



Convention on
Biological Diversity

Assessing Progress Towards the 2020 Aichi Biodiversity Targets- Preparation of the Fifth National Report

An Introduction

CBD Secretariat
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COP 10 Guidance for the Fifth National Report



Life in harmony,
into the future

In Decision X/10, COP 10 decides that 5NR should:

- Focus on the implementation of the Strategic Plan for Biodiversity (2011-2020) and progress towards the Aichi Biodiversity Targets;
- Include as appropriate information concerning contributions of the implementation of the Strategic Plan towards the achievement of relevant targets of MDGs;
- Allow countries to provide updates on the revision, updating and implementation of NBSAPs and similar strategies, plans and programmes;
- Provide an update on the national status and trends of, and threats to, biodiversity;
- Provide an overall assessment of the national implementation of the Convention.



COP 10 Guidance for 5NR (cont'd)

COP 10 requests Parties to elaborate on:

- Outcomes and impacts of actions taken to implement the Convention at various levels;
- Successful experiences and lessons learned from implementation;
- Obstacles encountered in implementation.

COP 10 also requests Parties to provide:

- An updated account of information provided in the last national report, to reflect changes that have occurred since then;
- Quantitative analysis and synthesis on the status of implementation of the Convention, in particular the Strategic Plan for Biodiversity 2011-2020 and NBSAPs, supported by evidence and cases.

FURTHER GUIDANCE FROM COP 11



Decision XI/3 (Sections A & C Indicators and GBO 4)

- *Invites* Parties to prioritize the application at national level of those indicators that are ready for use at global level where feasible and appropriate, and *also invites* Parties to use the flexible framework and the indicative list of indicators, ... and in reporting, including in the fifth national reports, as far as possible, and in subsequent national reports;
- *Urges* Parties and *invites* other Governments and relevant organizations,..., to make available data, information and case-studies for possible inclusion in the fourth edition of the Global Biodiversity Outlook, including by using appropriate indicators,..., by providing such information in their fifth national reports or through earlier submissions,



COP 10 Guidance for 5NR (Process)

COP 10

•Decides that all Parties should submit their fifth national report by **31 March 2014**;

COP 11 (in decision XI/3) urges Parties to submit their fifth national report by the above deadline at the latest.

COP 10 also encouraged Parties

- To prioritize the preparation of their fifth national report to enable its submission by the deadline above;
- To involve all relevant stakeholders, including indigenous and local communities, in the process of national reporting, and to use the report as a planning and communication tool;
- To increase synergies to national reporting under biodiversity-related conventions through working with national counterparts to ensure that national reports comprehensively reflect the national situation and status of implementation, and to avoid unnecessary reporting burdens.



Proposed Structure for 5NR

5NR consists of **THREE** main parts:

- *Part I - An update on biodiversity status, trends, and threats and implications for human well-being.*
- *Part II - The national biodiversity strategy and action plan (NBSAP), its implementation, and the mainstreaming of biodiversity.*
- *Part III - Progress towards the 2015 and 2020 Aichi Biodiversity Targets and contributions to the relevant 2015 Targets of the Millennium Development Goals.*

Together with **THREE** appendices:

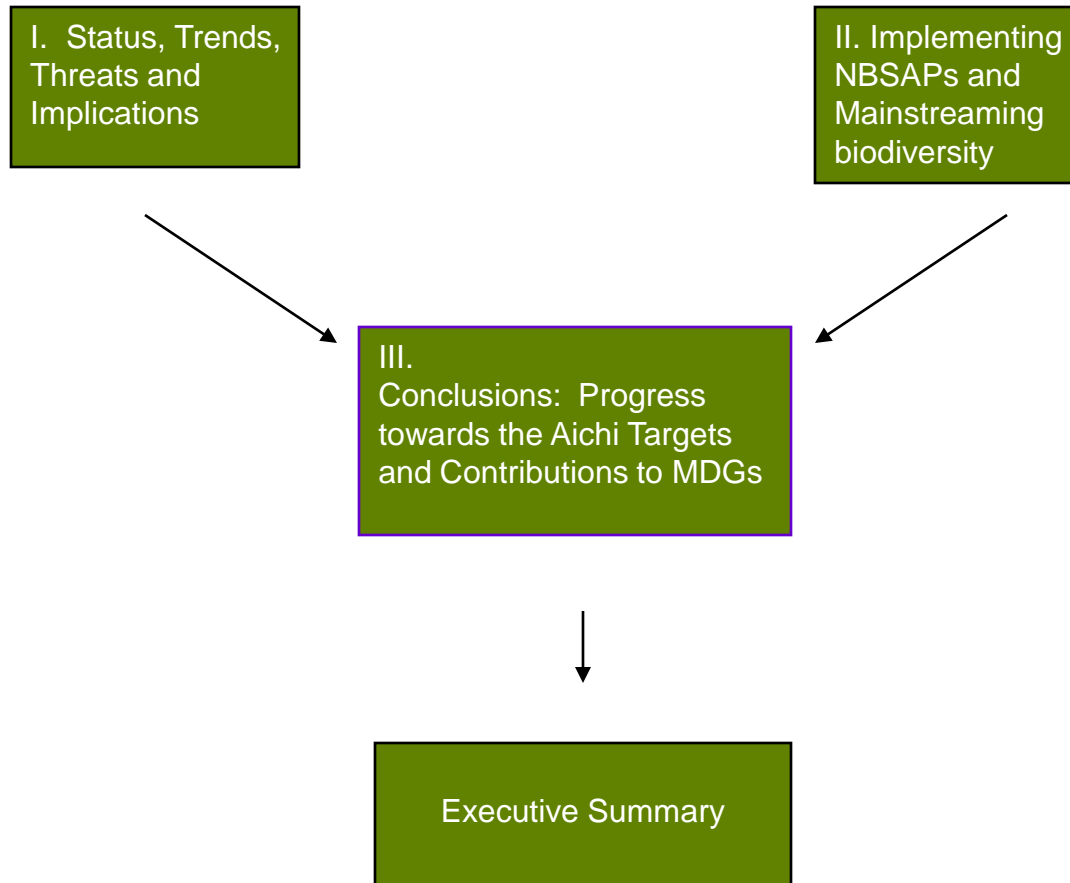
- *Appendix I - Information concerning the reporting Party and preparation of the fifth national report.*
- *Appendix II - Further sources of information.*
- *Appendix III - National implementation of the thematic programmes of work and plans under the Convention on Biological Diversity or decisions of the Conference of the Parties related to cross-cutting issues.*



5NR Format

- Use a narrative format where appropriate, structured as substantive and concise answers to a number of key questions provided in the 5NR guidelines.
- Complement narrative reporting with any tables, charts, graphics, figures and indicators for better communication.
- Adhere to the headings of the main parts of the report and to structure the sub-sections of each part according to the questions in the 5NR guidelines while the structure of each section being flexible.
- Keep length of the 5NR between 40 and 100 pages, and add appendices or annexes if this limit has to be exceeded.

Relationships Between Parts of 5NR





Part I. An update on biodiversity status, trends, and threats and implications for human well-being

4 Questions and 1 optional question:

Q 1> why is biodiversity important for your country? (elaborate economic and other values of biodiversity and ecosystem services to human well-being, socio-economic development)

Q 2> What major changes have taken place in the status and trends of biodiversity in your country? (focus on changes since 4NR, use indicators, link changes with actions taken)

Q 3> What are the main threats to biodiversity? (Cover both direct and indirect threats, with some analysis)

Q 4> What are the impacts of the changes in biodiversity for ecosystem services and the socio-economic and cultural implications of the impacts?

Optional Q> What are possible future changes for biodiversity and their impacts? (analyze scenarios of “business as usual and what if”)



Part II The national biodiversity strategy and action plan (NBSAP), its implementation, and the mainstreaming of biodiversity.

5 Questions

Q 5> What are the biodiversity targets by your country? (describe post-2010 targets in updated NBSAP and/or other similar plans or strategies)

Q 6> How has your NBSAP been updated to incorporate these targets and to serve as an effective instrument to mainstream biodiversity? (Describe briefly your NBSAP particularly how old and new NBSAPs are different and elaborate how the actions and targets therein could achieve the 2020 Aichi Biodiversity Targets)

Q 7> What actions has your country taken to implement the Convention since 4NR and what have been the outcomes of these actions? (Pool together all related actions including legislation and policy development, link them with changes in status and trends of biodiversity, provide cases, analyze obstacles)

Q 8> How effectively has biodiversity been mainstreamed into relevant sectoral and cross-sectoral strategies, plans and programmes? (elaborate mechanisms/tools/ actions taken for mainstreaming, coordinated implementation of relevant Conventions)

Q 9> How fully has your NBSAP been implemented? (provide an overall analysis of implementation of NBSAP)



Part III Progress towards the 2015 and 2020 Aichi Biodiversity Targets and contributions to the relevant 2015 Targets of the Millennium Development Goals.

