

# COP 13 - AGENDA ITEM 11

## Resource Mobilization

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Pre-COP Regional Preparatory Meetings  
August 2016



# Resource Mobilization

- **What is expected at COP13**
- **Recommendation from SBI**
- **Preparatory work for the COP13 discussion**
- **Larger UN process**

# Resource Mobilization Targets

**At COP-13:** review of progress towards the resource mobilisation targets agreed at COP12 (Dec. XII/3 para 3)

- Adequacy of targets
- Need for appropriate action
- Based on information provided by Parties through the Financial Reporting Framework

## **Resource Mobilisation Targets:**

- Doubling international biodiversity-related funding flows to DCs
- Inclusion of biodiversity in national priorities or development plans
- Reporting domestic biodiversity expenditures, as well as funding needs, gaps and priorities
- Preparation of national financial plans for biodiversity and assessment and/or evaluation of the values of biodiversity
- Mobilize domestic financial resources from all sources to reduce the gap between identified needs and available resources at domestic level

# Recommendation 1/6 of the SBI

- ***Takes note*** of the analysis of the information provided by Parties through the financial reporting framework, in particular the progress towards the targets adopted in decision XII/3;
- *Recalling* Article 20 of the Convention, *Urges*, in accordance with decision XII/3, Parties that have not yet done so to report, using the financial reporting framework, where feasible by 31 August 2016, in time for the preparation of documentation for COP13;
- *Invites* Parties, to provide any additional national methodological information and definitions used;

# Mobilizing resources – National actions

- Financial targets
- Financial reporting
  - Methodological work
  - Capacity building
- Extended strategy for resource mobilization
  - Target 3
  - GEF needs assessment
  - Alignment with the UN processes
  - Domestic resource mobilization

# Target 3 proposed milestones

Timeline	Milestone
2015	National target reflecting Aichi Target 3 and associated action items included in revised national biodiversity strategy and action plan (NBSAP)
2016	Policy action taken on incentives, including subsidies, already known to have harmful effects and already identified as candidates for elimination, phase-out or reform, in form of their elimination or initiation of their phase-out or their reform
2016	Policy action taken on incentives, including subsidies, already known to have harmful effects and already identified as candidates for elimination, phase-out or reform, in form of their elimination or initiation of their phase-out or their reform
2018	Finalization of policy plans that (i) identify those harmful incentives that are candidates for elimination, phase-out, or reform; (ii) provide for a prioritized list of measures leading to their eventual elimination, phase-out, or reform; (iii) provide for a prioritized list of measures leading to the introduction, or strengthening, of positive incentives for the conservation and sustainable use of biodiversity; (iv) provide for associated timelines and milestones.

# Capacity building



- **Joint CBD-GEF sub-regional workshops to sensitize and engage Parties (in collaboration with ECWs)**
- **Nine sub-regional training workshops on financial reporting**
- **Webinars on financial reporting**
- **BIOFIN by UNDP in 30 countries**
- **Technical support: [financialreporting@cbd.int](mailto:financialreporting@cbd.int)**

# Financial Reporting Framework



1. International financial resource flows
2. Inclusion of biodiversity in national priorities or development plans and financial provisions
3. Value assessment
4. Domestic biodiversity expenditures
5. Funding needs, gaps and priorities
6. National financial plans
7. Measures to encourage the private sector, non-governmental organizations, foundations and academia



