





Convention on Biological Diversity

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SUBSIDIARY BODY ON IMPLEMENTATION First meeting Montreal, Canada, 2-6 May 2016 Item 2 of the provisional agenda*

ANNOTATIONS TO THE PROVISIONAL AGENDA

INTRODUCTION

- 1. The Conference of the Parties, at its twelfth meeting, established the Subsidiary Body on Implementation (SBI) to replace the Ad Hoc Open-ended Working Group on Review of Implementation of the Convention (decision XII/26, para. 1), and provided its terms of reference as contained in the annex to the decision.
- 2. In paragraph 2(b) of the same decision, the Conference of the Parties decided that the rules of procedure for meetings of the Conference of Parties would apply, mutatis mutandis, to the meetings of SBI, with the exception of rule 18 (credentials of representatives), which would not apply.
- 3. In its decision XII/26, the Conference of the Parties recognized the benefits of integrated approaches to the review and support of the implementation of the Convention and its Protocols. In paragraph 4 of the same decision, the Conference of the Parties requested SBI to "undertake any tasks that fall within the scope of its terms of reference as referred to it by the Conference of the Parties or the Conference of the Parties serving as the meetings of the Parties to the respective Protocols, and to report on its work to these bodies".
- 4. In decision XII/26, paragraph 5, the Conference of the Parties, recalling paragraph 14 of decision X/2, requested that, for the period up to 2020, SBI support the Conference of the Parties in reviewing progress in the implementation of the Strategic Plan for Biodiversity 2011-2020 and achievement of the Aichi Biodiversity Targets, taking into account also the multi-year programme of work of the Conference of the Parties to 2020.
- 5. Further to decision XII/26, paragraph 6(b), the Executive Secretary has made arrangements for this first meeting of SBI. The meeting will be held in Montreal, Canada, at the headquarters of the International Civil Aviation Organization (ICAO), from 2 to 6 May 2016. The Secretariat will issue an information note containing details of registration and other logistical arrangements for the meeting, including information on travel, visa requirements, accommodation and other matters.

^{*} UNEP/CBD/SBI/1/1.

I. ORGANIZATIONAL MATTERS

ITEM 1. OPENING OF THE MEETING

6. In line with decision XII/26, paragraph 2(a) the Bureau of the Conference of the Parties will serve as the Bureau of SBI. Accordingly, the President of the Conference of the Parties or his representative will open the meeting at 10 a.m. on Monday, 2 May 2016.

ITEM 2. ADOPTION OF THE AGENDA

- 7. The provisional agenda has been prepared by the Executive Secretary in consultation with the Bureau in accordance with paragraphs 8 and 9 of the rules of procedure for the meetings of the Conference of Parties, taking into account the terms of reference of SBI and the specific requests made to it by the Conference of the Parties at its twelfth meeting and the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety at its seventh meeting as well as the multi-year programme of work of the Conference of the Parties up to 2020 (decision XII/31). The agenda has been further streamlined in consultation with the Bureau and a revised provisional agenda has been issued (see UNEP/CBD/SBI/1/1/Rev.1).
- 8. The agenda is organized into six sections. Section I refers to organizational matters. Section II contains items related to the review of progress in the implementation of the Convention and its Protocols. Section III contains items related to strategic actions to enhance implementation. Section IV has items relating to the strengthening of support for implementation. Section V comprises items on the operations of the Convention and its Protocols, and section VI is on final matters.
- 9. SBI will be invited to consider the provisional agenda for adoption.

ITEM 3. ORGANIZATION OF WORK

- 10. SBI is expected to conduct the work of its first meeting in plenary but may establish contact groups as needed to advance its work. Interpretation will be provided in the six official languages of the United Nations. A suggested organization of work is shown in annex I below.
- 11. A list of documents for the first meeting of SBI is contained in annex II below.
- 12. SBI will be invited to agree on the proposed organization of work.

II. REVIEW OF PROGRESS IN IMPLEMENTATION

ITEM 4. REVIEW OF PROGRESS IN THE IMPLEMENTATION OF THE CONVENTION AND THE STRATEGIC PLAN FOR BIODIVERSITY 2011-2020

- 13. In decision X/2, paragraph 3, the Conference of the Parties urged Parties to review, and as appropriate, update and revise, their national biodiversity strategies and action plans, in line with the Strategic Plan for Biodiversity 2011-2020 and the guidance adopted in decision IX/9, integrating their national targets developed in the framework of the Strategic Plan and its Aichi Biodiversity Targets. In decision XII/2 A, paragraph 4, the Conference of the Parties urged those Parties that had not yet undertaken these actions to do so as soon as possible and no later than October 2015, and to submit their fifth national reports.
- 14. In line with decision X/2, paragraph 17(b), SBI will, under this item, review national, regional and other actions, including targets established in the framework of the Strategic Plan for Biodiversity 2011-2020, and assess the contribution of such national and regional targets towards the global targets. In

so doing, SBI will support the interim review of progress in the implementation of the Strategic Plan for Biodiversity 2011-2020 and the achievement of the Aichi Biodiversity Targets by the Conference of the Parties at its thirteenth meeting, pursuant to its multi-year programme of work (decision XII/31, annex).

