through linking national initiatives to international coordinating bodies, addressing global knowledge gaps and monitoring will become more effective.

Existing management and need for improvement

21. The legal and policy landscape relating to addressing impacts to cold-water biodiversity includes largely sectoral global and regional instruments. While instruments related to integrated management approaches exist, they do not presently cover the entirety of cold-water ecosystems comprehensively.
22. Reducing CO₂ emissions remains the key action for the management of ocean acidification and warming. Additional management options, such as reducing stressors at the national and regional levels, can be used to help marine ecosystems adapt and buy time to address atmospheric CO₂ concentrations.
23. Our understanding of the impacts of individual stressors is often limited, but we have even less understanding of the impacts that a combination of these stressors will have on cold-water marine organisms and ecosystems and the goods and services they provide. There is a pressing need to understand the interactions and potentially combined and cumulative effects of multiple stressors.
24. Because individual stressors interact, managing each activity largely in isolation will be insufficient to conserve marine ecosystems. Multiple stressors must be managed in an integrated way, in the context of the ecosystem approach.
25. Scientific studies suggest that priority areas for protection should include areas that are resilient to the impacts of climate change and thus act as refuges for important biodiversity. In cold-water coral reefs, this may include important reef strongholds (reef areas likely to be less impacted by acidification by being located at depths above the aragonite saturation horizon), or areas important for maintaining reef connectivity and gene flow, which may be crucial for coral species to adapt to the changing conditions.
26. Management strategies should also protect representative habitats. Representative benthic habitats that are adjacent or connected to impacted areas can act as important refuges and source habitat for benthic species.
27. There is an urgent need to identify refugia sites nationally, regionally and globally. Efforts to describe and identify ecologically or biologically significant marine areas (EBSAs), including through the work on EBSAs under the Convention on Biological Diversity and the work on VMEs under the Food and Agriculture Organization of the United Nations, may help regional and global efforts to identify the location of habitats that may be resilient to the impacts of acidification and ocean warming, or that may help in maintaining gene flow and connectivity.
28. Cold-water biodiversity supports economies and well-being, and thus all stakeholders have a role in its management. Awareness-raising and capacity-building at all levels are important for future management effectiveness.

Annex II

VOLUNTARY SPECIFIC WORKPLAN ON BIODIVERSITY IN COLD-WATER AREAS WITHIN THE JURISDICTIONAL SCOPE OF THE CONVENTION

Context and scope

1. This workplan has been developed pursuant to paragraph 16 of decision XII/23. It builds upon the elements of a workplan on physical degradation and destruction of coral reefs, including cold-water corals (decision VII/5, annex I, appendix 2). It should be implemented on a voluntary basis as part of the programme of work on marine and coastal biodiversity (decision VII/5, annex I).
2. The workplan will support the achievement of Aichi Biodiversity Targets in marine and coastal areas, addressing in particular Aichi Biodiversity Target 10. The scope of the workplan is cold-water areas in the deep and open ocean, including both benthic and pelagic areas. These areas support a diverse range of marine species and habitats, including deep-water coral and sponge grounds that play important biological and ecological roles in the world's oceans. There is increasing evidence that cold-water areas are being significantly affected by direct human pressures and as well as wider impacts of global climate change and ocean acidification.
3. The workplan should be implemented alongside efforts to reduce anthropogenic emissions from sources and through increasing removals of greenhouse gases by sinks under the United Nations Framework Convention on Climate Change.

Objectives

4. The objectives of the workplan are the following:
 - (a) To avoid, minimize and mitigate the impacts of global and local stressors, and especially the combined and cumulative effects of multiple stressors;

(b) To maintain and enhance the resilience of ecosystems in cold-water areas in order to contribute to the achievement of Aichi Biodiversity Targets 10, 11 and 15, and thereby enable the continued provisioning of goods and services;

(c) To identify and protect areas capable of acting as refugia sites, and adopt, as appropriate, other area-based conservation measures, in order to enhance the adaptive capacity of cold-water ecosystems;

(d) To enhance understanding of ecosystems in cold-water areas, including by improving the ability to predict the occurrence of species and habitats and to understand their vulnerability to different types of stressors as well as the combined and cumulative effects of various stressors;

(e) To enhance international and regional cooperation in support of national implementation, building on existing international and regional initiatives and creating synergies with various relevant areas of work within the Convention.

Activities

5. Parties are encouraged to take the following actions, in accordance with national and international laws and using the best available scientific information:

5.1 Assess needs and develop integrated policies, strategies and programmes related to biodiversity in cold-water areas:

(a) Integrate issues related to biodiversity in cold-water areas into national biodiversity strategies and action plans (NBSAPs);

(b) Assess the management and regulatory actions in place nationally and regionally to address the combined and cumulative effects of multiple stressors on cold-water biodiversity, and develop and enhance national mechanisms for inter-agency coordination and collaboration in implementing cross-sectoral regulatory approaches, including the consolidation of existing national initiatives;

(c) Assess the degree to which local stressors (such as destructive fishing practices, marine mining, hydrocarbon exploitation, anthropogenic noise, shipping, pollution and bioprospecting) are addressed by existing sectoral regulations, and adjust regulatory frameworks to address these stressors, where appropriate;

(d) Integrate long-term climate-related impacts on cold-water biodiversity into the assessment of local stressors;

(e) Ensure close coordination among national and subnational governments, and facilitate the involvement of indigenous peoples and local communities;

(f) Develop regional strategies to address common stressors, complementing national strategies.

5.2 Strengthen existing sectoral and cross-sectoral management to address stressors to cold-water biodiversity, including from overfishing and destructive fishing practices, pollution, shipping, seabed mining, by taking the following actions, as appropriate, and in accordance with national and international laws and circumstances:

(a) Strengthen fisheries management approaches, including the application of the ecosystem approach to fisheries, at national and regional scales, including through regional fishery bodies, to address unsustainable fishing practices, including overfishing, illegal, unreported and unregulated fishing and destructive fishing practices, and ensure effective enforcement, using relevant guidelines of the Food and Agriculture Organization of the United Nations, such as the FAO Code of Conduct for Responsible Fisheries and the International Guidelines for the Management of Deep-sea Fisheries in the High Seas;

(b) Avoid, minimize and mitigate land-based and sea-based pollution, deoxygenation, and introduction of invasive species through ballast water and biofouling to prevent adverse impacts on cold-water ecosystems and species, including through the implementation of instruments, tools and guidelines by the International Maritime Organizations (IMO) and other relevant global and regional organizations;

(c) Avoid, minimize or mitigate adverse impacts related to hydrocarbon extraction in areas that are known to contain cold-water coral and sponge reefs and other sensitive cold-water biodiversity;

- (d) Avoid, minimize or mitigate adverse impacts of seabed mining on cold-water biodiversity, in accordance with the instruments, tools and guidelines of the International Seabed Authority with regard to mining in the deep seabed beyond national jurisdiction;
 - (e) Avoid, minimize or mitigate impacts from undersea cables in areas that are known or highly likely to contain vulnerable cold-water coral and sponge reefs.
- 5.3 Develop and apply marine protected areas and marine spatial planning in order to reduce the impacts of local stressors, and especially the combined and cumulative effects of multiple stressors, on cold-water biodiversity in the context of the ecosystem approach and national development planning:
 - (a) Increase spatial coverage and management effectiveness of marine protected areas and other area-based conservation measures in cold-water areas;
 - (b) Identify and prioritize, as appropriate, in conservation, protection and management approaches, specific types of cold-water areas such as:
 - Ecologically or biologically significant marine areas (EBSAs), vulnerable marine ecosystems (VMEs) and particularly sensitive sea areas (PSSAs) in cold-water areas;
 - Cold-water areas identified in vulnerability assessments using ecological and socioeconomic criteria;
 - Habitats that have not been affected by the impacts of ocean acidification or ocean warming, and can thus serve as refugia sites;
 - Healthy cold-water coral reefs, sponge reefs and other cold-water marine ecosystems, in order to prevent their degradation by human-induced stressors;
 - Areas with healthy cold-water coral communities that are at depths above the aragonite saturation horizon;
 - Habitats that are important for maintaining connectivity, gene pool size and diversity, and gene flow;
 - Representative benthic habitats across the range of ecosystems, including those adjacent to degraded areas.
- 5.4 Expand and improve monitoring and research on biodiversity in cold-water areas to improve fundamental knowledge of how, and over what time scales, climate change and other human-induced stressors will impact the long-term viability of, and ecosystem services provided by, cold-water biodiversity, habitats and ecosystems, including through activities outlined in annex III, with a focus on activities that:
 - (a) Improve understanding of biodiversity in cold-water areas, including species identification, species distribution, community composition and taxonomic standardization, to provide baseline information for assessing the effects of climate change and other human-induced stressors;
 - (b) Assess the socioeconomic implications of the ongoing and predicted future pressures on cold-water biodiversity;
 - (c) Improve understanding of how climate change, acidification and other human-induced stressors will impact the physiology, health and long-term viability of cold-water organisms, habitats and ecosystems;
 - (d) Improve monitoring of environmental conditions in cold-water habitats to understand variability in carbonate chemistry;
 - (e) Develop or expand upon predictive model research to determine how projected climate change will impact cold-water biodiversity over different time scales.
- 5.5 Improve coordination and collaboration in research, information sharing and capacity-building to address policy and management needs, and to increase public awareness:
 - (a) Develop research collaboration as part of national programmes, including sharing of information relevant to cold-water biodiversity and opportunities for scientific collaboration and capacity-building, addressing the research needs identified in annex III;

- (b) Develop a coordination strategy to leverage the efforts of various science organizations that actively research cold-water biodiversity, including through initiatives such as the Global Ocean Acidification Observing Network (GOA-ON) and the International Atomic Energy Agency's Ocean Acidification International Coordination Centre (OA-ICC), and provide a platform for information sharing between these initiatives in support of the work of the Convention;
 - (c) Improve knowledge-sharing among various actors and provide opportunities for participation in assessment, monitoring and research;
 - (d) Develop and implement targeted education and awareness campaigns for diverse stakeholders on the socioeconomic value of cold-water biodiversity and ecosystems, and the role of various stakeholders in increasing the resilience of cold-water biodiversity by reducing direct stressors;
 - (e) Collaborate with indigenous peoples and local communities, fishers, civil society and members of the public to improve information available for assessment, monitoring and validation of predictive models, including through application of traditional knowledge, fisher's knowledge and citizen science;
 - (f) Raise awareness among policymakers of key scientific findings related to cold-water biodiversity, and facilitate incorporation of the activities of this workplan into relevant national strategies and action plans, as well as relevant research and monitoring programmes at the global, regional and national levels.
- 5.6 Identify and provide sustainable sources of financing at the national, regional and global levels to enable the actions outlined in this workplan:
- (a) Secure, through national budget systems (for example environment, climate-change adaptation funds), the necessary financial resources to implement measures to enhance knowledge about the resilience of biodiversity in cold-water areas, and to support the prioritization of the monitoring and research needs in annex III;
 - (b) Apply comprehensive and diverse financing schemes for management of stressors impacting biodiversity in cold-water areas;
 - (c) Remove key bottlenecks and improve access to funding through capacity-building and streamlining of funding processes.

Annex III

MONITORING AND RESEARCH NEEDS FOR SUPPORTING THE IMPLEMENTATION OF THE VOLUNTARY SPECIFIC WORKPLAN ON BIODIVERSITY IN COLD-WATER AREAS WITHIN THE JURISDICTIONAL SCOPE OF THE CONVENTION

1. Improve understanding of biodiversity in cold-water areas to provide baseline information used for assessing the effects of climate change and other human-induced stressors:
 - 1.1 Support the ongoing research on biodiversity in cold-water areas to fill in gaps in fundamental knowledge of species identification, species distribution, and community composition, including taxonomic standardization;
 - 1.2 Identify key habitat providers and their functional role within ecosystems to understand which organisms may be a priority in conservation and management;
 - 1.3 Understand the biodiversity that key cold-water habitats support globally, and assess the gaps in current knowledge;
 - 1.4 Map biodiversity and coral viability along natural gradients of carbonate saturation in order to identify the main predictors of coral biodiversity and health, assess changes related to carbonate saturation state, locate hotspots of biodiversity and endemism, and help validate predictive models and improve understanding of how acidification affects ecosystem function and viability.
2. Assess the socioeconomic implications of current and predicted future pressures on cold-water biodiversity:
 - 2.1 Enhance understanding of the ecosystem goods and services of cold-water areas;

- 2.2 Investigate connectivity (genetic and transfer of mobile species) between cold-water areas at multiple scales;
 - 2.3 Investigate flow-on effects to ecosystems and ecosystem services that have significant environmental, social, cultural and economic impacts.
3. Conduct research to assess how climate change and other human-induced stressors will impact the physiology, health and long-term viability of cold-water organisms, habitats and ecosystems:
 - 3.1 Carry out controlled laboratory experimentation, where feasible, on key individual species (ecosystem engineers, keystone species) to understand their metabolic, physiological and behavioural responses, their tolerance limits/thresholds to ocean acidification, potential interactive effects of warming and deoxygenation and to human-induced stressors;
 - 3.2 Implement experiments using mesocosms in the field to understand fundamental ecological responses to ocean acidification, including how acidification may alter plankton productivity, larval ecology, food webs and the competitive interactive strength of taxa;
 - 3.3 Assess experimental designs for ocean acidification biodiversity research at the individual, population and ecosystem level to identify best practices;
 - 3.4 Identify the adaptive (or evolutionary) capacity of species with regard to single and multiple stressors, to assess the long-term resilience of key ecosystems and their continued provisioning of goods and services;
 - 3.5 Conduct long-term experiments to assess whether organism survival comes with hidden energetic, structural or reproductive costs over a longer period;
 - 3.6 Conduct experiments to assess whether larval stages are more susceptible to potential impacts at different life stages of organisms, and whether this impacts the long-term fitness of key species;
 - 3.7 Incorporate broader assessments of ecological, physiological and microbiological impacts of acidification into research to consider wider impacts on individuals, species and ecological interactions.
4. Improve monitoring of environmental conditions in cold-water habitats to understand variability in carbonate chemistry:
 - 4.1 Develop or expand upon existing physicochemical water chemistry monitoring programmes in cold-water areas to better understand the natural spatial and temporal variability of ocean carbon chemistry;
 - 4.2 Integrate physicochemical water chemistry monitoring within national jurisdictions into international programmes, such as the Global Ocean Acidification Observation Network (GOA-ON) and initiatives such as the Global Ocean Observing System (GOOS);
 - 4.3 Support the development of technology for the rapid and economical assessment of seawater carbonate chemistry;
 - 4.4 Integrate carbonate chemistry sampling into marine monitoring programmes, where possible.
5. Develop or expand upon predictive model research to determine how projected climate change will impact cold-water biodiversity over different time scales:
 - 5.1 Improve ocean carbonate models to understand the temporal and three-dimensional spatial changes in carbonate saturation state and its main drivers, including changing atmospheric CO₂ conditions and ocean currents;
 - 5.2 Document existing gaps in data knowledge on national, regional global scales that limit the predictive power of models;
 - 5.3 Couple ocean carbonate chemistry mapping and oceanographic models to biophysical and ecological information to predict the temporal and spatial variability of acidification impacts in order to help identify areas under the greatest threat and possible refugia;
 - 5.4 Optimize habitat modelling to predict key habitats and biodiversity occurrence from seawater carbonate chemistry, oceanographic and water mass modelling and larval dispersal.

The following is taken from recommendation XX/5 of the Subsidiary Body on Scientific, Technical and Technological Advice

Addressing impacts of marine debris and anthropogenic underwater noise on marine and coastal biodiversity

The Conference of the Parties

Impacts of anthropogenic underwater noise on marine and coastal biodiversity

1. *Takes note* of the updated report entitled “Scientific synthesis of the impacts of underwater noise on marine and coastal biodiversity and habitats”,¹⁹³ and *invites* Parties, other Governments and relevant organizations to make use of this information, as appropriate, within their competencies, and in accordance with national legislation and international agreements;

2. *Recalls* decision XII/23, in particular paragraph 3, and *invites* Parties, other Governments and competent organizations, including the International Maritime Organization, the Convention on the Conservation of Migratory Species of Wild Animals,¹⁹⁴ the International Whaling Commission, other relevant stakeholders, and indigenous peoples and local communities, as appropriate, within their competencies, and in accordance with national legislation and international laws, to further collaborate and share their experiences on the application of measures, in line with the precautionary approach, in line with the preamble to the Convention, to avoid, minimize and mitigate the significant adverse impacts of anthropogenic underwater noise on marine and coastal biodiversity, including the measures specified in paragraph 3 of the same decision, and *requests* the Executive Secretary, subject to the availability of resources, to continue his work on the compilation, synthesis and dissemination of these experiences, including scientific research on the adverse impacts of underwater noise on marine and coastal biodiversity, and, based on scientifically identified needs, to develop and share, in collaboration with Parties, other Governments and relevant organizations, practical guidance and toolkits on measures to avoid, minimize and mitigate these impacts, and to make this compilation, as well as the guidance and toolkits referred to above, available for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at a future meeting held prior to the fourteenth meeting of the Conference of the Parties;

Addressing impacts of marine debris on marine and coastal biodiversity

3. *Recalling* the G7 Action Plan to Combat Marine Litter, *takes note* of the report of the Expert Workshop to Prepare Practical Guidance on Preventing and Mitigating the Significant Adverse Impacts of Marine Debris on Marine and Coastal Biodiversity and Habitats¹⁹⁵ and *also takes note* of the ongoing work under the United Nations Environment Assembly on marine litter and microplastics;

4. *Also takes note* of the voluntary practical guidance on preventing and mitigating the impacts of marine debris on marine and coastal biodiversity and habitats, as contained in the annex to the present decision;

5. *Urges* Parties and *encourages* other Governments, relevant organizations, industries, other relevant stakeholders, and indigenous peoples and local communities, to take appropriate measures, in accordance with national and international law and within their competencies, to prevent and mitigate the potential adverse impacts of marine debris on marine and coastal biodiversity and habitats, taking into account the voluntary practical guidance contained in the annex to the present decision, and incorporate issues related to marine debris in the mainstreaming of biodiversity into different sectors;

6. *Invites* Parties and other Governments to consider, where appropriate, extended producer responsibility for providing response measures where there is damage or sufficient likelihood of damage to marine and coastal biodiversity and habitats from marine debris;

¹⁹³ [UNEP/CBD/SBSTTA/20/INF/8](#).

¹⁹⁴ United Nations, *Treaty Series*, vol. 1651, No. 28395.

¹⁹⁵ [UNEP/CBD/SBSTTA/20/INF/7](#).

7. *Urges* Parties, and *encourages* other Governments and relevant international organizations to develop and implement measures, policies and instruments to prevent the discard, disposal, loss or abandonment of any persistent, manufactured or processed solid material in the marine and coastal environment;

8. *Invites* competent intergovernmental organizations, including the International Maritime Organization, the Food and Agriculture Organization of the United Nations, the United Nations Environment Programme and regional seas organizations and other competent bodies, within their mandates, to take appropriate measures, and to assist Parties and other Governments in taking appropriate measures to prevent and mitigate the potential adverse impacts of marine debris on marine and coastal biodiversity and habitats, taking into account the voluntary practical guidance contained in the annex to the present decision;

9. *Requests* the Executive Secretary, subject to the availability of resources:

(a) To facilitate collaboration among Parties, other Governments and relevant organizations, including the International Maritime Organization, the Food and Agriculture Organization of the United Nations, the United Nations Environment Programme, the United Nations Division on Ocean Affairs and the Law of the Sea, regional seas organizations and other competent bodies, including in the framework of regional action plans on marine litter, on the application of measures within the respective jurisdictions of Parties and other Governments and the mandates of intergovernmental organizations, to prevent and mitigate the impacts of marine debris on marine and coastal biodiversity and habitats, including those in the voluntary practical guidance contained in the annex to the present draft decision, by facilitating the sharing of experiences, information, toolkits and best practices;

(b) To facilitate the provision of capacity-building opportunities to developing countries, in particular the least developed countries and small island developing States, as well as countries with economies in transition, for the implementation, in areas within national jurisdiction, of measures to prevent and mitigate the impacts of marine debris on marine and coastal biodiversity and habitats, including those in the voluntary practical guidance contained in the annex to the present draft decision.

