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**SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL  
AND TECHNOLOGICAL ADVICE**

Ninth meeting

Montreal, 10-14 November 2003

Item 2 of the provisional agenda\*

**ORGANIZATION OF WORK***Annotations to the provisional agenda***INTRODUCTION**

1. Article 25 of the Convention on Biological Diversity establishes a Subsidiary Body on Scientific, Technical and Technological Advice (SBSTTA), to provide both the Conference of the Parties and, as appropriate, its other subsidiary bodies with timely advice relating to the implementation of the Convention.

2. To date, the Subsidiary Body has held eight meetings. The first took place at the headquarters of the United Nations Educational, Scientific and Cultural Organization (UNESCO), in Paris, from 4 to 8 September 1995. The other seven meetings were held in Montreal, from 2 to 6 September 1996, 1 to 5 September 1997, 21 to 25 June 1999, 31 January to 4 February 2000, 12 to 16 March 2001, 12 to 16 November 2001 and 10 to 14 March 2003. The reports of these meetings have been circulated as documents UNEP/CBD/COP/2/5, UNEP/CBD/COP/3/3, UNEP/CBD/COP/4/2, UNEP/CBD/COP/5/2, UNEP/CBD/COP/5/3, UNEP/CBD/COP/6/3, UNEP/CBD/COP/6/4 and UNEP/CBD/COP/7/3, respectively.

3. The ninth meeting of SBSTTA will take place in Montreal, at the headquarters of the International Civil Aviation Organization (ICAO), from 10 to 14 November 2003. Registration of participants will commence on Sunday, 9 November 2003 at 10 a.m.

\* UNEP/CBD/SBSTTA/9/1.

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**ITEM 1. OPENING OF THE MEETING**

4. The meeting will be opened at 10 a.m., on Monday, 10 November 2003.

**ITEM 2. ORGANIZATIONAL MATTERS**

*Item 2.1. Election of officers*

5. Pursuant to rule 26, paragraph 3, of its rules of procedure, by which the Conference of the Parties elects the chairperson of each of its subsidiary bodies, the Conference of the Parties at its sixth meeting, held in The Hague in April 2002, elected Mr. Alfred Oteng-Yeboah (Ghana) as the Chair of SBSTTA for a term of office commencing at the end of the eighth meeting of SBSTTA and expiring at the end of the tenth meeting (UNEP/CBD/COP/6/20, para.18).

6. In accordance with paragraph 5 of its *modus operandi*, as contained in annex I to decision IV/16 of the Conference of the Parties, and in order to achieve staggered terms of office, SBSTTA normally elects, at each of its meetings, five new members for a two-meeting term to replace the outgoing members. In accordance with the decisions taken by the Conference of the Parties at its sixth meeting, and by the Subsidiary Body at its seventh and eighth meetings, the Bureau of the ninth meeting of SBSTTA will comprise the following members, in addition to its Chair: Mr. Boumediene Mahi (Algeria), Mr. Asghar Mohammadi Fazel (Islamic Republic of Iran), Ms. Theresa Mundita Lim (Philippines), Mr. Peter Straka (Slovakia), Mr. Yaroslav Movchan (Ukraine), Mr. Joseph Ronald Toussaint (Haiti), Ms. Mitzi Gurgel Valente da Costa (Brazil), Mr. Robert Andren (Sweden) and Mr. Robert Lamb (Switzerland).

*Item 2.2. Adoption of the agenda*

7. The provisional agendas for the eighth and ninth meetings of SBSTTA were adopted at its seventh meeting in November 2001 (see UNEP/CBD/COP/6/4, annex II, section B). In April 2002, the Conference of the Parties made a number of additional requests requiring consideration by SBSTTA prior to the seventh meeting of the Conference of the Parties, to be held in Kuala Lumpur in February 2004:

(a) The report of the Ad Hoc Technical Expert Group on Genetic Use Restriction Technologies (decision VI/5, paragraph 21);

(b) Development of ways and means, within the Convention's thematic and cross-cutting programmes of work, for promoting implementation of the Global Strategy for Plant Conservation, and for monitoring and assessing progress (decision VI/9, paragraph 10 (b));

(c) Forest biodiversity, including:

- (i) Clarification of the conceptual basis of the ecosystem approach in relation to the concept of sustainable forest management (decision VI/22: paragraph 19 (a)). The Conference of the Parties requested that this matter should be considered by SBSTTA as part of its work on the ecosystem approach;
- (ii) The relationship between Intergovernmental Panel on Forests/Intergovernmental Forum on Forests (IPF/IFF) proposals for action and the expanded programme of work on forest biodiversity (decision VI/22, paragraph 19 (b)). The Conference of the Parties requested that the assessment be reported to SBSTTA and the United Nations Forum on

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Forests at its fourth session for information and be disseminated through the clearing-house mechanism;

- (iii) Forest protected areas (decision VI/22, paragraph 19 (d)), to be taken up under the item on protected areas;
- (iv) The management of forest biodiversity and sustainable use to derive products and services, and benefit sharing (decision VI/22, paragraph 19 (f)), to be considered by SBSTTA as part of its work on sustainable use;
- (v) Progress report on implementation of the programme of work for submission at each meeting of SBSTTA (decision VI/22, paragraph 24);
- (vi) Development of recommendations based on the work of the liaison group on non-timber forest resources (decision VI/22, paragraph 42);
- (vii) Proposals for the integration of non-timber forest resources in the forest inventory and management (decision VI/22, paragraph 43); and
- (viii) Proposals for the inclusion of forest biodiversity in fire impact assessments (decision VI/22, paragraph 44);

(d) Identification of further specific gaps and inconsistencies in the international regulatory framework for invasive alien species, including consideration of various pathways for the transmission of invasive alien species (decision VI/23\*, paragraph 9);

(e) A progress report on the Millennium Ecosystem Assessment; and

(f) A report on the further development of the guidelines for incorporating biodiversity-related issues into environmental impact assessment and strategic environmental processes.