- 15. Under this agenda item, SBI will have before it a note by the Executive Secretary on these matters (UNEP/CBD/SBI/1/2), accompanied by addenda describing the status and providing an analysis of the revised national biodiversity strategies and action plans (UNEP/CBD/SBI/1/2/Add.1) and an analysis of the contribution of national targets established by Parties and progress towards the achievement of the Aichi Biodiversity Targets (UNEP/CBD/SBI/1/2/Add.2). These reports will include relevant information on the integration of gender considerations. The note on resource mobilization (UNEP/CBD/SBI/1/7), which includes an analysis of the information provided by Parties through the financial reporting framework, also provides information relevant to this agenda item.
- 16. SBI will also have before it a note on the review of implementation of the programme of work on Article 8(j) and related provisions, including the plan of action on customary sustainable use of biodiversity (UNEP/CBD/SBI/1/2/Add.3), prepared pursuant to decision XII/12 (section A, para. 4, and section B, para. 2). These matters were referred to SBI by the Ad Hoc Open-ended Inter-sessional Working Group on Article 8(j) and Related Provisions at its ninth meeting. A compilation of submissions received by Parties on these matters will be provided in an information document.
- 17. A plenary consultation to share experience in national implementation will be held, during which progress by Parties towards their national targets and their contribution to the Aichi Biodiversity Targets will be presented and discussed.
- 18. SBI will be invited to consider the status of implementation and the draft recommendations. This information will also form the basis for the deliberations of SBI under items 7 (strategic actions to enhance implementation), 8 (capacity-building and technical and scientific cooperation), 9 (resource mobilization) and 10 (financial mechanism). The outcome of the review will also inform consideration of agenda item 12 (*modus operandi* of the SBI and mechanisms to support review of implementation) and item 13 (national reporting).

ITEM 5. REVIEW OF PROGRESS TOWARDS AICHI BIODIVERSITY TARGET 16 ON THE NAGOYA PROTOCOL

- 19. Under this agenda item, SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/3) on progress made in the achievement of Aichi Biodiversity Target 16, as well as progress on intersessional activities carried out in accordance with decisions adopted by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization at its first meeting.
- 20. SBI will be invited to take note of the report.

ITEM 6. ASSESSMENT AND REVIEW OF THE EFFECTIVENESS OF THE CARTAGENA PROTOCOL ON BIOSAFETY AND MID-TERM EVALUATION OF THE STRATEGIC PLAN FOR THE PROTOCOL

21. The Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, in its decision BS-VII/3, paragraph 5, requested SBI to: (a) review the information gathered and analysed by the Executive Secretary with a view to contributing to the third assessment and review of the Protocol

¹ See UNEP/CBD/COP/13/3, para. 34.

and the mid-term evaluation of the Strategic Plan for the Cartagena Protocol on Biosafety for the period 2011-2020; (b) undertake the third assessment and review of the effectiveness of the Protocol using a core set of identified information needs in the annex to decision BS-VII/3 as may be adjusted by the Liaison Group on Capacity-building; and (c) take into account the views of representatives of indigenous and local communities by ensuring their participation in the review process. SBI was asked, in undertaking these tasks, to include contributions from the Liaison Group on Capacity-Building and to submit its findings and recommendations to the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety for its consideration at its eighth meeting.

22. SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/4) containing: (a) contributions from the Liaison Group on Capacity-Building; (b) information gathered and analysed by the Executive Secretary; and (c) inputs from the Compliance Committee under the Cartagena Protocol. The note will also include draft recommendations.

III. STRATEGIC ACTIONS TO ENHANCE IMPLEMENTATION

ITEM 7. STRATEGIC ACTIONS TO ENHANCE IMPLEMENTATION, INCLUDING THE MAINSTREAMING OF BIODIVERSITY WITHIN AND ACROSS SECTORS