Annex

VOLUNTARY PRACTICAL GUIDANCE ON PREVENTING AND MITIGATING THE IMPACTS OF MARINE DEBRIS ON MARINE AND COASTAL BIODIVERSITY AND HABITATS

Marine debris and its impacts on marine and coastal biodiversity and habitats

1. Marine debris is usually defined as any persistent, manufactured or processed solid material discarded, disposed of, lost or abandoned in the marine and coastal environment. This includes materials transported into the marine environment from land by rivers, drainage or sewage systems or winds. Marine debris originates from a range of sea- and land-based sources.

2. Marine debris incurs socioeconomic costs, threatens human health and safety, and impacts marine organisms. It is broadly documented that entanglement in, or ingestion of, marine debris can have negative consequences on the physical condition of marine animals and may lead to their death. Ingestion of plastics is also of concern as it may provide a pathway for the transport of harmful chemicals into the food web. Additionally, marine debris is known to damage, alter or degrade habitats (for example, by smothering) and to be a possible vector for the transfer of alien species.

3. Negative effects include alteration of the biological and ecological performance of individuals, external injuries or death. Determining the effect of ingesting marine debris on an individual organism can be difficult, and the consequences of ingestion are still not fully understood. Species that show a high incidence of debris ingestion or entanglement may be susceptible to population-level effects. This could have negative consequences for small populations, particularly those that are endangered and/or exposed to multiple stressors. Identifying the impacts of marine debris at the ecosystem level should include the evaluation of the loss of ecosystem services that can be attributed to this stressor.

4. Microplastics¹⁹⁶ are likely to increase in abundance, and are a persistent pollutant that is present in all marine habitats. The trophic transfer of microplastics through benthic and pelagic food webs may facilitate the transfer and accumulation of both plastics and toxic chemicals. There is evidence of transfer of chemical additives from ingested plastics into tissue. There is also concern that the ingestion of microplastics, as well as macro- and mesoplastics, can cause physical effects, such as internal abrasion, blockage and injury, and may also provide a pathway for the uptake of harmful chemicals (for example, additives contained in plastic products) by marine organisms.

5. Marine debris can also serve as a vector for the transport of invasive alien species and may facilitate the dispersal of pathogens. Debris in the sea can be rapidly colonized by microbes to form a biofilm on the surface, effectively becoming an artificial microbial substrate. Debris can also be transported via animals through ingestion and subsequent egestion.

6. The considerable gaps in knowledge of the sources, distribution and quantity of marine debris items, and their impacts on marine and coastal biodiversity and habitats, is limiting the ability to address the problem effectively. There is a lack of information on the amount of debris entering the marine environment and degradation or fragmentation rates for debris under a range of conditions. There is limited information available for the physical and chemical consequences of debris on marine species through ingestion/uptake.

Approaches for preventing and mitigating the impacts of marine debris on marine and coastal biodiversity and habitats

7. The following general approaches are suggested for preventing and mitigating the impacts of marine debris on marine and coastal biodiversity and habitats:

(a) There should be a focus on preventing the discard, disposal, loss or abandonment of any persistent, manufactured or processed solid material in the marine and coastal environment;

(b) Measures to prevent and mitigate the significant adverse impacts of marine debris, should, as appropriate, use existing platforms and tools for cooperation, which will enhance synergies and capitalize on the progress made in these forums (such as the Global Programme of Action for the Protection of the Marine Environment from Land-based Activities,¹⁹⁷ the Global Partnership on Marine Litter (GPML) and the Regional Seas Conventions and Action Plans);

(c) A broad range of available instruments and policy responses, including economic incentives, market-based instruments and public private partnerships, can be used to support action aimed at preventing and mitigating the impacts of marine debris.

Priority actions for mitigating and preventing the impacts of marine debris on marine and coastal biodiversity and habitats

8. For land-based sources of marine debris, the following actions are suggested:

(a) Identify baseline data on the main land-based sources, quantities and impacts of marine debris;

(b) Promote structural economic changes that would reduce the production and consumption of plastics, increase production of environmentally friendlier materials, and support the development of alternative materials, increase recycling and reuse, and support an enabling environment for these changes through capacity-building, regulations and standards, and cooperation between industry, governments and consumers;

(c) Support research aimed at developing technology to better understand the environmental impacts of plastics on the marine environment, to design new or improved biodegradable products and to assess cost-effective production on a commercial scale;

(d) Promote and disseminate best practices in resource-efficient and closed product-to-waste cycles, taking into account the following:

(i) Supporting the design of products that are long-lasting and reused, repairable, re-manufacturable and recyclable with the most effective use of resources;

¹⁹⁶ Microplastic is defined as pieces or fragments of plastic smaller than 5 mm (JRC Scientific and Technical Reports. 2010. Marine Strategy Framework Directive Task Group 10 Report Marine Litter. EUR 24340 EN – 2010). The breakdown of these items results in numerous tiny plastic fragments, which are called secondary microplastics. Other microplastics that can be found in the marine environment are categorized as primary microplastics due to the fact that they are produced either for direct use, such as for industrial abrasives, or cosmetics or for indirect use, such as pre-production pellets or nurdles (OSPAR Commission, Regional Action Plan for Prevention and Management of Marine Litter in the North-East Atlantic, OSPAR Agreement 2014-1).

¹⁹⁷ A/51/116, annex II.

- (ii) Limiting superfluous consumption by enabling consumers to make responsible, well-informed decisions and discouraging inappropriate disposal behaviour;
- (iii) Promoting adequate collection and separation of different types of waste to maximize return rates of high-quality materials;
- (iv) Promoting recycling over incineration and landfilling;
- (e) Promote best practices along the whole plastics manufacturing and value chain from production to transport, such as aiming for zero loss;
- (f) Assess whether different sources of microplastics and different products and processes that include both primary and secondary microplastics¹⁹⁸ are covered by legislation, and strengthen, as appropriate, the existing legal framework so that the necessary measures are applied, including through regulatory and/or incentive measures to eliminate the production of microplastics that have adverse impacts on marine biodiversity;

(g) Improve the waste management systems of countries through the sharing of best practices as well as identifying and addressing loopholes that contribute to the generation of marine debris.

9. For sea-based sources of pollution, the following actions are suggested, within the respective jurisdictions of Parties and other Governments and the mandates of intergovernmental organizations:

(a) Develop approaches, in collaboration with the International Maritime Organization, to optimize waste delivery to port reception facilities and to ensure that waste is disposed of properly;

(b) Identify options to address key waste items from the fishing industry and aquaculture that could contribute to marine debris, and implement activities, including pilot projects, as appropriate, and good practice examples, such as deposit schemes, voluntary agreements and end-of-life recovery, in collaboration with the Food and Agriculture Organization of the United Nations and the United Nations Environmental Programme (UNEP);

(c) Promote and disseminate best practices in relation to all relevant aspects of waste management within the fishing sector (including, for example, waste management on board, waste management at harbours, operational losses/net cuttings, deposit schemes and extended producer responsibility) in collaboration with Food and Agriculture Organization of the United Nations and the International Maritime Organization;

(d) Apply guidelines on best practices (for example, 1991 FAO Voluntary Guidelines for the marking of fishing gear; 1995 FAO Code of Conduct for Responsible Fisheries, 2011 FAO International Guidelines for bycatch management and reduction of discards) to reduce the input of abandoned, lost or discarded fishing gear from commercial and recreational fishing, as appropriate;

(e) Foster partnerships with international and regional organizations, port authorities and non-governmental organizations, to encourage the implementation of initiatives for containing, utilizing and/or processing marine litter, such as passive “fishing for litter” schemes, to collect litter caught in fishing nets during normal fishing activities;

10. With regard to information exchange, knowledge-sharing, awareness-building, capacity-building, and socioeconomic incentives, the following actions are suggested:

(a) Promote and undertake education activities on marine debris in partnership with civil society groups, including activities related to prevention and promotion of sustainable consumption and production;

(b) Promote outreach and education activities leading to individual behaviour change that can reduce the generated amount of debris entering the environment;

(c) Establish a collaborative platform for sharing experiences and exchange of information on good clean-up practice in beaches and coastal environments, pelagic and surface sea areas, ports, marinas and inland waterways, in cooperation with relevant local stakeholders; develop best practices on environmental friendly clean-up technologies and methods; and promote the “adopt a beach” system;

(d) Identify and promote curricula for marine-related education, including both professional seafarers and the recreational sector (for example, diving and sailing schools), in order to increase awareness, understanding and respect for the marine environment and secure commitment to responsible behaviour at personal, local, national and global level;

¹⁹⁸ Ibid.

(e) Develop and implement socioeconomic incentives to prevent the introduction of waste into the environment, such as levies for the sale of plastic bags and/or banning single-use plastic bags, in particular for coastal communities and coastal tourist resorts;

(f) Collaborate, based on existing eco-labels, with international environmental certification schemes on information exchange and inclusion of the management and prevention of marine debris in their criteria, in accordance with the rules of the multilateral trading system;

11. For integrated management and coordination, the following actions are suggested, within the respective jurisdictions of Parties and other Governments and the mandates of intergovernmental organizations:

(a) Support the development and implementation of national or regional action plans to prevent or mitigate the impacts of marine debris on coastal and marine biodiversity and habitats, also by drawing upon existing action plans and guidance in certain regions (such as the Caribbean, North-East Atlantic, Mediterranean and Baltic Sea regions) taking into account existing Regional Action Plans (RAPs) of the Regional Sea Conventions;

(b) Mainstream marine debris consideration into existing and newly developed regulatory frameworks and develop necessary legislative and institutional framework that will put sustainable waste management into practices, including through the promotion of extended producer responsibility and waste management infrastructure;

(c) Mainstream existing legislation to integrate marine debris issues and targets, in line with existing packaging and waste regulations;

(d) Set in place quantifiable and operational targets for avoiding or minimizing marine debris and for preventing and mitigating their impacts on marine and coastal biodiversity and habitats;

(e) Define the role of marine debris prevention strategies within the context of cross-sectoral and area-based management tools based on the ecosystem approach.

12. For addressing knowledge gaps and research needs, the following actions are suggested:

(a) Support and promote, as appropriate, harmonized approaches to monitoring, analysis and reporting based on standardized methodologies, taking into account existing monitoring guidance for marine litter, such as the European Union Monitoring Guidance for Marine Litter in European Seas;

(b) Ensure access to, sharing and utilization of technology to support marine debris monitoring, particularly in developing countries, in particular the least developed countries and small island developing States, as well as countries with economies in transition;

(c) Develop and promote means to identify sources, pathways and distribution of marine debris to understand individual and population-level effects of marine debris on marine species;

(d) Investigate and promote the best available techniques as well as research and develop additional techniques in wastewater treatment plants to prevent microparticles entering the marine environment;

(e) Promote research on the potential trophic transfer of marine microdebris in food webs to determine whether there is a bioaccumulation effect for plastics and harmful chemicals;

(f) Develop and strengthen the use of citizen science schemes that address the monitoring and enforcement of environmental standards on marine debris;

(g) Undertake socioeconomic research to better understand the social factors which may contribute to the production of marine debris, the impacts of marine debris on various coastal and maritime sectors and communities, and consumer preferences, perceptions and attitudes that can help to inform targeted outreach programmes designed according to local/cultural context;

(h) Develop a risk assessment of impact of debris on marine and coastal species and ecosystems, and identify potential hotspots of gear loss and their associated biodiversity impacts;

(i) Develop monitoring strategies, taking account the following needs:

(i) To evaluate possible population-level impacts that consider in a coordinated way the migration routes and the distribution of species and populations;

(ii) To include species life stages and the specific vulnerability to marine debris (for example, monitoring of juveniles to quantify the burden on adults);

- (iii) To address sublethal effects while taking into account that a broad range of interacting natural and human factors determines the survival and reproductive success of individual animals;
- (iv) To take into account that, in the case of highly endangered species, direct harm caused by marine debris on one individual can easily have an effect on the entire population;
- (j) Apply modelling as a useful tool for marine debris management and mitigation. It can be used with spatial mapping to estimate debris distribution, encounter rates between debris and species, and support the production of global risk assessments, especially for threatened species.

The following is taken from recommendation XX/6 of the Subsidiary Body on Scientific, Technical and Technological Advice

Marine spatial planning and training initiatives

The Conference of the Parties

Marine spatial planning

1. Welcomes the report of the Expert Workshop to Provide Consolidated Practical Guidance and a Toolkit for Marine Spatial Planning, held in Montreal, Canada, from 9 to 11 September 2014,¹⁹⁹ and expresses its gratitude to the European Commission for its financial support;
2. Recognizes that marine spatial planning that may benefit a participatory tool to facilitate the application of the ecosystem approach, expedite progress towards achieving Aichi Biodiversity Targets in marine and coastal areas, in particular Targets 6, 8, 10, 11 and 12, and support mainstreaming biodiversity into public policies related to human and economic development;
3. Encourages Parties and invites other Governments, as appropriate and taking into account national circumstances, to apply marine spatial planning to marine and coastal areas within their jurisdiction or enhance existing marine spatial planning initiatives in these areas, and:
 - (a) To take into account the above-mentioned report of the Expert Workshop and other technical guidance from relevant international and regional organizations and agreements in the implementation of marine spatial planning,
 - (b) To promote full and effective participation of indigenous peoples and local communities in the development and implementation of marine spatial planning, in accordance with national legislation;
 - (c) To link closely to existing efforts to implement integrated marine and coastal area management, marine protected areas and other effective area-based conservation measures, as well as strategic environmental assessments, environmental impact assessments, pollution management, fisheries management, and management of other economic activities, including tourism;
 - (d) To engage with relevant stakeholders and sectors as well as indigenous peoples and local communities in the development and implementation of marine spatial planning;
 - (e) To strengthen the application and further development of the ecosystem approach in marine spatial planning, including the use of ecological, economic and social spatial data and knowledge as well as regional cooperation;
 - (f) To share their experiences through the clearing-house mechanism of the Convention and other information-sharing mechanisms;
4. Recalls decision XI/18 C and decision XII/23, in particular paragraph 18, requests the Executive Secretary, subject to the availability of resources, and invites relevant organizations, in particular the Intergovernmental Oceanographic Commission of the United Nations Educational, Scientific and Cultural Organization, the United Nations Environment Programme, the Food and Agriculture Organization of the United

¹⁹⁹ See [UNEP/CBD/SBSTTA/20/INF/6](http://www.unep.org/cbd/sbstta/20/INF/6).

Nations, the International Maritime Organization, regional seas conventions and action plans, and regional fisheries management bodies, to support the national implementation of marine spatial planning, as appropriate, within their competencies, in accordance with national legislation, through collaboration on, among other things, the following activities:

(a) Further consolidate and complement existing guidance on marine and spatial planning, building upon the results of the workshop referred to in paragraph 1 above, through online communication, expert workshops, compilation of case studies, informal interaction among experts and/or expert peer review;

(b) Develop linkages with other work under the Convention or other relevant international/regional agreements and programmes;

(c) Explore opportunities to test guidance and best practices and to facilitate capacity development opportunities, including through capacity development workshops as well as through on-the-ground implementation;

(d) Compile national, subregional or regional experiences in the implementation of marine spatial planning, in collaboration with Parties and other Governments, and disseminate them through the clearing-house mechanism of the Convention and relevant online information-sharing mechanisms;

5. *Requests* the Executive Secretary, subject to the availability of resources, to report on progress in the collaboration referred to in paragraph 4 above to the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties;

6. *Recalls* paragraph 19 of decision XII/23, and *requests* the Executive Secretary, subject to available financial resources:

(a) To invite Parties, other Governments and relevant organizations, initiatives and stakeholders including the Food and Agriculture Organization of the United Nations, the Intergovernmental Oceanographic Commission, the International Maritime Organization, the International Seabed Authority, the United Nations Environment Programme, regional seas conventions and action plans, regional fisheries bodies, indigenous peoples and local communities, to submit, as appropriate, information on national, regional and subregional experiences and lessons learned in the application of marine spatial planning or other measures for enhanced conservation and management, in support of achieving Aichi Biodiversity Targets, in particular Targets 6, 10, 11, and 12, in marine and coastal areas;

(b) To compile and synthesize submissions by Parties, other Governments and relevant organizations and stakeholders, along with additional scientific and technical information;

(c) To submit the compilation/synthesis referred to in subparagraph 6(b) above, for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at a future meeting held prior to the fourteenth meeting of the Conference of the Parties;

7. *Recalling* paragraph 76 of decision X/29 and subparagraph 1(b) of decision XI/24 and *recognizing* the importance of building linkages among existing efforts on various area-based conservation measures within the framework of cross-sectoral and integrated marine spatial planning and implementation in support of achieving Aichi Biodiversity Targets, in particular Targets 6, 10, 11, and 12, *requests* the Executive Secretary, subject to available financial resources, drawing on the existing work by the Executive Secretary, in partnership with relevant organizations, and pursuant to paragraph 10 of decision XI/24:

(a) To compile national experiences and lessons learned on the development, and effective and equitable management, of ecologically representative and well connected systems of marine protected areas and other effective area-based conservation measures, and their integration into the wider landscapes and seascapes, as an input to an expert workshop;

(b) To organize an expert workshop to consolidate scientific and technical information on various approaches for, and their effectiveness in, assessing the contribution to the achievement of Target 11 of marine protected areas and other effective area-based conservation measures as well as their integration into the wider landscapes and seascapes, also considering the implementation of target 5 of Sustainable Development Goal 14;

(c) To submit the compilation of information referred to in subparagraph 6(a) and the report of the expert workshop referred to in subparagraph 6(b) above for consideration by the Subsidiary Body on Scientific,

Technical and Technological Advice at a future meeting held prior to the fourteenth meeting of the Conference of the Parties;

8. *Recalling* paragraph 25 of decision XI/17, *invites* Parties, indigenous peoples and local communities, relevant organizations and scientific groups, to provide information and experiences regarding criteria for socially or culturally significant marine areas and for their conservation and sustainable use both in their own right, and, in particular, where they coincide with areas meeting the criteria for ecologically or biologically significant areas or other similar criteria, and *requests* the Executive Secretary to compile this information and make it available through the clearing-house mechanism;

Capacity-development and partnership activities

9. *Welcomes* the capacity-building and partnership activities being facilitated by the Executive Secretary through the Sustainable Ocean Initiative at the national, regional and global levels in collaboration with Parties and relevant organizations, and *expresses its gratitude* to the Governments of Japan, France and the Republic of Korea and many other partners for providing financial and technical support for the implementation of activities related to the Sustainable Ocean Initiative;

10. *Invites* Parties, other Governments and relevant organizations to cooperate for the timely and effective implementation of capacity development activities through the Sustainable Ocean Initiative;

Facilitating the monitoring of progress in the implementation of Target 6

11. *Welcomes* the report of the Expert Meeting on Improving Progress Reporting and Working Towards Implementation of Aichi Biodiversity Target 6, held in Rome from 9 to 11 February 2016,²⁰⁰ and *encourages* Parties, other Governments, the Food and Agriculture Organization of the United Nations and regional fishery bodies to consider the results of this meeting as a basis for their collaboration and cooperation towards accelerating and monitoring the progress in the implementation of Target 6, in line with the decision on mainstreaming biodiversity in fisheries.²⁰¹

²⁰⁰ [UNEP/CBD/SBSTTA/20/INF/27](#).

²⁰¹ As per Subsidiary Body on Scientific, Technical and Technological Advice recommendation XX/15.