8. In addition, SBSTTA decided to consider at its ninth meeting some aspects of the revised programme of work on biological diversity of inland water contained in paragraph 4 (b) of its recommendation VIII/2. SBSTTA also requested that the report of the meeting on “2010 – The Global Biodiversity Challenge”, organized by the Executive Secretary in collaboration with the UNEP World Conservation Monitoring Centre (WCMC) and the United Nations Development Programme (UNDP) and other partners, be presented to its ninth meeting.

9. Therefore, at its eighth meeting, SBSTTA adopted a revised agenda in which,

(a) Mountain biodiversity, one of the three main themes of the seventh meeting of the Conference of the Parties, was added to make it possible to complete the development of the programme of work initiated at its eighth meeting;

(b) Both “protected areas” and “technology transfer and cooperation”, the other themes for in-depth consideration by the Conference of the Parties at its seventh meeting, are considered as the main

\* One representative entered a formal objection during the process leading to the adoption of this decision and underlined that he did not believe that the Conference of the Parties could legitimately adopt a motion or a text with a formal objection in place. A few representatives expressed reservations regarding the procedure leading to the adoption of the decision (see UNEP/CBD/COP/6/20, paras. 294-324).

themes of the ninth meeting of SBSTTA, while “biodiversity and climate change” is considered as another substantive issue rather than as an item for in-depth review;

(c) Other items listed in paragraphs 7 and 8, as well as the item on “incentive measures”, were to be considered as far as possible and appropriate under the other agenda items

10. The revised provisional agenda has been available since 9 April 2003 as annex II to the report of the eighth meeting of SBSTTA (UNEP/CBD/COP/7/3). The additional items referred to above have been incorporated in the present annotated agenda given.

11. The Subsidiary Body will be invited to consider the provisional agenda (UNEP/CBD/SBSTTA/9/1) for adoption.

### ***Item 2.3. Organization of work***

12. In accordance with its *modus operandi*, SBSTTA may wish to establish two sessional working groups. Following consultations with the Bureau, the following allocation of tasks between the plenary and the two working groups is proposed:

(a) The following items would be addressed in plenary at the beginning of the meeting:

1. Opening of the meeting;
2. Organizational matters;
3. Reports:
  - 3.1 Progress in the implementation of the thematic programmes of work;
  - 3.2 Progress in the implementation of the programmes of work on cross-cutting issues;
  - 3.3 Report of the Chair of the Subsidiary Body on Scientific, Technical and Technological Advice on the inter-sessional activities of the Bureau;

(b) Working Group I would consider the following substantive items:

- 4.1 Protected areas, one of the main themes for in-depth discussion;
- 5.1 Ecosystem approach: further elaboration and guidelines for implementation;
- 5.2 Sustainable use: development of practical principles, operational guidelines and associated instruments;
- 5.5 Mountain ecosystems;
- 7.1 Other matters: Invasive alien species;

(c) Working Group II would consider the following substantive issues:

- 4.2 Technology transfer and cooperation, the other item for in-depth discussion;
  - 5.3 Monitoring and indicators: designing national-level monitoring programmes and indicators; and
  - 5.4 Biological diversity and climate change;
  - 7.2 Other matters: Integration of outcome-oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target, the GSPC, and relevant targets of the World Summit on Sustainable Development;
- (d) The following items would be taken up in plenary towards the end of the meeting:
- 6. Preparation for the tenth and eleventh meetings of the Subsidiary Body on Scientific, Technical and Technological Advice:
    - 6.1. Draft provisional agendas;
    - 6.2. Dates and venues;
  - 7. Other matters (Other than those referred to above);
  - 8. Adoption of the report;
  - 9. Closure of the meeting.

### **ITEM 3. REPORTS**

#### ***3.1. Progress in implementation of the thematic programmes of work***

13. A brief report covering the period from November 2002 to 15 June 2003 will be provided on all thematic programmes of work (UNEP/CBD/SBSTTA/9/2). Also available will be the report of the Ad Hoc Technical Expert Group on Genetic Use Restriction Technologies prepared in response to paragraph 21 of decision V/5 (UNEP/CBD/SBSTTA/9/INF/6), and the reports on proposals for the integration of non-timber forest resources in the forest inventory and management (UNEP/CBD/SBSTTA/INF/14) and on proposals for the inclusion of forest biodiversity in fire impact assessments (UNEP/CBD/SBSTTA/INF15). The Subsidiary Body may wish to take note of the reports.

#### ***3.2. Progress in implementation of the programmes of work on cross-cutting issues***

14. A brief report covering the period from November 2002 to 15 June 2003 will be provided on cross-cutting issues (UNEP/CBD/SBSTTA/9/3). Also available will be a report on the Millennium Ecosystem Assessment and a report on the further development of the guidelines for incorporating biodiversity-related issues into environmental impact assessment and strategic environmental assessment processes. The Subsidiary Body may wish to take note of the reports.

**3.3. *Report of the Chair of the Subsidiary Body on Scientific, Technical and Technological Advice on the inter-sessional activities of the Bureau***

15. The Chair of SBSTTA will present a brief report of the inter-sessional activities of the Bureau (UNEP/CBD/SBSTTA/9/4), including their proposal for the further improvement of existing procedures for conducting meetings in order to allow a more effective participation of one-person delegations, pursuant to paragraph 15 of decision VI/27 of the Conference of the Parties. The Subsidiary Body may wish to take note of the report.