- 23. The Conference of the Parties decided, in its multi-year programme of work up to 2020 (decision XII/31, annex), to address, at its thirteenth meeting, among other things: (a) strategic actions to enhance national implementation, in particular through mainstreaming, and the integration of biodiversity across relevant sectors, including agriculture, forests and fisheries; and (b) the implications of the post-2015 United Nations development agenda² and other relevant international processes for the future work of the Convention.
- 24. The Conference of the Parties at its twelfth meeting also made requests on the following matters:
- (a) The integration of biodiversity, into the 2030 Agenda for Sustainable Development, including role of biodiversity in poverty eradication (decisions XII/4, para. 3 and XII/5, para. 17);
- (b) The contribution of subnational and local governments to the implementation of the Strategic Plan for Biodiversity 2011-2020, (decision XII/9, para. 6(b));
- (c) The engagement of business, including through the Global Partnership for Business and Biodiversity and its associated national and regional initiatives (decision XII/10);
- (d) A global communication strategy and messaging approaches (decision XII/2/C, paras. 2(a) and 2(c)).
- Advice (SBSTTA) further considered the implications of the findings of the fourth edition of the *Global Biodiversity Outlook* and related reports, including with respect to mainstreaming of biodiversity across sectors, and adopted recommendation XIX/1. In that recommendation, SBSTTA welcomed the initiative by Mexico, as host county for the thirteenth meeting of the Conference of the Parties, to organize, in cooperation with the Executive Secretary and with the support of Switzerland, an international expert workshop on biodiversity mainstreaming, which was held in November 2015, and requested the Executive Secretary to make use of the outcomes of that workshop as well as the information on this topic prepared for the nineteenth meeting of SBSTTA in preparing for SBI and the Conference of the Parties. It also requested the Executive Secretary to prepare guidelines and compile best practices for how stakeholders can be more engaged to promote the integration of biodiversity across sectors, for

² Now the 2030 Agenda for Sustainable Development. See General Assembly resolution 70/1, annex.

consideration by SBI. Further, the Conference of the Parties requested that the Executive Secretary provide SBI at its first meeting with an update on mainstreaming gender into work under the Convention (decision XII/7, para. 6).

26. SBI will have before it a note by the Executive Secretary on these matters (UNEP/CBD/SBI/1/5), including draft conclusions and recommendations for its consideration, supplemented by addenda and information documents, including the report of the above-mentioned meeting hosted by Mexico.

IV. STRENGTHENING SUPPORT FOR IMPLEMENTATION

ITEM 8. CAPACITY-BUILDING, TECHNICAL AND SCIENTIFIC COOPERATION AND TECHNOLOGY TRANSFER

- 27. In decision XII/2 B, paragraph 8, the Conference of the Parties requested the Executive Secretary to undertake: (a) an evaluation of the effectiveness of capacity-building activities that the Secretariat has supported and facilitated, including recommendations on how to further integrate the needs expressed by Parties using participatory approaches; (b) a review of related partnership arrangements and opportunities for delivery; and (c) an analysis of the gaps in capacity-building activities supporting the implementation of the Strategic Plan for Biodiversity 2011-2020, and, building on these elements, develop a short-term action plan to enhance and support capacity-building, especially for developing countries, in particular the least developed countries and small island developing States, and countries with economies in transition, and to convene an expert group to examine the proposed short-term action plan.
- 28. In decision XII/2, paragraph 9, the Conference of the Parties requested the Executive Secretary to enhance technical and scientific cooperation and technology transfer under the Convention by undertaking a number of activities specified in the decision and report on progress to SBI at its first meeting, with a view to assisting the evaluation of progress in technical and scientific cooperation, taking into account also the transfer of technology and, information in national reports.
- 29. In decision XII/2 B, the Conference of the Parties requested the Executive Secretary (a) to propose a process to grant an award to the Parties that have made the most significant progress in developing their national clearing-house mechanisms (para. 15), (b) to continue developing the information services of the central clearing-house mechanism (para. 18), and (c) to develop a web strategy to ensure that all information common or relevant to the clearing-house mechanism, the Access and Benefit-sharing Clearing-House and the Biosafety Clearing-House, as well as other platforms developed under the Convention, can be accessed centrally to avoid duplication of efforts (para. 19).
- 30. As stipulated in its multi-year programme of work up to 2020 (decision XII/31), the Conference of the Parties will address at its thirteenth meeting ways and means to enhance the implementation of Article 12 of the Convention, in particular training and capacity-building for developing countries to support implementation of the Strategic Plan for Biodiversity 2011-2020.
- 31. Under this sub-item, SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/6), including a progress report on the above-mentioned items. SBI will also have before it a draft short-term action plan to enhance capacity-building support for the implementation of the Strategic Plan for Biodiversity 2011-2020 (UNEP/CBD/SBI/1/6/Add.1) and the web strategy (UNEP/CBD/SBI/1/6/Add.2).
- 32. SBI will be invited to consider the draft recommendations contained in the note by the Executive Secretary (UNEP/CBD/SBI/1/6).