Item 16. Invasive alien species: addressing risks associated with trade; experiences in the use of biological control agents; and decision support tools

The following is taken from recommendation XX/7 of the Subsidiary Body on Scientific, Technical and Technological Advice

Invasive alien species: addressing risk associated with trade, experiences in the use of biological control and decision support tools

The Conference of the Parties,

Recalling its provisions related to Article 8(h) of the Convention and existing standards, guidelines and recommendations under the international regulatory framework relevant to invasive alien species,

Also recalling decisions VI/23* and X/2 and Aichi Biodiversity Target 9,

Additional ways and means to address the risks associated with trade in wildlife

Recognizing that the Guidance on Devising and Implementing Measures to Address the Risks Associated with the Introduction of Alien Species as Pets, Aquarium and Terrarium Species, and as Live Bait and Live Food, is an effective tool to address the risks associated with the trade in wildlife;

Also recognizing the need to supplement the existing Guidance mentioned above to consider unintentional introductions of invasive alien species, through “hitchhikers” or contaminants, and materials associated with the trade in live alien species, such as packing material, substrate or food;

1. *Encourages* Parties, other Governments and relevant organizations, consumers and traders to make use of the Guidance annexed to decision XII/16 to address, mutatis mutandis, the risks associated with trade in wildlife;
2. *Encourages* Parties and other Governments to review, as necessary, their national regulatory framework in order to develop and implement measures to ensure the safe import and prevention of spread of wildlife species and associated materials (such as packaging material and food) that can be pathways of introduction for invasive species, making use of appropriate risk analysis processes, as well as tools such as horizon scanning, which could consider drivers of trade, future trade patterns and potentially invasive alien species that may enter through trade;
3. *Encourages* actors in trade and industry to apply the voluntary measures indicated in the Guidance annexed to decision XII/16, mutatis mutandis, when trade in wildlife takes place, for example the use of labelling on consignments of live alien species to identify it as a potential hazard for biodiversity and the proper identification of species with the scientific name, taxonomic serial number or its equivalent;
4. *Encourages* Parties, other Governments and relevant organizations, including research organizations, to explore, develop and apply ways and means to promote changes in the behaviour of individuals so as to reduce the risks to biodiversity associated with legal trade, and prevent instances of illegal trade, in wildlife, including through engagement with the social sciences and the use of social media in targeted awareness campaigns, and through cooperation with wildlife trade organizations;
5. *Requests* the Executive Secretary, subject to the availability of resources, in collaboration with member organizations of the inter-agency liaison group on invasive alien species, to prepare draft supplemental guidance to incorporate unintentional introductions as mentioned in the fourth preambular paragraph above, to the existing Guidance on Devising and Implementing Measures to Address the Risks Associated with the Introduction of Alien Species as Pets, Aquarium and Terrarium Species, and as Live Bait and Live Food, for

* One representative entered a formal objection during the process leading to the adoption of decision VI/23 and underlined that he did not believe that the Conference of the Parties could legitimately adopt a motion or a text with a formal objection in place. A few representatives expressed reservations regarding the procedure leading to the adoption of decision VI/23 (see UNEP/CBD/COP/6/20, paras. 294-324).

consideration by the Subsidiary Body on Scientific, Technical and Technological Advice prior to the fourteenth meeting of the Conference of the Parties;

6. *Invites* the members of the Global Invasive Alien Species Information Partnership and other organizations that manage databases pertaining to trade in wild animals and plants, in collaboration with Parties and other Governments, to further develop mechanisms to exchange information on the identification of potential invasive alien species and their vectors in trade, and to facilitate the exchange of this information among Parties, other Governments and relevant organizations;

Reducing the risk associated with trade in invasive alien species sold via e-commerce

7. *Encourages* Parties, and *invites* other Governments, relevant international organizations, consumers and e-commerce traders, as appropriate, with a view to reducing the risk associated with trade in invasive alien species sold via e-commerce:

(a) To promote greater awareness among consumers, e-commerce traders and other stakeholders about the risks of biological invasions, and the relevant international standards and national regulations through, among other things, e-commerce market places and related social media;

(b) To review the risk of biological invasions, and associated sanitary and phytosanitary risks, posed by some forms of distance selling and, as appropriate, endeavour to develop suitable measures and guidance to minimize the risks of introduction of invasive alien species, consistent with international obligations;

(c) To consider using, or promoting the use of, the Single Window approach of the United Nations Centre for Trade Facilitation and Electronic Business in order to facilitate reporting on the trade in regulated live species via e-commerce;

(d) To collaborate with e-commerce traders in the development of new necessary measures to reduce the risk of potentially invasive alien species arising from e-commerce, which could further support compliance with existing national trade regulations pertaining to invasive alien species;

8. *Requests* the Executive Secretary, subject to the availability of resources:

(a) To explore with the World Customs Organization, as well as member organizations of the inter-agency liaison group on invasive alien species, the need for tools or guidance for Parties that may assist national customs authorities in facilitating the necessary control of live alien species via e-commerce, building on the national experience of legislation related to the Convention on International Trade in Endangered Species of Wild Fauna and Flora and its enforcement, and to develop such tools or guidance, where appropriate;

(b) To report on progress in the development of any such tools or guidance to the Subsidiary Body on Technical, Technological and Scientific Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties;

Reducing the risk of invasive alien species moving with sea containers

9. *Welcomes* the revised IMO/ILO/UNECE Code of Practice for Packing of Cargo Transport Units and the recommendations of the Commission on Phytosanitary Measures at its tenth session that are related to prevention and minimization of the risk of invasive alien species spreading with sea containers;

10. *Invites* Parties and other Governments:

(a) To communicate and raise awareness about the risk of invasive alien species spread via sea containers, particularly with stakeholders involved in the packing or movement of sea containers;

(b) To make use of and raise awareness of the relevant parts of the Code of Practice for Packing of Cargo Transport Units, as appropriate;

(c) To collect information, as appropriate, on the movement of invasive alien species attached to sea containers, in addition to those with the cargo transported within the sea containers, and to share such information with the view to analysing, as appropriate and in accordance with national legislation, the potential risk of invasive alien species spread via sea containers and take proportionate actions to mitigate this risk;

Biological control of invasive alien species

Recognizing that classical biological control can be an effective measure to manage already established invasive alien species, that the use of biological control agents could also present direct and indirect risks to non-target organisms and ecosystems, and that these risks should be addressed by applying the precautionary approach, in line with the preamble of the Convention and appropriate procedures, including comprehensive risk analysis,

11. *Encourages* Parties, other Governments and relevant organizations, when using classical biological control to manage already established invasive alien species, to apply the precautionary approach and appropriate risk analysis, including the elaboration of contingency plans, taking into account the summary of technical considerations annexed to the present decision as appropriate;

12. *Encourages* Parties and invites other Governments, where applicable, to engage subnational governments and consult and inform potentially impacted countries when planning and carrying out a classical biological control programme targeting specific invasive alien species;

13. *Invites* Parties, other Governments, and as appropriate, standard-setting bodies recognized by the World Trade Organization, and other relevant organizations:

(a) To adapt, improve or further develop tools, including decision support tools, for better development and application of biological control programmes against invasive alien species, including prioritization based on impact, feasibility and likelihood of success of biological control, and the selection of the biological control agents;

(b) To compile this information and make it available through the clearing-house mechanism of the Convention and other means;

14. *Requests* the Executive Secretary, subject to the availability of resources, to further collaborate with the International Plant Protection Convention, the World Organisation for Animal Health, the Food and Agriculture Organization of the United Nations, other members of the inter-agency liaison group on invasive alien species and other relevant organizations, such as the International Organization for Biological Control, to identify options for supplementing risk assessment and risk management standards for the use of biological control agents against invasive alien species, including in aquatic environments, and to report on progress to the Subsidiary Body on Technical, Technological and Scientific Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties;

Decision support tools

15. *Also requests* the Executive Secretary, further to decisions IX/4 A, X/38, XI/28 and XII/17 and subject to the availability of resources, in collaboration with partner organizations and interested or concerned Parties:

(a) To continue to compile or develop and maintain decision support tools in a coordinated manner with the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services, making use of the scoping report for a thematic assessment on invasive alien species to facilitate implementation and make those tools available through the clearing-house mechanism of the Convention;

(b) To develop technical guidance for conducting cost-benefit and cost-effectiveness analysis for the management of invasive alien species for consideration by the Subsidiary Body on Technical, Technological and Scientific Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties;

(c) To develop guidance on invasive alien species management that takes into consideration the impacts of climate change, natural disasters and land-use change on the management of biological invasions;

16. *Invites* Parties and other Governments to consider the balance between the environmental, social and economic costs and benefits related to invasive alien species and remedial actions, in decision making on introduction, eradication, containment, mitigation or control of invasive alien species, making use, as appropriate, of the report on methodological assessment of scenarios and models of biodiversity and ecosystem services;

17. *Also invites* Parties and other Governments to adopt a participatory process by identifying and engaging indigenous peoples and local communities and relevant stakeholders from an early stage, and to develop and use participatory decision support tools to increase transparency in decision-making;

Achieving Aichi Biodiversity Target 9

18. *Welcomes* the work done by the experts of the Invasive Species Specialist Group of the International Union for Conservation of Nature to develop methodologies for prioritizing the pathways of introduction of invasive alien species as presented in the note by the Executive Secretary,²⁰² *invites* Parties and other Governments to apply these methods, and *invites* the International Union for Conservation of Nature to complete its work on developing these methodologies, and to present them to a future meeting of Subsidiary Body on Scientific, Technical and Technological Advice;

19. *Invites* Parties and other Governments to submit information on:

(a) Experiences, best practices and lessons learned in their work, including information on progress in implementation;

(b) Gaps in achieving Aichi Biodiversity Target 9, especially on the application of methods for pathway analysis and prioritization of invasive alien species;

20. *Requests* the Executive Secretary, subject to the availability of resources, to make the information requested in paragraph 19 above available through the clearing-house mechanism and other means and to report on progress to the Subsidiary Body on Technical, Technological and Scientific Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties;

21. *Encourages* Parties, other Governments and relevant organizations to cooperate with the private sector in order to address invasive alien species, and *invites* the private sector to consider contributing to the achievement of Aichi Biodiversity Target 9 in their corporate practices;

22. *Invites* Parties, other Governments, other organizations and the scientific community, recalling decision XII/17, paragraphs 6(a)-(n), to continue developing strategies and take actions to achieve Aichi Biodiversity Target 9, and to continue investing resources in the development and circulation of new knowledge on alien species and pathways, particularly through relevant existing tools, such as the Global Invasive Species Database of the International Union for Conservation of Nature, the Invasive Alien Species Pathways tool (under development) and the Global Register of Introduced and Invasive Species implemented within the Global Invasive Alien Species Information Partnership, as appropriate.

Annex

SUMMARY OF TECHNICAL CONSIDERATIONS FOR THE USE OF BIOLOGICAL CONTROL AGENTS TO MANAGE INVASIVE ALIEN SPECIES

Classical biological control

1. For the purpose of this summary, classical biological control is the control of invasive alien species by biological control agents or host-specific natural enemies. Such natural enemies from the country of origin of the invasive alien species targeted for control are identified, and subjected to risk assessment against direct and indirect non-target impacts, in line with national law and international standards. If the results of the risk assessment are acceptable, the biological control agents are imported, further tested and released to control the invasive alien species. The biological control agents are expected to establish permanently from the founder population released, and to reproduce and spread, causing suppression or weakening of the target organism. Successful classical biological control assists mitigation of the negative impacts of invasive alien species and may expedite the restoration of biodiversity but rarely leads to the complete eradication of a target species. Biological control should be carried out as part of an integrated management approach in the context of clear goals for conservation and restoration.

²⁰² UNEP/CBD/SBSTTA/20/INF/5.

Precautionary approach and risk assessment and management

2. Risk assessment, reflecting the precautionary approach, of candidate biological control agents against direct and indirect non-target impacts, prior to any release decision, is key for the success of classical biological control programmes.
3. Risk assessment affords a clear understanding of the risks and allows improvements to be understood and adopted. Internationally harmonized guidance, such as that provided in the International Standards for Phytosanitary Measures (ISPMs) related to the pest risk analysis process (including ISPM 2, 3, 11), provides readily available guidance for this purpose.
4. [Consistent with existing standards, guidelines or recommendations recognized by the World Trade Organization, risk assessments should consider the following elements:
 - (a) The potential for direct and indirect non-target impacts on the ecosystems, habitats, native species, and related human health and safety, in the area where the biological control agents are planned to be released and could establish;
 - (b) The potential for indirect non-target impacts on the ecosystems, ecosystem functions and services, human health and safety, and social, economic and culture values in the areas where the biological control agents are planned to be released, and in areas in which they might spread;
 - (c) The potential influence of climate and its current and future variability and other sources of environmental variation on the establishment, spread and impact of the biological control agent;
 - (d) The risks to ecosystem functions and services, social, economic and cultural issues, including the values and priorities of indigenous peoples and local communities.]
5. When considering the risks as well as costs and benefits of a proposed release of a biological control agent, the risks and costs of inaction or comparative risks from other approaches, such as the use of chemicals or toxins to reduce an invasive alien species population, should also be considered and assessed.
6. The following procedures should be considered to minimize risks to biological diversity and human health and ensure maximum potential for success:
 - (a) Quarantine infrastructure of sufficient standard and appropriate standard operating procedures should be available to ensure that the agents can be safely imported, tested and cleaned of any diseases and parasites before any releases are made;
 - (b) Host selection and host specificity testing and efficacy studies of biological control agents should take place either in the country of origin or in an appropriately registered quarantine facility within the country of introduction;
 - (c) Qualified taxonomists, including experts in phylogenetic analysis, should be involved in the selection and testing to correctly identify all potential biocontrol agents and the species undergoing the testing;
 - (d) Shipments of live biological control agents conform to applicable national (origin, destination and transit countries) and international regulations, and permits for the import of live organisms include appropriate labelling. This is generally a requirement of all shipping and courier companies;
 - (e) International regulations, procedures and agreements, such as the Nagoya Protocol to the extent it applies, should be followed in research and development regarding biological control agents.
7. [Social factors should be addressed, including any alternative views regarding the control of the target alien species, as well as providing clear, simple information to the community regarding the costs, benefits and timelines for the use of biological control in order to build public understanding and support.]

Planning and implementation of biological control programmes

8. The following planning and implementation measures should be taken into account:
 - (a) Carrying out biological control programmes in the context of clear environmental conservation and restoration goals and as part of an integrated management approach, consistent with the precautionary approach and by undertaking appropriate risk analysis, and the Ecosystem Approach and its 12 principles;
 - (b) Availability of substantial initial investments for exploration, risk analysis and quarantine facilities, as well as sustainable long-term funding to support mass rearing and redistribution of biological control agents and post-release monitoring and surveillance;

(c) Full engagement by the State authority for the management of pests and pathogens and of appropriate State regulators responsible for release decisions, including consultation and collaboration across sectors, such as the agricultural, environmental, health sectors and border services, as well as between the private and public sector;

(d) Engagement of all relevant stakeholders, at the cross-jurisdictional, cross-sectoral, and community levels, regarding their varying views on goals, collaborative sharing of knowledge, experience, distribution of benefits and costs, and capacity development.

9. Countries planning to release biological control agents are urged to inform potentially impacted countries and, if they might be affected by a release, consult with them at an early stage in the planning process and prior to any release. Notification and consultation with the potentially impacted countries are necessary in order to inform them of potential benefits and risks, and to promote consultation and participation of potentially affected countries, in the decision processes, as well as to ensure the development of effective and beneficial biological control methods.

Post-release monitoring, emergency plan and rapid response

10. Post-release monitoring allows for rapid detection and measurement of any predicted, unpredicted direct or indirect negative impacts of the agents on biodiversity or agriculture and can assist emergency planning and rapid response. All biological control programmes should incorporate long-term monitoring and evaluation of impacts (positive or negative) using standardized and cost-effective methodologies.

11. Sharing post-release monitoring information widely, including with potentially impacted countries and other experts, can support the improvement of biological control programmes elsewhere and the approaches adopted in the face of climate variability, fluctuations and changes.

Decisions on release of biological control agents

12. [For decisions regarding biological control programmes, participatory decision-making is an essential factor for engaging support and success. This includes the communication of information on risks and options for their management. This process is most usefully initiated at the early stage of the development of a biological control programme to ensure that the interests of all relevant stakeholders, including cultural interests, are considered in view of the conservation goals set for the specific programme.]

13. The provision of relevant scientific information for potentially impacted countries prior to the approval of the release of biological control agents is necessary in order to support regional consultation and the sharing of relevant knowledge, and allows relevant stakeholders to contribute to the decision-making process and prepare for any potential negative impacts.

Capacity development

14. Technical and scientific cooperation to develop capacities in classical biological control, including scientific understanding, the regulatory process, and the training of skilled staff, is crucial for the success of biological control programmes.

Item 17. Other scientific and technical issues, including synthetic biology, implications of the assessment of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services on pollinators, and sustainable wildlife management

The following is taken from recommendation XIX/7 of the Subsidiary Body on Scientific, Technical and Technological Advice

Climate-related geoengineering

The Conference of the Parties

1. *Reaffirms* paragraph 8, in particular its subparagraph (w), of decision X/33, and decision XI/20;
2. *Recalls* paragraph 11 of decision XI/20, in which the Conference of the Parties noted that the application of the precautionary approach as well as customary international law, including the general obligations of States with regard to activities within their jurisdiction or control and with regard to possible consequences of those activities, and requirements with regard to environmental impact assessment, may be relevant for geoengineering activities but would still form an incomplete basis for global regulation;
3. *Recalling* paragraph 4 of decision XI/20, in which the Conference of the Parties emphasized that climate change should primarily be addressed by reducing anthropogenic emissions by sources and by increasing removals by sinks of greenhouse gases under the United Nations Framework Convention on Climate Change, noting also the relevance of the Convention on Biological Diversity and other instruments, and *also recalling* paragraphs 8 (j)-(t) of decision X/33, and paragraph 5 of decision XII/20, *reaffirms* its encouragement to Parties to promote the use of ecosystem-based approaches to climate change adaptation and mitigation;
4. *Notes* that very few Parties responded to the invitation to provide information on measures they have undertaken in accordance with decision X/33, paragraph 8(w), and further invites other Parties, where relevant, to provide such information;
5. *Also notes* that more transdisciplinary research and sharing of knowledge among appropriate institutions is needed in order to better understand the impacts of climate-related geoengineering on biodiversity and ecosystem functions and services, socio-economic, cultural and ethical issues and regulatory options;
6. *Recognizes* the importance of taking into account sciences for life and the knowledge, experience and perspectives of indigenous peoples and local communities when addressing climate-related geoengineering and protecting biodiversity.