**ITEM 4. MAIN THEMES**

16. In its decision IV/16, the Conference of the Parties decided to consider the following three main themes at its seventh meeting: (i) mountain ecosystems; (ii) protected areas; and (iii) transfer of technology and technology cooperation. In its decision VI/30, the Conference of the Parties welcomed the proposals put forward by the Executive Secretary on the preparations for the consideration of those themes at its seventh meeting, including in particular the establishment of an ad hoc technical expert group on protected areas. Mountain biodiversity was the main theme of the eighth meeting of SBSTTA, and a number of outstanding issues will be addressed under item 5 at this meeting (see paras. 47-50 below). Under item 4, the Subsidiary Body will consider in depth both protected areas and transfer of technology and technology cooperation.

***Item 4.1. Protected areas***

17. In paragraph 6 of decision IV/15, the Conference of the Parties encouraged the Executive Secretary to develop relationships with other processes with a view to fostering good management practices in areas such as: methods and approaches to deal with protected areas; ecosystem and bioregional approaches to protected area management and sustainable use of biological diversity; mechanisms to enhance stakeholder involvement; methods for developing systems plans and integrating biological diversity considerations into sectoral strategies and plans; and transboundary protected areas. In paragraph 19 (d) of decision VI/22 on forest biodiversity, the Conference of the Parties requested the Executive Secretary, in collaboration with the United Nations Forum on Forests (UNFF), IUCN and other relevant organizations, to prepare and hold an international workshop on protected areas as a measure to conserve and sustainably use forest biological diversity for three days just prior to the ninth meeting of SBSTTA.

18. In addition, the Subsidiary Body in its recommendations VIII/1 to VIII/5 provided guidance for the establishment and management of protected areas in mountain ecosystems, inland waters, marine and coastal areas, dry and sub-humid lands and with respect to the development of tourism.

19. These decisions and recommendations have been taken into account in the preparatory process initiated by the Executive Secretary on the issue of protected areas for consideration at the ninth meeting of SBSTTA, as has recommendation 1A, paragraph 1, of the Inter-Sessional Meeting on the Multi-Year Programme of Work of the Conference of the Parties up to 2010, requesting that the outcome of the World Summit on Sustainable Development relating to hotspots, ecological networks and corridors and other areas essential for biodiversity be considered in the context of the work on protected areas.

20. Under this item, SBSTTA will have before it the following documents:

- (a) A report on status and trends of protected areas (UNEP/CBD/SBSTTA/9/5);

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(b) A proposed programme of work on protected areas (UNEP/CBD/SBSTTA/9/6), including, *inter alia* :

- (i) Options and priority actions for the effective establishment and management of protected areas (including best management principles, tools and practices, ways and means to remove or mitigate perverse incentives, targets, assessment and monitoring activities); and
- (ii) A framework for the management of transboundary ecosystems;

(c) A note by the Executive Secretary (UNEP/CBD/SBSTTA/9/6/Add.1) summarizing the report of the Ad Hoc Technical Expert Group on Protected Areas. The full report will be available as an information document (UNEP/CBD/SBSTTA/9/INF/3);

(d) A note by the Executive Secretary prepared with the assistance of a liaison group to synthesize the outcomes of the World Parks Congress scheduled for September 2003 (UNEP/CBD/SBSTTA/9/6/Add.2);

(e) A synthesis of the thematic reports on protected areas received by the Executive Secretary (UNEP/CBD/SBSTTA/9/INF/2);

(f) A summary of the outcome of the international workshop on forest protected areas to be held for three days just prior to the ninth meeting of SBSTTA.

21. The Subsidiary Body may wish to note the status and trends of protected areas, and adopt a programme of work and/or guidelines on the establishment and management of protected areas for consideration by the seventh meeting of the Conference of the Parties.

#### ***Item 4.2. Transfer of technology and technology cooperation***

22. The Subsidiary Body considered the scientific, technical and technological aspects of technology transfer and cooperation at its eighth meeting and adopted recommendation VIII/1 B. The Open-ended Inter-Sessional Meeting on the Multi-Year Programme of Work of the Conference of the Parties up to 2010, held in Montreal from 17 to 20 March 2003, considered legal and socio-economic aspects of technology transfer and cooperation and adopted recommendation 4 (UNEP/CBD/COP/7/5, annex).

23. Under this item, SBSTTA will have before it:

(a) Proposals for the development of a programme of work on technology transfer and cooperation (UNEP/CBD/SBSTTA/9/7);

(b) A note by the Executive Secretary describing the draft elements of a programme of work on technology transfer and cooperation (UNEP/CBD/SBSTTA/9/7/Add.1);

(c) A review of the status of implementation of decisions of the Conference of the Parties on technology transfer and cooperation (UNEP/CBD/SBSTTA/9/7/Add.2).

24. The documents provide, *inter alia*, a preliminary analysis of the information contained in thematic reports on technology transfer and cooperation as received by the Executive Secretary by June 2003, as well as elements of a programme of work on technology transfer and cooperation. An extended indicative list of technologies that are relevant to the conservation and sustainable use of biological diversity or make

use of genetic resources, and which are suitable for transfer and cooperation, will be available as an information document (UNEP/CBD/SBSTTA/9/INF/13). The report of the Norway/United Nations Conference on Technology Transfer and Capacity Building, held in Trondheim from 23 to 27 June 2003 will also be available as an information document (UNEP/CBD/SBSTTA/9/INF/1).

25. SBSTTA may wish to review the issues raised in the analysis as well as the draft elements of the programme of work contained and the outcome of the Trondheim Conference, and develop a draft programme of work for technology transfer and cooperation, for consideration by the Conference of the Parties at its seventh meeting.