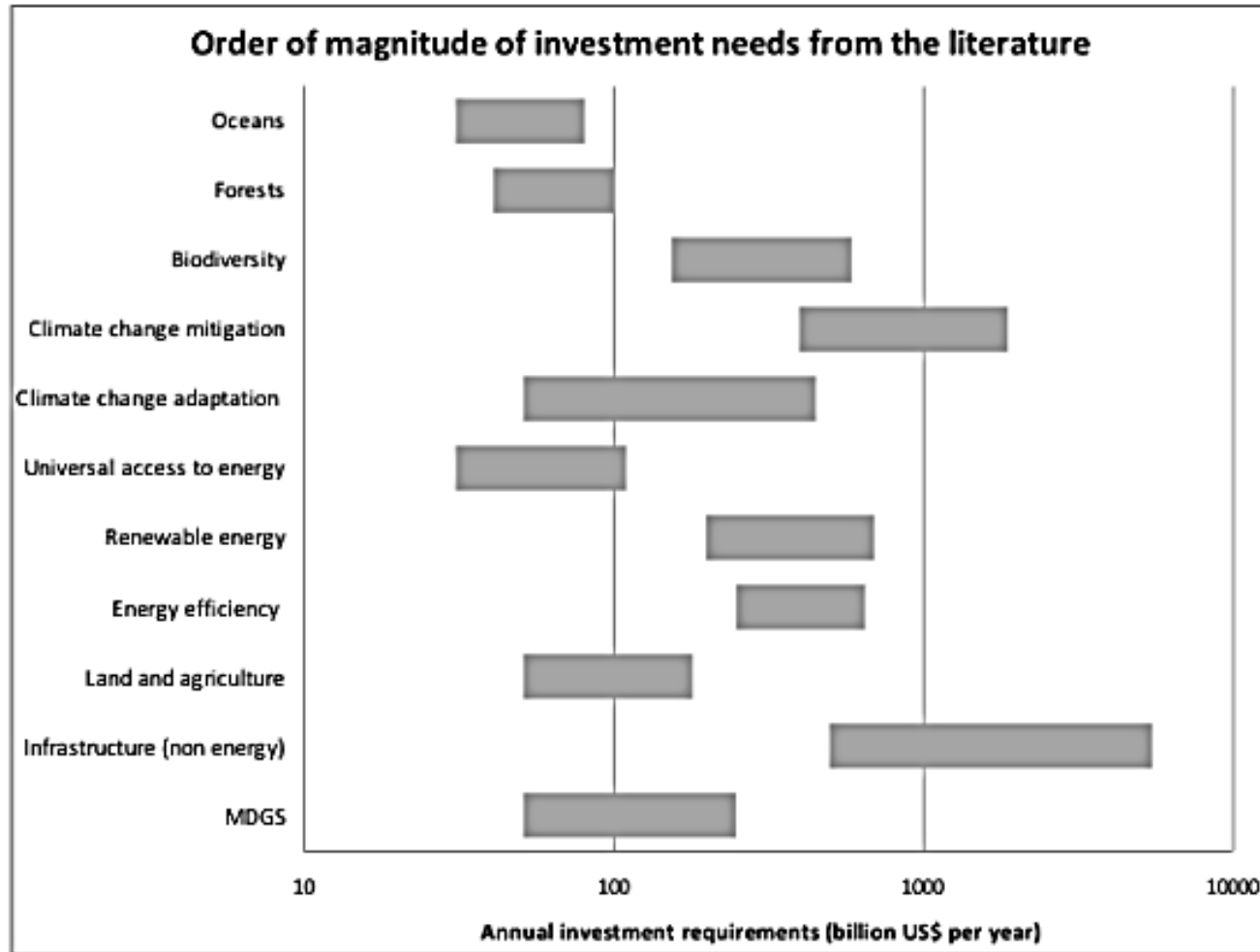
# Other initiatives

- High-level panel report
- TEEB
- Inclusive Wealth Index
- WAVES
- Natural Capital Protocol
- UNSEEA

# Resource Needs

- Overall estimates suggest total resource needs of US\$130 to \$430 billion p.a. 2013 to 2020 to meet all the Targets
  - Compares with annual global subsidies of US\$1 – 2 trillion
  - But care needed in aggregating estimates as Targets are interdependent
- 60-70% of resources estimated to be required over 2013 to 2020 are for one-off investments

# Orders of magnitude of investment requirements for various sectors taken from literature



Source: UNTT Background Paper 1, x-axis in logarithmic scale



# FINANCING FOR DEVELOPMENT

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TIME FOR GLOBAL ACTION



**FINANCING FOR  
DEVELOPMENT**  
13-16 JULY 2015 - ADDIS ABABA - ETHIOPIA  
TIME FOR GLOBAL ACTION

- **Establishing a new forum to bridge the infrastructure gap.**  
Through enhanced financial and technical support to bridge the global infra- structure gap, including the \$1 trillion to \$1.5 trillion annual gap in developing countries, launch of the Global Infrastructure Hub, the New Development Bank, the World Bank Group's Global Infrastructure Facility and the Africa50 Infrastructure Fund



**FINANCING FOR  
DEVELOPMENT**  
13-16 JULY 2015 - ADDIS ABABA - ETHIOPIA  
TIME FOR GLOBAL ACTION

- **Climate change:** raise over \$100 billion in annual investments by 2020, through market-based initiatives, leveraging development banks – recognize special needs of SIDS, LDCs, LLDCs and welcome Power Africa, NEPAD, Africa Power Vision.
  - **Green Climate Fund** to aim for a 50:50 balance between mitigation and adaptation and to aim for a 50% adaptation allocation for LDCs, SIDS and African countries
- **ODA:** European Union reaffirms to achieve 0.7% of ODA target within the time frame of the post-2015 agenda, and undertakes to meet collectively the target of 0.15 to 0.20 per cent of ODA/GNI to LDCs



**FINANCING FOR  
DEVELOPMENT**  
13-16 JULY 2015 - ADDIS ABABA - ETHIOPIA  
TIME FOR GLOBAL ACTION

- **TAXATION:** Tax revenues account for only 10-14% of GDP, one-third less than in middle-income and far below the 20-30% of GDP in high-income countries
- **Subsidy reform:** 8% of \$409 billion fossil fuel subsidies throughout the developing world in 2010 went to the poorest 20% of the population
- **Preventing illicit flows out of Africa:** Illicit flows from Africa could be as much as US \$50 billion per annum -- approximately double the ODA. Invite the International Monetary Fund (IMF), the World Bank and the UN to assist both source and destination countries.



**FINANCING FOR  
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- **Protecting our ecosystems for all.** We commit to coherent policy, financing, trade and technology frameworks to protect, manage and restore our ecosystems, including marine and terrestrial ecosystems, and to promote their sustainable use, build resilience, reduce pollution and combat climate change, desertification and land degradation. consumption and production patterns.





**FINANCING FOR  
DEVELOPMENT**  
13-16 JULY 2015 - ADDIS ABABA - ETHIOPIA  
TIME FOR GLOBAL ACTION

## **SBI-1 recommendation to COP-13:**

*Requests* the Executive Secretary to explore, through the Inter-agency Task Force on Financing for Development, the feasibility of linking financial reporting under the Convention with the emerging monitoring process for the follow-up and review of the commitments of the Addis Ababa Action Agenda, with a view to reduce the overall reporting burden for Parties.

(rec. I/6, para 4.12)

**At COP13:** review of progress towards resource mobilisation targets and their adequacy; need for appropriate action; based on Financial Reporting Framework

- 
- **Addis Ababa Action Agenda**
  - **SDG Reports**
  - **Environmental Reports**
  - **CBD Financial Framework Reports**



Convention on  
Biological Diversity



COP13-COPMOP8-COPMOP2  
CANCUN, MEXICO 2016



MAINSTREAMING BIODIVERSITY FOR WELL-BEING  
CONVENTION ON BIOLOGICAL DIVERSITY

# Thank you



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# Financial Mechanism

by

The CBD and CBD-GEF-7 Expert Team

# SBI 1/7

1. Consolidated draft guidance to the financial mechanism, including the four-year framework for programme priorities in collaboration with the GEF and advice received from the biodiversity-related conventions in line with decision XII/30
2. The report on assessment of needs for the seventh replenishment of the Global Environment Facility
3. To prepare, in consultation with the Independent Evaluation Office of the GEF, draft terms of reference for the fifth review of the effectiveness of the financial mechanism;

## Four-year outcome-oriented framework of program priorities (SBI 1/7)

- The biodiversity strategy for GEF-6
- Potential synergies across the biodiversity-related conventions and other conventions
- Potential synergies between achievement of Aichi Biodiversity Targets and SDGs
- The global assessment of progress and the need to prioritize activities to fill gaps
- The needs expressed by Parties through the Financial Reporting Framework
- The responses to the GEF7 needs assessment questionnaire and reports off the expert team,



# Reminding

## CBD XII/30 Decision, para 11

### *The Conference of the Parties*

...