The following is taken from recommendation XX/8 of the Subsidiary Body on Scientific, Technical and Technological Advice

Synthetic biology

The Conference of the Parties

- (a) *Reaffirms* decision XII/24, in which it urged Parties and invited other Governments to take a precautionary approach, in accordance with decision XI/11, paragraph 4;
- (b) *Commends* the work of the Online Forum and the AHTEG on Synthetic Biology, and *welcomes* the conclusions and recommendations of the AHTEG as a basis for further discussion;
- [(c) *Acknowledges* that the outcome of the deliberations of the AHTEG on Synthetic Biology on the operational definition is “synthetic biology is a further development and new dimension of modern biotechnology that combines science, technology and engineering to facilitate and accelerate the understanding, design, redesign, manufacture and/or modification of genetic materials, living organisms and biological systems”, and *notes* that additional work is required, in particular on the inclusion and exclusion criteria;]

[(c *alt*) *Deems it appropriate*, for the purpose of facilitating scientific and technical deliberations under the Convention and its Protocols, to use the operational definition as proposed by the AHTEG on Synthetic Biology that, “synthetic biology is a further development and new dimension of modern biotechnology that combines science, technology and engineering to facilitate and accelerate the understanding, design, redesign, manufacture and/or modification of genetic materials, living organisms and biological systems”];]

(d) *Takes note* of the conclusion of the AHTEG on Synthetic Biology that living organisms developed through current applications of synthetic biology, or that are currently in the advanced stages of research and development, are similar to living modified organisms as defined in the Cartagena Protocol;²⁰³

(e) *Notes* that the general principles and methodologies for risk assessment under the Cartagena Protocol and existing biosafety frameworks provide a good basis for risk assessment regarding living organisms developed through current applications of synthetic biology, or that are currently in the advanced stages of research and development, but such methodologies may need to be updated and adapted for current and future developments and applications of synthetic biology;

(f) *Also notes* that it is not clear, given the current stage of knowledge, whether or not some organisms of synthetic biology, which are currently in the early stages of research and development, would fall under the definition of living modified organisms under the Cartagena Protocol, and *further notes* that there are cases in which there may be no consensus on whether the result of a synthetic biology application is “living” or not;

(g) *Invites* Parties, in accordance with their applicable domestic legislation or national circumstances, to take into account, as appropriate, socio-economic, cultural and ethical considerations when identifying the potential benefits and potential adverse effects of organisms, components and products resulting from synthetic biology techniques in the context of the three objectives of the Convention;

(h) *Encourages* Parties and *invites* other Governments and relevant organizations, in the context of the three objectives of the Convention [and taking into account, as appropriate, socio-economic, cultural and ethical considerations]:

- (i) To conduct research on the benefits and adverse effects of organisms, components and products of synthetic biology on biodiversity, with a view to filling knowledge gaps and identifying how those effects relate to the objectives of the Convention and its Protocols;
- (ii) To promote and enable public and multi-stakeholder dialogues and awareness-raising activities on the potential benefits and potential adverse effects of organisms, components and products of synthetic biology on biodiversity, involving all relevant stakeholders and with the full and effective engagement of indigenous peoples and local communities;
- (iii) To cooperate in the development of guidance and capacity-building activities with a view to assessing the potential benefits and potential adverse effects of organisms, components and products of synthetic biology and, if necessary, updating and adapting current methodologies for risk assessment of living modified organisms to organisms resulting from synthetic biology, as appropriate;

(i) *Invites* Parties, other Governments, relevant organizations and indigenous peoples and local communities to submit to the Executive Secretary information and supporting documentation on:

- (i) Research, cooperation and activities noted in paragraph (h) above;
- (ii) Evidence of benefits and adverse effects of synthetic biology vis-à-vis the three objectives of the Convention;

²⁰³ The term “early stages of research and development” was erroneously used in paragraphs 1(d) and (e) of SBSTTA recommendation XX/8, in replacement of the term “near future” used in the AHTEG report, to signify research and development that are approaching their intended objectives. In accordance with the discussions at SBSTTA-20 the term “advanced stages of research and development” was intended to be used and is inserted in this text as an editorial correction.

- (iii) Experiences in conducting risk assessments of organisms, components and products of synthetic biology, including any challenges encountered, lessons learned and implications for risk assessment frameworks;
 - (iv) Examples of risk management and other measures that have been put in place to avoid or minimize the potential adverse effects of organisms, components and products of synthetic biology, including experiences of safe use and best practices for the safe handling of organisms developed through synthetic biology;
 - (v) Regulations, policies and guidelines in place or under development which are directly relevant to synthetic biology;
- (j) *Decides* to extend the mandate of the current AHTEG on Synthetic Biology in accordance with the terms of reference attached hereto and also to contribute to the completion of the assessment as requested in paragraph 2 of decision XII/24;
- (k) *Also decides* to extend the open-ended online forum to support the work of the AHTEG on Synthetic Biology, and invites Parties, other Governments, indigenous peoples and local communities and relevant organizations to continue nominating experts to take part in the open-ended online forum;
- (l) *Requests* the Subsidiary Body on Scientific, Technical and Technological Advice to review the recommendations of the AHTEG on Synthetic Biology and make further recommendation to the Conference of the Parties including on the analysis using the criteria set out in paragraph 12 of decision IX/29;
- (m) *Requests* the Executive Secretary, subject to the availability of resources:
- (i) Continue to facilitate moderated discussions under the open-ended online forum on synthetic biology through the Biosafety Clearing-House, and to continue to invite Parties, other Governments, indigenous peoples and local communities and relevant organizations to nominate experts to take part in the forum;
 - (ii) To make the information received through paragraph (i) above available online;
 - (iii) To compile and synthesize the results of the work referred to in the paragraphs above and make them available for further discussion through the online forum and the Ad Hoc Technical Expert Group;
 - (iv) To convene moderated online discussions under the open-ended online forum and, subject to the availability of funds, a face-to-face meeting of the AHTEG on Synthetic Biology with the terms of reference annexed to the present decision, and submit the report of the AHTEG to peer review by Parties for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties;
 - (v) To cooperate and establish synergies with other United Nations and international organizations whose mandates are relevant to synthetic biology;
 - (vi) To promote the full and effective engagement of indigenous peoples and local communities in future activities relating to synthetic biology under the Convention;
- (n) *Welcomes* the recommendation of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety, in its decision BS-VII/12, on a coordinated approach on the issue of synthetic biology, including its work on risk assessment and risk management [as well as socio-economic considerations, as appropriate], and *invites* the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety to take into account in its future deliberations relevant information resulting from the processes under the Convention;
- (o) [*Invites* the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol to clarify, if and how, the use of digital sequence information on genetic resources relates to access and benefit-sharing.]

Annex

TERMS OF REFERENCE FOR THE AD HOC TECHNICAL EXPERT GROUP ON SYNTHETIC BIOLOGY

1. Building on the previous work of the Online Forum and AHTEG, and drawing upon relevant information submitted by Parties, other Governments, relevant organizations and indigenous peoples and local communities through paragraph (i) above, as well as information made available through the online forum and by the Secretariat, the AHTEG on Synthetic Biology shall, in coordination with other bodies of the Convention and its Protocols:

(a) Review recent technological developments within the field of synthetic biology to assess if the developments could lead to impacts on biodiversity and the three objectives of the Convention, including unexpected and significant impacts;

(b) Identify any living organisms already developed or currently under research and development through techniques of synthetic biology which do not fall under the definition of living modified organisms under the Cartagena Protocol;

(c) Further analyse evidence of benefits and adverse effects of organisms, components and products of synthetic biology vis-à-vis the three objectives of the Convention, and gather information on risk management measures, safe use and best practices for safe handling of organisms, components and products of synthetic biology;

(d) In order to avoid or minimize any potential negative effects on the conservation and sustainable use of biodiversity, evaluate the availability of tools to detect and monitor the organisms, components and products of synthetic biology;

(e) [Propose elements to the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol to facilitate the clarification of, if and how, the use of digital sequence information on genetic resources relates to access and benefit-sharing;]

(f) Provide, for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties, recommendations on the basis of its deliberations to facilitate future discussions and actions on synthetic biology under the Convention, as well as an analysis against the criteria set out in paragraph 12 of decision IX/29 to contribute to the completion of the assessment requested in paragraph 2 of decision XII/24 by the Subsidiary Body on Scientific, Technical and Technological Advice;

2. Subject to the availability of funds, the AHTEG shall meet at least once face-to-face prior to the fourteenth meeting of the Conference of the Parties and make use of online tools to facilitate its work, as appropriate.

The following is taken from recommendation XX/9 of the Subsidiary Body on Scientific, Technical and Technological Advice

Implications of the IPBES assessment on pollinators, pollination and food production for the work of the Convention

The Conference of the Parties,

Recalling decision III/11, annex III, decision V/5, annex I, and decision VI/5, annex II,

Highlighting the essential role of the abundance and diversity of pollinators, especially wild pollinators as well as managed pollinators, for food production, nutrition and human well-being, the need to address threats to pollinators and pollination, and *recognizing* the contribution of pollinators to the Sustainable Development Goals, especially Goals 2, 3, 8 and 15,

Recognizing the potential to enhance and secure crop production by increasing the abundance and diversity of pollinators through protection of the plants and habitats on which they depend for foraging and nesting,

Noting the relevance of the conservation and sustainable use of pollinators for the mainstreaming of biodiversity in the food and agriculture sectors,

Noting also the importance of pollinators and pollination for all terrestrial ecosystems, including those beyond agricultural and food production systems, and *recognizing* pollination as a key ecosystem function that is central to the conservation and sustainable use of biodiversity,

Aware of the trade-offs and synergies that exist between pollinator management options and other elements of agricultural systems,

1. *Welcomes* the Summary for Policymakers of the thematic assessment on pollinators, pollination and food production approved by the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services at its fourth session, in Kuala Lumpur, on 26 February 2016, as well as the full assessment report that was accepted by the Plenary;

2. *Endorses* the key messages of the Assessment;

3. *Encourages* Parties, other Governments, relevant United Nations and other organizations, as well as multilateral environment agreements, and stakeholders to use, as appropriate, the Assessment, in particular the examples of responses outlined in table SPM.1, to help guide their efforts to improve conservation and management of pollinators, address drivers of pollinator declines, and work towards sustainable food production systems and agriculture;

4. *Welcomes* the tools and guidance developed by the Food and Agriculture Organization of the United Nations and partners under the International Initiative for the Conservation and Sustainable Use of Pollinators, including those for the rapid assessment of pollinators' status, the economic valuation of pollination, the determination of the risk of pesticides, the evaluation of pollination deficit, the evaluation of pollinator-friendly practices, and policy mainstreaming;

5. *Encourages* businesses involved in the development, manufacturing and sale of pesticides, as appropriate, to take into account the findings of the Assessment in their activities, including in developing and revising risk assessments of products, applying the precautionary approach in line with the preamble to the Convention and be fully transparent in releasing the results of all toxicity studies consistent with applicable international, regional and national standards and frameworks;

6. *Encourages* Parties, and *invites* other Governments and other relevant organizations and stakeholders, taking into account national circumstances and as appropriate:

POLICIES AND STRATEGIES

(a) To integrate consideration of issues related to the conservation and sustainable use of pollinators in agriculture and forestry policies, national biodiversity strategies and action plans, national adaptation strategies for climate change, national action programmes for combating desertification and other relevant national policies plans, and programmes, taking into account the values of pollinators and pollination, inter alia, to promote the implementation of the actions below, to improve the management of pollinators, to address drivers of pollinator declines and to reduce the crop yield gaps due to pollination deficit;

PROMOTING POLLINATOR-FRIENDLY HABITATS

(b) To promote diversity of habitats and production systems in the landscape through, inter alia, support to ecologically based agriculture (including organic agriculture) and diversified agricultural systems (such as forest gardens, home gardens, agroforestry, crop rotation and mixed cropping and livestock systems), and through conservation, management and restoration of natural habitats, to enhance the extent and connectivity of pollinator-friendly habitat;

(c) To promote conservation, management and restoration of patches of natural and semi-natural habitats on farms, and in urban and other developed areas, as appropriate, to maintain floral resources and nesting sites for pollinators;

(d) To promote cropping systems and conservation, management and restoration of grasslands and rangelands that enhance the availability of floral resources and nesting sites over time and space;

IMPROVING THE MANAGEMENT OF POLLINATORS, AND REDUCING RISK FROM PESTS, PATHOGENS AND INVASIVE SPECIES

(e) To enhance the floral diversity available to pollinators using mainly native species and reduce the dependence of managed pollinators on nectar-replacements, thereby improving pollinator nutrition and immunity to pests and diseases;

(f) To promote genetic diversity within populations of managed pollinators;

(g) To improve hygiene and control of pests (including the *Varroa* mite and the Asiatic wasp, *Vespa velutina*) and pathogens in managed pollinator populations;

(h) To monitor and manage the movement of managed pollinator species, sub-species and breeds where appropriate, among countries, and as appropriate within countries, to limit the spread of parasites and pathogens to managed and wild pollinator populations, and to prevent the introduction of potentially invasive pollinator species outside their native ranges;

(i) To prevent or minimize the risk of introducing invasive alien species harmful to wild and managed pollinators and the plant resources on which they depend;

REDUCING RISK FROM PESTICIDES, INCLUDING HERBICIDES

(j) To develop and implement national and as appropriate regional pesticide risk reduction strategies and to avoid or reduce the use of pesticides harmful for pollinators, for example, by adopting Integrated Pest Management practices and biocontrol, taking into account the International Code of Conduct on Pesticide Management of the Food and Agriculture Organization of the United Nations and the World Health Organization;

(k) Where pesticides pose a risk to pollinators, to improve pesticide application practices, including technologies to reduce drift, to reduce exposure of pollinators;

(l) To promote weed management strategies that take into account the need for pollinator forage, nutrition and nesting sites;

(m) [Improve, as appropriate, risk assessment procedures for pesticides and living modified organisms, where necessary, to better take into account possible impacts, including sublethal and indirect effects, on both wild and managed pollinators, including inter alia a wider range of pollinator taxa, beyond honeybees and managed bumblebees, and toxicological studies, in risk assessment protocols, applying the precautionary approach in line with the preamble of the Convention, consistent with international obligations and taking into account climate variations and cumulative effects;]

(n) To avoid or minimize the synergistic effects of pesticides with other drivers that have been proven to pose serious or irreversible harm to pollinators;

ENABLING POLICIES AND ACTIVITIES

(o) To promote education and public awareness of the value of pollinators and of the habitats that support them, and of the need to reduce threats to these species and their habitats;

(p) To integrate consideration of issues related to the conservation and sustainable use of pollinators, including wild pollinators, into agricultural extension services, using approaches, as appropriate, such as farmer field schools;

(q) To develop and implement incentives for farmers and indigenous peoples and local communities to protect pollinators and pollinator habitats, for example through benefit-sharing schemes, including payments for pollinator services schemes, and remove or reduce perverse incentives [consistent with international obligations][in compliance with the multilateral rules of the World Trade Organization], such as causing the destruction of pollinator habitats, overuse of pesticides and simplification of agricultural landscapes and production systems;

(r) To promote and support access to data and use of decision support tools, including, where appropriate, land-use planning and zoning, to enhance the extent and connectivity of pollinator habitats in the landscape, with the participation of farmers and local communities;

(s) To protect and promote traditional knowledge, innovations and practices, protect traditional and established land rights and tenure, as appropriate, and to promote biological and cultural diversity, and the links between them,²⁰⁴ for the conservation and sustainable use of pollinators including diverse farming systems;

RESEARCH, MONITORING AND ASSESSMENT

(t) To enhance monitoring of the status and trends of all pollinators, pollinator-friendly habitats and pollinator community structure as well as the identification of potential pollinator deficits using consistent and comparable methodologies;

(u) To build taxonomic capacity on pollinators;

(v) To assess the benefits of pollinators and pollination, taking into account the economic value to agriculture and food production and the value to conservation and sustainable use of biodiversity, as well as cultural and other values;

(w) To undertake research on the socioeconomic implications of pollinator decline in the agricultural sector;

(x) To promote and share further research to address gaps in knowledge identified in the Assessment, as appropriate and in accordance with national legislation, including the effects of the partial loss of pollinators on crop production, and potential impacts of pesticides, in particular neonicotinoids and other systemic pesticides, taking into account their possible cumulative effects, and of living modified organisms, on pollinator populations, under field conditions, including differential impacts on managed and wild pollinators, and on social versus solitary pollinators, and the impacts on pollination of both crop and non-crop plants over both the short and long term, and under different climatic conditions;

(y) To promote further research to identify practical ways that pollinator-friendly practices can be integrated into farming systems as part of efforts to increase production and mainstreaming of biodiversity into agricultural production systems;

(z) To promote further research to identify risks to pollination under climate change and potential adaption measures, including the potential loss of keystone species and their effect on ecosystem resilience;

(aa) To promote further research and analysis on pest management, taking into account the impact of drivers of pollinator decline, to support development of more feasible and sustainable alternatives;

7. *Invites* Parties, other Governments and relevant organizations to provide the Executive Secretary with information on relevant national initiatives and activities to promote the conservation and sustainable use of pollinators and *requests* the Executive Secretary, subject to the availability of resources, to compile this information, including information in the national reports, for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to fourteenth meeting of the Conference of the Parties;

8. *Encourages* academic and research bodies, and relevant international organizations and networks to promote further research to address gaps in knowledge identified in the Assessment, including the issues identified in paragraph 6, subparagraphs (t) to (aa), above, to expand research to cover a wider variety of pollinators and to support coordinated global regional and national monitoring efforts and build relevant taxonomic capacity, especially in developing countries, where there have been fewer research and monitoring efforts to date;

9. *Requests* the Executive Secretary, subject to the availability of resources, together with the Food and Agriculture Organization of the United Nations, and in collaboration with other partners, to review the implementation of the International Initiative on the Conservation and Sustainable Use of Pollinators and prepare

²⁰⁴ Identified in the Assessment as “biocultural diversity”.

a draft updated and streamlined plan of action, including capacity-building, based on the Assessment and including the most recent knowledge, for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to fourteenth meeting of the Conference of the Parties;

10. *Also requests* the Executive Secretary, subject to the availability of resources, in partnership with relevant organizations and indigenous peoples and local communities, to compile and summarize information on pollinators and pollination relevant to the conservation and sustainable use of biodiversity in all ecosystems, beyond their role in agriculture and food production for consideration by the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to fourteenth meeting of the Conference of the Parties;

11. *Further requests* the Executive Secretary to bring the present decision to the attention of the Food and Agriculture Organization of the United Nations and its Commission on Genetic Resources for Food and Agriculture;

12. *Noting* that the amount of information on the status and trends of pollinators and pollination varies among regions, with significant gaps in data, and also limitations in capacity for the identification, monitoring and management of pollinators, in many developing countries, in particular the least developing countries, and small island developing States, and in countries with economies in transition, *requests* the Executive Secretary, in cooperation with the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services, the Food and Agriculture Organization of the United Nations, and other relevant organizations, subject to the availability of resources and avoiding duplication of efforts:

(a) To promote, as a priority, efforts to address data gaps and capacity for monitoring the status and trends of pollinators and pollination in developing countries, in particular Africa;

(b) To identify and develop proposals for strengthening capacity related to pollinators and pollination, and supplementary regional assessments, in particular for Africa, to be integrated into the updated and streamlined plan of action of the International Initiative on the Conservation and Sustainable Use of Pollinators referred to in paragraph (9) above;

13. *Invites* the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services to give due attention to the theme of pollinators and pollination in the ongoing regional/subregional assessments on biodiversity and ecosystem services, and the thematic assessment on land degradation and restoration and in the work of the task force on capacity-building;

14. *Encourages* Parties, other Governments and organizations in a position to do so, to support capacity-building and technical and scientific cooperation, to address the gaps and limitations referred to in paragraph 12, inter alia building upon relevant traditional and local knowledge;

15. *Requests* the Executive Secretary, subject to the availability of resources, to compile information on best practices, tools and lessons learned related to the monitoring and management of pollinators and pollination and make them available through the clearing-house mechanism and other means.