## **ITEM 5. OTHER SUBSTANTIVE ISSUES**

### ***Item 5.1. Ecosystem approach: further elaboration and guidelines for implementation***

26. At its fifth meeting, in its decision V/6, the Conference of the Parties endorsed the description of the ecosystem approach and operational guidance. At its sixth meeting, in its decision VI/12, the Conference of the Parties reiterated its request contained in paragraph 4 of decision V/6 requesting the Executive Secretary to prepare a report on case-studies and lessons learned for consideration by SBSTTA at a meeting prior to the seventh meeting of the Conference of the Parties; convene a meeting of experts to compare the ecosystem approach with sustainable forest management, and develop proposals for their integration; and draft proposals for the refinement of the principles and operational guidance of the ecosystem approach on the basis of case-studies and lessons learned, including indicators and strategies for the integration of the ecosystem approach into the programmes of work of the Convention, taking into account regional differences. Additional guidance regarding the comparative study to clarify the conceptual basis of the ecosystem approach in relation to the concept of sustainable forest management is provided in paragraph 19 (a) of decision VI/22.

27. The Conference of the Parties also requested SBSTTA to review, at a meeting prior to the seventh meeting of the Conference of the Parties, the principles and guidelines of the ecosystem approach, to prepare guidelines for its implementation, on the basis of case-studies and lessons learned, and to review the incorporation of the ecosystem approach into various programmes of work of the Convention.

28. To facilitate the preparation of the report and the study as requested by the Conference of the Parties, the Executive Secretary convened an expert meeting with financial support from the Government of the Netherlands, which was held in Montreal from 7 to 11 July 2003. Drawing on that report of the expert meeting (UNEP/CBD/SBSTTA/9/INF/4), he prepared a note on the further elaboration and guidelines for implementation of the ecosystem approach (UNEP/CBD/SBSTTA/9/8), which also contains a comparison of the ecosystem approach and the concept of sustainable forest management. The Subsidiary Body will also have before it an information document synthesizing case-studies from a number of regional workshops jointly organized in 2000 and 2001 by the IUCN Commission on Ecosystem Management and the Secretariat of the Convention as well as other partners, and the documentation prepared for item 5.2 below on sustainable use, which contains cross-references to the ecosystem approach, the framework for the implementation of the practical principles and operational guidelines for sustainable use of components of biodiversity.

29. SBSTTA may wish to review the principles and guidelines of the ecosystem approach and develop its advice on their possible refinement: (i) taking into account the comparative study of the concepts of ecosystem approach and sustainable forest management and their integration in the



framework of national forest and biodiversity strategies and action plans; and (ii) in the context of the implementation of the various thematic programmes of work of the Convention.

***Item 5.2. Sustainable use: development of practical principles, operational guidance and associated instruments***

30. Under this agenda item, the Subsidiary Body is invited to consider: (i) the set of practical principles and operational guidelines for the sustainable use of biological diversity; (ii) the report and recommendations addressing management of forest biological diversity and sustainable use to derive products and services, and benefit-sharing, including the prevention of losses caused by unsustainable harvesting of timber and non-timber forest resources; and (iii) the proposals for the application of ways and means to remove or mitigate perverse incentives.

*Practical principles, operational guidance and associated instruments for sustainable use*

31. In decision V/24 the Conference of the Parties requested the Executive Secretary to assemble practical principles, operational guidelines and associated instruments, and guidance specific to sectors and biomes, which would assist Parties and other Governments to develop ways to achieve the sustainable use of biological diversity. In the same decision Parties, Governments and relevant organizations were invited to undertake appropriate actions to assist other Parties to increase their capacity to implement sustainable-use practices, programmes and policies at regional, national and local levels, including through the organization of workshops. In 2001-2002 the Secretariat organized, with financial support from the Government of the Netherlands and in collaboration with the Governments of Mozambique, Viet Nam and Ecuador, a series of three regional workshops on the sustainable use of biological diversity. The first workshop took place in Maputo and focused on terrestrial, dryland resources and wildlife utilization. The second workshop, held in Hanoi focused on forest biodiversity, including timber and non-timber forest resources. The third workshop took place in Salinas, Ecuador, and focused on marine and freshwater fisheries. The three workshops produced draft practical principles and operational guidelines for the sustainable use of components of biological diversity.

32. At its sixth meeting, the Conference of the Parties welcomed the outcomes of the three workshops and further requested the Executive Secretary to organize a fourth open-ended workshop on the sustainable use of biodiversity to synthesize the outcomes of the three workshops, integrate different views and regional differences, and develop a set of practical principles and operational guidelines for the sustainable use of biological diversity, to be submitted to SBSTTA for its consideration prior to the seventh meeting of the Conference of the Parties (decision VI/13). Pursuant to paragraph 4 of this decision the Secretariat organized a fourth open-ended workshop on the sustainable use of biological diversity in Addis Ababa in May 2003, with financial support from the Governments of Norway and the Netherlands.

33. SBSTTA will have before it a note by the Executive Secretary suggesting recommendations on the practical principles and operational guidelines for the consideration of SBSTTA (UNEP/CBD/SBSTTA/9/9). The report of the Addis Ababa meeting will also be available, as an information document (UNEP/CBD/SBSTTA/9/INF/8).

34. SBSTTA may wish to endorse the draft Addis Ababa principles and guidelines and associated instruments proposed in these documents, for submission to the Conference of the Parties at its seventh meeting.