*Decides*, in anticipation of the seventh replenishment of the Global Environment Facility Trust Fund, to undertake, at its thirteenth meeting, the **second determination of funding requirements for the implementation of the Convention and its Protocols**, in line with the terms of reference contained in the annex to the present decision...



# Annex to XII/30 Decision

## Expert Team composition

- two from developing country Parties,
- two from developed country Parties, and
- one from international non-governmental organizations



**Carlos Manuel Rodriguez,**  
Costa Rica



**Prof. Damodaran,**  
India



**Yasushi Hibi,**  
Japan



**Maria Schultz,**  
Sweden



**Günter  
Mitlacher,**  
GEF CSO





# Annex to XII/30 Decision

## Request from COP 12

...to enable the CoP to make an assessment of the amount of funds that are necessary to assist developing countries and countries with economies in transition, ..., in fulfilling their commitments under the Convention and its Protocols over the **GEF-7 replenishment cycle [2018-2022]**, and **determine the amount of resources needed**,  
...



# Annex to XII/30 Decision

## Mandate and ToR of the Expert Team

**TERMS OF REFERENCE FOR A FULL ASSESSMENT OF THE AMOUNT OF FUNDS NEEDED FOR THE IMPLEMENTATION OF THE CONVENTION AND ITS PROTOCOLS FOR THE SEVENTH REPLENISHMENT PERIOD OF THE TRUST FUND OF THE GLOBAL ENVIRONMENT FACILITY**

- 1. Objective**
- 2. Scope**
- 3. Methodology**
- 4. Procedures for implementation**
- 5. Consultation process**



# Outreach and Consultation

- **A questionnaire** circulated to all **CBD Focal Points**  
Notification of 19 Aug 2015, deadline 19 October 2015;  
Notification of 06 Nov 2015, deadline 04 December 2015;  
Notification of 16 May 2016, **final deadline 31 August 2016**
- **CBD Focal Points to collaborate with GEF Operational Focal Points, GEF Agencies etc.**
- **Responses posted on website for transparency:**  
<https://www.cbd.int/financial/survey2016.shtml>
- **Important aim for the GEF-7 assessment:**
  - a) bottom-up instead of top-down (GEF-6, HLP)
  - b) transparent, reliable, replicable data / information



# Consultation with 143 GEF-recipient Countries

## Circulated questionnaire and guidance on completing

Please provide the nominated expert team with the list of your indicative GEF-7 project concepts, GEF-6 planned and approved projects using the format below. All figures should be expressed in million: of US Dollars.

GEF-7 (July 2018 - June 2022)	Estimated total project costs	Expected funding from your government	Expected funding from other external sources	Expected funding from GEF-7, based on incremental cost reasoning	Reference to potential GEF-7 programming in line with your national biodiversity strategy and action plan (NBSAP) or national priorities, using codes (refer to guidance document)	Link with other conventions etc., using acronyms	Further remarks
<b>Total</b>	0	0	0	0			

1. Estimated total project costs
2. Expected funding from Gov.
3. Expected funding from other external sources (additional co-financing)
4. Expected funding from GEF-7, based on incremental cost reasoning



# Preliminary Results

## Responses to the questionnaire

- ✓ From the 143 GEF recipient countries, **48 countries (34 percent)** responded by July 25, 2016:
  - ✓ **20 countries** from Africa
  - ✓ **12 countries** from Asia and Pacific region
  - ✓ **10 countries** from Latin America and the Caribbean
  - ✓ **6 countries** from Eastern Europe
- **Every project concept** that countries put forward **was considered eligible for GEF funding;**
- **Eligibility of projects for GEF-7 funding** is considered and decided by countries, GEF SEC, and GEF agencies in 2018 the earliest



Network of Civil Society Organizations

IDB Dialogue on International Financing (21-22 May 2015)

Joint CBD-GEF capacity building

Collective Action (ILCs)

**Global Monitoring Report**

Statistical tables

Equities and Equity Markets

Insurance Sector

Banking Sector

Institutional Investment

Bonds

**National Financial Reporting**

**COP process on financial resources**

Strategy for Resource Mobilization

Financial Indicators

Building Consensus and Capacity

Country-specific financial strategies/plans

Resource mobilization focal points

the [Global Environment Facility](#), also available in [Français](#) and [Español](#), deadline of response: as soon as possible but no later than 19 October 2015

[Extension of Deadline to 4 December 2015](#) for submission of responses to the Questionnaire regarding Funding Needs for the Implementation of the Convention and its Protocols for the Seventh Replenishment Period (July 2018 - June 2022) of the Trust Fund of the Global Environment Facility

[Guidance on completing the questionnaire is provided together with the questionnaire](#), also available in [Français](#) and [Español](#)

[List of frequently asked questions by National Focal Points](#) is available for [consultation](#), also in [Français](#) and [Español](#)

**Africa: Ms. Maria Schultz and Mr. Yasushi Hibi**

[Extractions of funding information from national reports](#)

[Extractions of funding information from national biodiversity strategies and action plans](#)

Algeria	Angola	<a href="#">Benin</a>	Botswana	Burkina Faso	Burundi	Cabo Verde	<a href="#">Cameroon</a>	Central African Republic
Chad	Comoros	Congo	Côte d'Ivoire	<a href="#">D.R.Congo</a>	Djibouti	<a href="#">Egypt</a>	Equatorial Guinea	<a href="#">Eritrea</a>
<a href="#">Ethiopia</a>	Gabon	Gambia	Ghana	Guinea	<a href="#">Guinea-Bissau</a>	Kenya	Lesotho	Liberia
Libya	<a href="#">Madagascar</a>	<a href="#">Malawi</a>	Mali	<a href="#">Mauritania</a>	Mauritius	<a href="#">Morocco</a>	<a href="#">Mozambique</a>	Namibia
<a href="#">Niger</a>	Nigeria	<a href="#">Rwanda</a>	<a href="#">São Tomé and Príncipe</a>	<a href="#">Senegal</a>	<a href="#">Seychelles</a>	Sierra Leone	Somalia	South Africa
South Sudan	<a href="#">Sudan</a>	Swaziland	Togo	Tunisia	<a href="#">Uganda</a>	United Republic of Tanzania	Zambia	<a href="#">Zimbabwe</a>