The following is taken from recommendation XX/11 of the Subsidiary Body on Scientific, Technical and Technological Advice

Sustainable use of biodiversity: bushmeat and sustainable wildlife management

The Conference of the Parties,

Concerned with the continued decline of certain wildlife species due to extensive destruction and degradation of natural habitats, fragmentation and the loss of landscape connectivity, as well as other threats, including illegal exploitation and illegal wildlife trade, unsustainable use of wildlife products and resources, climate change, illegal land conversion, pollution, and invasive alien species, that impact negatively on the survival and regeneration of wild species, as well as on sustainable development and human well-being,

Mindful that wildlife loss has consequences for vital ecological processes that support biodiversity, and serious socioeconomic, food security, nutrition and health related impacts, affecting customary sustainable use and the culture, spirituality and identity of indigenous peoples and local communities,

Noting the need for sound wildlife management programmes that build upon an understanding of biological and ecological factors, and effective and equitable programmes, recognizing the importance of the human dimension, not only in terms of human needs and benefit-sharing, including custodianship and the historical rights of indigenous peoples and local communities to access wildlife, in accordance with national legislation, but also with respect to generating and sharing incentives for wildlife conservation and sustainable use,

Also noting the potential for enhanced policy harmonization on wildlife conservation, sustainable use and trade contributing to the implementation of the 2030 Agenda for Sustainable Development,²⁰⁵ in particular on Targets 15.7 and 15.c under Goal 15, United Nations General Assembly resolution 69/314, and the Strategic Plan for Biodiversity 2011-2020,

Recognizing that considerable work has been done under the Convention on ways to improve the sustainability of wildlife management, including the harvesting of bushmeat, notes that the issue of sustainable use of wildlife intersects with other sectors, and that a strategic and broad approach is needed to address these issues,

Reaffirming the role of the Collaborative Partnership on Sustainable Wildlife Management in facilitating coordinated work on the sustainable use of biodiversity and enhancing synergies among its members,

1. *Encourages* Parties and other Governments, as well as relevant organizations, to consider and implement, as appropriate, the road map²⁰⁶ for better governance towards a more sustainable bushmeat sector, presented to the XIV World Forestry Congress in Durban, South Africa, in September 2015, and *invites* Parties to make use of the road map when developing and implementing their national biodiversity strategies and action plans;

2. *Encourages* Parties and other Governments to integrate existing guidance and recommendations of the Convention related to the sustainable use of wildlife, into plans and strategies for development cooperation agencies, to enhance the mainstreaming of the conservation and sustainable use of biodiversity in relevant sectors;

3. *Invites* Parties to include in their sixth national reports to the Convention on Biological Diversity information on the use of rights-based management systems and the transfer of these rights and associated management to indigenous peoples and local communities with regard to sustainable wildlife management;

4. *Also invites* Parties to work with indigenous peoples and local communities to provide training and capacity-building in sustainable wildlife management, including exchanging information and skills at various levels;

5. *Requests* the Executive Secretary, in collaboration with other members of the Collaborative Partnership on Sustainable Wildlife Management, subject to the availability of resources:

(a) To further elaborate technical guidance for better governance towards a more sustainable bushmeat sector, with a view to supporting Parties' implementation of the Strategic Plan for Biodiversity 2011-2020, building on the road map²⁰⁶ on the role of bushmeat in food security and nutrition and the results of the Symposium on "Beyond enforcement: Communities, governance, incentives, and sustainable use in combating illegal wildlife trade", held in South Africa in February 2015, as well as the workshop on "Sustainable use and bushmeat trade in Colombia: operationalizing the legal framework in Colombia", held in Leticia, Colombia, in

²⁰⁵ [General Assembly resolution 70/1](#) of 25 September 2015 on "Transforming our world: the 2030 Agenda for Sustainable Development".

²⁰⁶ Robert Nasi and John E. Fa. "[The role of bushmeat in food security and nutrition](#)". Paper presented at the XIV World Forestry Congress, Durban, South Africa, 7-11 September 2015.

October 2015, taking into account the perspective and knowledge of indigenous peoples and local communities in customary sustainable use of biodiversity;

(b) To jointly scope and organize a Wildlife Forum event, facilitating the involvement of Parties, other Governments and relevant stakeholders, including indigenous peoples and local communities, to consider and define the priorities for work with respect to sustainable wildlife use and management, taking into account previous work on this matter, including the Addis Ababa Principles and Guidelines for the Sustainable Use of Biodiversity;

(c) To enhance synergies with the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services with regard to the re-scoping of the assessment on sustainable use of biodiversity;

(d) To continue to support efforts by Parties to combat illicit trafficking in wildlife, in line with United Nations General Assembly resolution 69/314, adopted in July 2015, and to enhance institutional capacities on wildlife conservation and law enforcement, with relevant law enforcement bodies such as the members of the International Consortium on Combating Wildlife Crime;

(e) To report on progress to the Subsidiary Body on Scientific, Technical and Technological Advice and the Working Group on Article 8(j) and related provisions at a meeting held prior to the fourteenth meeting of the Conference of the Parties.

V. OPERATIONS OF THE CONVENTION

Item 18. Improving the efficiency of structures and processes under the Convention, including integration among the Convention and its Protocols

The following is taken from recommendation 1/9, paragraph 4 of the Subsidiary Body on Implementation

Modus operandi of the Subsidiary Body on Implementation and mechanisms to support review of implementation

The Conference of the Parties

1. *Adopts* the modus operandi of the Subsidiary Body on Implementation as annexed to the present decision;
2. *Welcomes* the progress made in the development of a voluntary peer review mechanism, especially the development of a draft methodology for the review, and *requests* the Executive Secretary, subject to the availability of resources, to facilitate the further testing, and development of the methodology, including applying it through a pilot phase and report on progress to the Subsidiary Body on Implementation at its second meeting;
3. *Invites* Parties to develop, enhance and make use of national processes to review the measures that they have taken for the implementation of the Convention and related strategic plans, including, as appropriate, participatory approaches and engagement of indigenous peoples and local communities, civil society, women and youth, to identify obstacles that may exist to such implementation, and to share this information through the clearing-house mechanism;
4. *Requests* the Executive Secretary, subject to the availability of resources, to prepare, in consultation with Parties and relevant stakeholders, information on the obstacles identified in paragraph 3 above, as well as to identify effective practices related to the implementation of national and global targets, based on national reports, including consideration of possible elements of mechanisms for review of implementation, such as the voluntary peer review mechanism for national biodiversity strategies and action plans, and taking into account the views expressed by Parties and observers at the first meeting of the Subsidiary Body on Implementation and additional views provided by Parties and observers, including indigenous peoples and local communities, to be considered by the Subsidiary Body on Implementation at its second meeting;
5. *Also requests* the Executive Secretary to further develop the decision-tracking tool, taking into account any views or comments provided by Parties and other Governments, and to continue reviewing the decisions of the Conference of the Parties taken from the first to the seventh meetings, as well as the decisions of the tenth and eleventh meetings, and to provide the Subsidiary Body on Implementation with an update at its second meeting;
6. *Emphasizes* the importance of ensuring complementarity and avoiding duplication in its requests to its subsidiary bodies, as specified in paragraph 3 of the terms of reference of the Subsidiary Body on Implementation;²⁰⁷
7. *Requests* the Executive Secretary to identify options to strengthen processes for integrating matters related to indigenous peoples and local communities into the work of the Subsidiary Body on Implementation;

²⁰⁷ [Decision XII/26](#), annex.

*Annex***MODUS OPERANDI OF THE SUBSIDIARY BODY ON IMPLEMENTATION****A. Functions**

The Subsidiary Body on Implementation will perform its functions under the guidance of the Conference of the Parties to the Convention, and the Conference of the Parties serving as the meetings of the Parties to the Cartagena and Nagoya Protocols for items referred to it by them. The functions of the Subsidiary Body on Implementation are those contained in its terms of reference (decision XII/26, annex).

B. Areas of work

The functions of the Subsidiary Body on Implementation, as contained in its terms of reference, reflect the four interrelated areas of work described below. The Subsidiary Body on Implementation undertakes work in these areas, *mutatis mutandis*, for matters referred to it by the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.

1. *Review of progress in implementation*

1. This will include items related to the review of progress in the implementation of the Convention and related strategic plan, including review of progress in the provision of support for implementation, and in particular progress by Parties in the setting and achievement of their national targets and actions as well as the outcomes of these actions, the progress of individual Parties, as well as the contribution of the national targets communicated by Parties towards the objectives of the Convention, taking into account scientific assessments, recommendations and advice provided by the Subsidiary Body on Scientific, Technical and Technological Advice.

2. *Strategic actions to enhance implementation*

2. This will include items related to the identification of strategic actions and the provision of guidance to enhance implementation based on the review of progress in implementation and other relevant information, including consideration of the future direction of implementation of the Convention. These may include, as appropriate: actions related to mainstreaming; the development and implementation of coherent and effective measures and supporting institutional frameworks; synergies with other biodiversity-related conventions, partnerships with other intergovernmental and non-governmental organizations; and the enhancement of the role of relevant actors, including indigenous peoples and local communities, the private sector and subnational governments in implementation.

3. *Strengthening means of implementation*

3. This will include items related to resource mobilization, the financial mechanism, and the general and strategic aspects and institutional mechanisms for technical and scientific cooperation, the clearing-house mechanism, capacity-building, technology transfer and communication, education and public awareness.

4. *Operations of the Convention: improving the effectiveness of processes and activities*

4. This will include items related to ways and means to increase efficiencies of processes, including an integrated approach to the implementation of the Convention and its Protocols, especially containing items that are common to the implementation of the Convention and its Protocols, any procedures that support the other three areas of work of the Subsidiary Body, and matters related to the administration of the Convention, including the operations of the Secretariat.

C. Procedural matters

1. The work of the Subsidiary Body on Implementation will be conducted in accordance with the relevant provisions of decision XII/26, including the following:

(a) In line with paragraph 5 of rule 26 of the rules of procedure for meetings of the Conference of the Parties, the rules of procedure for meetings of the Conference of the Parties apply, *mutatis mutandis*, to the meetings of the Subsidiary Body on Implementation with the exception of rule 18, which will not apply;

(b) The Subsidiary Body on Implementation should meet in each intersessional period. The number and length of the meetings and activities of the Subsidiary Body and its organs should be reflected in the budget adopted by the Conference of the Parties or other sources of extrabudgetary funding;

(c) When the Subsidiary Body on Implementation serves a Protocol of the Convention, decisions under the Protocol shall be taken only by the Parties to the Protocol;

(d) The Subsidiary Body on Implementation should undertake any tasks that fall within the scope of its terms of reference and those that are referred to it by the Conference of the Parties or the Conference of the Parties serving as the meetings of the Parties to the respective Protocols, and should report on its work to these bodies.

2. The Bureau of the Conference of the Parties, consisting of the President and vice-presidents as per the rules of procedures (annex to decisions I/1 and V/20), will serve as the Bureau of the Subsidiary Body on Implementation. The Chairperson of the Subsidiary Body on Implementation will, however, be elected by the Conference of the Parties to ensure active participation in the preparatory process as well as facilitation of the meeting. The Chairperson will be nominated by the regional groups and elected at an ordinary meeting of the Conference of the Parties, and shall take office from the end of that meeting of the Conference of the Parties and remain in office until his/her successor takes office at the end of the next ordinary meeting of the Conference of the Parties. As a general rule, the chairing of the Subsidiary Body on Implementation shall rotate among United Nations regional groups.²⁰⁸ Candidates for the Chair of the Subsidiary Body should have experience in the processes of the Convention and competence in matters related to the Convention. The regional groups, when identifying a candidate, should take into account the availability of time by the candidates for the work of the Subsidiary Body on Implementation. In the event that the Chair is from a country that is not a Party to one or both Protocols, a substitute would be assigned from among members of the Bureau representing a Party to the Protocol to chair items related to one or the other Protocol. The Chair of the Subsidiary Body shall be a member of the Bureau of the Conference of the Parties *ex officio*. The President of the Conference of the Parties will invite the Chair of the Subsidiary Body on Implementation to preside over the sessions of the Bureau on matters related to the Subsidiary Body.

3. Upon a decision of the Conference of the Parties and subject to the availability of resources, the Subsidiary Body on Implementation may establish an open-ended forum to further support the review of implementation of the Convention and related strategic plans with a view to facilitating the exchange of information and experience among Parties. This forum may take place in session during meetings of the Subsidiary Body on Implementation and of the Conference of the Parties.

4. Upon a decision by the Conference of the Parties considering it necessary to carry out its mandate, and subject to the availability of resources, regionally balanced ad hoc expert groups may be established to help prepare for the work of the Subsidiary Body on Implementation. The Executive Secretary, in consultation with the Chair of the Subsidiary Body on Implementation and the Bureau of the Conference of the Parties, will select the experts from among the nominations submitted by Parties. The ad hoc expert groups shall normally be composed of no more than fifteen experts nominated by Parties, with due regard to geographical representation, gender balance and to the special conditions of developing countries, in particular the least developed countries, small island developing States and countries with economies in transition. Where relevant, a limited number of experts from organizations may also be selected. The number of experts from organizations shall not exceed the number of experts nominated by Parties.

5. The Subsidiary Body on Implementation may, within the budgetary resources approved by the Conference of the Parties or the Conference of the Parties serving as the meetings of the Parties to the Cartagena or Nagoya Protocols in respect to a specific decision by these bodies within the mandate of the Subsidiary Body, make requests to the Executive Secretary and utilize mechanisms under the Convention or its Protocols, as appropriate.

6. The work of the Subsidiary Body on Implementation will be conducted in plenary sessions or, where the necessary budgetary resources have been approved by the Conference of the Parties, in open-ended sessional working groups, as appropriate. Up to two open-ended sessional working groups of the Subsidiary Body on Implementation could be established and operate simultaneously during meetings of the Subsidiary Body. The working groups would not meet in parallel to the plenary. The working groups shall be established on the basis of well-defined terms of reference, and will be open to all Parties and observers.

D. Focal points

The primary national focal point for the Convention will usually serve as the national focal point for the Subsidiary Body on Implementation. Parties may also designate, as appropriate, an additional national focal point for the Subsidiary Body on Implementation.

E. Documentation

1. The Secretariat will make best endeavours to make the documentation for meetings of the Subsidiary Body on Implementation available three months before the opening of each meeting, and in any case at least six weeks before the opening of the meeting, in accordance with rule 10 of the rules of procedure for meetings of the Conference of the Parties.

²⁰⁸ Following the practice of the election of the Chair of the Subsidiary Body on Scientific, Technical and Technological Advice and avoiding that, at any one time, a regional group provides both the Chairs of the Subsidiary Body on Implementation and the Subsidiary Body on Scientific, Technical and Technological Advice, the order of regions from which the Chair will be elected is as follows: Africa, Western Europe and others, Asia and the Pacific, Latin America and the Caribbean, and Central and Eastern Europe.

2. The number and length of documents, including information documents, should be kept to a minimum and documentation should include proposed conclusions and recommendations for consideration by the Subsidiary Body on Implementation.

The following is taken from recommendation 1/11 of the Subsidiary Body on Implementation, except for paragraphs 6 to 12 which will be considered under item 6.

Enhancing integration among the Convention and its Protocols and the organization of meetings

The Conference of the Parties

Integrated approaches to issues under the Convention and the Protocols

1. *Requests* the Executive Secretary to prepare a note on possible ways and means to promote integrated approaches to issues at the interface between the biosafety-related provisions of the Convention and the provisions of the Cartagena Protocol, taking into account Article 8(g) and Article 19, paragraph 4, of the Convention, and other issues of relevance to both the Convention and the Cartagena Protocol, for consideration by the Subsidiary Body on Implementation at its second meeting and the Conference of the Parties at its fourteenth meeting;

2. *Also requests* the Executive Secretary to continue using, where appropriate, integrated approaches in proposing agenda items and organizations of work, in the preparation of documents, and in planning and implementation of intersessional activities, and especially in addressing common cross-cutting areas, such as capacity-building, national reporting, the administration of clearing-house mechanisms, communication, education and public awareness, resource mobilization and financial mechanisms, with a view to achieving synergies in the consideration of issues and efficiency in processes related to these areas under the Convention and the Protocols;

Criteria for the review of concurrent meetings

3. *Decides* to use the following list of criteria as identified in decision XII/27, paragraph 6, and as further developed, for reviewing, at the fourteenth and fifteenth meetings of the Conference of the Parties, experience in holding meetings concurrently:

- (a) Full and effective participation of representatives of developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition, in the meetings of the Conference of the Parties;

- (b) Effective development of outcomes of the Conference of the Parties;

- (c) Increased integration among the Convention and its Protocols;

- (d) Cost-effectiveness;

- (e) The number of Parties reporting improved consultations, coordination and synergies among their national focal points for the Convention and the Protocols;

- (f) Evaluation by the host Governments of the logistical and technical burdens of the concurrent meetings they hosted;

4. *Requests* the Executive Secretary to prepare a preliminary review of the experience in concurrent meetings, using the criteria referred to above, for consideration by the Subsidiary Body on Implementation at its second meeting;

Regional preparatory meetings

5. *Welcomes* the collaboration between the secretariats of the Convention on Biological Diversity and the Convention on International Trade in Endangered Species of Wild Flora and Fauna in organizing regional meetings to prepare for the thirteenth meeting of the Conference of the Parties to the former and the seventeenth meeting of the Conference of the Parties to the latter, *requests* the Executive Secretary to enhance

similar collaboration with others, and *invites* donors to make a further financial contribution to enable the organization of such regional preparatory meetings;

Item 19. Guidelines for the sixth national reports, modalities for future editions of the *Global Biodiversity Outlook* and indicators

The following is taken from paragraph 4 of recommendation 1/10 of the Subsidiary Body on Implementation. The annex will be developed according to paragraphs 2 and 3 of the recommendation.

National reporting

The Conference of the Parties

1. *Adopts* the guidelines, including the reporting templates, for the sixth national report;
2. *Requests* the Executive Secretary:
 - (a) To make the guidelines, including the reporting templates, for the sixth national report available to Parties in the six official languages of the United Nations no later than 31 March 2017, including through the clearing-house mechanism of the Convention and the voluntary online reporting tool;
 - (b) To further develop the voluntary online reporting tool with a view to fully aligning it with the reporting templates for the sixth national report, by 31 March 2017 at the latest;
 - (c) To finalize the resource manual for the sixth national report, taking into account, among other relevant elements, guidance on common data sources, indicators and other relevant information provided by the secretariats of other biodiversity-related conventions and to the Liaison Group of biodiversity-related conventions, and to make it available through the clearing-house mechanism of the Convention and other means;
3. *Encourages* Parties to submit their sixth national report by 31 December 2018, taking into account preparations for the fifth edition of the *Global Biodiversity Outlook*, and *encourages* Parties to submit elements of their sixth national report as soon as they are ready, as appropriate, through the voluntary online reporting tool;
4. *Requests* the Global Environment Facility to provide adequate funding for the preparation of the sixth national report in a timely and expeditious manner to developing countries, in particular least developed countries and small island developing States as well as Parties with economies in transition;
5. *Invites* Parties, other Governments and relevant organizations to provide, including through the Biodiversity Indicators Partnership, where possible, support for developing countries in the preparation of their sixth national reports, in particular with regard to the development of indicators and the use of scientifically sound data for reporting and the assessment of progress in the achievement of national targets;
6. *Requests* the Executive Secretary, subject to the availability of resources, and, where possible and appropriate, in collaboration with relevant partners and related processes, to organize capacity-building activities to support developing countries, in particular the least developed countries and small island developing States as well as Parties with economies in transition, in the preparation of their sixth national reports, including the use of the voluntary online reporting tool;
7. *Invites* Parties to facilitate, as appropriate, the full and effective participation of indigenous peoples and local communities and relevant stakeholders, including focal points for other biodiversity-related conventions and Rio conventions, in the preparation of the sixth national report to ensure that national reports reflect national implementation, and to increase alignment and coordination in reporting to the Convention and its Protocols and synergies in reporting among related conventions;

8. *Requests* the Executive Secretary, in consultation with the Bureau of the Conference of the Parties, to develop, subject to subsequent endorsement by the Conference of the Parties serving as the meetings of the Parties to the Cartagena and Nagoya Protocols, proposals for the alignment of national reporting under the Convention and its Protocols, and to report on progress to the Subsidiary Body on Implementation at its second meeting, taking into account the following elements:

(a) Synchronized reporting cycles for the Convention, the Cartagena Protocol and the Nagoya Protocol, with common deadlines for submission of the reports after the fifteenth meeting of the Conference of the Parties to the Convention, the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety and the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization, in 2020;

(b) A common approach to the format of the national reports under the Convention and its Protocols;

(c) Gradual integration of the reporting facilities available in the clearing-house mechanism, the Biosafety Clearing-House and the Access and Benefit-Sharing Clearing-House, including unified user accounts, a single portal to access the reports for each of the three instruments, a common branding and design for all national reports, and a common system to analyse and display national report submissions;

(d) Appropriate cross-linkages between future strategic plans of the Convention and its Protocols with a view to facilitating alignment in reporting to the Convention and its Protocols;

9. *Requests* the Executive Secretary, subject to the availability of resources, in collaboration with the secretariats of the biodiversity-related conventions and Rio conventions, and the United Nations Environment Programme's World Conservation Monitoring Centre, to explore options for enhancing synergy on national reporting among these conventions, including consideration of the following possibilities:

(a) Common sets of indicators, where appropriate;

(b) Common reporting modules on shared issues;

(c) Interoperability of information management and reporting systems;

(d) Harmonization of tools for national reporting;

10. *Also requests* the Executive Secretary to submit a report on the progress of the activity referred to in paragraph 9 above to the Subsidiary Body on Implementation at its second meeting.