ITEM 9. RESOURCE MOBILIZATION

- 33. In line with decision XII/3, SBI will consider under this item: (a) options for strengthening biodiversity-related financial information systems; (b) information on existing legislation and policies governing biodiversity financing mechanisms; and (c) views and experience on collective action by indigenous and local communities for possible updating and provision of relevant guidelines.
- 34. SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/7) on these matters, including draft recommendations, complemented by a number of addenda as described below. This document will include information on progress by international organizations and initiatives on resource mobilization, as well as on relevant activities undertaken by the Executive Secretary.

Options for strengthening financial information systems

- 35. In decision XII/3, paragraph 1, the Conference of the Parties adopted targets for resource mobilization and, in paragraph 24, adopted a financial reporting framework, intended for use by Parties for providing baseline information and reporting on their contribution to reach these targets. As per paragraph 25 of the same decision, Parties and other Governments were invited to report thereon, using online reporting systems, where feasible, by 31 December 2015.
- 36. In paragraph 3 of the same decision, the Conference of the Parties decided to review, at its thirteenth meeting, progress towards the above targets, and their adequacy, and to consider the need for appropriate action, based on information provided by Parties through the Financial Reporting Framework, including their respective identified resource needs, and taking into account their absorption capacities.
- 37. In paragraphs 32 (b) and (c) of the decision, the Conference of the Parties requested the Executive Secretary to initiate technical work, in close cooperation with Parties as well as relevant international organizations, by organizing a technical expert workshop on identifying, accessing, compiling and aggregating domestic and international biodiversity-related investments and impacts, and to make the report of the workshop available as an element of voluntary guidance for Parties, with a view to facilitating financial reporting on domestic expenditures and the development of national finance plans. An international technical expert workshop on identifying, accessing, compiling and aggregating domestic and international biodiversity-related investments and impacts was held in Mexico City from 5 to 7 May 2015, with financial support provided by the European Union.
- 38. In paragraph 27 of the decision, the Conference of the Parties requested the Executive Secretary to develop, for consideration by SBI at its first meeting, options for strengthening systems of information on biodiversity-related international financial resource flows to developing countries, as well as on domestic resource flows, making use of the Financial Reporting Framework, with a view to further increasing transparency and accessibility of information to support the implementation of Article 20 of the Convention.
- 39. Addendum 1 to the note by the Executive Secretary (UNEP/CBD/SBI/1/7/Add.1) will include an analysis of the information provided by Parties through the Financial Reporting Framework by the time of the finalization of the documentation for SBI. This document will also include options for strengthening biodiversity-related financial information systems.
- 40. The report of the technical expert workshop on identifying, accessing, compiling and aggregating domestic and international biodiversity-related investments and impacts will be provided in an information document.

Review of information on existing policies and legislation governing biodiversity financing mechanisms

- 41. The Conference of the Parties, at its twelfth meeting, adopted voluntary guidelines on safeguards in biodiversity financing mechanisms and urged Parties, other Governments, business organizations and other stakeholders to take the voluntary guidelines on safeguards in biodiversity financing mechanisms into account when selecting, designing and implementing biodiversity financing mechanisms (decision XII/3, paras. 15 and 16 and annex II).
- 42. The Conference of the Parties also urged Parties to consider undertaking, as appropriate, a review and assessment of existing legislation and policies governing biodiversity financing mechanisms with a view to identifying opportunities for mainstreaming biodiversity and strengthening current policies and their complementary safeguards, and to make information on this work available to the Executive Secretary, including practical experiences and lessons learned. The Conference of the Parties requested the Executive Secretary to compile a synthesis of the information provided by Parties, for consideration by SBI at its first meeting (decision XII/3, paras. 17 and 18).
- 43. Addendum 2 to the note by the Executive Secretary (UNEP/CBD/SBI/1/7/Add.2) will provide a synthesis of information submitted by Parties on existing policies and legislation governing biodiversity financing mechanisms. Suggested recommendations will be included in document UNEP/CBD/SBI/1/7, mentioned above. A compilation of the full submissions received from Parties will be provided as an information document.

Experiences on collective action of indigenous peoples and local communities for updating and providing relevant guidelines

- 44. In decision XII/3, paragraph 30, the Conference of the Parties took note of the report "Conceptual and Methodological Framework for Evaluating the Contribution of Collective Action to Biodiversity Conservation" and its summary, and invited Parties, other Governments, and relevant stakeholder organizations to consider a number of steps for its further development, pertaining inter alia to providing, through the Financial Reporting Framework and other means, information on the contribution of collective action to biodiversity conservation, including on experiences and lessons learned in applying pertinent methodologies. The Conference of the Parties requested the Executive Secretary to facilitate the exchange of pertinent views and experiences, and to make this information available to SBI at its first meeting for its consideration for update and provision of relevant guidelines (decision XII/3, para. 31). Pursuant to this request, an international dialogue workshop was organized with financial support provided by the Government of Sweden, the Japan Biodiversity Fund and the European Union (Guatemala City, 11-13 June 2015).
- 45. Addendum 2 to the note by the Executive Secretary (UNEP/CBD/SBI/1/7/Add.2) will also contain a synthesis of the exchange of views and experiences that took place at the workshop. Suggested recommendations, including on the update and provision of relevant guidelines will be included in UNEP/CBD/SBI/1/7. The full workshop report will be provided as an information document.