**Asia and the Pacific: Mr. Appukuttan Nair Damodaran**

[Extractions of funding information from national reports](#)

[Extractions of funding information from national biodiversity strategies and action plans](#)

Afghanistan	Bahrain	Bangladesh	Bhutan	Cambodia	<a href="#">China</a>	DPR Korea	
Fiji	<a href="#">India</a>	Indonesia	Iran	<a href="#">Iraq</a>	Jordan	Kazakhstan	Kiribati
<a href="#">Kyrgyzstan</a>	Lao People's Republic	Lebanon	Malaysia	<a href="#">Maldives</a>	Marshall Islands	Micronesia	Mongolia
						Panama	New



# Estimation on Funding Needs



**Three GEF-7 funding scenarios** (sample 36 countries)

**Scenario A:** Reported expected funding from GEF-7  
(2:1 co-financing ratio currently indicated)

**Scenario B:** Calculated funding for GEF-7 based on  
reported total project costs and 4:1 co-financing (as GEF-5)

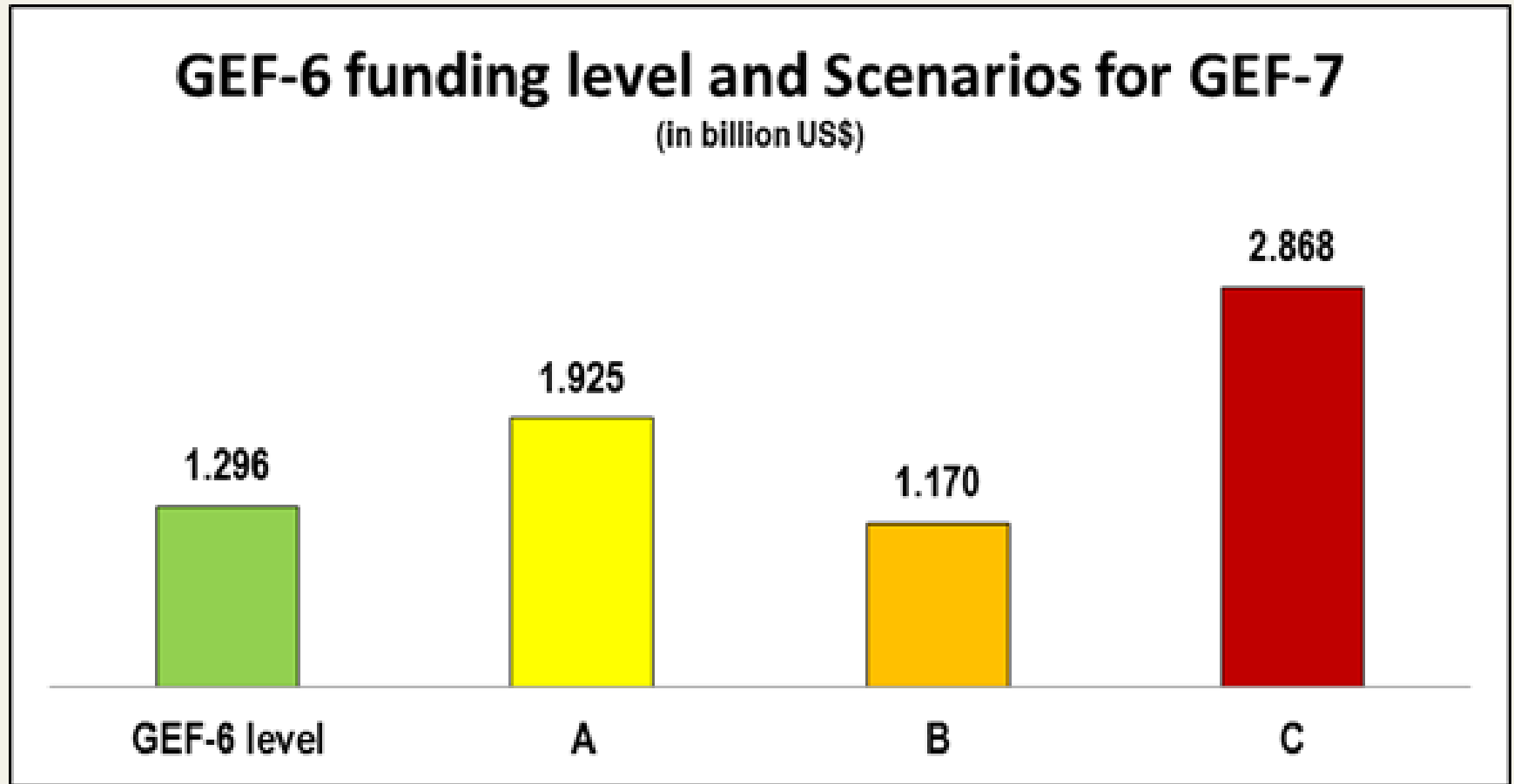
**Scenario C:** Calculated funding for GEF-7 and applied  
GEF-6 STAR allocation to non-reporting countries

**Reference:** approved GEF-6 funding level



# Estimation on Funding Needs

## Three GEF-7 funding scenarios (sample 36 countries)







# Request to Parties

- **Countries should strive to complete their questionnaire by 31 August 2016 the latest and**
  - **calculate intended GEF-7 projects for the 2018 – 2022 period in a robust way**  
(it's the basic information for the assessment)
  - **carefully consider the Incremental Cost Principle**  
(to achieve global environmental benefits)
  - **update country's NBSAP to decide on strategic projects for the 2018-2022 period**
  - **consider absorption capacity seriously while calculating total costs and funding needs**