Annex*

GUIDELINES FOR THE SIXTH NATIONAL REPORT

I. INTRODUCTION

1. Parties are required by Article 26 of the Convention to submit national reports to the Conference of the Parties on measures taken for the implementation of the Convention and their effectiveness in meeting the objectives of the Convention. The sixth national reports are due by 31 December 2018. Given the time required to prepare, approve and submit a national report, Parties are encouraged to start preparing their sixth national report well before the deadline.

2. The sixth national reports should provide a final review of progress in the implementation of the Strategic Plan for Biodiversity 2011-2020 and towards the Aichi Biodiversity Targets, including relevant national targets, based on information concerning the implementation of national biodiversity strategies and action plans and other actions taken to implement the Convention. Parties should provide updates since the last national report was submitted. This includes information on new or recently completed actions or efforts, as well as updates on ongoing actions or efforts. It also includes recent changes to the status and trends of biodiversity and to the pressures on it.

3. Parties are encouraged to involve relevant stakeholders in the preparation of their national report. This includes national focal points for the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access to Genetic Resources and

* To be updated in line with recommendation 1/10 of the Subsidiary Body on Implementation.

the Fair and Equitable Sharing of Benefits Arising from Their Utilization as well as the national focal points for the biodiversity-related Conventions, the Rio Conventions and other relevant international and regional conventions. Representatives of indigenous peoples and local communities, as well as representatives from relevant sectors, business, civil society organizations and non-governmental organizations should also be involved in the preparation of the national report.

II. STRUCTURE AND FORMAT OF THE REPORT AND ITS SUBMISSION

4. The sixth national report contains six sections:

- (a) Information on the targets being pursued at the national level;
- (b) Implementation measures taken, assessment of their effectiveness, and scientific and technical needs;
- (c) Assessment of progress towards each national target;
- (d) Description of the national contribution to the achievement of each Aichi Biodiversity Target;
- (e) Description of the national contribution to the achievement of the targets of the Global Strategy for Plant Conservation (completion of this section is optional);
- (f) Updated biodiversity country profiles.

5. To facilitate the preparation of the sixth national report, each section of the report is accompanied by a template that contains specific questions with a selection of possible answers. Space to provide narrative information to further substantiate the answers given is also provided. In addition, space is provided to indicate any relevant websites, web links or documents where additional information may be found, eliminating the need to include this information directly in the national report.

6. A resource manual has been prepared to provide further guidance and explanations on the use of the guidelines and contains directions to potential sources of information for the preparation of the sixth national report.²⁰⁹

7. To facilitate the preparation of the sixth national report an online reporting tool has been developed. The online reporting tool can be accessed from <https://chm.cbd.int/>. This tool allows multiple nationally designated users to draft elements of the national report and prepare it for review, internal approval and formal submission. It also allows for parts of the national report to be submitted as they are finalized or for the entire report to be submitted once all of the sections are completed. For those Parties with limited Internet access or who prefer to submit their national reports in document form, an offline version of the reporting templates will be made available. If the national report is submitted in document form, it should be accompanied by an official letter from the national focal point or the senior government official responsible for the implementation of the Convention. Parties not using the online reporting tool may send their sixth national report to the main email address of the Secretariat of the Convention on Biological Diversity (secretariat@cbd.int).

III. TEMPLATES FOR THE SIXTH NATIONAL REPORT

Section I. Information on the targets being pursued at the national level

If your country has set and/or adopted national targets or equivalent commitments related to the Strategic Plan for Biodiversity 2011-2020 please use the following template to describe them. Please complete this template for each of your country's national targets. National targets entered in this section will be linked to section III so that progress in their implementation can be assessed. If your country has not set or adopted any national targets related to the Strategic Plan for Biodiversity 2011-2020 please indicate so in the first box and move to section II.

²⁰⁹ The resource manual is being made available at: <https://www.cbd.int/nr6/resource-manual>.

I. Information on the targets being pursued at the national level

☐ My country has adopted national biodiversity targets or equivalent commitments in line with the Strategic Plan for Biodiversity 2011-2020 and the Aichi Targets

or

☐ My country has not adopted national biodiversity targets and is reporting progress using the Aichi Biodiversity Targets. (Move to section II. In section III, the Aichi Biodiversity Targets should be considered as the national targets and progress should be assessed towards their achievement in the national context.)

National Target (Please use the official title, if available)

<Text entry>

Rationale for the national target

<Text entry>

Level of application (Please specify the level to which the target applies):

☐ Regional/multilateral – please indicate area concerned <Text entry>

☐ National/federal

☐ Subnational – please indicate area concerned <Text entry>

Relevance of the national targets to the Aichi Biodiversity Targets (Links between national targets and Aichi Biodiversity Targets.)

Main related Aichi Biodiversity Targets (Please select one or more Aichi Biodiversity Target to which the national target is wholly or partially related. Parties can select an entire target or a target component (not shown below))

☐ 1 ☐ 6 ☐ 11 ☐ 16
☐ 2 ☐ 7 ☐ 12 ☐ 17
☐ 3 ☐ 8 ☐ 13 ☐ 18
☐ 4 ☐ 9 ☐ 14 ☐ 19
☐ 5 ☐ 10 ☐ 15 ☐ 20

Other related Aichi Biodiversity Targets (Please select one or more Aichi Biodiversity Target to which the national target is indirectly related.)

☐ 1 ☐ 6 ☐ 11 ☐ 16
☐ 2 ☐ 7 ☐ 12 ☐ 17
☐ 3 ☐ 8 ☐ 13 ☐ 18
☐ 4 ☐ 9 ☐ 14 ☐ 19
☐ 5 ☐ 10 ☐ 15 ☐ 20

or

☐ National target has no corresponding Aichi Biodiversity Target or relates to other parts of the Strategic Plan for Biodiversity – please explain

<Text entry>

Other relevant information (Please use this field to provide any other relevant information, such as the process of developing and adopting the national target, the stakeholders involved or the strategies and plans in which this national target has been included.)

<Text entry>

Relevant websites, web links, and files (Please use this field to indicate any relevant websites, web links or documents where additional information related to this national target can be found.)

<Add link> <Add file>

Section II. Implementation measures taken, assessment of their effectiveness, associated obstacles and scientific and technical needs

Using the template below, please report on the major measures your country has taken to implement its national biodiversity strategy and action plan. Please also provide an assessment of the effectiveness of these measures. The template should be replicated for each measure reported.

II. Implementation measures, their effectiveness, and associated obstacles and scientific and technical needs

Describe a measure taken to contribute to the implementation of your country's national biodiversity strategy and action plan

<Text entry>

For the implementation measure, please indicate to which national target or targets it contributes

<Please select one or several targets>

Assessment of the effectiveness of the implementation measure taken in achieving desired outcomes:

- ☐ Measure taken has been effective
☐ Measure taken has been partially effective
☐ Measure taken has been ineffective
☐ Unknown

Please explain the selection and where possible indicate the tools or methodology used for the assessment of effectiveness above

<Text entry>

Relevant websites, web links and files (Please use this field to indicate any relevant websites, web links or documents where additional information related to this assessment can be found).

<Add link> <Add file>

Other relevant information, including case studies to illustrate how the measure taken has resulted in (or is expected to result in) outcomes that contribute to the implementation of the NBSAP

<Text entry>

Relevant websites, web links and files (Please use this field to indicate any relevant websites, web links or documents where additional information can be found)

<Add link> <Add file>

Obstacles and scientific and technical needs related to the measure taken: Please describe what obstacles have been encountered and any scientific and technical needs for addressing these, including technical and scientific cooperation, capacity development activities or the need for guidance materials.

<Text entry>

Relevant websites, web links and files (Please use this field to indicate any relevant websites, web links or documents where additional information related to these obstacles and scientific and technical needs can be found).

<Add link> <Add file>

Section III. Assessment of progress towards each national target

Using the template below, please assess the level of progress made towards each of your country's national targets or similar commitments. The template should be replicated for each national target. If your country has not set national targets please use the Aichi Biodiversity Targets.

III. Assessment of progress towards each national target
Target <Please select one target>
Category of progress towards the implementation of the selected target: <input type="checkbox"/> On track to exceed target <input type="checkbox"/> On track to achieve target <input type="checkbox"/> Progress towards target but at an insufficient rate <input type="checkbox"/> No significant change <input type="checkbox"/> Moving away from target
Date the assessment was done: <Date>
Summary of evidence used (Please provide information on the evidence used in the assessment of this target, drawing upon relevant information provided in section II). <Text entry>
Indicators used in this assessment <i>Indicator(s) used in this assessment</i> <Indicator(s) used> Please provide a list of indicators used for the assessment of this target or: <input type="checkbox"/> No indicator used
Please describe any other tools or means used for assessing progress <Text entry>
Relevant websites, web links and files (Please use this field to indicate any relevant websites, web links or documents where additional information related to this assessment can be found). <Add link> <Add file>
Level of confidence of the above assessment <input type="checkbox"/> Based on comprehensive evidence <input type="checkbox"/> Based on partial evidence <input type="checkbox"/> Based on limited evidence
Please provide an explanation for the level of confidence indicated above. <Text entry>

Adequacy of monitoring information to support assessment

- ☐ Monitoring related to this target is adequate
☐ Monitoring related to this target is partial (e.g. only covering part of the area or issue)
☐ No monitoring system in place
☐ Monitoring is not needed

Please describe the monitoring system for the target if one exists

<Text entry>

Relevant websites, web links and files (Please use this field to indicate any relevant websites, web links or documents where additional information related to the monitoring system can be found)

<Add link> <Add file>

Section IV. Description of the national contribution to the achievement of each Aichi Biodiversity Target

Using the template below, please describe your country's contribution towards the achievement of the Aichi Biodiversity Targets. This template should be replicated for each of the Aichi Biodiversity Targets.

IV. Description of national contribution to the achievement of each Aichi Biodiversity Target**Aichi Biodiversity Target 1, 2, 3...**

Please describe how and to what extent your country has contributed to the achievement of this Aichi Biodiversity Target and summarize the evidence used to support this description:

<Text entry>

Based on the description of your country's contributions to the achievement of the Aichi Biodiversity Targets, please describe how and to what extent these contributions support the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals:

<Text entry>

Section V. Description of the national contribution to the achievement of the targets of the Global Strategy for Plant Conservation (completion of this section is optional)

Using the template below, please describe your country's contribution towards the achievement of the targets of the Global Strategy for Plant Conservation. This template should be replicated for each of the 16 targets of the Global Strategy for Plant Conservation.

V. Description of the national contribution to the achievement of the targets of the Global Strategy for Plant Conservation

Does your country have national targets related to the GSPC Targets?

- ☐ Yes. Please provide details on the specific targets below:

<Text entry>

or:

- ☐ No, there are no related national targets

<p>Please provide information on any active networks for plant conservation present in your country.</p> <p><Text entry></p>
<p>Please describe the major measures taken by your country for the implementation of the Global Strategy for Plant Conservation. (Parties can report on actions taken to implement these targets if they are not covered in sections II, III or IV)</p> <p><Text entry></p>
<p>Category of progress towards the target of the Global Strategy for Plant Conservation at the national level:</p> <p>GSPC Target 1, 2, 3...</p> <p> <input type="checkbox"/> On track to achieve target at national level <input type="checkbox"/> Progress towards target at national level but at an insufficient rate <input type="checkbox"/> No significant change at national level </p> <p>Please explain the selection above:</p> <p><Text entry></p>
<p>Please describe how and to what extent your country has contributed to the achievement of this GSPC Target and summarize the evidence used to support this description:</p> <p><Text entry></p>

Section VI. Updated biodiversity country profiles

Please review and update your country's biodiversity profile currently displayed on the clearing house mechanism. Biodiversity country profiles provide an overview of information relevant to your country's implementation of the Convention.

<p>VI. Updated biodiversity country profile (Please review and update the text currently displayed at https://www.cbd.int/countries²¹⁰)</p>
<p><u>Biodiversity facts</u></p> <p>Status and trends of biodiversity, including benefits from biodiversity and ecosystem services and functions:</p> <p><Text provided for possible update></p> <p>Main pressures on and drivers of change to biodiversity (direct and indirect):</p> <p><Text provided for possible update></p>
<p><u>Measures to enhance implementation of the Convention</u></p> <p>Implementation of the NBSAP:</p> <p><Text provided for possible update></p> <p>Overall actions taken to contribute to the implementation of the Strategic Plan for Biodiversity 2011-2020:</p> <p><Text provided for possible update></p> <p>Support mechanisms for national implementation (legislation, funding, capacity-building, coordination, mainstreaming, etc.):</p> <p><Text provided for possible update></p> <p>Mechanisms for monitoring and reviewing implementation:</p> <p><Text provided for possible update></p>

²¹⁰ Note: If the online reporting tool is being used, the text of the current biodiversity profile will be displayed. A time stamp will be added to indicate the date when the update was published

The following is taken from recommendation XIX/5, paragraph 5 and recommendation XX/13, paragraph 4; paragraphs 1-7 of the draft decision of the Subsidiary Body on Scientific, Technical and Technological Advice

Global Biodiversity Outlook

The Conference of the Parties

1. *Decides* to initiate the preparation for a fifth edition of the *Global Biodiversity Outlook*, which:
 - (a) Should provide:
 - (i) A concise final report on the implementation of the Strategic Plan for Biodiversity 2011-2020;
 - (ii) A basis for the follow-up to the Strategic Plan for Biodiversity 2011-2020, to be considered by the Conference of the Parties at its fifteenth meeting;
 - (b) Should include:
 - (i) A target-by-target analysis of progress towards the achievement of the Aichi Biodiversity Targets, based on a transparent and replicable methodology;
 - (ii) An analysis of the contribution of progress towards the Aichi Biodiversity Targets to the Sustainable Development Goals;
 - (c) Should draw upon:
 - (i) The sixth national reports;
 - (ii) Information from global indicators;
 - (iii) The thematic, regional and global assessments of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services and any relevant scenario analysis and modelling of biodiversity and ecosystem services undertaken as part of these assessments;
 - (iv) Information from the other biodiversity-related conventions and Rio conventions and other relevant organizations;
 - (v) Information provided by indigenous peoples and local communities, including information on the contributions of collective actions to the implementation of the Strategic Plan for Biodiversity 2011-2020;
 - (vi) Additional relevant information presented by Parties;
 - (d) Should be developed in a manner that avoids duplication with other processes;
2. *Invites* the secretariats of the Platform and the other biodiversity-related conventions to collaborate on a joint communication strategy for the fifth edition of the *Global Biodiversity Outlook* and relevant deliverables of the Platform;
3. *Requests* the Executive Secretary to prepare:
 - (a) A workplan and proposed budget for the preparation of the fifth edition of the *Global Biodiversity Outlook*;
 - (b) A joint communication strategy with the secretariats of the Platform and biodiversity-related conventions on the fifth edition of the *Global Biodiversity Outlook* and relevant deliverables of the Platform;

Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services

4. *Welcomes* the decision of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services at its fourth plenary meeting, in February 2016, to undertake a global assessment on biodiversity and ecosystem services, foreseen to be concluded by May 2019, and *re-emphasizes* the importance of this global assessment for analysing progress towards the implementation of the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets;

5. *Also welcomes* the completion and acceptance of the methodological assessment of scenarios and models of biodiversity and ecosystem services by the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services, and the approval of the Summary for Policy Makers by the Plenary of the Platform, and *recognizes* the high relevance of this assessment for work under the Convention on Biological Diversity, and, in particular, the fifth edition of the *Global Biodiversity Outlook*;

6. *Encourages* Parties, and invites other Governments, relevant organizations, the scientific community, stakeholders and indigenous peoples and local communities to further develop and use scenarios and models to support decision-making and the evaluation of policies, and to contribute to the further development of scenarios and models as described by the Summary for Policymakers on models and scenarios of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services;

7. *Recognizes* the importance of matching scenarios to the needs of particular policy or decision contexts, including for exploring post-2020 policy scenarios, and to consider improving, and more widely applying, participatory and cross-scale scenario methods in order to enhance the relevance and use of regional, sectoral and thematic scenarios for biodiversity and ecosystem services;

8. *Encourages* Parties, and invites other Governments and relevant organizations, including funding organizations, to support efforts to develop human and technical capacity for scenario development and modelling needs and to promote open and transparent access to scenario and modelling tools, as well as the data required for their development and testing;

9. *Invites* the scientific community:

(a) To address key gaps in methods for modelling the impacts of drivers and policy interventions on biodiversity and ecosystem services that have been identified in the methodological assessment of scenarios and models of biodiversity and ecosystem services;

(b) To develop practical and effective approaches to evaluating and communicating levels of uncertainty associated with scenarios and models, as well as tools for applying those approaches to assessments and decision-making;

10. *Requests* the Executive Secretary and *invites* the secretariats of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services and the Intergovernmental Panel on Climate Change to foster further enhanced collaboration between the scientific communities related to these bodies working on scenarios and models, as well as collaboration with communities working on biodiversity monitoring and data, and the policy community;

11. *Request* the Subsidiary Body on Scientific, Technical and Technological Advice, in accordance with decision XII/25, paragraph 5(b), to prepare a list of requests for the second work programme of the Platform, based on information compiled by the Executive Secretary, for approval of the Conference of the Parties at its fourteenth meeting;

The following is taken from recommendation XX/13, paragraph 4; paragraphs 8-24 of the draft decision of the Subsidiary Body on Scientific, Technical and Technological Advice. The annex has been revised by the Executive Secretary pursuant to SBSTTA recommendation XX/13, paragraph 3.²¹¹

Indicators for the Strategic Plan for Biodiversity 2011-2020 and the Aichi Biodiversity Targets

Recalling decision XI/3 and paragraph 20(b) of decision XII/1;

1. *Takes note of* the report of the Ad Hoc Technical Expert Group on Indicators for the Strategic Plan for Biodiversity 2011-2020, and *expresses* its thanks to the European Union and the Governments of Switzerland and the United Kingdom of Great Britain and Northern Ireland for their financial support;
2. *Endorses* the updated list of indicators for the Strategic Plan for Biodiversity 2011-2020 contained in the annex to the present draft decision;²¹²
3. *Notes* that the list of global indicators provides a framework for assessing progress towards the Aichi Biodiversity Targets at the global level;
4. *Emphasizes* that the list of indicators provides a flexible framework for Parties to adapt, as appropriate, to their national priorities and circumstances, and *decides* that the list of indicators should be kept under review, enabling, inter alia, the future incorporation of other relevant indicators;
5. *Notes* that indicators may be used for a variety of purposes at the national, regional and global levels, including:
 - (a) Informing and supporting decision-making;
 - (b) Communicating with policymakers and other stakeholders, including those unfamiliar with the Strategic Plan for Biodiversity;
 - (c) Mainstreaming the Aichi Biodiversity Targets within other international processes, including, in particular, the Sustainable Development Goals, by facilitating the integration of biodiversity in other processes through shared indicators or aggregated or disaggregated elements of indicators;
 - (d) Reporting by Parties;
 - (e) Enabling the Conference of the Parties and its subsidiary bodies to review progress in the implementation of the Strategic Plan for Biodiversity 2011-2020;
 - (f) Providing a knowledge base for developing future plans and targets under the Convention on Biological Diversity and other multilateral environmental agreements;
6. *Encourages* Parties:
 - (a) To use a variety of approaches, according to national circumstances, in assessing progress towards national implementation of the Strategic Plan for Biodiversity 2011-2020, including quantitative indicators, expert opinion, stakeholder consultation and case studies, clearly documented in order to record uncertainty, contradictory evidence and gaps in knowledge to enable comparable assessments to be undertaken;
 - (b) To consider the use of a small subset of indicators from the global list that are identified as being available today, easy to communicate, and for which national data are available, including proposed indicators for the Sustainable Development Goals where relevant;

²¹¹ In response to SBSTTA recommendation XX/13 the Executive Secretary updated the list of indicators for assessing progress in the attainment of the Aichi Biodiversity Targets based on the comments made at SBSTTA 20 and made it available to the participants in the Ad Hoc Technical Expert Group on Indicators for the Strategic Plan for Biodiversity 2011-2020 and partners of the Biodiversity Indicators Partnership from 30 May to 30 June 2016. Based on the comments received, the list of indicators was revised. In addition to the interventions made during SBSTTA 20, comments were received from Ethiopia, the European Commission, Mexico, Birdlife International, GEO-BON, the Global Forest Coalition, the Marine Stewardship Council and Terralingua.