*Sustainable use: management of forest biological diversity, sustainable use to derive products and services, and benefit-sharing*

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35. In paragraph 19 (f) of decision VI/22, on forest biodiversity, the Conference of the Parties requested the Executive Secretary, in collaboration with the United Nations Forum on Forests and members of the Collaborative Partnership on Forests (CPF) and other relevant bodies, to compile a report with recommendations, addressing the management of forest biological diversity, sustainable use to derive products and services, and benefit-sharing. In paragraph 42 of the same decision, the Conference of the Parties requested the Executive Secretary, on the basis of goal 4, objective 2 of element 1 of the expanded programme of work on forest biodiversity, to establish a liaison group on non-timber forest resources to facilitate the preparation by SBSTTA of recommendations on the prevention of losses caused by unsustainable harvesting of timber and non-timber forest resources (decision VI/22, paragraph 42). Under this agenda item, SBSTTA may also wish to address the integration of non-timber forest resources in the forest inventory and management (decision VI/22, paragraph 43).

36. SBSTTA will have before it the following documents:

(a) A note by the Executive Secretary on lessons learned and recommendations with regard to the management of forest biodiversity, sustainable use to derive products and services, and benefit-sharing (UNEP/CBD/SBSTTA/9/9/Add.1), which has been prepared on the basis of submissions received by Parties and relevant organizations, addressing various steps in sustainable use and management of forest biodiversity; and

(b) A note by the Executive Secretary on proposals for the prevention of losses caused by unsustainable harvesting of timber and non-timber resources (UNEP/CBD/SBSTTA/9/9/Add.2), prepared in response to paragraph 42 of decision VI/22 on the basis of the work of the liaison group on non-timber forest resources.

37. SBSTTA may wish to review the recommendations contained in these documents and prepare its advice to the Conference of the Parties at its seventh meeting.

*Incentive measures: proposals for the application of ways and means to remove or mitigate perverse incentives*

38. Pursuant to decision VI/15, on incentive measures, in which the Conference of the Parties requested the Executive Secretary to elaborate proposals for the application of ways and means to remove or mitigate perverse incentives, in collaboration with relevant international organizations, the Executive Secretary convened a workshop on incentive measures, with the financial support of the Government of the Netherlands, from 3 to 5 June 2003 in Montreal. Based on background documentation prepared by the Executive Secretary, the workshop elaborated draft proposals for the application of ways and means to remove or mitigate perverse incentives, underlining that these proposals provide important further guidance on the application of the Addis Ababa Principles and Guidelines on the Sustainable Use of Biodiversity.

39. SBSTTA will have before it a note prepared by the Executive Secretary on ways and means to remove perverse incentives summarizing the outcome of the second Workshop on Incentive Measures and suggesting recommendations (UNEP/CBD/SBSTTA/9/9/Add.3). The report of the Workshop will be available as an information document (UNEP/CBD/SBSTTA/9/INF/10).

40. SBSTTA may wish to further review the proposals for the application of ways and means to remove or mitigate perverse incentives, and provide advice for consideration by the Conference of the Parties at its seventh meeting.

**Item 5.3    *Monitoring and indicators: designing national-level monitoring programmes and indicators***

41. In its decision VI/7 B, the Conference of the Parties requested the Executive Secretary to convene a meeting of an expert group to further develop:

- (a) The principles for developing national-level monitoring and indicators;
- (b) A set of standard questions for developing national-level indicators; and
- (c) A list of available and potential indicators based on a conceptual framework that has qualitative and quantitative approach.

42. The Subsidiary Body will have before it a note by the Executive Secretary based on the report of the expert meeting on indicators, which was held in Montreal from 10 to 12 February 2003, and containing tools and guidance for developing national-level monitoring, and an indicative list of indicators (UNEP/CBD/SBSTTA/9/10). The report of the expert meeting will be made available as an information document (UNEP/CBD/SBSTTA/9/INF/7). A number of information documents are relevant to this item, including in particular the report of the Open-Ended Workshop on Sustainable Use referred to under item 5.2 above (UNEP/CBD/SBSTTA/9/INF/8), which contains information on monitoring and indicators of sustainable use, as well as the report of the London meeting “2010 – The Global Biodiversity Challenge” (UNEP/CBD/SBSTTA/9/INF/9), referred to under item 7 below.

43. SBSTTA may wish to review the note of the Executive Secretary containing suggested recommendations and provide advice on the principles for developing national-level monitoring and indicators, the related standard questions and list of indicators, for submission to the Conference of the Parties at its seventh meeting.

**5.4.    *Biodiversity and climate change***

44. At its fifth meeting, the Conference of the Parties requested the Executive Secretary to assemble, in collaboration with the United Nations Framework Convention on Climate Change (UNFCCC) and the Intergovernmental Panel on Climate Change, existing information relating to the integration of biodiversity considerations, including biodiversity conservation, in the implementation of the UNFCCC and its Kyoto Protocol. At its sixth meeting, the Conference of the Parties welcomed the report of the first meeting of the Ad Hoc Technical Expert Group on Biological Diversity and Climate Change, held in Helsinki from 21 to 25 January 2002 (decision VI/22, para. 7). The Ad Hoc Technical Expert Group held its second meeting in Montreal from 9 to 13 September 2002, and its final working meeting in Helsinki from 13 to 16 May 2003.

45. SBSTTA will have before it the executive summary of the assessment report on inter-linkages between biological diversity and climate change, and advice on the integration of biodiversity considerations into the implementation of the UNFCCC and its Kyoto Protocol prepared by the Ad Hoc Technical Expert Group, together with recommendations for future action (UNEP/CBD/SBSTTA/9/11). The full report of the Ad Hoc Technical Expert Group, including the assessment report, will be made available as an information document (UNEP/CBD/SBSTTA/9/INF/12).