# Sources of information

## **CBD Decision XII/30:**

<https://www.cbd.int/doc/decisions/cop-12/cop-12-dec-30-en.pdf>

## **GEF-7 Needs Assessment Terms of Reference**

<https://www.cbd.int/financial/gef7needs.shtml>

## **Questionnaire in 3 languages, guidance document, presentations, responses**

<https://www.cbd.int/financial/>

**Filled in questionnaires should be submitted to**

**CDB Secretariat: Mr. Yibin Xiang, [yibin.xiang@cbd.int](mailto:yibin.xiang@cbd.int)**

Financial Mechanisms and Resources

Secretariat of the Convention on Biological Diversity, 413, Saint Jacques Street, suite 800  
Montreal QC H2Y 1N9, Canada

Tel: +1 514 287 7017,



# Thanks for your attention!

and

to the supporters of the Expert Team's work

Japan Biodiversity Fund  
SIDA funding with SwedBio Programm of  
Stockholm Resilience Center  
EU Commission



Convention on  
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COP13-COPMOP8-COPMOP2  
CANCUN, MEXICO 2016



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CONVENTION ON BIOLOGICAL DIVERSITY

## COP 13 - AGENDA ITEM 12

Other means of implementation: enhancement of capacity-building, technical and scientific cooperation and other initiatives to assist implementation.

Capacity-building, technical and scientific cooperation, technology transfer and the clearing-house mechanism

CBD Secretariat  
Pre-COP Regional Preparatory Meetings  
August 2016

# Outline

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- Background
  - Overview of relevant previous decisions of COP and recommendations of its subsidiary bodies
- Overview of agenda item
  - Documents
  - Key issues for consideration
- Strategic elements for action at the present preparatory meeting

# Agenda Item 12: Overview

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**This agenda items covers various inter-related strategic actions to support implementation:**

- Capacity-building, ways and means to enhance the implementation of CBD Article 12
- Technical and scientific cooperation
- Other initiatives to assist implementation:
  - - Clearing-house mechanism
  - - Web strategy and Communication strategy
  - - CEPA programme of work

# Agenda Item 12: Background

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Decision XII/2 B, para. 8(d) request ES to undertake:

- Evaluation of the effectiveness of capacity-building activities
- Review of partnerships and opportunities for delivery
- Analysis of the gaps in capacity-building activities; and
- Develop a short-term action plan to enhance and support CB

Decision XII/2 B, paragraph 9 and 19

- Enhance TSC and technology transfer to support SPfB & NBSAPs
- Link needs of Parties with available support for TSC
- Continue developing the CHM and develop a web strategy

# Agenda Item 12: Background

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Decision XII/2 B, para. 10-12 invites Parties, IPLCs (& orgs) to:

- Contribute to TSC and technology transfer (TT)
- Make available information on their TSC & TT needs/priorities, and gaps in capacity-building activities
- Share good practices and expertise through CHM
- Promote collaborative partnerships for TSC & TT
- Provide financial, technical and human resources to enable the further enhancement of TSC & TT

Para 13 - Welcomes with appreciation the Bio-Bridge Initiative



# Agenda Item 12: Background

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SBI-1 in in recommendation 1/5:

- Took note of the draft short-term action plan and requested the Secretariat to streamline and focus it, with particular focus on:
  - Priority capacity-building needs submitted by Parties,
  - Needs identified by Parties in their NBSAPs, and
  - Activities linked to the facilitation of collaboration and coordination among Governments and organizations so as to avoid duplication
- Also took note of the draft web strategy for the Convention and its Protocols and requested the Secretariat to align it with the communication strategy

# Agenda Item 12: Background

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The revision of the short-term Action Plan is expected to:

- Streamline activities to remove duplications;
- Take into account activities already funded
- Take into account findings of the evaluation of the effectiveness and analysis of gaps in capacity building activities
- Take into account the Aichi Targets with less progress;
- Identify activities based on inputs from Parties during the peer-review of the draft Action Plan;
- Identify activities likely to be the most effective;
- Identify activities to be facilitated by the Secretariat and by others:

# Agenda Items 12 - Documents

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Documents for this item:

- UNEP/CBD/COP/13/13 - Revised short term action plan on capacity-building
- UNEP/CBD/COP/13/14/Add.1 - Revised web strategy
- UNEP/CBD/COP/13/INF/XX - Progress report on CHM
- UNEP/CBD/COP/13/INF/XX - Action plan on the Bio-Bridge Initiative 2017-2020 Integration among the Convention and its Protocols

# Agenda Item 12: Issues for consideration

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Draft Decision (based on SBI recommendation 1/5)

- [Endorse][Adopt][Take note] of the short-term action plan (2017-2020) on capacity-building
- Invite Parties, other Governments and relevant organizations to:
  - Take measures to enhance the implementation of Article 12
  - Contribute to TSC by, inter alia, providing information on priority needs, offering examples of effective practices/bright spots for replication, linking the needs of Parties with available support for TSC through CHM, nCHM and NRs
  - Encourage and support relevant institutions and IPLCs to contribute to TSC

# Agenda Item 12: Issues for consideration

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- Invites Parties, other Governments and relevant organizations to:
  - Support the establishment and maintenance of programmes for scientific and technical education and training to address specific needs of developing countries
  - Further develop effective national CHMs
  - Provide further guidance on measures for improving its implementation
- Extend the mandate of the CHM-IAC; to review it again at COP-15

# Agenda Item 12: Issues for consideration

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Requests the Secretariat to

- Promote a more integrated and coordinated approach to CB and TSC through multiple partnerships
- Strengthen coherent action on capacity-building and through an integrated support platform of the clearing-houses
- Take a more strategic approach in establishing partnerships with organizations and entities with comparative advantages
- Catalyze and facilitate implementation of the short-term action plan and report on progress to SBI
- Monitor and evaluate the outcomes and effectiveness of ongoing CB activities to better target and improve future activities

# Agenda Item 12: Issues for consideration

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Requests the Secretariat to

- Commission an independent evaluation of the impacts, outcomes and effectiveness of the action plan
- Further develop the CHM and implement the web strategy

At the present meeting participants are invited to:

- Critically review and prioritise the activities in the short-term action plan for capacity-building (if possible reduce the 120+ activities by more than 50%)
- Provide comments on the draft BBI Action Plan 2017-2020



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# Thank you



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Convention on  
Biological Diversity