ITEM 10. FINANCIAL MECHANISM

46. The Conference of the Parties invited the Global Environment Facility to make a preliminary draft of its report to the Conference of the Parties available to SBI, with a view to promoting effective and timely consideration of the information provided in the report. This preliminary report is to provide particular focus on the response of the Global Environment Facility to previous guidance from the Conference of the Parties (decision XII/30, para. 8 (e)).

- 47. The Conference of the Parties requested the Executive Secretary to explore and report on ways in which the Conference of the Parties can best utilize the Strategic Plan for Biodiversity 2011-2020 and the Convention's Protocols to set priorities for the financial mechanism within the context of the four-year framework of programming priorities for GEF-7 and to submit the report to SBI for its consideration at its first meeting (decision XII/30, para. 10).
- 48. In decision XII/30, paragraph 11, the Conference of the Parties decided, in anticipation of the seventh replenishment of the Global Environment Facility Trust Fund, to undertake, at its thirteenth meeting, the second determination of funding requirements for the implementation of the Convention and its Protocols, in line with the terms of reference contained in the annex to the decision. The terms of reference call for a full assessment of the amount of funds needed for the implementation of the Convention and its Protocols for the seventh replenishment period of the trust fund of the Global Environment Facility and set out the procedures for implementation.
- 49. The Conference of the Parties, in decision XII/30, paragraph 1, invited Parties to enhance coordination among their respective biodiversity-related convention national focal points, in order to identify national priorities in support of the implementation of the various biodiversity-related conventions that are aligned with the Strategic Plan for Biodiversity 2011-2020 and with the implementation of the Aichi Biodiversity Targets, and incorporate them into their national biodiversity strategies and action plans. In paragraph 4 of the same decision, the Conference of the Parties requested the Executive Secretary to further liaise with the various biodiversity-related conventions and the Global Environment Facility in order to find ways to facilitate the efforts of Parties.
- 50. SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/8) providing a progress report on activities undertaken by the Executive Secretary in response to the requests contained in decision XII/30, as well as draft recommendations for its consideration, providing options on how to best utilize the Strategic Plan for Biodiversity 2011-2020 and the Convention's Protocols to set priorities for the financial mechanism within the context of the four-year framework of programming priorities for GEF-7. UNEP/CBD/SBI/1/8/Add.1 will contain the preliminary draft report of the Council of the Global Environment Facility, while UNEP/CBD/SBI/1/8/Add.2 will provide a summary of the full assessment of the funds needed for the implementation of the Convention and its Protocols for the seventh replenishment of the Global Environment Facility. The full assessment will be made available in an information document.

ITEM 11. COOPERATION WITH OTHER CONVENTIONS, INTERNATIONAL ORGANIZATIONS AND INITIATIVES: ENHANCING SYNERGIES AMONG BIODIVERSITY-RELATED CONVENTIONS

- 51. In decision XII/6, paragraph 6, the Conference of the Parties decided to establish a regionally balanced informal advisory group to prepare, in consultation with the Secretariat, a workshop with the task to prepare options which could include elements for a possible road map, for Parties of the various biodiversity-related conventions to enhance synergies and improve efficiency among them, and with a view to enhancing their implementation at all levels. The workshop will be held from 8 to 11 February 2016 in Geneva, Switzerland.
- 52. SBI will have before it a note prepared by the Executive Secretary on options for Parties to the various biodiversity-related conventions to enhance synergies and improve efficiency among them (UNEP/CBD/SBI/1/9) along with draft recommendations for consideration at its first meeting. The full report of the workshop will be issued as an information document.