²¹² Prepared in accordance with Subsidiary Body on Scientific, Technical and Technological Advice recommendation XX/13.

7. *Invites* biodiversity-related conventions as well as intergovernmental organizations and non-governmental organizations to make use of the list of global indicators and to contribute to the further development of the indicators, inter alia, through the Biodiversity Indicators Partnership;
8. *Emphasizes* the advantages of aligning the indicators for the Strategic Plan for Biodiversity 2011-2020 and those of the Sustainable Development Goals and other relevant processes, *notes* that shared indicators must be reviewed to determine the degree to which they are suitable for each use, and *stresses* the role of the Biodiversity Indicators Partnership in this regard;
9. *Notes* the report on National Indicators and Approaches to Monitor Progress towards the Aichi Biodiversity Targets;²¹³
10. *Also notes* the potential role of the existing mechanism established by the Food and Agriculture Organization of the United Nations for reporting on the Code of Conduct for Responsible Fisheries in assessing progress towards Aichi Biodiversity Target 6, *welcomes* the report of the Expert Meeting on Improving Progress Reporting and Working Towards Implementation of Aichi Biodiversity Target 6²¹⁴ which includes a framework of actions and indicators to accelerate, monitor and report on progress towards the achievement of Aichi Biodiversity Target 6, *invites* Parties, other Governments, the Food and Agriculture Organization of the United Nations and regional fishery bodies to consider the results of this meeting, and *invites* the Food and Agriculture Organization of the United Nations, in collaboration with the Executive Secretary, to further develop this framework;
11. *Invites* the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services and, in particular, its Knowledge, Information and Data Task Force and its regional and global assessments to contribute to and make the best use of biodiversity indicators, including through the Biodiversity Indicators Partnership, for the regional and global assessments in order to maximize synergy, ensure relevance to policy and reduce the multiplicity of global indicators;
12. *Welcomes* the important contributions to indicator development by the members of the Biodiversity Indicators Partnership and other relevant organizations and processes, as well as initiatives on community-based monitoring and information systems, and *encourages* further collaboration and continued support for work on indicators, including in the preparation of the fifth edition of the *Global Biodiversity Outlook*;
13. *Notes* that many indicators rely on a small number of essential biodiversity variables and that further efforts are required to improve the monitoring of these variables;
14. *Invites* data holders and institutions to improve the accessibility of data and documentation, to further enhance data generation and to work in close collaboration with research, observation and indicator communities to fill gaps in data collection and provision, including through community-based monitoring efforts and citizen science;
15. *Recalls* recommendation XIX/2 of the Subsidiary Body on Scientific, Technical and Technological Advice, and *invites* those institutions that compile global indicators to promote the free and open access to underlying data and methodologies and to facilitate national disaggregation of underlying data, and methodologies, where appropriate, taking into account the voluntary guidance to improve the accessibility of biodiversity-related data and information;²¹⁵
16. *Recalls* decision XI/3, in which it recognized the need to strengthen technical and institutional capacities and to mobilize adequate financial resources for the development and application of indicators and monitoring systems, especially for developing country Parties, in particular the least developed countries, small island developing States and countries with economies in transition.

²¹³ [UNEP/CBD/SBSTTA/20/INF/34](#).

²¹⁴ [UNEP/CBD/SBSTTA/20/INF/27](#).

²¹⁵ [Recommendation XIX/2](#), annex.

*Annex***GENERIC AND SPECIFIC INDICATORS FOR ASSESSING PROGRESS IN THE ATTAINMENT OF THE AICHI BIODIVERSITY TARGETS, INCLUDING AN ASSESSMENT OF THEIR MAIN CHARACTERISTICS**

The table below identifies a set of indicators for assessing progress in the attainment of the Aichi Biodiversity Targets. Both generic and specific indicators have been identified. The generic indicators identify types of issues that could be monitored while the specific indicators are those operational indicators that can be used to monitor changing trends in these issues. Only indicators which are currently available or are under active development have been included in the table. Further for each specific indicator that is currently available their alignment to the set of criteria identified by SBSTTA in recommendation XIX/4 has been indicated. For those indicators which are under active development, this information will be completed once the indicator is operational at the global level. The criteria considered were the availability of the indicator; its suitability for communication; possibility for aggregation or disaggregation of data used and its use in the third or fourth edition of the *Global Biodiversity Outlook*. The source of the indicator has also been indicated. Relevant indicators agreed by the United Nations system for the Sustainable Development Goals have been included in the table.²¹⁶

In many cases the identified indicators are relevant to several Aichi Biodiversity Targets. However each indicator has only been included in the table once in order to limit the size of the table, with each indicator listed according to the Aichi Biodiversity Target to which it is most relevant. In some cases no specific indicator has been identified for the generic indicator. These represent gaps that need to be addressed.

²¹⁶ During the forty-seventh session of the United Nations Statistical Commission, the Statistical Commission agreed, as a practical starting point, a proposed global indicator framework for the goals and targets of the 2030 Agenda for Sustainable Development, subject to future technical refinement.

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
Target 1 - By 2020, at the latest, people are aware of the values of biodiversity and the steps they can take to conserve and use it sustainably	Trends in awareness and attitudes to biodiversity	Biodiversity Barometer	X	X		X	X		UEBT
		Online interest in biodiversity (Google Trends)	X		X	X	X		Google trends
		WAZA global visitor survey	X						WAZA
	Trends in public engagement with biodiversity	<i>No specific indicators identified</i>							
Target 2 - By 2020, at the latest, biodiversity values have been integrated into national and local development strategies and planning processes and are being incorporated into national accounting, as appropriate, and reporting systems.	Trends in incorporation of measures of stock and flow of natural resources into national accounting	Number of countries implementing natural resource accounts, excluding energy, within the System of Environmental-Economic Accounting (SEEA)	X	X		X	X		UNSTATS, World Bank
	Trends in number of countries that have assessed values of biodiversity, in accordance with the Convention	Progress towards national targets established in accordance with Aichi Biodiversity Target 2 of the Strategic Plan for Biodiversity 2011-2020 (indicator for SDG target 15.9)						X	
	Trends in integration of biodiversity and ecosystem service values into sectoral and development policies	Number of countries that have integrated biodiversity in National Development Plans, poverty reduction strategies or other key development plans	X	X		X	X		Roe D. (2010)
Target 3 - By 2020, at the latest, incentives, including subsidies, harmful to biodiversity are eliminated, phased	Trends in the number and value of incentives, including subsidies, harmful to biodiversity,	Trends in potentially harmful elements of government support to agriculture (produced support estimates)	X	X	X	X			OECD
		Trends in potentially harmful elements of government support to fisheries	Y						OECD

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
out or reformed in order to minimize or avoid negative impacts, and positive incentives for the conservation and sustainable use of biodiversity are developed and applied, consistent and in harmony with the Convention and other relevant international obligations, taking into account national socioeconomic condition	removed, reformed or phased out								
		Agricultural export subsidies (indicator for SDG target 2.b)						X	
	Trends in development and application of incentives that promote biodiversity conservation and sustainable use	Number of countries with national instruments on biodiversity-relevant taxes, charges and fees	Y						OECD
		Number of countries with national instruments on REDD plus schemes	X			X	X		UN-REDD programme
		Number of countries with national instruments on biodiversity relevant tradable permit schemes	Y						OECD
Target 4 - By 2020, at the latest, Governments, business and stakeholders at all levels have taken steps to achieve or have implemented plans for sustainable production and consumption and have kept the impacts of use of natural resources well within safe ecological limits.	Trends in population and extinction risk of utilized species, including species in trade	Red List Index (impacts of utilization)	X	X	X		X		IUCN, BirdLife International and other Red List Partners
		Percentage of Category 1 nations in CITES	X			X	X		CITES
		Red List Index for species in trade	X	X	X				IUCN, BirdLife International and other Red List Partners
		Proportion of traded wildlife that was poached or illicitly trafficked (indicator for SDG target 15.7)						X	
		Proportion of national exclusive economic zones						X	

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
		managed using ecosystem-based approaches (indicator for SDG target 14.2)							
	Trends in use of natural resources and/or related concepts	Ecological footprint	X	X	X	X	X		Global Footprint Network
		Material footprint (MF) and MF per capita, per GDP (indicator for SDG targets 8.4 and 12.2)						X	
		Domestic material consumption (DMC) and DMC per capita, per GDP (indicator for SDG targets 8.4 and 12.2)						X	
		Number of countries with sustainable consumption and production (SCP) national action plans or SCP mainstreamed as a priority or target into national policies (indicator for SDG target 12.1)						X	
	Ecological limits assessed in terms of sustainable production and consumption	Human appropriation of net primary productivity	X		X	X	X		Krausmann et al (2013)
		Human appropriation of fresh water (water footprint)	X			X	X		Joint Research Centre
		Change in water use efficiency over time (indicator for SDG target 6.4)						X	
		Level of water stress: freshwater withdrawal as a proportion of available freshwater resources (indicator for SDG target 6.4)						X	
	Trends in biodiversity of cities	Number of cities applying and reporting on the Cities Biodiversity Index	Y						Secretariat of the Convention on Biological Diversity
		Ratio of land consumption rate to population growth rate (indicator for SDG target 11.3)						X	

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	Trends in extent to which biodiversity and ecosystem service values are incorporated into organizational accounting and reporting	<i>No specific indicators identified</i>							
Target 5 - By 2020, the rate of loss of all natural habitats, including forests, is at least halved and where feasible brought close to zero, and degradation and fragmentation is significantly reduced.	Trends in extent of forest	Trends in forest extent (tree cover)	X	X	X				Hansen et al
		Forest area as a percentage of total land area (indicator for SDG target 15.1)	X	X	X	X		X	FAO
		Proportion of important sites for terrestrial and freshwater biodiversity that are covered by protected areas, by ecosystem type (indicator for SDG target 15.1)						X	
		Progress towards sustainable forest management (indicator for SDG target 15.2)						X	
	Trends in extent of natural habitats other than forest	Change in the extent of water-related ecosystems over time (indicator for SDG target 6.6)	X	X				X	UN Water
		Natural habitat extent (land area minus urban and agriculture)	X				X		Netherlands Environmental Assessment Agency (PBL)
	Trends in fragmentation of forest and other natural habitats	<i>No specific indicators identified</i>							
	Trends in degradation of forest and other natural	Biodiversity Habitat Index	Y						GEO BON-CSIRO
		Proportion of land that is degraded over total land area	Y					X	UNCCD

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	habitats	(indicator for SDG target 15.3)							
	Trends in extinction risk and populations of habitat specialist species in each major habitat type	Red List index (forest specialists)	X	X	X				IUCN, BirdLife International and other Red List Partners
		Living Planet Index (forest specialists)	Y						WWF/ZSL
		Species Habitat Index	Y						GEO BON-Map of Life
Target 6 - By 2020 all fish and invertebrate stocks and aquatic plants are managed and harvested sustainably, legally and applying ecosystem based approaches, so that overfishing is avoided, recovery plans and measures are in place for all depleted species, fisheries have no significant adverse impacts on threatened species and vulnerable ecosystems and the impacts of fisheries on stocks, species and ecosystems are within safe ecological limits.	Trends in certified sustainable fisheries	Catch certified by the Marine Stewardship Council	X	X	X		X		Marine Stewardship Council
	Trends in proportion of depleted, target and bycatch species with recovery plans	Number of countries with regulations requiring recovery of depleted species	X	X		X			FAO
		Proportion of depleted stocks with rebuilding plans in place	Y						FAO
	Trends in population and extinction risk in target and bycatch species	Red List Index (harvested aquatic species)	Y						IUCN and other Red List Partners
		Number of countries with policies that make adequate provisions to minimize the impacts of fisheries on threatened species	X	X		X			FAO
		Proportion of fisheries with regular monitoring and reporting of impacts on threatened species	Y						FAO
		Proportion of threatened species for which mortality rate due to fisheries is decreasing	Y						FAO
		Number of countries with policies to secure that mortalities and significant indirect adverse impacts on non-target species are accounted for	X	X		X			FAO
		Trends in population of non-target species affected by	Y						FAO

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
		fisheries							
		Red List Index (impacts of fisheries)	X	X	X		X		IUCN, BirdLife International and other Red List Partners
		Living Planet Index (trends in target and bycatch species)	Y						WWF/ZSL
	Trends in fishing practices	Global effort in bottom trawling	X	X			X		University of British Columbia Institute for the Oceans and Fisheries
		Progress by countries in the degree of implementation of international instruments aiming to combat illegal, unreported and unregulated fishing (indicator for SDG target 14.6)						X	
		Amount (spatial extent, gear type, intensity) of fishing effort within vulnerable habitats	X			X			FAO
		Number of countries with ecosystem impact monitoring and/or assessment programmes	Y						FAO
		Number of countries with legislation allowing for actions for the protection of vulnerable habitats (including VMEs), and addressing threats to ecosystem structure and function	X			X			FAO
		Coverage of fisheries with management measures to reduce bycatch and discards	X			X			FAO
		Number and coverage of stocks with adaptive management systems / plans	X			X			FAO

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	Trends in proportion of fish stocks outside safe biological limits	Proportion of fish stocks within biologically sustainable levels (indicator for SDG target 14.4)	X	X	X	X	X	X	FAO
	Trends in catch per unit effort	Estimated fisheries catch and fishing effort	X		X				University of British Columbia Institute for the Oceans and Fisheries
		Progress by countries in the degree of application of a legal/regulatory/policy/institutional framework which recognizes and protects access rights for small-scale fisheries (indicator for SDG target 14.b)						X	FAO
Target 7 - By 2020 areas under agriculture, aquaculture and forestry are managed sustainably, ensuring conservation of biodiversity.	Trends in proportion of area of agriculture under sustainable practices	Areas of agricultural land under organic production	X	X	X		X		International Foundation for Organic Agriculture
		Areas of agricultural land under conservation agriculture	X		X	X	X		FAO
		Proportion of agricultural area under productive and sustainable agriculture (indicator for SDG target 2.4)	X					X	FAO
	Trends in extinction risk and populations of agro-ecosystem associated species	Wild Bird Index for farmland birds / Living Planet Index (farmland specialists)	X	X	X	X	X		BirdLife International /EBCCC
	Trends in proportion of production of aquaculture under sustainable practices	<i>No specific indicators identified</i>							
	Trends in proportion	Proportion of area of forest production under FSC and	X	X	X	X	X		FSC/PEFC

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	of area of forest production under sustainable practices	PEFC certification							
		Progress towards sustainable forest management (indicator for SDG target 15.2)	Y					X	FAO
		Wild Bird Index for specialist forest birds / Living Planet Index (forest specialists)	X	X	X	X	X		BirdLife International /EBCCC
	Trends in extinction risk and populations of forest-specialist species in production forest	<i>No specific indicators identified</i>							
Target 8 - By 2020, pollution, including from excess nutrients, has been brought to levels that are not detrimental to ecosystem function and biodiversity	Trends in pollutants	Trends in emissions, NOX	X	X	X	X	X		International Nitrogen Initiative
		Trends in emissions, SOX	X	X	X	X			International Nitrogen Initiative
		Trends in emissions, POPs	X	X	X	X			Stockholm Convention
		Trends in mercury emissions	X						UNEP
		Trends in pesticide use	X	X	X	X	X		FAO
		Index of Coastal Eutrophication (ICEP) and Floating Plastic debris Density (indicator for SDG target 14.1)						X	
		Mortality rate attributed to household and ambient air pollution (indicator for SDG target 3.9)						X	
		Mortality rate attributed to unsafe water, unsafe sanitation and lack of hygiene (exposure to unsafe WASH services) (indicator for SDG target 3.9)						X	
		Mortality rate attributed to unintentional poisoning (indicator for SDG target 3.9)						X	
	Trends in extinction risk and populations	Red List Index (impacts of pollution)	X	X	X		X		IUCN, BirdLife

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	driven by pollution								International and other Red List Partners
	Trends in ecosystems affected by pollution	Water Quality Index for Biodiversity	X				X		UNEP GEMS Water
	Trends in nutrient levels	Trends in nitrogen deposition	X	X	X				International Nitrogen Initiative
		Trends in loss of reactive nitrogen to the environment	X	X	X	X	X		International Nitrogen Initiative
		Trends in global surplus of nitrogen	X	X	X		X		The Netherlands Environmental Assessment Agency (PBL)
		Proportion of bodies of water with good ambient water quality (indicator for SDG target 6.3)						X	
		Percentage of wastewater safely treated (indicator for SDG target 6.3)						X	
Target 9 - By 2020, invasive alien species and pathways are identified and prioritized, priority species are controlled or eradicated, and measures are in place	Trends in identification and prioritization of invasive alien species	<i>No specific indicators identified</i>							
	Trends in the distribution and populations of	<i>No specific indicators identified</i>							

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
to manage pathways to prevent their introduction and establishment.	invasive alien species								
	Trends in eradication of priority invasive alien species	Trends in invasive alien species vertebrate eradications	X						IUCN Invasive Species Specialist Group, Island Conservation
	Trends in extinction risk and populations driven by invasive alien species impacts	Red List Index (impacts of invasive alien species)	X	X	X		X		IUCN, BirdLife International and other Red List Partners
	Trends in impacts of invasive alien species on ecosystems	<i>No specific indicators identified</i>							
	Trends in the numbers of invasive alien species introduction and establishment events	Trends in the numbers of invasive alien species introduction events	X	X	X	X	X		ISSG
	Trends in implementation of policy responses preventing the introduction and establishment of invasive alien	Proportion of countries adopting relevant national legislation and adequately resourcing the prevention or control of invasive alien species (indicator for SDG target 15.8)	X			X	X	X	IUCN SSC Invasive Species Specialist Group, Monash University,

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	species								BirdLife International , Concordia University
Target 10 - By 2015, the multiple anthropogenic pressures on coral reefs, and other vulnerable ecosystems impacted by climate change or ocean acidification are minimized, so as to maintain their integrity and functioning.	Trends in extent and condition of coral reefs	Trends in proportion of live coral cover	X	X	X		X		Mumby et al
	Trends in extinction risk and populations of coral and coral-reef dependent species	Red List Index (reef-building coral species)	X	X	X		X		IUCN and other Red List Partners
	Trends in pressures on coral reefs	Average marine acidity (pH) measured at agreed suite of representative sampling stations (indicator for SDG target 14.3)						X	
	Trends in responses to reduce pressures on coral reefs	<i>No specific indicators identified</i>							
	Trends in extent and condition of other vulnerable ecosystems impacted by climate change or ocean acidification	<i>No specific indicators identified</i>							
	Trends in species extinction risk and populations or condition of other vulnerable ecosystems impacted by climate change or ocean acidification	Climatic Impact Index for birds	X		X		X		BirdLife International /EBCC
		Red List Index (impacts of climate change)	Y						IUCN, BirdLife International and other Red List

