



Convention on
Biological Diversity

RESOURCE MOBILIZATION DECISIONS

Informal Dialogue on CBD Strategy for Resource Mobilization

17-18 September 2012
Geneva





Contents

- Background on resource mobilization decisions
- COP 10 decisions



Background

Resource Mobilization in CBD

- In 2008, COP-9 (Bonn) adopted a resource mobilization strategy to assist Parties in establishing national targets, goals, as well as actions, for enhancing international financial flows and domestic funding for biological diversity (Decision IX/**11**).

Background

Strategy for Resource Mobilization (Decision IX/11)

Mission/Target: to substantially enhance international financial flows and domestic funding for biodiversity

Goal **1**: improve **information** base on funding needs, gaps and priorities (also to assess costs of policy inaction and benefits of action)

Goal **2**: strengthen **national capacity** for resource use and mobilize domestic resources (develop national financial plans for NBSAPs)

Goal **3**: **Strengthen existing financial institutions** and promote scaling up (ODA; co-financing; public and private sector investments; funds ...)

Goal **4**: **Explore new and innovative financial mechanisms** (PES; offsets; fiscal reforms; green markets; climate finance ...)

Goal **5**: **Mainstream biodiversity into development cooperation**

Goal **6**: Build capacity and **promote South-South cooperation**

Goal **7**: **Enhance ABS** in support of resource mobilization

Goal **8**: Enhance global engagement (**public awareness**)

RESOURCE MOBILIZATION

RESOURCE MOBILIZATION

1.X-2: has Target 20

2.X 3: has the following:

- Methodological and implementation guidance on indicators
- Determination of baselines
- Views on targets
- Review of goals 1,3,4, 6 and 8

FINANCIAL MECHANISM

3. X-24: Four-year outcome-oriented framework of program priorities
4. X-25: Additional guidance to the financial mechanism
5. X-26: GEF-6 Needs Assessment
6. X-27: Fourth review of effectiveness of the financial mechanism



Decision X/3

- **National resource mobilization strategies**
- **Global monitoring strategy**
- **Resource mobilization targets**
 - Indicators
 - Reporting framework
 - Process of information collection
 - Establishment of baselines
- **Other decisions**



National Resource Mobilization

X/3 Para 1,2,3,4

- 1. Invites Parties to appoint RM focal points**
- 2. Reiterates design and dissemination of a country-specific RM strategy**
- 3. Requests the ES**
 - to organize workshops to assist with country specific RM strategy and monitoring
 - global support to development of national financial plans
 - training for RM focal points
- 4. Requests the GEF to provide funding for country specific RM strategy as part of NBSAPs**



Global Monitoring Reports

X/3 para 5, 6, 7

5. Decides that the global monitoring reports on the implementation of the strategy for RM prepared in time for COPs at its ordinary meetings.

- Include status and trends in BD financing**
- Help disseminate funding knowledge and know-how related to biodiversity**

6. Requests the ES

- Periodic global monitoring reports**
- Workshops to assess funding needs, gaps and priorities**



Indicators for resource mobilization strategy

X/3 Para 7

Combination of quantitative and process indicators:

Quantitative:

- Aggregated financial flows from various sources
- Amount of domestic financial support;
- Amount of funding provided through the GEF;
- Level of support to other financial institutions
- Amount of financial resources from all sources from developed countries to developing countries for CBD objectives;
- Resources mobilized from the removal, reform or phase-out of incentives...
- Number of initiatives, and respective amounts ... that engage Parties and relevant organizations in new and innovative financial mechanisms



Indicators for resource mobilization strategy

X/3 Para 7

- (1) Aggregated financial flows :
 - (a) Official Development Assistance (ODA);
 - (b) Domestic budgets at all levels;
 - (c) Private sector;
 - (d) Non-governmental organizations, foundations, and academia;
 - (e) International financial institutions;
 - (f) United Nations organizations, funds and programmes;
 - (g) Non-ODA public funding;
 - (h) South-South cooperation initiatives;
 - (i) Technical cooperation;



Indicators for resource mobilization strategy

Process Indicators:

Number of countries that have:

- Assessed values of biodiversity,
- Identified and reported funding needs
- Developed national financial plans for biodiversity
- Been provided with the necessary funding and capacity
- Integrated considerations on biological diversity in development plans;
- Number of South-South cooperation;
- Amount and number of South-South and North-South technical cooperation and capacity-building initiatives that support biodiversity
- Number of global initiatives that heighten awareness on the need for resource mobilization for biodiversity;
- Number of ABS initiatives and mechanisms, consistent with the Convention and, when in effect, with the Nagoya Protocol, including awareness-raising, that enhance resource mobilization



RM Targets (X/3 para 8)

8. Committed to substantially increasing resources (financial, human and technical) from all sources, including innovative financial mechanisms, balanced with the effective implementation of the CBD and its SP against an established baseline

(i) Decides to adopt targets at COP11 provided

- **Provided that robust baselines have been identified and endorsed**
- **An effective reporting framework has been adopted**

This will allow progress towards the targets set out in this decision and towards target 20 of the SP, including an effective reporting framework, to be used in assessing the information provided by Parties as outlined in this decision for the consideration of COP11



RM Targets (Para 9 and 10)

- 9. Considers the following for the development of targets**
 - (a) Increase annual international financial flows by 2020 to partner countries to contribute to achieving CBD's three objectives**
 - (b) All Parties provided with adequate financial resources will have, by 2015:**
 - (i) Reported funding needs, gaps and priorities**
 - (ii) Assessed and/or evaluated the values of BD**
 - (iii) Prepared national financial plans for BD**
 - (c) Increase number of initiatives on subsidies**
- 10. Invites Parties to submit information to WGRI regarding their views about the basis upon which targets are to be adopted at COP11**

Target 20

- **By 2020, at the latest, the mobilization of financial resources...from all sources, and in accordance with the SRM, should increase substantially from the current levels. This target will be subject to change contingent to resource needs assessments to be developed and reported by Parties.**



Process

- (a) Invites parties to implement the indicators following collection of information and advice of the ES to COP11**
- Invites Parties etc. to submit information on indicators by 30-6-11
 - Invites Parties etc. to submit information on innovative mech
 - Requests ES to give methodological guidance on indicators
 - Requests ES to provide guidelines for implementing methodology and establishment of baseline year
 - Invites Parties to apply methodology during 2011-2012 using established baseline year
 - Invites Parties to present relevant information to the Sectt. In a timely manner
 - Requests the ES to compile and consolidate information from all relevant sources, and on this, determine baselines to be presented to COP11

Other Decisions

- 11. Analyses of values of BD: to share experiences and funding of the exercise**
- 12. Invites OECD-DAC to revisit Rio Markers in support of indicator 1(a) on ODA**
- 14. Stress the importance of mobilizing the necessary resources for mainstreaming BD in SD and poverty eradication**
- 15. Invites donor Parties to provide timely and adequate financial support to SRM**



WGRI-4

- Requests the ES to elaborate further the preliminary reporting framework and invites Parties to use the current framework (EU + 5 OECD countries and 13 developing countries submitted)
- Highlighting the need for further consideration on the evaluation of the resources mobilized
- Country specific RM by June 2012 where possible
- ES to organize workshops on exploring relevant financing mechanisms, including guiding principles and safeguards
- Complete the review of SRM goals 2,5,6,7 and 8



WGRI-4 Recommendation for COP11

22 Exploration of new and innovative financial mechanisms supplementary to GEF

3. Encourages Parties to undertake institutional mapping/analysis
4. [Encourages Parties to consider the [initial findings][recommendations] of the high-level panel on]
5. [Takes note of][Welcomes][Adopts] the preliminary reporting framework and invites Parties to build on this flexible framework at a national level;
6. Invites Parties and other Governments to submit information on applying the preliminary reporting framework adopted by COP-11 [and using the average of annual biodiversity funding for the years 2006-2010 or a single year during this period as a tentative baseline,] prior to WGRI-5;
7. Requests WGRI-5 to further review the preliminary reporting framework;
8. Invites Parties, GEF to [continue to] allocate [additional] funds to allow for reporting process;
9. Invites Parties to submit information on X/3 9(c) to the ES
13. Urges Parties to consider all possible sources and means that can help to meet the level of resources needed, in accordance with Article 20 and consistent with decision X/3;