46. SBSTTA may wish to endorse the executive summary and recommend that the Executive Secretary forward it to the nineteenth meeting of UNFCCC Subsidiary Body for Scientific and Technological Advice and the ninth meeting of the UNFCCC Conference of the Parties, to be held from 1

to 12 December 2003, as well as the seventh meeting of the Conference of the Parties to the Convention on Biological Diversity, on the basis of which both conventions may wish to formulate decisions for future work, including within the framework of the joint liaison group of the secretariats of the Convention on Biological Diversity, the United Nations Convention to Combat Desertification and the United Nations Framework Convention on Climate Change to promote complementarity and synergies in their activities on common issues pursuant to decision VI/20 on cooperation with those two conventions.

### **5.5. Mountain ecosystems**

47. At its eighth meeting, SBSTTA adopted the structure of the proposed programme of work on mountain biological diversity, including its elements and goals. The Subsidiary Body requested the Executive Secretary to consult with Parties, other Governments and relevant organizations on actions for possible inclusion in the proposed programme of work. In addition, SBSTTA established an ad hoc technical expert group on mountain biological diversity to review the suggested actions contained in the proposed programme of work and taking into account the list of actions prepared by the Executive Secretary from his consultation with Parties, other Governments and relevant organizations; identify gaps in suggested actions; and include new suggested actions whenever appropriate, under the relevant programme elements and programme goals. The Ad Hoc Technical Expert Group on Mountain Biological Diversity met from 1 to 3 July in Rome, with financial support from the Government of Italy.

48. The meeting will have before it a note by the Executive Secretary on mountain biodiversity (UNEP/CBD/SBSTTA/9/12). The report of the Ad Hoc Technical Expert Group on Mountain Biodiversity will be available as an information document (UNEP/CBD/SBSTTA/9/INF/11). The documents circulated under items 4 and 5.1 to 5.4 above as well as the other programmes of work under the Convention are also relevant.

49. The Subsidiary Body may wish to consider the proposed actions under each of the elements of the proposed programme of work on mountain biodiversity and prepare its recommendation to the seventh meeting of the Conference of the Parties.

## **ITEM 6. PREPARATION FOR THE TENTH AND ELEVENTH MEETINGS OF THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND TECHNOLOGICAL ADVICE**

### ***Item 6.1. Draft provisional agendas***

50. To assist the meeting in its consideration of this agenda item, the Executive Secretary has prepared, in consultation with the SBSTTA Bureau, draft provisional agendas for the tenth and eleventh meetings of SBSTTA (UNEP/CBD/SBSTTA/9/13) taking into account the relevant decision of the Conference of the Parties at its sixth meeting and recommendation 3 adopted by the Inter-Sessional Open-ended Meeting on the Multi-Year Programme of Work of the Conference of the Parties up to 2010, held from 17 to 20 March 2003. It should be noted that these provisional agendas will be revised in the light of the decisions of the seventh meeting of the Conference of the Parties, which will consider the draft multi-year programme of work proposed by the Inter-Sessional Meeting and may make specific requests to SBSTTA for actions to be undertaken and reported on at the eighth meeting of the Conference of the Parties.

### ***Item 6.2. Dates and venue***

51. According to the rules of procedure, unless the Conference of the Parties decides otherwise or appropriate arrangements are made by the Secretariat in consultation with the Parties, the tenth and

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eleventh meetings of SBSTTA will take place at the seat of the Secretariat, in Montreal, tentatively in 2004 and 2005.

## ITEM 7. OTHER MATTERS

52. Under this item, the Subsidiary Body may wish to consider the integration of outcome-oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target, the Global Strategy for Plant Conservation, and relevant targets endorsed by the World Summit on Sustainable Development (WSSD) and any other matters proposed and accepted for discussion.

### *Item 7.1 Integration of outcome-oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target, the Global Strategy for Plant Conservation, and relevant WSSD targets*

#### *(i) Consideration of the results of the meeting on “2010 – The Global Biodiversity Challenge”*

53. The 2010 biodiversity target derives from the Strategic Plan for the Convention on Biological Diversity adopted at the sixth meeting of the Conference of the Parties in decision VI/26. It commits Parties to a more effective and coherent implementation of the three objectives of the Convention to achieve by 2010 a significant reduction of the current rate of biodiversity loss at the global, regional, national and sub-national levels. This target was reaffirmed by paragraph 44 of the Plan of Implementation of the World Summit.

54. The Open-Ended Inter-Sessional Meeting on the Multi-year Programme of Work of the Conference of the Parties up to 2010 (MYPOW), made a number of recommendations concerning the integration of outcome oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target contained in the Strategic Plan of the Convention, and relevant WSSD targets, and also concerning the contribution of the Convention to the Millennium Development Goals.

55. In this context, the Executive Secretary convened, with the financial support of the Governments of the United Kingdom and the Netherlands and in collaboration with UNEP-WCMC, UNDP and other partners, a brainstorming meeting “2010 - The Global Biodiversity Challenge” in London from 21 to 23 May 2003. The objective of the meeting was to address and articulate a framework for action for achieving the 2010 target, and how to measure and report on progress made.

56. The Subsidiary Body will have before it the report of the London meeting (UNEP/CBD/SBSTTA/9/INF/9). In addition, the Executive Secretary has prepared a note (UNEP/CBD/SBSTTA/9/14) that reviews the relevant decisions of the Conference of the Parties and recommendations of SBSTTA and the Inter-Sessional Meeting on the Multi-Year Programme of Work of the Conference of the Parties up to 2010, taking note also of the results of the World Summit, and the London meeting. It provides the context, and sets out a suggested approach, for the integration of targets in the programme of work in the light of Strategic Plan of the Convention and the Plan of Implementation of the World Summit on Sustainable Development, taking into account also the targets of the Global Strategy for Plant Conservation, in line with decision VI/9, paragraph 10 (a), of the Conference of the Parties.