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
									Partners
	Trends in pressures on other vulnerable ecosystems impacted by climate change or ocean acidification	<i>No specific indicators identified</i>							
	Trends in responses to reduce pressures on other vulnerable ecosystems impacted by climate change or ocean acidification	<i>No specific indicators identified</i>							
Target 11 - By 2020, at least 17 per cent of terrestrial and inland water, and 10 per cent of coastal and marine areas, especially areas of particular importance for biodiversity and ecosystem services, are conserved through effectively and equitably managed, ecologically representative and well connected systems of protected areas and other effective area-based conservation measures, and	Trends in area of terrestrial and inland water areas conserved	Percentage of terrestrial and inland water areas covered by protected areas	X	X	X	X	X		UNEP-WCMC and IUCN
	Trends in area of coastal and marine areas conserved	Percentage of marine and coastal areas covered by protected areas	X	X	X	X	X		UNEP-WCMC and IUCN
		Coverage of protected areas in relation to marine areas (indicator for SDG target 14.5)	X	X	X	X	X	X	UNEP-WCMC and IUCN
	Trends in areas of particular importance for biodiversity conserved	Protected area coverage of Key Biodiversity Areas (including Important Bird and Biodiversity Areas, Alliance for Zero Extinction sites)	X	X	X	X	X		BirdLife International /IUCN/AZE
	Trends in areas of particular importance for ecosystem services conserved	<i>No specific indicators identified</i>							

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
integrated into the wider landscapes and seascapes.	Trends in ecological representativeness of areas conserved	Protected area coverage of terrestrial, marine and freshwater ecoregions	X	X	X	X	X		UNEP-WCMC/ The Joint Research Centre of the European Commission
		Species Protection Index	Y						GEO BON-Map of Life
		Protected Area Representativeness Index	Y						GEO BON-CSIRO
	Trends in effectiveness and/or equitability of management of conserved areas	Management effectiveness of protected areas	X		X	X	X		WCMC
		The Wildlife Picture Index (disaggregated by protected area)	X	X					Tropical Ecology Assessment and Monitoring (TEAM) Network
	Trends in connectivity and integration of conserved areas	Protected Area Connectedness Index	Y						GEO BON-CSIRO
Target 12 - By 2020 the extinction of known threatened species has been prevented and their conservation	Trends in number of extinctions	Number of species extinctions	X	X	X		X		IUCN, BirdLife International and other Red List

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
status, particularly of those most in decline, has been improved and sustained.									Partners
	Trends in extinctions prevented	Number of extinctions prevented by conservation action	Y						IUCN, BirdLife International and other Red List Partners
	Trends in extinction risk and populations of species	Red List Index (indicator for SDG target 15.5)	X	X	X		X	X	IUCN, BirdLife International and other Red List Partners
		Living Planet Index	X	X	X		X		WWF/ZSL
		Species Protection Index for species in decline	Y						GEO BON-Map of Life
		Local Biodiversity Intactness Index	X	X	X	X			GEO BON-Predicts
		Wild Bird Index	X	X	X	X	X		BirdLife International /EBCC
		Wildlife Picture Index	X	X			X		Tropical Ecology Assessment and Monitoring (TEAM) Network
Target 13 - By 2020, the genetic diversity of cultivated plants and	Trends in genetic diversity of cultivated plants	Number of plant and animal genetic resources for food and agriculture secured in either medium- or long-term conservation facilities (indicator for SDG target 2.5)						X	FAO

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
farmed and domesticated animals and of wild relatives, including other socio-economically as well as culturally valuable species, is maintained, and strategies have been developed and implemented for minimizing genetic erosion and safeguarding their genetic diversity.		Number of plant genetic resource for food and agriculture surveyed/inventoried	Y						FAO
		Percentage of plant genetic resources for food and agriculture threatened out of those surveyed/inventoried	Y						FAO
		Number of Standard Material Transfer Agreements, as communicated to the Governing Body of the International Treaty on Plant Genetic Resources for Food and Agriculture	Y						FAO
	Trends in genetic diversity of farmed and domesticated animals	Proportion of local breeds, classified as being at risk, not-at-risk or unknown level of risk of extinction (indicator for SDG target 2.5)	X	X	X	X	X	X	FAO
	Trends in extinction risk and populations of wild relatives	Red List Index (wild relatives)	Y						IUCN, BirdLife International and other Red List Partners
		Species Habitat Index (wild relatives)	Y						GEO BON-Map of Life
	Trends in protected area coverage of wild relatives (to be resolved)	Species Protection Index (wild relatives)	Y						GEO BON-Map of Life
	Trends in genetic diversity of socio-economically as well as culturally valuable species	<i>No specific indicators identified</i>							
	Trends in development and	Level of implementation of global plan of actions on genetic resources for food and agriculture	Y						Commission on Genetic

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	implementation of strategies for minimizing genetic erosion and safeguarding genetic diversity								Resources for Food and Agriculture (FAO)
Target 14 - By 2020, ecosystems that provide essential services, including services related to water, and contribute to health, livelihoods and well-being, are restored and safeguarded, taking into account the needs of women, indigenous and local communities, and the poor and vulnerable.	Trends in safeguarded ecosystems that provide essential services	Wetland extent	X	X	X		X		WCMC
	Trends in extinction risk and populations of species that provide essential services	Red List Index (species used for food and medicine; pollinating species)	X	X			X		IUCN/ BirdLife International
		Living Planet Index (utilized species)	X	X	X		X		WWF/ZSL
		Species Habitat Index (species that provide essential services)	Y						GEO BON- Map of Life
	Trends in benefits from ecosystem services	Better Life Index	X						OECD
		Mountain Green Cover Index (indicator for SDG target 15.4)	Y					X	FAO
		Coverage by protected areas of important sites for mountain biodiversity (indicator for SDG target 15.4)						X	
		Ocean Health Index	X	X	X	X	X		Ocean Health Index
	Trends in restoration of ecosystems that provide essential services	<i>No specific indicators identified</i>							
	Trends in the degree to which ecosystem services provides for	Prevalence of moderate or severe food insecurity in the population, based on the Food Insecurity Experience Scale (FIES)	X					X	FAO

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
	the needs of women, indigenous and local communities, and the poor and vulnerable	Percentage of population using safely managed drinking water services (indicator for SDG target 6.1)	X	X	X	X		X	WHO/ UNICEF
Target 15 - By 2020, ecosystem resilience and the contribution of biodiversity to carbon stocks has been enhanced, through conservation and restoration, including restoration of at least 15 per cent of degraded ecosystems, thereby contributing to climate change mitigation and adaptation and to combating desertification.	Trends in ecosystem resilience	<i>No specific indicators identified</i>							
	Trends in carbon stocks within ecosystems	Trends in forest carbon stocks	Y						FAO/GFW
		Global Ecosystem Restoration Index	Y						GEO BON-iDiv
Target 16 - By 2015, the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization is in force and operational, consistent with national legislation.	Trends in the implementation of the Nagoya Protocol	Number of Parties to the CBD that have deposited the instrument of ratification, acceptance, approval or accession of the Nagoya Protocol	X			X	X		Secretariat of the Convention on Biological Diversity
		Number of countries that have adopted legislative, administrative and policy frameworks for the implementation of the Nagoya Protocol (SDG indicator 15.6)						X	Secretariat of the Convention on Biological

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
									Diversity
Target 17 - By 2015 each Party has developed, adopted as a policy instrument, and has commenced implementing an effective, participatory and updated national biodiversity strategy and action plan	Trends in development, adoption and implementation of national biodiversity strategies and action plans, as policy instruments	Number of countries with developed or revised NBSAPs	X	X		X	X		Secretariat of the Convention on Biological Diversity
		Number of countries with NBSAPs adopted as policy instruments	Y						Secretariat of the Convention on Biological Diversity
Target 18 - By 2020, the traditional knowledge, innovations and practices of indigenous and local communities relevant for the conservation and sustainable use of biodiversity, and their customary use of biological resources, are respected, subject to national legislation and relevant	Trends in land-use change and land tenure in the traditional territories of indigenous and local communities (decision X/43)	(a) Proportion of total agricultural population with ownership or secure rights over agricultural land, by sex; and (b) share of women among owners or rights-bearers of agricultural land, by type of tenure (indicator for SDG target 5.a)						X	
		Proportion of total adult population with secure tenure rights to land, with legally recognized documentation and who perceive their rights to land as secure, by sex and by type of tenure (indicator for SDG target 1.4)						X	
	Trends in the practice of traditional occupations (decision X/43)	<i>No specific indicators identified</i>							

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
international obligations, and fully integrated and reflected in the implementation of the Convention with the full and effective participation of indigenous and local communities, at all relevant levels	Trends in which traditional knowledge and practices are respected through their full integration, safeguards and the full and effective participation of indigenous and local communities in the national implementation of the Strategic Plan	Number of local community-based monitoring on traditional knowledge, innovations and practices of indigenous and local communities relevant for the conservation and sustainable use of biodiversity	X	X					Satoyama Initiative
	Trends of linguistic diversity and numbers of speakers of indigenous languages (decision VII/30 and VIII/15)	Index of Linguistic Diversity	X		X	X	X		Terralingua
Target 19 - By 2020, knowledge, the science base and technologies relating to biodiversity, its values, functioning, status and trends, and the consequences of its loss, are improved, widely shared and transferred, and applied.	Number of maintained species inventories being used to implement the Convention	Species represented in the barcode of life data system	X				X		Barcode of Life Data Systems
		Growth in species occurrence records accessible through GBIF	X	X	X	X	X		GBIF
		Species Status Information Index	Y						GEO BON-Map of Life
		Proportion of known species assessed through the IUCN Red List	X		X				IUCN

Aichi Biodiversity Target	Generic Indicator	Specific Indicator	Available today (X) or under active development (Y)	Easy to communicate	Global indicator can be disaggregated to create national indicator or is aggregated from national data	National data are aggregated to form global indicator	Used in GBO3/ GBO4	SDG indicator	Source
Target 20 - By 2020, at the latest, the mobilization of financial resources for effectively implementing the Strategic Plan for Biodiversity 2011-2020 from all sources, and in accordance with the consolidated and agreed process in the Strategy for Resource Mobilization, should increase substantially from the current levels. This target will be subject to changes contingent to resource needs assessments to be developed and reported by Parties.	Trends in the mobilization of financial resources	Information provided through the financial reporting framework, adopted by decision XII/3 (https://chm.cbd.int/search/financial-reporting)	X	X		X	X		Secretariat of the Convention on Biological Diversity
		Official development assistance and public expenditure on conservation and sustainable use of biodiversity and ecosystems (indicator for SDG target 15.a and 15.b)						X	

The following is taken from recommendation XX/2 of the Subsidiary Body on Scientific, Technical and Technological Advice

Scientific assessment of progress towards selected Aichi Biodiversity Targets

The Conference of the Parties,

Recalling decision XII/1, paragraph 6, in which it recognized that there had been encouraging progress towards meeting some elements of most Aichi Biodiversity Targets but, in most cases, this progress would not be sufficient to achieve the targets unless further urgent and effective action is taken to reduce the pressures on biodiversity and to prevent its continued decline,

Noting that such actions can be informed by a scientific assessment of progress towards the targets,

Acknowledging the role of the Subsidiary Body on Implementation in reviewing progress by Parties in the implementation of the Strategic Plan for Biodiversity 2011-2020, and the role of the Subsidiary Body on Scientific, Technical and Technological Advice in providing advice, and recognizing the complementary mandates of the Subsidiary Body on Scientific, Technical and Technological Advice and the Subsidiary Body on Implementation,

1. *Requests* the Executive Secretary, subject to the availability of resources, to prepare, in collaboration with members of the Biodiversity Indicators Partnership and other relevant partners, for the consideration of the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting held prior to the fourteenth meeting of the Conference of the Parties, updated scientific assessments of progress towards Aichi Biodiversity Targets, focusing in particular on those targets on which the least progress has been made and making use of available data and the indicators listed in SBSTTA recommendation XX/13, as appropriate, as well as other information sources used for the fourth edition of the *Global Biodiversity Outlook*, and *also requests* the Executive Secretary to develop options to accelerate progress towards the achievement of those targets which have been identified as the least advanced.

The following is taken from recommendation XIX/2 of the Subsidiary Body on Scientific, Technical and Technological Advice

Key scientific and technical needs related to the implementation of the Strategic Plan for Biodiversity 2011-2020 and related research

The Conference of the Parties,

Recalling the key scientific and technical needs identified by the Subsidiary Body in recommendation XVII/1 and paragraphs 14 to 16 of decision XII/1 of the Conference of the Parties:

1. *Welcomes* the ongoing efforts of partner organizations to support Parties in addressing the scientific and technical needs related to the implementation of the Strategic Plan for Biodiversity 2011-2020;
2. *Also welcomes* the collaboration of Parties with relevant organizations to strengthen biodiversity monitoring systems;
3. *Further welcome* the *Global Biodiversity Informatics Outlook*, and, recalling paragraph 3 of decision VIII/11, paragraph 13 of decision XI/2, and Action 6 of the capacity-building strategy for the Global Taxonomy Initiative (annex to decision XI/29), *invite* Parties and relevant organizations to further promote open access to biodiversity-related data and transparency in the development of derived metrics and, to this end, to consider, as appropriate, the voluntary guidance annexed to the present decision;

4. *Requests the Executive Secretary:*

(a) To continue collaboration with the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services, the United Nations Environment Programme and other partners to promote the coordinated development of existing portals to facilitate access to policy support tools and methodologies, as well as to related case studies and evaluations of the use and effectiveness of such tools, taking into account the different capacities and capabilities of countries;

(b) To collaborate with relevant organizations for compiling information on tools to support the implementation of the Strategic Plan for Biodiversity 2011-2020, including those areas in which gaps have been identified, in particular methods to assess motives for and barriers to behavioural change, social marketing strategies, engagement techniques and participatory processes and mechanisms to promote the development of social, moral and economic incentives, taking into account cultural and socioeconomic differences among countries and regions, for people to sustainably manage biodiversity and ecosystem services;

(c) To invite Parties, especially developing countries, to provide information on their priorities and needs related to the implementation of Article 12 of the Convention and to compile this information to inform future work under the Convention;

(d) To develop, through the Liaison Group of Biodiversity-related Conventions and in collaboration with other relevant organizations, actions for an enhanced collaborative framework to guide the work of the conventions and their partners and to assist Parties in meeting Aichi Biodiversity Target 12, and to submit the actions to the Subsidiary Body at a meeting prior to the fourteenth meeting of the Conference of the Parties;

(e) To report on the above to the Subsidiary Body on Scientific, Technical and Technological Advice at a meeting prior to the fourteenth meeting of the Conference of the Parties to the Convention on Biological Diversity;

5. *Encourages Parties:*

(a) To further work to identify their biodiversity monitoring, assessment, project implementation, and research needs at the national level;

(b) To strengthen in-country efforts to link science and policy, including through increased and enhanced communication between data providers and users, including decision makers, to improve decision-making;

(c) To make full use of the clearing-house mechanism to share information, particularly, with regard to paragraph 4 of the voluntary guidance to improve the accessibility of biodiversity-related data and information;

(d) To provide support for biodiversity monitoring, assessment, project implementation, and research;

(e) To increase national, regional and global efforts related to the promotion of research programmes related to the objectives of the Convention, taking into account Article 12 of the Convention and Aichi Biodiversity Target 19;

(f) To increase awareness of Global Taxonomy Initiative and to implement its Capacity Building Strategy (decision XI/29);

(g) To support the development, with the assistance, as appropriate, of the international barcode of life network, of DNA sequence-based technology (DNA barcoding) and associated DNA barcode reference libraries for priority taxonomic groups of organisms, to promote the application of these techniques for the conservation and sustainable use of biodiversity, and to support related capacity-building activities, including relevant academic training, as appropriate, further to the Strategic Actions 3 and 4 of the capacity-building strategy for the Global Taxonomy Initiative;

(h) To continue to promote awareness about the role of traditional knowledge systems and the collective actions of indigenous peoples and local communities to complement the scientific knowledge in support of the effective implementation of the Strategic Plan for Biodiversity 2011-2020;

(i) To take into consideration the important work undertaken by indigenous peoples and local communities related to taxonomy.

Annex

VOLUNTARY GUIDANCE TO IMPROVE THE ACCESSIBILITY OF BIODIVERSITY-RELATED DATA AND INFORMATION

1. **Promote open data access through policy incentives.** Reluctance to share scientific data from research remains a significant cultural barrier to biodiversity data access. Government regulation and incentives can stimulate an open access culture by, for example, requiring publication of all data acquired through publicly-funded research projects, using an open data licence to enable reuse with as few restrictions as possible.
2. **Promote the use of common data standards.** Biodiversity data are truly accessible only if they are expressed using commonly accepted information standards, enabling the integration and discovery of data sets from many different types of biodiversity evidence – including, for example, specimens from natural history collections, field observations and remote-sensed data. Governments can take the lead by insisting that all biodiversity data from public monitoring and research programmes use standards endorsed by such bodies as Biodiversity Information Standards (www.tdwg.org).
3. **Invest in the digitization of natural history collections.** Natural history museums and herbaria contain a wealth of information documenting biodiversity from the earliest days of exploration of the natural world to recent collection activities. While millions of specimens are already digitized and accessible to researchers via the Internet, many collections remain undigitized or only partially accessible electronically. Investment in digitization, using public funds or leveraging donations from the private sector or charitable foundations, will yield returns by reducing the time needed for researchers to access data and information from dispersed institutions.
4. **Establish national biodiversity information facilities.** Effective access to biodiversity data and information requires national coordination to promote and facilitate the sharing of data by diverse stakeholders, using appropriate standards and best practices on such issues as data quality. This may be most effectively achieved through a mandate to an appropriate national institution to coordinate such activity among biodiversity data holders and users in the country. An inclusive governance structure for such “biodiversity information facilities” will help achieve neutrality for the coordinating unit and overcome reluctance to share data among particular institutions. GBIF provides guidance on establishing such units based on its model of “participant nodes”.
5. **Enhance national capacity in biodiversity informatics.** Improved access to biodiversity data and information requires a base of professionals in relevant institutions familiar with the tools and best practices required to generate, manage, publish and use digital data. Governments can build and enhance such capacity by supporting training programmes and workshops operated by various national, regional and global networks, and by developing projects through funded capacity enhancement programmes operated by GBIF and other networks.
6. **Engage the public in biodiversity observation through citizen science networks.** Data derived from observations of the natural world by volunteer “citizen scientists” are becoming an increasingly important source of evidence for research and policy on biodiversity. Support for such initiatives, including processes to validate and curate the resulting data, and inclusion of volunteer networks in national biodiversity information facilities, helps both to increase public awareness of biodiversity (supporting Aichi Target 1) and to broaden the evidence base for research and decisions.
7. **Encourage data sharing from the private sector.** Biodiversity data generated in the course of environmental impact assessments (EIAs) are potentially valuable sources of evidence for reuse in research and subsequent development decisions. The primary (species-level) data underlying EIAs often remains hidden from view even when the consultant reports are published, and are rarely shared in formats that would make them accessible for future use. National and subnational regulators can help unlock such data by requiring developers to publish them using standard open data formats, as part of the planning approval process.
8. **Develop national platforms for data discovery, visualization and use.** For mobilized data to have maximum impact, Governments may wish to develop web platforms and means of data visualization that meet national needs and priorities. Data shared by institutions in a country can be “harvested” simultaneously by national, regional and global portals, while national portals can also “repatriate” data relating to the country’s biodiversity shared from overseas institutions. This can help to show the value of data sharing to national stakeholders and research users, as well as providing an educational platform for citizens to understand more about their country’s biodiversity. Collaborative networks on regional and global scales can help countries to identify and apply appropriate technologies to develop such platforms.

9. **Analyse data and information gaps to prioritize new data mobilization.** Improving access to biodiversity data and information is a cumulative process and will never mobilize all potential sources of evidence. Governments can prioritize investments in data mobilization activities by using emerging tools and methodologies to identify gaps, based on taxonomic, temporal and spatial coverage, or policy needs, such as thematic assessments on biodiversity and ecosystem services.

10. **Engage with and support regional and global networks for data mobilization and access.** The transnational nature of biodiversity and ecosystems makes it impossible for any one country to improve access to relevant biodiversity data and information without engaging with data-sharing initiatives on regional and global scales. Engagement with and investment in such networks bring common benefits that would not arise from purely national investments. On a global scale, continued support from Governments for networks such as GBIF, the Ocean Biogeographic Information System (OBIS) and Group on Earth Observations Biodiversity Observation Network (GEO-BON) will help these benefits to consolidate and grow for all Parties.