3 Questions

Q10> what progress has been made by your country towards the implementation of the Strategic Plan for Biodiversity (2011-2020) and its Aichi Biodiversity Targets? (Focus on actions taken and outcomes achieved drawing upon info in Parts I and II, use indicators, provide cases, use illustrative tools for overall assessment of progress)

Q 11> What has been the contribution of actions to implement the Convention towards the achievement of the 2015 targets of the MDGs? (analyze the contributions of national implementation of CBD to achieving the relevant targets of MDGs particularly target 7)

Q 12> What lessons have been learned from the implementation of the Convention in your country? (highlight key achievements, lessons learned, key challenges, future priorities)



Appendices and Executive Summary

Appendix III

- Use a matrix or table to summarize how actions taken to implement NBSAP, mainstreaming and the Strategic Plan for Biodiversity 2011-2020 have contributed or are contributing to implementation of relevant thematic programmes of work and cross-cutting issues.
- Focus on areas and issues to be reviewed in-depth at COP 12 and beyond.
- Focus on those thematic areas and cross-cutting issues that are nationally important and relevant.

Executive Summary should:

- Contain the main messages, key findings and major conclusions from the report.
- Contain information from each section of the report.
- Be concise but have enough information to understand the issues.
- Give the same impression as the entire report.



Use of Information from 5NR

The information from the fifth national reports will be used for:

- A mid-term assessment of progress towards the 2020 Aichi Targets at COP 12 and subsequent meetings;
- Reviewing progress in achievement of the 2015 Targets of MDGs;
- Development of the fourth edition of the Global Biodiversity Outlook;
- Assessments of the status and trends of, and threats to biodiversity at various levels as well as implications for human well-being;
- Reviewing progress made in updating and implementing national biodiversity strategies and action plans and mainstreaming of biodiversity;
- Reviewing implementation of the thematic programmes of work and cross-cutting issues adopted under the Convention, and related provisions of the Convention.
- Reviews to be undertaken by other related Conventions.



Suggested Steps for 5NR Preparation Process

- Initiate and design process early
- Establish a coordinating body and thematic working groups (or use coordinating mechanisms for updating NBSAPs) and assign work to relevant groups
- Identify all possible sources of data/information for use
- Compile draft parts or report
- Establish stakeholder consultation mechanisms and send draft report for review and comments
- Organize national workshop(s) to review draft reports and stakeholder comments
- Finalize report and send it for approval and submission thereafter

Getting one consultant to work on the report and send it for review and approval should be avoided.



Who Should be Involved

- Government departments (sectoral ministries, planning, finance and local authorities)
- Focal points of other related Conventions (UNFCCC, UNCCD, Ramsar, CITIES, CMS, etc.)
- Relevant stakeholders (NGOs, industry, media, academics, local and indigenous communities)
- Regional and International organizations (ECOWAS, UNDP, UNEP, GEF, GBIF, UN Regional Commissions, etc.)



Financial Support for Preparing 5NR

5NR funding is part of package funding for updating NBSAPs and other biodiversity enabling activities

Two ways to get funds:

- Through two GEF implementing agencies (UNEP/UNDP)
- Through the GEF Secretariat directly.

Either through an umbrella project or stand-alone country project.

For Direct Access via the GEF Secretariat, please see:

[http://www.thegef.org/gef/BD direct access](http://www.thegef.org/gef/BD_direct_access).

For access through GEF Implementing Agency, please use the template

available at: <http://www.thegef.org/gef/node/3891>, or contact Ms. Esther

Mwangi of UNEP by email <esther.mwangi@unep.org> or Ms. Fabiana Issler of

UNDP by email <fabiana.issler@undp.org>.



Supporting Tools or Materials

- 5NR portal on the Convention website:
<http://www.cbd.int/nr5/>
- Resource manual for 5NR (first edition) in all UN languages:
<http://www.cbd.int/nr5/>.
- Training module on national reporting with focus on 5NR:
<http://www.cbd.int/nr5/> .
- NBSAP training modules: <http://www.cbd.int/nbsap/training/> .
- Quick Guides for the Strategic Plan:
<https://www.cbd.int/nbsap/training/quick-guides/>.
- TEEB Aichi Targets Implementation Guides:
<http://www.teebtest.org/teeb-implementation/cbd-aichi-biodiversity-targets/>
- MDG Monitor: <http://www.mdgmonitor.org/goal7.cfm>



Thank you for your attention!

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