COP13-COPMOP8-COPMOP2  
CANCUN, MEXICO 2016



# COP 13 - AGENDA ITEM 13

## Cooperation with other conventions and international organizations

Options to enhance synergies among  
the biodiversity-related conventions

CBD Secretariat  
Pre-COP Regional Preparatory Meetings  
August 2016

# Biodiversity-related conventions

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Convention on Biological Diversity

Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

Convention on the Conservation of Migratory Species of Wild Animals

The International Treaty on Plant Genetic Resources for Food and Agriculture

Convention on Wetlands (popularly known as the Ramsar Convention)

World Heritage Convention (WHC)

International Plant Protection Convention (IPPC)



## The need for synergies has been recognized in international fora:

- Paragraph 89 of the Rio +20 outcome document: *“...We encourage parties to multilateral environmental agreements to consider further measures, in these and other clusters, as appropriate, to promote policy coherence at all relevant levels, improve efficiency, reduce unnecessary overlap and duplication and enhance coordination and cooperation among the multilateral environmental agreements, including the three Rio conventions, as well as with the United Nations system in the field”*
- UNEP Governing Council and UNEA Resolutions
- Several decisions of the seven governing bodies: CBD decisions IX/27, X/20, XI/6; CITES resolution 16.4, CMS resolution 11.10, Ramsar resolution XII/3, etc.
- CBD decision XII/6 establishing a party-led process to enhance synergies and improve efficiency

# Decision XII/6

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- COP 12 decides ..to establish a regionally balanced informal advisory group, composed of two members per region.. to prepare, in consultation with the Secretariat, prior to the first meeting of the Subsidiary Body on Implementation, a workshop with the task **to prepare options which could include elements for a possible road map, for Parties of the various biodiversity-related conventions to enhance synergies and improve efficiency among them**, without prejudice to the specific objectives and recognizing the respective mandates and subject to the availability of resources of these conventions, **with a view to enhancing their implementation at all levels..** (<https://www.cbd.int/doc/decisions/cop-12/cop-12-dec-06-en.pdf>)

# COP 13 Agenda item 13

- SBI Recommendation 1/8

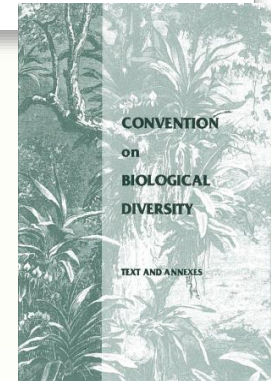
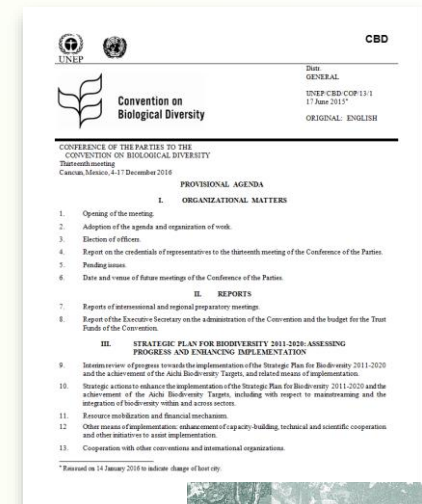
## Options to enhance synergies among the biodiversity-related conventions

- Relevant SBI documents

UNEP/CBD/SBI/1/9

UNEP/CBD/SBI/1/9/Add.1

UNEP/CBD/SBI/1/INF/21 (workshop report)



# Agenda item 13: Background

## Inter-sessional work on Synergies among the biodiversity-related conventions

- Early 2015: Planning and mobilizing resources / contributions from the Governments of Finland and Switzerland
- May 2015: Establishment of informal advisory group on the basis of nominations received (Asia/Pacific represented by India and Palau )
- June-December 2015: teleconferences, coordination among among the secretariats, bureaux, standing committees and other appropriate processes for the selection and nomination of party representatives from the seven conventions, face-to face preparatory meeting, webinars, capacity-building survey and study, sharing of background material
- 8-11 February 2016: Workshop on Synergies Among the Biodiversity-related Conventions





## Background (continued)

# Inputs to the workshop

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- Prior work/ studies;
- Experience under other conventions and clusters (e.g. chemicals and waste);
- UNEP project on “improving the effectiveness of and cooperation among biodiversity-related conventions and exploring opportunities for further synergies ”
  - **Sourcebook of opportunities for enhancing cooperation among the biodiversity-related conventions at national and regional levels (UNEP, 2015)**  
– **UNEP-WCMC**
  - **“Elaboration of options for enhancing synergies among biodiversity-related conventions” presented to UNEA 2**
- “Study on Key capacity-building and awareness raising needs regarding cooperation among MEAs at the national level” (CBD / UNEP-WCMC)

## Background (continued)

# Capacity-building study: key outcomes

- importance of raising awareness of the potential benefits of enhanced cooperation and collaboration
- need to increase the public's awareness of biodiversity conservation and the conventions
- Most identified capacity-building needs and awareness-raising needs
  - Communication and networking among NFPs
  - Cooperation of stakeholders in implementation
  - Knowledge and information sharing on biodiversity
  - Stakeholder engagement and partnership building
  - Biodiversity mainstreaming
- no critical need to come up with new tools or mechanisms, but the existing tools and mechanisms ought to be strengthened including for cooperation among NFPs;
- key challenge is identifying which steps should be taken to better address the identified capacity-building needs and awareness-raising needs at the different levels of governance

Key capacity-building and awareness-raising needs regarding cooperation among MEAs at the national level

Final report – February 2016



## Workshop participation

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**Balance of perspective among the conventions and among regions, taking into account funding and differences in the way the conventions organize themselves regionally:**

- 32 representatives of the Parties to the conventions from 28 countries – 5 representatives from each of the 7 conventions (members of the bureaux and standing committees and other officials), nominated by the bureaux and standing committees
- 8 IAG members (organizers, co-chairs, facilitators, moderators)
- Organizations:
  - Secretariats of the biodiversity-related conventions
  - UNEP, UNESCO, FAO and IUCN which provide secretariats to the conventions
  - Representatives of indigenous peoples and local communities, global NGOs and other international organizations.