V. OPERATIONS OF THE CONVENTION AND ITS PROTOCOLS: IMPROVING THE EFFICIENCY OF STRUCTURES AND PROCESSES

ITEM 12. MODUS OPERANDI OF THE SUBSIDIARY BODY ON IMPLEMENTATION AND MECHANISMS TO SUPPORT REVIEW OF IMPLEMENTATION

- 53. In decision XII/26, paragraph 6, the Conference of the Parties requested the Executive Secretary to prepare a draft modus operandi on the organization and operation of SBI for consideration by SBI at its first meeting, in line with the terms of reference of the Subsidiary Body, and taking into account the consolidated modus operandi of SBSTTA, and any views expressed, in this regard, as reflected in the reports of the twelfth meeting of the Conference of the Parties, the seventh meeting of the Parties to the Cartagena Protocol and the first meeting of the Parties to the Nagoya Protocol. In line with decision XII/26, paragraph 5, and the terms of reference of SBI, SBI may also consider elements to strengthen mechanisms for review of implementation under the Convention.
- 54. The Conference of the Parties, in decision XII/29, paragraph 3, also requested the Executive Secretary to develop a methodology for a voluntary peer-review process and to report to SBI at its first meeting.
- 55. In decision XII/28 paragraph 3 (b), the Conference of the Parties requested the Executive Secretary to implement the online decision-tracking tool on a pilot basis and to use it to review the decisions emanating from the eighth and ninth meetings of the Conference of the Parties, assemble information on their status and any other related information as outlined in the annex to the decision and make the information on the outcome of this exercise available to SBI.
- 56. SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/10), addressing the aforementioned issues and containing the draft modus operandi of SBI. The note will be complemented by addenda with further information on the voluntary peer-review mechanism (UNEP/CBD/SBI/1/10/Add.1), the decision-tracking tool (UNEP/CBD/SBI/1/10/Add.2), and further options for mechanisms to support review of implementation (UNEP/CBD/SBI/1/10/Add.3).
- 57. Under this item, SBI will be invited to consider the draft modus operandi of SBI as well as recommendations on other mechanisms to support the review of implementation contained in UNEP/CBD/SBI/1/10.

ITEM 13. NATIONAL REPORTING

- 58. In decision XII/1, paragraph 9(a), the Conference of the Parties requested the Executive Secretary to develop a proposal on guidelines for the sixth national report, taking into account the types of information from the fifth national reports used in the preparation of the fourth edition of the *Global Biodiversity Outlook* and gaps that have been identified, for consideration by SBSTTA and SBI at meetings held prior to the thirteenth meeting of the Conference of the Parties. In decision XII/3, paragraph 26, the Executive Secretary was requested to integrate the financial reporting framework in the guidelines. SBSTTA, in its recommendations XIX/3 and XIX/5, also provided additional guidance for the development of the draft.
- 59. In decision XII/29, paragraph 5, the Conference of Parties requested the Executive Secretary to prepare, on the basis of the experiences and lessons learned from the preparation of the fifth national report and the use of the voluntary online reporting tool, proposals for the sixth national report to facilitate streamlined reporting on issues covered by the Convention and its Protocols, as well as for any further improvement to the online reporting tool that may be needed, for consideration by SBI.

- 60. In paragraph 6 of the same decision, the Conference of Parties requested the Executive Secretary to explore the potential for a more coherent reporting framework with other biodiversity-related conventions to improve access to relevant data for the implementation of the Convention and to reduce the reporting burden on Parties, and make use of the experiences from this work when preparing proposals for the sixth national report.
- 61. In the light of the adoption of the 2030 Agenda for Sustainable Development, links to reporting on progress towards relevant Sustainable Development Goals may also be explored.
- 62. SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/11) on national reporting, including a progress report on the use of online reporting system and draft recommendations for its consideration, with the draft guidelines for the sixth national reports as an addendum (UNEP/CBD/SBI/1/11/Add.1).

ITEM 14. OPTIONS FOR ENHANCING INTEGRATION AMONG THE CONVENTION AND ITS PROTOCOLS AND ORGANIZATION OF MEETINGS

- 63. In decision XII/27, paragraph 2, the Conference of the Parties decided to include an item on the agenda of its future meetings on integrated approaches to the Convention and its Protocols. Further, in decision XII/13, paragraph 4, the Executive Secretary was requested to prepare a note on possible ways and means to promote integrated approaches to issues at the interface between the access and benefit-sharing-related provisions of the Convention and the provisions of the Nagoya Protocol, for consideration by the Conference of the Parties at its fourteenth meeting as well as the third meeting of the Conference of Parties serving as the meeting of the Parties to the Nagoya Protocol. The terms of reference of SBI (decision XII/26, annex) mandate it to review the impacts and effectiveness of existing processes under the Convention and identify ways and means that increase efficiencies, including an integrated approach to the implementation of the Convention and its Protocols, including in such areas as resource mobilization, guidance to the financial mechanism, capacity-building, national reporting, technical and scientific cooperation and the clearing-house mechanism, and communication, education and public awareness.
- 64. Accordingly, under this item, SBI will be invited to address three dimensions of integration among the Convention and its Protocols: (a) substantive issues related to both the Convention and its Protocols (access and benefit-sharing and biosafety); (b) integrated approaches to cross-cutting issues including support for implementation such as resource mobilization, guidance to the financial mechanism, capacity-building, national reporting, technical and scientific cooperation and the clearing-house mechanism, and communication, education and public awareness; and (c) procedural issues, including the organization of meetings of the Conference of the Parties and those serving as the meeting of the Parties to the Cartagena and Nagoya Protocols. In this context, SBI will be invited to consider the refined plan for holding concurrently the thirteenth meeting of the Conference of the Parties, the eighth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, and the second meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, as well as a preliminary draft of the organization of work for these meetings, pursuant to decision XII/27, paragraph 4.
- 65. Furthermore, in decision XII/35, paragraph 1, the Conference of the Parties invited interested Parties to notify the Executive Secretary of their offers to host the fourteenth meeting of the Conference of the Parties, as well as the ninth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the third meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol as soon as possible, and before the end of 2015, and, in paragraph 2 of the same decision, also invited interested Parties to notify the Executive Secretary of their offers to host the fifteenth meeting of the Conference of the Parties, as well as the tenth meeting of the

Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, preferably at least two months before the first meeting of SBI.

- 66. In paragraph 3 of the same decision, the Conference of the Parties requested the Executive Secretary to prepare, in consultation with the Bureau, a proposal on how the hosting of meetings of the Conference of the Parties following its thirteenth meeting may be determined, and to submit the proposal to SBI, for consideration at its first meeting.
- 67. In decision XII/29, paragraph 2, the Conference of the Parties requested the Executive Secretary to explore ways to increase the efficiency of meetings through a number of means, and in paragraph 7 of the same decision, requested the Executive Secretary to explore options, including costs involved and potential of partnerships and synergies with other organizations, for holding regional preparatory meetings prior to the concurrent meetings of the Conference of the Parties and the meetings of the Parties to the Protocols.
- 68. SBI will have before it a note by the Executive Secretary (UNEP/CBD/SBI/1/12) on options for enhancing integration among the Convention and its Protocols, and, as an addendum, a plan for holding concurrently the thirteenth meeting of the Conference of the Parties and respective meetings of the Parties to the Cartagena and Nagoya Protocols (UNEP/CBD/SBI/1/12/Add.1). Information on offers by Parties to host the fourteenth and fifteenth meetings of the Conference of the Parties, a proposal on how the hosting of meetings of the Conference of the Parties following its thirteenth meeting may be determined, and on options to hold regional preparatory meetings will be provided in UNEP/CBD/SBI/1/12/Add.2.

ITEM 15. ADMINISTRATION OF THE CONVENTION, INCLUDING THE FUNCTIONAL REVIEW OF THE SECRETARIAT

- 69. In decision XII/32, the Conference of the Parties requested the Executive Secretary to submit the final report of the in-depth functional review of the Secretariat, including individual post analysis, in time for discussion at the first meeting of SBI, with a view to preparing a decision for the thirteenth meeting of the Conference of the Parties.
- 70. SBI will have before it a report by the Executive Secretary (UNEP/CBD/SBI/1/13) on relevant matters related to the administration of the Convention, with the report on the functional review of the Secretariat available as UNEP/CBD/SBI/1/13/Add.1. The documentation will include draft recommendations.

VI. FINAL MATTERS

ITEM 16. OTHER MATTERS

71. Under this item, SBI may address other matters related to the subject matter of the meeting.

ITEM 17. ADOPTION OF THE REPORT

72. SBI will be invited to consider and adopt the report on the work of its first meeting on the basis of the draft report prepared by the Rapporteur.

ITEM 18. CLOSURE OF THE MEETING

73. The meeting is expected to close at 6 p.m. on Friday, 6 May 2016.

Annex I

PROPOSED ORGANIZATION OF WORK

Day and time	Items
Monday, 2 May	
10 a.m. – 1 p.m.	 Opening of the meeting. Adoption of the agenda. Organization of work. Review of progress in the implementation of the Convention and the
	Strategic Plan for Biodiversity 2011-2020.
1 – 3 p.m.	Lunch break
3 – 5 p.m.	Plenary consultation on review of implementation
5 – 6 p.m.	5. Review of progress towards Aichi Biodiversity Target 16 on the Nagoya Protocol.
	6. Assessment and review of the effectiveness of the Cartagena Protocol on Biosafety and mid-term evaluation of the Strategic Plan for the Protocol
Tuesday, 3 May	
10 a.m. – 1 p.m.	7. Strategic actions to enhance implementation, including the mainstreaming of biodiversity within and across sectors.
1 - 3 p.m.	Lunch break
3 – 6 p.m.	 8. Capacity-building, technical and scientific cooperation and technology transfer. 9. Resource mobilization. 10. Financial mechanism.
Wednesday, 4 May	10. Phiancial mechanism.
10 a.m. – 1p.m.	 11. Cooperation with other conventions, international organizations and initiatives: enhancing synergies among biodiversity-related conventions. 12. Modus operandi of the Subsidiary Body on Implementation and mechanisms to support review of implementation.
1 - 3 p.m.	Lunch break
3 – 6 p.m.	 13. National reporting. 14. Options for enhancing integration among the Convention and its Protocols and the organization of meetings. 15. Administration of the Convention, including the functional review of the Secretariat.
Thursday, 5 May	Secretarian
10 a.m. – 1 p.m.	Pending issues
1 – 3 p.m.	Lunch break
3 – 6 p.m.	Pending issues
Friday, 6 May	
10 a.m. – 1p.m.	Pending issues 16. Other matters.
1 – 3 p.m.	Lunch break
3 – 6 p.m.	17. Adoption of the report.18. Closure of the meeting.