57. The Subsidiary Body may wish to consider the results of the London meeting and develop its advice to the Conference of the Parties on actions towards the implementation of the biodiversity target of significantly reducing the rate of biodiversity loss by 2010.

(ii) *Outcome-oriented targets and deadlines for the implementation of the revised programme of work on inland water biological diversity*

58. In paragraph 2 (a) of its recommendation VIII/2, SBSTTA recommended that the Conference of the Parties adopt the revised programme of work on inland water biological diversity. In paragraph 4 of the same recommendation, SBSTTA requested the Executive Secretary to prepare, for consideration by the national focal points and then by SBSTTA prior to the seventh meeting of the Conference of the Parties, a schedule of short-, medium- and long-term outcome-oriented targets and deadlines for implementation of the programme of work.

59. The schedule of outcome-oriented targets and deadlines for implementation of the programme of work on inland water biodiversity (UNEP/CBD/SBSTTA/9/14/Add.1) has been prepared within the broader context considered above and described in the above-mentioned note by the Executive Secretary (UNEP/CBD/SBSTTA/9/14). Thus, the Executive Secretary, considered the Strategic Plan of the Convention and the Plan of Implementation of the World Summit on Sustainable Development, taking into account also the targets of the Global Strategy for Plant Conservation. The document also draws upon elements from the report of the liaison group meeting on biological diversity of inland water ecosystems held in Wageningen, Netherlands, in June 2002, as well as relevant targets contained in the Ramsar Strategic Plan for 2003-2008.

60. SBSTTA may wish to review the outcome-oriented targets and deadlines for the implementation of the revised programme of work on inland water biological diversity and recommend its submission to the seventh meeting of the Conference of the Parties.

(iii) *Ways and means for promoting implementation of the Global Strategy for Plant Conservation*

61. Under this item, SBSTTA may also wish to consider paragraph 10 (b) of decision VI/9, in which the Conference of the Parties requested SBSTTA to develop ways and means, within the Convention's thematic and cross-cutting programmes of work, for promoting implementation of the Global Strategy for Plant Conservation, and for monitoring and assessing progress, and to report to the Conference of the Parties at its seventh meeting.

62. The Subsidiary Body will have before it proposals on ways and means for promoting implementation of the Global Strategy for Plant Conservation, and for monitoring and assessing progress (UNEP/CBD/SBSTTA/9/14/Add.2).

63. The Subsidiary Body may wish to note progress on ways and means for promoting implementation of the Global Strategy for Plant Conservation, and for monitoring and assessing progress, or provide additional guidance, as appropriate.

***Item 7.2 Invasive alien species***

64. In paragraph 9 of its decision VI/23\*, the Conference of the Parties requested SBSTTA and other international organizations to identify and explore, in the light of the inter-sessional work referred to in

\* One representative entered a formal objection during the process leading to the adoption of this decision and underlined that he did not believe that the Conference of the Parties could legitimately adopt a motion or a text with a formal objection in place. A few representatives expressed reservations regarding the procedure leading to the adoption of the decision (see UNEP/CBD/COP/6/20, paras. 294-324).

recommendation VI/4 of the Subsidiary Body, and taking into account information arising from the implementation of decision VI/23, further specific gaps and inconsistencies in the international regulatory framework (including binding and non-binding instruments as well as instruments at the regional level and standards) from a technical perspective of the threats of invasive alien species to biodiversity, including consideration of various pathways for the transmission of invasive alien species, and to report to the Conference of the Parties at its seventh meeting.

65. To assist SBSTTA in this task the Executive Secretary has prepared a document on identification of specific gaps and inconsistencies in the international regulatory framework with regard to invasive alien species (UNEP/CBD/SBSTTA/9/15). SBSTTA may wish to make appropriate recommendations to Conference of the Parties.

66. Under this agenda item, SBSTTA may also wish to consider and take note of the report on impacts of invasive alien species on biodiversity prepared as part of the pilot projects requested in paragraph 14 of its recommendation VI/4.

#### **ITEM 8. ADOPTION OF THE REPORT**

67. Under this item, SBSTTA will consider and adopt its report. In accordance with established practice, the Subsidiary Body is invited to authorize the Rapporteur to complete the final report after the meeting, with the guidance of the Chair and the assistance of the Secretariat. Mr. Alfred Oteng-Yeboah (Ghana), Chair of the ninth meeting of SBSTTA, will present this report to the Conference of the Parties at its seventh meeting for consideration under the relevant agenda items.

#### **ITEM 9. CLOSURE OF THE MEETING**

68. The ninth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice is expected to be closed on Friday, 14 November 2003, at 6 p.m.





	<i>Plenary</i>	<i>Working Group I</i>	<i>Working Group II</i>
3 p.m. – 6 p.m.		4. Main themes: 4.1. Protected areas ( <i>continued</i> )	4. Main themes: 4.2. Technology transfer and cooperation ( <i>continued</i> )
<i>Wednesday, 12 November 2003</i> 10 a.m. – 1 p.m.		5.1. Sustainable use: development of practical principles, operational guidelines and associated instruments	5.3. Monitoring and indicators: designing national-level monitoring programmes and indicators
3 p.m. – 6 p.m.		5.2. Ecosystem approach: further elaboration and guidelines for implementation  7.2 Other matters: Invasive alien species	7.1 Other matters: Integration of outcome oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target, the Global Strategy for Plant Conservation, and relevant WSSD targets
<i>Thursday, 13 November 2003</i> 10 a.m. – 1 p.m. <i>and</i> 3 p.m. – 6 p.m.		Pending issues	Pending issues
<i>Friday, 14 November 2003</i> 10 a.m. – 1 p.m.	6. Preparation for the tenth and eleventh meetings of the SBSTTA: 6.1. Draft provisional agendas 6.2. Dates and venues 7. Other matters		
3 p.m. – 6 p.m.	8. Adoption of the report 9. Closure of the meeting		