## Background (continued)

# Workshop overview

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- Preparation: Information on existing work shared in advance (ex. webinars)
- Methodology: Participatory approach
- Framework: 8 thematic areas:
  - The Strategic Plan for Biodiversity 2011-2020, the Aichi Biodiversity Targets, and national biodiversity strategies and action plans
  - Institutional arrangements and coordination mechanisms
  - Information and knowledge management
  - National reporting, monitoring and indicators
  - Communication and awareness-raising
  - Science-policy interface
  - Capacity building
  - Resource mobilization and utilization

# Background (continued)

## Workshop outcomes

- Outputs of break out sessions (8 tables)
- Narrative form
- Options presented to SBI (UNEP/CBD/SBI/1/9.Add.1)

Workshop on synergies among the biodiversity-related conventions, 9-11 February 2016  
 Outcome of the discussions on:  
**1. The Strategic Plan for Biodiversity 2011-2020, the Aichi Biodiversity Targets and national biodiversity strategies and action plans**

Short-term options (0 – 2 years) What are the issues to focus on?	National options for action	Regional options for action	Global options for action	Party action required What concrete actions in a defined time space are required by the Party (or by Parties)?	What supportive actions do the Parties need and by whom?
<p><b>1. National biodiversity strategies and action plans as a possible entry point for synergies</b></p> <p>Reflect all the commitments of biodiversity-related conventions that are linked to the Aichi Biodiversity Targets and the Strategic Plan for Biodiversity 2011-2020 in national biodiversity strategies and action plans in line with the commitments agreed to under relevant conventions.</p> <p>Conduct mapping and gap analysis of relevant implementation actions, including those related to contributions under the 2030 Agenda for Sustainable Development that include the Sustainable Development Goals.</p> <p>Identify potential needs from the gap analysis.</p> <p>Assess whether the actions on</p>	<p>Share best practices and experience from the national biodiversity strategies and action plan process and from other relevant regional strategies with regard to ongoing and planned actions on synergies between the biodiversity-related conventions.</p>	<p>Seek guidance from international organizations/institutions to be used at national level by national focal points and practitioners, on synergies for implementing national biodiversity strategies and action plans for the CBD and similar strategies and action plans for other conventions and multilateral environmental agreements.</p>			

### I. THE STRATEGIC PLAN FOR BIODIVERSITY 2011-2020, THE AICHI BIODIVERSITY TARGETS AND NATIONAL BIODIVERSITY STRATEGIES AND ACTION PLANS

#### Issues/areas addressed, including challenges, barriers and/or opportunities identified

1. National biodiversity strategies and action plans as a possible entry point for synergies
2. Involvement of key stakeholders, and of indigenous peoples and local communities
3. National coordination for implementing biodiversity-related conventions
4. National focal points
5. Awareness of national focal points of other relevant biodiversity-related conventions
6. Biodiversity-related conventions
7. Tools for accessing funding

#### Short-term options (0 – 2 years)

##### 1. National biodiversity strategies and action plans as a possible entry point for synergies

###### National options for action

Reflect all the commitments of biodiversity-related conventions that are linked to the Aichi Biodiversity Targets and the Strategic Plan for Biodiversity 2011-2020 in national biodiversity strategies and action plans in line with the commitments agreed to under relevant conventions.

Conduct mapping and gap analysis of relevant implementation actions, including those related to contributions under the 2030 Agenda for Sustainable Development that include the Sustainable Development Goals.

Identify potential needs from the gap analysis.

Assess whether the actions on synergies in national implementation plans are in line with the priorities, commitments and opportunities.

According to national need, review existing action plans for implementation to include implementation of the biodiversity-related conventions.

###### Regional options for action

Share best practices and experience from the national biodiversity strategies and action plan process and from other relevant regional strategies with regard to ongoing and planned actions on synergies between the biodiversity-related conventions.

###### Global options for action

Seek guidance from relevant international organizations/institutions, to be used, as appropriate, at national level by national focal points and practitioners, on synergies for finalizing and implementing national biodiversity strategies and action plans for the CBD and similar strategies and action plans for other conventions.



CBD



Convention on  
Biological Diversity

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Fifth meeting  
Montreal, Canada, 2-6 May 2016

Item 11 of the provisional agenda<sup>1</sup>

OPTIONS TO ENHANCE SYNERGIES AMONG THE BIODIVERSITY-RELATED CONVENTIONS

Addendum

#### POSSIBLE RECOMMENDATIONS ARISING FROM THE OPTIONS FOR ACTION IDENTIFIED BY THE WORKSHOP ON SYNERGIES AMONG THE BIODIVERSITY-RELATED CONVENTIONS, FEBRUARY 2016

Note by the Executive Secretary

1. The present document builds on the information contained in the report of the workshop on synergies among the biodiversity-related conventions held in February 2016 (UNEP/CBD/SBI/INF21) in a manner intended to assist the Subsidiary Body on Implementation in advancing the work on the matter.

2. Firstly, the document provides a summary of how the discussions and outputs were organized under each thematic area addressed by the workshop. Secondly, where relevant, it reassigns some options for action from an area under which they were identified to the thematic area to which they most strongly relate. Thirdly, it associates each of the options for action with an appropriate actor or set of actors. In several cases, these had not been specified. Fourthly, it reorganizes the options for action according to actor. And, fifthly, it presents and contextualizes them in a manner that could be acted upon by the Conference of the Parties through elements of decisions aimed towards various actors. The use of footnotes serves to provide cross-reference to the source of the material contained in the report of the workshop. The text so denoted is faithful to that in the report as developed and agreed by the workshop participants.

3. The Subsidiary Body is invited to consider the present document in developing any recommendations to the Conference of the Parties and determining any instructions it may wish to provide to the Secretariat. In this regard, the Subsidiary Body could request the Secretariat to undertake further work, perhaps in consultation with the informal advisory group and the co-Chairs of the workshop, for example to elaborate and refine proposed actions, and further categorize them, including those for which there is relevant ongoing work or mandate and those for which there is none.

4. The Subsidiary Body could also consider incorporating some of the proposed actions into a draft decision that it could recommend to the Conference of the Parties. In this regard, based on options for action identified by the workshop, the Conference of the Parties could request, encourage or invite

<sup>1</sup>UNEP/CBD/SBI/1/Re.1.