Annex II

LIST OF WORKING DOCUMENTS

Symbol	Title	Agenda item
UNEP/CBD/SBI/1/1/Rev.1	Revised provisional agenda	2
UNEP/CBD/SBI/1/1/Add.1	Annotations to the provisional agenda	3
UNEP/CBD/SBI/1/2	Progress in the implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020 and towards the achievement of the Aichi Biodiversity Targets	4
UNEP/CBD/SBI/1/2/Add.1	Update and analysis of national biodiversity strategies and action plans received after the adoption of the Strategic Plan for Biodiversity 2011-2020	4
UNEP/CBD/SBI/1/2/Add.2	Analysis of the contribution of targets established by Parties and progress towards the Aichi Biodiversity Targets	4
UNEP/CBD/SBI/1/2/Add.3	Implementation of the programme of work on Article 8(j) and related provisions, including the plan of action on customary sustainable use of biodiversity	4
UNEP/CBD/SBI/1/3	Progress towards Aichi Biodiversity Target 16 on the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization	5
UNEP/CBD/SBI/1/4	Assessment and review of the effectiveness of the Cartagena Protocol on Biosafety and mid-term evaluation of the Strategic Plan for the Protocol	6
UNEP/CBD/SBI/1/5	Strategic actions to enhance implementation of the Convention and the Strategic Plan for Biodiversity 2011-2020	7
UNEP/CBD/SBI/1/5/Add.1	Strategic actions to enhance mainstreaming of biodiversity within specific sectors	7
UNEP/CBD/SBI/1/5/Add.2	Strategic actions to enhance mainstreaming of biodiversity across sectors	7
UNEP/CBD/SBI/1/6	Capacity-building, technical and scientific cooperation, technology transfer and the clearing-house mechanism	8
UNEP/CBD/SBI/1/6/Add.1	Short-term action plan on enhancing capacity-building support	8
UNEP/CBD/SBI/1/6/Add.2	Web strategy for the Convention and its Protocols	8
UNEP/CBD/SBI/1/7	Resource mobilization	9
UNEP/CBD/SBI/1/7/Add.1	Resource mobilization: Analysis of the information provided by Parties through the Financial Reporting Framework, and options for strengthening biodiversity-related financial information systems	9
UNEP/CBD/SBI/1/7/Add.2	Resource mobilization: Synthesis of information on existing policies and legislation governing biodiversity financing mechanisms and on the contribution of collective action of indigenous peoples and local communities	9
UNEP/CBD/SBI/1/8	Guidance to the financial mechanism	10

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Symbol	Title	Agenda item
UNEP/CBD/SBI/1/8/Add.1	Preliminary report of the Global Environment Facility	10
UNEP/CBD/SBI/1/8/Add.2	Report of the expert team on a full assessment of the funds needed for the implementation of the Convention and its Protocols for the seventh replenishment of the Global Environment Facility	10
UNEP/CBD/SBI/1/9	Options to enhance synergies among the biodiversity-related conventions	11
UNEP/CBD/SBI/1/10	Modus operandi of the Subsidiary Body on Implementation and mechanisms to support review of implementation	12
UNEP/CBD/SBI/1/10/Add.1	Voluntary peer-review mechanism for national biodiversity strategies and action plans	12
UNEP/CBD/SBI/1/10/Add.2	Review of decisions: decision-tracking tool	12
UNEP/CBD/SBI/1/10/Add.3	Further options for mechanisms to support the review of implementation	12
UNEP/CBD/SBI/1/11	National reporting	13
UNEP/CBD/SBI/1/11/Add.1	Guidelines for the sixth national reports	13
UNEP/CBD/SBI/1/12	Options for enhancing integration among the Convention and its Protocols and the organization of meetings	14
UNEP/CBD/SBI/1/12/Add.1	A plan for holding concurrently the thirteenth meeting of the Conference of the Parties and respective meetings of the Parties of the Cartagena and Nagoya Protocols	14
UNEP/CBD/SBI/1/12/Add.2	The hosting of the fourteenth and fifteenth meetings of the Conference of the Parties and regional preparatory meetings	14
UNEP/CBD/SBI/1/13	Administration of the Convention	15
UNEP/CBD/SBI/1/13/Add.1	Report on the functional review of the Secretariat	15