*Annex II*

**PROVISIONAL LIST OF DOCUMENTS FOR THE NINTH MEETING OF  
THE SUBSIDIARY BODY ON SCIENTIFIC, TECHNICAL AND  
TECHNOLOGICAL ADVICE**

Symbol	Title
<i>Working documents</i>	
UNEP/CBD/SBSTTA/9/1	Provisional agenda
UNEP/CBD/SBSTTA/9/1/Add.1	Annotations to the provisional agenda
UNEP/CBD/SBSTTA/9/2	Progress report on the implementation of the thematic programmes of work
UNEP/CBD/SBSTTA/9/3	Progress report on the implementation of the work on cross-cutting issues
UNEP/CBD/SBSTTA/9/4	Report of the Chair of SBSTTA on inter-sessional activities of the Bureau
UNEP/CBD/SBSTTA/9/5	Status and trends of protected areas
UNEP/CBD/SBSTTA/9/6	Protected areas: proposed programme of work
UNEP/CBD/SBSTTA/9/6/Add.1	Summary report of the Ad Hoc Technical Expert Group on Protected Areas
UNEP/CBD/SBSTTA/9/6/Add.2	Outcomes of the World Parks Congress
UNEP/CBD/SBSTTA/9/7	Transfer of technology and technology cooperation: Proposals for the development of a programme of work on technology transfer and cooperation
UNEP/CBD/SBSTTA/9/7/Add.1	Draft elements of a programme of work on technology transfer and cooperation
UNEP/CBD/SBSTTA/9/7/Add.2	Review of status of implementation of decisions on technology transfer and cooperation
UNEP/CBD/SBSTTA/9/8	Ecosystem approach: further elaboration, guidelines for implementation and relationship with sustainable forest management
UNEP/CBD/SBSTTA/9/9	Sustainable use: development of practical principles, operational guidance and associated instruments
UNEP/CBD/SBSTTA/9/9/Add.1	Management of forest biodiversity, sustainable use to derive products and services, and benefit-sharing: lessons learned and recommendations
UNEP/CBD/SBSTTA/9/9/Add.2	Proposals for the prevention of losses caused by unsustainable harvesting of timber and non-timber forest resources
UNEP/CBD/SBSTTA/9/9/Add.3	Elaboration of proposals for the application of ways and means to remove or mitigate perverse incentives
UNEP/CBD/SBSTTA/9/10	Monitoring and indicators: designing national-level monitoring programmes and indicators

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Symbol	Title
UNEP/CBD/SBSTTA/9/11	Executive summary of the review of interlinkages between biodiversity and climate change: assessment report on interlinkages between biological diversity and climate change, and advice on the integration of biodiversity considerations into the implementation of the United Nations Framework Convention on Climate Change and its Kyoto Protocol
UNEP/CBD/SBSTTA/9/12	Mountain biodiversity: programme of work
UNEP/CBD/SBSTTA/9/13	Draft provisional agendas for the tenth and eleventh meetings of SBSTTA
UNEP/CBD/SBSTTA/9/14	Integration of outcome-oriented targets into the programmes of work of the Convention, taking into account the 2010 biodiversity target, the Global Strategy for Plant Conservation, and relevant WSSD targets
UNEP/CBD/SBSTTA/9/14/Add.1	Outcome-oriented targets and deadlines for the implementation of the revised programme of work on inland water biological diversity
UNEP/CBD/SBSTTA/9/14/Add.2	Ways and means for promoting implementation of the Global Strategy for Plant Conservation, and for monitoring and assessing progress
UNEP/CBD/SBSTTA/9/15	Invasive alien species: identification of specific gaps and inconsistencies in the international regulatory framework
<i>Information documents</i>	
UNEP/CBD/SBSTTA/9/INF/1	Report of the Norway/United Nations Conference on Technology Transfer and Capacity-building
UNEP/CBD/SBSTTA/9/INF/2	Synthesis of thematic reports on protected areas
UNEP/CBD/SBSTTA/9/INF/3	Report of the Ad Hoc Technical Expert Group on Protected Areas
UNEP/CBD/SBSTTA/9/INF/4	Report of the Expert Meeting on the Ecosystem Approach
UNEP/CBD/SBSTTA/9/INF/5	Management of forest biodiversity, sustainable use to derive products and services, and benefit-sharing: Compilation of the responses to the questionnaire on forest biodiversity submitted by Parties
UNEP/CBD/SBSTTA/9/INF/6	Report of the Ad Hoc Technical Expert Group on Genetic Use Restriction Technologies
UNEP/CBD/SBSTTA/9/INF/7	Report of the Expert Meeting on Indicators
UNEP/CBD/SBSTTA/9/INF/8	Report of the Open-ended Workshop on Sustainable Use of Biodiversity
UNEP/CBD/SBSTTA/9/INF/9	Report of the meeting "2010—the Global Biodiversity Challenge"
UNEP/CBD/SBSTTA/9/INF/10	Report of the Workshop on Incentive Measures
UNEP/CBD/SBSTTA/9/INF/11	Report of the Ad Hoc Technical Expert Group on Mountain Biodiversity

UNEP/CBD/SBSTTA/9/INF/12	Report of the Ad Hoc Technical Expert Group on Biodiversity and Climate Change
UNEP/CBD/SBSTTA/9/INF/13	Indicative list of technologies for conservation and sustainable use of biological diversity
UNEP/CBD/SBSTTA/9/INF/14	Proposals for the integration of non-timber forest resources in the forest inventory and management
UNEP/CBD/SBSTTA/9/INF/15	Proposals for the inclusion of forest biodiversity in the fire impact assessments

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