## Background (continued)

# Workshop outcomes

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### Options for action: key characteristics

- Options were classified according to short, medium and long-term (but a roadmap was not defined per se)
- Options were classified according to national, regional and global levels but time did not allow to identify actors that would need to take the role in the action
- Each option is not applicable to all conventions or situations. The options are presented as a “menu of options” that could be taken up by countries as appropriate and according to national circumstance
- Some options are already underway
- The eight thematic areas are interlinked
- Participants also noted the value of the workshop across the seven biodiversity-related conventions and for continuing such a Party-driven dialogue

## Background (continued)

# Workshop outcomes

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Key conclusions:

Importance of:

- Unifying frameworks: Strategic Plan 2011-2020 (and post-2020), ABTs, NBSAP and 2030 Agenda for Sustainable Development and SDGs
- Enhanced coordination mechanisms at all levels
- Common information / knowledge platform

# SBI 1 Outcomes : recommendation 8

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## (intersessional) Request to the Executive Secretary:

5. Also requests the Executive Secretary to undertake further analysis of the outcomes of the workshop on synergies and actions as presented in the note by the Executive Secretary on possible recommendations arising from the options for action identified by the Workshop on Synergies among the Biodiversity related Conventions and, in consultation with the Informal Advisory Group established under decision XII/6 of the Conference of the Parties, in collaboration with the Liaison Group of Biodiversity-related Conventions and **in consultation with Parties** to the biodiversity-related conventions through appropriate channels, **to refine, consolidate and streamline the outcomes of the workshop**, including synergies that may be relevant between two or more of the biodiversity-related conventions as well as the Protocols to the Convention, **including:**

- (a) **Options for actions by Parties which may include voluntary guidelines for synergies at the national level;**
- (b) **Options for action at the international level that includes a road map for the period 2017 2020 that prioritizes and sequences actions and identifies actors and potential mechanisms involved;**

6. Further requests the Executive Secretary to present the result of the collaborative work outlined in paragraph 5 above, for the consideration of the Conference of the Parties at its thirteenth meeting;

# SBI 1 Outcomes : draft decision

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7. *Invites* the governing bodies of the biodiversity-related conventions to further strengthen cooperation at the global level within their respective mandates and enhance synergies among them, to encourage mutually supportive decisions, pursue their efforts to align their own strategies with the Strategic Plan for Biodiversity 2011-2020 and its Aichi Biodiversity Targets, where appropriate[, and to endorse the options for action by Parties and the road map referred to in paragraph 6 of the present decision];

8. [*Invites* Parties to implement options for action at the national level resulting from the work mentioned in paragraph 6 of the present decision and to establish or strengthen, at national level, mechanisms to enhance the effective coordination among national biodiversity authorities and focal points and support mainstreaming;]

9. [*Invites* the Secretariats of the biodiversity-related conventions, the governing bodies of the conventions, and the international organizations that provide the secretariats for these conventions, as well as representatives of indigenous peoples and local communities, global non-governmental organizations and other relevant international organizations, to implement, where appropriate, the road map at the international level resulting from the work mentioned in paragraph 6 of the present decision];

10. [*Requests* the Executive Secretary, subject to the availability of resources, to implement the relevant actions of the road map resulting from the consultative process referred to in paragraph 6 of the present decision];

[...]

<https://www.cbd.int/doc/recommendations/SBI-01/sbi-01-rec-08-en.pdf>

# Following on SBI 1

## Preparing a plan on synergies in preparation for COP 13

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### Actions / Timeline

- Review of UNEP/CBD/SBI/1/9/Add.1
- Review of CBD COP decisions
- Review of other convention decisions on cooperation/synergy
  - = **draft plan towards enhanced synergies that will include voluntary guidelines at the national level, and a road map at the international level**
- Plan reviewed by IAG and BLG
- Notification to Parties (all conventions): end of August
- Review by Parties: until end of September
- Secretariat prepares pre-session document for posting in October



# Following on SBI 1

## Preparing a plan on synergies in preparation for COP 13

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### **National level**

- Consolidating options for action by Parties to strengthen synergies among the conventions:
  - Potentially in the form of a voluntary guidelines
  - Across thematic areas, focusing around coordination mechanisms

### **International level**

- Plan and road map that prioritizes and sequences actions and identifies actors and potential mechanisms involved
- CBD COP guidance addressing:
  - Action under the Convention
  - Directives to CBD Secretariat
  - Guidance to the Biodiversity Liaison Group
  - Invitation to other international organizations
  - Invitation to the governing bodies of other biodiversity-related conventions

## Following on SBI 1

### Preparing a plan on synergies in preparation for COP 13

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In view of responding to notification and in view of completing the draft plan to be proposed by the Secretariat/BLG/IAG,

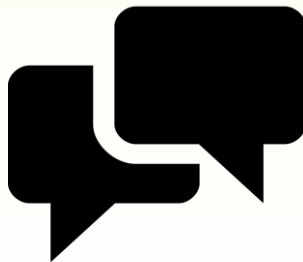
#### **Parties are invited to :**

- Review options for actions listed in: UNEP/CBD/SBI/1/9/Add.1
- Make priorities among options:
  - At the national level: which options should be part of a voluntary guidelines
  - At the international level: which options should be part of a roadmap
- Consider new/additional options (including by using existing guidance, tools studies, etc)

# Summary

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- COP 13 Agenda 13 builds on the outcome of a unique process involving Parties to 7 conventions
- The outcomes of the workshop involving 32 Parties from 7 conventions serve as a basis for the future plan to enhance synergies at national and international levels
- COP 13 will consider this plan, which will include a voluntary guidelines (national level) and a road map (international level)
- Next steps: Secretariat to prepare/share a plan, and Parties are to prepare inputs in response to notification
- Questions





Convention on  
Biological Diversity



COP13-COPMOP8-COPMOP2  
CANCUN, MEXICO 2016



MAINSTREAMING BIODIVERSITY FOR WELL-BEING  
CONVENTION ON BIOLOGICAL DIVERSITY

# Thank you



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